

National Marine Fisheries Service
Office for Law Enforcement

ALASKA ENFORCEMENT DIVISION

QUARTERLY REPORT



First Quarter FY 2004
October 1 - December 31, 2003

To report fisheries violations,
call our National Hotline at 1-800-853-1964.

<http://www.nmfs.noaa.gov/ole/Alaska/index.html>

ALASKA ENFORCEMENT DIVISION

**Quarterly Report
October 1 - December 31, 2003**

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SIGNIFICANT ACTIONS

A. Quarterly Milestone Activity

No milestone activity this quarter.

B. Major Cases

Observer Related Offenses

In September, Paul Ison and Dan Skauge (captain and co-captain) were indicted by a federal grand jury for falsely reporting the amount of halibut caught on the UNIMAK. This case began in 1999 when an observer reported pre-sorting on the vessel. They were arraigned in October and pled not guilty. Plans are underway to indict the company next quarter. SA Mike Adams and Mark Kirkland are working the case.

A hearing on the pre-sorting case involving the F/V REBECCA IRENE was held in November in Seattle. No decision is expected until April.

SA Kirkland is investigating a veteran observer for submitting false information to the Observer Program. An analysis is being conducted by a statistician to determine the extent of the false reporting.

AFA Crab Processing Plants

Agents continue to prepare their case documenting allegations that a fish plant exceeded their AFA crab sideboards for years 2001-2002.

Lacey Act

The case against a vessel and processor for illegal transportation of squid continues with analysis of search warrant information.

False Testimony

SA Adams continued to investigate the allegations of false testimony before the North Pacific Fishery Management council. The case has been accepted by the U.S. Attorney for review.

C. COPPS

Fish Expo 2003 - AED staffed a booth at the largest fishing industry trade show on the West Coast, in Seattle, Washington. Though the show is held in the Northwest, it primarily serves Alaskan fishers. AED officers answered questions on issues involving IFQ, groundfish, and marine mammal regulations. Assisting in the booth were officers and agents from the Northwest Enforcement and Southwest Enforcement Divisions. Approximately 500 contacts were made.

Special Agents staffed an informational booth at the 7th annual Sitka WhaleFest in Sitka. They handed out marine mammal viewing guidelines, subsistence halibut fishing information and provided information to the general public regarding the collection and possession of beach-combed marine mammal hard parts. They personally spoke with over 520 people.

D. VMS

Less than 25 activations have occurred this quarter. This was a significant drop compared with the previous quarter's 514. Five no-transit incursions were identified for investigation this quarter.

E. OTHER ITEMS OF INTEREST

Subsistence Halibut Fishing

Regulations for subsistence halibut fishing in Alaska became effective May 15, 2003. The regulations allow rural Alaska residents and Native Alaskans to harvest up to 20 halibut per day for subsistence purposes. At the end of September, more than 10,000 Alaskans have applied for a Subsistence Halibut Registration Certificate (SHRC). Regulations include gear restrictions of no more than 30 hooks per person, 20 halibut a day, and the halibut may not enter into commerce. One case for interstate sale of subsistence halibut was initiated. A second false application case for a subsistence permit has been forwarded to GCAK.

Staffing and Personnel

At quarter end AED remained short four officers, one agent, and one ASAC. Both DSACs will leave during the next quarter.

NUMBER OF CASES OPENED BY INVESTIGATION TYPE
FY03 and FY04

Investigation Type	FY03 1st Quarter	FY03 Total	FY04 1st Quarter	FY04 Total
American Fisheries Act	0	0	0	0
Documentation/Safety	7	33	3	3
ESA	7	60	3	3
Lacey Act	7	20	0	0
Magnuson Act	117	999	159	159
MMPA	12	72	8	8
North Pacific Halibut Act	3	61	10	10
Non-Fisheries Federal Regs	3	10	3	3
State Regulations	1	27	2	2
Other Fisheries Investigation	0	1	0	0
International Whaling Commission	0	0	0	0
TOTAL	160	1286	192	192

COMPLAINT ACTION
10/01/2003 - 12/31/2003

Investigation Type	No. Complaints Opened	No. Complaints Unfounded
Magnuson Act (MFCMA)	7	1
Marine Mammal (MMPA)	2	2
Endangered Species Act (ESA)	0	0
North Pacific Halibut Act	0	0
Documentation/Safety	2	1
Other (<i>list</i>) - State Regs	0	0
Other (<i>list</i>) - Non-Fisheries Regs	1	0
Other (<i>list</i>) - Other Federal Regs	0	0
Lacey Act	0	0
TOTAL	12	4

Number of Complaints Assigned CAR's	11
Number of Complaints Upgraded	0
Unfounded Complaints Assigned CAR's	4
Number of Complaints not Assigned CAR's	0
Number of Hotline Complaints	2

