

# SEC NEWS DIGEST

Issue 98-211

November 2, 1998

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## ENFORCEMENT PROCEEDINGS

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### COMMISSION FILES INSIDER TRADING COMPLAINT AGAINST STEVEN SAMBRANO

On October 29, 1998, the Commission filed an enforcement action in federal court against Steven A. Sambrano (Sambrano) charging him with insider trading. The Commission alleges that Sambrano, while employed at Smith Micro Software, Inc. (Smith Micro), used confidential corporate information about a software packaging agreement between Smith Micro and Compaq Computer Corporation (Compaq).

The Commission's complaint alleges that Sambrano actively participated in negotiating the agreement and received draft copies of the press release announcing the agreement just days before its March 12, 1998 issuance. The complaint further alleges that Sambrano purchased 5,000 shares of Smith Micro stock the day before the announcement. Within hours of the March 12 press release announcing the agreement, Sambrano sold his shares for a \$10,625 profit.

Sambrano, without admitting or denying the allegations in the complaint, consented to the entry of a judgment permanently enjoining him from future violations of the antifraud provisions of Section 10(b) of the Securities Exchange Act of 1934 and Rule 10b-5 thereunder and payment of \$21,569.51 -- \$10,944.51 for Sambrano's ill-gotten gains and \$10,625 for a civil penalty. [SEC v. Steven A. Sambrano, Civil Action No. SA CV 98929 AHS, ANX, C.D. Cal., October 29, 1998] (LR-15966)

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## INVESTMENT COMPANY ACT RELEASES

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### FPA CAPITAL FUND, INC.

A notice has been issued giving interested persons until November 23, to request a hearing on an application by FPA Capital Fund, Inc. for an order under Section 17(b) of the Investment Company Act for an exemption from Section 17(a) of the Act. The order would permit an in-kind redemption of shares of the applicant by an affiliated person of the applicant. (Rel. IC-23511 - October 29)

## NOTICES OF DEREGISTRATIONS UNDER THE INVESTMENT COMPANY ACT

For the month of October, 1998, a notice has been issued giving interested persons until November 23, 1998, to request a hearing on any of the following applications for an order under Section 8(f) of the Investment Company Act declaring that the applicant has ceased to be an investment company:

New England Funds Trust IV [File No. 811-8473]  
Heitman Securities Trust [File No. 811-5659]  
Alamo Growth Fund, Inc. [File No. 811-9162]  
Capitol Square Funds [File No. 811-7699]  
Scudder Institutional Fund, Inc. [File No. 811-4555]  
Peachtree Funds [File No. 811-7107]  
The Columbus Fund, Inc. [File No. 811-8418]  
Dodge & Cox Stock Fund [File No. 811-1294]  
Dodge & Cox Income Fund [File No. 811-5580]  
Oppenheimer Strategic Investment Grade Bond Fund [File No. 811-6458]  
Oppenheimer Strategic Short-Term Income Fund [File No. 811-6533]  
Composite Equity Series, Inc. [File No. 811-565]  
Composite Income Fund, Inc. [File No. 811-2604]  
Composite Tax-Exempt Bond Fund, Inc. [File No. 811-2681]  
Composite Cash Management Company [File No. 811-2941]  
Composite U.S. Government Securities, Inc. [File No. 811-3426]  
Composite Northwest Fund, Inc. [File No. 811-4740]  
Minerva Fund, Inc. [File No. 811-7828]  
First Global Equity Portfolio [File No. 811-9072]  
AIG All Ages Funds, Inc. [File No. 811-9022]  
(Rel. IC-23512 - October 30)

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## SELF-REGULATORY ORGANIZATIONS

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### PROPOSED RULE CHANGE

The Options Clearing Corporation filed a proposed rule change (SR-OCC-98-06) under Section 19(b)(1) of the Exchange Act. The proposed rule change will provide OCC with the authority to adjust margin requirements with respect to cross-margined accounts in the event of an asynchronized application of circuit breakers by the securities and futures exchanges. Publication of the proposal is expected in the Federal Register during the week of November 2. (Rel. 34-40624)

