# SEC NEWS DIGEST

Issue 97-237

December 10, 1997

### COMMISSION ANNOUNCEMENTS

MICHAEL SUTTON, CHIEF ACCOUNTANT OF THE SEC, TO DEPART

Michael H. Sutton announced today that he will leave the Securities and Exchange Commission soon after the end of the year. Mr. Sutton has been the Chief Accountant for the Commission since June of 1995. He is leaving to pursue personal business interests.

Mr. Sutton has been the senior advisor to the Commission and staff on accounting issues. He was vital in the recent creation the Independence Standards Board, formed to better safeguard the investing public by updating auditor independence rules. The need to account for and disclose the use of derivative instruments has also been championed by Mr. Sutton over the past two years. (Press Rel. 97-110)

# COMMISSION MEETINGS

Following is a schedule of Commission meetings which will be conducted under provisions of the Government in the Sunshine Act. In general, the Commission expects to follow a schedule of holding open meetings on Wednesday mornings. Otherwise, meetings will be scheduled according to the requirements of agenda items under consideration.

Visitors are welcome at all open meetings, insofar as space is available.

Meetings will be held in the Commission Meeting Room, Room 1C30, at the Commission's headquarters building, 450 Fifth Street, N.W., Washington, D.C. Persons wishing to photograph or videotape Commission meetings must obtain permission in advance from the Secretary of the Commission. Persons wishing to tape record a Commission meeting should notify the Secretary's office 48 hours in advance of the meeting.

Any member of the public who requires auxiliary aids such as a sign language interpreter or material on tape to attend a public meeting

should contact Rochelle Franks, Office of Administrative and Personnel Management, to make arrangements. Ms. Franks can be reached at TTY number (202) 942-9558. If you are calling from a non-TTY number, please call the Relay Service at 1-800-877-8339.

# OPEN MEETING - WEDNESDAY, DECEMBER 17, 1997 - 10:00 A.M.

The subject matter of the open meeting scheduled for Wednesday, December 17, 1997, at 10:00 a.m., will be:

- Consideration of whether to propose Rules 3a12-12, 3a12-13, 3b-12, (1)3b-13, 3b-14, 3b-15, 3b-16, 15b9-2, 15c1-9, 15c3-4, and 17a-5A under the Securities Exchange Act of 1934 (Exchange Act) and amendments to Exchange Act Rules 8c-1, 15b1-1, 15c2-1, 15c3-1, 15c3-3, 17a-3, 17a-4, 17a-11, and Form X-17A-5 (FOCUS report). The proposed rules and rule amendments would tailor capital, margin, and other brokerdealer regulatory requirements to a class of registered dealers, called OTC derivatives dealers, active in OTC derivatives markets. Registration as an OTC derivatives dealer would be an alternative to registration as a fully regulated broker-dealer, and would be available only to entities acting primarily as counterparties in privately negotiated OTC derivatives transactions. For further information, please contact Catherine McGuire, Chief Counsel and Associate Director, Division of Market Regulation, at (202) 942-0061, or Michael Macchiaroli, Associate Director, Division of Market Regulation, at (202) 942-0132.
- (2) Consideration of whether to issue a concept release seeking comment on alternatives to the current method of calculating net capital requirements pursuant to Rule 15c3-1 under the Exchange Act for broker-dealers, including whether statistical models should be used to calculate net capital requirements. As part of its study, the Commission is considering the extent to which statistical models should be used in setting the capital requirements for a broker-dealer. Accordingly, the Commission is posing a number of questions on this subject as well as soliciting views on other possible alternatives for establishing net capital requirements. For further information, please contact Michael A. Macchiaroli, Associate Director, Division of Market Regulation, at (202) 942-0132.
- (3) Consideration of whether to propose amendments to Rule 15c3-1 under the Exchange Act regarding net capital requirements for broker-dealers. When calculating the value of their assets for net capital purposes, broker-dealers must reduce the market value of the securities they own by certain percentages, or haircuts. The rule amendments would alter the haircuts taken by broker-dealers for certain interest rate instruments, including: government securities; investment grade nonconvertible debt securities; certain mortgage-backed securities; money market instruments; and debt-related derivative instruments. For further information, please contact Michael A. Macchiaroli, Associate Director, Division of Market Regulation, at (202) 942-0132.