AGENT/OFFICER HOURS BY PROGRAM
FY03 and FY04

Program	Program Codes	Hours			
		FY03 1st Quarter	FY03 Total	FY04 1st Quarter	FY04 Total
Magnuson Act					
IFQ	6793	1,766.5	10,571.8	1,819.0	1,819.0
Alaska EEZ General	679	2,539.5	16,971.3	3,777.8	3,777.8
BSA Groundfish	6791	0.0	11.0	0.0	0.0
GOA Groundfish	6792	53.5	109.0	3.0	3.0
MFCMA General	31	12.0	50.0	0.0	0.0
MFCMA Subjects	600/660	18.0	64.5	64.5	64.5
U.S. vessels fishing in Russia	299	0.0	0.0	0.0	0.0
Scallops	6795	0.0	0.0	0.0	0.0
CDQ	6794	0.0	0.0	0.0	0.0
Research Plan	677	0.0	0.0	0.0	0.0
Salmon - Alaska	674	0.0	0.0	0.0	0.0
Totals:		4,389.5	27,777.5	5,664.3	5,664.3
Lacey Act	20/21/22/23/400	162.5	965.0	283.5	283.5
Marine Mammal Protection Act	1	310.0	2,187.8	227.5	227.5
North Pacific Halibut Act	3	13.5	977.0	624.5	624.5
North Pacific Fisheries Act	7	0.0	1.0	0.0	0.0
Endangered Species Act	2/200-210	82.5	578.5	37.5	37.5
High Seas Salmon	11	40.0	138.0	0.0	0.0
High Seas Fish. Compliance Act	33	0.0	273.5	44.5	44.5
American Fisheries Act	16	0.0	4.5	0.0	0.0
Other Federal Regulations	10/12/30/32	51.0	156.0	11.5	11.5
State Regulations	24/25	30.0	136.5	12.5	12.5
General	36	7,230.5	31,527.4	5,785.8	5,785.8
Leave	38	2,599.5	10,365.0	3,519.5	3,519.5
Other - Sky Marshal Program	901	0.0	0.0	0.0	0.0
Other - Home Land Security	902	4.0	18.5	4.5	4.5
Other - Lacey Act Subjects	400	0.0	0.0	0.0	0.0
Other - MM Subjects	100/110	50.5	877.5	62.5	62.5
TOTAL		14,963.5	75,983.7	16,278.0	16,278.0

AGENT/OFFICER HOURS BY ACTIVITY
FY03 and FY04

Activity	Activity Codes	Hours			
		FY03 1st Quarter	FY03 Total	FY04 1st Quarter	FY04 Total
Investigations, Special Ops	02,03,04,05 20,21,22,23	2,334.0	10,731.3	3,511.8	3,511.8
Case Preparation	06	724.0	2,278.0	1,039.5	1,039.5
Complaint Response	07	218.0	652.3	108.5	108.5
Air Patrol	10/11	52.0	310.0	26.0	26.0
Surface Patrol	12-15	78.0	549.5	115.3	115.3
Inspection	17-19	157.0	848.5	97.8	97.8
VCP	30-34	209.0	1,031.5	402.0	402.0
General (Administrative, supervision, etc.)	40-48	5,232.0	18,417.5	4,964.5	4,964.5
Training	50-53	1,978.5	6,115.3	1,467.0	1,467.0
Leave	61-67	2,843.5	6,884.0	3,519.5	3,519.5
Maintenance	70-72	167.5	723.0	217.0	217.0
Travel	90	700.0	2,081.0	578.3	578.3
All Other	08	275.5	983.5	231.0	231.0
TOTAL		14,969.0	51,605.3	16,278.0	16,278.0

CASES OPENED

10/01/2003 - 12/31/2003

Total Number of Cases Opened = 189

Case Number	Cnt	Type	Regulation	Description of Violation
AK030117A	1	FM/V	679.5(a)(1)(iv)(A)	FAILURE TO PROPERLY MAINTAIN LOG BOOK
AK030118A	1	FM/I	679.7(f)(4)	IFQ HALIBUT OVERAGE
AK030119A	1	FM/V	679.7(f)(4)	IFQ HALIBUT OVERAGE
AK030120A	1	FH/W	IPHC18(2)(b)	FAILURE TO SEPARATE HALIBUT BY REGULATORY AREA
AK030120A	2	FM/W	679.4(b)(2)	FISHING IN FEDERAL WATERS WITHOUT FFP
AK030121A	1	FM/C	679.24 (a)	BUOYS NOT PROPERLY MARKED
AK030122A	1	FM/X	679.4(b)	NO CURRENT FFP ON BOARD
AK030123A	1	FM/V	679.5	FAILURE TO SUBMIT 2ND QTR DCPL
AK030124A	1	FM/V	679.4(4)	FAILURE TO PRESENT IFQ PERMIT FOR INSPECTION
AK030125A	1	FM/V	679.7(f)(4)	IFQ HALIBUT OVERAGE
AK030126A	1	FM/V	679.7(f)(4)	IFQ HALIBUT OVERAGE
AK030127A	1	FM/W	679.7(f)(1)	SUBMIT INACCURATE INFORMATION ON LANDING REPORT
AK030128A	1	FM/W	679.7(f)(1)	SUBMIT INACCURATE INFORMATION ON LANDING REPORT
AK030129A	1	FM/V	679.7(f)(1)	FAILURE TO SUBMIT REQUIRED IFQ SHIPMENT REPORT
AK030129A	2	FM/V	679.7(a)(10)	FAILURE TO SUBMIT REQUIRED PRODUCT TRANSFER REPORT
AK030130A	1	FM/W	679.4	FAILURE TO PRESENT IFQ PERMIT FOR INSPECTION
AK030131A	1	FM/X	679.7(a)(10)	FAIL TO COMPLY WITH RECORD KEEPING & REPORTING REQUIREMENTS
AK030132A	1	FM/X	679.7(a)(10)	FAIL TO COMPLY RECORD KEEPING AND REPORTING REQUIREMENTS
AK030133A	1	FM/I	679.7(f)(1)	FAIL TO SUBMIT OR SUBMIT INACCURATE INFO ON LANDING REPORT
AK030134A	1	FM/I	679.7(f)(1)	FAIL TO SUBMIT OR SUBMIT INACCURATE INFO ON ANY REPORT
AK030135A	1	FH/I	IPHCsec15(1)	FAILURE TO OBTAIN AN IPHC VESSEL CLEARANCE
AK030136A	1	FM/I	679.7(f)(1)	FAIL TO SUBMIT OR SUBMIT INACCURATE INFORMATION
AK031156A	1	FM/I	679.7(a)(18)	FAILURE TO OPERATE VMS WHEN REQUIRED
AK031161A	1	FM/V	679.7(a)(18)	FAIL TO OPERATE VMS
AK031162A	1	FM/V	679.7(a)(18)	FAILURE TO OPERATE VMS
AK031163A	1	FM/V	679.7(b)(2)	EXCEED THE 300K LB. POLLOCK TRIP LIMIT
AK031164A	1	FM/I	679.7(b)(2)	EXCEED THE 300K LB. POLLOCK TRIP LIMIT
AK031165A	1	FM/V	679.7(b)(2)	EXCEEDED 300K LBS. POLLOCK TRIP LIMIT
AK031167A	1	FM/V	679.7(b)(2)	EXCEEDED 300K LBS POLLOCK TRIP LIMIT
AK031168A	1	FM/V	679.7(b)(2)	EXCEEDED 300K LBS. POLLOCK TRIP LIMIT
AK031169A	1	FM/V	679.7(b)(2)	EXCEEDED 300K LBS. POLLOCK TRIP LIMIT
AK031170A	1	FM/I	5AAC28.087	DID NOT HAVE VMS UNIT TURNED ON WHEN REQUIRED
AK031171A	1	FM/V	679.7(b)(2)	EXCEED 300K LBS POLLOCK TRIP LIMIT

