

National Marine Fisheries Service
Office for Law Enforcement

ALASKA ENFORCEMENT DIVISION

QUARTERLY REPORT



Second Quarter FY01
January 1 - March 31, 2001

To report fisheries violations,
call our National Hotline at 1-800-853-1964.

<http://www.nmfs.noaa.gov/ole/Alaska/index.html>

ALASKA ENFORCEMENT DIVISION

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January 1, 2001 - March 31, 2001

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SIGNIFICANT ACTIONS

A. Quarterly Milestone Activity

AKED had the following OLE milestone assigned this quarter:

Prepare a plan of action for enforcement measures to protect Steller Sea Lions

An enforcement plan for Steller Sea Lions was developed and submitted through channels. The plan includes working with the Coast Guard and the Alaska Fish & Wildlife Protection to use their air and sea assets to monitor fishing compliance around the Critical Habitat areas. The plan also includes the use of VMS when regulations are put in place.

Numerous incursions into critical habitat areas have been detected and documented since the more restrictive measures were put in place last August.

AKED Planning and Goal setting:

As part of AKED planning process the SAC met with the RA, Alaska GC and the Coast Guard to review Region/ Management priorities. The following areas were determined to be the highest priority:

1. Observer-Related Offenses

- Interference and harassment
- Interference with sampling
- Bribery

2. Cook Inlet Belugas

- Illegal takes (poaching)

3. Steller Sea Lion Protection Measures

4. Sea Bird Avoidance Measures

5. Record keeping and Reporting Violations

- Failure to maintain observer coverage
- Violations that affect the Agency's ability to carry out its management responsibilities such as willful or grossly negligent misreporting.

6. IFQ Specific Violations

- Closed Areas or Closed Season Violations
- Illegal Landings / emphasis on those with Registered Buyer participation

7. Interdiction of Maritime Boundary Line Incursions

Two new priorities, sea bird avoidance and maritime boundary line incursions, made it on the list because the Coast Guard, like AED, has multiple taskings, and wanted to know where these two issues fell in the Region's priorities. Although these two require little or no planning for AED, the maritime boundary line priority will become an issue if a foreign vessel is seized by the Coast Guard.

B. Major Cases

FOREIGN FISHING VESSELS SEIZURES

No vessels were seized during the quarter.

No satisfactory settlement offer has been received from the owner of the Chinese FFV MING CHANG, and the case may go to trial. The MING CHANG was seized in August 2000 for trawling in the U.S. EEZ without a permit. Further investigation revealed an additional incursion may have occurred in July of the same year. The Chinese owner posted a bond of \$1.5 million to release the vessel.

OTHER CASES

The following cases have significant outcomes or interest. They are listed under the priorities agreed upon between AKED, the NMFS Regional Administrator, and GCAK.

Observer-related:

- Three vessels are being investigated for observer harassment. One investigation involves a female observer.
- A review was conducted of the last two years compliance rates concerning vessels required to carry a NMFS-CERTIFIED observer on their vessel 30% of the time. Compliance was low. As many as fifty vessels have violations of this regulation. AED has begun opening cases on the most egregious offenders and plans to contact every one of these vessels to gain compliance.
- In separate matters, three observers were investigated for misconduct, ranging from cohabitation to controlled substances. Our information was turned over to the Observer Program. All have been suspended pending their de-certification.

ESA/MMPA

Steller Sea Lions:

- Critical Habitat violations - Last quarter we reported Special Agents were assigned to

investigate 43 vessels for fishing in critical habitat areas. Twenty-five cases were documented and were referred to GC and the U.S. Attorney for prosecution. Eight additional investigations have been initiated this quarter.

- In January, Stanley Pedersen was tried and found guilty of shooting Stellar Sea Lions with a shotgun during 1999. Pederson was also convicted on weapons charges as he is a felon. He received 46 months for the weapon violations and one year for the ESA violation. The case was made on witness testimony, as no weapon could be recovered.

Cook Inlet Beluga Whales:

- In March, AED began beluga outreach and protection efforts for 2001. AKED will have Enforcement Officers and Special Agents assigned to patrol the Cook Inlet area by vehicle, patrol boat, and aircraft. Assignments will continue into the fall, or until the whales leave Cook Inlet. AED is working closely with the Alaska Fish & Wildlife Protection this year to conduct more surveillance and to follow-up on rumors of poaching from last year.

Record keeping and Reporting Violations:

- The case against a shoreside plant in Kodiak for substantially under-reporting bycatch overages is progressing. The AUSA has reached a tentative agreement to one felony count against the corporation and one against the plant manager.
- Two separate vessels are under investigation for institutionalized pre-sorting of catch. Grand jury subpoenas were served in one of the cases.

IFQ Program:

- The owners of Kenai Customs Seafoods were sentenced for criminal violations of the Lacey Act and False Statements. James Hill, Sr. was sentenced in March to 15 months in jail and agreed to pay an \$8,000 fine. James Hill, Jr. was sentenced to 15 months in jail and agreed to pay a \$4,000 fine. The two had bought illegal halibut, with an estimated value of \$120,000, from a fisherman and covered the purchase by writing checks to the fisherman for services not rendered.

Other Cases:

- A defendant who shot at seals and eagles, hitting and killing at least one seal during a fishing trip, agreed to settle just prior to going to trial. Settlement agreement was a \$5,000.00 assessed penalty with \$2,500.00 suspended for five years on the condition that the subject have no further violations of the MMPA. The rifle used to take the seal was also forfeited to the government as part of the agreement.

C. COPPS

AED had a booth at Comfish, a local trade fair in Kodiak, Alaska in March. More than 500 people visited the booth and met with the Officers and Agents. Many had questions about the critical habitat areas and regulations, but most wanted to learn more about who we were and what we did. Most people only see us performing specific duties, and did not realize the many roles we have, and the variety of regulations we enforce.