2

(4) Consideration of whether to propose amendments to amend Rule 15c3-1 to define the term "nationally recognized statistical rating organization" (NRSRO). The proposed definition sets forth a list of attributes to be considered by the Commission in designating rating organizations as NRSROs and the process for applying for NRSRO designation. For further information, please contact Michael A. Macchiaroli, Associate Director, Division of Market Regulation, at (202) 942-0132.

# CLOSED MEETING - WEDNESDAY, DECEMBER 17, 1997 - FOLLOWING OPEN MEETING

The subject matter of the closed meeting scheduled for Wednesday, December 17, 1997, following the 10:00 a.m. open meeting, will be: Institution of injunctive actions; and Institution and settlement of administrative proceedings of an enforcement nature.

At times, changes in Commission priorities require alterations in the scheduling of meeting items. For further information and to ascertain what, if any, matters have been added, deleted or postponed, please contact: The Office of the Secretary at (202) 942-7070.

### ENFORCEMENT PROCEEDINGS

# WALTER HERBERT MOORE, JR. BARRED

a former Moore, Jr. (Moore), Herbert representative of broker-dealers registered with the Commission and a former registered investment adviser from Reidsville, North Carolina, has settled a previously instituted administrative proceeding by consenting to a bar from association. Making Findings and Imposing Sanctions which was entered by the Commission finds that on October 7, 1996, Moore was indicted on five felony counts of securities fraud for engaging in fraudulent conduct in connection with the offer, sale and purchase of securities. December 2, 1996, a criminal judgment was entered in a North Carolina state court against Moore based on Moore's plea of guilty to the five felony counts of securities fraud. Moore received a suspended prison sentence of ten (10) years and was placed on five years probation. As part of his sentence, Moore was required to pay the sum of \$100,000 restitution, execute confessions of judgment to six (6) investors in the amount of \$85,918 and was prohibited from engaging in the business of selling securities and investment advice in the state of North Carolina.

Moore, who admitted that he was indicted and convicted, but neither admitted nor denied any other finding, consented to the entry of an Order Making Findings and Imposing Remedial Sanctions. The Order bars Moore from association with any broker, dealer, investment company, investment adviser or municipal securities dealer. (Rel. 34-39414)

The Commission announced that it filed a complaint on December 8 in federal court in Manhattan charging Millennium Software Solutions, Inc. (Millennium), a Delaware corporation with business addresses at 67 Wall Street, New York, New York and 511 Avenue of the Americas, New York, New York, and Mark Shkolir (Shkolir), president of Millennium, residing in Brooklyn, New York, with obtaining at least \$200,000 by engaging in an ongoing fraud.

According to the complaint, since at least November 1997, Millennium and Shkolir, through a number of salespersons acting on their behalf, have been offering and selling securities in a purported \$5 million private placement of Millennium common stock and warrants, misrepresenting to investors that Millennium has \$9.7 million in shareholders' equity when, in fact, it has a shareholders' deficit of over \$200,000, falsely stating to investors that Charles Schwab & Co., a registered broker-dealer, is underwriting or otherwise affiliated with the Millennium private placement.

The court granted the Commission's request for emergency relief, issuing an order that, among other things, temporarily restrains Millennium and Shkolir from further committing securities fraud in violation of Section 17(a) of the Securities Act of 1933, and Section 10(b) of the Securities Exchange Act of 1934 and Rule 10b-5, and freezes Millennium's and Shkolir's assets. A hearing on the Commission's application for a preliminary injunction and other relief is scheduled for Thursday, December 18, 1997.

The Commission seeks a final judgment permanently enjoining Millennium and Shkolir from violating Section 17(a) of the Securities Act of 1933, and Section 10(b) of the Securities Exchange Act of 1934 and Rule 10b-5, ordering them to disgorge their ill-gotten gains and prejudgment interest, and to pay civil penalties. [SEC v. Millennium Software Solutions, Inc. and Mark Shkolir, 97 Civ. 9019, KMW, SDNY] (LR-15583)

### INVESTMENT COMPANY ACT RELEASES

THE BENCHMARK FUNDS, ET AL.

