

National Marine Fisheries Service
Office for Law Enforcement

ALASKA ENFORCEMENT DIVISION

QUARTERLY REPORT



Fourth Quarter FY01
July 1 - September 30, 2001

To report fisheries violations,
call our National Hotline at 1-800-853-1964.

<http://www.nmfs.noaa.gov/ole/Alaska/index.html>

ALASKA ENFORCEMENT DIVISION

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July 1, 2001 - September 30, 2001

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SIGNIFICANT ACTIONS

A. Quarterly Milestone Activity

No national milestones were due this quarter.

B. Major Cases

FOREIGN FISHING VESSELS SEIZURES

On July 25, the Russian FFV PETROPAVLOVSK was seized by the USCGC STORIS for fishing more than five miles inside the United States EEZ in the Bering Sea. The 93-meter trawler was actively fishing for pollock in an area north of the Donut Hole. The vessel was escorted to Dutch Harbor, Alaska where NMFS OLE assumed custody. The case was settled on September 11 with payment of a \$320,000 fine. While the seizure action was straightforward settlement, it was complicated by a suit filed on behalf of a purported second owner. We were able to settle the U.S. government claim and allow the ownership matter to proceed separately.

The case against the Chinese FFV MING CHANG continues and may go to trial. The MING CHANG was seized in August 2000 for trawling in the U.S. EEZ. Further investigation revealed an additional incursion may have occurred in July of the same year. The Chinese owner posted a bond of \$1.5 million to release the vessel. Depositions with the Coast Guard crew that sighted the vessel are scheduled.

OTHER CASES

The following cases have significant outcomes or interest. They are listed under the priorities agreed upon between AKED, the NMFS Regional Administrator, and GCAK.

Observer-related:

During late 2000, we became concerned about a widespread noncompliance with regulations requiring observer coverage among the “30%” Alaska groundfish fleet (vessels between 60 and 125 feet in length overall are required to have 30% of their groundfish fishing days per calendar quarter to be observed by a NMFS-certified observer.) With GCAK, we developed criteria to target the most serious violators. Forty-five cases were initiated. Twenty-eight investigations documented significant failure to meet observer coverage violations. A total of 300 required observer days was lacking – an average of thirteen missing days per vessel. The highest number of missing days is 47. The violations are spread among all gear types. AED staff and GCAK are continuing to assess appropriate responses to increase compliance with observer coverage requirements within this component of the groundfish fishery. Numerous contacts with industry groups publicizing observer coverage regulations and our increased compliance efforts have been conducted and remain ongoing. Industry has expressed support for strong enforcement action toward chronic violators of this program.

NOAA GC issued a \$6,000.00 NOVA in the Guzman observer sexual harassment case.

A female observer was sexually harassed while onboard the FV DONA MARTITA. The captain allegedly tried to hug and kiss her on several occasions. The case is pending with the U.S. Attorney.

ESA/MMPA

Steller Sea Lions:

No significant actions involving Steller sea lions occurred. Numerous cases involving critical habitat incursions remain with the U.S. Attorney or GCEL, pending prosecution.

Cook Inlet Beluga Whales:

In March, AED began beluga monitoring and outreach efforts for 2001. Effort continued through September with Enforcement Officers assigned to patrol the Cook Inlet area by vehicle, patrol boat, and aircraft. Approximately 80 hours were spent flying, 30 hours in vessel patrol, and 300 hours in vehicle patrol. More than 80 COPPS contacts were made. No illegal takes were detected.

The planned native hunt by the village of Tyonek took place Saturday, July 21. The hunt was successful and one beluga whale was harvested under the guidelines of the cooperative agreement. The hunt was monitored by SA's and an EO. An after-action report was submitted.

Record keeping and Reporting Violations:

Numerous cases continue. However, none were resolved this quarter.

IFQ Program:

IFQ cases this quarter were routine. Efforts to reach outlying ports improved.

C. COPPS

Efforts throughout the Division by individual officers and agents continue.

The educational video The Right Approach - Humpback Whale Watching in Alaska was aired on the statewide PBS station by the NOAA-sponsored program Alaska Weather. The station estimates that the program is viewed by several thousand Alaskan residents per episode. The video will be aired at least two more times this season. We also arranged for it to be aired by the local Dutch Harbor TV station.

We worked closely with the Fish and Wildlife Service to get the Seward City Manager and Harbor Master to install covered fish bins at their four fish cleaning stations. There have been many complaints from members of the public of dead sea gulls and illegal feeding of sea otters and Steller sea lions at those locations.

Officers in Homer initiated efforts to deal with aggressive Steller sea lions in the Homer Harbor by working with the harbor master and by making strong efforts to reduce feeding. We have posted "No Feeding" signs and made patrols to meet incoming charter vessels.

We continue coordinated efforts with NOAA PR liaison regarding this year's Fish Expo. The four NOAA divisions involved in a unity theme are: NOAA Fisheries Enforcement, NOAA Fisheries Management, NOAA Ocean Service, and NOAA Weather Service

A site visit to St. Paul, Alaska to meet with local fish processing plant representatives, local fishermen, and the native community was very productive. Their visit was very well received and set the stage for increased compliance in St. Paul. While no serious violations were noted, nearly every type was seen. Much time was spent explaining the new regulations in the fishing industry to all concerned.

We completed and distributed the AED COPPS student manual.

Kodiak staff used the patrol vessel KATMAI to reach Ward's Cove Processing plant in Alitak, Alaska at the tip of Kodiak Island. The visit was unannounced and was very positive.

D. VMS

Council Interaction - Communication with the AP & Council on VMS issues continues. We expect a large rollout by June 2002. At the February 2002 Council meeting, we will provide an in-depth report on major VMS issues.

Vendor Interaction - We have been working closely with the vendor(Argos), to smooth operational difficulties and/or inadequacies that we have learned about in the Atka mackerel season.

Industry Interaction - The Atka mackerel season went well regarding VMS, with no significant complaints by the industry. We concluded a Halibut test, with all participating vessels pleased with the results and opportunity.

Infrastructure - NSTR

E. OTHER ITEMS OF INTEREST

Four positions became vacant and remain unfilled. Juneau-DSAC, Anchorage-ASAC, Kodiak-Special Agent, and Juneau-Special Agent.

NUMBER OF CASES OPENED BY INVESTIGATION TYPE
FY00 and FY01

Investigation Type	FY00 4th Quarter	FY00 Total	FY01 4th Quarter	FY01 Total
ESA	12	17	3	34
Lacey Act	1	2	0	1
Magnuson Act	184	664	296	1090
MMPA	24	79	23	71
North Pacific Halibut Act	14	45	11	46
Non-Fisheries Federal Regs	1	11	0	23
State Regulations	7	33	12	34
Other Fisheries Investigation	0	0	0	1
TOTAL	243	851	345	1300

COMPLAINT ACTION

07/01/2001 - 09/30/2001

Investigation Type	No. Complaints Opened	No. Complaints Unfounded
Magnuson Act (MFCMA)	2	1
Marine Mammal (MMPA)	8	5
Endangered Species Act (ESA)	1	1
Other (<i>list</i>) - State Regs	0	0
Other (<i>list</i>) - Non-Fisheries Regs	0	0
Other (<i>list</i>) - Other Federal Regs	0	0
TOTAL	11	7

Number of Complaints Assigned CAR's	11
Number of Complaints Upgraded	1
Unfounded Complaints Assigned CAR's	7
Number of Complaints not Assigned CAR's	3
Number of Hotline Complaints	6

