SEC NEWS DIGESTALE SECURITIES & EXCHANGE COMMISSION

Issue 74-60 (SEC Docket, Vol. 4, No. 2 - Apr. 9)

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March 27, 1974

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DECISIONS IN ADMINISTRATIVE PROCEEDINGS

DELPHI CAPITAL CORP. AND DELPHI MANAGEMENT CORP. REVOKED, ABRAHAM J. SALAMAN AND HARVEY N. KLEBANOFF BARRED

LIBRARY

The SEC has issued an order revoking the broker-dealer registration of Delphi Capital Corporation, of <u>Philadelphia</u>, and the investment adviser registration of its wholly-owned subsidiary, Delphi Management Corporation, and barring Abraham J. Salaman, Chairman of the Board of Delphi Capital, and Harvey N. Klebanoff (also known as Harvey N. Kaye), executive vice-president of Delphi Capital and president of Delphi Management. After three years, Salaman and Kaye may apply to the Commission to become associated with a broker-dealer, investment company or investment adviser in non-principal, non-supervisory capacities.

The sanctions were based on findings that, during various periods from 1971 through 1973, respondents sold unregistered securities and violated the antifraud provisions of the securities laws. Among other things, they manipulated the prices and markets of several securities, caused an investment company over which they had control to purchase securities to its detriment without disclosing the adverse factors attendent to such investments, and in connection with sales of various securities to customers made misrepresentations regarding their market prices and investment qualities. The Commission's action was based upon an offer of settlement in which the respondents, without admitting or denying the charges against them, consented to the above findings and sanctions. In addition, respondents consent to a final judgment which will permanently enjoin them from further violations of the securities laws and provide for restitution by them to the investment company. (Rel. 34-10693)

COURT ENFORCEMENT ACTIONS

COMPLAINT NAMES DELPHI CAPITAL CORPORATION, OTHERS

The Washington Regional Office and the Philadelphia Branch Office announced the filing of an injunctive complaint on March 14, 1974 in the U. S. District Court at Philadelphia against Delphi Capital Corporation and Delphi Management Corporation, presently of Cherry Hill, N. J., but formerly located in Philadelphia; Abraham J. Salaman of Philadelphia, former President of Delphi Capital, and Harvey N. Kaye, the Executive Vice President of Delphi Capital and President of Delphi Management. The complaint charges violations of the securities laws, including the antifraud, registration, recordkeeping and pricing provisions, and those which relate to breaches of fiducary duties in the management of the Rittenhouse Fund, a registered, diversified, open-end management investment company. Defendants are not related to the Delfi Group which is located in Wilmington, Delaware.

On the same day, without admitting or denying the allegations in the Commission's complaint, all the defendants consented to a permanent injunction and the requested ancillary relief which includes a repayment of \$70,000, the establishment of an escrow account for the benefit of the Rittenhouse Fund and the transfer of the assets of the Fund and its management contract, at no profit, to another existing investment company or management company which is unaffiliated with the defendants. (LR-6286)

COMPLAINT NAMES BRENNAN FINANCIAL, OTHERS

The San Francisco Branch Office announced that on March 19 a complaint was filed in the U. S. District court in California, seeking to enjoin Brennan Financial Services, Inc., The Whitney Properties Corporation, Brennan Financial Corporation (formerly Parallel Investment Planning), Parallel Securities, Inc., T. J. Smith and Associates, Whitney Enterprises of L.A., T. J. Smith and Associates of L.A., The James E. Brennan Corporation, Milo Whitney Smith, Terry J. Smith, James E. Brennan and Sidney M. Frank, from violations of the registration and antifraud provisions of the securities laws in the offer and sale of interests in various real estate limited partnership syndications, formed, sold and managed by the defendants. (LR-6294)

COMMISSION ANNOUNCEMENTS

HEARING SCHEDULED RE THE JOURNAL COMPANY

An order for a hearing has been issued on the application by the Journal Company and the Journal Employees' Stock Trust under Section 12(h) of the Securities Exchange Act of 1934 for exemptions for both applicants from the registration, reporting, proxy solicitation and insider trading provisions of that Act. The application states that the Journal Company has its principal offices in Milwaukee, Wisconsin; is engaged in the newspaper, broadcasting and printing businesses; and is owned by two close corporations, two charitable trusts and the Journal Employees' Stock Trust which has 1,468 unitholders and holds 82.5% of the Journal Company's outstanding common stock. The hearing is scheduled to be held at 10:00 a.m. on April 29, 1974 at the Commission's offices in Washington, D. C. All persons desiring to be heard are directed to file an appropriate request in accordance with Rule 9(c) of the Commission's Rules of Practice. (Rel. 34-10705)

