# SEC NEWS DIGEST

Issue 98-62

April 1, 1998

#### COMMISSION ANNOUNCEMENTS

#### COMMISSION MEETINGS

## CLOSED MEETING - TUESDAY, APRIL 7, 1998 - 10:00 A.M.

The subject matter of the closed meeting scheduled for Tuesday, April 7, 1998, will be: Institution and settlement of injunctive actions; and Institution and settlement of administrative proceedings of an enforcement nature.

At times, changes in Commission priorities require alterations in the scheduling of meeting items. For further information and to ascertain what, if any, matters have been added, deleted or postponed, please contact: The Office of the Secretary at (202) 942-7070.

#### ENFORCEMENT PROCEEDINGS

#### SEC SETTLES SUIT AGAINST FORMER CAL MICRO OFFICERS

The Commission announced that on March 25 the Honorable Vaughn R. Walker, United States District Judge for the Northern District of California, entered settled judgments concluding the Commission's lawsuit against three former officers of California Micro Devices Corporation (Cal Micro). Each defendant settled the Commission's lawsuit against him without admitting or denying the allegations contained in the Commission's complaint.

Surendra Gupta, Cal Micro's former president, consented to pay a civil penalty of \$72,250.00; to be barred from serving as an officer or director of a public company; and to be enjoined from future violations of applicable federal securities laws.

Bhasker Rao, Cal Micro's former manager of its Tempe plant operations, consented to pay penalties, disgorgement, and prejudgment interest totaling \$170,432.50; to be barred as an

officer or director of a public company for five years; and to be enjoined from future violations of applicable federal securities laws.

Ramana Penumarty, Cal Micro's former manager of its Milpitas plant operations, consented to pay penalties, disgorgement, and prejudgment interest totaling \$144,080.86; to be barred as an officer or director of a public company for five years; and to be enjoined from future violations of applicable federal securities laws.

The Commission's complaint alleged financial reporting fraud by all three defendants and illegal insider trading by Rao and Penumarty during Cal Micro's fiscal year ended June 30, 1994. [SEC v. Surendra Gupta, Bhasker B. Rao and R. Ramana Penumarty, USDC, NDCA, Civil Action No. 96-3608, VRW] (LR-15690; AAE Rel. 1022)

## INVESTMENT COMPANY ACT RELEASES

# SUNAMERICA ASSET MANAGEMENT CORP., ET AL.

A notice has been issued giving interested persons until April 27 to request a hearing on an application filed by SunAmerica Asset Management Corp., et al. Applicants request an order under Sections 6(c) and 17(b) of the Investment Company Act for an exemption from Section 17(a); under Section 6(c) for an exemption from Section 17(e) of the Act and Rule 17e-1 under the Act; and under Section 10(f) of the Act for an exemption from Section 10(f) of the Act and Rule 10f-3 under the Act. The order would permit certain registered open-end management investment companies advised by several investment advisers to engage in principal and brokerage transactions with a broker-dealer affiliated with one of the investment advisers. The transactions would be between the brokerdealer and a portion of the investment company's portfolio not advised by the adviser affiliated with that broker-dealer. order also would permit these investment companies not to aggregate certain purchases from an underwriting syndicate in which an affiliated person of one of the investment advisers is a principal underwriter. (Rel. IC-23094 - March 31)

## HOLDING COMPANY ACT RELEASES

## ALLEGHENY ENERGY, INC.

A notice has been issued giving interested persons until April 22 to request a hearing on a proposal by Allegheny Energy, Inc. (AE), a registered holding company. AE proposes to issue and sell up to 10 million shares of its common stock to participants in the AE 1998 Long-Term Incentive Plan (Plan), through December 31, 2010. An

order was issued authorizing the solicitation of proxies to obtain shareholder approval of the Plan and the effective date of the order was accelerated under Rule 62(d). (Rel. 35-26853)

## SELF-REGULATORY ORGANIZATIONS

#### APPROVAL OF PROPOSED RULE CHANGE

The Commission approved a proposed rule change filed by the <u>Chicago Stock Exchange</u> (SR-CHX-97-32) regarding the acceptance of oversized orders received through the MAX system. Publication of the approval order is expected in the <u>Federal Register</u> the week of March 30. (Rel. 34-39803)

## IMMEDIATE EFFECTIVENESS OF PROPOSED RULE CHANGES

A proposed rule change filed by the <u>Chicago Board Options Exchange</u> relating to disclaimers with respect to the use of an index value (SR-CBOE-98-05) has become effective under Section 19(b)(3)(A) of the Securities Exchange Act of 1934. Publication of the proposal is expected in the <u>Federal Register</u> during the week of March 30. (Rel. 34-39806)

A proposed rule change filed by the <u>Chicago Board Options Exchange</u> relating to fees for applicants for membership and existing members who are subject to a statutory disqualification (SR-CBOE-98-10) has become effective under Section 19(b)(3)(A) of the Securities Exchange Act of 1934. Publication of the notice is expected in the <u>Federal Register</u> during the week of March 30. (Rel. 34-39809)

## ACCELERATED APPROVAL OF PROPOSED RULE CHANGE

The Commission granted accelerated approval until July 27, 1998, to a proposed rule change (SR-NYSE-98-08) filed by the <u>New York Stock Exchange</u> that applies the maintenance margin requirements of NYSE Rule 431, "Margin Requirements," to good faith accounts and provides that the proprietary accounts of introducing broker-dealers who are exempted borrowers will continue to be subject to NYSE Rule 431(e)(6). Publication of the approval order is expected in the <u>Federal Register</u> during the week of March 30. (Rel. 34-39813)

## ACCELERATED PARTIAL APPROVAL OF PROPOSED RULE CHANGE

The Commission granted accelerated partial approval to a proposed rule change submitted by the <u>National Association of Securities Dealers</u> (SR-NASD-98-26) to implement, on a pilot basis, new primary Nasdaq market maker standards for all Nasdaq National Market securities and to extend the short sale rule pilot. Publication of the proposal is expected in the <u>Federal Register</u> during the week of March 30. (Rel. 34-39819)