AGENT/OFFICER HOURS BY PROGRAM
FY00 and FY01

Program	Program Codes	Hours			
		FY00 4th Quarter	FY00 Total	FY01 4th Quarter	FY01 Total
Magnuson Act					
IFQ	6793	3340.0	15297.5	3501.3	12951.3
Alaska EEZ General	679	2750.0	9580.8	3273.0	11855.0
BSA Groundfish	6791	257.0	1789.0	14.5	1995.5
GOA Groundfish	6792	245.5	2901.0	16.5	2101.0
MFCMA General	31	75.5	274.0	270.5	441.0
U.S. vessels fishing in Russia	299	0.0	1.0	4.0	7.0
Scallops	6794	0.0	3.0	0.0	3.0
CDQ	6795	14.0	129.5	0.0	28.5
Research Plan	677	3.0	3.0	0.0	0.0
Salmon - Alaska	674	3.5	16.5	0.0	7.0
Total		6688.5	29995.3	7079.8	29389.3
Lacey Act	20/21/22/23	0.0	258.5	15.0	45.5
Marine Mammal Protection Act	1	975.5	2,514.5	372.0	1,583.5
North Pacific Halibut Act	3	127.5	309.0	107.0	378.0
Endangered Species Act	2/200-210	454.5	1,025.5	175.0	1,992.0
High Seas Salmon	11	80.0	1,699.0	3.0	788.0
High Seas Fish. Compliance Act	33	57.5	595.0	18.5	162.0
American Fisheries Act	16	0.0	0.0	1.0	1.0
Other Federal Regulations	10/12/30/32	22.0	264.0	24.5	110.0
State Regulations	24/25	111.5	293.0	117.5	420.0
General	36	5,648.8	27,814.3	5,632.0	22,335.5
Leave	38	2,323.5	9,029.5	2,590.0	9,821.5
Other - Canadian Boundary	298	0.0	44.0	0.0	9.0
Other - Lacey Act Subjects	400	0.0	0.0	17.0	17.0
Other - MFCMA Subjects	625/649/650/ 651	39.0	76.0	0.0	61.5
Other - MM Subjects	100/110	496.0	496.0	750.5	1,861.0
TOTAL		17,029.8	74,914.1	16,902.8	68,974.8

AGENT/OFFICER HOURS BY ACTIVITY
FY00 and FY01

Activity	Activity Codes	Hours			
		FY00 4th Quarter	FY00 Total	FY01 4th Quarter	FY01 Total
Investigations, Special Ops	02,03,04,05 20,21,22,23	3,646.5	14,271.0	3,142.5	13,974.5
Case Preparation	06	981.5	5,149.0	1,163.0	5,068.5
Complaint Response	07	490.0	1,491.5	376.0	1,188.5
Air Patrol	10/11	13.5	302.5	75.0	390.0
Surface Patrol	12-15	239.0	706.5	232.0	915.5
Inspection	17-19	540.5	2,276.0	489.5	2,360.0
VCP	30-34	489.5	2,106.0	282.0	1,608.5
General (Administrative, supervision, etc.)	40-48	4,758.5	24,958.3	5,857.8	23,595.8
Training	50-53	2,522.0	10,621.5	1,361.0	5,979.7
Leave	61-67	2,323.5	9,027.0	2,590.0	9,821.5
Maintenance	70-72	248.5	832.0	331.0	1,301.5
Travel	90	776.8	3,172.8	463.5	2,203.8
All Other	08	0.0	0.0	6,397.3	24,162.8
TOTAL		17,029.8	74,914.1	16,902.8	68,974.8

CASES OPENED

07/01/2001 - 09/30/2001

Total Number of Cases Opened = 346

Case Number	Cnt	Type	Regulation	Description of Violation
AK010100A	1	MM/C	216.11(a)	ILLEGAL TAKE/HARASSMENT OF A MARINE MAMMAL (HUMPBACK WHALE)
AK010101A	1	MM/C	216.11	UNLAWFUL TAKE OF MARINE MAMMAL (HUMPBACK WHALE)
AK010102A	1	MM/I	216.11	TAKING "HARASSMENT" HUMPBACK WHALES
AK010103A	1	MM/C	216.11(b)	UNLAWFUL TAKE OF MARINE MAMMALS (HUMPBACK WHALES)
AK010104A	1	MM/I	224.103(b)(I)	APPROACHING A HUMPBACK WHALE CLOSER THAN 100 YARDS
AK010105A	1	FM/W	679.27(e)	UNLAWFUL DISCARD OF IR/IU POLLOCK
AK010107A	1	FM/I	679.7(f)(5)	ILLEGAL TRANSPORT OF HALIBUT
AK010108A	1	FH/X	300.65-16(2)	FAILURE TO MAIN PROPER HALIBUT LOGBOOK
AK010109A	1	FM/X	679.7(a)(10)	FAILURE TO SUBMIT LOGBOOKS ON A QUARTERLY BASIS
AK010110A	1	FM/X	679.7(a)(1)	NO FEDERAL FISHERIES PERMIT ONBOARD
AK010111A	1	FM/X	679.7(a)(10)	LOGBOOKS NOT PROPERLY KEPT
AK010112A	1	FM/X	679.7(a)(1)	NO FEDERAL FISHERIES PERMIT ONBOARD
AK010113A	1	FM/X	679.24(a)	IMPROPER OR NO BUOY MARKINGS
AK010114A	1	FM/X	679.7(a)(10)	FAILURE TO SUBMIT QUARTERLY LOGS
AK010115A	1	FM/X	679.7(a)(1)	NO FEDERAL FISHERIES PERMIT ONBOARD
AK010116A	1	FM/V	679.7(f)(3)	RETENTION OF HALIBUT W/O IFQ PERMIT
AK010116A	2	FH/V	300.65-13(1)	RETENTION OF UNDERSIZE HALIBUT
AK010117A	1	FM/W	679.7(f)(11)	UNLAWFULDISCARD OF LEGAL SIZE HALIBUT
AK010118A	1	FM/X	679.7(a)(1)	NO FEDERAL FISHERIES PERMIT ONBOARD
AK010119A	1	FM/X	679.7(d)(1)	FAILURE TO MAINTAIN IFQ PERMIT ONBOARD
AK010120A	1	FH/X	300.65-16(2)	FAILURE TO ACCURATELY MAINTAIN HALIBUT LOGS
AK010121A	1	FM/X	679.4(d)(6)	FAILURE TO MAINTAIN VALID IFQ PERMIT ONBOARD
AK010122A	1	FM/I	679.7(g)(6)	FAILURE TO MEET 30% OBSERVER COVERAGE
AK010123A	1	MM/I	216.11	ILLEGAL TAKE OF MARINE MAMMAL (HUMPBACK WHALE)
AK010124A	1	FM/V	307(2)(B)	FISHING IN EEZ WITHOUT FOREIGN FISHING PERMIT
AK011045A	1	FM/I	679.50(a),(c)(v)	FISHING GROUND FISH W/O OBSERVER COVERAGE
AK011046A	1	FM/V	679.7(g)(6)	NO OBSERVER COVERAGE
AK011152A	1	FM/I	679.7(f)(4)	NO IFQ QUOTA IN AREA FISHED
AK011153A	1	FM/C	679.7(a)(2)	UNLAWFUL DIRECTED FISHING FOR PACIFIC COD DURING CLOSURE
AK011154A	1	MM/W	216.11(b)	FEEDING MARINE MAMMALS BY DISCARDING BYCATCH
AK011155A	1	FM/I	679.7(g)	OBSERVER HARASSMENT
AK011156A	1	FM/V	679.7(a)(2)	SABLEFISH BYCATCH OVERAGE
AK011157A	1	FM/V	679.7(a)(2)	SABLEFISH BYCATCH OVERAGE
AK011157A	2	FM/V	679.7(a)(2)	PACIFIC COD BYCATCH OVERAGE
AK011158A	1	FM/V	679.7(a)(2)	PACIFIC COD BYCATCH OVERAGE
AK011159A	1	FM/V	679.7(a)(2)	SABLEFISH BYCATCH OVERAGE
AK011160A	1	FM/V	679.7(a)(2)	PACIFIC COD BYCATCH OVERAGE

CASES OPENED (continued)