Case Number	Cnt	Type	Regulation	Description of Violation
AK031172A	1	FM/V	223.202(a)(2)(i)	TRANSIT THROUGH SSL 3NM NO TRANSIT ZONE
AK031173A	1	FM/V	679.7(a)(16)	SKATE BYCATCH OVERAGE
AK031174A	1	FM/V	679.4(k)(1)(i)	ENGAGE IN GROUND FISH FISHING IN GOA W/O VALID LLP ON BOARD
AK031175A	1	FM/W	679.7(f)(6)(ii)	LAND IFQ HALIBUT WITHOUT HIRED SKIPPER CARD
AK031176A	1	FM/V	679.7(a)(16)	PCOD BYCATCH OVERAGE
AK031177A	1	FM/V	679.7(a)(1)	NO FFP AS REQUIRED
AK031178A	1	FM/I	679.7(a)(18)	FAILURE TO OPERATE VMS
AK031178A	2	SR/I	5AAC28.087	FAILURE TO OPERATE VMS
AK031179A	1	FM/I	679.7(a)(18)	FAILURE TO OPERATE VMS
AK031179A	2	SR/I	5AAC 28.087	FAILURE TO OPERATE VMS
AK031182A	1	FM/I	679.7(a)(10)(iii)	SUBMIT INACCURATE INFORMATION
AK031183A	1	FM/I	679.7(a)(10)(iii)	SUBMIT INACCURATE INFORMATION
AK031184A	1	FM/I	679.7(a)(10)(iii)	SUBMIT INACCURATE INFORMATION
AK031185A	1	FM/I	679.7(a)(10)(iii)	SUBMIT INACCURATE INFORMATION
AK031187A	1	FM/I	679.22(b)(iii)	FISH FOR PCOD INSIDE SSL PROTECTION AREA
AK031187A	2	ES/I	223.202(a)(2)(i)	TRANSIT THROUGH 3 NM SSL NO TRANSIT ZONE
AK031188A	1	FM/I	679.7(a)(1)	FISH IN FEDERAL WATERS W/ O A FEDERAL FISHERIES PERMIT
AK032233A	1	MM/I	216.11(b)	ILLEGAL TAKE OF A MARINE MAMMAL (SEAL)
AK032234A	1	MM/I	216.11(b)	ILLEGAL TAKE OF A MARINE MAMMAL (SEA LION)
AK032235A	1	FM/V	679.7(a)(16)	ROCKFISH BYCATCH OVERAGE
AK032236A	1	FH/W	300.66(f)	FAILURE TO MARK SUBSISTENCE BOUY PROPERLY
AK032237A	1	FM/V	679.7(F)(4)	IFQ HALIBUT OVERAGE
AK032238A	1	FH/V	300.4(b)	SUBMIT INACCURATE INFO ON HALIBUT SUBSISTENCE PERMIT
AK032239A	1	MM/I	216.11(b)	UNLAWFUL TAKE OF A MARINE MAMMAL (SEA LION)
AK032240A	1	MM/I	216.11(b)	UNLAWFUL TAKE OF A MARINE MAMMAL (WHALE)
AK032241A	1	DS/I	46CFR	CHARTER W/O A USCG LICENSE
AK032243A	1	MM/I	216.11(b)	UNLAWFUL TAKE OF A MARINE MAMMAL (PORPOISE)
AK032244A	1	FH/V	300.4(b)	SUBMIT INACCURATE INFORMATION ON HALIBUT SUBSISTENCE PERMIT
AK032245A	1	FM/V	679.7(f)(4)	IFQ HALIBUT OVERAGE
AK032246A	1	FM/V	679.7(f)(4)	IFQ HALIBUT OVERAGE
AK032247A	1	FM/I	300.66(j)	RETAIN SUBSISTENCE HALIBUT USING A CHARTER VESSEL
AK032248A	1	FM/V	679.7(f)(4)	IFQ SABLEFISH OVERAGE
AK032249A	1	FM/V	679.7(a)(16)	ROCKFISH BYCATCH OVERAGE
AK032251A	1	FM/C	679.7(f)(15)	PROCESS FISH ON BOARD VESSEL W/ UNUSED HALIBUT B QUOTA SHARE
AK032251A	1	FM/C	679.7(f)(15)	PROCESS FISH ON BOARD VESSEL W/ UNUSED HALIBUT B QUOTA SHARE
AK032252A	1	FM/V	679.7(a)(16)	ROCKFISH BYCATCH OVERAGE (DEMERSAL SHELF)