#### DELISTINGS GRANTED

An order has been issued granting the application of the <u>New York Stock Exchange</u> to strike from listing and registration Rodman & Renshaw Capital Group, Inc., Common Stock, \$0.09 Par Value. (Rel. 34-39815)

An order has been issued granting the application of the <u>New York Stock Exchange</u> to strike from listing and registration Trimas Corporation, Common Stock, \$0.01 Par Value. (Rel. 34-39816)

An order has been issued granting the application of the <u>Boston Stock Exchange</u> to strike from listing and registration Ophthalmic Imaging Systems, Inc., Common Stock, No Par Value. (Rel. 34-39820)

#### DELISTING SOUGHT

A notice has been issued giving interested persons until April 17 to comment on the application of Federal-Mogul Corporation, Common Stock, No Par Value, from listing and registration on the <u>Pacific Stock Exchange</u>. (Rel. 34-39821)

## SECURITIES ACT REGISTRATIONS

The following registration statements have been filed with the SEC under the Securities Act of 1933. The reported information appears as follows: Form, Name, Address and Phone Number (if available) of the issuer of the security; Title and the number and/or face amount of the securities being offered; Name of the managing underwriter or depositor (if applicable); File number and date filed; Assigned Branch; and a designation if the statement is a New Issue.

Registration statements may be obtained in person or by writing to the Commission's Public Reference Branch at 450 Fifth Street, N.W., Washington, D.C. 20549 or at the following e-mail box address: <public info @ sec>. In most cases, this information is also available on the Commission's website: <www.sec.gov>.

- F-6 AO TATNEFT \ADR, 48 WALL ST, C/O BANK OF NEW YORK, NEW YORK, NY 10286 (212) 815-2009 100,000,000 (\$5,000,000)

  DEPOSITARY RECEIPTS FOR COMMON STOCK (FILE 333-8488 MAR 19) (BR 99)
- F-6 MAYR MELNHOF KARTON AKTIENGESELLSCHAFT, 111 WALL ST, C/O CITIBANK NA, NEW YORK, NY 10043 (212) 657-7691 10,000,000 (\$500,000)

  DEPOSITARY RECEIPTS FOR COMMON STOCK. (FILE 333-8498 MAR 20) (BR 99)
- S-8 AEGON NV, MARIAHOEVEPLEIN 50, 2591 TV, HAGUE THE NETHERLAND, P7 (319) 398-8511 23,768 (\$2,864,164 50) COMMON STOCK (FILE 333-8500 MAR 20) (BR 1)
- F-6 INDEPENDENT ENERGY HOLDINGS PLC /ADR/, 47 WALL ST, C/O BANK OF NEW YORK, NEW YORK, NY 10286 (212) 495-1727 10,000,000 (\$1,000,000)

  DEPOSITARY RECEIPTS FOR COMMON STOCK (FILE 333-8510 MAR 23) (BR 99

   NEW ISSUE)

- F-6 TELECOM CORP OF NEW ZEALAND LTD /ADR, 48 WALL ST, C/O BANK OF NEW YORK, NEW YORK, NY 10286 (212) 495-1727 320,000,000 (\$320,000,000)

  DEPOSITARY RECEIPTS FOR COMMON STOCK (FILE 333-8514 MAR 18) (BR 99)
- F-6 OCCIDENTE Y CARIBE CELULAR SA /ADR/, 48 WALL ST 22W,

  C/O BANK OF NEW YORK, NEW YORK, NY 10286 (212) 815-2009 10,000,000

  (\$500,000) DEPOSITARY RECEIPTS FOR COMMON STOCK (FILE 333-8516 MAR 24)

  (BR 99)
- S-3 FIRSTENERGY CORP, 76 SOUTH MAIN ST, AKRON, OH 44308 (800) 736-3402 7,000,000 (\$212,843,750) COMMON STOCK (FILE 333-48587 MAR 25) (BR 4)
- S-8 DATA I/O CORP, 10525 WILLOWS RD NE, P O BOX 97046, REDMOND, WA 98073 (206) 881-6444 300,000 (\$1,605,000) COMMON STOCK. (FILE 333-48595 MAR 25) (BR. 5)
- S-8 CODA MUSIC TECHNOLOGY INC, CENTENNIAL LAKES OFFICES PARK,
  7601 FRANCE AVENUE SOUTH SUITE 225, EDINA, MN 55435 (612) 893-9012 200,000 (\$200,000) COMMON STOCK. (FILE 333-48597 MAR 25) (BR. 3)
- S-8 TRIANGLE PACIFIC CORP, 16803 DALLAS PKWY, DALLAS, TX 75266 (972) 931-3000 - 50,000 (\$1,840,500) COMMON STOCK (FILE 333-48599 -MAR 25) (BR 6)
- S-8 TRIANGLE PACIFIC CORP, 16803 DALLAS PKWY, DALLAS, TX 75266 (972) 931-3000 - 300,000 (\$11,043,000) COMMON STOCK (FILE 333-48601 - MAR 25) (BR 6)
- S-8 TOFUTTI BRANDS INC, 50 JACKSON DR, PO BOX 786, CRANFORD, NJ 07016 (908) 272-2400 - 1,000,000 (\$769,810) COMMON STOCK (FILE 333-48605 - MAR 25) (BR. 2)
- S-8 COWLITZ BANCORPORATION, 927 COMMERCE AVE, LONGVIEW, WA 98632 (206) 423-9800 666,435 (\$5,857,005) COMMON STOCK (FILE 333-48607 MAR 25) (BR. 7)
- S-8 WASTE INDUSTRIES INC, 3949 BROWNING PLACE, RALEIGH, NC 27609 (919) 782-0095 - 2,326,000 (\$45,793,125) COMMON STOCK (FILE 333-48609 - MAR 25) (BR 4)
- S-8 NU SKIN ASIA PACIFIC INC, 75 WEST CENTER ST, PROVO, UT 84601 3,825,000 (\$87,785,312 50) COMMON STOCK. (FILE 333-48611 MAR. 25)
- S-8 ARTHUR TREACHERS INC /FL/, 7400 BAY MEADOWS WAY, SUITE 300, JACKSONVILLE, FL 32255 (904) 739-1200 - 155,000 (\$443,150) COMMON STOCK. (FILE 333-48613 - MAR 25) (BR 9)
- SB-2 NORTHFIELD BANCORP INC, 8005 HARFORD ROAD, BALTIMORE, MD 21234 (410) 665-7900 - 6,129,790 (\$6,129,790) COMMON STOCK (FILE 333-48615 - MAR 25) (BR 7 - NEW ISSUE)
- S-3 CENTRAL GARDEN & PET COMPANY, 3697 MT DIABLO BLVD SUITE 300, LAFAYETTE, CA 94549 (510) 283-4573 2,132,182 (\$76,359,833 96) COMMON STOCK (FILE 333-48617 MAR 25) (BR 2)
- S-4 BOOTH CREEK SKI HOLDINGS INC, HIGHWAY 267 & NORTHSTAR DR, TRUCKEE, CA 96160 (916) 562-1010 - 17,500,000 (\$17,500,000) STRAIGHT BONDS (FILE 333-48619 - MAR 25) (BR. 5)
- S-8 INTERLINK COMPUTER SCIENCES INC, 47370 FREMONT BLVD, FREMONT, CA 94538 300,000 (\$1,584,375) COMMON STOCK (FILE 333-48621 MAR 25) (BR 3)
- S-4 JUNIATA VALLEY FINANCIAL CORP, 2 SOUTH MAIN ST, P O BOX 66, MIFFLINTOWN, PA 17059 (717) 436-8211 937,024 (\$14,448,910 08) COMMON STOCK (FILE 333-48623 MAR 25) (BR 7)
- SB-2 FIRSTBANCORPORATION INC, PO BOX 2147, BEAUFORT, SC 29901 (803) 521-5600 220,000 (\$3,960,000) COMMON STOCK (FILE 333-48625 MAR 25) (BR 7)
- S-3 WORTHINGTON INDUSTRIES INC, 1205 DEARBORN DR, COLUMBUS, OH 43085 (614) 438-3210 1,000,000 (\$17,625,000) COMMON STOCK (FILE 333-48627 MAR 25) (BR 6)