Case Number	Cnt	Type	Regulation	Description of Violation
AK011160A	2	FM/V	679.7(a)(2)	SABLEFISH BYCATCH OVERAGE
AK011161A	1	FM/V	679.7(a)(16)	SABLEFISH BYCATCH OVERAGE
AK011161A	2	FM/V	679.7(a)(16)	ROCKFISH BYCATCH OVERAGE
AK011162A	1	FM/V	679.7(a)(16)	SABLEFISH BYCATCH OVERAGE
AK011163A	1	FM/V	679.7(a)(16)	SABLEFISH BYCATCH OVERAGE
AK011164A	1	FM/V	679.7(a)(16)	PACIFIC COD BYCATCH OVERAGE
AK011165A	1	FM/I	679.7(f)(4)	IFQ SABLEFISH OVERAGE
AK011166A	1	FM/V	216.11(b)	PROHIBITED "TAKING" OF A SEAL DURING COMMERCIAL FISHING
AK011167A	1	FM/V	679.7(a)(2)	PACIFIC COD BYCATCH OVERAGE
AK011168A	1	FM/V	679.7(a)(2)	SABLEFISH BYCATCH OVERAGE
AK011168A	2	FM/V	679.7(a)(2)	PACIFIC COD BYCATCH OVERAGE
AK011169A	1	FM/V	679.7Y(a)(16)	SABLEFISH BYCATCH OVERAGE
AK011170A	1	FM/V	679.7(a)(2)	PACIFIC COD BYCATCH OVERAGE
AK011171A	1	FM/W	679.7(a)(10)	FAILURE TO SUBMIT 1ST QUARTER LOGBOOK PAGES
AK011172A	1	FM/I	679.7(a)(2)	SABLEFISH BYCATCH OVERAGE
AK011173A	1	FM/V	679.7(a)(16)	SABLEFISH BYCATCH OVERAGE
AK011174A	1	FM/W	679.7(f)(12)	EARLY IFQ OFFLOAD
AK011175A	1	FM/V	679.7(a)(16)	SABLEFISH BYCATCH OVERAGE
AK011176A	1	FM/W	679.7(f)(1)	DOUBLE ENTRY IN IFQ ATM MACHINE
AK011177A	1	FM/V	679.7(a)(16)	PACIFIC COD BYCATCH OVERAGE
AK011178A	1	FM/V	679.7(a)(16)	PACIFIC COD BYCATCH OVERAGE
AK011179A	1	FM/V	679.7(a)(16)	SABLEFISH BYCATCH OVERAGE
AK011180A	1	FM/W	679.7(f)(14)	PERMIT NO. NOT ON IFQ PNOL
AK011181A	1	FM/V	679.7(a)(16)	SABLEFISH BYCATCH OVERAGE
AK011182A	1	FM/V	679.7(a)(16)	BYCATCH OVERAGE
AK011183A	1	FM/V	679.7(a)(16)	SABLEFISH BYCATCH OVERAGE
AK011184A	1	FM/V	679.7(a)(16)	SABLEFISH BYCATCH OVERAGE
AK011185A	1	FM/V	679.7(a)(16)	SABLEFISH BYCATCH OVERAGE
AK011186A	1	FM/V	679.7(a)(16)	SABLEFISH BYCATCH OVERAGE
AK011187A	1	FM/V	679.7(a)(16)	SABLEFISH BYCATCH OVERAGE
AK011187A	2	FM/V	679.7(a)(16)	ROCKFISH BYCATCH OVERAGE
AK011188A	1	FM/V	679.7(a)(2)	SABLEFISH BYCATCH OVERAGE
AK011188A	2	FM/V	679.7(a)(2)	PACIFIC COD BYCATCH OVERAGE
AK011189A	1	FM/V	679.7(f)(4)	IFQ HALIBUT OVERAGE
AK011190A	1	FM/V	679.7(a)(2)	SABLEFISH BYCATCH OVERAGE
AK011191A	1	FM/V	679.7(a)(16)	SABLEFISH BYCATCH OVERAGE
AK011192A	1	FM/W	679.7(f)(12)	IFQ PERMIT NOT REPORTED ON PNOL
AK011193A	1	FM/V	679.7(a)(16)	SABLEFISH BYCATCH OVERAGE
AK011194A	1	FM/V	679.7(a)(16)	SABLEFISH BYCATCH OVERAGE
AK011195A	1	FM/V	679.7(f)(4)	IFQ HALIBUT OVERAGE
AK011196A	1	FM/V	679.7(a)(16)	PACIFIC COD BYCATCH OVERAGE

CASES OPENED (continued)

Case Number	Cnt	Type	Regulation	Description of Violation
AK011197A	1	FM/W	679.7(f)(1)	WRONG IFQ CARD ENTERED ON PNOL
AK011198A	1	FM/V	679.7(a)(16)	PACIFIC COD BYCATCH OVERAGE
AK011199A	1	FM/W	679.7(f)(1)	NO PNOL FOR CDQ LANDING
AK011199A	2	FM/W	679.7(f)(2)	FALSE REPORTING
AK011200A	1	FM/W	679.7(f)(1)	FALSE IFQ REPORTING
AK011201A	1	FM/W	679.7(f)(12)	NO IFQ PNOL
AK011202A	1	FM/W	679.7(f)(12)	EARLY IFQ OFFLOAD
AK011203A	1	FM/W	679.7(f)(12)	EARLY IFQ OFFLOAD
AK011204A	1	FM/V	679.7(a)(16)	PACIFIC COD BYCATCH OVERAGE
AK011205A	1	FM/V	679.7(a)(16)	PACIFIC COD BYCATCH OVERAGE
AK011206A	1	FM/W	679.7(f)(1)	FAILURE TO SUBMIT IFQ LANDING REPORT
AK011207A	1	FM/V	679.7(a)(16)	PACIFIC COD BYCATCH OVERAGE
AK011208A	1	FM/X	679.7(a)(1)	NO FFP ONBOARD VESSEL
AK011208A	2	FH/W	300.65-19(4)	LACK OF PROPER BUOY MARKINGS (THREE BUOYS)
AK011209A	1	FM/W	679.7(f)(1)	SUBMIT INACCURATE INFORMATION ON IFQ LANDING
AK011210A	1	FM/I	679.7(f)(2)	SUBMIT FALSE INFO ON IFQ LANDING REPORTS & STATE FISH TICKET
AK011211A	1	FM/W	679.7(f)(1)	SUBMIT INACCURATE INFO ON IFQ LANDING
AK011212A	1	FM/V	679.7(j)(2)	POLLOCK TRIP LIMIT OVERAGE
AK011213A	1	FM/W	679.7(f)(1)	FAILURE TO SUBMIT IFQ PNOL
AK011216A	1	FM/I	679.7(f)(4)	IFQ OVERAGE
AK011217A	1	FM/V	679.7(f)(4)	IFQ HALIBUT OVERAGE
AK011218A	1	FM/V	679.7(f)(4)	IFQ SABLEFISH OVERAGE
AK011219A	1	FM/I	679.21(b)(2)(i)	MINIMIZE PROHIBITED SPECIES BYCATCH
AK011221A	1	FM/I	679.7(f)(1)	SUBMIT INACCURATE INFORMATION ON IFQ LANDING
AK011222A	1	FM/W	679.7(f)(1)	SUBMIT INACCURATE INFO ON IFQ LANDING REPORT
AK011223A	1	FM/V	679.7(a)(2)	UNLAWFUL FISHING AFTER IN-SEASON CLOSURE
AK011228A	1	FM/I	679.7(f)(4)	NO IFQ QUOTA IN AREA FISHED
AK011229A	1	FM/I	679.7(f)	NO IFQ PNOL
AK012028A	1	FM/I	679.50e(c)(1)(vii)	LACK OF 30% OBSERVER COVERAGE
AK012028A	2	FM/I	679.5(a)(7)(I)	LACK OF PACIFIC COD OBSERVER COVERAGE
AK012072A	1	FM/V	679.42(c)(1)(ii)	IFQ COHOLDER NOT ONBOARD VESSEL FOR DURATION OF TRIP
AK012171A	1	FM/I	679.7(f)	UNLAWFUL IFQ LANDING
AK012172A	1	FM/I	679.7(f)	NO IFQ PNOL
AK012179A	1	FM/I	679.7(a)(16)	ROCKFISH BYCATCH OVERAGE
AK012180A	1	MM/C	216.11	TAKING OF A MARINE MAMMAL (DALL'S PORPOISE)
AK012181A	1	FM/V	679.7(a)(16)	ROCKFISH BYCATCH OVERAGE
AK012182A	1	FM/V	679.7(a)(16)	ROCKFISH BYCATCH OVERAGE
AK012185A	1	FM/I	679.7	EARLY IFQ LANDING
AK012186A	1	SR/V	STATE REGS	FAILURE TO RELEASE SNAGGED FISH
AK012187A	1	MM/I	216.11(b)	UNLAWFUL TAKE OF MARINE MAMMAL (DALL'S PORPOISE)
AK012188A	1	FM/I	679.7(a)(16)	ROCKFISH BYCATCH OVERAGE