AED discovered that thirty-three (33) individuals may have fished their “D” class quota on vessels more than 35 feet last year. This is a violation of the IFQ regulations. The AED COPPS Officer mailed letters to all, informing them that we had information that they may have violated the regulations. They were encouraged to look into the matter. Many card holders discovered the vessel length in the IFQ data base was wrong and were told how to correct the matter.

Enforcement, PRMD, and GC continued cooperative work to develop humpback whale approach regulations for Alaska. In March, the proposed regulations were sent to HQ for review and have now been sent to DOC for final comment. Final regulations are expected in May or June.

Throughout the Division, we received numerous walk-ins and calls about the new IFQ fee program. Many calls were from fishermen who were getting ready to pay their bills, and wanted to make sure they were filling out the forms correctly, as well as question the process.

In March we conducted training for buyers and management personnel of Coastal Villages CDQ halibut group. The meeting was in response to the disproportionately high violation rate exhibited by this group. There is a language barrier that exists and has been identified to be the key problem to submission of the prior notice of landing. As a result of this meeting, an alternative method for giving a prior notice of landing was approved. .

D. VMS

AED has formed a local VMS Team. The team includes one ASAC, one Special Agent, one Enforcement Officer, NOAA GCAK, and Coast Guard. The team studies VMS proposals from the North Pacific Fishery Management Council and NMFS. They will prepare responses to suggested options when necessary, and recommend policy and protocols for OLE and Coast Guard enforcement based on final regulations. The team follows the National Standards from the VMS Steering Committee.

Currently, only the Atka Mackerel fishery is required to have VMS installed on their vessels. There are eight vessels. More than 80 additional vessels have placed VMS on their vessels voluntarily and are being monitored by NMFS.

E. QUALITY CONTROL/QUALITY ASSURANCE

- Performance reviews were completed during the quarter.
- IA matters were addressed and forwarded to HQ per policy.
- Numerous proofs of compliance for CALEA were collected and forwarded to HQ.
- Field office inspections were completed for three offices.
- Divisional In-service was completed in January.

F. OTHER ITEMS OF INTEREST

During the quarter, the following four positions became vacant and remain unfilled: Juneau-DSAC, Anchorage-ASAC, Kodiak-Special Agent, and Juneau-Special Agent.

NUMBER OF CASES OPENED BY INVESTIGATION TYPE
FY00 and FY01

Investigation Type	FY00 2nd Quarter	FY00 Total	FY01 2nd Quarter	FY01 Total
ESA	2	16	3	27
Lacey Act	0	1	0	1
Magnuson Act	70	642	114	374
MMPA	16	77	10	23
North Pacific Halibut Act	7	47	6	17
Other Federal Regulations	2	11	1	11
State Regulations	7	33	0	6
TOTAL	104	827	134	459

COMPLAINT ACTION
01/01/2001 - 03/31/2001

Investigation Type	No. Complaints Opened	No. Complaints Unfounded
Magnuson Act (MFCMA)	3	0
Marine Mammal (MMPA)	2	1
Endangered Species Act (ESA)	0	0
Other (<i>list</i>) - State Regs	0	0
Other (<i>list</i>) - Halibut Act (NPHA)	1	0
Other (<i>list</i>) - Other Federal Regs	0	0
TOTAL	6	1

Number of Complaints Assigned CAR's	6
Number of Complaints Upgraded	1
Unfounded Complaints Assigned CAR's	1
Number of Complaints not Assigned CAR's	0
Number of Hotline Complaints	0

AGENT/OFFICER HOURS BY PROGRAM

FY00 and FY01

Program	Program Codes	Hours			
		FY00 2nd Quarter	FY00 Total	FY01 2nd Quarter	FY01 Total
Magnuson Act					
IFQ	6793	1,726.5	15,297.5	2,813.0	6,004.5
Alaska EEZ General	679	1,876.3	9,580.8	3,223.0	6,037.5
BSA Groundfish	6791	501.5	1,789.0	758.0	1,481.5
GOA Groundfish	6792	740.0	2,901.0	844.0	1,471.5
MFCMA General	31	29.0	274.0	60.0	145.5
U.S. vessels fishing in Russia	299	0.0	1.0	0.0	0.0
Scallops	6794	0.0	3.0	3.0	3.0
CDQ	6795	0.0	129.5	12.5	28.5
Research Plan	677	0.0	3.0	0.0	0.0
Salmon - Alaska	674	13.0	16.5	0.0	0.0
Total		4,886.3	29,995.3	7,713.5	15,172.0
Lacey Act	20/21/22/23	0.5	258.5	4.0	8.0
Marine Mammal Protection Act	1	169.5	2,514.5	467.5	831.5
North Pacific Halibut Act	3	64.5	309.0	142.0	229.5
Endangered Species Act	2/201-210	200.5	1,025.5	665.0	1,714.0
High Seas Salmon	11	64.0	1,699.0	146.5	447.5
High Seas Fish. Compliance Act	33	19.5	595.0	22.5	135.5
Other Federal Regulations	10/12/30/32	12.5	264.0	28.0	47.0
State Regulations	24/25	25.0	293.0	67.0	191.5
General	36	7,185.8	27,814.3	5,912.0	11,904.0
Leave	38	1,361.0	9,029.5	2,218.5	5,477.0
Other - Canadian Boundary	298	0.0	44.0	0.0	0.0
Other - MFCMA Subjects	625/649/651	2.0	76.0	44.5	44.5
Other - MM Subjects	100/110	0.0	996.5	276.5	284.5
TOTAL		13,991.0	74,914.1	17,707.5	36,486.5