Case Number	Cnt	Type	Regulation	Description of Violation
AK032253A	1	FM/V	679.7(a)(16)	ROCKFISH BYCATCH OVERAGE (DEMERSAL SHELF)
AK032254A	1	FM/V	679.7(a)(16)	ROCKFISH BYCATCH OVERAGE (DEMERSAL SHELF)
AK032255A	1	SR/V	State Regs	LINGCOD BYCATCH OVERAGE
AK032256A	1	FM/V	679.7(a)(16)	ROCKFISH BYCATCH OVERAGE (SHORTRAKER AND ROUGHEYE)
AK032257A	1	FM/V	679.7(a)(16)	ROCKFISH BYCATCH OVERAGE (SHORTRAKER & ROUGHEYE)
AK032258A	1	FM/V	679.7(a)(16)	ROCKFISH BYCATCH OVERAGE (SHORTRAKER & ROUGHEYE)
AK032259A	1	FM/V	679.7(a)(16)	ROCKFISH BYCATCH OVERAGE (DEMERSAL SHELF)
AK032260A	1	FM/V	679.7(a)(16)	ROCKFISH BYCATCH OVERAGE (DEMERSAL SHELF)
AK032261A	1	FM/V	679.7(a)(16)	ROCKFISH BYCATCH OVERAGE (SHORTRAKER & ROUGHEYE)
AK032262A	1	FM/V	679.7(a)(16)	ROCKFISH BYCATCH OVERAGE
AK032263A	1	FM/V	679.7(a)(16)	ROCKFISH BYCATCH OVERAGE
AK032264A	1	FM/V	679.7(a)(16)	ROCKFISH BYCATCH OVERAGE (DSR)
AK032265A	1	FM/V	679.7(a)(16)	ROCKFISH BYCATCH OVERAGE (SHORTRAKE, ROUGHEYE, YELLOWEYE)
AK032266A	1	FM/V	679.7(a)(16)	ROCKFISH BYCATCH OVERAGE
AK032267A	1	FM/V	679.7(a)(16)	ROCKFISH BYCATCH OVERAGE (DSR)
AK032268A	1	FM/V	679.7(a)(16)	ROCKFISH BYCATCH OVERAGE
AK032269A	1	FM/V	679.7(a)(16)	ROCKFISH BYCATCH OVERAGE
AK032270A	1	FM/V	679.7(a)(16)	ROCKFISH BYCATCH OVERAGE
AK032271A	1	FM/V	679.7(a)(16)	ROCKFISH BYCATCH OVERAGE
AK032272A	1	FM/V	679.7(a)(16)	ROCKFISH BYCATCH OVERAGE (DSR)
AK032273A	1	FM/V	679.7(a)(16)	ROCKFISH BYCATCH OVERAGE (DSR)
AK032274A	1	FM/V	679.7(a)(16)	ROCKFISH BYCATCH OVERAGE
AK032275A	1	FM/V	679.7(a)(16)	ROCKFISH BYCATCH OVERAGE
AK032276A	1	FH/I	300.66(f)	SUBSISTENCE HALIBUT FISHING WITH ILLEGAL GEAR
AK032276A	2	FH/I	300.66(k)	FILET, MUTILATE OR DISFIGURE HALIBUT
AK032277A	1	FH/V	300.66(e)	
AK032278A	1	FM/V	679.7(a)(16)	ROCKFISH BYCATCH OVERAGE
AK032279A	1	FM/W	679.7(a)(18)	NOT OPERATING VMS WHEN REQUIRED
AK032280A	1	FM/V	679.7(a)(16)	ROCKFISH BYCATCH OVERAGE (DSR)
AK032281A	1	FH/W	IPHC 16(3)(b)	FAIL TO UPDATE LOG W/ IN 24 HOURS AFTER EACH FISHING DAY
AK033132A	4	FM/W	679.7(a)(10)(i)	FAIL TO COMPLY W/ REQUIREMENTS IN 679.5
AK033135A	1	FM/V	679.7(f)(4)	IFQ HALIBUT OVERAGE
AK033136A	1	FH/X	IPHC19(4)	ALL SETLINE BUOYS NOT PROPERLY MARKED
AK033137A	1	FM/W	679.7(a)(18)	OPERATE VESSEL W/O REQUIRED OPERABLE VMS
AK033138A	1	FM/W	679.4(d)(2)(ii)	IFQ PERMIT HOLDER NOT ON VESSEL DURING ENTIRE FISHING TRIP
AK033139A	1	FM/W	679.4(d)(6)(i)	NO IFQ PERMIT ON BOARD
AK033140A	1	FM/C	679.7(f)(4)	IFQ OVERAGE
AK033142A	1	FM/W	679.24(e)(3)	FAILURE TO EMPLOY SEABIRD AVOIDNCE MEASURES