HOLDING COMPANY ACT RELEASES

THE COLUMBIA GAS SYSTEM

A notice has been issued giving interested persons until April 22 to request a hearing on a proposal of The Columbia Gas System, Inc., a registered holding company, to sell up to \$220 million of notes to banks and to a dealer in commercial paper. (Rel. 35-18339)

DELMARVA POWER & LIGHT COMPANY

A notice has been issued giving interested persons until April 18 to request a hearing on an application of Delmarva Power & Light Company, a registered holding company, to increase the amount of short-term notes it proposes to sell to banks and/or commercial paper to a dealer in commercial paper or private investors from up to \$75 million to a maximum of \$124 million. (Rel. 35-18341)

TRADING SUSPENSIONS

ADDITIONAL ACTION ON ONE TRADING SUSPENSION

The SEC has announced the suspension of exchange and over-the-counter trading in the securities of National Alfalfa Dehydrating & Milling Co. for the further tenday period March 27 - April 5, inclusive.

SECURITIES ACT REGISTRATIONS

(S-14) REPUBLIC OF TEXAS CORPORATION

1800 Republic National Bank Bldg., Pacific and Ervay Sts., <u>Dallas</u>, <u>Tex</u>. 75201 - 1,725,370 shares of common stock. It is proposed that these shares be offered in exchange for and in conversion of the outstanding common stock of Houston National Company, Houston, Texas, in the proposed merger of Houston National into Republic of Texas Corporation, at the rate of 1.0738 Republic shares for each Houston share. Houston owns all of the capital stock (except for director's qualifying shares) of Houston National Bank, Houston, Texas. It is proposed that Republic of Texas Corporation will become a bank holding company upon the consummation of its proposed acquisition of the successor by merger to Republic National Bank of Dallas, Dallas, Texas. (File 2-50481 - Mar. 22)

(S-1) PCA INTERNATIONAL, INC.

801 Crestdale Ave., Matthews, N. C. 28105 - 83,931 shares of common stock, to be offered for sale by certain shareholders at \$16.60 per share to executive and management employees of the PCA and its subsidiaries and one other person. PCA is engaged in the children's photography business in retail discount, variety and food stores. (File 2-50483 - Mar. 22)

(S-14) CRYSTAL OIL COMPANY

600 Oden Bldg., Shreveport, La. 71163 - 650,127 shares of common stock. It is proposed to offer these shares in exchange for the outstanding common shares of Rico-Argentine Mining Company, of Salt Lake City, Utah, at the rate of 0.67 Crystal share for each Rico share. Crystal is an integrated independent oil company. (File 2-50484 - Mar. 22)

(S-2) TRUE GRIT CATTLE CO.

P. O. Box 566, <u>Stanfield</u>, <u>Ariz</u>. 85272 - 150,000 shares of common stock, to be offered for sale at \$10 per share. True Grit will engage in the cattle business. (File 2-50485 - Mar. 22)

(S-14) FIRST TENNESSEE NATIONAL CORPORATION

165 Madison Ave., Memphis, Tenn. 38103 - 1,776,250 shares of common stock. It is proposed to offer these shares in exchange for the outstanding common stock of three Tennessee banks as follows: (1) 101,250 shares for Bank of Mt. Juliet, Mt. Juliet, Tennessee, shares at the rate of 4.50 First Tennessee shares for each Mt. Juliet share; (2) 1,300,000 shares for Pioneer Bank, Chattanooga, Tennessee, shares at the rate of 65 First Tennessee shares for each Pioneer share; and (3) 375,000 shares for Cleveland Bank & Trust Company, Cleveland, Tennessee, shares at the rate of 3.75 First Tennessee shares for each Cleveland share.

