

National Marine Fisheries Service  
Office for Law Enforcement

ALASKA ENFORCEMENT DIVISION

**QUARTERLY REPORT**



First Quarter FY03  
October 1 - December 31, 2002

To report fisheries violations,  
call our National Hotline at 1-800-853-1964.

<http://www.nmfs.noaa.gov/ole/Alaska/index.html>

ALASKA ENFORCEMENT DIVISION

**Quarterly Report**  
**October 1, 2002 - December 31, 2002**

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## SIGNIFICANT ACTIONS

### A. Quarterly Milestone Activity

No milestone activity this quarter.

### B. Major Cases

#### *Unlawful approach within 3NM SSL Rookery*

The owner of the F/V LINDY II was issued a NOVA for \$3,000 for approaching within 3 nautical miles of the Marmot Island Steller sea lion rookery. This violation was detected using VMS technology.

#### *Offloading Halibut/Sablefish between 1800 AND 0600 w/o authorization*

On December 11, 2002, James Hayden and FV CLYDE, LLC, operator and owners of the F/V CLYDE paid a \$22,000 penalty in order to settle a Notice of Violation and Assessment for illegally off-loading 527 pounds of halibut fillets and 189 pounds of sablefish fillets outside of legal landing hours on September 6, 2002, in Kodiak, Alaska. The F/V CLYDE also exceeded the IFQ vessel cap for the maximum amount of IFQ halibut that may be harvested in one season by a single vessel. Mr. Hayden started landing the IFQ fish at 9 p.m., failed to report it to NOAA Fisheries as required, and failed to debit the poundage from his IFQ allocation. The fish were apparently intended to be used for personal consumption, known as "homepacks." Keeping commercially harvested IFQ fish for personal use is permissible if the fish are reported and debited as required by IFQ regulations. By failing to debit these "homepack" fish from his IFQ allocation, Hayden surreptitiously kept more of his IFQ allocation available for later use.

#### *Observer interference/harassment*

Last quarter the owner/operator of the F/V REBECCA IRENE was issued a \$360,000 NOVA and a Notice of Permit Sanction (sanctions six different federal permits for 90 days) for directing the crew of the vessel to interfere with observer sampling procedures of the observer by removing halibut from the deck prior to the observer's sampling. A tentative hearing date is scheduled for March 2003.

A similar case on a different vessel is being represented to the Federal Grand Jury. The term of the original Grand Jury expired and the case is being reintroduced. This case is scheduled to be presented for indictment during the February session of the Grand Jury. The U.S. Attorney's Office previously issued a pre-charge offer to both defendants proposing that the defendants each plead guilty to one felony count of Conspiracy to violate the Lacey Act (16 U.S.C. 3372(d) and 3373(d)(3)(A)(ii)) in relation to the submission of false records to the National Marine Fisheries Service.

We have reached a tentative settlement agreement in the DoNovo sexual harassment case. If accepted the settlement involves a civil fine of \$40,00, agreement by the Coast Guard not to proceed against the skippers license, \$4,815.00 in restitution to the victim, and pay to put himself through "sensitivity" training. The agreement should be signed shortly.

A 202-count case was referred for civil prosecution. Allegations against the trawler include inadequate observer accommodations, sample biasing, failure to render assistance, observer interference, creating a hostile environment, improper release of fish, reporting and record keeping violations, and failure to notify. At quarter's end, a draft NOVA well in excess of \$100,000 was being reviewed.

## **C. COPPS**

### ***Fish Expo***

The Alaska Enforcement Division sent two Enforcement Officers to Fish Expo in Seattle, Washington. The AED and North West Enforcement Division shared a booth during this major event. Commercial fishing was the major theme and provided an excellent opportunity for enforcement agents and officers to meet industry representatives away from the vessels and plants. Five separate reporting and record keeping seminars were conducted in the Seattle area by our officers, the U.S. Coast Guard, and Sustainable Fisheries.

### ***Cook Inlet Beluga Whale Protection***

The 2002 Cook Inlet Beluga Whale Protection effort concluded. We devoted more than 300 hours to crime prevention and related COPPS efforts. The year end report was completed and is available for review.

In response to learning from the Restricted Access Division that a significant number of vessels had not made the required designations on their License Limitation Permit (LLP). We initiated efforts to promote voluntary compliance within the crab and groundfish fleet to have the LLPs amended. We developed a flyer and e-mailed it to all processors in Western Alaska, with a request to post in their plants. The Alaska Dept. of Fish and Game office in Dutch Harbor assisted with this notification during crab vessel tank checks. The flyer was also sent to the Alaska Crab Coalition, who forwarded the notice to all members in their newsletter, as well as faxing the flyer to several crab industry suppliers.

We conducted courtesy inspections of the five federally permitted processors in Cordova, AK. The processors were assisted in completing record keeping and reporting requirements for the end of 2002.

## **D. VMS**

This quarter, the VMS staff in Juneau spent much time field testing VMS units for various vendors who wanted their units approved for use in the Alaska fisheries. Two systems were successfully tested and the results sent to HQ's for consideration for approval. A total of 33 investigations resulting from VMS data has been initiated since the program began on June 10, 2002. Ten of these began in the fourth quarter. At quarters end 378 vessels were confirmed VMS users, an increase of 67 from the last quarter. Further increases are expected early in the next quarter.

## **E. OTHER ITEMS OF INTEREST**

### ***Infrastructure***

We completed our biennial Divisional in-service in December. Appropriate documentation was completed.

