Issue 91-84

U.S. SECURITIES AND EXCHANGE GOMMISSION

May 1, 1991

# COMMISSION ANNOUNCEMENTS

#### OPERATIONAL EDGAR SYSTEM OPENED FOR RECEIPT OF TEST FILINGS

At 8:00 a.m. today the SEC's Operational EDGAR system was opened for receipt of test filings by EDGAR Pilot system filers. Copies of the EDGARLink diskettes and the Operational EDGAR Filer Manual have been mailed to current Pilot filers and will be available in the SEC's Public Reference Room in Washington, D.C. as of May 1 and in the Public Reference Rooms in New York and Chicago next week. The cost of the EDGARLink diskettes is \$2.65. The cost of the Manual is \$15.25. There will be an additional charge for shipping, if required. Please address your requests for either the EDGARLink diskettes or the Manual to the Public Reference Room, U.S. Securities and Exchange Commission, Mail Stop 1-2, 450 5th Street, N.W., Washington, D.C. 20549.

You may also order by calling (301) 951-1350 or (800) 638-8241.

If you have any questions about availability of the diskettes or the EDGAR system, please contact the Office of EDGAR Management at (202) 272-3808.

### CIVIL PROCEEDINGS

# BELLEVUE, WASHINGTON BROKERAGE FIRM AND PRINCIPALS NAMED AND PENALTIES SOUGHT

The Seattle Regional Office of the Commission announced that on April 22 a complaint was filed in the U.S. District Court for the Western District of Washington against Kochcapital, Inc., a Bellevue, Washington broker-dealer, Russell G. Koch, its president, and Donald W. Jones, its compliance officer. The complaint alleges that Kochcapital, aided and abetted by Koch and Jones, violated Section 15(c)(2) of the Securities Exchange Act of 1934 and Rule 15c2-6 thereunder in more than 800 transactions involving approximately \$4 million. The Commission is seeking an injunction, an accounting and an offer of rescission to all customers involved in violative trades. The Commission is also seeking penalties against all defendants for violations after October 15, 1990 in the first non-fraud case by the Commission seeking that relief. [SEC v. Kochcapital, Inc., Russell G. Koch and Donald W. Jones, C91-547, W.D. WA] (LR-12847)

#### INVESTMENT COMPANY ACT RELEASES

# DREYFUS INVESTMENT GRADE MUNICIPAL FUND PATRIOT GROUP INVESTMENT TRUST

Orders have been issued under Section 8(f) of the Investment Company Act declaring that DREYFUS INVESTMENT GRADE MUNICIPAL FUND, INC. and PATRIOT GROUP INVESTMENT TRUST have ceased to be investment companies. (Rels. IC-18115 and IC-18116, respectively - April 26)

#### MONARCH LIFE INSURANCE COMPANY

A notice has been issued giving interested persons until May 21 to request a hearing on an application. The application is filed by Monarch Life Insurance Company, First Variable Life Insurance Company, Variable Account A of Monarch Life Insurance Company, Variable Account B of Monarch Life Insurance Company, Variable Account Al of Monarch Life Insurance Company, Variable Account Bl of Monarch Life Insurance Company, The Fidelity Variable Account of Monarch Life Insurance Company, Separate Account VA-1 of Monarch Life Insurance Company, Separate Account VA of Monarch Life Insurance Company, First Variable Life Insurance Company Fund E, Monarch Financial Services, Inc., and Monarch Services, Inc. The application is for an order pursuant to Section 6(c) of the Investment Company Act to amend existing orders of exemption (Existing Orders) under Sections 2(a)(32), 2(a)(35), 12(d)(1), 22(c), 26(a)(2), 27(a)(1), 27(a)(3), 27(c)(1), 27(c)(2), 27(d), 27(f), and 27(h)(3) of the Act and Rules 6e-2 and 22c-1 thereunder. The amendments would (i) add MSI as a party to the exemptive relief previously granted in each Existing Order; and (ii) specify that MSI acts as a principal underwriter with respect to the variable life insurance policies and variable annuity contracts described in the Existing Orders. (Rel. IC-18117 - April 26)

