SEC NEWS DIGEST

Issue 98-194

October 7, 1998

COMMISSION ANNOUNCEMENTS

PAUL GONSON, SEC'S TOP APPELLATE LAWYER, RETIRES -- WILL CONSULT AND TEACH

Paul Gonson, the longtime Solicitor for the Securities and Exchange Commission, announced today that he will retire at the end of the year. At the request of the SEC General Counsel, Harvey Goldschmid, Mr. Gonson will be a part-time consultant to the agency. He also plans to do other consulting, write and teach law.

Mr. Gonson joined the agency's staff in 1961. As the Commission's Solicitor since 1979, Mr. Gonson supervises its appellate, amicus curiae, and defense litigation and has personally argued more than one hundred appellate cases, including cases before the U.S. Supreme Court and all of the U.S. Courts of Appeal. He also oversees the Commission's ethical conduct system and lawyers' professional discipline program. Mr. Gonson has received numerous awards, including the Securities and Exchange Commission's highest awards; the Federal Bar Association's award for the top lawyer in government -- the Justice Tom C. Clark award; and the President's award for top executives in government -- the Distinguished Executive Award -presented personally to him by President Bush. (Press Rel. 98-99)

BROKER-DEALER CANCELLATIONS

The registrations of the following broker-dealers with the Commission were cancelled by Commission order pursuant to Section 15(b)(5) of the Securities Exchange Act of 1934 on the dates noted below. The following broker-dealers either failed to comply with applicable requirements of the Securities Investor Protection Corp. (SIPC) or failed to be a member of a self-regulatory organization. Any customer of one of the following broker-dealers who has not received his or her cash or securities from the broker-dealer should **immediately** contact the National Association of Securities Dealers Inc. (NASD), at 1-800-289-9999 and ask to be referred to the appropriate local NASD office. Representatives of your local NASD office will be able to assist you with any questions you may have.

Persons with cash or securities in a securities account at one of the broker-dealers listed below may have a claim against the brokerdealer under the Securities Investor Protection Act of 1970 (SIPA). SIPC may act to protect customers for only 180 days from the date of the cancellation. For information regarding the nature of SIPC protection, please contact SIPC at 805 Fifteenth Street NW, Ste 800, Washington D.C., 202-371-8300.

Broker-Dealer	Date of Cancellation
Absolute Growth Fund LLC Nyack, NY	9/22/98
Adams, Samuel Thomas Oak Park, IL	9/22/98
AGS Financial Services Inc. Chicago, IL	9/22/98
Atlas Capital Management LLC Chicago, IL	9/22/98
Avatar Financial Group Ltd. Blue Bell, PA	9/22/98
Baldasare, John Michael Port Reading, NJ	9/22/98
Banke, Scott Donald Chicago, IL	9/22/98
BAS Securities LP Chicago, IL	9/22/98
Bondnet Brokerage Inc.	9/22/98
Greenwich, CT Brandli, John Danner Jr.	9/22/98
Westfield, NJ Brookemore Capital Inc.	9/22/98
LaCrosse, WI Buccigrossi, Vincent Joseph S Staton Island, NY	r. 9/22/98
CAI Securities Corp.	9/22/98
Lakewood, CO Canto Advisors Inc.	9/22/98
San Diego, CA Carlisle Investment Group Ltd. Chicago, IL	9/22/98
Chancellor Dougall & Co., Inc. Chicago, IL	. 9/22/98
Chinese & American Investments New York, NY	9/22/98
Cohen, Sheldon V. Highland Park, IL	9/22/98
Collegiate Caiptal Group Inc. Champaign, IL	9/22/98
Columbia Investment Services I Portland, OR	Inc. 9/22/98
Cypress Securities Group Inc. New Orleans, LA	9/22/98
DBH Inc.	9/22/98
Park Ridge, IL Deckoff John Alexander	9/22/98
New York, NY Devine, Walter, J. Jr., & Co. Philadelphia PA	9/22/98
Philadelphia, PA	a

Broker-Dealer

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Date of Cancellation

Duffy, Robert Arthur	9/22/98
Mahwah, NJ Euro US Discount Brokerage Inc.	9/22/98
New York, NY Evans, Albert Charles	9/22/98
Riverside, IL	
Evans, Paul Thomas Chicago, IL	9/22/98
Fiedler, Robert Charles	9/22/98
London, UK	
Flickinger, Fred, dba Winthrop Investments	9/22/98
Indianapolis, IN	
Fortune Trading LP	9/22/98
Chicago, IL Foster Jeffries Securities LLC	0/22/00
Westbury, NJ	9/22/98
Four Way Securities Corp.	9/22/98
Park Forest, IL	9/22/98
Glass, Michael Jay Chicago, IL	9/22/90
Hall Alokan & Associates	9/22/98
Birmingham, AL	5,22,50
Hamilton Brokerage Services Inc.	9/22/98
New York, NY Heartland Securities Inc.	9/22/98
Columbus, OH	5,22,50
Heritage Group	9/22/98
San Francisco, CA HTT Inc.	9/22/98
Philadelphia, PA	5722750
Intercontinental Equities Inc.	9/22/98
Boulder, CO Investec Securities Corp.	9/22/98
Dallas, TX	5/22/90
JLG Securities LLC	9/22/98
Hawthorne, NJ JT LLC	9/22/98
Chicago, IL	5,22,50
KBC Securities Inc.	9/22/98
Cincinnati, OH KD Partners	9/22/98
Chicago, IL	
Knopow, Gary Alan New York, NY	9/22/98
LaBarbara, Joseph Anthony	9/22/98
Staten Island, NY Lacroix Alexander Financial Corp.	9/22/98
Fountain Valley, CA	•
Las Investments Inc. Chicago, IL	9/22/98
Lee, Thomas Greeff	9/22/98
Bronxville, NY	•

<u>Broker-Dealer</u>

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Liebowitz, Lawrence I Inc.	9/22/98
West Orange, NY Lomgardi, Aprilante, & Co., Inc.	9/22/98
New York, NY	, ==, , , , , ,
Masters Financial Group Inc.	9/22/98
Little Rock, AR	0 / 00 / 00
Maverick Trading Inc. Denver, CO	9/22/98
Michaels, Arthur Joseph	9/22/98
Chicago, IL	-,,
Mid American Investment Corp.	9/22/98
Chicago, IL	0/22/00
Miller, Alan J. New York, NY	9/22/98
National Diagnostic Securities	9/22/98
Thousand Oaks, CA	, _, _
Oakford Partners LLC	9/22/98
New York, NY	
Planned Financial Services Group	9/22/98
Brooklyn, NY Plenge Thomas & Gunning Securities	9/22/98
Phoenix, AZ	9/22/90
Regatta Research & Money	9/22/98
Management	-,,
River Ridge, LA	
Renwick Securities LLC	9/22/98
New York, NY	
Reserve Distributors Inc.	9/22/98
New York, NY Rodman & Renshaw Inc.	9/22/98
Chicago, IL	5/22/90
Rossiyskiy Ladenburg Securities	9/22/98
New York, NY	
SL Capital Partners & Co., Inc.	9/22/98
New York, NY	
Sierra Pacific Capital Corp.	9/22/98
Olympic Valley, CA Sovereign Securities LLC	9/22/98
Atlanta, GA	5/22/50
Springate, William Roger Jr.	9/22/98
Lexington, KY	
Stenson, James	9/22/98
Gulf Stream, FL Stock Market Trim Tabs Inc.	9/22/98
Santa Rosa, CA	9/22/90
Storm Financial LLC	9/22/98
San Francisco, CA	
Sun Consolidated Securities Inc.	9/22/98
Casselberry, FL	0 /00 /00
Taj Global Equity Inc.	9/22/98
Tampa, FL	

Broker-Dealer	Date of Cancellation
Tomac Group Capital Inc. Chicago, IL	9/22/98
Topflight Trading LP West Orange, NY	9/22/98
Trinity Securities Inc.	9/22/98
San Antonio, TX UHH Brokerage Inc.	9/22/98
Atlanta, GA Viper Trading Partners	9/22/98
Chicago, IL Wagner, Mark F.	9/22/98
Chicago, IL Wall Street Markets Group Inc New York, NY	. 9/22/98
Wall, Joseph Michael, dba IIM Investments	9/22/98
Itasca, IL Warington Capital Corp.	9/22/98
New York, NY Whitehall Securities Inc.	9/22/98
New York, NY Winston Rodgers & Otalvaro, I	nc. 9/22/98
New York, NY Worldstocks.com	9/22/98
New York, NY 10026 XOC Trading Co New York, NY	9/22/98

ENFORCEMENT PROCEEDINGS

COMMISSION VACATES ORDER AGAINST JOHN DOLCEMASCHIO

On October 6, the Commission vacated its order previously entered against John Dolcemaschio.

On April 27, 1992, the Commission accepted Dolcemaschio's Offer of Settlement, and issued an Order Making Findings and Imposing Remedial Sanctions, Securities Exchange Act of 1934 Release No. 34-30634, that Dolcemaschio had willfully aided and abetted violations of Section 15(c)(3) of the Exchange Act and Rule 15c3-3 promulgated thereunder, of his employer Financial Clearing and Services Corp. (FiCS). That Order suspended Dolcemaschio from association with any broker, dealer, investment company, investment adviser or municipal securities dealer for nine months, and ordered him to permanently cease and desist from committing or causing any violations of Section 15(c)(3) of the Exchange Act and Rule 15c3-3 thereunder. Dolcemaschio has represented that he has completed that suspension.

A decision by the Second Circuit Court of Appeals, SEC v. Upton, 75 F.3d 92 (2d Cir. 1996), eliminated an essential basis for the

Commission's earlier finding that Dolcemaschio had aided and abetted FiCS' violations.

In these unusual circumstances, the Commission deemed it appropriate to vacate the 1992 Order. (Rel. 34-40522; File No. 3-7604)

SETTLED INSIDER TRADING CASE AGAINST HAMILTON RICHARDSON DUNCAN, JR.

The Commission announced that the Federal District Court for the District of Colorado entered a Final Judgment of Permanent Injunction and Other Relief against Hamilton Richardson Duncan, Jr. (Duncan) on September 28, 1998. The complaint, which was filed on September 24, 1998, alleged that on July 16, 1997, Duncan, a resident of Denver, Colorado, purchased 2,500 shares of Louisiana Land and Exploration Company (LLE) common stock. On July 17, 1997, LLE and Burlington Resources Inc. (BR) announced a merger agreement under which LLE shareholders would tender their common stock to BR shareholders in exchange for BR common stock. The announcement caused the price of LLE common stock to rise from \$58.00 to \$66.50, netting Duncan an immediate profit of \$20,875. The complaint alleged that Duncan purchased the LLE common stock while in possession of material, nonpublic information relating to the BR/LLE merger, which he had misappropriated from an LLE employee.

Without admitting or denying the complaint's allegations, Duncan consented to a proposed Final Judgment enjoining him from violating the insider trading provisions of the Securities Exchange Act of 1934, and agreed to disgorge \$20,875, plus prejudgment interest of \$1,609.60, and to pay \$20,875 in civil penalties. [SEC v. Hamilton Richardson Duncan, Jr., USDC, D. Col., Civil Action No. 98-S-2059] (LR-15928)

DEFAULT JUDGMENTS ENTERED AGAINST EDWARD LAMARCA AND THOMAS RUSSO

The Commission announced that default judgments have been entered against two alleged penny stock promoters in a pending civil lawsuit. On September 25 the Honorable Nancy F. Atlas, United District Court Judge for the Southern District of Texas, granted the Commission's motion for entry for default judgments against Edward N. Lamarca and Thomas E. Russo. The Court's order permanently enjoins Lamarca and Russo from future violations of Section 17(a) of the Securities Act of 1933, and Section 10(b) of the Securities Exchange Act of 1934, and Rules 10b-5 and 10b-6 thereunder. The Court also ordered Lamarca and Russo, jointly and severally, to pay disgorgement in the amount of \$46, 616, which represents their financial gains from the conduct alleged in the complaint, plus prejudgment interest in the amount of \$19,533.24.