**NUMBER OF CASES OPENED BY INVESTIGATION TYPE**  
**FY02 and FY03**

Investigation Type	FY02 1st Quarter	FY02 Total	FY03 1st Quarter	FY03 Total
American Fisheries Act	0	1	0	0
Documentation/Safety	0	9	7	7
ESA	2	18	6	6
Lacey Act	1	2	6	6
Magnuson Act	177	741	106	106
MMPA	5	56	10	10
North Pacific Halibut Act	3	27	3	3
Non-Fisheries Federal Regs	11	27	3	3
State Regulations	8	46	1	1
Other Fisheries Investigation	0	5	0	0
International Whaling Commission	0	1	0	0
<b>TOTAL</b>	<b>209</b>	<b>935</b>	<b>145</b>	<b>145</b>

**COMPLAINT ACTION**  
10/01/2002 - 12/31/2002

Investigation Type	No. Complaints Opened	No. Complaints Unfounded
Magnuson Act (MFCMA)	2	
Marine Mammal (MMPA)	2	1
Endangered Species Act (ESA)		
North Pacific Halibut Act		
Documentation/Safety	7	1
Other ( <i>list</i> ) - State Regs		
Other ( <i>list</i> ) - Non-Fisheries Regs		
Other ( <i>list</i> ) - Other Federal Regs		
Lacey Act	1	1
<b>TOTAL</b>	<b>12</b>	<b>3</b>

Number of Complaints Assigned CAR's	12
Number of Complaints Upgraded	9
Unfounded Complaints Assigned CAR's	3
Number of Complaints not Assigned CAR's	0
Number of Hotline Complaints	0

**AGENT/OFFICER HOURS BY PROGRAM**  
**FY02 and FY03**

Program	Program Codes	Hours			
		FY02 1st Quarter	FY02 Total	FY03 1st Quarter	FY03 Total
Magnuson Act					
IFQ	6793	2,126.5	8,340.0	1,766.5	1,766.5
Alaska EEZ General	679	2,196.0	10,812.8	2,539.5	2,539.5
BSA Groundfish	6791	32.5	32.5	0.0	0.0
GOA Groundfish	6792	2.0	24.0	53.5	53.5
MFCMA General	31	21.0	107.5	12.0	12.0
MFCMA Subjects	600/660	14.0	115.0	18.0	18.0
U.S. vessels fishing in Russia	299	7.0	7.0	0.0	0.0
Scallops	6795	0.0	0.0	0.0	0.0
CDQ	6794	0.0	0.0	0.0	0.0
Research Plan	677	0.0	0.0	0.0	0.0
Salmon - Alaska	674	0.0	1.0	0.0	0.0
<b>Totals:</b>		4,399.0	19,439.8	4,389.5	4,389.5
Lacey Act	20/21/22/23	0.0	45.5	162.5	162.5
Marine Mammal Protection Act	1	183.5	1,609.0	310.0	310.0
North Pacific Halibut Act	3	18.5	356.5	13.5	13.5
North Pacific Fisheries Act	7	73.0	75.0	0.0	0.0
Endangered Species Act	2/200-210	111.0	592.0	82.5	82.5
High Seas Salmon	11	21.0	226.5	40.0	40.0
High Seas Fish. Compliance Act	33	0.0	178.5	0.0	0.0
American Fisheries Act	16	0.0	9.5	0.0	0.0
Other Federal Regulations	10/12/30/32	16.5	74.5	51.0	51.0
State Regulations	24/25	25.5	223.0	30.0	30.0
General	36	4,460.5	23,000.8	7,230.5	7,230.5
Leave	38	2,560.5	7,306.5	2,599.5	2,599.5
Other - Sky Marshal Program	901	2,005.5	2,005.5	0.0	0.0
Other - Home Land Security	902	40.0	122.0	4.0	4.0
Other - Canadian Boundary	298	0.0	0.0	0.0	0.0
Other - Lacey Act Subjects	400	0.0	2.0	0.0	0.0
Other - MM Subjects	100/110	4.5	415.0	50.5	50.5
<b>TOTAL</b>		13,919.0	55,681.6	14,963.5	14,963.5



**AGENT/OFFICER HOURS BY ACTIVITY**  
FY02 and FY03

Activity	Activity Codes	Hours			
		FY02 1st Quarter	FY02 Total	FY03 1st Quarter	FY03 Total
Investigations, Special Ops	02,03,04,05 20,21,22,23	3,249.0	10,018.8	2,344.0	2,344.0
Case Preparation	06	783.5	3,273.0	724.0	724.0
Complaint Response	07	138.0	980.3	218.0	218.0
Air Patrol	10/11	0.0	129.5	52.0	52.0
Surface Patrol	12-15	18.5	841.5	78.0	78.0
Inspection	17-19	164.0	1,063.8	157.0	157.0
VCP	30-34	71.0	538.1	209.0	209.0
General (Administrative, supervision, etc.)	40-48	4,404.0	19,866.8	5,232.0	5,232.0
Training	50-53	1,543.5	6,642.0	1,978.5	1,978.5
Leave	61-67	2,560.5	8,015.5	2,843.5	2,843.5
Maintenance	70-72	201.5	1,030.5	167.5	167.5
Travel	90	499.5	2,103.5	700.0	700.0
All Other	08	0.0	1,203.5	275.5	275.5
<b>TOTAL</b>		<b>13,633.0</b>	<b>55,706.8</b>	<b>14,979.0</b>	<b>14,979.0</b>