An order has been issued on an application filed by The Benchmark Funds, et al. under Sections 6(c), 10(f), and 17(b) of the Investment Company Act for an exemption from Sections 10(f) and 17(a) of the Act. The order permits principal transactions effected in the ordinary course of business between portfolios of The Benchmark Funds and The Commerce Funds and Goldman, Sachs & Co. (Rel. IC-22929 - December 9)

# MIX CORPORATION

A notice has been issued giving interested persons until December 29 to request a hearing on an application filed by MLX Corporation (MLX) for an order under Sections 6(c) and 6(e) of the Investment Company Act. The requested order would exempt MLX from all of the provisions of the Act except Sections 9, 17(a) (as modified in the application), 17(d) (as modified in the application), 17(e), 17(f) (as modified in the application), and 36 through 53 and the rules and regulations under the Act until the earlier of the date of the pending merger of MLX with Morton Metalcraft Holding Co. or June 30, 1998. (Rel. IC-22930 - December 9)

### SELF-REGULATORY ORGANIZATIONS

### PROPOSED RULE CHANGE

The <u>American Stock Exchange</u> filed a proposed rule change (SR-Amex-97-33) to list and trade options on the Pauze Tombstone common stock index. Publication of the proposal is expected in the <u>Federal</u> Register during the week of December 8. (Rel. 34-39407)

### ACCELERATED APPROVAL OF PROPOSED RULE CHANGE

The <u>Philadelphia Depository Trust Company</u> filed a proposed rule change (SR-Philadep-97-05) to amend Philadep's rules regarding unclaimed dividends and distributions. The Commission has approved the proposed rule change on an accelerated basis. Publication of the proposal is expected in the <u>Federal Register</u> during the week of December 8. (Rel. 34-39408)

### DELISTING GRANTED

An order has been issued granting the application of the <u>New York Stock Exchange</u> to strike from listing and registration Atlas Corporation, Common Stock, \$1.00 Par Value. (Rel. 34-39415)

### SECURITIES ACT REGISTRATIONS

The following registration statements have been filed with the SEC under the Securities Act of 1933. The reported information appears as follows: Form, Name, Address and Phone Number (if available) of the issuer of the security; Title and the number and/or face amount of the securities being offered; Name of the managing underwriter or depositor (if applicable); File number and date filed; Assigned Branch; and a designation if the statement is a New Issue.

F-6 BANCO GANADERO SA /ADR/, 111 WALL ST, C/O CITIBANK NA, NEW YORK, NY 10043 (212) 657-7691 - 50,000,000 (\$2,500,000)

DEPOSITARY RECEIPTS FOR PREFERRED STOCK. (FILE 333-8032 - DEC. 01) (BR. 99)

