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U.S. SECURITIES AND EXCHANGE COMMISSION

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CIVIL PROCEEDINGS

# INJUNCTIVE ACTION AGAINST ROBERT LEWIS AND JAMES BECKETT

The Chicago Regional Office filed a complaint in the U.S. District Court for the Southern District of Florida seeking to preliminarily and permanently enjoin Robert Gary Lewis a.k.a. Robert Stone and James Beckett of the Fort Lauderdale, Florida area from further violations of the antifraud, registration and record-keeping provisions of the federal securities laws. The complaint alleges, in part, that in connection with the offer and sale of Sheppard Resources, Inc. securities, that Lewis and Beckett failed to disclose, among other things, material information about Sheppard's control, officers and directors, the status and financial condition of a potential merger partner for Sheppard, and the fact that Sheppard was a blind pool. [SEC v. Robert Gary Lewis a.k.a. Robert Stone and James Beckett (S.D.Fla., No.Div., Civil Action No. 90-6764)] (LR-12630)

# FINAL JUDGMENT ENTERED AGAINST JACK N. POLEVOI

The Los Angeles Regional Office announced that, on September 18, U.S. District Judge Robert J. Kelleher entered a Final Judgment of Permanent Injunction, Disgorgement, and Imposition of Civil Penalties Against Defendant Jack N. Polevoi. The Final Judgment was entered following the Court's granting of the Commission's renewed Motion for Summary Judgment against Jack Polevoi on September 17, 1990. The Commission's Motion for Summary Judgment was based on the undisputed facts that Jack Polevoi was a corporate officer and insider of ZZZZ Best Co., Inc. (Z Best), and that between June 29 and July 1, 1987, Jack Polevoi caused 54,200 shares of Z Best stock to be sold short while he possessed material nonpublic information. Judge Kelleher permanently enjoined Jack Polevoi from further violations of Section 10(b) of the Securities Exchange Act of 1934 and Rule 10b-5 thereunder, ordered that he disgorge \$224,860.75 in ill-gotten gains plus prejudgment interest, and ordered that he pay a triple penalty of \$674,582.25 pursuant to the Insider Trading Sanctions Act of 1984.

Jack Polevoi had pled guilty on June 9, 1988, to an indictment that included a charge that he had conspired to commit violations of the Securities Exchange Act. He was previously incarcerated for the crimes to which he pled guilty. [SEC v. Jack N. Polevoi, et al., Civil Action No. 89-1001 RJK (Sx) (C.D. Cal.)] (LR-12631)

# PERMANENT INJUNCTION AND DISGORGEMENT ORDER AGAINST MICHAEL WRIGHT

The Honorable Milton I. Shadur, U.S. District Court Judge for the Northern District of Illinois entered an Order of Disgorgement and a Final Judgment of Permanent Injunction against Michael Wright. The Order requires Wright to disgorge ill-gotten gains in the form of a one-half interest in a ranch he owns near Park City, Utah to the U.S. government for subsequent sale. The proceeds of the sale will be paid into the registry of the Court in Chicago. The other one-half interest had been previously forfeited to the U.S. government pursuant to an order entered in the Nevada U.S. District Court. The Final Judgment enjoins Wright from further violations of the anti-fraud provisions of the federal securities laws and from aiding and abetting violations of the bookkeeping provisions of the federal securities laws. Additionally, Wright is ordered to cooperate fully with the Commission in connection with its further prosecution and investigation of this and related matters. [SEC v. Arnold Kimmes, Thomas Quinn, Michael Wright, et al., (N.D. Ill., Civil Action No. 89 C 5942)] (LR-12632)

### SECURITIES ACT REGISTRATIONS

The following registration statements have been filed with the SEC under the Securities Act of 1933. The reported information appears as follows: Form, Name, Address and Phone Number (if available) of the issuer of the security; Title and the number and/or face amount of the securities being offered; Name of the managing underwriter or depositor (if applicable); File number and date filed; Assigned Branch; and a designation if the statement is a New Issue.