Case Number	Cnt	Type	Regulation	Description of Violation
AK033142A	2	FM/W	679.4(d)(2)(ii)	IFQ PERMIT HOLDER NOT AT LANDING SITE
AK033143A	1	FM/W	679.7(a)(2)	RETENTION OF PROHIB SPECIES (ROCKFISH)
AK033144A	1	MM/C	216.11(b)	UNLAWFUL TAKE OF A MARINE MAMMAL
AK033145A	1	MM/C	216.11(b)	UNLAWFUL TAKE OF A MARINE MAMMAL
AK033146A	1	FH/V	IPHCsec13(2)	38 POUNDS OF HALIBUT FILLETS IN FREEZER
AK033146A	2	FM/X	679.4(b)(9)(i)	FFP NOT ON BOARD
AK033146A	3	FM/W	679.5(I)(2)(I)(d)	PERMIT HOLDER LEFT THE LANDING SITE DURING OFFLOAD
AK033146A	4	FM/I	679.28(f)(6)	VESSEL OPERATING WITH PCOD ENDORCEMENT WITH VMS UNIT OFF
AK033146A	5	SR/V	AS16.05.480(a)	2 CREWMEN DIDNT HAVE STATE CREWMANS LICENSE
AK033147A	1	ES/V	223.202(a)	TRANSIT THROUGH 3NM SSL NO TRANSIT ZONE
AK033148A	1	FM/W	679.5(l)(3)(iii)	FAIL TO SUBMIT ACCURATE INFORAMTION ON IFQ LANDING REPORT
AK034129A	1	FM/I	679.50(g)(1)(vi)	FAIL TO NOTIFY OBSERVER 15 MIN PRIOR TO BRING FISH ON BOARD
AK034130A	1	FM/I	679.50(g)(1)(vi)	FAIL TO NOTIFY OBSERVER 15 MIN PRIOR TO BRING FISH ON BOARD
AK034130A	2	FM/I	679.50(g)(1)(vi)	FAIL TO NOTIFY OBSERVER 15 MIN PRIOR TO BRING FISH ON BOARD
AK034131A	1	FM/I	679.7(a)(10)(iii)	SUBMIT INACCURATE INFORMATION
AK034132A	1	FM/I	679.7(g)(5)	HARASS AN OBSERVER BY HOSTILE CONDUCT
AK034132A	2	FM/I	679.7(a)(13)(ii)	FAIL TO RELESE HALIBUT PROPERLY
AK034133A	1	FM/I	679.7(a)(10)(i)	FAIL TO COMPLY R & R REQUIREMENTS
AK034133A	2	FM/I	679.7(a)(10)(iii)	SUBMIT INACCURATE INFORMATION
AK034135A	1	DS/C	18USC1001	MAKE FRAUDULENT STATEMENT
AK034136A	1	FM/I	679.7(a)(10)(i)	FAIL TO COMPLY WITH R&R REQUIREMENTS
AK034137A	1	FM/I	679.7(a)(2)	FISHING CONTRARY TO NOTICE OF IN-SEASON CLOSURE
AK034138A	1	FM/I	679.27(c)(2)	FAIL TO RETAIN IR/IU SPECIES ON BOARD
AK034139A	1	FM/I	679.79(a)((13)(iv)	STRIPPING HALIBUT FROM THE HOOK
AK034139A	2	FM/I	679.7(a)(13)(iii)	PUNCTURING HALIBUT WITH A GAFF HOOK
AK034140A	1	FM/I	679.7(g)(2)	BIAS OBSERVER SAMPLING
AK034140A	2	FM/I	679.7(a)(13)(ii)	PUNCTURING OR GAFFING HALIBUT
AK034141A	1	FM/I	679.50(g)(1)(iii)(a)	FAIL TO ALLOW OBSERVER USE OF COMMUNICATION EQUIPMENT
AK034142A	1	FM/I	679.21(b)(2)	FAIL TO RTN PROHIB SPECIES TO SEA WITH A MINIMUM OF INJURY
AK034143A	1	FM/I	679.7(g)(1)	INTIMIDATING AN OBSERVER
AK034144A	1	FM/I	679.50(g)(1)(vi)	FAIL TO NOTIFY OBSERVER 15 MIN PRIOR TO BRING FISH ON BOARD
AK034145A	1	FM/I	679.7(a)(10)(iii)	SUBMIT INACCURATE INFORMATION
AK034146A	1	FM/I	679.50(g)(1)(vi)	FAIL TO NOTIFY OBSERVER 15 MIN PRIOR TO BRING FISH ON BOARD
AK034147A	1	FM/I	679.7(a)(12)	POSSESSION OF PROHIB SPECIES
AK034148A	1	FM/I	679.27(d)(2)	FAIL TO RETAIN IR/IU SPECIES ON BOARD
AK034149A	1	FM/I	679.7(g)(1)	INTIMIDATING AN OBSERVER
AK034149A	2	FM/I	679.7(a)(14)(iv)(B)(1)	FAIL TO RECORD SUBSTANSIVE INFO INTO DFL W/IN 2 HOURS