- S-8 PENNCORP FINANCIAL GROUP INC /DE/, 590 MADISON AVE, NEW YORK, NY 10022 (212) 896-2700 - 2,800,000 (\$85,764,000) COMMON STOCK (FILE 333-48629 - MAR 25) (BR 1)
- S-8 PENNCORP FINANCIAL GROUP INC /DE/, 590 MADISON AVE, NEW YORK, NY 10022 (212) 896-2700 570,760 (\$2,283,040) COMMON STOCK. (FILE 333-48631 MAR 251 (BR 1)
- S-8 HEIN WERNER CORP, 2120 N PEWAUKEE RD, PO BOX 1606, WAUKESHA, WI 53188
  414 542-6611 100,000 (\$737,500) COMMON STOCK 'FILE 333-48633 MAR 25) (BR 5)
- S-3 VSI ENTERPRISES INC, 5801 GOSHEN SPRINGS RD, NORCROSS, GA 30071 (770) 242-7566 - 4,075,000 (\$4,197,250) COMMON STOCK (FILE 333-48635 - MAR 25) (BR 3)
- S-8 PENNCORP FINANCIAL GROUP INC /DE/, 590 MADISON AVE, NEW YORK, NY 10022 (212) 896-2700 375,000 (\$9,923,250) COMMON STOCK (FILE 333-48637 MAR 25) (BR 1)
- S-8 AMERICAN INTERNATIONAL GROUP INC, 70 PINE ST, NEW YORK, NY 10270 (212) 770-7000 9.853,125 (\$1,263,663,281 25) COMMON STOCK (FILE 333-48639 MAR 25) (BR 1)
- S-8 DEVON ENERGY CORP /OK/, 20 N BROADWAY STE 1500, OKLAHOMA CITY, OK 73102 (405) 235-3611 2,000,000 (\$72,250,000) COMMON STOCK (FILE 333-48643 MAR 25) (BR 4)
- S-8 GEO PETROLEUM INC, 501 DEEP VALLEY DRIVE, SUITE 300, ROLLING HILLS, CA 90274 (310) 265-0721 1,500,000 (\$1,500,000) COMMON STOCK (FILE 333-48645 MAR 25) (BR 9)
- S-3 MDU RESOURCES GROUP INC, 400 N FOURTH ST, BISMARCK, ND 58501 (701) 222-7900 - 3,400,000 (\$120,487,500) COMMON STOCK (FILE 333-48647 - MAR 25) (BR 4)
- S-8 ALLEGHENY TELEDYNE INC, 1000 SIX PPG PLACE, PITTSBURGH, PA 15222 (412: 394-2800 500,000 (\$13,860,000) OTHER SECURITIES INCLUDING VOTING TRUST 200,000 (\$5,544,000) COMMON STOCK (FILE 333-48649 MAR 25) (BR 6)
- S-8 FIRSTENERGY CORP, 76 SOUTH MAIN ST, AKRON, OH 44308 (800) 736-3402 6,000,000 (\$182,437,500) COMMON STOCK (FILE 333-48651 MAR 25) (BR. 4)
- S-11 REALTRUST ASSET CORP, 2855 E COTTONWOOD PKWY, SUITE 500, SALT LAKE CITY, UT 84121 (801) 365-3000 5,750,000 (\$92,000,000) COMMON STOCK. 172,500 (\$1,725) WARRANTS, OPTIONS OR RIGHTS. 5,750,000 (\$92,000,000) COMMON STOCK 172,500 (\$2,760,000) WARRANTS, OPTIONS OR RIGHTS (FILE 333-48653 MAR 25) (NEW ISSUE)
- SB-2 CARNEGIE FINANCIAL CORP, 17 W MALL PLAZA, 1501 EUCLID AVENUE, CARNEGIE, PA 15106 (412) 276-0535 238,050 (\$2,380,500) COMMON STOCK. (FILE 333-48655 MAR 25) (BR 2)
- SB-2 KIDEO PRODUCTIONS INC, 611 BROADWAY STE 523, NEW YORK, NY 10022 1,152,500 (\$2,305,000) COMMON STOCK (FILE 333-48657 MAR 26) (BR 5)
- S-4 SUMMIT ENVIRONMENTAL CORP INC, 4334 NW EXPRESSWAY, STE 202, OKLAHOMA CITY, OK 73116 (405) 840-1585 - 5,000,000 (\$86,762) COMMON STOCK (FILE 333-48659 - MAR 26) (NEW ISSUE)
- S-8 CHAPMAN HOLDINGS INC, WORLD TRADE CENTER BALTIMORE, 401 EAST PRATT ST STE 2800, BALTIMORE, MD 21202 (410) 625-9656 - 150,000 (\$1,518,750) COMMON STOCK (FILE 333-48661 - MAR 26) (BR 9)
- S-3 PSINET INC, 510 HUNTMAR PARK DR, HERNDON, VA 20170 (703) 904-4100 3,000,000 (\$29,531,250) COMMON STOCK (FILE 333-48663 MAR 25) (BR 3)
- S-3 CNCORMED INC, 205 PERRY PKWY, STE 3, GAITHERSBURG, MD 20877 (301) 208-1888 1,596,189 (\$10,574,752 12) COMMON STOCK (FILE 333-48665 MAR 26) (BR 1)