### BAILLIE GIFFORD INTERNATIONAL FUND

A notice has been issued giving interested persons until May 23 to request a hearing on an application filed by Baillie Gifford International Fund, Inc. for a conditional order under Section 6(c) of the Investment Company Act. The conditional order would exempt applicant from the provisions of Section 12(d)(3) of the Act and Rule 12d3-1 thereunder to the extent necessary to permit it to invest in equity or convertible debt securities issued by foreign issuers that derived more than 15% of their gross revenues from their activities as a broker, dealer, underwriter, or investment adviser, provided such investments meet the conditions described in proposed amended Rule 12d3-1. (IC-18118; International Series Rel. 263 - April 26)

#### DEAN WITTER REYNOLDS

A conditional order has been issued pursuant to Section 9(c) of the Investment Company Act exempting Dean Witter Reynolds Inc. (Dean Witter) from the provisions of Section 9(a) of the Act. The conditional order would relieve Dean Witter from any ineligibility resulting from the employment of three individuals who have been enjoined from engaging in certain securities- or commodities-related conduct. (Rel. IC-18119 - April 29)

# HOLDING COMPANY ACT RELEASES

# SOUTHWESTERN ELECTRIC POWER COMPANY

A notice has been issued giving interested persons until May 20 to request a hearing on a proposal by Southwestern Electric Power Company (SWEPCO), an electric public utility subsidiary of Central and South West Corporation (CSW), a registered holding company. It is proposed that SWEPCO enter into an agreement to amend an existing '\$50 million loan agreement between SWEPCO and three banks to (1) extend the maturity of the loan through June 15, 1997; (2) amend the interest rate on the loan; (3) add provisions to compensate the lenders for their costs of complying with capital adequacy regulations; and (4) add assignment and participation provisions. (Rel. 35-25303)

#### ALLEGHENY GENERATING COMPANY

A notice has been issued giving interested persons until May 20 to request a hearing on a proposal by Allegheny Generating Company (AGC), an electric public-utility subsidiary company of Monongahela Power Company (Monongahela), The Potomac Edison Company (Potomac Edison) and West Penn Power Company (West Penn). Each is a electric public-utility subsidiary company of Allegheny Power System, Inc., a registered holding company. According to the proposal, AGC will issue promissory notes up to a maximum aggregate principal amount of \$150 million, through June 30, 1993, pursuant to a revolving credit agreement (Agreement) with a group of nine banks. Monongahela, Potomac Edison and West Penn, severally and not jointly, propose to guarantee 27%, 28% and 45%, respectively, of the amount due the banks from AGC under the Agreement. (Rel. 35-25303)

# GENERAL PUBLIC UTILITIES CORPORATION

A notice has been issued giving interested persons until May 20 to request a hearing on a proposal by General Public Utilities Corporation, a registered holding company, to effect a two-for-one split of its shares of common stock by way of a stock dividend. (Rel. 35-25303)

#### SIGNIFICANT NO-ACTION AND INTERPRETATIVE LETTERS

# INTERPRETATION OF NEW RULES UNDER SECTION 16 OF THE EXCHANGE ACT

The Division of Corporation Finance has announced the publication of staff correspondence interpreting the new Section 16 rules. Copies of the letter may be obtained by <u>writing</u> to, or by making a request <u>in person</u> at, the Public Reference Room, Securities and Exchange Commission, 450 5th Street, N.W., Room 1024, Washington, D.C. 20549. Each request must state the name of the subject company, the Act and the Section of the Act to which it relates, and the public availability date.

Letter	AvailabilityDate				
Hewitt Associates	May 1, 1991				

Subject

Various questions regarding employee benefit plans

# SECURITIES ACT REGISTRATIONS

The following registration statements have been filed with the SEC under the Securities Act of 1933. The reported information appears as follows: Form, Name, Address and Phone Number (if available) of the issuer of the security; Title and the number and/or face amount of the securities being offered; Name of the managing underwriter or depositor (if applicable); File number and date filed; Assigned Branch; and a designation if the statement is a New Issue.