- S-1 UNITED AMERICAN HEALTHCARE CORP, 7650 SECOND AVE, DETROIT, MI 48202 (313) 873-2852
   862,500 (\$10,350,000) COMMON STOCK. UNDERWRITER: PRESCOTT BALL & TURBEN. (FILE 33-36760 SEP. 17) (BR. 6 NEW ISSUE)
- S-1 NAVISTAR FINANCIAL SECURITIES CORP, 600 MOODFIELD, SCHAUMBURG, IL 60196 (708) 517-3592 1,000,000 (\$1,000,000) FLOATING RATE NOTES. 1,000,000 (\$1,000,000) FLOATING RATE NOTES. 1,000,000 (\$1,000,000) FLOATING RATE NOTES. UNDERWRITER: PRUDENTIAL BACHE CAPITAL FUNDING. (FILE 33-36767 SEP. 17) (BR. 12 NEW ISSUE)
- S-2 UNIVERSAL MEDICAL BUILDINGS LIMITED PARTNERSHIP, 731 N JACKSON ST, MILWAUKEE, WI 53202 (414) 278-0100 65,000 (\$227,500) LIMITED PARTNERSHIP CERTIFICATE. (FILE 33-36776 SEP. 17) (BR. 9)
- S-8 URANIUM RESOURCES INC /DE/, 12377 MERIT DR STE 750, DALLAS, TX 75251 (214) 934-7777 62,500 (\$507,812.50) COMMON STOCK. (FILE 33-36777 SEP. 17) (BR. 1)
- S-11 CHASE MORTGAGE FINANCE CORP, ONE CHASE MANHATTAN PLZ, C/O A HARDY EUBANKS III, NEW YORK, NY 10081 (212) 552-1358 500,000,000 (\$500,000,000) PASS-THROUGH MORTGAGE-BACKED CERTIFICATE. (FILE 33-36778 SEP. 17) (BR. 11)
- S-11 PRUDENTIAL HOME MORTGAGE SECURITIES COMPANY INC, 5325 SPECTRUM DR, FREDERICK, MD 21701 (301) 696-7898 3,000,000,000 (\$3,000,000,000) PASS-THROUGH MORTGAGE-BACKED CERTIFICATE. (FILE 33-36779 SEP. 18) (BR. 12)