- S-3 HUNTINGTON BANCSHARES INC/MD, HUNTINGTON CTR, 41 S HIGH ST HC0632, COLUMBUS, OH 43287 (614) 480-8300 8,500,000 (\$310,781,250) COMMON STOCK (FILE 333-48667 MAR 26) (BR 7)
- S-4 AVIATION SALES CO, 6905 NW 25TH ST, MIAMI, FL 33122 (305) 592-4055 165,000,000 (\$165,000,000) STRAIGHT BONDS (FILE 333-48669 MAR. 26) (BR 6)
- S-3 FIRSTCITY FINANCIAL CORP, P O BOX 8216, WACO, TX 76714 (817) 751-1750 1,542,150 (\$46,360,884 37) COMMON STOCK (FILE 333-48671 MAR 26) (BR 7)

#### RECENT 8K FILINGS

Form 8-K is used by companies to file current reports on the following events

- Item 1 Changes in Control of Registrant
- Item 2 Acquisition or Disposition of Assets
- Item 3 Bankruptcy or Receivership
- Item 4 Changes in Registrant's Certifying Accountant
- Item 5 Other Materially Important Events
- Item 6 Resignations of Registrant's Directors
- Item 7 Financial Statements and Exhibits

	STATE	3	8 K	[ ]	TEM	N	ο.			
NAME OF ISSUER	CODE	1 2	3	4	5 6	7	8	9	DATE (	COMMENT
AAMES CAPITAL CORP	CA								03/24/98	 3
AAMES CAPITAL CORP	CA				х	х			03/30/98	3
AASCHE TRANSPORTATION SERVICES INC	DE					Х			01/30/98	AMEND
ABN AMRO MORTGAGE CORP	DE				Х				03/27/98	3
ACE LTD					х	Х			03/25/98	3
ADVANTA MORTGAGE LOAN TRUST 1997-4					Х	х			02/28/98	3
ALLTRISTA CORP	IN			Х		Х			03/18/98	AMEND
AMERICAN REAL ESTATE PARTNERS L P	DE				Х	Х			03/27/98	ļ
AMRESCO COMMERCIAL MORTGAGE FUNDING	DE				Х	Х			03/17/98	
I CORP										
AMSOUTH BANCORPORATION	DE				Х				03/19/98	}
ANDERSONS INC	OH	Х							03/24/97	,
APARTMENT INVESTMENT & MANAGEMENT C	MD				Х	Х			03/17/98	
0										
ASSOCIATED ESTATES REALTY CORP	ОН				х	Х			02/19/98	
AUDIOVOX CORP	DE				х				03/20/98	
AURORA FOODS INC		х				x			01/16/98	AMEND
AVAX TECHNOLOGIES INC	DE				X	х			03/31/98	
AVIRON	CA				х	х			03/17/98	
BA MORTGAGE SECURITIES INC MORT PS	ОН	x							01/26/98	
THR CERT SER 1997-3										
BANC ONE ABS CORP	OH				Х	Х			03/16/98	
BANC ONE AUTO GRANTOR TRUST 1996-A	NY				х	Х			03/16/98	
BANC ONE CREDIT CARD MASTER TRUST	NY				х	Х			03/16/98	
BANK OF NEW YORK CO INC	NY				Х	Х			03/24/98	

STATE 8K ITEM NO.