# CASES OPENED

10/01/2002 - 12/31/2002

Total Number of Cases Opened =141

Case Number	Cnt	Type	Regulation	Description of Violation
AK020043A	1	FM/I	679.7(f)(1)	FAILURE TO SUBMIT ACCURATE INFO ON IFQ REPORT
AK020044A	1	FM/I	679.7(f)(1)	FAILURE TO SUBMIT ACCURATE INFO ON IFQ REPORT
AK020045A	1	FM/I	679.7(f)(1)	FAIL TO SUBMIT ACCURATE INFO ON IFQ REPORT
AK020046A	1	FM/C	679.7(f)(13)	POSSESS PROCESSED/ UNPROCESSED IFQ HALIBUT ONBOARD CAT D VES
AK020046A	2	FM/C	679.7(f)(10)	LAND HALIBUT OTHER THAN DIRECTLY TO OR BY REGISTERED BUYER
AK020046A	3	FM/C	679.7(f)(1)	SUBMIT INACCURATE INFORMATION ON IFQ LANDING REPORT
AK020046A	4	FH/C	IPHC 17(3)	CONTINUOUS AND COMPLETE OFFLOAD REQUIRED
AK020046A	5	FH/C	IPHC 17(4)	REGISTERED BUYER REQUIRED TO REPORT OFFLOAD INFORMATION
AK020046A	6	FH/C	IPHC 13(2)	POSSESSION OF FILLETED OR MUTILATED HALIBUT ONBOARD VESSEL
AK020047A	1	FM/C	679.7(f)(13)	POSSES PROCESSED/UNPROCESSED IFQ HALIBUT ON BOARD CAT D VES.
AK020047A	2	FM/C	679.7(f)(10)	LAND HALIBUT OTHER THAN TO OR BY REGISTERED BUYER
AK020047A	3	FM/C	679.7(f)(1)	SUBMIT INACCURATE INFORMATION ON IFQ LANDING REPORT
AK020047A	4	FH/C	IPHC 17(3)	CONTINUOUS AND COMPLETE OFFLOAD REQUIRED
AK020047A	5	FH/C	IPHC 17(4)	REGISTERED BUYER REQUIRED TO REPORT OFFLOAD INFORMATION
AK020047A	6	FH/C	IPHC 13(2)	POSSESSION OF FILLETED OR MUTILATED HALIBUT ONBOARD VESSEL
AK020048A	1	FM/V	679.7(f)(4)	IFQ HALIBUT OVERAGE
AK020049A	1	FM/W	679.7(f)(2)	SUBMIT INACCURATE INFORMATION ON ANY REPORT
AK020050A	1	FM/V	679.7(f)(1)	SUBMIT INACCURATE INFORMATION ON ANY REPORT
AK020051A	1	FM/V	679.7(f)(4)	IFQ SABLEFISH OVERAGE
AK020052A	1	FM/V	679.7(f)(1)	SUBMIT INACCURATE INFORMATION ON ANY REPORT
AK020053A	1	LA/I	3372(a)(2)(A)	TRANSPORT OR SELL FISH TAKEN IN VIOLATION OF FOREIGN LAW
AK020054A	1	FM/V	679.7(f)(4)	RETAIN IFQ HALIBUT IN EXCESS OF AMOUNT OF UNHARVESTED FISH
AK020055A	1	FM/V	679.4(d)(6)(ii)	IFQ CARDHOLDER NOT ONBOARD AT START OF LANDING
AK020056A	1	FH/I	300.65-8(6)	FISHING AFTER HALIBUT SEASON CLOSED
AK020057A	1	FM/V	679.7(f)(4)	IFQ SABLEFISH OVERAGE
AK020057A	2	FM/V	679.7(a)(16)	ROCKFISH BYCATCH OVERAGE
AK021148A	1	FM/V	679.7(d)(4)	INELIGIBLE VESSEL FISHING GROUND FISH CDQ
AK021163A	1	FM/V	679.7(a)(16)	PACIFIC COD BYCATCH OVERAGE
AK021163A	2	FM/V	679.7(a)(1)	NO FFP ISSUED TO VESSEL
AK021164A	1	FM/V	679.7(f)(4)	SABLEFISH IFQ OVERAGE
AK021165A	1	FM/V	679.7(a)(16)	PACIFIC COD BYCATCH OVERAGE
AK021166A	1	FM/V	679.7(j)(2)	EXCEEDED POLLOCK TRIP LIMIT
AK021167A	1	FM/V	679.7(f)(4)	EXCEEDED 10% POLICY FOR HALIBUT
AK021168A	1	FM/W	679.5(a)(1)(i)(A)	FAILURE TO MAINTAIN SUBSTANTIVE DFL ENTRIES
AK021169A	1	MM/I	227.12(2)(i)	CHIRIKOF ISL NO TRANSIT INCURSION
AK021170A	1	FM/I	679.7(a)(3)	CITE: 679.50(C)(1)(V) LACK OF OBSERVER COVERAGE
AK021171A	1	FM/V	679.7(d)(5)	EXCEEDED CDQ POLLOCK (BSAI)
AK021172A	1	FM/V	679.7(d)(5)	EXCEEDED CDQ POLLOCK IN BSAI
AK021173A	1	FM/V	679.7(a)(16)	POLLOCK BYCATCH OVERAGE
AK021174A	1	FM/V	679.7(a)(16)	PACIFIC COD BYCATCH OVERAGE