- S-3 NORTHERN ILLINOIS GAS CO /IL/ /NEW/, 1700 W FERRY RD, NAPERVILLE, IL 60563 (708) 983-8888 50,000,000 (\$50,000,000) MORTGAGE BONDS. (FILE 33-39848 APR. 23) (BR. 7)
- S-8 ANALOG DEVICES INC, ONE TECHNOLOGY WAY, NORWOOD, MA 02062 (617) 329-4700 700,000 (\$8,575,000) COMMON STOCK. (FILE 33-39851 APR. 23) (BR. 3)
- S-8 ANALOG DEVICES INC, ONE TECHNOLOGY WAY, NORWOOD, MA 02062 (617) 329-4700 1,800,000 (\$22,050,000) COMMON STOCK. (FILE 33-39852 APR. 23) (BR. 3)
- S-1 TELEPHONE & DATA SYSTEMS INC, 30 N LASALLE ST, CHICAGO, IL 60602 (312) 630-1900 3,000,000 (\$118,311,000) COMMON STOCK. (FILE 33-39854 APR. 23) (BR. 7)
- S-3 INTERNATIONAL GAME TECHNOLOGY, 520 S ROCK BLVD, RENO, NV 89502 (702) 688-0100 138,000,000 (\$17,300,000) STRAIGHT BONDS. (FILE 33-39856 APR. 23) (BR. 6)
- S-3 MARRIOTT CORP, 10400 FERNWOOD RD, BETHESDA, MD 20058 (301) 380-9000 575,000,000 (\$177,468,000) STRAIGHT BONDS. (FILE 33-39858 APR. 23) (BR. 12)
- S-8 ANALOG DEVICES INC, ONE TECHNOLOGY WAY, NORWOOD, MA 02062 (617) 329-4700 \$2,603,125 UNIT INVESTMENT TRUST. (FILE 33-39996 APR. 23) (BR. 3)
- S-8 AMSCO INTERNATIONAL INC, ONE MELLON BANK CTR, 500 GRANT ST, PITTSBURGH, PA 15219 (412) 338-6500 200,000 (\$2,200,000) COMMON STOCK. (FILE 33-39998 APR. 23) (BR. 8)
- S-8 AMSCO INTERNATIONAL INC, ONE MELLON BANK CTR, 500 GRANT ST, PITTSBURGH, PA 15219 (412) 338-6500 4,901,375 (\$79,953,679.69) COMMON STOCK. (FILE 33-39999 APR. 23) (BR. 8)
- S-1 COLUMBIA HOSPITAL CORP, 777 MAIN ST, SUITE 2100, FORT WORTH, TX 76102 (817) 870-5900 471,538 (\$3,649,704.12) COMMON STOCK. (FILE 33-40000 APR. 23) (BR. 6)
- S-8 MOSINEE PAPER CORP, 1244 KRONENWETTER DR, MOSINEE, WI 54455 (715) 693-4470 90,000 (\$2,745,000) COMMON STOCK. (FILE 33-40049 APR. 19) (BR. 8)
- S-1 RAG SHOPS INC, 111 WAGARAW RD, HAWTHORNE, NJ 07506 (201) 423-1303 1,725,000 (\$13,800,000) COMMON STOCK. 150,000 (\$1,440,000) COMMON STOCK. UNDERWRITER: LADENBURG THALMANN & CO INC. (FILE 33-40077 APR. 23) (BR. 2 NEW ISSUE)
- S-1 CAMBRIDGE NEUROSCIENCE RESEARCH INC, ONE KENDALL SQUARE BUILDING 700, CAMBRIDGE, MA 02139 (617) 225-0600 2,300,000 (\$39,100,000) COMMON STOCK. (FILE 33-40078 APR. 23) (BR. 4 NEW ISSUE)