The Commission's complaint charged that Lamarca and Russo participated in a fraudulent scheme to distribute and manipulate the securities of Bancus Richmond, Inc., a now defunct penny stock company. [SEC v. Glenn A. Schuster, et al., H-92-3598, USDC/SD TX, Houston] (LR-15929)

JOHN TOAL HELD IN CIVIL CONTEMPT OF PERMANENT INJUNCTION

The Commission announced today the issuance of an Order of Civil Contempt holding John M. Toal, a resident of Old Greenwich, Connecticut, in civil contempt of a previously-entered permanent injunction. The injunction, issued in 1987, enjoined Toal from "free riding," in violation of Exchange Act Section 7(f) and Federal Reserve Board Regulations T and X. The Contempt Order bars Toal from trading securities through brokerage accounts known as delivery-vs.-payment (DVP) and receipt-vs.-payment (RVP) accounts. Toal consented to the entry of the Order of Civil Contempt, which was entered on September 25, 1998.

The Commission's Motion for an Order holding Toal in contempt alleged that Toal formed a partnership to trade in securities shortly after he was permanently enjoined from free riding, through which he regularly traded millions of dollars of securities each month. [SEC v. John M. Toal, Civil Action No. B-87-338-WWE, D. Conn.] (LR-15930)

TEMPORARY RESTRAINING ORDER ISSUED AGAINST FIRST AMERICAN RESOURCES, INC., MONEY MANAGERS, INC., UNIFIED COMMERCIAL CAPITAL, INC., AND AMERICAN FREEDOM SECURITIES, INC.

The Commission announced that the United District Court for the Western District of New York issued temporary restraining order against First American Resources, Inc. (FAR), Money Managers, Inc. (MMI), Unified Commercial Capital, Inc. (UCC), and American Freedom Securities, Inc. (AFS), all of Fairport, New York. The Order arises from a complaint filed on October 6, 1998, in which the Commission alleges that FAR, MMI, and UCC, acting through AFS, a registered broker-dealer and its associated registered representatives, sold more than \$5 million in debentures to over 100 investors through fraudulent misrepresentations. The Order also entered an asset freeze with respect to the defendants, including the proceeds of life insurance policies purchased with misappropriated investor funds, appointed a temporary receiver for the defendants, enjoined other actions against the defendants, required the defendants to provide an accounting, and ordered expedited discovery.

The complaint alleges that the defendants made false and misleading statements to investors in soliciting purchases of debentures issued by FAR, MMI and UCC, including that (1) the debentures were "CD Alternatives" and safe; (2) the debentures would provide guaranteed returns of 6% to 12%; and (3) the investor funds would be used to finance secured loans to companies at high interest rates, thereby generating profits with which to pay investors their return. Much of the investors' money was simply misappropriated by Samuel A. Yacono, who controlled the defendants. Shortly after being served with subpoenas in the Commission's investigation, Yacono committed suicide.

In its complaint, the Commission seeks an accounting and disgorgement of the defendants' ill-gotten gains plus prejudgment interest. The Commission also seeks preliminary and permanent

injunctive relief against the defendants from violating the antifraud provisions of the securities laws. [SEC v. First American Reliance, Inc., et al., No. 98-CV-6423T, USDC, WDNY] (LR-15931)

INVESTMENT COMPANY ACT RELEASES

EQUITY MANAGERS TRUST, ET AL.

An order has been issued on an application filed by Equity Managers Trust, Retirement Benefit Accumulation Plan for Employees of PricewaterhouseCoopers, Savings Plan for Employees and Partners of PricewaterhouseCoopers, and Profit Sharing Plan for Partners of PricewaterhouseCoopers (collectively, the Plans) under Sections 6(c) and 17(b) of the Investment Company Act granting an exemption from Section 17(a) of the Act. The order permits a series of Equity Managers Trust to acquire certain securities held in an investment account for the Plans. (Rel. IC-23477 - October 6)

SELF-REGULATORY ORGANIZATIONS

APPROVAL OF PROPOSED RULE CHANGES

The Commission approved a proposed rule change submitted by the <u>National Association of Securities Dealers</u> (SR-NASD-98-53) amending NASD Rule 6800 to include closed-end funds in Nasdaq's Mutual Fund Quotation System. Publication of the order is expected in the <u>Federal Register</u> during the week of October 12. (Rel. 34-40519)

The Commission approved a proposed rule change filed by <u>The</u> <u>Depository Trust Company</u> (SR-DTC-97-22) that will permit DTC to establish an omnibus account at The Canadian Depository for Securities. (Rel. 34-40523; International Series Rel. 1160)

SECURITIES ACT REGISTRATIONS

The following registration statements have been filed with the SEC under the Securities Act of 1933. The reported information appears as follows: Form, Name, Address and Phone Number (if available) of the issuer of the security; Title and the number and/or face amount of the securities being offered; Name of the managing underwriter or depositor (if applicable); File number and date filed; Assigned Branch; and a designation if the statement is a New Issue.

- F-6 TELE CENTRO OESTE CELLULAR HOLDING CO /ADR/, BANK OF NEW YORK, 48 WALL ST, NEW YORK, NY 10286 (212) 495-1727 - 75,000,000 (\$3,750,000) DEPOSITARY RECEIPTS FOR PREFERRED STOCK. (FILE 333-9452 - SEP. 28) (BR. 99 - NEW ISSUE)
- F-6 TELE SUDESTE CELLULAR HOLDING CO /ADR/, BANK OF NEW YORK, 48 WALL ST, NEW YORK, NY 10286 (212) 495-1727 - 250,000,000 (\$12,500,000) DEPOSITARY RECEIPTS FOR PREFERRED STOCK. (FILE 333-9454 - SEP. 28) (BR. 99 - NEW ISSUE)
- F-6 TELE CELLULAR SUL HOLDING CO /ADR/, BANK OF NEW YORK, 48 WALL ST, NEW YORK, NY 10286 (212) 495-1727 - 75,000,000 (\$3,750,000) DEPOSITARY RECEIPTS FOR PREFERRED STOCK. (FILE 333-9460 - SEP. 29) (BR. 99 - NEW ISSUE)
- F-6 TELE NORTE LESTE HOLDING CO /ADR/, BANK OF NEW YORK, 48 WALL ST, NEW YORK, NY 10286 (212) 495-1727 - 250,000,000 (\$12,500,000) DEPOSITARY RECEIPTS FOR PREFERRED STOCK. (FILE 333-9462 - SEP. 29) (BR. 99 - NEW ISSUE)
- S-3 AMERICAN REALTY TRUST INC, 10670 N CENTRAL EXPRESSWAY, STE 300, DALLAS, TX 75231 (214) 692-4700 - 355,655 (\$3,556,550) COMMON STOCK. (FILE 333-64723 - SEP. 30) (BR. 8)
- S-11 AMB PROPERTY CORP, 505 MONTGOMERY STREET, SAN FRANCISCO, CA 94111 -3,450,000 (\$86,250,000) PREFERRED STOCK. (FILE 333-64725 - SEP. 30) (BR. 8)
- S-4 NTL INC /DE/, 110 E 59TH ST, 26TH FLOOR, NEW YORK, NY 10022 (212) 906-8440 - 18,764 (\$798,567,147) PREFERRED STOCK. (FILE 333-64727 -SEP. 30) (BR. 7)
- S-4 DISCOVERY 20NE INC, 565 TAXTER RD, FIFTH FLR, ELMHURST, NY 10523 (954) 627-2400 - 20,000,000 (\$20,000,000) STRAIGHT BONDS. (FILE 333-64729 - SEP. 30) (BR. 5)
- S-4 NORTEK INC, 50 KENNEDY PLZ, PROVIDENCE, RI 02903 (401) 751-1600 -210,000,000 (\$209,246,100) STRAIGHT BONDS. (FILE 333-64731 - SEP. 30) (BR. 6)
- S-8 KOLLMORGEN CORP, RESERVOIR PL, 1601 TRAPELO RD, WALTHAM, MA 02154 (617) 890-5655 - 500,000 (\$7,562,500) COMMON STOCK. (FILE 333-64733 -SEP. 30) (BR. 5)
- S-3 SOVRAN SELF STORAGE INC, 5166 MAIN ST, WILLIAMSVILLE, NY 14221 (716) 633-1850 - 3,000,000 (\$77,906,400) COMMON STOCK. (FILE 333-64735 -SEP. 30) (BR. 8)
- S-3 IDACORP INC, 1221 WEST IDAHO STREET, BOISE, ID 83702 (208) 388-2200 -300,000,000 (\$300,000,000) COMMON STOCK. (FILE 333-64737 - SEP. 30) (BR. 4)
- S-3 SENECA FOODS CORP /NY/, 1162 PITTSFORD VICTOR RD, PITTSFORD, NY 14534 (716) 385-9500 - 346,570 (\$4,158,840) COMMON STOCK. (FILE 333-64739 -SEP. 30) (BR. 4)
- S-4 WESTBANK CORP, 225 PARK AVE, PO BOX 149, WEST SPRINGFIELD, MA 01090 (413) 747-1400 565,096 (\$3,925,525) COMMON STOCK. (FILE 333-64741 SEP. 30) (BR. 7)
- S-3 FIRST INDUSTRIAL REALTY TRUST INC, 311 S WACKER DRIVE, SUITE 4000, CHICAGO, IL 60606 (312) 344-4300 - 456,402 (\$12,253,617) COMMON STOCK. (FILE 333-64743 - SEP. 30) (BR. 8)
- S-4 PENHALL INTERNATIONAL CORP, 1801 PENHALL WAY, ANAHEIM, CA 92803 (714) 772-6450 - 100,000,000 (\$100,000,000) STRAIGHT BONDS. (FILE 333-64745 - SEP. 30) (BR. 6 - NEW ISSUE)
- S-3 DYNAMIC HEALTHCARE TECHNOLOGIES INC, 101 SOUTHHALL LANE SUITE 210, MAITLAND, FL 32751 (407) 875-9991 - 3,595,584 (\$3,707,946) COMMON STOCK. (FILE 333-64747 - SEP. 30) (BR. 3)