- S-8 ARKLA EXPLORATION CO, 330 MARSHALL ST, SHREVEPORT, LA 71101 (318) 429-2841 100,000 (\$2,031,250) COMMON STOCK. (FILE 33-36780 SEP. 18) (BR. 3)
- s-18 QTV COMMUNICATIONS CORP, 275 HADISON AVENUE, NEW YORK, NY 10016 (212) 972-9800 616,899 (\$3,084,495) COMMON STOCK. 28,000 (\$168,000) COMMON STOCK. (FILE 33-36781-NY SEP. 10) (BR. 5 NEW ISSUE)
- S-18 CAPITOL ACQUISITION CORP, 3300 SKYLINE DR #164, RENO, NV 89509 (702) 826-6964 100,000 (\$1,000,000) COMMON STOCK. UNDERWRITER: ALLIANCE SECURITIES CORP. (FILE 33-36783-LA SEP. 11) (BR. 11)
- S-18 S&R BROADCASTING INC, 612 ANATOLIA LANE, LAS VEGAS, NV 89128 (702) 435-5735 300,000 (\$1,575,000) COMMON STOCK. 300,000 (\$1,650,000) COMMON STOCK. 300,000 (\$1,725,000) COMMON STOCK. 7,500 (\$37,500) COMMON STOCK. 300,000 (\$1,500,000) COMMON STOCK. UNDERWRITER: DREW L M & ASSOCIATES INC. (FILE 33-36805-LA SEP. 13) (BR. 7 NEW ISSUE)
- S-4 SCREVEN BANCSHARES INC, 104 NORTH MAIN ST, SYLVANIA, GA 30467 (912) 564-7436 75,600 (\$4,422,600) COMMON STOCK. (FILE 33-36819 SEP. 17) (BR. 2 NEW ISSUE)
- N-1A DREYFUS MUNICIPAL CASH MANAGEMENT PLUS, 200 PARK AVE, NEW YORK, NY 10166 (212) 922-6130 INDEFINITE SHARES. (FILE 33-36821 SEP. 14) (BR. 18 NEW ISSUE)
- S-1 ARCADIAN CORP/DE/, 6750 POPLAR AVE STE 600, MENPHIS, TN 38183 (901) 758-5200 225,000,000 (\$75,000,000) STRAIGHT BONDS. (FILE 33-36843 SEP. 18) (BR. 8)
- S-8 INFORMIX CORP, 4100 BOHANNON DR, MENLO PARK, CA 94025 (415) 322-4100 350,000 (\$1,970,937.50) COMMON STOCK. (FILE 33-36845 SEP. 17) (BR. 10)
- S-8 FOUNDATION HEALTH CORPORATION, 3400 DATA DR, RANCHO CORDOVA, CA 95670 (916) 631-5000 500,000 (\$5,469,000) COMMON STOCK. (FILE 33-36847 SEP. 17) (BR. 6)
- S-8 VIVRA INC, 517 WASHINGTON ST, SAN FRANCISCO, CA 94111 (415) 397-6151 994,768 (\$18,592,214) COMMON STOCK. 175,125 (\$3,414,938) COMMON STOCK. (FILE 33-36848 SEP. 17) (BR. 6)
- S-8 FOUNDATION HEALTH CORPORATION, 3400 DATA DR, RANCHO CORDOVA, CA 95670 (916) 631-5000 500,000 (\$5,469,000) COMMON STOCK. (FILE 33-36849 SEP. 17) (BR. 6)
- S-8 FOUNDATION HEALTH CORPORATION, 3400 DATA DR, RANCHO CORDOVA, CA 95670 (916) 631-5000 600,000 (\$6,562,800) COMMON STOCK. (FILE 33-36850 SEP. 17) (BR. 6)
- S-8 BALLARD MEDICAL PRODUCTS, 6864 S 300 W, MIDVALE, UT 84047 (801) 566-4693 125,000 (\$3,265,625) COMMON STOCK. (FILE 33-36851 SEP. 17) (BR. 8)
- S-8 STEVENS GRAPHICS CORP, 5500 AIRPORT FRWY, FORT WORTH, TX 76117 (817) 831-3911 451,750 (\$3,896,344) COMMON STOCK. (FILE 33-36852 SEP. 17) (BR. 10)
- S-4 FIRST NATIONAL BANCORP INC /IL/, 78 N CHICAGO ST, JOLIET, IL 60431 (815) 726-4371 125,000 (\$5,430,000) COMMON STOCK. (FILE 33-36854 SEP. 17) (BR. 1)
- S-6 NUVEEN TAX EXEMPT UNIT TRUST SERIES 578, 333 WEST WACKER DR, C/O JOHN NUVEEN & CO INC, CHICAGO, IL 60606 - INDEFINITE SHARES. (FILE 33-36859 -SEP. 17) (BR. 22 - NEW ISSUE)