# REGISTRATIONS CONTINUED

- F-6 TELEFONOS DE MEXICO S A DE C V /ADR/, 2 RECTOR ST,
  C/O SECURITY PACIFIC NATIONAL TRUST CO, NEW YORK, NY 10006 (212) 978-5009 80,000,000
  (\$4,000,000) DEPOSITARY RECEIPTS FOR COMMON STOCK. (FILE 33-40085 APR. 23) (BR. 99)
- S-1 PARTECH HOLDINGS CORP, 3770 RIDGE MILL DR, COLUMBUS, OH 43026 (614) 777-1157 5,931,741 (\$8,897,612) COMMON STOCK. 5,931,741 (\$17,795,223) COMMON STOCK. (FILE 33-40086 APR. 23) (BR. 9)
- S-8 PETROLEUM HELICOPTERS INC, 5728 JEFFERSON HHY, P 0 BOX 23502, NEW ORLEAMS, LA 70183 (504) 733-6790 22,500 (\$213,750) COMMON STOCK. (FILE 33-40087 APR. 23) (BR. 3)
- S-8 FIRST BANCORP INDIANA INC, 101 NORTH FOURTH STREET, LAFAYETTE, IN 47902 (317) 423-2525 70,000 (\$771,750) COMMON STOCK. (FILE 33-40088 APR. 23) (BR. 2)
- F-6 PONDEROSA INDUSTRIAL S A DE C V /ADR, 48 WALL ST, C/O BANK OF NEW YORK, NEW YORK, NY 10286 (212) 495-1727 50,000,000 (\$5,000,000) DEPOSITARY RECEIPTS FOR COMMON STOCK. (FILE 33-40089 APR. 23) (BR. 99 NEW ISSUE)
- S-2 QUADRAX CORP /DE/, 300 HIGH POINT AVE, PORTSMOUTH, RI 02871 (401) 683-6600 2,000,000 (\$6,000,000) COMMON STOCK. 2,000,000 (\$2,660,000) COMMON STOCK. (FILE 33-40090 APR. 23) (BR. 10)
- S-3 FRUIT OF THE LOOM INC /DE/, 6300 SEARS TWR, 233 S WACKER DR, CHICAGO, IL 60606 (312) 876-1724 6,500,000 (\$94,640,000) COMMON STOCK. 8,625,000 (\$125,580,000) COMMON STOCK. (FILE 33-40131 APR. 23) (BR. 8)
- S-1 HARBOR INVESTMENT CORP, 1219 ANNAPOLIS RD, ODENTON, MD 21113 (301) 551-8400 152,087 (\$1,520,870) COMMON STOCK. (FILE 33-40132 APR. 23) (BR. 1 NEW ISSUE)
- F-1 TEMPLETON GALBRAITH & HANSBERGER LTD /C I, WEST BAY ST, LYFORD CAY, NASSAU BAHAMAS, E9 (809) 362-4600 11,500,000 (\$48,530,000) FOREIGN GOVERNMENT AND AGENCY DEBT.

  UNDERWRITER: CAZENOVE INC, DEAN WITTER REYNOLDS INC, MERRILL LYNCH & CO. (FILE 33-40133 APR. 23) (BR. 11 NEW ISSUE)
- S-3 RICHFOOD HOLDINGS INC, 2000 RICHFOOD RD, P O BOX 26967, RICHMOND, VA 23261 (804) 746-6000 6,890,972 (\$99,505,636) COMMON STOCK. (FILE 33-40134 APR. 23) (BR. 3)
- S-1 FCC NATIONAL BANK, ONE GATEWAY CTR, 300 KING ST, WILMINGTON, DE 19801 (302) 656-5020 1,000,000 (\$1,000,000) EQUIPMENT TRUST CERTIFICATES. (FILE 33-40135 APR. 24) (BR. 12)
- S-4 USG CORP, 101 S WACKER DR, CHICAGO, IL 60606 (312) 606-4000 (FILE 33-40136 APR. 24) (BR. 10)
- S-2 JOHNSTON COCA COLA BOTTLING GROUP INC, THE KRYSTAL BLDG STE 600, ONE UNION SQ, CHATTANOOGA, TN 37402 (615) 756-1202 150,000,000 (\$150,000,000) STRAIGHT BONDS. (FILE 33-40137 APR. 24) (BR. 11)
- S-11 HEALTH EQUITY PROPERTIES INC, 915 WEST 4TH ST, P O BOX 48, WINSTON SALEM, NC 27101 (919) 723-7580 3,250,000 (\$25,187,500) COMMON STOCK. (FILE 33-40139 APR. 24) (BR. 6)
- \$-2 UNITED CITIES GAS CO, 5300 MARYLAND WAY, BRENTWOOD, TN 37027 (615) 373-0104 1,150,000 (\$14,950,000) COMMON STOCK. (FILE 33-40141 APR. 24) (BR. 8)
- S-1 REGIS CORP, 5000 NORMANDALE RD, EDINA, MN 55436 (612) 929-6776 3,697,250 (\$55,458,750) COMMON STOCK. UNDERWRITER: DEAN WITTER REYNOLDS INC, PIPER JAFFRAY & HOPWOOD INC. (FILE 33-40142 APR. 24) (BR. 5)
- S-2 FERROFLUIDICS CORP, 40 SIMON ST, NASHUA, NH 03061 (603) 883-9800 204,050 (\$1,989,487.50) COMMON STOCK. (FILE 33-40143 APR. 24) (BR. 3)