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- SB-2 VCS TECHNOLOGIES INC, 456 GLENBROOK ROAD, STAMFORD, CT 06906 (203) 327-3332 - 1,150,000 (\$8,050,000) COMMON STOCK. (FILE 333-64753 -SEP. 30) (BR. 9 - NEW ISSUE)
- APPLIED CELLULAR TECHNOLOGY INC, 400 ROYAL PALM WAY, SUITE 410, S-3 PALM BEACH, FL 33480 (561) 366-4800 - 7,796,119 (\$19,490,298) COMMON STOCK. (FILE 333-64755 - SEP. 30) (BR. 7)
- CHESAPEAKE UTILITIES CORP, 909 SILVER LAKE BLVD, PO BOX 615, DOVER, DE S-3 19904 (302) 734-6799 - 499,999 (\$8,921,857) COMMON STOCK. (FILE 333-64757 - SEP. 30) (BR. 2)
- AVANT IMMUNOTHERAPEUTICS INC, 119 FOURTH AVE, NEEDHAM, MA 02194 S-3 (617) 433-0771 - 1,433,750 (\$1,770,681) COMMON STOCK. (FILE 333-64761 -SEP. 30) (BR. 1)
- SILVERLEAF RESORTS INC, 1221 RIVERBEND DR, SUITE 120, DALLAS, TX 75247 S - 8 (214) 631-1166 - 1,600,000 (\$16,200,000) COMMON STOCK. (FILE 333-64763 -SEP, 30) (BR, 8)
- S-3 PRUDENTIAL SECURITIES SECURED FINANCING CORP, ONE NEW YORK PLZ, 14TH FLOOR, NEW YORK, NY 10292 (212) 778-1000 - 770,000,000 (\$770,000,000) PASS-THROUGH MORTGAGE-BACKED CERTIFICATE. (FILE 333-64765 - SEP. 30) (BR 8)
- MERRILL LYNCH DEPOSITOR INC, WORLD FINANCIAL CENTER, NEW YORK, NY 10281 S-3 (212) 449-1000 - \$500,000,000 EQUIPMENT TRUST CERTIFICATES. (FILE 333-64767 - SEP. 30) (BR. 8)
- AVTEL COMMUNICATIONS INC/DE, 501 BATH STREET, SANTA BARBARA, CA 93101 ((80) 5) -884- - 1,500,000 (\$3,562,500) COMMON STOCK. (FILE 333-64769 -SEP. 30) (BR, 9)
- PRICE COMMUNICATIONS WIRELESS INC, 45 ROCKEFELLER PLZ, NEW YORK, NY S-4 10020 (212) 757-5600 - 525,000,000 (\$525,000,000) STRAIGHT BONDS. (FILE 333-64773 - SEP. 30) (BR. 7)
- RESIDENTIAL ASSET FUNDING CORP, 301 SOUTH COLLEGE ST TW-06, CHARLOTTE, NC 28288 (704) 374-4868 - 1,000,000 (\$1,000,001) EQUIPMENT TRUST CERTIFICATES. (FILE 333-64775 - SEP. 30) (BR. 8)
- S 3 NORTHWEST NATURAL GAS CO, 220 NW SECOND AVE, PORTLAND, OR 97209 (503) 226-4211 - 100,000,000 (\$100,000) STRAIGHT BONDS. (FILE 333-64777 - SEP. 30) (BR. 2)
- S-3 BELL ATLANTIC WASHINGTON DC INC, 1710 H ST, WASHINGTON, DC 20006 (202) 392-9900 - 40,000,000 (\$40,000,000) STRAIGHT BONDS. (FILE 333-64781 - SEP. 30) (BR. 7)
- 5-8 EN POINTE TECHNOLOGIES INC, 100 N. SEPULVEDA BLVD, 19TH FLOOR, EL SEGUNDO, CA 90245 (310) 725-1133 - 300,000 (\$1,950,000) COMMON STOCK. (FILE 333-64785 - SEP. 30) (BR. 3)
- S-3 CABOT CORP, 75 STATE ST, BOSTON, MA 02109 (617) 345-0100 - \$445,000,000 STRAIGHT BONDS. (FILE 333-64787 - SEP. 29) (BR. 2)
- CAPITOL CITY BANCSHARES INC, PO BOX 42200, ATLA TA, GA 30311 (404) 752-6067 - 532,088 (\$5,782,000) COMMON STOCK. (FILE 333-64789 -SEP. 30) (BR. 7 - NEW ISSUE)
- S-3 EASTERN ENVIRONMENTAL SERVICES INC, 1000 CRAWFORD PLACE, MT LAUREL, NJ 08054 (609) 235-6009 - 139,127 (\$4,169,637) COMMON STOCK. (FILE 333-64791 - SEP. 30) (BR. 6)
- HMC MERGER CORP, 10400 FERNWOOD ROAD DEPT 907, BETHESDA, MD 20817 S-4 (301) 380-9000 - 204,586,035 (\$2,864,204,490) COMMON STOCK. (FILE 333-64793 - SEP. 30) (BR. 8 - NEW ISSUE)
- NEWMONT MINING CORP, ONE UNITED BANK CTR, 1700 LINCOLN ST, DENVER, CO S - 8 80203 (303) 863-7414 - 4,125,000 (\$81,855,467) COMMON STOCK. (FILE 333-64795 - SEP. 30) (BR. 4)

- S-4 GREATER BAY BANCORP, 2860 WEST BAYSHORE ROAD, PALO ALTO, CA 94303
 (415) 375-1555 30,000 (\$30,000,000) COMMON STOCK. (FILE 333-64797 -SEP. 30) (BR. 7)
- S-8 WARWICK VALLEY TELEPHONE CO, 47 49 MAIN ST, WARWICK, NY 10990 (914) 986-1101 - 266,232 (\$10,183,374) COMMON STOCK. (FILE 333-64799 -SEP. 30) (BR. 7)
- S-8 THERAGENICS CORP, 5325 OAKBROOK PKWY, NORCROSS, GA 30093 (770) 381-8338 - 200,000 (\$2,956,250) COMMON STOCK. (FILE 333-64801 - SEP. 30) (BR. 1)
- S-8 CONNECT INC, 515 ELLIS STREET, MOUNTAIN VIEW, CA 94043 (415) 254-4000 2,125,053 (\$3,559,565) COMMON STOCK. (FILE 333-64803 SEP. 30) (BR. 3)
- S-4 ENTEX INFORMATION SERVICES INC, 6 INTERNATIONAL DR, RYE BROOK, NY 10573 (914) 935-3600 100,000,000 (\$100,000,000) STRAIGHT BONDS. (FILE 333-64805 SEP. 30) (BR. 3)
- S-4 EASTERN ENVIRONMENTAL SERVICES INC, 1000 CRAWFORD PLACE, MT LAUREL, NJ 08054 (609) 235-6009 - 7,500,000 (\$224,775,000) COMMON STOCK. (FILE 333-64807 - SEP. 30) (BR. 6)
- S-3 CITY HOLDING CO, 25 GATEWATER ROAD, P O BOX 7520, CHARLESTON, WV 25313 (304) 769-1102 - 2,300,000 (\$57,500,000) PREFERRED STOCK. (FILE 333-64809 - SEP. 30) (BR. 7)
- S-8 AMERICAN BUILDINGS CO /DE/, STATE DOCKS RD, P O BOX 800, EUFAULA, AL 36027 (334) 687-2032 - 100,000 (\$2,350,000) COMMON STOCK. (FILE 333-64811 - SEP. 30) (BR. 6)
- S-8 AMERICAN BUILDINGS CO /DE/, STATE DOCKS RD, P O BOX 800, EUFAULA, AL 36027 (334) 687-2032 - 560,000 (\$13,956,455) COMMON STOCK. (FILE 333-64813 - SEP. 30) (BR. 6)
- SB-2 JACKSONVILLE BANCORP INC /FL/, 13245 ATLANTIC BLVD, STE 5, JACKSONVILLE, FL 32225 - 1,500,000 (\$15,000,000) COMMON STOCK. (FILE 333-64815 -SEP. 30) (BR. 7 - NEW ISSUE)
- S-1 BANKVEST CAPITAL CORP, 114 TURNPIKE RD, WESTBORO, MA 01581 (508) 366-7800 - 42,550,000 (\$42,550,000) COMMON STOCK. (FILE 333-64817 -SEP. 30) (BR. 7)
- S-8 HEALTHCARE RECOVERIES INC, 1400 WATTERSON TOWER, LOUISVILLE, KY 40218 (502) 454-1340 - 860,000 (\$8,600,000) COMMON STOCK. (FILE 333-64819 -SEP. 30) (BR. 1)
- S-8 CRUSADER HOLDING CORP, 1230 WALNUT STREET, PHILADELPHIA, PA 19107 (215) 893-1500 - 300,000 (\$4,410,000) COMMON STOCK. (FILE 333-64821 -SEP. 30) (BR. 7)
- S-4 MUELLER INDUSTRIES INC, 6799 GREAT OAKS ROAD, SUITE 200, MEMPHIS, TN 38138 ((90) 1)7-53-3 - \$33,710,000 COMMON STOCK. (FILE 333-64823 -SEP. 30) (BR. 6)
- S-8 IMCLONE SYSTEMS INC/DE, 180 VARICK ST, NEW YORK, NY 10014 (212) 645-1405 - 1,500,000 (\$13,346,375) COMMON STOCK. (FILE 333-64825 - SEP. 30) (BR. 1)
- S-8 IMCLONE SYSTEMS INC/DE, 180 VARICK ST, NEW YORK, NY 10014 (212) 645-1405 - 1,560,000 (\$16,201,155) COMMON STOCK. (FILE 333-64827 - SEP. 30) (BR. 1)
- S-1 IGX CORP/DE, ONE SPRINGFIELD AVE, SUMMIT, NJ 07960 (908) 598-4663 -2,875,000 (\$29,210,000) COMMON STOCK. (FILE 333-64829 - SEP. 30) (BR. 1 - NEW ISSUE)
- S-8 DESTRON FEARING CORP /DE/, 490 VILLAUME AVE, STE 208, S ST PAUL, MN 55075 (612) 455-1621 - 1,000,000 (\$1,220,000) COMMON STOCK. (FILE 333-64835 - SEP. 30) (BR. 7)
- S-8 CHANCELLOR CORP, 745 ATLANTIC AVE, BOSTON, MA 02111 (617) 728-8500 5,435,000 (\$3,695,800) COMMON STOCK. (FILE 333-64837 SEP. 30) (BR. 6)