NAME OF TOGETHE	SIAI		_		1100			D. 8.0002	COMMENT
NAME OF ISSUER	CODR			34	56	7 8		DATE	COMMENT
BANK ONE TEXAS NATIONAL ASSOCIATION	r				Х	х		03/20/9	8
BANK ONE TEXAS NATIONAL ASSOCIATION					x	x		03/20/9	
BEAZER HOMES USA INC	DE				х			03/25/9	
BENCHMARK ELECTRONICS INC	TX					х		02/23/9	8 AMEND
BERKSHIRE REALTY CO INC /DE	DE		Х			Х		01/30/9	8 AMEND
BIOCHEM INTERNATIONAL INC	DE				Х	Х		03/02/9	8
BLACK WARRIOR WIRELINE CORP	DE		Х		Х	Х		03/16/9	7
BOATRACS INC /CA/	CA	Х						12/31/9	7 AMEND
BOSTON SCIENTIFIC CORP	DE				Х	Х		03/10/9	8
BRISTOL HOTEL CO	DE				Х	Х		03/20/98	8
BT FINANCIAL CORP	PA				Х	X		03/25/9	7
C COR ELECTRONICS INC	PA				Х			03/30/98	8
CABLETRON SYSTEMS INC	DE	Х						03/30/98	3
CALCOMP TECHNOLOGY INC	DE				Х	X		03/29/98	3
CAPITAL FACTORS HOLDINGS INC	FL			Х				03/27/98	3
CAPITOL REVOLVING HOME EQUITY LOAN					Х			03/20/98	3
TRUST 1996-1									
CAPITOL REVOLVING HOME EQUITY LOAN	MD				Х			03/20/98	3
TRUST 1997-1									
CARNEGIE GROUP INC	DE		X			Х		03/27/97	7
CATERPILLAR FINANCIAL FUNDING CORP	NV		X					03/25/98	3
CATERPILLAR FINANCIAL FUNDING CORP	NV		X					03/25/98	3
CELESTIAL VENTURES CORP	NV				Х	Х		03/18/98	3
CELTRIX PHARMACEUTICALS INC	DE				Х	X		03/26/98	3
CENTURY TELEPHONE ENTERPRISES INC	LA				Х			03/12/98	3
CHART INDUSTRIES INC	DE				Х	Х		03/30/98	3
CHASE COMMERCIAL MORTGAGE SECURITIE	NY				Х	X		03/26/98	3
S CORP									
CHOICE HOTELS INTERNATIONAL INC /DE	DE				Х	Х		03/27/98	
CIBER INC	DE				Х	Х		03/30/98	
CIRCLE INTERNATIONAL GROUP INC /DE/					Х			12/31/97	
CITIZENS FINANCIAL CORP /KY/	ΚY		Х			X		09/25/97	
CMC SECURITIES CORP II	DE 				X	X		01/25/98	
CMC SECURITIES CORP III	DE				X	X		03/30/97	
COASTAL PHYSICIAN GROUP INC	DE		X		X	Х		03/27/98	
COATES INTERNATIONAL LTD \DE\	DE			Х				03/27/98	
COLONIAL PROPERTIES TRUST	MD				х			12/31/97	
COLONIAL REALTY LIMITED PARTNERSHIP					х			12/31/97	
CONCOLLDATED FOO SYSTEM INC	DE					X		03/11/98	
CONSOLIDATED CTAINING	ID			Х		X		03/23/98	
CONTINUETCACE HOME FOUNTY TRIVET 100	DE		.,			X		03/30/98	
CONTIMORTGAGE HOME EQUITY TRUST 199		•	X			Х	,	03/31/98	
8-1 CORNERSTONE REALTY INCOME TRUST INC	VA					х	,	01/15/00	A MODATO
CORPORATE PROPERTY ASSOCIATES 12 IN	MD	,	х			^		01/15/98	
C	ישויו	•	^				,	02/03/98	
CTS CORP	IN	х					,	3/31/98	
CWMBS INC	DE	Λ			X	x		03/31/98	
DAISYTEK INTERNATIONAL CORPORATION	DE					X		03/30/98	
/DE/									
DATA SYSTEMS NETWORK CORP	MI			X :	X :	X		3/25/98	
DELTA FUNDING HOME EQUITY LN TR 199 7-1 HOME EQUITY SE 1997-1	NY	Х					1	.2/26/97	
DEPUY INC	DE			2	X		0	3/19/98	
DISCREET LOGIC INC	A8			2	Χ.	Х	(	3/04/98	
DOLLAR THRIFTY AUTOMOTIVE GROUP INC	DE			2	Χ :	Х	C	3/30/98	
EA INDUSTRIES INC /NJ/	NJ			2	X		C	3/30/98	
EDUCATIONAL MEDICAL INC	DE	X	ζ.	2	Κ .	Х	C	3/13/98	
EMPIRE OF CAROLINA INC	DE			2	Κ :	X	C	8/02/97	
EMPIRE OF CAROLINA INC	DE			2	ζ :	X	C	3/30/98	