### REGISTRATIONS CONT.

- S-6 MUNICIPAL INVT TR FD ONE HUNDRED SIXTY FIFTH INTERM TERM SER, P O BOX 9051, C/O MERRILL LYNCH PIERCE FENNER & SMITH, PRINCETON, NJ 08543 INDEFINITE SHARES. DEPOSITOR: DEAN WITTER REYNOLDS INC, MERRILL LYNCH PIERCE FENNER & SMITH INC, PAINEWEBBER INC, PRUDENTIAL BACHE SECURITIES INC, SHEARSON LEHMAN HUTTON INC. (FILE 33-36860 SEP. 17) (BR. 17 NEW ISSUE)
- S-6 MUNICIPAL INVESTMENT TRUST FUND MULTISTATE SERIES 70, P O BOX 9051, C/O MERRILL LYNCH PIERCE FENNER & SMITH, PRINCETON, NJ 08543 INDEFINITE SHARES. DEPOSITOR: DEAN WITTER REYNOLDS INC, MERRILL LYNCH PIERCE FENNER & SMITH INC, PAINEWEBBER INC, PRUDENTIAL BACHE SECURITIES INC, SHEARSON LEHMAN HUTTON INC. (FILE 33-36861 SEP. 17) (BR. 22 NEW ISSUE)
- S-3 IBM CREDIT CORP, 290 HARBOR DR, P O BOX 10399, STAMFORD, CT 06904 (203) 352-5100 1,000,000,000 (\$1,000,000,000) FLOATING RATE NOTES. UNDERWRITER: IBM CREDIT DISTRIBUTORS CORP. (FILE 33-36862 SEP. 17) (BR. 13)
- S-3 NORTHERN TRUST CORP, 50 S LA SALLE ST, CHICAGO, IL 60675 (312) 630-6000 200,000,000 (\$200,000,000) STRAIGHT BONDS. (FILE 33-36885 SEP. 18) (BR. 1)
- S-8 AMERICAN PACIFIC CORP/DE, 4045 S SPENCER STE B-38, LAS VEGAS, NV 89119 (702) 735-2200 100,000 (\$975,000) COMMON STOCK. (FILE 33-36887 SEP. 17) (BR. 2)

### ACQUISITION OF SECURITIES

Companies and individuals must report to the Commission within ten days on Schedule 13D if after the acquisition of equity securities of a public company their beneficial interest therein exceeds five percent. Persons eligible to use the short form (Schedule 13G) may in lieu of filing a Schedule 13D file a Schedule 13G within 45 days after the end of the calendar year in which the person became subject to Section 13(d)(1). Companies and individuals making a tender offer must have on file at the time the tender offer commences a Schedule 14D-1.

Below is a list of recent filings of Schedules 13D and 14D, which includes the following information: Column 1 - the company purchased (top), and the name of the purchaser; Column 2 - the type of security purchased; Column - 3 - the type of form filed; Column 4 - the date the transaction occurred; Column 5 - the current number of shares (in 000's) owned (top) and the current percent owned; Column 6 - the CUSIP number (top) and the percent owned; and Column 7 - the status of the filing, i.e., new, update or revision.

NAME AND CLASS OF STOCK/OWN	IER	FORM	EVENT SHI	RS(000)/ %OWNED	CUSIP/ PRIOR%	
A I C PHOTO INC	COM			3,267	0013481	0
AIC INVESTMENT LTD		130	7/ 6/90	77.6	66.5	UPDATE
ADIA SVCS INC	COM			8,377	0068741	0
ADIA S A		130	9/13/90	67.2	66.6	UPDATE

NAME AND CLASS OF STOCK/OWNER		FORM		(000)/ <b>XOWNED</b>	CUSIP/ FILING PRIORX STATUS
ALL AMERN TELEVISION INC SCOTTI BROS ENT ET AL	COM	130	9/14/90	1,087 56.5	01656210 56.5 UPDATE
ALPHA INDS INC SCIENTIFIC COMPONENTS ET	COM AL	13D	9/ 8/90	1,541 21.5	02075310 13.7 UPDATE
AMERICAN MAIZE PRODS CO AMICI ASSOC ET AL	CL B	1 <b>3</b> D	8/30/90	155 8.9	02733930 7.9 UPDATE
AMTECH CORP ANDERSON KENNETH W	CON	1 <b>3</b> D	7/27/90	334 5.2	03232910 5.2 UPDATE
ANAREN MICROWAVE INC GLOBAL SECURITIES	COM	1 <b>3</b> D	9/10/90	1,708 39.4	03274410 0.0 NEW
ANGELES CORP ELLIOTT WILLIAM H	COM NE	1 130	9/ 5/90	551 15.2	03462440 18.0 RVSION
ATEK METALS CTR INC STEPHEN LINDA L	COM	<b>13</b> 0	9/11/90	379 10.2	04681410 0.0 NEW
CAROLYN BEAN PUBG LTD JESSELSON LUDWIG ET AL	СОМ	13D	8/16/90	209 26.9	14425320 8.1 UPDATE
CENTRAL SPRINKLER CORP MILLS BRADFORD	COM	130	9/20/90	129 2.5	15518410 10.8 UPDATE
COBB RES CORP GOLDEN OIL CO	СОН	13D	9/14/90	1,694 25.2	19088310 21.2 UPDATE
CONSTELLATION BANCORP RAYNOLDS MARY ALICE KEAN	COH	13D	9/ 4/90	235 2.9	21036910 0.0 NEW
CONTINENTAL GOLD CORP NEW PLACER DOME ET AL	COM	140-1	9/21/90	3,062 35.0	21199410 35.0 UPDATE
COUNTY BANCORP NOVELLY P A ET AL	СОН	1 <b>30</b> .	6/29/90	268 15.7	
CURTISS WRIGHT CORP GAMCO INVESTORS INC ET AL	СОН	1 <b>3</b> D	9/18/90	619 12.3	23156110 11.4 UPDATE
CYPRESS FD INC REIK WILLIAM J JR ET AL	СОМ	130	9/18/90	670 9.7	23278710 8.9 UPDATE
DIGITAL METCOM INC GLASSMAN MORTON	СОМ	130	8/30/90		25385810 4.6 UPDATE
EMPIRE STATE LIFE INS UNITY MUTUAL LIFE INS	COM	130	9/14/90	1,516 91.1	29208710 68.6 UPDATE