AGENT/OFFICER HOURS BY ACTIVITY
FY00 and FY01

Activity	Activity Codes	Hours			
		FY00 2nd Quarter	FY00 Total	FY01 2nd Quarter	FY01 Total
Investigations, Special Ops	02,03,04,05 20,21,22,23	2,207.5	14,271.0	3,671.0	7,561.0
Case Preparation	06	737.0	5,149.0	1,222.0	2,869.5
Complaint Response	07	78.5	1,491.5	122.5	423.5
Air Patrol	10/11	29.0	302.5	38.5	57.5
Surface Patrol	12-15	57.5	706.5	252.5	293.5
Inspection	17-19	65.5	2,276.0	617.0	1,069.5
VCP	30-34	349.5	2,106.0	398.5	937.5
General (Administrative, supervision, etc.)	40-48	5,112.5	24,958.3	6,387.5	11,888.5
Training	50-53	2,930.0	10,621.5	1,861.7	3,964.2
Leave	61-67	1,358.5	9,027.0	2,218.5	5,477.0
Maintenance	70-72	177.5	832.0	381.5	568.5
Travel	90	765.5	3,172.8	534.3	1,374.3
All Other	08			2.0	2.0
TOTAL		13,991.0	74,914.1	17,707.5	36,486.5

CASES OPENED

01/01/2001 - 03/31/2001

Total Number of Cases Opened = 134

Case Number	Cnt	Type	Regulation	Description of Violation
AK001141A	1	FM/V	679.7(d)(5)	CDQ POLLOCK OVRAGE
AK001210A	1	FM/W	679.7(c)(2)	RETENTION OF PROHIBITED SPECIES
AK001212A	1	FM/V	679.7(g)(6)	SHORT OF OBSERVER COVERAGE
AK001213A	1	FM/V	679.7(g)(6)	SHORT OF OBSERVER COVERAGE
AK001214A	1	MM/I	227.12(a)(2)	FISHING WITHIN 3 MILES OF SEA LION ROOKERY
AK002163A	1	FM/I	679.7(a)(12)	ILLEGAL RETENTION OF PROHIBITED SPECIES
AK002164A	1	MM/I	1372(a)(2)(A)	ILLEGAL TAKE OF MARINE MAMMAL (DEAD SEA LION FOUND)
AK003291A	1	MM/I	216.11	UNLAWFUL TAKE OF A MARINE MAMMAL - SEA OTTER
AK004157A	1	FM/I	679.7(g)(1)	INTERFERE OR INTIMIDATE AN OBSERVER
AK004157A	2	FM/I	679.50(f)(1)(viii)	FAILURE TO PROVIDE REASONABLE ASSISTANCE
AK004158A	1	FM/I	679.7(a)(13)(iv)	STRIPPING HALIBUT FROM THE HOOK
AK004168A	1	FM/I	679.21(b)(2)(ii)	PUNCTURE HALIBUT WITH A GAFF
AK004171A	1	FM/I	679.7(g)(2)	
AK004181A	1	FR/I	OTHER FEDERAL REGS	COAST GUARD MARPOL REGS
AK004182A	1	FM/I	679.7(g)(1)	INTIMIDATE OR INTERFERE WITH AN OBSERVER
AK004182A	2	FM/I	679.50(f)(1)(iii)	FAIL TO FACILITATE TRANSMISSION OF OBSERVER DATA
AK004182A	3	FM/I	679.50(f)(1)(v)	FAIL TO ALLOW UNRESTRICTED ACCESS TO WHEELHOUSE
AK004182A	4	FM/I	679.7(g)(2)	BIAS OBSERVER SAMPLING PROCEDURE
AK004182A	5	FM/I	679.50(f)(1)(vi)	FAIL TO NOTIFY OBSERVER OF HAULBACK
AK004182A	6	FM/I	679.50(f)(1)(vi)	FAIL TO NOTIFY OBSERVER OF HAULBACK
AK004182A	7	FM/I	679.7(a)(13)(iv)	STRIPPING HALIBUT FROM THE HOOK
AK004182A	8	FM/I	679.50(f)(1)(ii)(B)	FAIL TO HAVE VALID SAFETY DECAL
AK004184A	1	FM/I	679.7(d)(25)	FAILURE TO COMPLY WITH A CDP BY NOT WEIGHING CATCH
AK004185A	1	FM/I	679.7(a)(12)	UNLAWFUL RETENTION OF PROHIBITED SPECIES
AK004186A	1	FM/I	679.21(a)(13)(iv)	STRIPPING HALIBUT FROM THE HOOK
AK010003A	1	FM/I	679.42(a)	FISH CLASS D IFQ PERMIT ON OTHER THAN CLASS D VESSEL
AK010004A	1	FM/I	679.42(a)	FISH CLASS D IFQ PERMIT ON OTHER THAN CLASS D VESSEL
AK010005A	1	FM/I	679.42(a)	FISH CLASS D IFQ PERMIT ON OTHER THAN A CLASS D VESSEL
AK010006A	1	FM/I	679.42(a)	FISH CLASS D IFQ PERMIT ON OTHER THAN CLASS D VESSEL
AK010007A	1	FM/I	679.42(a)	FISH CLASS D IFQ PERMIT ON OTHER THAN CLASS D VESSEL
AK010008A	1	FM/I	679.42(a)	FISH CLASS D IFQ PERMIT ON OTHER THAN CLASS D VESSEL
AK010009A	1	FM/I	679.42(a)	FISH CLASS D IFQ PERMIT ON OTHER THAN CLASS D VESSEL
AK010010A	1	FM/I	679.42(a)	FISH CLASS D IFQ PERMIT ON OTHER THAN CLASS D VESSEL
AK010011A	1	FM/I	679.42(a)	FISH CLASS D IFQ PERMIT ON OTHER THAN CLASS D VESSEL

CASES OPENED (continued)