- S-3 THORNBURG MORTGAGE FUNDING CORP, 119 E MARCY ST STE 201, SANTA FE, NM 87501 (505) 989-1900 50,000,000 (\$50,000,000)
 EQUIPMENT TRUST CERTIFICATES. (FILE 333-64841 SEP. 30) (BR. 38
 NEW ISSUE)
- S-8 VANS INC, 15700 SHOEMAKER AVENUE, SANTA FE SPRINGS, CA 90670 (562) 565-8267 - 400,000 (\$3,700,000) COMMON STOCK. (FILE 333-64843 -SEP. 30) (BR. 6)
- S-3 PEOPLES HERITAGE FINANCIAL GROUP INC, ONE PORTLAND SQ, P O BOX 9540, PORTLAND, ME 04112 (207) 761-8500 - 268,487 (\$4,765,644) COMMON STOCK. (FILE 333-64845 - SEP. 30) (BR. 7)
- S-3 ALTA GOLD CO/NV/, 601 WHITNEY RANCH DRIVE, STE 10, HENDERSON, NV 89014 (801) 483-1116 450,000 (\$907,031.25) COMMON STOCK. (FILE 333-64847 SEP. 30) (BR. 4)
- S-1 TICKETMASTER ONLINE CITYSEARCH INC, 790 E COLORADO BLVD, STE 200, PASADENA, CA 91101 (626) 405-0050 - 92,000,000 (\$92,000,000) COMMON STOCK. (FILE 333-64855 - SEP. 30) (BR. 3)
- S-3 SOUTHERN CO, 270 PEACHTREE ST, ATLANTA, GA 30303 (770) 393-0650 (FILE 333-64871 SEP. 30) (BR. 2)
- S-3 BAY VIEW CAPITAL CORP, 1840 GATEWAY DRIVE, SAN MATEO, CA 94404 (650) 573-7300 (FILE 333-64877 - SEP. 30) (BR. 7)
- S-3 MSDW STRUCTURED ASSET CORP, 1585 BROADWAY, NEW YORK, NY 10036 (212) 761-1715 - 500,000,000 (\$500,000,000) UNIT INVESTMENT TRUST. (FILE 333-64879 - SEP. 30) (BR. 38 - NEW ISSUE)
- S-3 EXOGEN INC, 10 CONSTITUTION AVE, P O BX 6860, PISCATAWAY, NJ 08855 (732) 981-0990 - 820,000 (\$2,613,750) COMMON STOCK. (FILE 333-64881 -SEP. 30) (BR. 5)
- S-4 LOEWS CINEPLEX ENTERTAINMENT CORP, 711 FIFTH AVE 11TH FLOOR, NEW YORK, NY 10022 (212) 833-6200 - 300,000,000 (\$300,000,000) STRAIGHT BONDS. (FILE 333-64883 - SEP. 30) (BR. 5)
- SB-2 PREMIER BANCORP INC /PA/, 379 N MAIN STREET, DOYLESTOWN, PA 18901 500,000 (\$5,500,000) COMMON STOCK. (FILE 333-64885 SEP. 30) (BR. 7)
- S-3 BOSTON SCIENTIFIC CORP, ONE BOSTON SCIENTIFIC PL, NATICK, MA 01760 (508) 650-8000 (FILE 333-64887 SEP. 30) (BR. 5)
- S-3 NATIONAL RECORD MART INC /DE/, 507 FOREST AVE, CARNEGIE, PA 15106 (412) 276-6200 - 400,000 (\$2,487,500) COMMON STOCK. (FILE 333-64889 -SEP. 30) (ER. 2)
- S-3 AVIATION GENERAL INC, 7200 N.W. 63RD STREET, HANGER 8 WILEY POST AIRPORT, BETHANY, OK 73008 (405) 495-8080 - 5,061,286 (\$9,806,242) COMMON STOCK. (FILE 333-64891 - SEP. 30) (BR. 5)
- S-4 EVENFLO CO INC, NORTHWOODS BUSINESS CENTER II, 707 CROSSROADS CT, VANDALIA, OH 45377 (937) 415-3300 - 110,000,000 (\$110,000,000)
 STRAIGHT BONDS. (FILE 333-64893 - SEP. 30' (BR. 2 - NEW ISSUE)
- S-8 ASTORIA FINANCIAL CORP, ONE ASTORIA FEDERAL PLAZA, LAKE SUCCESS, NY 11042 (516) 327-3000 - 1,649,330 (\$24,210,503) COMMON STOCK. (FILE 333-64895 - SEP. 30) (BR. 7)
- S-8 VENCOR INC, 3300 AEGON CENTER, 400 WEST MARKET ST, LOUISVILLE, KY 40202 (502) 596-7300 - 5,000,000 (\$22,187,500) COMMON STOCK. (FILE 333-64897 -SEP. 30) (BR. 8)
- S-3 BIGMAR INC, 9711 SPORTSMAN CLUB RD, JOHNSTOWN, OH 43031 (614) 966-5800 - 150,000 (\$304,650) COMMON STOCK. (FILE 333-64899 - SEP. 30) (BR. 1)

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S-3 GENZYME CORP, ONE KENDALL SQ, CAMBRIDGE, MA 02139 (617) 252-7500 -787,060 (\$27,251,953) COMMON STOCK. (FILE 333-64901 - SEP. 30) (BR. 1)

- S-3 AAMES CAPITAL CORP, 350 SOUTH GRAND AVE 52ND FLOOR, C/O GARY K JUDIS, LOS ANGELES, CA 90071 (213) 210-5000 - 1,000,000 (\$1,000,000) EQUIPMENT TRUST CERTIFICATES. (FILE 333-64903 - SEP. 30) (BR. 8)
- S-8 HORIZON ORGANIC HOLDING CORP, 6311 HORIZON LN, SUITE 201, LONGMONT, CO 80308 (303) 530-2711 - 1,288,393 (\$14,012,090.52) COMMON STOCK. (FILE 333-64905 - SEP. 30) (BR. 4)
- S-3 MORGAN STANLEY ABS CAPITAL I INC, 1585 BROADWAY 2ND FL, NEW YORK, NY 10036 (212) 761-4000 - 1,000,000 (\$1,000,000) EQUIPMENT TRUST CERTIFICATES. (FILE 333-64909 - SEP. 30) (BR. 38)
- S-4 UNITED COMMUNITY BANKS INC, P O BOX 398, 59 HIGHWAY 515, BLAIRSVILLE, GA 30512 (581) 807-3041 - \$21,000,000 COMMON STOCK. (FILE 333-64911 -SEP. 30) (BR. 7)
- S-3 KNAPE & VOGT MANUFACTURING CO, 2700 OAK INDUSTRIAL DR NE, GRAND RAPIDS, MI 49505 (616) 459-3311 - 300,000 (\$5,766,000) COMMON STOCK. (FILE 333-64913 - SEP. 30) (BR. 6)
- S-3 OCWEN FINANCIAL CORP, 1675 PALM BEACH LAKES BLVD STE 1000, THE FORUM, WEST PALM BEACH, FL 33401 (561) 681-8000 - 250,000,000 (\$250,000,000) STRAIGHT BONDS. (FILE 333-64915 - SEP. 30) (BR. 7)
- S-3 OCWEN ASSET INVESTMENT CORP, 1675 PALM BEACH LAKES BLVD, STE 1000, WEST PALM BEACH, FL 33401 (561) 681-8000 - 250,000,000 (\$250,000,000) COMMON STOCK. (FILE 333-64919 - SEP. 30) (BR. 8)
- S-8 IOMEGA CORP, 1821 W IOMEGA WAY, ROY, UT 84067 (801) 778-1000 2,500,000 (\$10,390,625) COMMON STOCK. (FILE 333-64921 SEP. 30) (BR. 3)
- S-3 CAVALIER HOMES INC, HWY 41 NORTH & CAVALIER RD, P O BOX 300, ADDISON, AL 35540 (205) 747-1575 - 60,000 (\$551,250) COMMON STOCK. (FILE 333-64925 -SEP. 30) (BR. 6)
- S-3 INFOSEEK CORP, 1399 MOFFET PARK DR, STE 250, SUNNYVALE, CA 94089 (408) 543-6000 - 521,000 (\$12,634,250) COMMON STOCK. (FILE 333-64927 -SEP. 30) (BR. 3)
- S-4 AMERINST INSURANCE GROUP LTD, C/O USA RISK GROUP INC, P O BOX 1330, MONTPELIER, VT 05601 (802) 229-5042 - 332,800 (\$15,812,160) COMMON STOCK. (FILE 333-64929 - SEP. 30) (BR. 1 - NEW ISSUE)
- SB-2 EVERCEL INC, CO/ ENERGY RESEARCH CORP, P O BOX 3 GREAT PASTURE RD, DANBURY, CT 06813 (203) 825-6000 - 4,128,273 (\$8,256,546) COMMON STOCK. (FILE 333-64931 - SEP. 30) (BR. 9 - NEW ISSUE)
- S-4 VASCO DATA SECURITY INTERNATIONAL INC, 1919 S HIGHLAND AVE, STE 118 C, LOMBARD, IL 60148 (630) 932-8844 - 469,640 (\$1,540,419) COMMON STOCK.
 (FILE 333-64933 - SEP. 30) (BR. 3)
- SB-2 AK HOLDING CORP, 400 FIFTH AVE S, NAPLES, FL 34102 (941) 435-3888 -1,500,000 (\$15,000,000) COMMON STOCK. (FILE 333-64935 - SEP, 30) (BR, 7 - NEW ISSUE)
- S-3 OPEN MARKET INC, ONE WAYSIDE ROAD, CAMBRIDGE, MA 01803 (781) 359-3000 240,077 (\$2,760,886) COMMON STOCK. (FILE 333-64937 SEP. 30) (BR. 3)
- S-3 CRSM SECURITIES INC, 9665 WILSHIE BLVD #410, BEVERLY HILLS, CA 90212 (310) 285-7400 - 1,000,000 (\$1,000,000) STRAIGHT BONDS. (FILE 333-64939 -SEP. 30) (NEW ISSUE)
- S-4 HUBCO INC, 1000 MACARTHUR BLVD, MAHWAH, NJ 07430 (201) 236-2600 -50,000 (\$50,000,000) COMMON STOCK. (FILE 333-64941 - SEP. 30) (BR. 7)
- S-3 CUBIST PHARMACEUTICALS INC, 24 EMILY ST, CAMBRIDGE, MA 02139
 (607) 576-1999 9,098,343 (\$22,472,907.21) COMMON STOCK. (FILE 333-64943
 SEP. 30) (BR. 1)
- S-4 PAB BANKSHARES INC, 3102 N OAK ST EXT, VALDOSTA, GA 31602 (912) 242-7775 - 924,963 (\$7,533,824) COMMON STOCK. (FILE 333-64945 - SEP. 30) (BR. 7)