#### ACQUISITION OF SECURITIES

Companies and individuals must report to the Commission within ten days on Schedule 13D if after the acquisition of equity securities of a public company their beneficial interest therein exceeds five percent. Persons eligible to use the short form (Schedule 13G) may in lieu of filing a Schedule 13D file a Schedule 13G within 45 days after the end of the calendar year in which the person became subject to Section 13(d)(1). Companies and individuals making a tender offer must have on file at the time the tender offer commences a Schedule 14D-1.

Below is a list of recent filings of Schedules 13D and 14D, which includes the following information: Column 1 - the company purchased (top), and the name of the purchaser; Column 2 - the type of security purchased; Column - 3 - the type of form filed; Column 4 - the date the transaction occurred; Column 5 - the current number of shares (in 000's) owned (top) and the current percent owned; Column 6 - the CUSIP number (top) and the percent owned; and Column 7 - the status of the filing, i.e., new, update or revision.

			EVENT SH	) / / nnn	CHSID/	ELLING
NAME AND CLASS OF STOCK/OWNER	<b>:</b>	FORM	DATE		PRIOR%	
ADC TELECOMMUNICATION INC	COM			<b>7</b> 50	00088610	)
STATE OF WISCONSIN INVEST	80	130	4/12/91	5.6	6.7	UPDATE
ADELPHIA COMMUNICATIONS CORP	CL A	470			00684810	
MEYERS LEON ET AL		130	4/16/91	7.6	9.1	UPDATE
AIR TRANSH HLDG INC	COM	•		978	00922410	)
SIMPSON WILLIAM HENRY		130	4/ 1/91	6.0	0.0	NEW
BIOSEARCH MED PROOS INC	COM				09066010	
GORDON DONALD R		130	4/24/91	N/A	0.0	MEM
BIOSYNERGY INC	COM			5 079	09091710	,
ADDIS LAUANE C	CON	130	3/ 1/91	•		
AND TO ENDANE O		130	37 1771	37.4	20.5	OFDATE
BIOSYNERGY INC	COM			6,954	09091710	
SUZUKI FRED K		130	4/ 1/91	43.2	24.9	UPDATE
CABOT CORP		*			12705510	
STATE OF WISCONSIN INVEST	BD	130	4/10/91	5.2	0.0	NEM
CABOT OIL & GAS CORP	CI A			1 057	12709710	
STATE OF WISCONSIN INVEST		13D	4/ 9/91	•		
		135	4, 2,71		0.0	754
CHARTER CO	COM			24,012	16117710	
AMERICAN FINANCIAL CORP E	r AL	130	4/25/91	52.2	52.2	UPDATE
CHIPS & TECHNOLOGIES INC					17002110	
STATE OF WISCONSIN INVEST	BD	13D	4/ 9/91	6.5	5.4	UPDATE
COMPUTER FACTORY INC	COM			488	20490910	
SAFEGUARD SCIENTIFICS		13D	4/24/91		5.6	
			., = ,, , .	2.0	7.0	w