### APPROVAL OF PROPOSED RULE CHANGES

The Commission approved a proposed rule change submitted under Rule 19b-4 by the American Stock Exchange (SR-Amex-98-20) relating to permanent approval of the Exchange's pilot program which allows specialists in Portfolio Depositary Receipts, investment trust securities, and Index Fund Shares to participate in the after-hours trading facility. (Rel. 34-40613)

The Commission approved proposed rule changes submitted under Rule 19b-4 by the American Stock Exchange (SR-Amex-98-32) and the National Association of Securities Dealers (SR-NASD-98-56 and SR-NASD-98-67) relating to the combination of the American Stock Exchange and the National Association of Securities Dealers, including amendments to the composition of the NASD Board and relating to policies regarding authority over American Stock Exchange LLC and the composition of the Board of Governors of American Stock Exchange LLC. (Rel. 34-40622)

The Commission has approved a proposed rule change filed by the Government Securities Clearing Corporation (SR-GSCC-98-02) under Section 19(b)(1) of the Exchange Act. Under the rule change, GSCC will establish a service for the clearance of general collateral repurchase agreements involving government securities. (Rel. 34-40623)

#### **FILING AND IMMEDIATE EFFECTIVENESS OF PROPOSED RULE CHANGE**

A proposed rule change filed by the American Stock Exchange to amend the existing procedure for obtaining an Options Disclosure Document (SR-AMEX-98-30) has become immediately effective under Section 19(b)(3)(A) of the Securities Exchange Act of 1934. Publication of the proposal is expected in the Federal Register during the week of November 2. (Rel. 34-40616)

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#### **SECURITIES ACT REGISTRATIONS**

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The following registration statements have been filed with the SEC under the Securities Act of 1933. The reported information appears as follows: Form, Name, Address and Phone Number (if available) of the issuer of the security; Title and the number and/or face amount of the securities being offered; Name of the managing underwriter or depositor (if applicable); File number and date filed; Assigned Branch; and a designation if the statement is a New Issue.

- F-6 SAPPY LTD/ADR/, 48 WALL STREET, SOUTH AFRICA, NEW YORK, NY 10286 -  
25,000,000 (\$1,250,000) DEPOSITARY RECEIPTS FOR COMMON STOCK. (FILE  
333-9540 - OCT. 21) (BR. 99)
- F-8 ALBERTA ENERGY CO LTD, 3900 421-7 AVE SW, CALGARY ALBERTA T2P,  
(403) 266-8111 - 516,928 (\$37,327,096) FOREIGN COMMON STOCK. (FILE  
333-9544 - OCT. 23) (BR. 4)
- S-8 LUXOTTICA GROUP SPA, VIA VALCOZZENA 10, 32021 AGORDO BELLUNO, L6 -  
6,125,000 (\$62,597,500) FOREIGN COMMON STOCK. (FILE 333-9546 - OCT. 23)  
(BR. 5)
- S-8 SBC COMMUNICATIONS INC, 175 E HOUSTON, ROOM 9-4, SAN ANTONIO, TX 78205  
(210) 821-4105 - 38,000,000 (\$1,605,500,000) COMMON STOCK. (FILE  
333-66105 - OCT. 26) (BR. 7)
- S-8 GA FINANCIAL INC/PA, 4750 CLAIRTON BLVD, PITTSBURGH, PA 15236  
(412) 882-9800 - 71,815 (\$982,968) COMMON STOCK. (FILE 333-66107 -  
OCT. 26) (BR. 7)

S-8 MODINE MANUFACTURING CO, 1500 DEKOVEN AVE, RACINE, WI 53403  
(414) 636-1200 - 250,000 (\$6,718,750) COMMON STOCK. (FILE 333-66109 -  
OCT. 26) (BR. 5)

S-8 MODINE MANUFACTURING CO, 1500 DEKOVEN AVE, RACINE, WI 53403  
(414) 636-1200 - 125,000 (\$3,359,375) COMMON STOCK. (FILE 333-66111 -  
OCT. 26) (BR. 5)