CASES OPENED (continued)

Case Number	Cnt	Type	Regulation	Description of Violation
AK012192A	1	FM/W	679.7(f)(12)	NO IFQ PNOL
AK012194A	1	FM/V	679.7	IFQ OVERAGE
AK012195A	1	FM/I	679.7	INACCURATE IFQ PNOL
AK012196A	1	SR/V	STATE REGS	SPORT FISHING WITHOUT A LICENSE
AK012197A	1	SR/V	STATE REGS	FAIL TO RELEASE SNAGGED SALMON
AK012198A	1	FM/I	679.7	NO IFQ PNOL
AK012199A	1	FM/I	679.7(a)(16)	ROCKFISH BYCATCH OVERAGE
AK012200A	1	FM/I	679.7(f)	IFQ OVERAGE
AK012202A	1	FM/V	679.7(f)(4)	IFQ HALIBUT OVERAGE
AK012203A	1	FM/I	679.7(f)	IFQ PERMIT NOT ON PNOL
AK012204A	1	FM/I	679.7(f)	IFQ PERMIT NOT ON PNOL
AK012205A	1	FM/I	679.7(f)	IFQ PERMIT/VESSEL CATEGORY DIFFERENCE
AK012206A	1	FM/I	679.7(f)	IFQ PERMIT/VESSEL CATEGORY DIFFERENCE
AK012207A	1	FM/I	679.7(f)	IFQ PERMIT NOT REPORTED ON PNOL
AK012209A	1	FM/W	679.7(f)(12)	NO IFQ PNOL
AK012210A	1	FM/V	679.7(f)(4)	IFQ HALIBUT OVERAGE
AK012211A	1	FM/I	679.7(f)	IFQ LANDING PRIOR TO PNOL TIME
AK012212A	1	FM/I	679.7(f)(12)	NO IFQ PNOL
AK012213A	1	SR/V	STATE REGS	FAILURE TO RECORD PERSONAL USE FISH ON PERMIT
AK012214A	1	FM/I	679.7(f)(12)	NO IFQ PNOL
AK012215A	1	SR/V	STATE REGS.	OVER-LIMIT OF SILVER SALMON
AK012216A	1	MM/I	216.11(b)	ILLEGAL TAKE OF MARINE MAMMAL (HARASSMENT)
AK012217A	1	FM/I	679.7(f)	NO IFQ PNOL
AK012218A	1	FM/W	679.7(f)(4)	IFQ HALIBUT OVERAGE
AK012219A	1	FM/W	679.7(f)(4)	IFQ HALIBUT OVERAGE
AK012220A	1	FM/I	679.7(a)(16)	ROCKFISH BYCATCH OVERAGE
AK012221A	1	FM/I	679.7(f)	IFQ PERMIT NOT ON PNOL
AK012222A	1	FM/I	679.7(f)	IFQ PERMIT NOT ON PNOL
AK012223A	1	FM/V	679.7(f)(6)	IFQ OVERAGE
AK012224A	1	FM/V	679.7(a)(16)	ROCKFISH BYCATCH OVERAGE
AK012225A	1	FM/V	679.7(f)(4)	IFQ SABLEFISH OVERAGE
AK012226A	1	FM/I	679.7(f)(4)	IFQ OVERAGE
AK012228A	1	FM/I	679.7(f)(4)	IFQ OVERAGE
AK012229A	1	FM/I	679.7(f)	NO IFQ PNOL
AK012230A	1	FM/I	679.7(f)	IFQ OVERAGE
AK012231A	1	SR/W	STATE REGS	FAIL TO RELEASE SNAGGED SALMON IN THOMAS BASIN
AK012232A	1	FM/I	679.7(f)	IFQ PERMIT NOT REPORTED ON PNOL
AK012233A	1	FM/I	679.7(f)	IFQ LANDING REPORTED BY HIRED SKIPPER
AK012234A	1	FM/I	679.7(f)(4)	IFQ OVERAGE FROM PERMIT SANCTION
AK012235A	1	FM/I	679.7(f)	IFQ PERMIT NOT REPORTED ON PNOL
AK012236A	1	FM/I	679.7(f)	NO IFQ PNOL

CASES OPENED (continued)

Case Number	Cnt	Type	Regulation	Description of Violation
AK012237A	1	FM/I	679.7(f)(4)	IFQ OVERAGE
AK012238A	1	FM/W	679.7(f)	NO IFQ PNOL
AK012239A	1	FM/I	679.7(f)(4)	IFQ OVERAGE
AK012240A	1	FM/V	679.7(f)(4)	IFQ HALIBUT OVERAGE
AK012241A	1	FH/V	300.65-23(1)	I8LLEGAL GEAR FOR HALIBUT SPORT FISHING
AK012241A	2	FH/V	300-65-23(13)	POSSESS SPORT-CAUGHT HALIBUT ON BOARD W/COMMERCIAL SHRIMP
AK012242A	1	FM/I	679.7(f)(4)	IFQ OVERAGE
AK012243A	1	FM/I	679.7(f)	IFQ PERMIT NOT REPORTED ON PNOL
AK012244A	1	FM/I	679.7(f)(4)	IFQ OVERAGE
AK012245A	1	FM/W	679.7(f)	IFQ PERMIT NOT REPORTED ON PNOL
AK012246A	1	FM/I	679.7(f)	NO IFQ PNOL
AK012247A	1	FM/V	679.7(f)(4)	IFQ OVERAGE
AK012248A	1	FM/I	679.7(f)	IFQ PERMIT NOT REPORTED ON PNOL
AK012249A	1	FM/I	679.7(f)	IFQ OVERAGE
AK012250A	1	MM/C	216.11	ILLEGAL TAKE OF MARINE MAMMAL (HARBOR SEALS)
AK012251A	1	FM/I	679.7(f)	IFQ PERMIT NOT REPORTED ON PNOL
AK012252A	1	FM/W	679.4(d)(6)(i)	FAIL TO HAVE IFQ PERMIT ONBOARD WHILE FISHING IFQ HALIBUT
AK012253A	1	FM/I	679.7(f)	IFQ PERMIT NOT REPORTED ON PNOL
AK012254A	1	FM/I	679.7(f)	IFQ REPORTING ERROR
AK012255A	1	FM/V	679.7(f)(4)	IFQ HALIBUT OVERAGE
AK012256A	1	FM/V	679.7(f)(4)	IFQ SABLEFISH OVERAGE
AK012257A	1	FM/V	679.7(f)(4)	IFQ HALIBUT OVERAGE
AK012258A	1	FM/V	679.7(f)(4)	IFQ OVERAGE
AK012259A	1	FM/V	679.42(h)(2)(ii)	VESSEL CAP ALLOCATION OVERAGE
AK012260A	1	FM/V	679.7(f)(12)	IFQ LANDING PRIOR TO SCHEDULED PNOL
AK012261A	1	FM/V	679.42(i)(2)	UNLAWFUL IFQ LANDING
AK012262A	1	FM/V	679.7(f)(4)	IFQ OVERAGE
AK012263A	1	FM/I	679.7(f)	IFQ OVERAGE
AK012264A	1	FM/I	679.7(f)	EXCEED IFQ VESSEL CAP
AK012265A	1	FM/I	679.7(f)	UNLAWFUL IFQ LANDING (HIRED SKIPPER)
AK012266A	1	FM/I	679.7(f)	IFQ OVERAGE
AK012267A	1	FM/I	679.7(f)	UNLAWFUL IFQ LANDING
AK012268A	1	FM/I	679.7(f)(4)	IFQ OVERAGE
AK012269A	1	FM/I	679.7(f)(12)	NO IFQ PNOL
AK012270A	1	FM/I	679.7(f)(4)	IFQ OVERAGE
AK012271A	1	FM/I	679.7(f)(4)	IFQ OVERAGE
AK012272A	1	FM/I	679.7(f)(12)	LANDED BEFORE IFQ PNOL SCHEDULED TIME
AK012273A	1	FM/I	679.7(f)(12)	NO IFQ PNOL
AK012274A	1	FM/I	679.7(f)(4)	IFQ OVERAGE
AK012276A	1	FM/I	679.7(f)	NO IFQ PNOL
AK012277A	1	FM/I	679.7(f)	EXCEED IFQ VESSEL CAP