First Tennessee is a bank holding company which controls twelve subsidiary banks. (File 2-50486 - Mar. 22)

(S-7) PENNSYLAVANIA POWER & LIGHT COMPANY

Two North Ninth St., <u>Allentown</u>, <u>Pa</u>. 18101 - \$80 million of first mortgage bonds, due 2004, to be offered for sale at competitive bidding. The company is engaged in the electric utility business. (File 2-50488 - Mar. 22)

(S-4) EXTRACTABLE RESOURCES, INC.

1411 Walnut St., Philadelphia, Pa. 19102 - up to 4,840,000 shares of common stock, to be offered for sale at \$12.50 per share through underwriters headed by Bache & Co. Incorporated, 100 Gold St., New York, N. Y. 10005 and Harris, Upham & Co. Incorporated, 120 Broadway, New York, N. Y. 10005. The company is a newly organized

diversified investment company, whose investment objective is long term capital appreciation, with current income a secondary objective. Vestaur Corporation is investment adviser. (File 2-50489 - Mar. 22)

(S-14) ACF INDUSTRIES, INCORPORATED

750 Third Ave., New York, N. Y. 10017 - up to 72,500 shares of common stock. It is proposed to issue these shares to the minority shareholders of ACF's 93% owned subsidiary, The Polymer Corporation, at the rate of one ACF share for every 4.3 Polymer common shares. ACF manufactures, sells and leases railroad equipment and manufactures and sells automotive fuel system components and valves and related control devices used mainly in the oil, gas and petrochemical industries. Polymer fabricates and markets engineered plastic products. (File 2-50491 - Mar. 22)

(S-14) DUN & BRADSTREET COMPANIES, INC.

299 Park Ave., New York, N. Y. 10017 - 357,000 shares of common stock. These shares are proposed to be issued in the acquisition by Dun & Bradstreet or, at its direction, by a subsidiary of The Reuben H. Donnelley Corporation, which is in turn a subsidiary of Dun & Bradstreet, of substantially all the assets and business of Meisel Photochrome Corporation. Dun & Bradstreet is a holding company whose subsidiaries are engaged in various lines of business which include business information services, publishing, marketing services and broadcasting. (File 2-50492 - Mar. 22)

(S-7) DUKE POWER COMPANY

422 South Church St., <u>Charlotte</u>, <u>N. C</u>. 28242 - \$100 million of first mortgage bonds, due 2004, to be offered for sale at competitive bidding. The company produces, transmits, and sells electric energy. (File 2-50493 - Mar. 22)

STOCK PLANS FILED

The following have filed Form S-8 registration statements with the SEC seeking registration of securities to be offered pursuant to employee stock and related plans:

The Grand Union Company, Elmwood Park, N. J. (File 2-50474 - Mar. 21) -

600,000 shares

H. B. Fuller Company, Saint Paul, Minn. (File 2-50479 - Mar. 21) - 55,555 shares

Associated Hosts, Inc., Beverly Hills, Cal. (File 2-50480 - Mar. 22) - 55,000 shares

Rockwell International Corp., El Segundo, Cal. (File 2-50487 - Mar. 22) - 22.825 shares

Chrysler Corp., Highland Park, Mich. (File 2-50490 - Mar. 22) - \$42,000,000 of participations

REGISTRATIONS EFFECTIVE

March 26: Federated Capital Corp., 2-49951; Idle Wild Foods, Inc., 2-49611; Municipal Investment Trust Fund, Twenty-Second Monthly Payment Series, 2-50328; Petro-Search Exploration Corp., 2-49128 (90 days); Pullman Transport Leasing Company, 2-50289; Seafirst Corp., 2-50217; Texas Gas Transmission Corp., 2-50353; Washington Gas Light Company, 2-50354.

NOTE TO DEALERS. The period of time dealers are required to use the prospectus in trading transactions is shown in parentheses after the name of the issuer.

REGISTRATIONS WITHDRAWN

March 6: Granger Associates, 2-47238 & 2-47239.

March 26: Mack Land Investors, 2-43497.

RECENT 8K FILINGS

Form 8K is a report which must be filed with the SEC by the 10th of the month after any of the following important events or changes: changes in control of the registrant; acquisition or disposition of assets; legal proceedings; changes in securities (i.e., collateral for registered securities); defaults upon senior securities; increase or decrease in the amount of securities outstanding; options to purchase securities; revaluation of assets; submission of matters to a vote of security holders.