S-8 LILLY ELI & CO, LILLY CORPORATE CTR, DROP CODE 1112, INDIANAPOLIS, IN  
46285 (317) 276-2000 - 54,000,000 (\$4,011,187,500) COMMON STOCK. (FILE  
333-66113 - OCT. 26) (BR. 1)

S-8 MODINE MANUFACTURING CO, 1500 DEKOVEN AVE, RACINE, WI 53403  
(414) 636-1200 - 125,000 (\$3,359,375) COMMON STOCK. (FILE 333-66115 -  
OCT. 26) (BR. 5)

S-8 HEALTHWORLD CORP, 100 AVE OF THE AMERICAS, NEW YORK, NY 10013  
(212) 966-0077 - 1,410,000 (\$20,445,000) COMMON STOCK. (FILE 333-66117 -  
OCT. 26) (BR. 2)

S-8 STAFF BUILDERS INC /DE/, 1983 MARCUS AVE, STE C115, LAKE SUCCESS, NY  
11042 (516) 358-1000 - 3,400,000 (\$1,870,000) COMMON STOCK. (FILE  
333-66119 - OCT. 26) (BR. 1)

S-8 STAFF BUILDERS INC /DE/, 1983 MARCUS AVE, STE C115, LAKE SUCCESS, NY  
11042 (516) 358-1000 - 2,000,000 (\$1,100,000) COMMON STOCK. (FILE  
333-66121 - OCT. 26) (BR. 1)

S-8 STAFF BUILDERS INC /DE/, 1983 MARCUS AVE, STE C115, LAKE SUCCESS, NY  
11042 (516) 358-1000 - 1,000,000 (\$550,000) COMMON STOCK. (FILE 333-66123  
- OCT. 26) (BR. 1)

S-8 HARBOR FLORIDA BANCORP INC, 100 S SECOND ST, FORT PIERCE, FL 34950  
(561) 461-2414 - 2,322,145 (\$24,672,791) COMMON STOCK. (FILE 333-66125 -  
OCT. 26) (BR. 7)

S-3 POTOMAC ELECTRIC POWER CO, 1900 PENNSYLVANIA AVE NW,  
C/O M T HOWARD RM 841, WASHINGTON, DC 20068 (202) 872-2456 - \$270,000,000  
STRAIGHT BONDS. (FILE 333-66127 - OCT. 26) (BR. 2)

S-8 FIRSTMERIT CORP /OH/, 111 CASCADE PLAZA, 7TH FLOOR, AKRON, OH 44308  
(330) 384-8000 - COMMON STOCK. (FILE 333-66129 - OCT. 26) (BR. 7)

S-8 SVB FINANCIAL SERVICES INC, 103 WEST END AVE, SOMERVILLE, NJ 08876  
(908) 704-1188 - 109,200 (\$709,800) COMMON STOCK. (FILE 333-66131 -  
OCT. 26) (BR. 7)

S-8 TERAYON COMMUNICATION SYSTEMS, 2952 BUNKER HILL LN, SANTA CLARA, CA  
95054 - 5,505,564 (\$45,774,210.04) COMMON STOCK. (FILE 333-66139 -  
OCT. 26) (BR. 7)

S-3 WILLIAMS COMPANIES INC, ONE WILLIAMS CTR, TULSA, OK 74172 (918) 573-2000  
- \$1,000,000,000 STRAIGHT BONDS. (FILE 333-66141 - OCT. 26) (BR. 2)

S-8 FAROUDJA INC, 750 PALOMAR AVENUE, SUNNYVALE, CA 94086 (408) 735-1492 -  
400,000 (\$1,176,000) COMMON STOCK. (FILE 333-66143 - OCT. 26) (BR. 2)

S-3 AGRIBIOTECH INC, 120 CORPORATE PARK DRIVE, 2700 SUNSET RD STE C- 25,  
HENDERSON, NV 89014 (702) 566-2440 - 886,410 (\$10,636,920) COMMON STOCK.  
(FILE 333-66145 - OCT. 26) (BR. 2)