	STATE	3		81	K I	TEM	N	٥.			
NAME OF ISSUER	CODE	1	2	3	4	5 6	7	8	9	DATE	COMMENT
ENTROPIN INC	CO		Х				Х			01/15/98	
EPL TECHNOLOGIES INC	CO					Χ	X			03/13/98	
EQCC HOME EQUITY LOAN TRUST 1997 B	DE					X	X			12/15/97	
EQCC HOME EQUITY LOAN TRUST 1997 B EQCC HOME EQUITY LOAN TRUST 1997 B	DE					X	X			02/17/98	
EQCC HOME EQUITY LOAN TRUST 1997 2	DE DE					X X	X			03/16/99	
EOCC HOME EQUITY LOAN TRUST 1997 2	DE					X	X			02/17/98	
EQCC HOME EQUITY LOAN TRUST 1997 2	DE					X	x			03/16/98	
EQCC HOME EQUITY LOAN TRUST 1997-A	DE					X	x			12/15/97	
EQCC HOME EQUITY LOAN TRUST 1997-A	DE					x	X			02/17/98	
EQCC HOME EQUITY LOAN TRUST 1997-A	DE					x	x			03/16/98	
EQCC HOME EQUITY LOAN TRUST 1997-1	DE					x	х			12/15/97	
ECCC HOME EQUITY LOAN TRUST 1997-1	DE					х	х			03/16/98	
EQCC HOME EQUITY LOAN TRUST 1997-1	DE					х	х			03/16/98	
EQCC HOME EQUITY LOAN TRUST 1997-3	DE					х	х			12/15/97	,
EQCC HOME EQUITY LOAN TRUST 1997-3	DE					Х	Х			02/17/98	ı
EQCC HOME EQUITY LOAN TRUST 1997-3	DE					х	Х			03/16/98	ı
EQUITY RESIDENTIAL PROPERTIES TRUST	MD	X				Х				03/25/98	1
ESOFT INC	CO								Х	03/30/98	
ESSEX PROPERTY TRUST INC	MD		:	X						03/30/98	i
EXIGENT INTERNATIONAL INC	DE				Х	Х	X			03/20/98	
EYE TECHNOLOGY INC	DE				X		X			12/19/96	
EYE TECHNOLOGY INC	DE				X					03/05/98	
FERRO CORP	OH						Х			03/25/98	
FIELDS AIRCRAFT SPARES INC	$\mathbf{U}\mathbf{T}$		Х				Х			01/16/98	CNAMA
FINANCIAL ASSET SEC INC MORT PART S						X	X			03/31/98	
ECURITIES SER 1997-NAMC2											
FIRST ALBANY COMPANIES INC	NY					Х	Х			03/16/98	
FIRST AMERICAN FINANCIAL CORP	CA		X							03/31/98	
FIRST MERCHANTS ACCEPTANCE CORP	DE		- 1	X			Х			12/31/97	
FIRST SECURITY AUTO GRANTOR TRUST 1	UT	Х								02/25/98	
995-A										00/05/00	
FIRST SECURITY AUTO GRANTOR TRUST 1	UT	х								02/25/98	
997-A	TTT	v								02/25/00	
FIRST SECURITY AUTO GRANTOR TRUST 1 997-B	UT	Х								02/25/98	
FIRST USA CREDIT CARD MASTER TRUST	DE				х					07/23/97	
FLEET FINANCIAL GROUP INC	RI					X	х			03/30/98	
FOCUS SURGERY INC	CA		1	X			x			02/28/98	
FONIX CORP	DE		x	•			X			03/13/98	
FORD MOTOR CREDIT CO	DE						х			03/26/98	
FORTUNE NATURAL RESOURCES CORP	DE		х				Х			03/31/98	
FRUEHAUF TRAILER CORP	DE					X				03/23/98	
FUISZ TECHNOLOGIES LTD	DE					X				03/24/98	
FUND AMERICA INVESTORS CORP II MORT	CA	х								01/26/98	
PS THR CERT SER 1996-A											
GABLES REALTY LIMITED PARTNERSHIP	DE				2	K	х			03/23/98	
GABLES RESIDENTIAL TRUST	MD				2	ĸ	х			03/23/98	
GAMETECH INTERNATIONAL INC	DE				2	₹				03/16/98	
GEORGIA PACIFIC CORP	GA				2	ζ.				03/31/98	
GERBER SCIENTIFIC INC	CT				2	ς .				03/24/98	
GERBER SCIENTIFIC INC	CT				2	ζ.				03/30/98	
GRAND UNION CO /DE/	DE				3	(	х			03/30/98	
GROUP 1 AUTOMOTIVE INC	DE	2	ĸ				x			03/16/98	
GS MORTGAGE SECS CORP II COM MORT P	DE	х								03/13/98	
AS THR CERT SRS 1997-GL 1											
HARCOURT GENERAL INC	DE	2	K							03/30/98	
HEALTH & RETIREMENT PROPERTIES TRUS	MD	2	K				Х			03/30/98	
Т											
HEARST ARGYLE TELEVISION INC	DE				}	(			-	03/31/98	

DE X X 03/30/98

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NAME OF ISSUER	CODE	1	2	3	4 5	6	7	8	9	DATE	С	OMMENT
HICKOK INC	ОН		Х			_	x			02/17/	98	AMEND
HIGHTIDE INC	NV					Х				12/31/	97	
HOME PORT BANCORP INC	DE				Х					03/25/	98	
HOSPITAL STAFFING SERVICES INC	FL					Х				03/17/	98	
HOUSEHOLD CREDIT CARD MASTER TRUST	DE		X							03/16/	98	
HOUSEHOLD FINANCE CORP HOUSEHOLD AF F CRE CAR MAS TR I	DE		Х							03/16/	98	
HOUSEHOLD FINANCE CORP HOUSEHOLD CO NSUMER LN TRUST 1995-1 /	NV		х							03/13/	98	
HUBCO INC	ŊĴ				Х		X			03/31/	97	
HUNTWAY PARTNERS L P	DE						Х			03/30/9	98	
ICIFC SECURED ASSETS CORP MORT PS T HR CERT SER 1997-3	CA	Х								12/26/	97	
IGI INC	DE						X			03/30/9	98	
IMPAC SECURED ASSETS CORP	CA						Х			03/30/9	98	
IMPAC SECURED ASSETS CORP	CA						X			03/30/9	8	
IMPAC SECURED ASSETS CORP	CA		X		Х		Х			03/30/9	8	
IMPSAT CORP								X		03/30/9	8	
INDUSTRIAL ACOUSTICS CO INC	NY	Х				X	X			03/19/9	8	
INDUSTRIAL HOLDINGS INC	TX						Х			02/09/9	8	AMEND
INNOVATIVE VALVE TECHNOLOGIES INC	DE		X				X			03/16/9	8	
INTERMEDIA COMMUNICATIONS INC	DE		Х				Х			03/10/9	8	AMEND
INVACARE CORP	OH		X							03/31/9		
IRON MOUNTAIN INC /DE	DE				Х		X			03/30/9		
JDN REALTY CORP	MD				Х		Х			03/30/9		
JEFFBANKS INC	PA				Х		Х			03/18/9		
KAPSON SENIOR QUARTERS CORP	DE		Х				Х			10/15/9		
KELLEY OIL & GAS CORP	DE						Х			12/01/9		AMEND
KEYSTONE FINANCIAL INC	PA				X					03/27/9		
KILROY REALTY CORP	MD		.,		Х		X			03/30/9		****
KINDER MORGAN ENERGY PARTNERS L P	DE		X				Х			03/05/9		AMEND
KNIGHT RIDDER INC	FL		Х		•		.,			03/18/9		
KOGER EQUITY INC	FL		v		Х		X			03/24/9		
KRUG INTERNATIONAL CORP KRUPP CASH PLUS II LTD PARTNERSHIP	OH MA	х	Х				X			03/16/9		
KRUPP CASH PLUS II LTD PARTNERSHIP	MA	X								01/30/9 01/30/9		
KRUPP CASH PLUS LTD PARTNERSHIP	MA	X								01/30/9		
KRUPP CASH PLUS V LIMITED PARTNERSH	MA	X								01/30/9		
KRUPP INSTITUTIONAL MORTGAGE FUND L TD PARTNERSHIP	MA	x							(	01/30/9	8	
KRUPP REALTY LTD PARTNERSHIP VII	MA	х							(	01/30/9	8	
KSB BANCORP INC	DE	х								03/16/9		
LAIDLAW ENVIRONMENTAL SERVICES INC	DE				Х					03/30/9		
LEXFORD RESIDENTIAL TRUST /MD/	MD				х					03/26/9		
LOGIC DEVICES INC	CA				Х		х		(	3/23/9	8	
LS POWER FUNDING CORP	DE			Х					(	03/31/9	8	
MARGATE INDUSTRIES INC	DE		X				х		(	03/24/9	8	
MARSHALL INDUSTRIES	CA						X		(	01/16/9	8	AMEND
MC SHIPPING INC					Х				(	3/25/9	8	
MC SHIPPING INC					Х				(	3/27/9	8	
MEDAREX INC	NJ				X		X		(	3/31/9	8	
MEDITRUST CORP	DE				Х		X		(	01/03/9	8	
MEDITRUST OPERATING CO	DE				Х		X		C	1/03/9	8	
MEGO MORTGAGE CORP	ĎΕ							X	(	3/30/9	8	
MELLON BANK HM EQ INST LN TR 1997-1	OH	x							1	12/26/9	7	
MERRILL LYNCH MORTGAGE INVESTORS IN	DE				Х		Х		C	3/30/9	8	
MICROCIDE PHARMACEUTICALS INC	CA						x		C	1/14/9	8	amend