Case Number	Cnt	Type	Regulation	Description of Violation
AK010012A	1	FM/I	679.42(a)	FISH CLASS D IFQ PERMIT ON OTHER THAN CLASS D VESSEL
AK010013A	1	FM/I	679.42(a)	FISH CLASS D IFQ PERMIT ON OTHER THAN CLASS D VESSEL
AK010014A	1	FM/I	679.42(a)	FISH CLASS D IFQ PERMIT ON OTHER THAN CLASS D VESSEL
AK010015A	1	FM/I	679.42(a)	FISH CLASS D IFQ PERMIT ON OTHER THAN CLASS D VESSEL
AK010016A	1	FM/I	679.42(a)	FISH CLASS D IFQ PERMIT ON OTHER THAN CLASS D VESSEL
AK010017A	1	FM/I	679.42(a)	FISH CLASS D IFQ PERMIT ON OTHER THAN CLASS D VESSEL
AK010017A	2	FM/I	679.42(a)	FISH CLASS D IFQ PERMIT ON OTHER THAN CLASS D VESSEL
AK010018A	1	FM/I	679.42(a)	FISH CLASS D IFQ PERMIT ON OTHER THAN CLASS D VESSEL
AK010019A	1	FM/I	679.42(a)	FISH CLASS D IFQ PERMIT ON OTHER THAN CLASS D VESSEL
AK010020A	1	FM/I	679.42(a)	FISH CLASS D IFQ PERMIT ON OTHER THAN CLASS D VESSEL
AK010020A	2	FM/I	679.42(a)	FISH CLASS D IFQ PERMIT ON OTHER THAN CLASS D VESSEL
AK010021A	1	FM/I	679.42(a)	FISH CLASS D IFQ PERMIT ON OTHER THAN CLASS D VESSEL
AK010022A	1	FM/I	679.42(a)	FISH CLASS D IFQ PERMIT ON OTHER THAN CLASS D VESSEL
AK010022A	2	FM/I	679.42(a)	FISH CLASS D IFQ PERMIT ON OTHER THAN CLASS D VESSEL
AK010023A	1	FM/I	679.42(a)	FISH CLASS D IFQ PERMIT ON OTHER THAN CLASS D VESSEL
AK010024A	1	FM/I	679.42(a)	FISH CLASS D IFQ PERMIT ON OTHER THAN CLASS D VESSEL
AK010025A	1	FM/I	679.42(a)	FISH CLASS D IFQ PERMIT ON OTHER THAN CLASS D VESSEL
AK010026A	1	FM/I	679.42(a)	FISH CLASS D IFQ PERMIT ON OTHER THAN CLASS D VESSEL
AK010026A	2	FM/I	679.42(a)	FISH CLASS D IFQ PERMIT ON OTHER THAN CLASS D VESSEL
AK010027A	1	FM/I	679.42(a)	FISH CLASS D IFQ PERMIT ON OTHER THAN CLASS D VESSEL
AK010028A	1	FM/I	679.42(a)	FISH CLASS D IFQ PERMIT ON OTHER THAN CLASS D VESSEL
AK010028A	2	FM/I	679.42(a)	FISH CLASS D IFQ PERMIT ON OTHER THAN CLASS D VESSEL
AK010029A	1	FM/I	679.42(a)	FISH CLASS D IFQ PERMIT ON OTHER THAN CLASS D VESSEL
AK010029A	2	FM/I	679.42(a)	FISH CLASS D IFQ PERMIT ON OTHER THAN CLASS D VESSEL
AK010030A	1	FM/I	679.42(a)	FISH CLASS D IFQ PERMIT ON OTHER THAN CLASS D VESSEL
AK010031A	1	FM/I	679.42(a)	FISH CLASS D IFQ PERMIT ON OTHER THAN CLASS D VESSEL
AK010032A	1	FM/I	679.42(a)	FISH CLASS D IFQ PERMIT ON OTHER THAN CLASS D VESSEL
AK010034A	1	FM/I	679.42(a)	FISH CLASS D IFQ PERMIT ON OTHER THAN CLASS D VESSEL
AK010035A	1	FM/I	679.42(a)	FISH CLASS D IFQ PERMIT ON OTHER THAN CLASS D VESSEL
AK010035A	2	FM/I	679.42(a)	FISH CLASS D IFQ PERMIT ON OTHER THAN CLASS D VESSEL
AK010035A	3	FM/I	679.42(a)	FISH CLASS D IFQ PERMIT ON OTHER THAN CLASS D VESSEL
AK010036A	1	ES/V	17.21(d)(1)	UNLAWFUL POSSESSION OF ES PART (SEA LION SKULL)
AK010037A	1	FH/C	300.65	UNLAWFUL RETENTION OF HALIBUT DURING A CLOSED SEASON
AK010045A	1	FM/V	679.24(e)	FAILURE TO EMPLOY SEABIRD AVOIDANCE MEASURES
AK010046A	1	FM/V	679.42(b)(2)	FAILURE TO EMPLOY SEABIRD AVOIDANCE MEASURES
AK010047A	1	FM/V	679.7(a)(10)	FAILURE TO CARRY LLP ONBOARD
AK010048A	1	FM/V	679.7(a)(1)	FISHING WITHOUT LLP
AK010049A	1	FM/V	679.7(a)(10)	FAILURE TO CARRY LLP ONBOARD
AK010050A	1	FM/V	679.7(a)(10)	FAILURE TO CARRY LLP ONBOARD
AK010051A	1	FM/W	679.7(f)(14)	VESSEL LENGTH EXCEEDS IFQ PERMIT LENGTH

CASES OPENED (continued)