NAME AND CLASS OF STOCK/OWNER		FORM	EVENT DATE		(000)/ XOLNED	CUSIP/	
ENVIRONMENTAL DIAGNOSTICS IN	COM				4,790	29390710	0
POWELL SAMUEL C		130	9/ 6/9	90	13.2		UPDATE
EYE TECHNOLOGY INC	COM				2,581	30190710	0
FITZSIMMONS ROBERT J		1 <b>3</b> 0	7/ 9/9	90	11.7	10.1	
FARM & HOME FINL CORP	CON				439	30799110	)
KAISER FRANCIS OIL CO		130	8/31/9	90	10.1	9.2	UPDATE
FITCHBURG GAS & ELEC LT CO	COM				59	33813510	)
SALZHAUER HENRY ET AL		1 <b>3</b> D	9/18/9	90	4.7	7.0	UPDATE
GLOBAL OCEAN CARRIERS LTD	COM				396	37935710	)
TSAKOS PANAYOTIS ET AL		130	9/13/9	90	13.2		UPDATE
HOGAN SYSTEM INC	CON				689	43460210	)
INTL BUSINESS MACHINES		130	9/10/9	90	5.3		NEA
KANSAS GAS & ELEC CO	COM				0	48526010	)
KANSAS CITY PWR & LGT CO		14D-1	9/20/9	90	0.0	0.0	UPDATE
LODGISTIX INC	COM				0	54021810	)
GENERAL ELECTRIC CO	-	130	8/23/9	<b>20</b>	0.0	0.0	NEW
MICHIGAN GEN CORP	COM				14,433	59451710	)
FIRST EXECUTIVE CORP ET AL	•	130	6/30/9	<b>20</b>	16.6		UPDATE
MIZLOU COMMUNICATIONS INC	COM				3,780	60691010	)
MACK EARLE I ET AL		130	9/10/9	<b>20</b>	25.4		UPDATE
NOD U KRAF HOMES INC	COM				145	60749610	)
OLIVER BOBBIE L		130	9/19/9	0	21.0	0.0	NEM
NECO ENTERPRISES INC	COM				1.852	62905710	)
LAROCHE DAVID F ET AL	-	130	8/20/9	0	79.2		UPDATE
			-				
PROSPECT GROUP INC	STAPLD	COM ILL			2,500	74291840	
WACHENHEIM EDGAR III ET AL		13D	9/11/9	0	11.3	6.7	UPDATE
SYLVAN FOODS HOLDINGS	COM				561	87137110	1
MEZZANINE LENDING ASSOC ET	AL	130	9/19/9	0	10.9	9.7	UPDATE
UNILENS OPTICAL CORP	COM				917	90490710	
OMNI SECURITIES LTD ET AL		130	8/22/9	0	12.4	23.2	UPDATE
WEBB DEL E CORP	COM				0	94742310	
INDUSTRIAL EQUITY LTD ET A		13D	9/17/9	0	0.0	9.7	UPDATE

## RECENT SK FILINGS

Form 8-K is used by companies to file current reports on the following events:

Item 1. Changes in Control of Registrant.