	STAT	E		8	K :	IT	M	NO.			
NAME OF ISSUER	CODE	1	2	3	4	5	6	78	9	DATE	COMMENT
MONEY STORE D C INC	DC					X		X		03/24/9	8
MONEY STORE HOME EQUITY CORP	KY					Х		Х		03/24/9	8
MONEY STORE KENTUCKY INC	KY					Х		Х		03/24/9	8
MONEY STORE MINNESOTA INC	MN					Х		Х		03/24/9	8
MORTGAGE PARTICIPATION SECURITIES S	VA					Х		Х		03/31/9	8
ERIES 1997 NAMC1											
MULTIMEDIA CONCEPTS INTERNATIONAL I	DE						Х			03/16/9	8
NC											
NAM CORP	DE					X				03/27/9	
NATIONAL AUTO CREDIT INC /DE	DE					X				03/27/9	
NATIONAL CITY CORP	DE		.,			Х		X		03/31/9	
NATIONAL FIBERSTOK CORP	DE		Х			v		X		03/31/9	
NATIONSBANK OF DELAWARE NA	DE					X		X		03/15/9	
NEW YORK HEALTH CARE INC	NY					X		X		02/08/9	
NEWCOURT RECEIVABLES CORP NEWCOURT RECEIVABLES CORP	DE					X X		X		06/17/9 07/16/9	
NEWCOURT RECEIVABLES CORP	DE DE					X		X X		08/17/9	
NEWCOURT RECEIVABLES CORP	DE					х		X		09/17/9	
NEWCOURT RECEIVABLES CORP	DE					X		X		10/15/9	
NEWCOURT RECEIVABLES CORP	DE					X		X		11/17/9	
NEWCOURT RECEIVABLES CORP	DE					X		X		12/17/9	
NEWCOURT RECEIVABLES CORP	DE					X		X		01/15/9	
NEWCOURT RECEIVABLES CORP	DE					х		X		03/17/9	
NEWCOURT RECEIVABLES CORP II	DL					X		X		12/18/9	
NEWCOURT RECEIVABLES CORP II						х		x		01/16/98	
NEWCOURT RECEIVABLES CORP II						X		X		02/18/98	
NEWCOURT RECEIVABLES CORP II						x		 Х		03/18/98	
NORFOLK SOUTHERN CORP	VA					х				03/30/98	
NORTH CENTRAL BANCSHARES INC	IA							х		01/30/98	
NOVACARE EMPLOYEE SERVICES INC	DE							X		12/31/9	
NU SKIN ASIA PACIFIC INC			х					X		03/26/98	
NUKO INFORMATION SYSTEMS INC /CA/	NY				х					03/17/98	
OCEAN ENERGY INC	DE		Х			х				03/27/98	3
OLSTEN CORP	DE					х		Х		03/30/98	3
ON STAGE ENTERTAINMENT INC	NV		Х					х		03/13/98	3
ONE VALLEY BANCORP INC	WV					Х				03/30/98	3
OPAL TECHNOLOGIES INC	NV				Х					01/16/98	3
OPEN TEXT CORP						Х				03/13/98	3
OPEN TEXT CORP						Х				03/25/98	3
OREGON TRAIL FINANCIAL CORP	OR					Х		X		03/24/98	3
OWOSSO CORP	PA					Х		X		03/30/98	1
OXIS INTERNATIONAL INC	DE					Х		X		03/18/98	ļ.
PARAMETRIC TECHNOLOGY CORP	MA							X		01/12/98	AMEND
PARKER DRILLING CO /DE/	DE						:	X		05/08/97	AMEND
PATAPSCO BANCORP INC	MD						2	X		03/11/98	AMEND
PATRIOT AMERICAN HOSPITALITY INC/DE	DE					X	2	X		03/23/98	l
PITTSTON CO	VA					X				03/30/98	1
PLAY CO TOYS & ENTERTAINMENT CORP	DE					2	K			03/16/98	1
PORTACOM WIRELESS INC/	DE			X	X	Х	3	K		03/23/98	
PRIME GROUP REALTY TRUST	MID		X				2	K		12/15/97	AMEND
PRIME GROUP REALTY TRUST	MD		Х				2	K		01/14/98	AMEND
PROFESSIONAL BANCORP INC	PA	Х								03/30/98	
PROVIDENT BANK HM EQ LN TR 1997-B A	OH	Х								10/26/97	
SS BK CERT SER 1997-B											
PROVIDENT BANK HM EQ LN TR 1997-3 A	OH	Х								10/25/97	
SS BK CERT SER 1997-3										_ ,	
PROVIDENT BANK HM EQ LN TR 1997-3 A	ОН	Х								12/26/97	
SS BK CERT SER 1997-3										01/05/5	
PROVIDENT BANK HOME EQUITY LN TR 19	DE	Х								01/27/97	
96-2 HOME EQ LN SE 1996-2											