- S-3 BISYS GROUP INC, 150 CLOVE ROAD, LITTLE FALLS, NJ 07424 (201) 812-8600 - 968,202 (\$41,904,993) COMMON STOCK. (FILE 333-64947 - SEP. 30) (BR. 3)
- S-4 NEWMONT MINING CORP, ONE UNITED BANK CTR, 1700 LINCOLN ST, DENVER, CO 80203 (303) 863-7414 - 10,694,245 (\$230,594,658) COMMON STOCK. (FILE 333-64949 - SEP. 30) (BR. 4)
- S-3 PALATIN TECHNOLOGIES INC, 214 CARNEGIE CENTER, SUITE 100, PRINCETON, NJ 08540 (609) 520-1911 - 373,636 (\$1,097,556) COMMON STOCK. (FILE 333-64951 - SEP. 30) (BR. 1)
- S-3 KTI INC, 7000 BLVD E, GUTTENBERG, NJ 07093 (201) 854-7777 2,930,291 (\$51,096,950) COMMON STOCK. (FILE 333-64953 SEP. 30) (BR. 2)
- S-8 SOUTHWESTERN ENERGY CO, 1083 SAIN ST, P O BOX 1408, FAYETTEVILLE, AR 72702 (501) 521-1141 - 616,480 (\$6,000,449) COMMON STOCK. (FILE 333-64961 - SEP. 30) (BR. 2)
- S-3 GMAC COMMERCIAL MORTGAGE SECURITIES INC, 650 DRESHER RD, P.O. BOX 1015, HORSHAM, PA 19044 (215) 328-3164 - 1,500,000,000 (\$1,500,000,000) PASS-THROUGH MORTGAGE-BACKED CERTIFICATE. (FILE 333-64963 - SEP. 30) (BR. 8)
- S-3 NOISE CANCELLATION TECHNOLOGIES INC, 1025 WEST NURSERY RD, STE 120, LINTHICUM, NY 21090 (410) 636-8700 - 1,786,991 (\$977,305) COMMON STOCK. (FILE 333-64967 - SEP. 30) (BR. 5)
- S-8 NORTHEAST OPTIC NETWORK INC, 391 TOTTEN POND RPAD, WALTHAM, MA 02154 (781) 890-6868 - 2,436,105 (\$21,468,175.31) COMMON STOCK. (FILE 333-64969 - SEP. 30) (BR. 7)
- S-8 SCIENTIFIC ATLANTA INC, ONE TECHNOLOGY PKWY S, NORCROSS, GA 30092 (770) 903-5000 - 3,000,000 (\$62,812,500) COMMON STOCK. (FILE 333-64971 -SEP. 30) (BR. 7)
- S-11 PRIME GROUP REALTY TRUST, 77 WEST WACKER DR, STE 3900, CHICAGO, IL 60601 (312) 917-1300 - 2,000,000 (\$40,000,000) PREFERRED STOCK. 11,711,075 (\$161,786,510) COMMON SHARES OF BENEFICIAL INTEREST. (FILE 333-64973 -SEP. 30) (BR. 8)
- S-2 SUNRISE TECHNOLOGIES INTERNATIONAL INC, 47265 FREMONT BLVD, FREMONT, CA 94538 (510) 623-9001 - 6,807,915 (\$34,039,575) COMMON STOCK. (FILE 333-64975 - SEP. 30) (BR. 5)
- S-8 G&K SERVICES INC, 505 WATERFORD PARK, STE 455, MINNEAPOLIS, MN 55441
 (612) 546-7440 50,000 (\$2,518,750) COMMON STOCK. (FILE 333-64977 -SEP. 30) (BR. 8)
- S-3 SECURITY CAPITAL GROUP INC/, 125 LINCOLN AVE, SANTA FE, NM 87501 (505) 982-9292 (FILE 333-64979 SEP. 30) (BR. 8)
- S-3 FUND AMERICA INVESTORS CORP II, 6400 S FIDDLERS GREEN CIRCLE, STE 1200B, ENGLEWOOD, CO 80111 (303) 290-6025 - 1,000,000 (\$1,000,000) EQUIPMENT TRUST CERTIFICATES. (FILE 333-64983 - SEP. 30) (BR. 8)
- S-2 PROTECTION ONE INC, 6011 BRIST^L PKWY, CULVER CITY, CA 90230 (310) 338-6930 - 13,001,000 (\$14,:83,652.50) STRAIGHT BONDS. (FILE 333-64985 - SEP. 30) (BR. 8)
- F-2 VERONEX TECHNOLOGIES INC, 701 475 HOWE ST, V6C 2B3, VANCOUVER BC CANADA, A1 (604) 669-5650 - 2,911,600 (\$9,778,960) COMMON STOCK. (FILE 333-64987 -SEP. 30) (BR. 4)
- S-8 COMPAQ COMPUTER CORP, 20555 S H 249, HOUSTON, TX 77070 (713) 370-0670 -7,260,000 (\$238,445,625) COMMON STOCK. (FILE 333-64989 - SEP. 30) (BR. 3)
- S-3 BOSTON SCIENTIFIC CORP, ONE BOSTON SCIENTIFIC PL, NATICK, MA 01760 (508) 650-8000 - 324,230 (\$17,265,247.50) COMMON STOCK. (FILE 333-64991 -SEP. 30) (BR. 5)

- S-4 ANTHONY CRANE RENTAL LP, 1165 CAMP HOLLOW RD, WEST MIFFLIN, PA 15122 (412) 469-3700 155,000,000 (\$155,000,000) STRAIGHT BONDS. (FILE 333-64993 SEP. 30) (NEW ISSUE)
- S-3 SYNAGRO TECHNOLOGIES INC, 5850 SAN FELIPE SUITE 500, STE 420, HOUSTON, TX 77057 (713) 706-6180 - 600,000 (\$2,268,750) COMMON STOCK. (FILE 333-64995 - SEP. 30) (BR. 6)
- SB-2 AQUASEARCH INC, 73-4460 QUEEN KA AHUMANU HWY, STE 110, KAILUA KONA, HI 96740 (619) 458-0011 - 23,494,361 (\$5,724,487) COMMON STOCK. (FILE 333-64997 - SEP. 30) (BR. 1)
- S-8 SYNAGRO TECHNOLOGIES INC, 5850 SAN FELIPE SUITE 500, STE 420, HOUSTON, TX 77057 (713) 706-6180 - 1,100,000 (\$4,159,375) COMMON STOCK. (FILE 333-64999 - SEP. 30) (BR. 6)
- S-8 PERCEPTRON INC/MI, PERCEPTRON INC, 47827 HALYARD DR, PLYMOUTH, MI 48170 (313) 414-4816 - 558,357 (\$3,699,115.13) COMMON STOCK. (FILE 333-65001 -SEP. 30) (BR. 5)
- S-4 ANTHONY CRANE RENTAL HOLDINGS LP, 1165 CAMP HOLLOW RD, WEST MIFFLIN, PA 15122 (412) 469-3700 - 48,000,000 (\$25,667,404) STRAIGHT BONDS. (FILE 333-65003 - SEP. 30) (NEW ISSUE)
- S-3 SYNAGRO TECHNOLOGIES INC, 5850 SAN FELIPE SUITE 500, STE 420, HOUSTON, TX 77057 (713) 706-6180 - 1,829,326 (\$6,917,139) COMMON STOCK. (FILE 333-65005 - SEP. 30) (BR. 6)
- S-8 PERCEPTRON INC/MI, PERCEPTRON INC, 47827 HALYARD DR, PLYMOUTH, MI 48170 (313) 414-4816 - 300,000 (\$1,987,500) COMMON STOCK. (FILE 333-65007 -SEP. 30) (BR. 5)
- S-1 GOLDEN AMERICAN LIFE INSURANCE CO /NY/, 1001 JEFFERSON STREET, SUITE 400, WILMINGTON, DE 19801 ((30) 2) -576- - \$1,050,000,000 VARIABLE ANNUITY ISSUES. (FILE 333-65009 - SEP. 30) (BR. 20)
- S-3 MAXIM PHARMACEUTICALS INC, 3099 SCIENCE PK RD, STE 150, SAN DIEGO, CA 92121 (619) 453-4040 - 938,483 (\$14,077,245) COMMON STOCK. (FILE 333-65011 - SEP. 30) (BR. 1)
- S-8 IGENE BIOTECHNOLOGY INC, 9110 RED BRANCH RD, COLUMBIA, MD 21045 (410) 997-2599 - 20,000,000 (\$1,050,000) COMMON STOCK. (FILE 333-65013 -SEP. 30) (BR. 2)
- S-1 BLUE RHINO CORP, 104 CAMBRIDGE PLAZA DRIVE, WINSTON SALEM, NC 27104 (910) 659-6900 - 1,000,000 (\$11,687,500) COMMON STOCK. (FILE 333-65015 -SEP. 30) (BR. 2)
- S-4 PRISON REALTY CORP, 10 BURTON HILLS BOULEVARD, NASHVILLE, TN 37215
 (615) 263-0200 96,420,264 (\$1,771,060,178) COMMON STOCK. 4,300,000
 (\$85,059,375) PREFERRED STOCK. (FILE 333-65017 SEP. 30) (NEW ISSUE)
- S-4 WESTERN BANCORP, 4100 NEWPORT PLACE SUITE 900, NEWPORT BEACH, CA 92660 (949) 863-2444 2,932,002 (\$81,133,643.13) COMMON STOCK. (FILE 333-65019 SEP. 30) (BR. 7)
- SB-2 TRANS ENERGY INC, 210 SECOND ST, PO BOX 393, ST MARYS, WV 26170 (304) 684-7053 - 6,034,750 (\$7,920,610) COMMON STOCK. (FILE 333-65021 -SEP. 30) (BR. 4)
- S-4 PREMIER BANCSHARES INC /GA, 2180 ATLANTA PLAZA,
 950 EAST PACES FERRY ROAD, ATLANTA, GA 30326 (404) 814-3090 1,094,717 (\$7,323,659) COMMON STOCK. (FILE 333-65025 SEP. 30) (BR. 7)
- S-8 MICRO FOCUS GROUP PUBLIC LIMITED COMPANY, THE LAWN OLD BATH ROAD, NEWBURY, NEWBURY ENGLAND, X0 - 26,152,771 (\$111,399,232.95) COMMON STOCK. (FILE 333-65027 - SEP. 30) (BR. 3)
- SB-2 U S REMODELERS INC, 1341 W MOCKINGBIRD LN, #900E, DALLAS, TX 75247 (214) 267-2000 - 1,610,000 (\$8,251,250) LIMITED PARTNERSHIP CERTIFICATE. (FILE 333-65029 - SEP. 30) (NEW ISSUE)

- S-4 PEOPLES HERITAGE FINANCIAL GROUP INC, ONE PORTLAND SQ, P O BOX 9540, PORTLAND, ME 04112 (207) 761-8500 - 17,650,000 (\$300,579,500) COMMON STOCK. (FILE 333-65031 - SEP. 30) (BR. 7)
- S-8 MCDONALDS CORP, ONE MCDONALD'S PLZ, OAK BROOK, IL 60521 (630) 623-3000 - 15,000,000 (\$857,850,000) COMMON STOCK. (FILE 333-65033 - SEP. 30) (BR. 5)
- S-3 SUPERCONDUCTOR TECHNOLOGIES INC, 460 WARD DR, STE F, SANTA BARBARA, CA
 93111 (805) 683-7646 2,978,333 (\$14,054,753) COMMON STOCK. (FILE
 333-65035 SEP. 30) (BR. 1)
- S-3 SUNSTONE HOTEL INVESTORS INC, 115 CALLE DE INDUSTRIAS SUITE 201, SAN CLEMENTE, CA 92672 (714) 361-3900 - 447,000 (\$5,583,100) COMMON STOCK. (FILE 333-65037 - SEP. 30) (BR. 8)
- S-1 INSILCO HOLDING CO, 425 METRO PLACE NORTH, FIFTH FLOOR, DUBLIN, OH 43017 (614) 792-0468 - 138,000,000 (\$70,573,731) STRAIGHT BONDS. (FILE 333-65039 - SEP. 30) (BR. 6)
- S-2 KBK CAPITAL CORP, 301 COMMERCE, 2200 CITY CENTER II, FORT WORTH, TX 76102 (817) 335-7557 - 920,000 (\$23,000,000) PREFERRED STOCK. (FILE 333-65041 - SEP. 30) (BR. 9)
- S-8 QUANTUM CORP /DE/, 500 MCCARTHY BLVD, MILPITAS, CA 95035 (408) 894-4000 - 1,826,055 (\$6,136,633) COMMON STOCK. (FILE 333-65043 - SEP. 30) (BR. 3)
- S-3 AUTODESK INC, 111 MCINNIS PKWY, SAN RAFAEL, CA 94903 (415) 507-5000 -3,000,000 (\$78,843,750) COMMON STOCK. (FILE 333-65047 - SEP. 30) (BR. 3)
- S-8 GREY WOLF INC, 10370 RICHMOND AVE, SUITE 700, HOUSTON, TX 77042 (713) 874-0202 - 5,000,000 (\$7,500,000) COMMON STOCK. (FILE 333-65049 -SEP. 30) (BR. 4)
- S-8 HARMONIC LIGHTWAVES INC, 549 BALTIC WAY, SUNNYVALE, CA 94089 (408) 542-2500 - 775,000 (\$8,951,640.63) COMMON STOCK. (FILE 333-65051 -SEP. 30) (BR. 7)
- S-3 PUGET SOUND ENERGY INC, 411 108TH AVE NE, BELLEVUE, WA 98004 (425) 454-6363 - 500,000,000 (\$500,000,000) STRAIGHT BONDS. (FILE 333-65053 - SEP. 30) (BR. 2)
- S-4 SUMMIT DESIGN INC, 9305 S W GEMINI DRIVE, BEAVERTON, OR 97008 (503) 643-9281 - 9,810,226 (\$71,124,143) COMMON STOCK. (FILE 333-65055 -SEP. 30) (BR. 3)
- SB-1 MEADOWS PRESERVATION INC, 2555 PGA BLVD, PALM BEACH GARDENS, FL 33410 (561) 626-8035 - 2,354,000 (\$2,354,000) COMMON STOCK. (FILE 333-65057 -SEP. 30) (NEW ISSUE)
- S-3 ONEOK INC /NEW/, 100 WEST 5TH ST, TULSA, OK 74103 (918) 588-7000 82,460 (\$3,051,020) COMMON STOCK. (FILE 333-65059 SEP. 30) (BR. 2)
- S-3 RICHFOOD HOLDINGS INC, 4860 COX RD, STE 300, GLEN ALLEN, VA 23060 (804) 915-6000 - \$500,000,000 STRAIGHT BONDS. (FILE 333-65061 - SEP. 30) (BR. 4)
- S-8 FLIR SYSTEMS INC, 16505 SW 72ND AVE, PORTLAND, OR 97224 (503) 684-3731 - 2,500,000 (\$34,375,000) COMMON STOCK. (FILE 333-65063 - SEP. 30) (BR. 5)
- S-3 ROSS SYSTEMS INC/CA, 2 CONCOURSE PARKWAY, SUITE 800, ATLANTA, GA 30328 (770) 351-9600 - 466,808 (\$1,210,783.25) COMMON STOCK. (FILE 333-65065 -SEP. 30) (BR. 3)
- S-1 TOYOTA AUTO LEASE TRUST 1998 C, 19001 S WESTERN AVE, PO BOX 2958 FN12, TORRANCE, CA 90509 (310) 787-3541 - 999,999 (\$999,999.99) EQUIPMENT TRUST CERTIFICATES. (FILE 333-65067 - SEP. 30) (NEW ISSUE)
- SB-2 ACCESS POWER INC, 10033 SAWGRASS DR W, STE 100, PONTE VEDRA BEACH, FL 32082 (904) 273-2980 - 4,000,000 (\$2,760,000) COMMON STOCK. (FILE 333-65069 - SEP. 30)