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		7 7 1 1 1			
CORNING NATURAL GAS CORP PATNODE DONALD R	COM	130	4/23/91		21938110 4.6 UPDATE
ENEX OIL GAS INC PROG II-9 ENEX RES CORP ET AL	LTD PT	RNSHP	INT 6/29/90		29274396 0.0 RVSION
F F O FINL GROUP INC DAVIS JAMES B	COM	130	10/20/89		30299510 0.0 NEW
FARM HOUSE FOODS CORP	COM	130	3/29/91		30767010 100.0 UPDATE
FOOTE CONE & BELDING	COM			670	34487210
STATE OF WISCONSIN INVEST		130	4/10/91	6.2	7.5 UPDATE
GERAGHTY & MILLER INC STATE OF WISCONSIN INVEST	BD BD	130	4/ 9/91		37369710 7.8 UPDATE
HARMAN INTL INDS INC NEW STATE OF WISCONSIN INVEST	COM BD	13Ö	4/ 9/91		41308610 9.0 UPDATE
HEXCEL CORP	COM	475	/ /11 /01		42829010
STATE OF WISCONSIN INVEST	COM	130	4/11/91	7.5 8.136	9.3 UPDATE 43642910
ANSCHUTZ CORP ET AL		130	4/26/91	6.0	5.8 UPDATE
JUDITH GOLD CORP CROWN RESOURCES	COM	130	4/16/91	2,371 31.5	48130099 0.0 NEW
KINNARD INVTS INC MARTIN ROBERT R	COM	130	2/12/90	160 6.6	49705910 0.0 NEW
KNIGHT-RIDDER INC KNIGHT JAMES L TR ET AL	COM	1 <b>3</b> 0	4/24/91		49904010 10.3 UPDATE
LIONEL CORP	COM	,,,,	<b>4,24,</b> 7,		53625710
STATE OF WISCONSIN INVEST	BD	130	4/11/91	N/A	6.1 UPDATE
LONG ISLAND CITY FINL CORP MACKENZIE DONALD H	COM	1 <b>3</b> D	4/25/91	260 9.5	54266610 9.3 UPDATE
NASHUA CORP GAMCO INVESTORS INC ET AL	COM	130	4/24/91		63122610 8.0 UPDATE
NATIONAL INCOME RLTY TR FRIEDMAN WILLIAM S ET AL	SH BEN	INT 1			63699220 26.7 UPDATE
NORTH LILY MINING CO BUSBY DONALD W	СОН	130	12/31/90		66046110 7.4 UPDATE

			EVENT S	HRS(000)/	CUSIP/	FILING
NAME AND CLASS OF STOCK/OWNER		FORM	DATE	*XOWNED	PRIORX	STATUS
PERKIN ELMER CORP	COM			2 318	71404110	)
SOROS GEORGE ET AL	-	130	4/16/91	•		UPDATE
PHILLIPS VAN HEUSEN CORP	COM			1,820	71859210	)
PHILLIPS LAWRENCE S ET AL		130	4/15/91	•		UPDATE
PIONEER FED BANCORP INC	COM			210	72367010	)
CLARK HENRY BENJAMIN JR		130	4/17/91	8.6	6.9	UPDATE
POPE & TALBOT INC	COM			N/A	73282710	)
STATE OF WISCONSIN INVEST	BD	130	4/12/91	N/A	5.3	UPDATE
POPE EVANS & ROBBINS INC	COM			2,022	73285210	)
ROBBINS JOSEPH E ET AL		130	4/17/91	9.8		NEA
PRIMEENERGY CORP	COM			0	74199710	)
AMERICAN ENERGY GRP		130	6/18/90	0.0	N/A	UPDATE
PRIMEENERGY CORP	COM			910	74199710	)
DRIMAL CHARLES E JR		130	3/ 7/91	12.8	0.0	NEM
PRIMEENERGY CORP	COM			356	74199710	)
HURT CLINT ET AL		130	6/18/90	5.2	0.0	NEA
PROFESSIONAL INVS GROUP INC	COM			797	74314210	)
JORDAN J DARRELL		130	4/16/91	19.3	18.9	NEA
PROFESSIONAL INVS GROUP INC	COM			797	74314210	)
JORDAN J DARRELL		130	4/16/91	19.3	18.9	RVSION
RELM COMMUNICATIONS INC	COM			966	75952410	)
ADAGE INC		130	4/18/91	8.8	0.0	NEW
REXON INC	COM			844	76190110	1
PRELETZ MICHAEL O		130	12/ 7/90	9.3	8.0	UPDATE
REXHORKS INC	COM			521	76190310	)
DULDE THOMAS A		130	4/16/91	28.3	3.4	UPDATE
REXWORKS INC	COM			521	76190310	1
NASGOVITZ WILLIAM J		130	4/16/91	28.3	9.1	RVSION
REXWORKS INC	COM			521	76190310	ı
ROTHE ROBERT Q		130	4/16/91	28.3		UPDATE
REXWORKS INC	COM			521	76190310	
STRAND R M		130	4/16/91	28.3		UPDATE
ROADWAY MTR PLAZAS INC	COM			461	76974610	
SILVERSTEIN BARRY ET AL		13D	7/25/90	8.9	0.0	NEW