CASES OPENED (continued)

Case Number	Cnt	Type	Regulation	Description of Violation
AK012278A	1	FM/V	679.7(f)(14)	LAND IFQ HALIBUT OTHER THAN TO RB
AK012279A	1	FM/I	679.7(f)	EXCEED IFQ VESSEL CAP
AK012280A	1	FM/I	679.7(f)	PERMIT NOT REPORTED ON IFQ PNOL
AK012285A	1	FM/I	679.7(f)(4)	IFQ OVERAGE
AK013004A	1	FM/W	679.7(f)(2)	INACCURATE IFQ LANDING REPORTS
AK013135A	1	SR/V	STATE REGS.	NO VALID CFEC PERMIT
AK013136A	1	FH/W	300.6519(5)	FAILURE TO COMPLY WITH GEAR MARKING SPECIFICATIONS
AK013136A	2	FH/W	300.65-16(3)(b)	FAILURE CO COMPLETE LOGBOOK
AK013136A	3	FM/W	679.24(e)(3)	FAILURE TO EMPLOY SEABIRD AVOIDANCE MEASURES
AK013136A	4	SR/W	STATE REGS	FAILURE TO REGISTER VESSEL (NO GROUND FISH AREA REGISTRATION)
AK013136A	5	SR/W	STATE REGS	VESSEL LICENSE NO ON BOARD
AK013137A	1	FM/W	679.24(e)(3)	FAILURE TO EMPLOY SEABIRD AVOIDANCE MEASURES
AK013139A	1	FM/I	679.7(f)(9)	EXCEEDED IFQ VSL CAP
AK013140A	1	FM/V	679.7(a)(10)	FAIL TO SUBMIT CATCHER DFL TO PROCESSOR
AK013140A	2	FM/W	679.7(f)(5)	SOLD IFQ HALIBUT W/O IFQ PERMIT
AK013141A	1	FM/W	300.65-16(3)(b)	FAIL TO ENTER LAT. & LONG. ENTRIES IN IFQ LOGBOOK
AK013142A	1	FM/I	679.7(f)(12)	MAKE IFQ LANDING W/O PNOL
AK013144A	1	MM/I	216.11(b)	UNLAWFUL TAKE OF MARINE MAMMAL (SEALS)
AK013145A	1	FM/X	679.7(a)(1)	FEDERAL FISHERIES PERMIT NOT ON BOARD
AK013146A	1	FM/I	679.7(f)(1)	SUBMIT INACCURATE IFQ INFORMATION
AK013147A	1	FM/V	679.7(f)(9)	IFQ HALIBUT OVERAGE
AK013149A	1	MM/I	216.11	UNLAWFUL TAKE OF MARINE MAMMAL (HARBOR SEAL)
AK013150A	1	FH/W	300.65-16(1)	NO APPROVED HALIBUT LOG
AK013151A	1	FM/W	679.7(f)(1)	SUBMIT INACCURATE IFQ INFORMATION
AK013152A	1	FM/I	679.7(f)(12)	NO IFQ PRIOR NOTICE OF LANDING
AK013153A	1	MM/I	216.13(b)	PROHIBITED USES, TRANSPORT, AND SALE OF MM OR PRODUCT
AK013154A	1	MM/I	216.11(b)	UNLAWFUL TAKE OF MARINE MAMMAL (BELUGA WHALE)
AK013155A	1	FM/V	679.7(a)(10)	FAILURE TO SUBMIT 2001 DFL BLUE PAGES TO PROCESSOR
AK013156A	1	FM/W	679.7(f)(1)	SUBMITTED INACCURATE IFQ INFORMATION
AK013156B	1	FM/W	679.7(f)(1)	SUBMITTED INACCURATE IFQ INFORMATION
AK013158A	1	FM/V	600.725	RETENTION OF UNLAWFUL ROCKFISH
AK013159A	1	MM/I	216.13(b)	UNLAWFUL SALE OF MM PARTS IN INTERSTATE COMMERCE
AK013160A	1	ES/C	50 CFR 227.12	DEAD STELLAR SEA LION
AK013161A	1	SR/V	STATE REGS	FAILURE TO OBTAIN 2001 PRINCE WILLIAM SOUND GROUND FISH REG.
AK013161A	2	SR/V	STATE REGS	ILLEGAL POSSESSION OF IFQ HALIBUT FILLETS
AK013161A	3	SR/V	STATE REGS	ILLEGAL POSSESSION OF SABLEFISH
AK013162A	1	MM/I	216.1(b)	ILLEGAL TAKE OF MARINE MAMMAL (BELUGA)
AK013163A	1	FM/W	679.7(f)(1)	INACCURATE IFQ INFO
AK013164A	1	FM/W	679.7(f)(1)	INACCURATE IFQ INFO
AK013165A	1	FM/V	679.7(f)(10)	ACCEPTED IFQ HALIBUT W/O IFQ RB PERMIT
AK013166A	1	FM/I	679.87	EXCEEDED IFQ AVAILABLE

CASES OPENED (continued)