Case Number	Cnt	Type	Regulation	Description of Violation
AK010052A	1	FH/V	300.65-16(1)	FAILURE TO MAINTAIN PROPER HALIBUT LOG
AK010053A	1	FM/V	679.7(a)(10), 679.5	FAILURE TO MAINTAIN ENTRIES IN LOGBOOK
AK010053A	2	FM/V	679.5(a)(10)(ii)(B)	FAILURE TO LOG PROHIBS
AK010059A	1	FH/X	300.65-16(2)	FAILURE TO LOG INFO. IN A TIMELY MANNER
AK010060A	1	MM/I	216.11(b)	UNLAWFUL HARASSMENT OF MARINE MAMMAL (HARBOR SEALS)
AK010061A	1	FM/I	679.7(a)(12)	UNLAWFUL RETENTION OF PROHIBS (SALMON)
AK010062A	1	FM/V	679.7(a)(10)	FAILURE TO CARRY A VALID LLP
AK010063A	1	FM/V	679.7(f)(9)	FAILURE TO HAVE IFQ PERMIT AND CARD ONBOARD
AK010064A	1	FM/V	679.7(g)(6)	FAILURE TO OBTAIN FIRST QUARTER OBSERVER COVERAGE
AK010064A	2	FM/V	679.50(c)(v)	FAILURE TO OBTAIN ADEQUATE OBSERVER COVERAGE
AK010065A	1	FM/V	679.50(c)(1)	FAILURE TO OBTAIN OBSERVER COVERAGE
AK010066A	1	FM/V	679.7(g)(6)	FAILURE TO OBTAIN FIRST QUARTER OBSERVER COVERAGE
AK010066A	2	FM/V	679.50(c)(v)	FAILURE TO OBTAIN ADEQUATE OBSERVER COVERAGE
AK010068A	1	MM/C	216.11	UNLAWFUL TAKE OF MARINE MAMMAL (HARBOR SEAL)
AK011002A	1	FM/V	679.7(j)(2)	POLLOCK TRIP LIMIT OVERAGE
AK011003A	1	FM/V	679.7(j)(2)	POLLOCK TRIP LIMIT OVERAGE
AK011004A	1	FM/V	679.7(j)(2)	POLLOCK TRIP LIMIT OVERAGE
AK011005A	1	FM/V	679.7(j)(2)	POLLOCK TRIP LIMIT OVERAGE
AK011006A	1	FM/I	679.7(a)(10)	UNLAWFUL UNDERLOGGING OF SABLEFISH BYCATCH
AK011007A	1	FM/I	679.7(a)(10)	UNLAWFUL UNDERLOGGING OF SABLEFISH BYCATCH
AK011008A	1	FM/V	679.4(k)(1)(i)	FAILURE TO CARRY VALID LLP ONBOARD VESSEL
AK011008A	2	FM/X	679.7(a)(1)	NO FEDERAL FISHERIES PERMIT ONBOARD VESSEL
AK011009A	1	FM/V	679.27(e)	UNLAWFUL DISCARD OF IR/IU POLLOCK
AK011009A	2	FM/V	679.7(g)(2)	BIAS OBSERVER SAMPLING PROCEDURE
AK011010A	1	FM/I	679.7(a)(16)	POLLOCK BYCATCH OVERAGE
AK011011A	1	FM/V	679.7(a)(10)	FAILURE TO COMPLY WITH ELECTRONIC REPORTING
AK011012A	1	FM/V	679.7(a)(10)	FAILURE TO MAINTAIN A DFL WHILE FISHING
AK011012A	2	FM/V	679.4(b)(4)(ii)	FAIL TO CONFIRM THAT RAM RECEIVED SURRENDERED FFP
AK011012A	3	FM/V	679.7(a)(10)	FALSE REPORTING ON DFL
AK011013A	1	FM/W	679.7(a)(10)	FAILURE TO ACCOUNT FOR EACH CALENDAR DAY IN DFL
AK011014A	1	FM/I	679.7(a)(10)	FALSE REPORTING IN DCPL
AK011015A	1	FM/V	679.7(a)(2)	PACIFIC COD BYCATCH OVERAGE
AK011016A	1	FM/V	679.7(a)(2)	PACIFIC COD BYCATCH OVERAGE
AK011017A	1	FM/V	679.7(a)(2)	PACIFIC COD BYCATCH OVERAGE
AK011018A	1	FM/V	679.7(j)(2)	POLLOCK TRIP LIMIT OVERAGE
AK011019A	1	FM/I	679.27(e)	UNLAWFUL DISCARD OF IR/IU SPECIES
AK011020A	1	FM/I	679.27(e)	UNLAWFUL DISCARD OF IR/IU SPECIES
AK011021A	1	FM/I	679.27(e)	UNLAWFUL DISCARD OF IR/IU SPECIES
AK011022A	1	FM/I	679.27(e)	UNLAWFUL DISCARD OF IR/IU SPECIES
AK011023A	1	FM/V	679.7(f)(4)	IFQ HALIBUT OVERAGE

CASES OPENED (continued)