Case Number	Cnt	Type	Regulation	Description of Violation
AK021175A	1	FM/V	679.7(f)(4)	EXCEEDED IFQ 10 PERCENT POLICY
AK021176A	1	FM/V	679.7(a)(16)	IFQ GROUND FISH BYCATCH OVERAGE
AK021177A	1	FM/V	679.7(a)(16)	PACIFIC COD BYCATCH OVERAGE
AK021178A	1	FR/I	INTEL ONLY	EMPLOYMENT ALERT
AK021179A	1	FM/V	679.7(a)(16)	PACIFIC COD BYCATCH OVERAGE
AK021181A	1	MM/I	216.11	UNLAWFUL TAKE OF MARINE MAMMAL ( STELLER SEA LION)
AK021182A	1	FM/V	679.7(a)(2)	FISHING FOR P-COD AFTER SEASON CLOSED
AK021183A	1	FM/V	679.7(a)(16)	PACIFIC COD BYCATCH OVERAGE
AK021184A	1	ES/V	223.202(a)(2)(i)	UNLAWFULLY APPROACH WITHIN 3NM OF SSL ROOKERY
AK022174A	1	FM/V	679.7(f)(12)	NO IFQ PNOL
AK022175A	1	FM/W	679.7(f)(12)	LAND IFQ FISH PRIOR TO PNOL TIME
AK022176A	1	FM/V	679.7(f)(4)	IFQ SABLEFISH OVERAGE
AK022177A	1	FM/V	679.7(f)(4)	IFQ OVERAGE
AK022178A	1	FM/V	679.7(f)(4)	IFQ SABLEFISH OVERAGE
AK022179A	1	FM/V	679.7(f)(4)	IFQ OVERAGE
AK022180A	1	SR/V	5 AAC 75.075(f)	AID IN COMMISSION OF VIOLATION
AK022181A	1	LA/I	3372(a)(2)(A)	ILLEGAL SALE OF EXPORT FISH; VIOLATION OF FOREIGN LAW
AK022182A	1	FM/V	679.7(f)(4)	IFQ HALIBUT OVERAGE
AK022183A	1	FM/V	IPHC 13.(1)(a)	UNDERSIZED HALIBUT
AK022184A	1	LA/V	3372(a)(2)(A)	AK STATE FISHERIES VIOLATIONS
AK022184A	2	LA/V	3372(a)(4)	VIOLATION OF ALASKA STATE FISHERIES EXPORT REGULATIONS
AK022185A	1	FM/V	679.7(f)(3)(i)	RETAIN IFQ HALIBUT CAUGHT W/FIXED GEAR W/O PERMIT
AK022186A	1	LA/I	3372(a)(2)(A)	AK STATE FISHERIES VIOLATIONS
AK022187A	1	FM/V	679.7(f)(9)	EXCEED VESSEL IFQ CAPACITY
AK022188A	1	FM/V	679.7(f)(4)	IFQ HALIBUT OVERAGE
AK022189A	1	LA/V	372.(a)(2)(A)	MISCELLANEOUS STATE REGULATION VIOLATIONS
AK022190A	1	FM/V	679.7(f)(4)	IFQ SABLEFISH OVERAGE
AK022191A	1	FM/V	679.7(f)(4)	IFQ HALIBUT OVERAGE
AK022192A	1	FM/W	679.7(f)(1)	SUBMIT INACCURATE INFORMATION ON IFQ PNOL
AK022193A	1	FM/V	679.7(f)(4)	IFQ HALIBUT OVERAGE
AK022194A	1	FM/V	679.7(f)(4)	IFQ HALIBUT OVERAGE
AK022195A	1	LA/V	3372.(a)(2)(A)	NUMEROUS VIOLATIONS OF STATE FISHERIES REGULATIONS
AK022196A	1	FM/V	679.7(f)(4)	IFQ HALIBUT OVERAGE
AK022197A	1	MM/I	216.11(b)	ILLEGAL TAKE OF MARINE MAMMAL (SEALS)
AK022198A	1	FM/I	679.7(f)(2)	INTENTIONALLY SUBMIT FALSE IFQ TEC APPLICATION
AK022198A	2	FM/V	679.7(f)(14)	CARDHOLDER NOT PRESENT DURING IFQ HALIBUT HARVEST
AK022199A	1	MM/C	216.11(b)	UNLAWFUL TAKE OF MARINE MAMMAL (PORPOISE)
AK023200A	1	MM/V	1372(a)(2)(A)	UNLAWFUL TAKE OF MARINE MAMMAL (BELUGA WHALE)
AK023200A	2	MM/V	216.11(b)	UNLAWFUL TAKE OF MARINE MAMMAL (BELUGA WHALE)
AK023201A	1	ES/V	223.202(a)(2)(i)	UNLAWFULLY APPROACH WITHIN 3 NM OF SSL ROOKERY
AK023202A	1	FM/V	679.7(f)(4)	IFQ HALIBUT OVERAGE
AK023203A	1	MM/I	216.11(b)	UNLAWFUL TAKE OF MARINE MAMMAL (HARBOR SEAL)
AK023204A	1	FM/V	300.65-13(1)(a)	UNLAWFULLY LAND UNDERSIZED HALIBUT
AK023205A	1	FM/V	679.7(f)(4)	IFQ OVERAGE
AK023206A	1	FM/V	679.7(f)(4)	EXCEEDED IFQ QUOTA
AK023207A	1	FM/I	300.65	TAGGING WITHOUT A PERMIT
AK023208A	1	FM/V	679.28(f)	RETAINED EXCESS AMOUNT OF IFQ HALIBUT
AK023209A	1	FH/W	679.7(f)(i)	SUBMITTED INACCURATE IFQ INFORMATION ON LANDING