The companies listed below have filed Form 8-K reports for the month indicated, responding to the item of the form specified. Photocopies may be purchased from the Commission's Public Reference Section (in ordering, please give month and year of report). An index of the captions of the items of the form was included in Monday's News Digest.

COMPANY	ITEM NO.	MONTH
KAISER STEEL CORP	14	02/74
KANSAS CITY LIFE INSURANCE CO	13	02/74
KATY INDUSTRIES INC	2.14	12/73
KAYSAM CORP OF AMERICA	13	02/74
KERR MCGEE CORP	2,3,7,14	02/74
KIN ARK CORP	13	02/74
KLEINERTS INC	11.14	02/74
KNOX LUMBER CO	i	02/74
LAURENTIDE FINANCIAL CORP LTD	7	02/74
LEHIGH COAL & NAVIGATION CO	13	02/74
LEXTON ANCIRA REAL ESTATE FUND LTD 1972	3,14	02/74
LEXTON ANCIRA REAL ESTATE FUND LTD 1972B	3.14	02/74
LILLI ANN CORP	8	02/74
LINDSEY GEORGE INTERNATIONAL INC	2,3	02/74
LQ MOTOR INNS INC	7,14	02/74
MAINE SUGAR INDUSTRIES INC	NO ITEMS	02/74
MANGEL STORES CORP	3,13,14	02/74
MANUFACTURERS NATIONAL CORP	13	02/74
MAXA CORP	7	02/74
MCKEON CONSTRUCTION	13	02/74
MET SPORTS CENTERS INC	3	02/74
MGIC MORTGAGE CORP	7,13,14	02/74
MICHIGAN SEAMLESS TUBE CO	4,11,14	02/74
MICROPAC INDUSTRIES INC	1,9	02/74
MID AMERICA INDUSTRIES INC	13	02/74
MID TEXAS COMMUNICATIONS SYSTEMS INC	3	02/74
MIDWEST OIL CORP	13,14	02/74
MONTCALM VINTNERS INC	7	02/ 74
MOSTEK CORP	7,8	02/74
MOULDINGS INC	8	02/74
MOUNTAIN BANKS LTD	12,14	02/74
NATHANS FAMOUS INC	9	02/74
NATIONAL AVIATION UNDERWRITERS INC	3	01/74
NATIONAL KINNEY CORP	2,13,14	02/74
NATIONAL TEA CO	14	02/74
NATPAC INC	7,14	02/74
NEW YORK TELEPHONE CO	13	02/74
NEWELL COMPANIES INC	13,14	02/74
NIELSEN A C CO	11	02/74
NON COMMISSIONED OFFICERS LIFE INSURANCE	12,14	02/74
NORFOLK & CAROLINA TELEPHONE & TELEGRAPH	13	03/74
NORIN CORP	7,13,14	02/74
OFFSHORE LOGISTICS INC	13	02/74
OVITRON CORP	2,12,14	02/74
PACIFIC ENGINEERING & PRODUCTION CO OF N	3,13,14 13	02/ 74 01/ 74
PACIFIC GAMBLE ROBINSON CO PALOMAR MORTGAGE INVESTORS	13,14	02/74
PAN AMERICAN WORLD AIRWAYS INC	8	02/74
PASQUALE FOOD CO INC	11,13	02/74
PATENT DEVELOPMENT CORP	13	02/74
PENNSYLVANIA LIFE CO	3,13	02/74
PENNSYLVANIA POWER CO	4,7,14	02/74
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WARNER COMMUNICATIONS INC	2,13,14	02/74
WASHINGTON GEORGE CORP	1	02/74 02/74
WASHINGTON NATURAL GAS CO	13 13	02/74
WAVETEK WESTCHESTER CORP	7.14	02/74
WESTERN INDEPENDENT CORP	3,14	03/74
WESTMORELAND COAL CO	3,13,14	02/74
WESTON INTERNATIONAL CORP	1,3,7,8,14	02/74
WOLVERINE WORLD WIDE INC	14 10,14	02/74 02/74