- F-6 SANDS PETROLEUM AB /ADR/, 60 WALL ST,

  C/O MORGAN GUARANTY TRUST CO OF NEW YORK, NEW YORK, NY 10260 (212) 648-3250

   100,000,000 (\$5,000,000) DEPOSITARY RECEIPTS FOR COMMON STOCK (FILE
  333-8036 DEC. 02) (BR. 99 NEW ISSUE)
- F-6 CIFRA SA DE CV /ADR/, 48 WALLL STREET, C/O CHASE MANHATTAN BANK, NEW YORK, NY 10286 (212) 552-1305 200,000,000 (\$10,000,000) DEPOSITARY RECEIPTS FOR COMMON STOCK. (FILE 333-8038 DEC. 02) (BR 99)
- F-6 TELEVISION BROADCASTS LTD \ADR\, C/O BANK OF NEW YORK, 48 WALL STREET, NEW YORK, NY 10286 (212) 495-1727 10,000,000 (\$500,000) FOREIGN COMMON STOCK. (FILE 333-8040 DEC 02) (BR 99)
- F-6 WANT WANT HOLDINGS LTD /ADR/, 48 WALL ST, C/O BANK OF NEW YORK,
  NEW YORK, NY 10286 (212) 495-1727 10,000,000 (\$500,000)
  DEPOSITARY RECEIPTS FOR COMMON STOCK. (FILE 333-8042 ~ DEC. 02) (BR 99)
- S-8 HANNAFORD BROTHERS CO, 145 PLEASANT HILL RD, SCARBOROUGH, ME 04074 (207) 883-2911 750,000 (\$30,000,000) COMMON STOCK (FILE 333-41381 DEC 03) (BR 2)
- S-1 PENTACON INC, 9821 KATY FRWY, STE 500, HOUSTON, TX 77024 (713) 464-7770 \$60,754,722 COMMON STOCK. (FILE 333-41383 DEC 03) (BR 6 NEW ISSUE)
- S-8 UROLOGIX INC, 14405 21ST AVE N, MINNEAPOLIS, MN 55447 (612) 475-1400 -400,000 (\$7,950,000) COMMON STOCK (FILE 333-41385 - DEC 03) (BR 1)
- S-3 MICROSOFT CORP, ONE MICROSOFT WAY #BLDG 8, NORTH OFFICE 2211, REDMOND, WA 98052 (206) 882-8080 359,679 (\$49,455,862 50) COMMON STOCK (FILE 333-41387 DEC 03) (BR 3)
- SB-2 FIRST SUNRISE INC, 200 E 89TH ST, NEW YORK, NY 10028 (212) 876-8578 100,000 (\$50,000) COMMON STOCK. (FILE 333-41389 DEC. 03) (BR 9 NEW ISSUE)
- S-8 LUCAS EDUCATIONAL SYSTEMS INC, P O BOX 789, STE 550, TEMPLETON, CA 93465 (805) 434~3982 168,750 (\$1,687) COMMON STOCK (FILE 333-41391 DEC 03) (BR 9)
- S-8 PROGRESS SOFTWARE CORP /MA, 14 OAK PARK, BEDFORD, MA 01730 (617) 280-4000 - 680,000 (\$13,982,500) COMMON STOCK. (FILE 333-41393 -DEC 03) (BR. 3)
- S-3 CMS ENERGY CORP, FAIRLANE PLZ SOUTH STE 1100, 330 TOWN CENTER DR, DEARBORN, MI 48126 (313) 436-9200 150,000,000 (\$150,000,000) COMMON STOCK. (FILE 333-41395 DEC. 03) (BR. 4)
- S-3 ALEXION PHARMACEUTICALS INC, 25 SCIENCE PARK, STE 360, NEW HAVEN, CT 06511 (203) 776-1790 1,062,762 (\$12,421,031) COMMON STOCK. (FILE 333-41397 DEC 03) (BR. 1)
- S-8 PROGRESS SOFTWARE CORP /MA, 14 OAK PARK, BEDFORD, MA 01730 (617) 280-4000 31,504 (\$21,107 68) COMMON STOCK. (FILE 333-41401 DEC. 03) (BR. 3)
- S-8 PROGRESS SOFTWARE CORP /MA, 14 OAK PARK, BEDFORD, MA 01730 (617) 280-4000 - 25,596 (\$526,318) COMMON STOCK. (FILE 333-41403 -DEC. 03) (BR. 3)
- S-3 PACIFIC AEROSPACE & ELECTRONICS INC, 434 OLDS STATION RD, WENATCHEE, WA 98801 (509) 664-8000 - 1,061,500 (\$6,650,987) COMMON STOCK (FILE 333-41407 - DEC. 03) (BR. 6)
- S-8 THOR INDUSTRIES INC, 419 W PIKE ST, JACKSON CENTER, OH 45334 (937) 596-6849 - 100,000 (\$3,084,000) COMMON STOCK. (FILE 333-41409 -DEC. 03) (BR. 5)
- SB-2 ZAP POWER SYSTEMS INC, 117 MORRIS ST, SEBASTOBOL, CA 95472 (707) 824-4150 - 500,000 (\$3,000,000) COMMON STOCK. (FILE 333-41411 - DEC. 03)