S-8 TITAN CORP, 3033 SCIENCE PARK RD, SAN DIEGO, CA 92121 (619) 552-9500 -  
593,171 (\$3,025,172) COMMON STOCK. (FILE 333-66147 - OCT. 26) (BR. 3)

S-3 TITAN CORP, 3033 SCIENCE PARK RD, SAN DIEGO, CA 92121 (619) 552-9500 -  
4,167,833 (\$21,255,948.30) COMMON STOCK. (FILE 333-66149 - OCT. 26)  
(BR. 3)

S-8 ADAPTEC INC, 691 S MILPITAS BLVD, M/S25, MILPITAS, CA 95035  
(408) 945-8600 - 5,000,000 (\$55,937,500) COMMON STOCK. (FILE 333-66151 -  
OCT. 26) (BR. 3)

- S-2 UNION BANKSHARES LTD, 1825 LAWRENCE ST, STE 444, DENVER, CO 80202  
(303) 298-5352 - 1,000,000 (\$10,000,000) PREFERRED STOCK. (FILE 333-66153  
- OCT. 26) (BR. 7)
- S-8 CAREY INTERNATIONAL INC, 4530 WISCONSIN AVE NW, WASHINGTON, DC 20016  
(202) 895-1200 - 150,000 (\$2,071,875) COMMON STOCK. (FILE 333-66155 -  
OCT. 26) (BR. 5)
- S-3 NORAM ENERGY CORP/, 1111 LOUISIANA ST, HOUSTON, TX 77002 (713) 207-3000  
- 100,000,000 (\$100,000,000) STRAIGHT BONDS. (FILE 333-66157 - OCT. 26)  
(BR. 2)
- S-4 PUBLIC STORAGE INC /CA, 701 WESTERN AVE, STE 200, GLENDALE, CA 91201  
(818) 244-8080 - 500,000 (\$7,700,013.30) COMMON STOCK. (FILE 333-66159 -  
OCT. 27) (BR. 8)

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**RECENT 8K FILINGS**

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Form 8-K is used by companies to file current reports on the following events:

- Item 1. Changes in Control of Registrant.
- Item 2. Acquisition or Disposition of Assets.
- Item 3. Bankruptcy or Receivership.
- Item 4. Changes in Registrant's Certifying Accountant.
- Item 5. Other Materially Important Events.
- Item 6. Resignations of Registrant's Directors.
- Item 7. Financial Statements and Exhibits.
- Item 8. Change in Fiscal Year.
- Item 9. Regulation S Offerings.

The following companies have filed 8-K reports for the date indicated and/or amendments to 8-K reports previously filed, responding to the item(s) of the form specified. 8-K reports may be obtained in person or by writing to the Commission's Public Reference Branch at 450 Fifth Street, N.W., Washington, D.C. 20549 or at the following e-mail box address: <public info @ sec>. In most cases, this information is also available on the Commission's website: <www.sec.gov>.

NAME OF ISSUER	STATE CODE	8K ITEM NO.									DATE	COMMENT
		1	2	3	4	5	6	7	8	9		
AAMES CAPITAL CORP	CA				X	X					09/28/98	
AAMES CAPITAL CORP	CA					X					10/15/98	
ACT TELECONFERENCING INC	CO	X							X		10/29/98	
ADAPTIVE SOLUTIONS INC	OR		X								10/29/98	
ADVANTA MORTGAGE CONDUIT SERVICES I NC	DE				X	X					10/31/98	
ADVANTA MORTGAGE LOAN TRUST 1997-4	NY				X	X					10/31/98	
ADVANTA MORTGAGE LOAN TRUST 1998-1	NY				X	X					10/31/98	
ADVANTA MORTGAGE LOAN TRUST 1998-3	NY				X	X					10/31/98	
ADVANTA REVOLVING HOME EQUITY LOAN TRUST 1998-A	DE				X	X					10/31/98	
AIRGAS INC	DE				X						10/30/98	
ALLIED WASTE INDUSTRIES INC	DE	X									10/15/98	
AMERICAN RESOURCES & DEVELOPMENT CO	UT		X		X	X					10/30/98	
AMERICAN TELECASTING INC/DE/	DE				X						10/28/98	
AMERICREDIT FINANCIAL SERVICES INC	DE				X	X					10/29/98	
AMF BOWLING WORLDWIDE INC	DE				X	X					10/30/98	
APS HOLDING CORPORATION	DE		X		X	X					10/09/98	
ATI NETWORKS INC /CO/	CO	X									10/30/98	
BANC ONE CREDIT CARD MASTER TRUST	NY				X	X					10/15/98	
BERKSHIRE REALTY CO INC /DE	DE				X	X					10/30/98	