Case Number	Cnt	Type	Regulation	Description of Violation
AK011024A	1	FM/V	679.7(f)(1)	MAKE IFQ LANDING PRIOR TO SCHEDULED OFFLOAD TIME
AK011025A	1	FM/V	679.7(a)(16)	EXCEED MRB FOR POLLOCK
AK011026A	1	FM/V	679.7(f)(1)	SUBMIT INACCURATE INFORMATION ON IFQ LANDING
AK011027A	1	FH/W	300.65-13(1)	UNDERSIZE HALIBUT
AK011028A	1	FM/I	679.21(b)(1)	UNLAWFUL RETENTION OF PROHIBITED SPECIES
AK011030A	1	FM/W	679.7(a)(12)	RETENTION / POSSESSION OF PROHIBITED SPECIES
AK012001A	1	ES/V	679.22(j) / 1538(a)(1)(G)	ILLEGAL FISHING IN CRITICAL HABITAT AREA
AK012002A	1	ES/V	679.22(j) / 1538(a)(1)(G)	ILLEGAL FISHING IN CRITICAL HABITAT AREA
AK012003A	1	FM/V	679.5(3)(iii)	FAILURE TO CHECK OUT FOR YEAR 2000
AK012003A	2	FM/V	679.5(2)(ii)	FAILURE TO CHECK IN FOR YEAR 2001
AK012004A	1	MM/I	226.16	ILLEGAL TAKE OF MARINE MAMMAL (DEAD SEAL)
AK012006A	1	MM/I	216	UNLAWFUL TAKE OF MARINE MAMMAL (STELLER SEA LION)
AK012007A	1	FM/V	679.7(a)(1)	FAILURE TO OBTAIN FEDERAL FISHERIES PERMIT
AK012008A	1	FM/V	679.7(a)(15)	RECEIVE / PROCESS GROUND FISH WITHOUT A PROCESSOR PERMIT
AK012009A	1	MM/I	216.11(b)	ILLEGAL TAKE OF MARINE MAMMAL (STELLER SEA LIONS)
AK012010A	1	MM/C	216.11	ILLEGAL TAKE OF MARINE MAMMAL (ORCAS)
AK012017A	1	FM/W	679.7(f)(1)	FAILURE TO SUBMIT IFQ SHIPMENT REPORTS ON TIME
AK012018A	1	MM/I	216.11(a)	ILLEGAL TAKE OF MARINE MAMMAL (KILLER WHALES)
AK012019A	1	FM/W	679.5(h)(3)(iii)	FAILURE TO RECORD SABLEFISH ON CHECK-IN/CHECK-OUT REPORTS
AK012020A	1	FM/W	679.24(e)(3)	FAILURE TO USE BIRD AVOIDANCE DEVICE
AK012021A	1	FM/I	679.7(f)(1)	RECORD INACCURATE INFO ON IFQ LANDING REPORT
AK012022A	1	FH/I	300.65-17(3)	OFFLOAD HALIBUT (TRANSFER TO ANOTHER VESSEL) BEFORE LANDING
AK012022A	2	SR/I	STATE REGS	ADF&G NUMBERS LESS THAN 12" HIGH AND NO BACKGROUND CONTRAST
AK012022A	3	SR/I	STATE REGS	OPERATING AN UNREGISTERED/UNDOCUMENTED VESSEL
AK013001A	1	FM/V	679.7(a)	ROCKFISH BYCATCH OVERAGE
AK013002A	1	FM/V	679.7(a)(10)	FAILURE TO COMPLY WITH R&R REQUIREMENTS
AK013003A	1	FM/W	679.7(a)(1)	FISH FOR GROUND FISH WITHOUT A FEDERAL FISHERIES PERMIT
AK013003A	2	FM/W	679.7(a)(10)	FAILURE TO COMPLY WITH RECORDKEEPING/REPORTING REQUIREMENTS
AK013004A	1	FM/I	679.7(f)(2)	INACCURATE IFQ LANDING REPORTS
AK013005A	1	FM/I	679.7(a)(10)	SUBMISSION OF INACCURATE INFORMATION
AK013006A	1	FM/I	679.7(f)(12)	LAND IFQ SABLEFISH WITHOUT PNOL
AK013007A	1	FM/V	679.7(a)(2)	PACIFIC COD BYCATCH OVERAGE
AK013008A	1	FM/I	679.7(f)(12)	LAND IFQ HALIBUT WITHOUT PNOL
AK013009A	1	FM/I	679.7(f)(1)	SUBMIT INACCURATE INFO ON IFQ REPORT
AK013010A	1	FM/I	679.7(f)(12)	LAND IFQ HALIBUT PRIOR TO AUTHORIZED PNOL
AK013011A	1	FM/I	679.7(f)(12)	LAND IFQ HALIBUT PRIOR TO SCHEDULED LANDING TIME
AK013012A	1	FM/I	679.7(a)(12)	LAND IFQ HALIBUT WITHOUT PNOL
AK013013A	1	FM/I	679.7(f)(12)	LAND IFQ HALIBUT WITHOUT IFQ PNOL
AK013014A	1	FM/I	679.7(f)(12)	LAND IFQ HALIBUT WITHOUT PNOL
AK013015A	1	FM/I	679.7(f)(1)	NO IFQ PRIOR NOTICE OF LANDING

CASES OPENED (continued)

Case Number	Cnt	Type	Regulation	Description of Violation
AK013016A	1	FM/W	679.7(f)(1)	SUBMIT INACCURATE INFORMATION ON IFQ LANDING REPORT
AK013017A	1	FM/X	679.24(a)	LOGLINE MARKER BUOY NOT PROPERLY MARKED
AK013018A	1	FH/V	300.65-13(1)	RETENTION OF UNDERSIZE HALIBUT
AK013018A	2	FH/W	300.65-19(4)	FAILURE TO PROPERLY MARK BUOYS
AK013018A	3	FM/W	679.7(f)(14)	LEAVING IFQ LANDING SITE
AK013018A	4	FM/W	679.7(f)(8)	DISCARD ROCKFISH WHEN IFQ HALIBUT ARE ONBOARD
AK013021A	1	FM/C	679.7(f)(4)	IFQ OVERAGE
AK013021A	2	FH/W	300.65-17(2)	FAILURE TO REMOVE GILLS AND ENTRAILS PRIOR TO OFFLOAD
AK013024A	1	FM/C	679.7(f)(14)	HARVEST IFQ HALIBUT WITHOUT IFQ PERMIT
AK014001A	1	FM/I	679.7(a)(10)	LATE ENTRIES INTO DCPL
AK014002A	1	FM/I	679.50(f)(1)(i)	FAILURE TO PROVIDE ADEQUATE OBSERVER ACCOMODATIONS

CATCH SEIZURES

01/01/2001 - 03/31/2001

Case Number	Date of Seizure	Vessel	Description of Seizure	Value of Seizure
AK010036A	14-FEB-2001		STELLER SEA LION SKULL	1.00
AK011027A	18-MAR-2001	ZENITH	1 UNDERSIZE HALIBUT	1.00
AK013018A	30-MAR-2001	CLYDE	1 UNDERSIZE HALIBUT	1.00
AK013024A	30-MAR-2001	EXCITER	PROCEEDS FROM UNLAWFULLY RETAINED IFQ HALIBUT	1.00
			Total:	\$4.00