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- S-1 DAKOTA GROWERS PASTA CO, ONE PASTA AVE, PO BOX 21, CARRINGTON, ND 58421 (701) 652-2855 - 3,679,500 (\$44,210,500) COMMON STOCK. (FILE 333-65071 -SEP. 30) (BR. 4)
- S-8 SOUTHWEST SECURITIES GROUP INC, SUITE 3500, 1201 ELM STREET, DALLAS, TX 75270 (214) 651-1800 - 2,166,800 (\$40,731,692) COMMON STOCK. (FILE 333-65073 - SEP. 30) (BR. 7)
- S-4 AUTODESK INC. 111 MCINNIS PKWY, SAN RAFAEL, CA 94903 (415) 507-5000 -17,551,174 (\$434,600,504) COMMON STOCK. (FILE 333-65075 - SEP. 30) (BR. 3)
- S-3 CELL GENESYS INC, 322 LAKESIDE DR, FOSTER CITY, CA 94404 (415) 358-9600 - 2,589,784 (\$7,931,214) COMMON STOCK. (FILE 333-65077 - SEP. 30) (BR. 1)
- S-3 CAMBRIDGE TECHNOLOGY PARTNERS MASSACHUSETTS INC, 304 VASSAR ST, CAMBRIDGE, MA 02139 (617) 374-9800 - 1,512,392 (\$35,021,327.25) COMMON STOCK. (FILE 333-65079 - SEP. 30) (BR. 3)
- S-8 VERITAS DGC INC, 3701 KIRBY DR, STE 112, HOUSTON, TX 77098 (713) 512-8300 - 50,000 (\$845,300) COMMON STOCK. (FILE 333-65081 -SEP. 30) (BR. 4)
- S-8 SAP AKTIENGESELLSCHAFT SYSTEMS APPLICATIONS PRODUCTS IN DATA, NEUROTTSTRABE 16, WALLDORF, FEDERAL REPUBLIC OF GERMAN, NEW YORK, NY 69190 (011) 496-2277 - 10,000 (\$4,216,723) COMMON STOCK. (FILE 333-65083 -SEP. 30) (BR. 3)
- S-4 DEAN FOODS CO, 3600 N RIVER RD, FRANKLIN PARK, IL 60131 (847) 678-1680 - 1,600,000 (\$23,087,973) COMMON STOCK. (FILE 333-65085 - SEP. 30) (BR. 4)
- SB-2 CITADEL TECHNOLOGY INC, 3811 TURTLE CREEK BLVD, SUITE 600, DALLAS, TX 75219 (214) 520-9292 - 5,989,484 (\$5,749,905) COMMON STOCK. (FILE 333-65089 - SEP. 30) (BR. 3)
- S-3 AMAZON COM INC, 1516 SECOND AVE 4TH FLOOR, PO BOX 80387, SEATTLE, WA 98101 (206) 622-2335 - 2,662,125 (\$260,222,718.75) COMMON STOCK. (FILE 333-65091 - SEP. 30) (BR. 2)
- SB-2 MIGRATEC INC, 5 CAMPUS CIRCLE, SUITE1 00, WESTLAKE, TX 76262 (718) 965-4172 - 34,571,469 (\$9,680,011) COMMON STOCK. (FILE 333-65093 -SEP. 30) (BR. 5)
- S-4 QWEST COMMUNICATIONS INTERNATIONAL INC, 1000 QUEST TOWER, 555 SEVENTEENTH STREET, DENVER, CO 80202 (303) 291-1400 - 8,116,136 (\$211,167,464) COMMON STOCK. (FILE 333-65095 - SEP. 30) (BR. 7)
- SB-2 SUMMIT LIFE CORP, 3021 EPPERLYN DR, PO BOX 15808, OKLAHOMA CITY, OK 73155 (405) 677-0781 - 1,000,000 (\$5,000,000) COMMON STOCK. (FILE 333-65097 - SEP. 30)
- S-3 INTERNATIONAL FIBERCOM INC, 3410 E UNIVERSITY, SUITE 180, PHOENIX, AZ 85034 (602) 941-1900 - 661,556 (\$4,899,484) COMMON STOCK. (FILE 333-65099 - SEP. 30) (BR. 7)
- SB-2 FLORIDA BUSINESS BANCGROUP INC, 500 NORTH WESTSHORE BLVD, TAMPA, FL 32225 - 3,000,000 (\$30,000,000) COMMON STOCK. (FILE 333-65101 - SEP. 30) (NEW ISSUE)
- S-3 SCANA CORP, 1426 MAIN ST, P O BOX 764, COLUMBIA, SC 29201 (803) 376-8547 - 200,000,000 (\$200,000,000) STRAIGHT BONDS. (FILE 333-65105 - SEP. 30) (BR. 2)
- S-3 TRIAD FINANCIAL CORP, 7711 CENTER AVENUE, SUITE 250, HUNTINGTON BEACH, CA 92647 (714) 373-8300 - 1,000,000 (\$1,000,000) EQUIPMENT TRUST CERTIFICATES. (FILE 333-65107 - SEP. 30) (NEW ISSUE)
- S-8 BRIO TECHNOLOGY INC, 3430 WEST BAYSHORE RD, PALO ALTO, CA 94303 (650) 854-8000 - 4,253,461 (\$31,498,684.54) COMMON STOCK. (FILE 333-65109 - SEP. 30) (BR. 3)

- N-2 FRANKLIN FLOATING RATE TRUST, 777 MARINERS ISLAND BLVD, SAN MATEO, CA 94404 - 35,000,000 (\$349,300,000) COMMON STOCK. (FILE 333-65111 - SEP. 30) (BR. 18)
- S-4 VERDANT BRANDS INC, 9555 JAMES AVE SOUTH, SUITE 200, BLOOMINGTON, MN 55431 (612) 703-3300 - 10,152,069 (\$9,517,564.69) COMMON STOCK. (FILE 333-65113 - SEP. 30) (BR. 2)
- S-4 BELL SPORTS CORP, 6350 SAN IGNACIO AVENUE, STE I-100, SAN JOSE, CA 95119 (408) 574-3400 - 110,000,000 (\$110,000,000) STRAIGHT BONDS. (FILE 333-65115 - SEP. 30) (BR. 5)
- S-1 BROADCOM CORP, 16251 LAGUNA CANYON RD, IRVINE, CA 92618 (714) 450-8700 - 3,450,000 (\$265,650,000) COMMON STOCK. (FILE 333-65117 - SEP. 30) (BR. 5)
- S-3 VIRAGEN INC, 865 S W 78TH AVENUE, TE 100, PLANTATION, FL 33324 (954) 233-8746 - 15,000,000 (\$21,600,000) COMMON STOCK. (FILE 333-65119 -OCT. 01) (BR. 1)
- S-2 MEDIX RESOURCES INC, 7100 E BELLEVIEW, SUITE 301, ENGLEWOOD, CO 80111 (303) 741-2045 - 7,779,170 (\$855,709) COMMON STOCK. (FILE 333-65121 -OCT. 01) (BR. 8)
- SB-2 XYBERNAUT CORP, 12701 FAIR LAKES CIRCLE, STE 550, FAIRFAX, VA 22033 (703) 631-6925 - 2,100,000 (\$11,260,893) COMMON STOCK. (FILE 333-65123 -OCT. 01) (BR. 3)
- S-3 TECHNICLONE CORP/DE/, 14282 FRANKLIN AVE, TUSTIN, CA 92680 (714) 508-6000 - 3,405,053 (\$4,268,193) COMMON STOCK. (FILE 333-65125 -SEP. 30) (BR. 1)
- S-4 FIRST CONSULTING GROUP INC, 111 W OCEAN BLVD, 4TH FL, LONG BEACH, CA 90802 (562) 624-5200 - 7,500,000 (\$109,687,500) COMMON STOCK. (FILE 333-65127 - OCT. 01) (BR. 8)
- S-8 BANCFIRST CORP /OK/, 101 N BROADWAY STE 200, D, OKLAHOMA CITY, OK 73102 (405) 270-1000 - 116,461 (\$4,396,403) COMMON STOCK. (FILE 333-65129 -SEP. 30) (BR. 7)
- SB-2 AVERY COMMUNICATIONS INC, 190 SOUTH LASALLE ST, STE 1710, CHICAGO, IL 60603 (312) 419-0077 - 11,788,186 (\$24,313,134) COMMON STOCK. (FILE 333-65133 - SEP. 30)
- S-3 WAVETECH INTERNATIONAL INC, 5210 E WILLIAMS CIRCLE, STE 200, TUCSON, AR 85711 (520) 750-9093 - 4,456,921 (\$1,114,230.25) COMMON STOCK. (FILE 333-65135 - SEP. 30) (BR. 7)
- S-4 CHARTER ONE FINANCIAL INC, 1215 SUPERIOR AVE, CLEVELAND, OH 44114 (216) 566-5300 - 33,000,000 (\$801,051,529) COMMON STOCK. (FILE 333-65137 -OCT. 01) (BR. 7)
- S-8 BIOMET INC, AIRPORT INDUSTRIAL PARK, P O BOX 587, WARSAW, IN 46581 (219) 267-6639 - 7,000,000 (\$236,250,000) COMMON STOCK. (FILE 333-65139 -OCT. 01) (BR. 5)
- S-8 PRIME BANCSHJ (ES INC /TX/, 12200 NORTHWEST FREEWAY, HOUSTON, TX 77092 (713) 209-6000 - 200,000 (\$3,288,000) COMMON STOCK. (FILE 333-65141 -SEP. 30) (BR. 7)
- S-4 TUCSON ELECTRIC POWER CO, 220 W 6TH ST, P O BOX 711, TUCSON, AZ 85701 (502) 571-4000 - \$140,000,000 STRAIGHT BONDS. (FILE 333-65143 - OCT. 01) (BR. 2)

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S-8 PRIME GROUP REALTY TRUST, 77 WEST WACKER DR, STE 3900, CHICAGO, IL 60601 (312) 917-1300 - 1,850,000 (\$30,821,000) COMMON STOCK. (FILE 333-65147 - OCT. 01) (BR. 8)

RECENT &K FILINGS

Form 8-K is used by companies to file current reports on the following events:

- Item 1. Changes in Control of Registrant.
- Item 2. Acquisition or Disposition of Assets.
- Item 3. Bankruptcy or Receivership.
- Item 4. Changes in Registrant's Certifying Accountant.
- Item 5. Other Materially Important Events.
- Item 6. Resignations of Registrant's Directors.
- Item 7. Financial Statements and Exhibits.
- Item 8. Change in Fiscal Year.
- Item 9. Regulation S Offerings.