Case Number	Cnt	Type	Regulation	Description of Violation
AK034149A	3	FM/I	679.7(a)(10)(iii)	SUBMIT INACCURATE INFORMATION
AK034150A	1	FM/I	679.7(g)(1)	BIAS OBSERVER SAMPLING PROCEDURES
AK034151A	1	FM/I	679.27(c)(2)	FAIL TO RETAIN IR/IU SPECIES ON BOARD
AK034151A	2	FM/I	679.50(g)(1)(vi)	FAIL TO NOTIFY OBS W/ IN 15 MIN OF BRING FISH ON BOARD
AK034152A	1	FM/I	679.21(b)(2)(ii)	FAIL TO RTN PROHIB SPECIES TO SEA W/ MINIMUM OF INJURY
AK034153A	1	FM/I	679.50(i)(2)(vi)(B)	DEPLOY OBSERVER BEYOND 90 DAYS IN A SINGLE DEPLOYMENT
AK034154A	1	FM/I	679.7(a)(13)(iii)	PUNCTURING OR GAFFING HALIBUT
AK034155A	1	FM/I	679.27(c)(2)	FAIL TO RETAIN IR/IU SPECIES ON BOARD
AK034156A	1	FM/I	679.27(c)(2)	FAIL TO RETAIN IR.IU SPECIES ON BOARD
AK034157A	1	FM/I	679.27(c)(2)	FAIL TO RETAIN IR/IU SPECIES ON BOARD
AK034158A	1	FM/I	679.50(g)(1)(iii)	FAIL TO TRANSMIT OBSERVER DATA
AK034159A	1	FM/I	679.50(i)(2)(vii)(B)	DEPLOY OBSERVER MORE THAN 90 DAYS IN A SINGLE DEPLOYMENT
AK034160A	1	DS/I	679.50(g)(1)(iii)(A)	FAIL TO MAINTAIN SAFE CONDITIONS ON THE VESSEL
AK034161A	1	FM/I	679.27(c)(2)	FAIL TO RETAIN IR/IU SPECIES ON BOARD
AK034162A	1	FM/I	679.27(c)(2)	FAIL TO RETAIN IR/IU SPECIES ON BOARD
AK034163A	1	FM/I	679.21(b)(21)(iii)	FAIL TO RETURN PROHIB SPECIES TO SEA W/ IN MINIMUM OF INJURY
AK034164A	1	FM/W	679.7(g)(1)	SEXUAL HARRASMENT OF AN OBSERVER
AK034165A	1	FM/I	679.7(a)(10)(iii)	SUBMIT INACCURATE INFORMATION
AK034166A	1	FM/I	6749.7(a)(10)(iii)	SUBMIT INACCURATE INFORMATION
AK034167A	1	FM/I	679.21(b)(2)(ii)	FAIL TO RETURN PROHIB SPECIES TO SEA W/IN MINIMUM OF INJURY
AK034168A	1	FM/I	679.7(g)(1)	INTIMIDATING AN OBSERVER
AK034168A	2	FM/I	679.7(g)(3)	TAMPER/DESTROY OBSERVERS COLLECTED SAMPLE
AK034169A	1	MM/I	216.11(b)	UNLAWFUL TAKE OF A MARINE MAMMAL
AK034170A	1	FR/V	USFWS Regs	SEABIRD HARRASMENT
AK034171A	1	FM/I	679.21(b)(2)(ii)	FAIL TO RETURN PROHIB SPECIES TO SEA W/IN MINIMUM OF INJURY
AK034172A	1	FM/I	679.7(a)(14)(ii)	CRABS ON BOARD DURING POLLOCK FISHERY USING TRAWL GEAR
AK034173A	1	FM/V	679.27(e)	DISCARD IR/IU PRODUCTS AT SEA
AK034174A	1	FM/I	679.5(a)(14)(iv)(B)(1)	FAIL TO RECORD SUBSTANSIVE INFO W/IN 2 HRS OF GEAR RETR
AK034175A	1	FM/I	679.50(g)(1)(i)	FAIL TO PROVIDE ADEQUATE ACCOMODATIONS
AK034176A	1	FM/I	679.63(a)(1)	FAIL TO WEIGH ENTIRE CATCH ON APPROVED SCALE
AK034177A	1	FM/I	679.5(a)(14)(iv)(B)(1)	FAIL TO MAKE SUBSTANSIVE ENTRIES W/IN 2HRS OF GEAR RETR
AK034178A	1	FM/I	679.32(4)(vi)	FAIL TO WEIGH EACH HALL SEPARATELY
AK034179A	1	FM/I	679.50(g)(2)(ii)	FAILURE TO NOTIFY OBSERVER OF RECEIPT OF GROUND FISH
AK034180A	1	FM/I	679.7(d)(19)	DISCARD CDQ SPECIES BEFORE TL CATCH WEIGHED ON APPROVE SCALE
AK034181A	1	FM/I	679.32(c)(ii)	FAIL TO SORT CDQ SPECIES BY SPECIES OR SPECIES GROUP
AK034182A	1	FM/I	679.21(b)(2)(ii)	FAIL TO RTN PROHIB SPECIES TO SEA W/ IN A MINIMUM OF INJURY
AK034183A	1	FM/I	679.27(d)	DISCARDING IR/IU SPECIES PRIOR TO BRINGING ON BOARD

Case Number	Cnt	Type	Regulation	Description of Violation
AK034184A	1	FM/I	679.7(d)(25)	FAIL TO COMPLY WITH REQUIREMENTS OF A CDP
AK034185A	1	FM/I	679.7(a)(13)(iv)	STRIPPING HALIBUT FROM THE HOOK
AK034186A	1	FM/I	679.32(c)(4)(iv)	FAIL TO WEIGH EACH HAUL SEPARATELY
AK034187A	1	FM/I	679.50(g)(1)(vi)	FAIL TO NOTIFY OBSERVER 15 MIN PRIOR TO BRING FISH ON BOARD
AK034188A	1	FM/I	679.7(g)(2)	BIAS OBSERVER SAMPLING PROCEDURES
AK034189A	1	FM/I	679.7(g)(1)	SEXUALLY HARASS AN OBSERVER
AK034189A	2	FM/I	679.50(g)(1)(i)	FAIL TO PROVIDE ADEQUATE ACCOMODATIONS
AK034190A	1	FM/I	679.21(b)(2)(ii)	FAIL TO RTN PROHIB SPECIES TO SEA W/IN A MINIMUM OF INJURY
AK034190A	2	FM/V	679.7(a)(10)(iii)	SUBMIT INACCURATE INFORMATION
AK034191A	1	FM/I	679.7(a)(14)(iv)(B)(1)	FAIL TO REC SUBSTANSIVE INFO ON DCPL W/ IN 2 HRS OF GEA
AK034191A	2	FM/V	679.7(a)(13)(iv)	STRIPPING HALIBUT FROM THE HOOK
AK034191A	3	FM/I	679.24(e)(2)(ii) & (iii)	FAIL TO COMPLY WITH SEABIRD AVOIDANCE MEASURES
AK034192A	1	FM/I	679.7(g)(1)	INTIMIDATING AN OBSERVER
AK034193A	1	FM/I	679.7(g)(6)	FISH WITH OUT OBSERVER COVERAGE
AK034194A	1	FM/I	6789.7(g)(6)	FISH WITH OUT OBSERVER COVERAGE
AK034195A	1	FM/I	679.50(g)(1)(vi)	FAIL TO NOTIFY OBSERVER 15 MIN PRIOR TO BRING FISH ON BOARD
AK034196A	1	FM/I	18USC1001	MAKING FALSE STAEMENTS
AK034197A	1	FM/I	679.7(a)(13)(iv)	STRIPPING HALIBUT FROM THE HOOK
AK034198A	1	FM/I	679.50(g)(1)(vi)	FAIL TO NOTIFY OBSERVER 15 MIN PRIOR TO BRING FISH ON BOARD
AK034199A	1	FM/I	679.7(d)(20)	FAIL LTO WEIGH CATCH ON AN APPROVED SCALE
AK034202A	1	FM/I	679.50(g)(1)(vi)	FAIL TO NOTIFY OBSERVER 15 MIN PRIOR TO BRING FISH ON BOARD
AK034203A	1	FM/I	679.5(a)(10)(vii)(B)	FAIL TO RECORD DISCARD INFORMATION BY NOON THE NEXT DAY
AK034204A	1	FM/I	679.7(g)(3)	TAMPER, DISCARD DESTROY OBSERVER EQUIP/ PERSONAL EFFECTS
AK035116A	1	FM/W	679.5(I)(1)(iii)	SUBMIT INACCURATE INFORMATION ON IFQ PNOL
AK035118A	1	FR/C	USF&W REGS	POSSESSION OF ILLEGAL EAGLE PARTS
AK035119A	1	SR/I	STATE REGS	SIGNATURE DOES NOT MATCH GEAR CARD NAME
AK035120A	1	FM/I	679.7(a)(16)	THORNYHEAD ROCKFISH OVERAGE
AK035121A	1	FR/V	US FISH & WILDLIFE REGS	ILLEGAL TAKE OF EAGLE FEATHERS
AK035122A	1	ES/V	223.202(a)(2)(1)	TRANSIT THROUGH 3NM SSL NO TRANSIT ZONE
AK035123A	1	FM/W	679.5(1)(2)(i)(a)	FAIL TO MAKE IFQ LANDING W/ IN 6 HOURS OF ALL FISH LANDED
AK035124A	1	ES/V	223.202(a)((2)(i)	TRANSIT THROUGH SSL 3NM NO TRANSIT ZONE
AK035125A	1	FM/I	679.7(d)(5)	CDQ POLLOCK OVERAGE