Case Number	Cnt	Type	Regulation	Description of Violation
AK023209A	2	FH/W	679.7(f)(14)	NO FFP
AK023210A	1	MM/V	216.82	UNLAWFULLY ALLOW DOG ON PRIBILOF ISLANDS
AK023211A	1	FM/V	679.7(f)(4)	IFQ HALIBUT OVERAGE
AK023212A	1	FM/I	679.7(a)(12)	NO IFQ PNOL
AK023212A	2	FM/I	AS 16.43.140	DOES NOT HAVE STATE CFEC CARD
AK023213A	1	FM/V	679.7(f)(4)	EXCEED IFQ ALLOWANCE
AK023214A	1	FM/W	679.28(f)(3)(iii)	FAIL TO TURN ON VMS EQUIPMENT WHILE VESSEL WAS UNDERWAY
AK023214A	2	FM/W	679.7(f)	
AK023215A	1	FM/V	679.7(f)	IFQ HALIBUT OVERAGE
AK023216A	1	FM/V	679.7(f)(4)	RETAINED EXCESS IFQ SABLEFISH
AK023217A	1	FM/I	679.7(f)(1)	FAIL TO SUBMIT ACCURATE INFORMATION ON IFQ REPORT
AK023218A	1	FM/I	679.7(f)(1)	FAIL TO SUBMIT ACCURATE INFORMATION ON ANY REPORT
AK023219A	1	FM/V	679.7(f)(4)	IFQ BLACKCOD OVERAGE
AK023220A	1	FM/I	679.7(f)(4)	IFQ HALIBUT OVERAGE
AK023220A	2	FM/I	679.7(f)(12)	NO PNOL
AK023220A	3	FM/W	679.7(f)(1)	SUBMIT INACCURATE INFORMATION ON IFQ LANDING REPORT
AK023221A	1	FR/I	TERRORISM	INTEL ONLY - POSSIBLE SUSPICIOUS PROCESSING
AK023222A	1	FM/V	679.7(a)(16)	IFQ ROCKFISH OVERAGE
AK023223A	1	FM/V	679.7(f)(4)	IFQ HALIBUT OVERAGE
AK023224A	1	FM/V	679.7(a)(16)	IFQ BYCATCH OVERAGE
AK023225A	1	FM/I	679.7(f)(1)	SUBMIT INACCURATE INFORMATION ON IFQ LANDING REPORT
AK023226A	1	FM/W	679.7(a)(1)	ORIGINAL FFP NOT ON BOARD
AK023227A	1	FM/W	679.7(a)(1)	ORIGINAL FFP NOT ON BOARD
AK024075A	1	FM/I	679.7(g)(3)	TAMPER/DESTROY OBSERVER EQUIPMENT
AK024076A	1	FM/I	679.50(f)(1)(vi)	FAIL TO NOTIFY OBSERVER 15 MIN PRIOR TO BRINGING FISH ONBOARD
AK024077A	1	FM/I	679.7(a)(12)	RETAIN PROHIBITED SPECIES
AK024078A	1	FM/I	679.62(a)(1)(i)	FAILURE TO USE SCALE MEETING RQMTS OF 679.28(B)
AK024078A	2	FM/I	679.28(b)(3)	FAIL TO PERFORM DAILY SCALE TEST WITHIN 24-HOUR PERIOD
AK024079A	1	FM/I	679.7(a)(10)(iii)	FAIL TO SUBMIT ACCURATE INFORMATION ON ANY REPORT
AK024080A	1	FM/I	679.27(c)(2)(i)	DISCARD OF IR/IU SPECIES
AK024081A	1	FM/I	679.21(b)(2)(ii)	FAIL TO RETURN PROHIBITED SPECIES TO SEA W/MINIMUM INJURY
AK024081A	2	FM/I	679.7(d)(18)	FAIL TO HAVE VALID CDQ SAMPLE STATION CERTIFICATE AVAILABLE
AK024082A	1	FM/I	679.50(h)(2)(ii)(D)	ENGAGING IN ACTIVITIES THAT REFLECT NEGATIVELY ON OBSERVER
AK024082A	2	FM/I	679.50(h)(2)(i)(2)	SOLICIT QUESTIONABLE LOAN
AK024083A	1	FM/I	679.7(g)(4)	PROHIBITING OBSERVER FROM PERFORMING DUTIES
AK024084A	1	DS/C	USCG REGS.	DISCHARGE OF HYDRAULIC FLUID
AK024085A	1	DS/C	USCG REGS	DISCHARGE OF FISH WASTE AND WASTEWATER INTO AKUTAN HARBOR
AK024086A	1	MM/W	229.7(c)(5)	FAIL TO RETAIN MARINE MAMMAL FOR OBSERVER TO SAMPLE
AK024087A	1	DS/C	USCG REGS.	LACK OF SAFETY DRILLS DURING 60 DAY CRUISE
AK024088A	1	FM/I	679.5(a)(14)(iv)(B)(1)	FAIL TO RECORD TRAWL INFO IN LOGBOOK WITHIN 2 HRS.
AK024088A	2	FM/I	679.5(f)1)	FAIL TO ALLOW OBSERVER TO INSPECT LOGBOOK IN TIMELY MANNER
AK024089A	1	FM/I	679.50(f)(1)(ii)	FAILURE TO PROVIDE ADEQUATE LIVING ACCOMMODATIONS
AK024090A	1	DS/C	USCG REGS.	POWER OUTAGE WITH NO EMERGENCY LIGHTING
AK024090A	2	DS/C	USCG REGS	MARINE CASUALTY; FACTORY FLOODING, CG RESPONSE
AK024091A	1	DS/C	USCG REGS.	DISCHARGE OF HYDRAULIC FLUID
AK024091A	2	DS/C	USCG REGS	SAFETY ISSUES (FIRE IN ENGINE ROOM ; FREON LEAK)
AK024092A	1	DS/C	4.05-01(a)	MARINE CASUALTY
AK024093A	1	DS/C	4.05-01(a)	MARINE CASUALTY