The following companies have filed B-K reports for the date indicated and/or amendments to 8-K reports previously filed, responding to the item(s) of the form specified. 8-K reports may be obtained in person or by writing to the Commission's Public Reference Branch at 450 Fifth Street, N.W., Washington, D.C. 20549 or at the following e-mail box address: <public info @ sec>. In most cases, this information is also available on the Commission's website: <www.sec.gov>.

		STATE		8 K	ITEM		NO.			
NAME OF ISSUER	CODE	1							DATE	COMMENT
ADVANTA MORTGAGE CONDUIT SERVICES I NC	DE				x		x		09/23/	
AHL SERVICES INC	GA		х				х		07/24/	98 AMEND
AIRCRAFT INCOME PARTNERS L P	DE		x						08/17/	
ALABAMA NATIONAL BANCORPORATION	DE		х				х		10/02/	
ALLIED PRODUCTS CORP /DE/	DE				х				10/06/	98
ALPHA MICROSYSTEMS	CA						х		09/01/	98 AMEND
ALPHA MICROSYSTEMS	CA						х			98 AMEND
AMEDISYS INC	DE		х		х		х		09/21/	98
ANICOM INC	DE		х				х		10/05/	98
ARCA CORP	NJ	х							10/01/	98
ARRHYTHMIA RESEARCH TECHNOLOGY INC /DE/	DE			х					09/29/	98
ASPEN TECHNOLOGY INC /DE/	DE				х		х		10/02/	98
ASSET BACKED FLOATING RATE CERTIFIC	DE				х		х		09/30/	98
ATES SERIES 1998-NC2										
ASSOCIATES FIRST CAPITAL CORP	DE						х		10/06/	98
ASTORIA FINANCIAL CORP	DE		х				х		09/30/9	98
AVALONBAY COMMUNITIES INC	MD				х		х		10/02/	98
BANGOR HYDRO ELECTRIC CO	ME				х				10/06/	98
BANK ONE CORP	DE		х		х		х		10/02/	98
BANK ONE CORP	DE						х		10/06/	98
BETZDEARBORN INC	PA				х		х		10/05/	98
BIOCONTROL TECHNOLOGY INC	PA				х				09/18/	98
BIOCONTROL TECHNOLOGY INC	PA				х				09/28/9	98
BIOMUNE SYSTEMS INC	NV				х				10/06/9	98
BLOCK MORTGAGE FINANCE ASSET BACKED CERTIFICATES SER 1998-1	DE				х		х		09/25/9	98
BLOCK MORTGAGE FINANCE INC ASSET BA	NY				х		х		09/25/9	
CKED CERT SERIES 1998 2					Λ		~		09/23/.	
BOLT TECHNOLOGY CORP	СТ				х		х		10/01/9	28
BOWLES FLUIDICS CORP	MD				x		~		10/06/9	
BRIGHTPOINT INC	DE				x				10/02/2	
BUTTREY FOOD & DRUG STORES CO	DE	х			n				10/02/	
CABLETRON SYSTEMS INC	DE	Λ						Y	10/01/3	
CAFETERIA OPERATORS LP	DE				х		x	v	09/30/9	
CALIBRIN OF BRAIDRO BE	UБ				^		A		09/30/5	20

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NAME OF ISSUER	CODE	1	2				78	9	DATE	a	OMMENT
CAMPO ELECTRONICS APPLIANCES & COMP	LA					x	X		09/23/	'98	
UTERS INC											
CANADIAN DERIVATIVES CLEARING CORP						X	х		09/01/	98	
CARNEGIE GROUP INC	DE					х			09/30/	98	
CAVALRY BANCORP INC	TN	Х							10/07/	98	
CB RICHARD ELLIS SERVICES INC	DE					х	х		09/22/	98	
CBT GROUP PLC						х	х		10/04/	98	
CELLPRO INCORPORATED	DE					х	х		06/08/	98	
CELTIC INVESTMENT INC	DE		х				х		10/05/	98	
CELTRIX PHARMACEUTICALS INC	DE					х	х		09/18/	98	
CENDANT CORP	DE					х	х		10/05/	98	
CENTEX HOME EQ CORP HOME EQ LOAN AS S BACK CERT SR 1991 1 TR	NY						х		09/25/	98	
CENTURA BANKS INC	NC		х						10/05/	98	
CHANTAL PHARMACEUTICAL CORP	DE				х		х		08/18/	98	
CHANTAL PHARMACEUTICAL CORP	DE				х		х		08/18/	98	AMEND
CHASE MANHATTAN BANK USA	DE					х	х		09/17/	98	
CHASE MORTGAGE FINANCE CORP	DE		х				х		09/24/	98	
CHEC ASSET RECEIVABLES CORP							х		09/25/	98	
CLAIRE TECHNOLOGIES INC	NV					х			09/30/	98	
COCA COLA ENTERPRISES INC	DE						х		09/18/		
COMCAST UK CABLE PARTNERS LTD	D0					х	х		10/05/		
COMMUNITY TRUST BANCORP INC /KY/	ĸү	х							09/21/		
COMMUNITY TRUST BANCORP INC /KY/	KY	x							09/22/		
COMPUTER OUTSOURCING SERVICES INC	NY				х		x		09/29/		
CORTECH INC	DE					x			09/20/		
CROWN PAPER CO	VA					x	х		09/28/		
CROWN VANTAGE INC	VA					x	x		09/28/		
CURTICE BURNS FOODS INC	NY		х				x		10/05/		
CWMBS INC	DE					х	x		09/25/		AMEND
CWMBS INC	DE					х	х		09/25/		
DAYTON HUDSON RECEIVABLES CORP	MN					х			10/05/	98	
DIGITAL DATA NETWORKS INC	WA		х						09/20/		
DOLE FOOD COMPANY INC	нI						x		10/01/		
DOE INC	PA					х	х		10/05/		
DUQUESNE LIGHT CO	PA					x	x		10/05/		
DYNAGEN INC	DE					x	x		09/30/		
EINSTEIN NOAH BAGEL CORP	DE					x			10/05/		
ELECTRONICS BOUTIQUE HOLDINGS CORP	DE					x	х		09/28/		
ELLIGENT CONSULTING GROUP INC	NV		х				x		09/21/		
EMERSON ELECTRIC CO	MO					x			10/06/		
EMPIRE DISTRICT ELECTRIC CO	KS			x					10/05/		
EQUITABLE RESOURCES INC /PA/	PA						x		10/07/		
EQUITY MARKETING INC	DE		х				x		07/23/		AMEND
EXEL LTD							x		09/23/		
FEDERAL EXPRESS CORP	DE						x		09/21/		
FEDERAL EXPRE S CORP	DE						x		09/22/		
FEMRX INC	DE					х	x		10/05/		
FINGERHUT COMPANIES INC	MN					x	A		09/25/		MEND
FIRST ALLIANCE MORTGAGE LOAN TRUST	CA					x	х		09/21/		ANEND
1998-3 FIRST CAPITAL INSTITUTIONAL REAL ES	FL		x				x		09/22/	98	
TATE LTD 2 FIRST CAPITAL INSTITUTIONAL REAL ES	FL		x				x		09/22/	98	
TATE LTD 3 FIRST SECURITY AUTO GRANTOR TRUST 1	UT	х							08/25/	98	
995-A FIRST SECURITY AUTO GRANTOR TRUST 1 997-A	UT	х							08/25/	98	

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NAME OF ISSUER	CODE	1	2		56		9	DATE	C	OMMENT
FIRST SECURITY AUTO GRANTOR TRUST 1 997-B	UT	х						08/25/	98	
FIRST SECURITY AUTO GRANTOR TRUST 1 998-A	UT	х						08/23/	98	
FIRST STERLING BANKS INC	GA				х			09/30/	98	
FIRST USA CREDIT CARD MASTER TRUST	DE				х	х		09/17/	98	
FLAG FINANCIAL CORP	GA				х	х		10/06/	98	
FOAMEX CAPITAL CORP	DE				х	х		09/30/	98	
FOAMEX INTERNATIONAL INC	DE				х	х		09/30/	98	•
FOAMEX L P	DE				х	х		09/30/	98	
FOUR MEDIA CO	DE		х			х		09/18/	98	
FPA MEDICAL MANAGEMENT INC	DE				х			09/30/	98	
FRANKLIN AUTO TRUST 1998 1						х		09/29/		AMEND
FURRS BISHOPS INC	DE				х	х		09/30/		
GE CAPITAL MORTGAGE SERVICES INC	NJ		х			х		09/24/		
GENERAL AUTOMATION INC	DE			х		х		09/28/		
GENERAL GROWTH PROPERTIES INC	DE		х			х		09/18/		
GENERAL MOTORS CORP	DE				х			10/06/		
GREEN TREE FINANCIAL CORP	DE		v			х		09/28/		
GREENPOINT FINANCIAL CORP	DE		х		.,			09/30/		
GROVE PROPERTY TRUST	MD				х	X		09/15/		
GRUBB & ELLIS CO	DE				v	х		07/22/		AMEND
HALIFAX CORP	VA				x x	v		10/06/		
HALLIBURTON CO	DE				x	X		09/29/		
HBO & CO	DE DE				х	x x		09/28/		
HEADLANDS MORTGAGE SECURITIES INC HEALTHDESK CORP	CA				x	x		09/15/ 08/18/		
HEALTHWORLD CORP	DE				^	x		07/23/		
HEARTLAND WIRELESS COMMUNICATIONS I	DE				х	x		10/06/		1112112
HELLER FUNDING CORP	DE				х	х		09/25/	98	
HILLS STORES CO /DE/	DE	х						09/30/	98	
HOMESIDE MORTGAGE SECURITIES INC /D E/	NY					х		09/25/	98	
HOMESIDE MORTGAGE SECURITIES INC /D	NY					х		09/25/	98	
HORIZON MEDICAL PRODUCTS INC	GA		х			х		10/05/	98	
HORMEL FOODS CORP /DE/	DE	х						09/30/		
IMCO RECYCLING INC	DE					х		07/21/	98	AMEND
IMH ASSETS CORP IMPAC CMB TRUST 199 8 C1 COL MOR BOND 1998 C1	DE	x						09/25/	98	
IMPERIAL CREDIT INDUSTRIES INC	CA				х	х		10/02/	98	
INCYTE PHARMACEUTICALS INC	DE		х					09/21/	98	
INTEGRATED SYSTEMS INC	CA				х			09/24/	98	
INTELLIGENT DECISION SYSTEMS INC	DE			х		х		10/05/	98	AMEND
INTERNATIONAL FLAVORS & FRAGRANCES INC	NY				х	х		09/25/	98	
INTERNATIONAL HERITAGE INC	NV				х			09/21/	98	
INTERNATIONAL HERITAGE INC	NV				х			09/24/	98	
ISONICS CORP	CA					х		08/05/	98	AMEND
JEAN PHILIPPE FRAGRANCES INC	DE				х	х		10/06/	98	
JONES APPAREL GROUP INC	PA		х			х		10/02/	98	
KEANE INC	MA				х	х		10/06/		
KROLL O GARA CO	OH				х			09/22/		
LA JOLLA DIAGNOSTICS INC	CA	x						09/25/		
LEHMAN BROTHERS HOLDINGS INC	DE				х	х		10/05/		
LEVEL 3 COMMUNICATIONS INC	DÉ		• •				х	09/30/		
MARQUEE GROUP INC	DE		Х			Х		10/05/		
MCCLATCHY CO	DE					х		09/21/		
MEGABANK FINANCIAL CORP	CO				x			09/24/		
MERITOR AUTOMOTIVE INC	DE				Х			10/05/	98.	