Case Number	Cnt	Type	Regulation	Description of Violation
AK013167A	1	MM/I	216.11(b)	ILLEGAL TAKE OF COOK INLET BELUGA WHALES
AK013168A	1	MM/C	216.11(b)	TAKE OF MARINE MAMMAL (ORCAS)
AK013169A	1	MM/C	216.11(b)	ILLEGAL TAKE OF MARINE MAMMAL (BELUGA)
AK013170A	1	MM/V	216.11(b)	ILLEGAL TAKE OF STELLER SEA LION
AK013171A	1	FH/V	679.7(f)(6)	NO VALID IFQ HALIBUT PERMIT
AK013172A	1	FM/V	679.7(f)(9)	IFQ HALIBUT OVERAGE
AK013173A	1	FM/V	679.7(f)(12)	NO IFQ PNOL
AK013174A	1	FH/X	300.65-16(3)(b)	HALIBUT LOG NOT UPDATED PRIOR TO OFFLOAD
AK013174A	2	FM/V	679.7(a)(16)	PACIFIC COD BYCATCH OVERAGE
AK013175A	1	FM/W	679.7(a)(10)	FAIL TO COMPLY WITH R&R REQUIREMENTS
AK013176A	1	FM/W	679.7(a)(1)	NO FEDERAL FISHERIES PERMIT
AK013177A	1	FM/V	6797(f)(12)	NO IFQ PNOL
AK013178A	1	FM/W	679.7(f)(9)	IFQ HALIBUT OVERAGE
AK013179A	1	FM/I	679.7(f)(9)	IFQ HALIBUT OVERAGE
AK013180A	1	FM/I	679.7(f)(12)	NO IFQ PNOL
AK013181A	1	FM/W	679.7(f)(12)	IFQ LANDING W/O PNOL
AK013187A	1	FM/V	679.7(f)(4)	IFQ HALIBUT OVERAGE
AK013188A	1	FM/V	679.7(a)(2)	ROCKFISH BYCATCH OVERAGE
AK013189A	1	FM/V	679.7(f)(4)	IFQ SABLEFISH OVERAGE
AK013190A	1	FM/V	679.7(a)(2)	ROCKFISH BYCATCH OVERAGE
AK013191A	1	FM/V	679.7(f)(4)	IFQ HALIBUT OVERAGE
AK013192A	1	FM/I	679.42(h)(1)	EXCEED VESSEL CAP FOR IFQ HALIBUT
AK013193A	1	FM/I	679.7(f)(1)	SUBMIT INACCURATE INFO ON IFQ PNOL
AK013193A	2	FM/I	679.24(g)(2)	GEAR BUOYS NOT MARKED OR NOT CLEARLY VISIBLE
AK013193A	3	FM/I	679.7(f)(8)	DISCARDING SABLEFISH WHEN IFQ HALIBUT WERE ONBOARD
AK013194A	1	FM/I	679.7(f)(10)	MADE IFQ LANDING OTHER THAN TO A REGISTERED BUYER
AK013194A	2	FM/I	679.5(1)	MADE AN IFQ LANDING W/O PNOL
AK013195A	1	FM/V	679.7(a)(2)	UNLAWFUL RETENTION OF ROCKFISH
AK013195A	2	FM/I	679.24(a)(2)	BUOY MARKINGS NOT CLEARLY VISIBLE
AK013195A	3	SR/I	STATE REGS	NUMBER PLATE NOT PERMANENTLY FASTENED TO VESSEL
AK013196A	1	FM/I	679.7(f)(12)	MADE AN IFQ LANDING W/O PNOL
AK013197A	1	FM/W	679.7(f)(1)	IFQ LANDING REPORT NOT SUBMITTED WITHIN TIME LIMIT
AK013197A	2	FM/W	679.7(f)(1)	DOCKSIDE SALES RECEIPT MISSING RB PERMIT NO.
AK013198A	1	SR/W	STATE REGS	NO CREWMEMBER FISHING LICENSE
AK013199A	1	SR/V	STATE REGS	NO PWS MANAGEMENT AREA REGISTRATION
AK013200A	1	FM/I	679.7(f)(12)	NO IFQ PNOL
AK013201A	1	ES/I	1538	UNLAWFUL TAKE OF BELUGA WHALE
AK013202A	1	ES/I	1538	UNLAWFUL TAKE OF HUMPBACK WHALE
AK013203A	1	FH/X	300.65-16(1)	NO APPROVED HALIBUT LOGBOOK ONBOARD
AK013203A	2	FM/X	679.4(b)(9)	ORIGINAL FEDERAL FISHERIES PERMIT NOT ONBOARD
AK013204A	1	FM/I	679.7(f)(4)	IFQ HALIBUT OVERAGE

CASES OPENED (continued)

Case Number	Cnt	Type	Regulation	Description of Violation
AK013205A	1	FM/I	679.7(f)(12)	IFQ LANDING W/O PNOL
AK013206A	1	FM/V	679.7(a)10)	FAILURE TO SUBMIT QUARTERLY LOGBOOK PAGES
AK013206A	2	FM/V	679.7(a)(3)	FAILURE TO COMPLY WITH OBSERVER COVERAGE REQUIREMENTS
AK013206A	3	FM/V	679.7(a)(10)	FAILURE TO ACCOUNT OF EACH DAY OF FISHING YEAR
AK013207A	1	FH/W	300.65-16(3)(b)	FAILURE TO UPDATE HALIBUT LOGBOOK IN TIMELY MANNER
AK013208A	1	FM/W	679.24(a)	GEAR BUOYS NOT PROPERLY MARKED
AK013208A	2	FM/W	679.7(f)(3)	IFQ PERMIT NOT ON BOARD
AK013208A	3	FM/V	679.7(a)(2)	RETENTION OF PROHIBITED SPECIES, ROUGHEYE/ROCKFISH
AK013209A	1	FM/W	679.24(a)	GEAR BUOYS NOT PROPERLY MARKED
AK013209A	2	FM/W	679.24(e)	FAILURE TO EMPLOY SEABIRD AVOIDANCE METHODS
AK013211A	1	FM/V	679.7(f)	VESSEL LENGTH EXCEEDS IFQ PERMIT LENGTH
AK013214A	1	FM/I	679.7(f)(12)	NO IFQ PNOL
AK014067A	1	MM/I	229.6	FAILURE TO REPORT TAKE OF MARINE MAMMAL TO OBSERVER
AK014068A	1	FM/I	679.50(h)(2)(II)	OBSERVER CONDUCT - ASSAULT/EXCESSIVE ALCOHOL USE
AK014069A	1	FM/I	679.7(g)(2)	BIAS OBSERVERS SAMPLING PROCEDURES
AK014070A	1	FM/I	679.7(a)(13)(iv)	FAILURE TO PROPERLY RELEASE HALIBUT
AK014071A	1	FM/I	679.7(g)(5)	HARASS AND INTIMIDATE AN OBSERVER
AK014071A	2	FM/I	679.7(a)(13)(iv)	FAILURE TO PROPERLY RELEASE PSC HALIBUT
AK014072A	1	FM/I	679.7(g)(4)	PROHIBIT OBSERVER FROM COLLECTING SAMPLES
AK014072A	2	FM/I	679.21(b)(2)(ii)	FAILURE TO RELEASE PSC WITH MINIMUM INJURY
AK014072A	3	FM/I	679.7(a)(10)	FAILURE TO MAINTAIN LOGBOOK PROPERTY
AK014073A	1	FM/I	679.7(g)(4)	PROHIBIT OBSERVER FROM COLLECTING SAMPLES
AK014074A	1	FM/I	679.7(g)(5)	SEXUALLY HARASS AN OBSERVER
AK014075A	1	FM/V	679.7(g)(5)	SEXUALLY HARASS AN OBSERVER
AK015008A	1	FM/W	679.7(f)(12)	MAKE AN IFQ LANDING W/O PNOL
AK015011A	1	FH/W	300.65-17(3)	UNLAWFUL LANDING
AK015024A	1	FM/I	679.7(f)	LAND IFQ FISH WITH IMPROPER PERMIT
AK0150251	1	FM/W	679.5(I)(2)(i)	FAIL TO MAKE IFQ LANDING W/IN 6 HOURS
AK015025A	1	FM/W	679.5(I)(2)(i)	FAIL TO MAKE IFQ LANDING W/IN 6 HOURS
AK015026A	1	FM/I	679.7(f)(1)	FAILURE TO SUBMIT ACCURATE INFORMATION ON IFQ LANDING
AK015027A	1	FM/W	679.7(f)(12)	LAND IFQ W/NO PNOL FOR PERMIT
AK015028A	1	FM/W	679.7(f)(9)	IFQ LANDING WITH INSUFFICIENT QUOTA
AK015029A	1	FM/I	679.7	IFQ OVRAGE
AK015031A	1	FM/W	679.7(f)(12)	NO IFQ PNOL FOR LANDING
AK015032A	1	SR/V	STATE REGS.	UNLAWFUL FISHING FOR PACIFIC COD AFTER CLOSURE
AK015033A	1	FM/W	679.7(a)(10)	FAIL TO COMPLETE SHIPMENT REPORTS
AK015034A	1	FM/W	679.7(f)(12)	NO IFQ PNOL
AK015035A	1	FM/I	679.7(a)(12)	NO IFQ PNOL
AK015036A	1	FM/I	679.7	NO IFQ PNOL
AK015037A	1	FM/I	679.7	IFQ OVRAGE
AK015038A	1	FM/I	679.7(g)(6)	LACK OF OBSERVER COVERAGE FOR FIRST QUARTER 2000

CASES OPENED (continued)