Case Number	Cnt	Type	Regulation	Description of Violation
AK024094A	1	FM/I	679.32(c)(4)(iv)	FAIL TO WEIGH EACH HAUL SEPARATELY
AK024094A	2	FM/I	679.7(g)(1)	ASSAULT AN OBSERVER
AK024094A	3	FM/I	679.7(g)(2)	BIAS OBSERVER SAMPLE
AK024095A	1	FM/I	600.725(o)	SEXUALLY HARASS AN OBSERVER
AK024096A	1	FM/I	679.7(g)(5)	HARASSMENT; CREATING INTIMIDATING, HOSTIL WORK ENVIRONMENT
AK024096A	2	FM/I	679.7(e)(3)	FAIL TO EMPLOY SEABIRD AVOIDANCE MEASURES
AK024097A	1	FM/I	679.27(e)	DISCARD OF IR/IU PRODUCTS AT SEA (POLLOCK)
AK024098A	1	FM/I	679.32(c)(4)(iv)	FAILURE TO WEIGH HAULS SEPARATELY
AK024099A	1	FM/I	679.7(a)(10)(iii)	FAILURE TO RECORD ACCURATE INFORMATION (GEAR RETRIEVAL)
AK024100A	1	FM/I	679.7(a)(10)I	FAILURE TO COMPLY WITH R&R REQUIREMENTS
AK024101A	1	FM/I	679.5(f)(1)(v)	FAILURE TO ALLOW UNRESTRICTED ACCESS TO VESSEL
AK024102A	1	FM/I	679.7(a)(10)(iii)	FAILURE TO ACCURATELY REPORT SPECIES CAUGHT
AK024103A	1	FM/I	679.7(g)(5)	CREAT AN INTIMIDATING/HOSTILE WORK ENVIRONMENT
AK024103A	2	FM/I	679.50(f)(1)(vi)	FAIL TO NOTIFY 15 MIN PRIOR TO BRINGING FISH ONBOARD
AK024103A	3	FM/V	679.21(b)(2)(ii)	FAIL TO RTN HALIBUT TO SEA WITH MINIMUM OF INJURY
AK024103A	4	FM/I	679.24(e)(3)	FAIL TO EMPLOY SEABIRD AVOIDANCE MEASURES
AK024104A	1	FM/I	679.7(g)(1)	INTIMIDATE AN OBSERVER
AK024104A	2	FM/I	679.21(a)(13)(iv)	STRIPPING HALIBUT FROM HOOK
AK025076A	1	FM/I	1857(1)(A); 679.22; 679.7	FISH FOR GROUND FISH IN AREA CLOSED AREA
AK025077A	1	MM/I	223.202(a)(2)(1)	UNLAWFULLY APPROACH WITHIN 3NM OF SSL ROOKERY
AK025078A	1	MM/I	223.20(a)(2)(1)	SSL 3-MILE NO INCURSION AT AKUN ISLAND
AK025079A	1	FM/I	679.7(j)(2)	IFQ POLLOCK OVERAGE
AK025080A	1	FM/I	679.7(j)(2)	IFQ POLLOCK OVERAGE
AK025081A	1	FM/I	679.7(j)(2)	POLLOCK BYCATCH OVERAGE
AK025082A	1	FM/I	679.7(j)(2)	IFQ POLLOCK OVERAGE
AK025083A	1	FM/W	223.202(a)(2)(1)	UNLAWFULLY APPROACH WITHIN 3 NM OF SSL ROOKERY
AK025084A	1	FM/V	679.7(a)(16)	PACIFIC COD BYCATCH OVERAGE
AK025085A	1	ES/I	223.202(a)(2)(1)	UNLAWFULLY APPROACH WITHIN 3 NM OF SSL ROOKERY
AK025086A	1	FM/W	679.7(a)(10)	FAILURE TO COMPLY W/RECORDKEEPING & REPORTING REQMTS
AK025087A	1	FM/I	679.7(a)(10)	INACCURATE RECORDKEEPING AND REPORTING
AK025088A	1	ES/V	223.202(A)(2)(i)	UNLAWFULLY APPROACH WITHIN 3 NM SSL ROOKERY
AK025089A	1	FH/I	MARITIME ACCIDENT	VESSEL DESTROYED BEFORE LANDING
AK025090A	1	FM/I	679.7(a)(10)	SUBMIT INACCURATE INFORMATION ON IFQ REPORT
AK025091A	1	FR/I	ARREST WARRANT	INTEL ONLY
AK025092A	1	FM/I	679.7(f)(6)	IFQ LANDING WITHOUT PERMIT
AK025093A	1	ES/I	223.202(a)(2)(1)	UNLAWFULLY APPROACH WITHIN 3 NM OF SSL ROOKERY
AK025094A	1	FM/I	679.7(a)(10)(iv)	INTENTIONNALLY SUBMIT FALSE INFORMATION ON IFQ REPORT
AK025094A	1	FM/I	679.7(a)(10)(iv)	INTENTIONNALLY SUBMIT FALSE INFORMATION ON IFQ REPORT
AK025095A	1	ES/V	223.202(a)(2)(1)	UNLAWFULLY APPROACH WITHIN 3NM OF SSL ROOKERY