CATCH SEIZURES

10/01/2003 - 12/31/2003

Total Number of Catch Seizures = 11

Case Number	Date of Seizure	Vessel	Description of Seizure	Value of Seizure
AK030118A	03-OCT-2003	EVE	PROCEEDS FROM SALE OF IFQ HALIBUT OVERAGE	117.82
AK030119A	15-OCT-2003	SEA QUAIL	PROCEEDS FROM SALE OF IFQ HALIBUT	1,269.20
AK030125A	13-NOV-2003	LONE FISHERMAN	PROCEEDS FROM SALE OF IFQ HALIBUT	1,515.54
AK030126A	10-NOV-2003	JANNA LEE	PROCEEDS FROM SALE OF IFQ HALIBUT	383.60
AK032237A	06-OCT-2003	CAROLE D	PROCEEDS FROM SALE OF IFQ HALIBUT OVERAGE	1,768.19
AK032242A	07-OCT-2003	MONARCH	PROCEEDS FROM SALE OF IFQ HALIBUT	2,882.62
AK032245A	24-OCT-2003	EVE	PROCEEDS FROM SALE OF IFQ HALIBUT	1,285.74
AK032246A	30-OCT-2003	JUBILEE	PROCEEDS FROM SALE OF IFQ HALIBUT	64,102.00
AK032248A	04-NOV-2003	JAY LOUISE	PROCEEDS FROM SALE OF IFQ SABLEFISH	486.83
AK033135A	09-OCT-2003	OLD SQUAW	PROCEEDS FROM SALE OF IFQ HALIBUT	.00
AK033143A	10-NOV-2003	GULF MAIDEN	119 POUNDS OF SHORTRAKER/ROUGHEYE ROCKFISH	.00
Total:				\$73811.54