NAME OF ISSUER	STATE CODE	8K ITEM NO.									DATE	COMMENT
		1	2	3	4	5	6	7	8	9		
BRUNSWICK CORP	DE				X	X					10/27/98	
BSB BANCORP INC	DE				X	X					10/30/98	
CAI WIRELESS SYSTEMS INC	CT	X									10/30/98	
CALENERGY CO INC	DE				X	X					10/13/98	
CANADIAN OCCIDENTAL PETROLEUM LTD	A0						X				10/27/98	
CANISCO RESOURCES INC	DE				X						04/22/98	
CATERPILLAR FINANCIAL FUNDING CORP	NV		X								10/30/98	
CENTERPOINT PROPERTIES TRUST	MD				X	X					10/23/98	
CENTEX CORP	NV				X	X					10/21/98	
CHASE COMMERCIAL MORTGAGE SECURITIE S CORP	NY				X						10/28/98	
CHASE MANHATTAN BANK /NY/	NY				X	X					10/15/98	
CHASE MANHATTAN BANK USA	DE				X	X					10/15/98	
CHASE MANHATTAN RV OWNER TRUST 1997 -A	DE				X	X					10/15/98	
CITICORP MORTGAGE SECURITIES INC	DE		X								10/28/98	
CLARION COMMERCIAL HOLDINGS INC	MD		X				X				10/15/98	
COCA COLA BOTTLING GROUP SOUTHWEST INC	NV				X						10/30/98	
COLONIAL DOWNS HOLDINGS INC	VA				X						10/21/98	
COMMERCIAL LABOR MANAGEMENT INC	NV				X	X					10/30/98	
COMMERCIAL LABOR MANAGEMENT INC	NV				X	X					10/30/98	AMEND
COMMONWEALTH BANCORP INC	PA				X	X					10/30/98	
CONSUMER PORTFOLIO SERVICES INC	CA						X				10/15/98	
CONTIMORTGAGE HOME EQUITY TRUST 199 8-1	NY				X	X					10/15/98	
CONTIMORTGAGE HOME EQUITY TRUST 199 8-2	NY				X	X					10/15/98	
CONTIMORTGAGE HOME EQUITY TRUST 199 8-3					X	X					10/15/98	
COREL CORP	A6				X	X					10/23/98	
COUNTRYWIDE HOME EQUITY LOAN TRUST 1998-C	CA				X	X					10/31/98	
CREDIT SUISSE FIRST BOSTON MORTGAGE SECURITIES CORP	NY				X	X					10/28/98	
CREDIT SUISSE FIRST BOSTON MORTGAGE SECURITIES CORP	NY				X	X					10/30/98	
CYGNUS INC /DE/	DE				X	X					10/30/98	
DAIMLER BENZ AUTO GRANTOR TRUST 199 5-A	DE				X	X					10/15/98	
DAIMLER BENZ AUTO GRANTOR TRUST 199 7 A	DE				X	X					10/20/98	
DAIMLER BENZ VEHICLE TRUST 1996-A	DE				X	X					10/20/98	
DAY RUNNER INC	DE				X	X					10/30/98	
DENTAL CARE ALLIANCE INC	DE				X	X					10/15/98	
DLJ COMMERCIAL MORTGAGE CORP	DE				X	X					10/28/98	
ECLIPSYS CORP	DE				X	X					10/29/98	
ELECTRIC LIGHTWAVE INC	DE				X						10/30/98	
ENERGEN CORP	AL		X								10/30/98	
ERP OPERATING LTD PARTNERSHIP	IL		X				X				10/30/98	
ESPEY MANUFACTURING & ELECTRONICS C ORP	NY		X								10/28/98	
FEDERAL TRUST CORP	FL				X						10/30/98	
FIRST BANK CORPORATE CARD MASTER TR UST	NY				X	X					10/30/98	
FIRST FINANCIAL BANCORP /CA/	CA				X						10/30/98	
FIRST WASHINGTON REALTY TRUST INC	MD				X						10/30/98	
FONDA GROUP INC								X			10/22/98	
FORT BEND HOLDING CORP	DE				X	X					10/23/98	
FUTURE PETROLEUM CORP/UT/	UT						X				10/30/98	AMEND