CASES FORWARDED TO GENERAL COUNSEL FOR FURTHER ACTION

01/01/2001 - 03/31/2001

Total Number of Cases Forwarded to GC = 53

Case Number	Cnt	Regulation	Description of Violation	Seized Property
AK000006A	1	223.202(a)(2)(i)	UNLAWFUL FISHING WITHIN SEA LION ROOKERY	
AK000006A	2	679.7(a)(10)	FAILURE TO CHECK-IN	
AK000006A	3	679.4(k)(1)(i)	FAILURE TO SHOW PROOF OF LLP PERMIT	
AK000006A	4	600.730(3)	FAILURE TO HAVE A BOARDING LADDER	
AK000007A	1	679.27(c)(i)(B)	FAILURE TO RETAIN POLLOCK WHILE IN A BYCATCH STATUS	
AK000008A	1	679.50(f)(ii)	FAILURE TO POSSESS A CFVSA DECAL	
AK000008A	2	679.5(a)(14)(vi)	FAILURE TO SUBMIT LOG PAGES IN A TIMELY MANNER	
AK000044A	2	679.4(k)(1)(i)	FAILURE TO POSSESS LICENSE LIMITATION PERMIT	
AK000047A	1	679.22(j)	ILLEGAL TRAWLING IN CRITICAL HABITAT AREA	
AK001129A	1	679.7(g)(6)	FISHING INSIDE RED KINGCRAB SAVINGS AREA WITH NO OBSERVER	
AK001130A	1	679.7(g)(6)	FISHING INSIDE RED KINGCRAB SAVINGS AREA WITH NO OBSERVER	
AK001141A	1	679.7(d)(5)	CDQ POLLOCK OVERAGE	
AK001163A	1	679.22(j)	ILLEGAL TRAWLING IN CRITICAL HABITAT AREA	
AK001163A	2	3372(a)(1)	UNLAWFUL TRANSPORT OF ILLEGAL FISH	
AK001165A	1	600.725(a)	ILLEGAL RETENTION AND SALE OF SABLEFISH	
AK001178A	1	600.725(a)	ILLEGAL RETENTION / SALE OF SABLEFISH	
AK001180A	1	600.725(a)	ILLEGAL RETENTION / SALE OF SABLEFISH	
AK001204A	1	679.7(g)(6)	INSUFFICIENT OBSERVER COVERAGE	
AK001205A	1	679.7(g)(6)	INSUFFICIENT OBSERVER COVERAGE	
AK001206A	1	600.725(a)	ILLEGAL RETENTION AND SALE OF SABLEFISH	
AK001207A	1	679.22(j)	ILLEGAL TRAWLING IN CRITICAL HABITAT AREA	1,929.35
AK001207A	2	3372(a)(1)	UNLAWFUL TRANSPORT OF ILLEGAL FISH	
AK001215A	1	679.7(d)(5)	CDQ POLLOCK OVERAGE	
AK002145A	1	679.22(j)	ILLEGAL TRAWLING IN CRITICAL HABITAT AREA	
AK002145A	2	3372(a)(1)	UNLAWFUL TRANSPORT OF ILLEGAL FISH	
AK002146A	1	679.22(j)	ILLEGAL TRAWLING IN CRITICAL HABITAT AREA	
AK002146A	2	3372(a)(1)	UNLAWFUL TRANSPORT OF ILLEGAL FISH	
AK002147A	1	679.22(j)	ILLEGAL TRAWLING IN CRITICAL HABITAT AREA	
AK002147A	2	3372(a)(1)	UNLAWFUL TRANSPORT OF ILLEGAL FISH	
AK002151A	1	679.7(f)(4)	IFQ SABLEFISH OVERAGE	727.05
AK002151A	2	679.7(f)(4)	IFQ REGULATORY AREA OVERAGE	
AK003029A	1	679.7(a)(10)	FAILURE TO COMPLY WITH R&R REQUIREMENTS	
AK003029A	2	679.7(g)(6)	FAILURE TO MEET REQUIRED OBSERVER COVERAGE	
AK003055A	2	679.7(f)(12)	FAILURE TO SUBMIT PNOL	
AK003055A	3	679.7(f)(1)	FAILURE TO REPORT IFQ LANDING	
AK003055A	4	679.7(f)(5)	POSSESSION OF IFQ HALIBUT WITHOUT IFQ PERMIT ONBOARD	
AK003055A	5	679.7(f)(10)	FAILURE TO LAND IFQ HALIBUT TO A REGISTERED BUYER	
AK003055A	6	300-16(1)	FAILURE TO MAINTAIN ACCURATE IPHC LOGBOOK	

CASES FORWARDED TO GENERAL COUNSEL FOR FURTHER ACTION (continued)