Case Number	Cnt	Type	Regulation	Description of Violation
AK015039A	1	FM/I	679.7	IFQ OVERAGE
AK015040A	1	FM/I	679.7	SUBMIT INACCURATE IFQ INFO.
AK015041A	1	FM/I	679.7(f)	NO IFQ PNOL
AK015042A	1	FM/I	679.7(f)	WRONG IFQ PERMIT CARD USED
AK015043A	1	FM/I	679.7(f)	IFQ OVERAGE
AK015044A	1	FM/I	679.7(f)	IFQ PERMIT NOT REPORTED ON PNOL
AK015045A	1	FM/I	679.7(f)	NO IFQ PNOL
AK015046A	1	FM/V	679.7(f)	IFQ SABLEFISH OVERAGE
AK015047A	1	FM/V	679.7(f)	IFQ OVERAGE
AK015048A	1	FM/I	679.7(f)	IFQ PERMIT NOT ON PNOL
AK015049A	1	FM/I	679.7(f)(12)	NO IFQ PNOL FOR PERMIT
AK015050A	1	FM/W	679.7(a)(10)	FAIL TO COMPLY WITH RECORDKEEPING AND REPORTING INFORMATION
AK015051A	1	FM/V	679.7(a)(10)	FAIL TO COMPLY WITH RECORDKEEPING AND REPORTING REQUIREMENTS
AK015052A	1	FM/V	679.7(f)(2)	SUBMIT FALSE INFO ON IFQ LANDING REPORT
AK015053A	1	FM/W	679.7(f)(12)	NO IFQ PNOL
AK015054A	1	FM/I	679.7(f)(9)	NO IFQ QUOTA IN AREA FISHING
AK015055A	1	FM/I	679.7(f)	IFQ PERMIT NOT REPORTED ON PNOL
AK015056A	1	FM/I	679.7(f)	IFQ OVERAGE
AK015057A	1	FM/I	679.7(f)	NO IFQ PNOL
AK015058A	1	FM/S	1857(2)(b)	UNLAWFUL FISHING IN US EEZ
AK015059A	1	FM/W	679.7(f)	IFQ PERMIT NOT REPORTED ON PNOL
AK015060A	1	FM/I	679.7(f)	NO IFQ PNOL
AK015061A	1	FM/W	679.7(f)(3)(i)	IFQ LANDING MADE WITH PERMIT FOR ANOTHER VESSEL
AK015062A	1	FM/I	679.7(f)(12)	NO IFQ PNOL
AK015063A	1	FM/W	223.202(a)(2)	ENTERING SEA LION ROOKERY WHILE SPORT FISHING
AK015064A	1	FM/I	679.7(f)	IFQ PERMIT NOT ON PNOL
AK015065A	1	FM/I	679.7(f)	IFQ LANDING MADE PRIOR TO PNOL
AK015066A	1	FM/W	679.7(a)(12)	RETAIN PROHIBITED SPECIES (ROCKFISH)
AK015067A	1	FH/I	300.65-15(1)	CAREFUL RELEASE OF HALIBUT
AK015068A	1	FM/I	679.7(f)	FAILURE TO SUBMIT IFQ PNOL
AK015069A	1	FM/I	679.7(f)	IFQ RB PERMIT NOT VALID
AK015070A	1	FM/I	679.7(f)	IFQ PERMIT NOT ON PNOL
AK015071A	1	FM/V	679.7(g)(2)	INTERFERE W/OBSERVER SAMPLING PROCEDURES
AK015071A	2	FM/V	679.7(g)(3)	TAMPER W/OBSERVER'S COLLECTED DATA
AK015071A	3	FM/V	679.7(g)(4)	REFUSE REASONABLE ASSISTANCE OF OBSERVER COLLECTING SAMPLES
AK015072A	1	MM/I	226.202	COLLECTION OF MM PART IN ROOKERY
AK015073A	1	FM/W	679.7(f)	IFQ PERMIT NOT ON PNOL
AK015074A	1	FM/W	679.7(f)(12)	IFQ LANDING MADE AFTER 2 HOURS OF SCHEDULED PNOL
AK015075A	1	FM/W	679.7(f)(1)	FAIL TO SUBMIT ACCURATE INFORMATION ON IFQ REPORTS/FORMS
AK015076A	1	FM/V	679.7(a)(2)	CONDUCT FISHING IN CRITICAL HABITAT ZONE
AK015077A	1	FM/I	679.7(f)	IFQ LANDING PRIOR TO SCHEDULED PNOL

CASES OPENED (continued)

Case Number	Cnt	Type	Regulation	Description of Violation
AK015078A	1	FM/V	679.7(j)(2)	POLLOCK TRIP LIMIT OVERAGE
AK015080A	1	FM/I	679.7(f)	IFQ LANDING PRIOR TO SCHEDULED PNOL
AK015081A	1	FM/W	679.7(f)(1)	IFQ PERMIT NOT REPORTED ON PNOL
AK015082A	1	FM/I	679.7(f)(9)	IFQ OVERAGE
AK015083A	1	FM/I	679.7(f)	NO IFQ PNOL
AK015084A	1	FM/I	679.7(f)	NO IFQ PNOL
AK015085A	1	FM/V	679.7(i)(2)	GOA POLLOCK 300,000 OVERAGE
AK015086A	1	FM/I	679.7(f)	IFQ OVERAGE
AK015087A	1	FM/I	679.7(f)	SUBMIT INACCURATE INFO ON IFQ LANDING REPORT
AK015088A	1	FM/I	679.7(f)	NO IFQ PNOL
AK015089A	1	FM/I	679.7(f)	NO IFQ PNOL
AK015090A	1	FM/I	679.7(f)	NO IFQ PNOL
AK015091A	1	FM/I	679.7(f)	PERMIT NOT REPORTED ON IFQ PNOL
AK015092A	1	FM/I	679.7(f)	NO IFQ PNOL
AK015093A	1	FM/I	679.22(12)(iii)	UNLAWFUL FISHING IN CLOSED ROOKERY
AK015094A	1	SR/I	STATE REGS	STATE TAX VIOLATION

CATCH SEIZURES

07/01/2001 - 09/30/2001

Case Number	Date of Seizure	Vessel	Description of Seizure	Value of Seizure
AK010116A	07-SEP-2001	KITTY FUR II	44 UNDERSIZE HALIBUT	1.00
AK010116A	07-SEP-2001	KITTY FUR II	1 UNDERSIZE HALIBUT	1.00
AK011189A	23-JUL-2001	ST PAOLO	PROCEEDS FROM IFQ HALIBUT OVERAGE	1,905.16
AK011217A	30-AUG-2001	SYLVIA	PROCEEDS FROM IFQ HALIBUT OVERAGE	4,899.92
AK011218A	01-SEP-2001	SLAVA II	PROCEEDS FROM IFQ SABLEFISH OVERAGE	7,840.73
AK012194A	11-JUL-2001	VOYAGER	PROCEEDS FROM IFQ HALIBUT OVERAGE	1,182.39
AK012200A	14-JUL-2001	CHINA B	PROCEEDS FROM IFQ OVERAGE	1,244.87
AK012202A	16-JUL-2001	BLUE DOLPHIN	PROCEEDS FROM IFQ HALIBUT OVERAGE	573.91
AK012210A	30-JUL-2001	UNKNOWN	PROCEEDS FROM IFQ HALIBUT OVERAGE	121.50
AK012218A	08-AUG-2001	DOVE ISLAND	PROCEEDS FROM IFQ HALIBUT OVERAGE	199.75
AK012219A	11-AUG-2001	LIAHONA	PROCEEDS FROM IFQ HALIBUT OVERAGE	384.45
AK012225A	17-AUG-2001	SUNDEE LYNN	PROCEEDS FROM IFQ SABLEFISH OVERAGE	20.40
AK012240A	01-SEP-2001	PATRICIA S	PROCEEDS FROM IFQ HALIBUT OVERAGE	192.70
AK012255A	18-SEP-2001	UNNAMED	PROCEEDS FROM IFQ HALIBUT OVERAGE	211.50
AK012257A	17-SEP-2001	AMANDA J	PROCEEDS FROM IFQ HALIBUT OVERAGE	482.10
AK013147A	15-JUL-2001	NORMA L	PROCEEDS FROM IFQ HALIBUT OVERAGE	1,245.90
AK013172A	14-AUG-2001	MAR DEL NORTE	PROCEEDS FROM IFQ HALIBUT OVERAGE	3,946.00
AK013174A	14-AUG-2001	WAHOO	PROCEEDS FROM PACIFIC COD BYCATCH OVERAGE	25.50
AK013190A	05-SEP-2001	AUTOMATIC	UNLAWFUL RETENTION OF ROCKFISH	1.00
			Total:	\$24,459.58