ZURN INDUSTRIES INC AMERICAN CRYSTAL SUGAR CO	8	08/73
ACADIA CO INC	8,14	02/74
AIRCO INC	14	03/74
AMCOURT SYSTEMS INC	3,10,14	02/74
AMERICAN CONTROLLED INDUSTRIES INC	3 8	02/74 02/74
AMERICAN FINANCIAL CORP AMERICAN SMELTING & REFINING CO	13,14	02/74
ANDERSON INDUSTRIES INC	13	02/74
ATLA NATIONAL INVESTMENTS CORP	12,13,14	09/73
BANK SECURITIES INC	3,7,13	02/74
BEHAVIORAL RESEARCH LABORATORIES INC	13	02/74
BELL & HOWELL CO BENEFICIAL CORP	3 7	02/74 02/74
BETHLEHEM STEEL CORP	3	02/74
BEVERLY ENTERPRISES	3,6	02/74
BIO MEDICAL SCIENCES INC	9,13,14	02/74
BRINKS INC	. 8	02/74
BROGAN ASSOCIATES INC	3,14	02/74
BROWN TON INC	13,14 11,14	02/74 02/74
BT MORTGAGE INVESTORS BUILDEX INC	13	02/74
CANDLER CO	3,13,14	02/74
CANOGA INDUSTRIES	11	02/74
CARLSBERG MOBILE HOME PROPERTIES LTD 73	2	02/74
CARTRIDGE TELEVISION INC	3	02/74
COMMUNITY BANKS OF FLORIDA INC	13 3,14	02/74 02/74
COMPUTER COMMUNICATIONS INC COOPER LABORATORIES INC	11	02/74
DIVERSIFIED MEDIA INC	2,14	02/74
DOWNE COMMUNICATIONS INC	13,14	02/74
EDUCASTING SYSTEMS INC	1,3,6	02/74
EMERSONS LTD	7	02/74
EXECUTONE INC EXPEDITER SYSTEMS INC	9,13,14 10,14	02/74 02/74
EXXON CORP	3	02/74
FIRST CONTINENTAL REALTY FUND LTD	2,14	02/74
FIRST FINANCIAL CORP FLORIDA	7	02/74
FLORIDA ROCK INDUSTRIES INC	11,13	02/74
FLORIDA WATER & UTILITIES CO	13 3,10,13,14	02/74 02/74
FUNK SEEDS INTERNATIONAL INC SUPPLEMENTA GRANITE MANAGEMENT SERVICES INC	3,10,13,14	02/74
HEALTH CHEM CORP	8,13	02/74
INDUSTRALEASE CORP	2,13	12/73
INTERPHOTO CORP	2,10,13,14	02/74
JOSLYN MANUFACTURING & SUPPLY CO	13	02/74
LEXINGTON AVENUE & FORTY SECOND STREET C MALLORY RANDALL CORP	3 13,14	02/74 02/ 7 4
NILGO ELECTRONIC CORP	12,14	02/74
MINERALS ENGINEERING CO	7	02/74
MONTANA POWER CO	3	02/74
MOUNTAIN FUEL SUPPLY CO	3	02/74
MULTIVEST REAL ESTATE FUND LTD SERIES 4	2,14 7	04/73 02/74
NASHUA CORP NOVO CORP	7,8,10,14	02/14
OMNI RX HEALTH SYSTEMS	12	02/74
PARKER DRILLING CO	7,14	02/74
PATRICK OIL & GAS CORP	7	02/74
PEABODY GALION CORP	7,8	01/74
PHARMACARE INC	3,12,14 2	02/74 02/74
PIKES PEAK TURF CLUB INC	٤.	02/17

RECENT 8K FILINGS CONT.

PIONEER NURSING CENTERS INC	2,14	02/74
PLANT INDUSTRIES INC	9,13,14	01/74
PLAYBOY ENTERPRISES INC	10,14	02/74
POTTER INSTRUMENT CO INC	2,7,14	12/73
PRECISION INSTRUMENT CO	6	12/73
QUALITY DATA PROCESSING INC	3	02/74
QUESTOR CORP	8	02/74
RADIATION SYSTEMS INC	13	02/74
RINN CORP	7,14	02/74
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ZEMCO INDUSTRIES INC	13	02/74

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