Case Number	Cnt	Regulation	Description of Violation	Seized Property
AK003055A	7	300-16(2)	FAILURE TO MAINTAIN IPHC LOGBOOK ONBOARD VESSEL	
AK003055A	8	300-13(2)	POSSESSION OF HALIBUT WITH UNDETERMINABLE LENGTH	
AK003066A	1	679.7(g)(6)	FAILURE TO MEET OBSERVER COVERAGE REQUIREMENT	
AK003224A	1	679.22(j)	ILLEGAL TRAWLING IN CRITICAL HABITAT AREA	
AK003224A	2	3372(a)(1)	UNLAWFUL TRANSPORT OF ILLEGAL FISH	
AK003225A	1	679.22(j)	ILLEGAL TRAWLING IN CRITICAL HABITAT AREA	
AK003225A	2	679.22(j)	ILLEGAL TRAWLING IN CRITICAL HABITAT AREA	
AK003225A	3	679.22(j)	ILLEGAL TRAWLING IN CRITICAL HABITAT AREA	
AK003225A	4	3372(a)(1)	UNLAWFUL TRANSPORT OF ILLEGAL FISH	
AK003226A	1	679.22(j)	ILLEGAL TRAWLING IN CRITICAL HABITAT AREA	
AK003226A	2	3372(a)(1)	UNLAWFUL TRANSPORT OF ILLEGAL FISH	
AK003227A	1	679.22(j)	ILLEGAL TRAWLING IN CRITICAL HABITAT AREA	
AK003227A	2	3372(a)(1)	UNLAWFUL TRANSPORT OF ILLEGAL FISH	
AK003231A	1	679.22(j)	ILLEGAL TRAWLING IN CRITICAL HABITAT AREA	
AK010038A	1	679.7(a)(10)	FAILURE TO MAINTAIN LOGBOOK ENTRIES	
AK010038A	2	679.5(a)(6)(ii)	FAILURE TO MAINTAIN LOGBOOK ENTRIES	
AK010039A	1	679.7(a)(10)	FAILURE TO MEET FIRST QUARTER OBSERVER COVERAGE	
AK010040A	1	300.65-16(1)	FAILURE TO MAINTAIN A PROPER HALIBUT LOG	
AK010043A	1	233.202	UNLAWFUL TRANSIT THROUGH SEA LION ROOKERY	
AK010045A	1	679.24(e)	FAILURE TO EMPLOY SEABIRD AVOIDANCE MEASURES	
AK010046A	1	679.42(b)(2)	FAILURE TO EMPLOY SEABIRD AVOIDANCE MEASURES	
AK010047A	1	679.7(a)(10)	FAILURE TO CARRY LLP ONBOARD	
AK010048A	1	679.7(a)(1)	FISHING WITHOUT LLP	
AK010049A	1	679.7(a)(10)	FAILURE TO CARRY LLP ONBOARD	
AK010050A	1	679.7(a)(10)	FAILURE TO CARRY LLP ONBOARD	
AK010051A	1	679.7(f)(14)	VESSEL LENGTH EXCEEDS IFQ PERMIT LENGTH	
AK010052A	1	300.65-16(1)	FAILURE TO MAINTAIN PROPER HALIBUT LOG	
AK010053A	1	679.7(a)(10)	FAILURE TO MAINTAIN ENTRIES IN LOGBOOK	
AK010053A	2	679.5(a)(10)(ii)(B)	FAILURE TO LOG PROHIBS	
AK010063A	1	679.7(f)(9)	FAILURE TO HAVE IFQ PERMIT AND CARD ONBOARD	
AK010064A	1	679.7(g)(6)	FAILURE TO OBTAIN FIRST QUARTER OBSERVER COVERAGE	
AK010064A	2	679.50(c)(v)	FAILURE TO OBTAIN ADEQUATE OBSERVER COVERAGE	
AK010065A	1	679.50(c)(1)	FAILURE TO OBTAIN OBSERVER COVERAGE	
AK010066A	1	679.7(g)(6)	FAILURE TO OBTAIN FIRST QUARTER OBSERVER COVERAGE	
AK010066A	2	679.50(c)(v)	FAILURE TO OBTAIN ADEQUATE OBSERVER COVERAGE	
AK011001A	1	679.21(b)(2)(ii)	UNLAWFUL RETENTION OF PROHIBS (TANNER CRAB)	
AK011004A	1	679.7(j)(2)	POLLOCK TRIP LIMIT OVERAGE	
AK991022A	1	679.5(a)(15)	DCPL NOT SIGNED	
AK991022A	2	679.5(a)(15)	DELIVERY INFORMATION NOT ENTERED IN DCPL	
AK991022A	3	679.5(a)(10)	DISCARDS NOT PROPERLY ENTERED IN DCPL	
AK991022A	4	679.5(a)(9)	PRODUCTION INFO. NOT ENTERED IN DCPL	
AK991022A	5	679.5(1)	INACCURATE WEEKLY PRODUCTION REPORTS	
AK991129A	1	679.7(f)(2)	INTENTIONAL SUBMISSION OF FALSE INFORMATION ON IFQ REPORT	24,001.00

CASES FORWARDED TO GENERAL COUNSEL FOR FURTHER ACTION (continued)

Case Number	Cnt	Regulation	Description of Violation	Seized Property
AK992083A	1	679.7(f)(4)	IFQ HALIBUT OVERAGE	1,062.40
AK993040A	1	679.7(f)	IFQ HALIBUT OVERAGE	
AK994029A	1	679.7(g)(6)	FAILURE TO OBTAIN OBSERVER COVERAGE	
AK994029A	2	679.7(a)(10)	FAILURE TO SUBMIT YELLOW COPIES OF DFL	

OTHER CASE ACTIONS

01/01/2001 - 03/31/2001

Number of Cases	Action	Summary Settlement Amount	NOVA Amount	Final Penalty	Forfeited Proceeds and/or Property
26	Dismissed by Enf., no violation				
20	Closed by Enf. due to lack of evidence				
10	Closed by Enf. due to lack of resources				
2	Transferred to another Region or agency				
7	Written warning issued by Enf.				
6	Written warning issued by GC				
1	Written warning (FIX-IT) issued by F/EN				
1	Written warning (FIX-IT) issued by USCG				
3	Summary Settlement issued by field or USCG				
13	Summary settlement paid	\$16426.68			
8	Property forfeited or abandoned				\$11443.40
1	Case/Count merged				
6	NOVA issued		\$75161.48		
2	Settlement agreement conditions met				
4	Suspended; case closed				
9	Settlement agreement signed by GC				
2	Declined by ENF				
1	Referred to GCAK				
5	Complaint closed; for intel purposes only				
17	Dismissed by GCAK				
1	WW dismissed after review				
1	Referred to GCEL				
3	Extension of time granted to respondent				
1	Request for hearing sent to ALJ				
34	Closed by COPPS letter				
11	VW Issued				