STATE 8K ITEM NO.

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NAME OF ISSUER		1	2	34		78	DATE	COMMENT
MERRILL LYNCH MORTGAGE INV INC MORT					x		08/31/9	8
LN PS THR CER SER 1998-1								
METAL MANAGEMENT INC	DE				Х	х	10/05/9	8
MORGAN J P & CO INC	DE				Х	х	10/02/9	8
MORGAN STANLEY CAPITAL I INC COM MO RT PS THR CER SE 1998-CF1	DE	х					09/25/9	8
MORGANS FOODS INC	он		х			х	10/06/9	8 AMEND
MORTGAGE CAPITAL FUNDING INC	DE	х					05/ 2 5/9	8
MORTGAGE CAPITAL FUNDING INC	DE	х					06/25/9	8
MORTGAGE CAPITAL FUNDING INC	DE	х					07/25/9	8
MORTGAGE CAPITAL FUNDING INC	DE	х					08/25/9	8
MORTGAGE CAPITAL FUNDING INC	DE	х					09/25/9	8
NAL FINANCIAL GROUP INC	DE				х		09/30/9	8
NATIONSBANC MONTGOMERY FUNDING CORP						х	09/25/9	
NCC INDUSTRIES INC	DE				х	x	09/25/9	
NEW CENTURY ASSET BACKED FLOATING R					x	x	09/30/9	
ATE CERT SER 1998-NC1					Λ	л	0, 50, 5	0
	DE		v			v	00/20/0	0
NEW VALLEY CORP	DE		Х		v	X	09/28/9	
NEWPARK RESOURCES INC	DE				X	X	09/22/9	
NFO WORLDWIDE INC	DE				X	X	10/06/9	
NORTHERN STATES POWER CO /MN/	MN				х	X	10/06/9	
NORWEST ASSET ACCEPTANCE CORP ASS B KED CERT SER 1998-HE1 TR	NY					Х	09/25/9	8
NORWEST ASSET SEC CORP MORT PASS TH R CERT SER 1998- 15 TRUST	DE				х	х	06/26/9	8
NORWEST ASSET SEC CORP MORT PASS TH	NY				х	x	07/28/9	8
R CERT SER 1998-17 TRUST NORWEST ASSET SEC CORP MORT PASS TH	NY				х	х	07/30/9	8
R CERT SER 1998-18 TRUST NORWEST ASSET SEC CORP MORT PASS TH	NY					x	09/25/9	8
R CERT SER 1998-18 TRUST NORWEST ASSET SEC CORP MORT PASS TH	NY					x	09/25/9	
R CERT SER 1998-19 TRUST								
NORWEST ASSET SEC CORP MORT PASS TH R CERT SER 1998-20 TRUST	NY					х	09/25/9	8
NORWEST ASSET SEC CORP MORT PASS TH R CERT SER 1998-21 TRUST	NY					х	09/25/9	8
NORWEST ASSET SEC CORP MORT PASS TH R CERT SER 1998-22 TRUST	NY					Х	09/25/9	8
NORWEST ASSET SEC CORP MORT PASS TH RU CERT SERI 1998-5 TRUST						x	09/25/9	8
NORWEST ASSET SEC CORP MORT PS THR	NY					х	09/ 2 5/9	8
CERT SER 1998-1 TRUST NORWEST ASSET SEC CORP MORT PS THR	NY					x	09/25/9	8
CERT SER 1998-2 TRUST NORWEST ASSET SEC CORP MORT PS THR	NY					x	09/25/9	8
CE T SER 1998-3 TRUST NORWEST ASSET SEC CORP MORT PS THR	NY					х	09/25/9	8
CERT SER 1998-4 TRUST NORWEST ASSET SECURITIES CORP	DE				x	х	10/05/9	9
NORWEST ASSET SECURITIES CORP MOR P	00				Λ	x	09/25/9	
AS THR CERT SER 1998-6 TR NORWEST ASSET SECURITIES CORP MOR P						х	09/25/9	8
AS THR CERT SER 1998-7 TR NORWEST ASSET SECURITIES CORP MOR P						х	09/25/9	8
AS THR CERT SER 1998-8 TR	тΥ				X		00/20/0	2
NORWOOD PROMOTIONAL PRODUCTS INC	TX				x		09/29/9	
	TX DE DE		x		X X	x	09/29/9 10/06/9 09/22/9	в

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NAME OF ISSUER	CODE	1	2	3	4	5 6	7	8	9	DATE	0	OMMENT
OAO TECHNOLOGY SOLUTIONS INC	DE		x				x			07/24/	98	AMEND
ON STAGE ENTERTAINMENT INC	NV					Х				09/21/	98	
OXFORD HEALTH PLANS INC	DE					х	Х			10/02/		
PACIFICAMERICA MONEY CENTER INC	CA					Х	Х			09/17/		
PAINE WEBBER INCOME PROPERTIES FIVE LTD PARTNERSHIP	DE		х				х			09/21/	98	
PC QUOTE INC	DE					х	Х			09/23/	98	
PEREGRINE SYSTEMS INC	DE		Х	х						09/23/	98	
PERVASIVE SOFTWARE INC	DE					х	Х			09/30/	98	
PRIMARK CORP	MI					Х	Х			10/06/		
PRO FAC COOPERATIVE INC	NY		х				Х			10/05/		
PROVIDENT LEASE RECEIVABLES CORP	DE						X			09/30/		
RARITAN BANCORP INC	DE	х					X			09/22/		
RAVEN INDUSTRIES INC	SD					x	X			09/22/		
RESIDENTIAL FUNDING MORTGAGE SECURI TIES I INC	DE					х	х			09/24/	98	
RESPONSE USA INC	DE		х				Х			10/01/	98	
ROSECAP INC/NY	NY				Х		Х			10/05/	98	
RYANS FAMILY STEAKHOUSES INC	SC		Х							09/30/		
SAFE TECHNOLOGIES INTERNATIONAL INC						х				10/06/	-	
SALOMON BROTHERS MORT SEC VII INC A SST BACK CERT SE 1998-NC3	DE					х	х			09/30/	98	
SAMUELS JEWELERS INC	CA	х		Х			Х			09/16/	98	
SANCTUARY WOODS MULTIMEDIA CORP	DE						Х			08/12/	97	AMEND
SOUTHERN PACIFIC FUNDING CORP	CA					Х	X			10/02/		
SPORTS CLUB CO INC	DE	х					Х			09/29/	98	
SPX CORP	DE					х	Х			07/19/	98	
ST PAUL COMPANIES INC /MN/	MN					х				12/31/	97	
STANDARD AUTOMOTIVE CORP	DE						Х			07/21/	98	AMEND
STANDARD AUTOMOTIVE CORP	DE		х				Х			09/18/		
STANDARD AUTOMOTIVE CORP	DE				х		Х			09/28/		
STATION CASINOS INC	NV					х				09/25/		
STRUCTURED ASSET MORTGAGE INVESTMEN TS INC	DE					х				09/25/	98	
STRUCTURED ASSET MORTGAGE INVESTMEN TS INC	DE					х				09/25/	98	
STRUCTURED ASSET MORTGAGE INVESTMEN TS INC	DE					х				09/25/	98	
STRUCTURED ASSET MORTGAGE INVESTMEN TS INC	DE					х				09/25/	98	
STRUCTURED ASSET MORTGAGE INVESTMEN TS INC	DE					х				09/25/	98	
STRUCTURED ASSET MORTGAGE INVESTMEN TS INC	DE					х				09/25/	98	
STRUCTURED PRODUCTS CORP	DE						х			01/01/	98	
SURETY CAPITAL CORP /DE/	DE						х			04/01/		AMEND
SYMANTEC CORP	DE					х	х			10/02/		
SYNBIOTICS CORP	CA					х	х			09/25/		
TENNESSEE GAS PIPELINE CO	DE					х	х			10/05/	98	
TEXTRON INC	DE					х				10/05/	98	
TITANIUM METALS CORP	DE					х	х			10/05/	98	
TITANIUM METALS CORP	DE					Х	х			10/05/	98	
TOUPS TECHNOLOGY LICENSING INC /FL	FL	Х								09/30/	98	
TOWER TECH INC	OK	Х								11/30/	98	
TRANSPIRATOR TECHNOLOGIES INC /DE/	DE				х		Х			10/06/	98	
U S RESTAURANT PROPERTIES INC	MD		х				Х			08/07/	98	AMEND
UB&T FINANCIAL SERVICES CORP	GA				х		Х			09/24/	98	
UCFC FUNDING CORP	LA					х	Х			09/15/	98	
UNICO INC /NM/	NM					Х	х			10/02/	98	
UNICO INC /NM/	NM					Х		х		10/02/	98	
UNITED DOMINION INDUSTRIES LIMITED						х				09/28/	98.	•

STATE 8K ITEM NO.

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	STATE		81		8K ITE			ITEM N				
NAME OF ISSUER	CODB	1	2	3	4	5	6	7	8	9	DATE	COMMENT
UNIVERSAL FOODS CORP	WI				1	 x		x			09/10/	 98
USFREIGHTWAYS CORP	DE								х		10/06/	98
VENTURE WORLD LTD	A0	х									09/22/	98
VOLU SOL INC	UT					X					10/06/	98
VSI ENTERPRISES INC	DE					х		х			10/05/	98
WAVETEK WANDEL & GOLTERMANN INC	DE		х		х			х			10/06/	98
WESTERN BANCORP	CA					X		х			09/29/	98
WISCONSIN PUBLIC SERVICE CORP	WI		х								09/29/	98
WNC HOUSING TAX CREDIT FUND VI LP S	CA		х								09/22/	98
ERIES 6												
WPS RESOURCES CORP	WI		х								09/29/	98
XYVISION INC	DE		х								09/18/	98
YANKEE ENERGY SYSTEM INC	CT					Х					10/05/	98
ZITEL CORP	CA					х		х			10/05/	98

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