Item 2. Acquisition or Disposition of Assets.

Item 3.

Bankruptcy or Receivership. Changes in Registrant's Certifying Accountant. Other Materially Important Events. Resignations of Registrant's Directors. Item 4.

Item 5.

Item 6.

Financial Statements and Exhibits. Item 7.

The companies listed below have filed 8-K reports for the date indicated and/or amendments to 8-K reports previously filed, responding to the item(s) of the form specified. Copies of the reports may be purchased from the Commission's Public Reference Room (when ordering, please give the date of the report). An invoice will be included with the requested material when mailed.

NAME OF ISSUER	STATE	8K ITEM N		DATE	COMMENT
LOCTITE CORP	CT		x	09/13/90	
MAPCO INC	DE	x		09/19/90	
MARINE MIDLAND CREDIT CARD TRUST 1990 A		x	X	09/17/90	
MARINE MIDLAND 1987-1 CARSSM TRUST	NY	х	X	09/15/90	
MARINE MIDLAND 1988-1 CARS R TRUST	NY	x	X	09/15/90	
MARINE MIDLAND 1988-2 CARS R TRUST	NY	, <b>x</b>	X	09/15/90	
MARINE MIDLAND 1989 1 CARS R TRUST	NY	X	X	09/15/90	
MARINE MIDLAND 1989-2 CARS R TRUST	NY	x	X	09/15/90	
NEW PLAN REALTY TRUST	MA	NO ITEMS		07/11/90	AMEND
NL INDUSTRIES INC	NJ	x	X	09/19/90	
NOVA CAPITAL INC	NV	ХX		03/28/90	AMEND
NSC SERVICE GROUP INC	DE	NO ITEMS		07/27/90	AMEND
PORT CITY CORP	UT	X		09/10/90	
REDWOOD EMPIRE BANCORP	CA	X	X	09/20/90	
RESOURCE NETWORK INTERNATIONAL INC	DE	X		09/13/90	AMEND
SANTA FE ENERGY RESOURCES INC	DE	x	X	09/13/90	
SEAMENS CORP	DE	X	X	09/06/90	
SOUTHEAST BANK CREDIT CARD TRUST 1990 A			X	09/17/90	
SOUTHEAST BANK CREDIT CARD TRUST 1990 B			X	09/17/90	
UNUN CORP	DE	X	X	07/02/90	AMEND
VALHI INC /DE/	DE	X	X	09/19/90	
WASTE MANAGEMENT INC	DE	x x	X	09/07/90	
WESTERN OHIO HEALTH CARE CORP	OH	X		09/14/90	
WHEELABRATOR TECHNOLOGIES INC /DE/	DE	X	X	09/07/90	

### SEC PUBLIC INFORMATION NUMBERS

Members of the public seeking information and/or material from the Commission continue to complain of being incorrectly referred to the wrong telephone number by Commission staff. The following information is furnished to assist you in directly calling the appropriate office:

<u>Consumer Affairs</u> (202-272-7440): Investor inquiries and complaint processing information.

Freedom of Information Branch (202-272-7420): Requests concerning FOIA, Privacy Act, Sunshine Act, confidential treatment matters, etc.

<u>Personnel Locator</u> (202-272-2550): Requests for names and phone numbers of Commission personnel.

<u>Public Affairs</u> (202-272-2650): Information about matters in the SEC News Digest, the Commission's operations, and calls from the press not directed to particular individuals, and other related matters.

<u>Public Reference</u> (202-272-7450): Requests for information on whether or not a document has been filed, etc.

<u>Publications Unit</u> (202-272-7460/7461): Requests for forms, studies, directories, etc.

Office of the Secretary (202-272-2600): Requests for information on the Commission calendar.

<u>SEC Information Line</u> (202-272-3100/5624): General Information about SEC operations and activities through a series of recorded messages.