NAME OF ISSUER	STATE CODE	8K ITEM NO.									DATE	COMMENT
		1	2	3	4	5	6	7	8	9		
GALILEO CORP	DE				X	X					10/29/98	
GENERAL MOTORS CORP	DE				X						10/30/98	
GENTLE DENTAL SERVICE CORP	WA				X	X					10/15/98	
GLENGATE APPAREL INC	NJ	X									10/22/98	
GREATE BAY CASINO CORP	DE			X		X					10/15/98	AMEND
GREYHOUND LINES INC	DE				X	X					10/16/98	
GSE SYSTEMS INC	DE	X									12/31/98	
HARVARD INDUSTRIES INC	FL		X			X					10/15/98	
HCW PENSION REAL ESTATE FUND LTD PA RTNERSHIP	MA			X		X					09/30/98	AMEND
HEALTHCARE REALTY TRUST INC	MD	X				X					10/30/98	
HOLLYWOOD CASINO CORP	DE			X		X					10/16/98	AMEND
HOLLYWOOD PARK INC/NEW/	DE	X		X	X						10/15/98	
HOME CHOICE HOLDINGS INC	DE				X	X					10/27/98	
HORIZON MEDICAL PRODUCTS INC	GA	X				X					10/30/98	
HOSPITALITY PROPERTIES TRUST	MD				X	X					10/29/98	
HOWMET INTERNATIONAL INC	DE	X									10/30/98	
HUSSMANN INTERNATIONAL INC	DE	X				X					08/17/98	AMEND
HWCC TUNICA INC	TX			X		X					10/16/98	AMEND
INDIANA ENERGY INC	IN				X	X					09/30/98	
INDIANA GAS CO INC	IN				X	X					09/30/98	
INDUSTRIAL SERVICES OF AMERICA INC /FL	FL				X						10/29/98	
INNOVATIVE GAMING CORP OF AMERICA	MN			X		X					10/26/98	
INSILCO CORP/DE/	DE				X	X					10/29/98	
INSILCO HOLDING CO	DE				X	X					10/29/98	
INTELLIQUEST INFORMATION GROUP INC	DE				X	X					10/19/98	
INTRANET SOLUTIONS INC	MN	X									10/15/98	
IXC COMMUNICATIONS INC	DE				X	X					10/30/98	
JDN REALTY CORP	MD				X	X					10/30/98	
KARRINGTON HEALTH INC	OH				X	X					10/18/98	
L 3 COMMUNICATIONS HOLDINGS INC	DE				X	X					10/30/98	
LACLEDE GAS CO	MO				X	X					10/29/98	
LEHMAN ABS CORP	DE				X	X					10/31/98	
LIDAK PHARMACEUTICALS	CA				X	X					10/15/98	
LIFELINE SYSTEMS INC	MA				X	X					10/18/98	
MAY DEPARTMENT STORES CO	DE				X						10/30/98	
MCKESSON CORP	DE					X					10/17/98	AMEND
MERRILL LYNCH MUNICIPAL ABS INC	DE					X					10/01/98	
MONSANTO CO	DE				X	X					10/15/98	
NABORS INDUSTRIES INC	DE				X	X					10/12/98	
NATIONAL HEALTHCARE CORP	DE	X									09/30/98	
NATIONAL STEEL CORP	DE				X	X					10/27/98	
NATIONAL TRANSACTION NETWORK INC	DE				X						10/28/98	
NETMANAGE INC	DE	X				X					08/27/98	AMEND
NETPLEX GROUP INC	NY	X				X					10/16/98	
NORTH AMERICAN GAMING & ENTERTAINME NT CORP	DE				X						10/20/98	
OAK INDUSTRIES INC	DE	X									10/30/98	
OXFORD HEALTH PLANS INC	DE				X	X					10/30/98	
PACIFIC BELL	CA				X						10/30/98	
PAINWEBBER MORTGAGE ACCEPTANCE COR PORATION IV	DE				X	X					10/28/98	
PATTERSON ENERGY INC	DE				X						10/29/98	
PHYSICIANS RESOURCE GROUP INC	DE	X									10/30/98	
PLAYSTAR WYOMING HOLDING CORP	WY	X									09/16/98	AMEND
PNC MORTGAGE SECURITIES CORP	DE				X	X					10/30/98	
PRATT CASINO CORP	DE				X	X					10/15/98	AMEND
PRT FUNDING CORP	DE				X	X					10/15/98	AMEND
QUITMAN BANCORP INC	GA					X					10/30/98	