# CATCH SEIZURES

10/01/2002 - 12/31/2002

Total Number of Catch Seizures = 26

Case Number	Date of Seizure	Vessel	Description of Seizure	Value of Seizure
AK020051A	05-NOV-2002	ROSE LEE	PROCEEDS FROM IFQ SABLEFISH OVERAGE	1,768.73
AK020054A	08-NOV-2002	GAFF RK	PROCEEDS FROM IFQ HALIBUT OVERAGE	371.46
AK020056A	25-NOV-2002	JENNIE X	VIDEOTAPE TAKEN BY USCG CREW	.00
AK020057A	25-NOV-2002	SILVER TIP	PROCEEDS FM IFQ SABLEFISH OVERAGE	842.57
AK020057A	25-NOV-2002	SILVER TIP	PROCEEDS FM ROCKFISH BYCATCH OVERAGE	2.95
AK020057A	25-NOV-2002	SILVER TIP	PROCEEDS FM IFQ SABLEFISH OVERAGE	842.57
AK020057A	25-NOV-2002	SILVER TIP	PROCEEDS FM ROCKFISH BYCATCH OVERAGE	2.95
AK021164A	01-OCT-2002	BOLD PACIFIC	PROCEEDS FROM IFQ SABLEFISH OVERAGE	1,386.86
AK021175A	12-NOV-2002	LYNX	PROCEEDS FROM IFQ HALIBUT OVERAGE	463.60
AK021181A	17-DEC-2002	NONE	VIDEOTAPE, VSL UNDERWAY & ONBOARD	.00
AK022176A	04-OCT-2002	NORA C	PROCEEDS FROM IFQ SABLEFISH OVERAGE	709.81
AK022177A	08-OCT-2002	MARCHELE	PROCEEDS FROM IFQ OVERAGE	123.32
AK022178A	08-OCT-2002	VERNA-C	PROCEEDS FROM IFQ SABLEFISH OVERAGE	125.12
AK022179A	10-OCT-2002	PEREGRINE	PROCEEDS FROM IFQ SABLEFISH OVERAGE	2,738.56
AK022182A	15-OCT-2002	K-RAE	PROCEEDS FROM IFQ HALIBUT OVERAGE	30.96
AK022183A	16-OCT-2002	KITTY FUR II	ONE UNDERSIZED HALIBUT	1.00
AK022188A	28-OCT-2002	KARIEL	PROCEEDS FROM IFQ HALIBUT OVERAGE	360.40
AK022191A	07-NOV-2002	SHAWN REANN	PROCEEDS FROM IFQ HALIBUT OVERAGE	37.78
AK022193A	07-NOV-2002	SUNDEE LYNN	PROCEEDS FROM IFQ HALIBUT OVERAGE	453.80
AK022194A	22-NOV-2002	PATIENCE	PROCEEDS FROM IFQ HALIBUT OVERAGE	177.53
AK022196A	22-NOV-2002	PACIFIC SUN	PROCEEDS FM IFQ HALIBUT OVERAGE	321.30
AK022198A	11-DEC-2002	CHRISTI-ROB	(1) LETTER, (2) ENVELOPES OF ANONYMOUS SOURCE	.00
AK022198A	11-DEC-2002	CHRISTI-ROB	(1) LETTER, (2) ENVELOPES OF ANONYMOUS SOURCE	.00
AK023202A	02-OCT-2002	CAPE FEAR	PROCEEDS FROM IFQ HALIBUT OVERAGE	937.92
AK023205A	07-OCT-2002	BUCCANEER	PROCEEDS FROM IFQ HALIBUT OVERAGE	15,876.41
AK023206A	07-OCT-2002	RELIANCE	PROCEEDS FROM IFQ HALIBUT OVERAGE	1,077.30
AK023208A	28-OCT-2002	NITE LITE	PROCEEDS FROM IFQ HALIBUT OVERAGE	8,435.05
AK023216A	28-OCT-2002	BUTTERFLY	PROCEEDS FM IFQ SABLEFISH OVERAGE	129.53
AK023219A	04-NOV-2002	REDBEARD	PROCEEDS FROM IFQ BLACKCOD OVERAGE	.00
AK023223A	15-NOV-2002	RUNAWAY	PROCEEDS FROM IFQ HALIBUT OVERAGE	.00