STATE 8K ITEM NO. \*

CODE 1 2 3 4 5 6 7 8 9 DATE COMMENT

NAME OF ISSUER	CODE	1	2	3	4 5	6 7	8 9	DATE	COMMENT
PROVIDENT BANK HOME EQUITY LOAN TRU ST 1997-1	DE	х						10/26/9	97
PROVIDENT BANK HOME EQUITY LOAN TRU ST 1997-4	ОН	x					,	01/26/9	8
PRT GROUP INC	DE					х		01/29/9	8 AMEND
REALTY INCOME CORP	DE			Х				03/30/9	8
REGENT BANCSHARES CORP	NJ				х	х		03/18/9	8
REGENT TECHNOLOGIES INC	CO	Х						03/30/9	
RENAISSANCE COSMETICS INC /DE/	DE				х	х		03/17/9	8
RENEX CORP	FL		х			х		03/27/9	8
RESIDENTIAL FUNDING MORTGAGE SECURI	DE				X	Х		03/27/9	18
RESIDENTIAL FUNDING MORTGAGE SECURI	DE				х	Х		03/27/9	8
RESIDENTIAL FUNDING MORTGAGE SECURI	DE				х	х		03/27/9	8
RESIDENTIAL FUNDING MORTGAGE SECURI	DE				х	х		03/31/9	8
RESOURCE AMERICA INC	DE				х	х		03/13/9	0
RESOURCE ASSET INVESTMENT TRUST	MD				X	X		03/13/9	
RIGGS NATIONAL CORP	DE				X	X		03/13/9	
ROYAL OAK MINES INC	WA				X	^		03/31/9	
ROYAL OAK MINES INC	WA				X	х		03/30/9	
SABA PETROLEUM CO	CO		х		^	^		03/31/9	
SALOMON BROTHERS MORT SEC VII INC M			^		Х	х		03/30/9	
OR PA THR CER SER 1996-C1 SALOMON BROTHERS MORTGAGE SECURITIE	DE				Х	х		03/27/9	8
S VII INC									
SCOTTS LIQUID GOLD INC	CO	Х						03/31/9	
SHERWIN WILLIAMS CO	OH				Х	Х		03/30/9	
SHOPPING COM	CA				Х			03/31/9	
SL GREEN REALTY CORP	MD		X		Х			03/31/9	
SLH CORP	KS				Х	Х		03/30/9	
SLM FUNDING CORP	DE				Х	Х		03/19/9	
SMARTALK TELESERVICES INC	CA				Х	Х		12/22/9	7 AMEND
SNYDER COMMUNICATIONS INC	DE				Х			02/28/9	В
SONIC AUTOMOTIVE INC	DE	:	X			Х		03/24/9	В
SOURCE ONE MORTGAGE SERVICES CORP	DE					X		03/24/9	3
SOURCE ONE MORTGAGE SERVICES CORP	DE					Х		03/25/9	В
SOUTHERN NEW ENGLAND TELECOMMUNICAT IONS CORP	CT				Х	Х		03/27/9	8
SPINNAKER INDUSTRIES INC	DE				Х	Х		03/17/9	3
STAR MULTI CARE SERVICES INC	NY				Х			02/19/9	3
STORAGE DIMENSIONS INC	DE					2	X	03/11/88	3
STRUCTURED ASSET SEC CORP SERIES 19 98-3	DE				Х	Х		03/30/98	3
SUMMIT CARE CORP	CA				х			03/27/98	3
SUNRÍSE TECHNOLOGIES INTERNATIONAL INC	CA				х			03/23/98	
SVB FINANCIAL SERVICES INC	NJ				х			03/26/98	2
TECHNICAL MAINTENANCE CORP	NV	х		Х		Х		02/28/98	
TGC INDUSTRIES INC	TX	Λ		-	•	X		12/31/96	
THO INC	DE					X		03/27/98	
TMS MORTGAGE INC	NJ				х	X		03/21/98	
TRIDENT MEDIA GROUP INC	NV				Λ	X		03/24/98 01/06/98	
TRIGEN ENERGY CORP	DE			Х		Λ		01/06/98 03/31/98	
TRIMOL GROUP INC		v		۸					
US PAWN INC	DE	Х		٠,		v		01/06/98	
U S WIRELESS CORP	CO			Х		, х		03/31/98	
	DE				}			03/16/98	
UNION PACIFIC CORP	UT					х	'	03/31/98	5

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NAME OF ISSUER	CODE	1	2	3	4	5	6	7	8	9	DATE	COMMENT
UNITED PARK CITY MINES CO	DE							х			03/27/9	8 AMEND
UNITED TEXTILES & TOYS INC	DE						X				03/16/9	8
UROPLASTY INC	MIN	х									03/30/9	8
VALUEVISION INTERNATIONAL INC	MN					Х		X			03/26/9	8
VASCO CORP	DE					X		X			03/11/9	8
VENTURE SEISMIC LTD			Х					Х			03/30/9	8
WANG LABORATORIES INC	DE					X		Х			03/17/9	8
WASHINGTON BANCORP	IA		Х					X			01/15/9	8 AMEND
WHEELS SPORTS GROUP INC	NC							Х			06/30/9	7 AMEND
WHX CORP	DE					X					03/31/9	8
WINSTAR COMMUNICATIONS INC	DE					Х		Х			03/17/9	8
WNC HOUSING TAX CREDIT FUND VI LP S	CA		X								01/15/9	8 AMEND
ERIES 5												
WORLD OMNI DEALER FUNDING INC	FL	Х									02/28/9	8
WYNDHAM INTERNATIONAL INC	DE					Х		Х			03/23/9	8
ZAP POWER SYSTEMS INC	CA				Х						03/27/9	8
ZERO CORP	DE					Х		Х			03/26/9	8
800 TRAVEL SYSTEMS INC	DE					Х		Х			03/23/9	8