6

- S-1 SCHEIN PHARMACEUTICAL INC, 100 CAMPUS DRIVE, FLORHAM PARK, NJ 07932 (201) 593-5500 3,450,000 (\$62,100,000) COMMON STOCK. (FILE 333-41413 DEC. 03) (BR. 1 NEW ISSUE)
- S-3 WARNACO GROUP INC /DE/, 90 PARK AVE, 26TH FLOOR, NEW YORK, NY 10016 (212) 661-1300 5,340,773 (\$152,692,701) COMMON STOCK. (FILE 333~41415 DEC. 03) (BR. 2)
- S-3 VIDEO LOTTERY TECHNOLOGIES INC/DE, 2311 SOUTH 7TH AVENUE, BOZEMAN, MT 59715 (406) 585-6600 1,688,230 (\$18,570,530) COMMON STOCK (FILE 333-41417 DEC. 03) (BR. 6)
- S-1 UNITED RENTALS INC, FOUR GREENWICH OFFICE PARK, GREENWICH, CT 06830 (203) 622-3131 22,745,214 (\$318,502,996) COMMON STOCK (FILE 333-41419 DEC 03) (BR 7)
- S-3 RAINFOREST CAFE INC, 720 S FIFTH ST, HOPKINS, MN 55343 (612) 945-5400 115,000,000 (\$115,000,000) CONVERTIBLE DEBENTURES AND NOTES. (FILE 333-41421 DEC. 03) (BR. 2)
- S-8 WESTERN DIGITAL CORP, 8105 IRVINE CENTER DR, IRVINE, CA 92718
  (714) 932-5000 40,000,000 (\$40,000,000)
  OTHER SECURITIES INCLUDING VOTING TRUST (FILE 333-41423 DEC 03)
  (BR. 3)
- S-8 MERRILL LYNCH & CO INC, 250 VESEY ST, WORLD FINANCIAL CTR F TOWER, NEW YORK, NY 10281 (212) 449-1000 - 10,000,000 (\$697,187,500) COMMON STOCK. (FILE 333-41425 - DEC 03) (BR 8)
- S-8 LEE SARA CORP, THREE FIRST NATIONAL PLZ, STE 4600, CHICAGO, IL 60602 (312) 726-2600 - 12,515,000 (\$669,552,500) COMMON STOCK. (FILE 333-41427 - DEC. 03) (BR 2)
- S-8 CHADMOORE WIRELESS GROUP INC, 4720 POLARIS ST, STE 1345, LAS VEGAS, NV 89103 (702) 891-5255 ~ 750,000 (\$615,000) COMMON STOCK. (FILE 333-41429 DEC. 03) (BR. 3)
- S-4 PHILIPS INTERNATIONAL REALTY CORP, 417 FIFTH AVENUE, NEW YORK, NY 10016 (212) 545-1100 32,000 (\$1,600,000) COMMON STOCK. (FILE 333-41431 DEC 03) (NEW ISSUE)
- S-3 BRE PROPERTIES INC /MD/, ONE MONTGOMERY STREET,
  SUITE 2500 TELESIS TOWER, SAN FRANCISCO, CA 94104 (415) -44-5-65 3,713,331 (\$107,341,260) COMMON STOCK (FILE 333-41433 DEC. 03) (BR 8)
- S-3 TELE COMMUNICATIONS INC /CO/, 5619 DTC PARKWAY, ENGLEWOOD, CO 80111 (303) 267-5500 15,280,000 (\$349,818,125) COMMON STOCK (FILE 333-41435 DEC. 03) (BR 3)
- S-3 FOREST CITY ENTERPRISES INC, 1100 TERMINAL TOWER, 50 PUBLIC SQ, CLEVELAND, OH 44113 (216) 267-1200 250,000,000 (\$250,000,000) COMMON STOCK (FILE 333-41437 DEC 03) (BR. 8)
- S-1 NORTHWEST PACIFICA INC, 12811 SE BRYN STREET, CLACKAMAS, OR 97015 (503) 598-2554 - 1,470,400 (\$1,288,816) COMMON STOCK (FILE 333-41439 - DEC 03) (NEW ISSUE)
- S-8 RICH COAST INC, 475 HOWE ST STE 206, VANCOUVER BC CANADA, Al (604) 684-8290 - 1,000,000 (\$220,000) COMMON STOCK. (FILE 333-41443 -DEC 04) (BR 4)
- S-2 SPIRE CORP, ONE PATRIOTS PARK, BEDFORD, MA 01730 (617) 275-6000 1,725,000 (\$30,567.000) COMMON STOCK (FILE 333-41445 DEC. 03) (BR 1)
- S-8 HILTON HOTELS CORP, 9336 CIVIC CTR DR, BEVERLY HILLS, CA 90210 (310) 278-4321 200,000 (\$6,350,000) COMMON STOCK. (FILE 333-41447 DEC. 04) (BR. 5)
- S-11 BOSTON PROPERTIES INC, 8 ARLINGTON ST, BOSTON, MA 02116 (617) 859-2600 - 16,100,000 (\$529,287,500) COMMON STOCK (FILE 333-41449 - DEC. 04) (BR 8)

- S-8 LITHIUM TECHNOLOGY CORP, 5115 CAMPUS DR, PLYMOUTH MEETING, PA 19462 (215) 830-1392 951,729 (\$1,151,593) COMMON STOCK (FILE 333-41451 DEC 04) (BR 6)
- S-3 TRIO TECH INTERNATIONAL, 355 PARKSIDE DR, SAN FERNANDO, CA 91340 (818) 365-9200 \$4,544,800 UNIT INVESTMENT TRUST. (FILE 333-41453 DEC. 04) (BR. 1)

### RECENT 8K FILINGS

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Form 8-K is used by companies to file current reports on the following events:

- Item 1. Changes in Control of Registrant.
- Item 2 Acquisition or Disposition of Assets.
- Item 3. Bankruptcy or Receivership
- Item 4 Changes in Registrant's Certifying Accountant.
- Item 5 Other Materially Important Events
- Item 6 Resignations of Registrant's Directors
- Item 7. Financial Statements and Exhibits
- Item 8 Change in Fiscal Year
- Item 9 Regulation S Offerings

The following companies have filed 8-K reports for the date indicated and/or amendments to 8-K reports previously filed, responding to the item(s) of the form specified 8-K reports may be obtained in person or by writing to the Commission's Public Reference Branch at 450 Fifth Street, N W , Washington, D C 20549 or at the following e-mail box address <public info @ sec> In most cases, this information is also available on the Commission's website: <www.sec gov>

		STATE		8K ITEM			ZM.	NO.					
NAME OF ISSUER	CODE	1	2	3	4	5	6	7	8	9	DATE	COMMENT	
AAMES CAPITAL CORP	CA					х		х			12/05/9	7	
ACAP CORP	DE	x									12/05/9	7	
ACCUMED INTERNATIONAL INC	DE							X			03/03/9	7 AMEND	
ADVANTA MORTGAGE LOAN TRUST 1997-4						X		X			12/04/9	7	
ADVATEX ASSOCIATES INC	DE				X			X			12/03/9	7	
AGRI NUTRITION GROUP LTD	DE	X									12/08/9	7 AMEND	
ALICO INC	FL					X					08/31/9	7	
ALL AMERICAN FOOD GROUP INC	NJ							X			09/23/9	7 AMEND	
AMC ENTERTAINMENT INC	DE		X								04/02/9	8	
AMERICAN BANKNOTE CORP	DE					X		X			12/08/9	7	
AMERICAN MOBILE SATELLITE CORP	DE					X					12/04/9	7	
AMERICAN RESIDENTIAL SERVICES INC	DE					X		X			12/08/9	7	
AMGEN INC	DΕ					X		X			12/05/9	7	
APACHE CORP	DE					X		X			12/05/9	7	
APOLLO INTERNATIONAL OF DELAWARE IN	DE		X					X			11/24/9	7	
C APPLE RESIDENTIAL INCOME TRUST INC	VA		x					x			09/30/9	7	
ATRIX LABORATORIES INC	DE					x		х			11/06/9	7	
AVAX TECHNOLOGIES INC	DE					x		х			12/08/9	7	
AVTEL COMMUNICATIONS INC/UT	UT	x	x		х			X	х		12/01/9	7	
BANYAN SYSTEMS INC	MA					x					12/05/9	7	
BCB FINANCIAL SERVICES CORP /PA/	PA					x		х			11/24/9		
BEARD CO /OK	OK					x		x			11/26/9		
BERRY & BOYLE CLUSTER HOUSING PROPERTIES			X					X			11/25/9		
BIG SKY BANCORP INC	DE					X					11/19/9	7	
BOULDER CAPITAL OPPORTUNITIES II LT	CO	x									11/19/9	7	