NAME OF ISSUER	STATE	8K ITEM NO.									DATE	COMMENT
	CODE	1	2	3	4	5	6	7	8	9		
RADYNE CORP	NY		X					X			10/15/98	
RASTER GRAPHICS INC	DE			X				X			10/23/98	
RED BRICK SYSTEMS INC	DE				X		X				10/07/98	
REPUBLIC SECURITY FINANCIAL CORP	FL				X		X				10/30/98	
RESIDENTIAL ASSET FUNDING CORP	NC				X		X				10/30/98	
RIGHTCHOICE MANAGED CARE INC	MO				X						10/29/98	
RIO HOTEL & CASINO INC	NV				X		X				10/22/98	
RIVERSIDE GROUP INC/FL	FL				X						10/30/98	
RONSON CORP	NJ				X		X				10/30/98	
SALOMON BROS MORT SEC VII INC AST B	DE		X		X		X				10/15/98	
K FL RTE CER SE 1998 -NC4												
SATCON TECHNOLOGY CORP	DE				X		X				10/23/98	
SBC COMMUNICATIONS INC	DE				X						09/30/98	
SCHLUMBERGER LTD /NY/			X				X				08/31/98	AMEND
SEAGRAM CO LTD	CA						X				08/25/98	AMEND
SEAGRAM CO LTD	CA						X				09/01/98	AMEND
SERVICE MERCHANDISE CO INC	TN				X		X				10/30/98	
SF HOLDINGS GROUP INC	DE							X			10/22/98	
SOUTHWESTERN ENERGY CO	AR				X		X				10/29/98	
SPRINT CORP	KS				X		X				10/28/98	
STRUCTURED ASSET SECURITIES CORP	DE				X		X				10/27/98	
SWEETHEART HOLDINGS INC \DE\	DE							X			10/22/98	
SYNCRONYS SOFTCORP	NV		X								10/31/98	
TAL WIRELESS NETWORKS INC	DE		X				X				09/30/98	
TELEGEN CORP /CO/	CA		X								10/29/98	
TELIGENT INC	DE				X		X				10/27/98	
THERMO ELECTRON CORP	DE				X		X				10/29/98	
U S DIAGNOSTIC INC	DE				X		X				10/22/98	
UNIVERSAL CORP /VA/	VA				X		X				10/27/98	
US HOME & GARDEN INC	DE		X				X				10/16/98	
VALHI INC /DE/	DE				X		X				10/29/98	
VISUAL DATA CORP	FL				X		X				10/30/98	AMEND
W R GRACE & CO	DE				X		X				10/21/98	
WASHINGTON GAS LIGHT CO	DC				X						10/28/98	
WEBSTER FINANCIAL CORP	DE				X		X				10/30/98	
WMC SECURED ASSETS CORP	DE				X		X				10/31/98	
WORLDTEX INC	DE						X				10/22/98	AMEND