**TOTAL: \$ 37217.48**

**CASES FORWARDED TO GENERAL COUNSEL FOR FURTHER ACTION**  
**10/01/2002 - 12/31/2002**

Total Number of Cases Forwarded to GC =18

Case Number	Cnt	Regulation	Description of Violation	Seized Property
AK012224A	1	679.7(a)(16)	ROCKFISH BYCATCH OVERAGE	
AK013254A	1	679.7(a)(2)	EXCEEDED BYCATCH RATE FOR ROCKFISH	
AK020039A	1	679.7(j)(2)	POLLOCK BYCATCH OVERAGE	
AK020055A	1	679.4(d)(6)(ii)	IFQ CARDHOLDER NOT ONBOARD AT START OF LANDING	
AK021074A	1	223.202(a)(2)(i)	UNLAWFULLY APPROACH WITHIN 3NM OF SSL ROOKERY	
AK021155A	1	300.62-13(2)	POSSESSION OF FILLETED HALIBUT	
AK021155A	2	300.62-17(9)	POSSESSION OF FILLETED HALIBUT	
AK021155A	3	300.62-17(10)	HALIBUT TAKEN AND NOT REPORTED ON ADFG FISH TICKET	
AK021155A	4	679.7(f)(5)	HALIBUT TAKEN AND NOT REPORTED ON IFQ LANDING REPORT	
AK021155A	5	679.7(f)(5)	SABLEFISH TAKEN AND NOT REPORTED ON IFQ LANDING REPORT	
AK021155A	6	679.7(f)(5)	OFFLOADING HALIBUT/SABLIFISH BTWN 1800 AND 0600 W/O AUTHORIZ	
AK021155A	7	679.7(f)(9)	EXCEEDED 2002 VESSEL CAP FOR IFQ HALIBUT	
AK021155A	8	5AAC 39.130	FAILURE TO REPORT ALL FISH ON ADFG FISH TICKET	
AK021161A	1	223.202(a)(2)(i)	APPROACH INSIDE STELLER SEA LION BUFFER AREA	
AK022187A	1	679.7(f)(9)	EXCEED VESSEL IFQ CAPACITY	
AK023050A	1	679.4(b)(9)	VALID FFP NOT ONBOARD	
AK023050A	2	679.5(a)(10)(4)	FAILURE TO RECORD DISCARD INFORMATION ON DFL	
AK023050A	3	679.5(a)(14)(iii)	FAILURE TO SUBMIT DFL COPIES TO SHORESIDE PROCESSOR	
AK023050A	4	679.50(c)(1)(iv)	FFP NOT ONBOARD	
AK023050A	5	IPHC reg. 18(2)(b)	FAILED TO SEGREGATE IFQ HALIBUT HARVESTED INS SEPARATE AREAS	
AK023201A	1	223.202(a)(2)(i)	UNLAWFULLY APPROACH WITHIN 3 NM OF SSL ROOKERY	
AK024020A	1	679.50(f)(1)(i)	FAILURE TO PROVIDE ADEQUATE ACCOMODATIONS	
AK024020A	2	679.7(g)(7)	PRESSURE OBSERVER TO PERFORM CREWMEMBER DUTIES	
AK025052A	1	679.7(f)(4)	IFQ OVERAGE	
AK025053A	1	223.202(a)(2)(i)	TRANSIT THROUGH ROOKERY	
AK025059A	1	223.202(a)(2)(i)	TRANSIT THROUGH ROOKERY	
AK025063A	1	679.7(f)(14)	FAILURE TO SUBMIT IFQ LANDING REPORT TIMELY	
AK025068A	1	223.202(a)(2)(1)	SSL 3-MIL NO INCURSION	
AK025071A	1	679.50(c)(1)	3.7 DAYS INSUFFICIENT OBSERVER COVERAGE 3RD QTR	
AK025071A	2	679.50(f)(1)(B)	FAILURE TO PROVIDE OBSERVER W/NECESSARY EQUIPMENT	
AK025071A	3	679.50(f)(1)(ii)(B)(1)	FAILURE TO HAVE COMMERCIAL FISHING VESSEL SAFETY DECAL ON BD	
AK025071A	4	679.5(h)	FAILED TO ACCURATELY SUBMIT CHECK IN/OUT REPORTS	
AK025071A	5	679.5(a)(7)(x)	DID NOT USE SEPARATE LOG SHEETS FOR EACH REPORTING AREA	
AK025071A	6	679.5(i)	FAILED TO SUBMIT WEEKLY PRODUCTION REPORT	
AK025071A	7	679.5(a)(7)(iv)	FAILED TO LOG DISCARDS ON DCPL & WPR	
AK025071A	8	679.5(a)(7)(iv)	FAILED TO COMPLETE/SUBMIT A PRODUCT TRANSFER REPORT	
AK025076A	1	1857(1)(A); 679.22; 679.7(a)(2)	FISH FOR GROUND FISH IN AREA CLOSED AREA	

## OTHER CASE ACTIONS

10/01/2002 - 12/31/2002

Number of Cases	Action	Summary Settlement Amount	NOVA Amount	Final Penalty	Forfeited Proceeds and/or Property
0	Declined by ENF				
21	Dismissed by ENF no violation				
8	Closed by ENF due to lack of evidence				
0	Closed by ENF due to lack of resources				
8	Transferred to another Region or agency				
36	Verbal Warning Issued				
24	Written warning issued by ENF				
5	Written warning issued by GC				
1	Written warning (FIX-IT) issued by F/EN				
0	Written warning (FIX-IT) issued by USCG/GC				
30	Property forfeited or abandoned				\$60,803.68
6	Summary Settlement issued by field or USCG				
31	Summary settlement paid	\$7,6347.05			
2	Case Merged				
15	Intel only				
2	Dismissed by GC				
5	NOVA issued		\$57,005.60		
15	Settlement agreement signed by GC			\$48,285.29	
0	Settlement agreement conditions met				
1	NOVA,NOPS/NIDP issued				
1	Written Warning Dismissed after Review / GC				
1	Return by GC to F/EN for further investigation				
2	Prosecution Decline by GC				
1	Notice of Proposed Forfeiture				
1	Suspended; case remains open				
216	Total				