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NAME OF ISSUER	CODE		8K ITEM 3 4 5 6		9	DATE	COMMENT
					- <del>-</del> -		
BRADLEY OPERATING L P BRADLEY REAL ESTATE INC	Min		X	X		12/08/9	
	MD		Х	X		12/08/9	
BUCKHEAD AMERICA CORP	DE			X		10/08/9	
BULL RUN CORP	GA		X	X		11/21/9	7
BURGER KING LTD PARTNERSHIP I	NY	х				12/09/9	7
BURGER KING LTD PARTNERSHIP III	NY	X				12/09/9	7
BUTLER NATIONAL CORP	DE				X	11/30/9	7
CABLEVISION SYSTEMS CORP	DE		X			12/04/9	7
CALENERGY CO INC	DE		X	X		12/05/9	7
CAMBRIDGE TECHNOLOGY PARTNERS MASSA CHUSETTS INC		x		X	X	11/24/9	7
CARIBINER INTERNATIONAL INC	DE	x				11/25/9	7
CB COMMERCIAL REAL ESTATE SERVICES	DE		х	х		12/09/9	7
GROUP INC							
CBS CORP	PA		x	x		12/08/9	7
CECO ENVIRONMENTAL CORP	ΝY		•	X			
CECO FILTERS INC	DE					09/25/9	
CEDAR INCOME FUND LTD	IA	х		X		09/25/9	
		Λ				12/08/9	
CENTRAL COAST BANCORP	CA		Х	Х		12/08/9	
CENTRAL GARDEN & PET COMPANY	DE		Х	Х		12/08/9	7
CENTRAL GARDEN & PET COMPANY	DE		Х	X		12/08/9	7
CENTRAL MAINE POWER CO	ME		Х			12/05/9	7
CENTURA SOFTWARE CORP	CA		Х	X		12/08/9	7
CHAPARRAL RESOURCES INC	CO		x	Х		10/31/9	7 AMEND
CHEMTRAK INC/DE	DE		Х	Х		12/02/9	7
CHIQUITA BRANDS INTERNATIONAL INC	NJ		х			03/12/9	7
CITYSCAPE HOME EQUITY LOAN TRUST SE RIES 1997 B	DE			х		11/25/9	7
CITYSCAPE HOME EQUITY LOAN TRUST SE RIES 1997 C	DE			x		11/25/9	7
CITYSCAPE HOME LOAN OWNER TRUST 199				x		11/25/9	7
CITYSCAPE HOME LOAN OWNER TRUST 199	DE			X		11/25/9	7
	D.					10/05/0	
CMP MEDIA INC	DE		Х			10/27/9	
COCA COLA BOTTLING GROUP SOUTHWEST INC	NV	Х				12/01/9	
COLORADO MEDTECH INC	CO			X		10/01/9	AMEND
COMFORCE CORP	DE	X		X		12/05/9	7
COMMUNICATION INTELLIGENCE CORP	DE		X	X		11/13/9	7
COMPREHENSIVE CARE CORP	DE		X	X		12/08/97	,
COMPUCOM SYSTEMS INC	DE		x			12/08/97	1
CONSOLIDATED GRAPHICS INC /TX/	TX		х	X		12/08/97	,
CONSOLIDATED STAINLESS INC	DE		х			12/03/97	,
CONTINENTAL NATURAL GAS INC	OK	х	х	x		11/25/97	
CPI CORP	DE		х			12/05/97	
CPI CORP	DE		x			12/09/97	
CREDIT SUISSE FIRST BOSTON MORTGAGE	DE			X		11/24/97	
SECURITIES CORP CREDIT SUISSE FIRST BOSTON MORTGAGE	DE		x	x		12/05/97	,
SECURITIES CORP							
CRESTED CORP	CO		Х			11/25/97	
CWABS INC	DE			X		11/25/97	
CWMBS INC	DE		X	X		12/09/97	
CYCLO3PSS CORP	DE		х		:	12/03/97	
CYTEL CORP/DE	DE		x		:	12/03/97	
DAKOTA TELECOMMUNICATIONS GROUP DEL AWARE INC	DE		X :	X		12/01/97	
DATA I/O CORP	WA	X	:	x		11/12/97	AMEND
DAVEL COMMUNICATIONS GROUP INC	IL		x			11/24/97	
DAYTON HUDSON RECEIVABLES CORP	MIN		x			12/08/97	
TODGON RECEIVABLES CORP			4.			,, , , ,	