CASES FORWARDED TO GENERAL COUNSEL FOR FURTHER ACTION

07/01/2001 - 09/30/2001

Total Number of Cases Forwarded to GC = 30

Case Number	Cnt	Regulation	Description of Violation	Seized Property
AK001140A	1	679.7(d)(5)	CDQ ATKA MACKEREL OVERAGE	
AK001212A	1	679.7(g)(6)	SHORT OF OBSERVER COVERAGE	
AK004162A	1	679.7(a)(14)	PARTICIPATE IN POLLOCK FISHERY WITH CRAB ONBOARD	
AK010071A	1	679.7(g)(6)	FAILURE TO MEET 30% OBSERVER COVERAGE REQUIREMENT	
AK010086A	1	679.7(a)(3)	FAILURE TO MEET OBSERVER COVERAGE REQUIREMENTS	
AK010097A	1	679.27(c)(2)(i)	FAILURE TO RETAIN IR/IU SPECIES (POLLOCK)	
AK010097A	2	679.5	FAILURE TO LOG DISCARD/DISPOSITION CODES	
AK010097A	3	679.5(c)(2)(i)(A)(1)	FAILURE TO LOG ESTIMATED CATCH WEIGHTS	
AK010097A	4	679.5(a)(6)(ii)	FAILURE TO MAKE DAILY LOGBOOK ENTRIES	
AK010117A	1	679.7(f)(11)	UNLAWFULDISCARD OF LEGAL SIZE HALIBUT	
AK011039A	1	679.7(g)(6)	FAILURE TO OBTAIN OBSERVER COVERAGE	
AK011040A	1	679.7(g)(6)	FAILURE TO MEET OBSERVER COVERAGE REQUIREMENTS	
AK011048A	1	679.7(g)(6)	FAILURE TO MEET OBSERVER COVERAGE REQUIREMENTS	
AK011049A	1	679.7(g)(6)	FAILURE TO MEET OBSERVER COVERAGE REQUIREMENTS	
AK011056A	1	679.27(f)	UNLAWFUL DISCARD OF IR/IU SPECIES	
AK011072A	1	679.7(a)(2)	FISHING IN CLOSED AREA	
AK011072A	3	679.7(a)(2)	FISHING IN CLOSED AREA	
AK011100A	1	679.7(g)(6)	FAILURE TO OBTAIN ADEQUATE OBSERVER COVERAGE	
AK012026A	1	679.50(c)(vi)	FAILURE TO HAVE SUFFICIENT AND REQUIRED OBSERVER COVERAGE	
AK012026A	2	679.5(a)(7)(i)	FAILURE TO PROPERLY MAINTAIN LOGBOOK	
AK012067A	1	679.7(a)(3)	FISHING WITHOUT 30% REQUIRED OBSERVER COVERAGE	
AK012082A	1	300.65-13(1)(a)	ILLEGAL POSSESSION OF UNDERSIZE HALIBUT	13.00
AK012082A	2	300.65-17(7)	FALSE ENTRY OF HALIBUT WEIGHT ON STATE FT	
AK012082A	3	679.7(f)(1)	SUBMIT INACCURATE IFQ LANDING REPORT	
AK012082A	4	679.7(f)(3)(ii)	RETAIN IFQ FISH W/O VALID IFQ PERMIT AND CARD	
AK012082A	5	679.7(f)(12)	MAKE IFQ LANDING W/O PNOL	
AK012082B	1	300.65-13(1)(a)	ILLEGAL POSSESSION OF UNDERSIZE HALIBUT	
AK012082B	2	679.7(f)(3)(ii)	RETAIN IFQ FISH W/O VALID IFQ PERMIT AND CARD	
AK013027A	1	300.65-13(2)	UNLAWFUL POSSESSION/FILLETING OF HALIBUT ONBOARD	1.00
AK013027A	2	679.7(f)(12)	NO IFQ PNOL	
AK013078A	1	679.7(a)(10)	FAILURE TO COMPLY WITH R&R REQUIREMENTS	
AK013078A	2	679.7(g)(6)	FAILURE TO OBTAIN OBSERVER COVERAGE	
AK013078A	3	679.50(f)(1)(ii)(B)(1)	FAILURE TO RENEW USCG SAFETY DECAL W/ OBSERVER ONBOARD	
AK014006A	1	679.28(b)(3)	FAILURE TO HAVE A TESTED SCALE AVAILABLE TO OBSERVER	
AK014006A	2	679.28(b)(3)(ii)(B)(1)	LACK OF REQUIRED CDQ TEST WEIGHTS AVAILABLE TO OBSERVER	
AK015003A	1	679.7(f)(3)(i)/679.7(f)(3)(ii)	FISHING AS AN IFQ HIRED SKIPPER WITHOUT THE PROPER PERMIT	

CASES FORWARDED TO GENERAL COUNSEL FOR FURTHER ACTION (cont.)

Case Number	Cnt	Regulation	Description of Violation	Seized Property
AK015003A	2	679.7(f)(6)	MAKE IFQ LANDING WITHOUT THE PROPER PERMIT	
AK015033A	1	679.7(a)(10)	FAIL TO COMPLETE SHIPMENT REPORTS	
AK015078A	1	679.7(j)(2)	POLLOCK TRIP LIMIT OVERAGE	
AK991152A	1	679.7(f)(6)	FAILURE TO HAVE IFQ PERMIT WHEN MAKING AN IFQ LANDING	
AK991242A	1	679.7(g)(5)	ATTEMPTED COERCION OF OBSERVER DATA	
AK991242A	2	679.50(f)(7)(vi)	FAILURE TO NOTIFY OBSERVER OF HAULBACK	
AK991242A	3	679.21(a)(ii)	FAILURE TO ALLOW OBSERVER SAMPLING OF PROHIBS	
AK992155A	1	679.7(f)(4)	IFQ HALIBUT OVERAGE	9,800.30
AK993061A	1	679.7(f)(3)(ii)	UNLAWFUL RETENTION OF IFQ SABLEFISH/GROUNDFISH	8,020.70
AK994117A	1	679.7(g)(2)	FISHING CONTRARY TO INSEASON ADJUSTMENT	
AK994163A	1	679.28(d)(2)(ii)	FAILURE TO PROVIDE ADEQUATE OBSERVER SAMPLING STATION	
AK994163A	2	679.50(f)(1)(i)	FAILURE TO PROVIDE ADEQUATE OBSERVER ACCOMODATIONS	

OTHER CASE ACTIONS

07/01/2001 - 09/30/2001

Number of Cases	Action	Summary Settlement Amount	NOVA Amount	Final Penalty	Forfeited Proceeds and/or Property
128	Dismissed by Enf., no violation				
19	Closed by Enf. due to lack of evidence				
90	Closed by Enf. due to lack of resources				
14	Transferred to another Region or agency				
23	Written warning issued by Enf.				
7	Written warning issued by GC				
4	Written warning (FIX-IT) issued by F/EN				
12	Written warning (FIX-IT) issued by USCG				
2	Summary Settlement issued by field or USCG				
60	Summary settlement paid	\$135,674.15			
19	Property forfeited or abandoned				\$24,708.97
2	Case/Count merged				
12	NOVA issued		\$589,775.17		
5	Settlement agreement conditions met				
3	Suspended; case remains open				
13	Settlement agreement signed by GC				
4	Declined by ENF				
2	Declined by GCAK				
3	Extension of time granted to respondent				
60	Verbal Warning Issued				
3	Settlement agreement conditions not met				
1	Referred to USA				
1	Notice of proposed forfeiture sent				
2	PPIP filed by GC				
1	Conviction or order of forfeiture				
1	Civil penalty action filed				