CASES FORWARDED TO GENERAL COUNSEL FOR FURTHER ACTION
10/01/2003 -12/31/2003

Total Number of Cases Forwarded to GC = 33

Case Number	Cnt	Regulation	Description of Violation	Seized Property
AK022099A	1	679.7(f)(12)	NO IFQ PNOL	
AK022099A	2	679.7(f)(1)	FAIL TO SUBMIT INFORMATION ON IFQ LANDING REPORT	
AK022108A	1	224.103(b)(1)	APPROACH HUMPBACK WHALE WITHIN 100 YARDS	
AK030088A	1	679.7(f)(4)	IFQ SABLEFISH OVERAGE	
AK030110A	1	679.7(f)(4)	IFQ SABLEFISH OVERAGE	3,316.17
AK030117A	1	679.5(a)(1)(iv)(A)	FAILURE TO PROPERLY MAINTAIN LOG BOOK	
AK030124A	1	679.4(4)	FAILURE TO PRESENT IFQ PERMIT FOR INSPECTION	
AK030129A	1	679.7(f)(1)	FAILURE TO SUBMIT REQUIRED IFQ SHIPMENT REPORT	
AK030129A	2	679.7(a)(10)	FAILURE TO SUBMIT REQUIRED PRODUCT TRANSFER REPORT	
AK031072A	1	679.7(a)(18)	NOT OPERATING A VMS WHILE HAVING VMS ENDORSEMENT	
AK031072A	2	679.7(a)(10)	FAILING TO MAINTAIN A DFL	
AK031074A	1	679.28(f)(6)	FAIL TO OPERATE VMS WHEN REQUIRED	
AK031074A	2	679.28(f)(3)(ii)	FAIL TO RECEIVE CONFIRMATION PRIOR TO ENGAGING IN OPERATIONS	
AK031084A	1	679.7(a)(16)	PCOD BYCATCH OVERAGE	
AK031084A	2	679.7(a)(18)	FAILURE TO COMPLY WITH VMS REQUIREMENTS	
AK031085A	1	679.7(a)(16)	PCOD BYCATCH OVERAGE	
AK031090A	1	679.7(a)(16)	PACIFIC COD BYCATCH OVERAGE	
AK031091A	1	679.7(a)(16)	PACIFIC COD BYCATCH OVERAGE	
AK031093A	1	223.202(a)(2)(i)	TRANSIT THROUGH 3NM SSL NO TRANSIT ZONE	
AK031143A	2	679.7(a)(1)(i)	FISH IN BSAI/GOA W/O A VALID FFP	
AK031147A	1	679.7(a)(18)	FAILURE TO OPERATE VMS WHEN REQUIRED	
AK031148A	1	679.7(a)(2)	CONDUCT FISHING CONTRARY TO IN-SEASON ACTION	340.30
AK032183A	1	679.7(f)(5)	TRANSPORT IFQ TAKEN IN VIOLATION OF IFQ LANDING REQUIREMENTS	
AK032211A	1	679.7(f)(12)	FAILURE TO WAIT 3 HOURS AFTER PNOL TO BEGIN LANDING	
AK032212A	1	679.7(f)(1)	FAILED TO SUBMIT SEVERAL IFQ SHIPMENT REPORTS	
AK032238A	1	300.4(b)	SUBMIT INACCURATE INFO ON HALIBUT SUBSISTENCE PERMIT	
AK032244A	1	300.4(b)	SUBMIT INACCURATE INFORMATION ON HALIBUT SUBSISTENCE PERMIT	
AK033011A	1	679.7(f)(3)(i)	UNLAWFULLY RETAINED IFQ HALIBUT FROM REG. AREA 3A	
AK033011A	2	679.7(f)(10)	TRANSFER OF IFQ FISH TO OTHER THAN IFQ REGISTERED BUYER	
AK033011A	3	679.7(f)(5)	RECEIPT OF IFQ FISH BY OTHER THAN IFQ REGISTERED BUYER	
AK033011A	4	679.7(f)(3)(i)	SUBMIT FALSE INFORMATION ON LANDING REPORT	

Case Number	Cnt	Regulation	Description of Violation	Seized Property
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AK033013A	1	679.7(f)(5)	RECEIPT OF IFQ FISH BY OTHER THAN REGISTERED BUYER	
AK033013A	4	600.725(i)	INTENTIONALLY PROVIDE FALSE INFORMATION OF NMFS OFFICER	
AK033015A	1	679.7(f)(5)	RECEIPT OF IFQ FISH BY OTHER THAN REGISTERED BUYER	
AK033015A	2	679.7(f)(10)	TRANSFER OF IFQ FISH TO OTHER THAN IFQ REGISTERED BUYER	
AK033015A	3	679.7(f)(12)	ILLEGAL TRANSFER OF IFQ HALIBUT HARVESTED BY ANOTHER	
AK033015A	4	600.725(i)	INTENTIONALLY SUBMIT INACCURATE INFO ON IFQ HALIBUT RPT	
AK033093A	1	223.202(a)	TRANSIT THROUGH SSL ROOKERY 3 NM NO TRANSIT ZONE	
AK034107A	1	679.50(g)(1)(iii)(C)	FAIL TO ENSURE COMMUNICATION EQUIPMENT IS OPERATIONAL	
AK034107A	2	679.50(g)(1)(vi)	FAIL TO NOTIFY OBSERVER 15 MIN PRIOR TO BRING FISH ON BOARD	
AK034110A	1	679.50(g)(1)(ii)(B)(1)	FAIL TO HAVE CURRENT CFVSE DECAL ON BOARD	
AK034111A	1	679.50(g)(1)(vi)	FAIL TO NOTIFY OBSERVER 15 MIN PRIOR TO BRING FISH ON BOARD	
AK035066A	1	679.74(a)(18)	FAIL TO COMPLY WITH VMS REQUIREMENTS IN 679.28(F)	
AK035093A	1	50CFR223.202(a)(2)(i)	TRANSIT THROUGH SSL ROOKERY 3NM NO TRANSIT ZONE	
AK035097A	1	223.202(a)(2)(i)	TRANSIT THROUGH SSL ROOKERY NO TRANSIT ZONE	
AK035110A	1	679.42(b)(1)	VESSEL IFQ CAP EXCEEDED	

OTHER CASE ACTIONS
10/01/2003 - 12/31/2003

Number of Cases	Action	Summary Settlement Amount	NOVA Amount	Final Penalty	Forfeited Proceeds and/or Property
3	Declined by ENF				
18	Dismissed by ENF; no violation				
23	Closed by ENF due to lack of evidence				
16	Closed by ENF due to lack of resources				
6	Transferred to another Region or agency				
13	Verbal Warning Issued				
1	Case Closed by COPPS Letter				
19	Written warning issued by ENF				
0	Written warning issued by GC				
2	Written warning (FIX-IT) issued by F/EN				
4	Written warning (FIX-IT) issued by USCG				
18	Property forfeited or abandoned				\$39,521.47
7	Summary Settlement issued by field or USCG	\$33,961.32			
18	Summary settlement paid				
1	Case Merged				
23	Intel only				
0	Dismissed by GC				
0	Sent to GC				
8	NOVA issued		\$221,926.01		
12	Settlement agreement signed by GC			\$118,525.00	
14	All Settlement Conditions Satisfied				
0	Extension of Time Granted to Respondent				
0	Return by GC to F/EN for further investigation				
3	Prosecution Declined by GC				
0	Req for hearing on NOVA,NOPS, or NIDP				
4	Suspended; case remains open				
1	Settlement Conditions Not Met; Case Closed				
214	Total				