	STATE	,		8K 1	· WEST	1000			
NAME OF ISSUER	CODE		2	3 4			9	DATE	COMMENT
DENBURY RESOURCES INC			X					12/09/9	
DII GROUP INC	DE				X	X		12/05/9	
EATFRIES INC	OK		X			X		11/21/9	
ECOSCIENCE CORP/DE	DE				X			11/20/9	
EDISON INTERNATIONAL	CA				X			12/08/9	
EDNET INC	CO			X				11/24/9	
ENCORE COMPUTER CORP /DE/	DE		X			Х		11/24/9	
ENGINEERING ANIMATION INC	DE		X			X		11/25/9	
EQUITY ONE ABS INC	DE				X	Х		11/28/9	
EQUIVEST FINANCE INC	FL				Х			12/02/9	
FAIRCHILD CORP	DE				Х	Х		12/08/9	
FEDERAL AGRICULTURAL MORTGAGE CORP		X						11/26/9	7
FEDERAL MOGUL CORP	MI	X						12/01/9	7
FIRESTONE RETAIL CREDIT CORP	MA				X	X		12/01/9	7
FIRST PLACE FINANCIAL CORP	NM				Х	X		12/05/9	7
FIRST REPUBLIC CORP OF AMERICA	DE		X					11/25/9	7
FORD CREDIT AUTO LOAN MASTER TRUST	M⊥				X	X		11/30/9	7
FORD CREDIT AUTO RECEIVABLES TWO L	DE				X	Х		11/30/9	7
P									
FRANKLIN SELECT REALTY TRUST	CA				X	Х		04/01/9	7
GATX CORP	NY	Х						12/08/9	7
GE CAPITAL MORTGAGE SERVICES INC	NJ				X	X		11/25/9	7
GE CAPITAL MORTGAGE SERVICES INC	NJ				Х	x		12/01/9	7
GE CAPITAL MORTGAGE SERVICES INC	NJ				Х	Х		12/09/9	7
GENERAL AMERICAN TRANSPORTATION COR	NY	X						12/08/9	7
P /NY/									
GENERAL SCANNING INC \MA\	MA		X			X		11/28/9	7
GOLDEN TRIANGLE INDUSTRIES INC/	CO		X					12/31/9	7
GREAT SOUTHERN BANCORP INC	DE				X			12/08/9	7
GREATER CHINA CORP	DE		x					12/01/9	7
GROVE PROPERTY TRUST	MD					x		10/31/9	7 AMEND
GTE NORTH INC	WI					х		12/09/9	7
HALSTEAD ENERGY CORP	NV	х						12/01/9	7
HARBINGER CORP	GA				x	x		11/24/9	7
HARBOURTON FINANCIAL SERVICES L P	DE	х						12/31/9	7
HASBRO INC	RI				x	x		10/20/9	7
HELLER FINANCIAL INC	DE				Х	x		11/19/9	7
HELLER FUNDING CORP	DE				х	x		11/25/9	7
IMC GLOBAL INC	DE				х			12/08/9	7
IMCLONE SYSTEMS INC/DE	DE				х			12/09/9	7
IMCLONE SYSTEMS INC/DE	DE				х			12/09/9	7
IMPERIAL HOLLY CORP	TX					х		11/20/9	7 AMEND
INTEGON CORP /DE/	DE	х						12/09/9	7
INTERACTIVE TECHNOLOGIES CORP INC	WY				X			12/08/9	7
INTERMAGNETICS GENERAL CORP	NY		x			х		11/24/9	
INTERNATIONAL AMERICAN HOMES INC	DE		•-		х			11/19/9	
INTERNATIONAL LEISURE HOSTS LTD /NE	WY	х				х		11/24/9	
W/		^						,, ,	•
INTERNATIONAL LOTTERY & TOTALIZATOR	CA			х		х		12/03/9	7
SYSTEMS INC	CA							12, 03, 5	•
INTERNATIONAL TESTING SERVICES INC	DE			x		x		10/30/9	7 AMEND
ITC LEARNING CORP	MD		x			x		11/20/9	
			Λ		X	x		12/03/9	
JACKSONVILLE BANCORP INC	TX				X	x		11/25/9	
JAVELIN SYSTEMS INC	DE		x		^	••		12/09/9	
JJFN SERVICES INC	DE DE		^		x	х	y	12/09/9	
KENSEY NASH CORP				x	••	X	••	12/02/9	
KIDDIE ACADEMY INTERNATIONAL INC	DE		v		хх			09/30/9	
KTI INC	NJ		X			X		11/14/9	
KTI INC	NJ		X		X	^			
LAIDLAW ENVIRONMENTAL SERVICES INC	DE				X			12/08/9	,

	STATE			81		TEM	NO.				
NAME OF ISSUER	CODE	1	2	3	4	5 6	7 8	9	DATE	α	PRENT
LATIN AMERICAN TELECOMMUNICATIONS V ENTURE CO	NV				X				11/19/9	7	amend
LOEWEN GROUP INC						X	X		12/08/9	7	
LORAL SPACE & COMMUNICATIONS LTD	D0					Х	X		11/14/9	7	
LSB INDUSTRIES INC	DE					Х			11/26/9	7	
LUMISYS INC \DE\	DE		X				X		09/28/9	7	
LYNCH CORP	IN					Х	X		12/05/9		
MALLON RESOURCES CORP	CO	X							12/09/9		
MAPICS INC	MA							X	12/09/9		
MARKER INTERNATIONAL	UT					X	X		12/09/9		
MENA CORP	MD					X			12/09/9		
MELITA INTERNATIONAL CORP	GA					X	X		12/09/9		
MENTORTECH INC	DE					X	х		12/05/9		
MERCURY FINANCE CO	DE					X	X		12/05/9		
MERRILL LYNCH MORTGAGE INVESTORS IN	DE					X	X		12/08/9	97	
MICROFLUIDICS INTERNATIONAL CORP	DE				X		X		12/08/9		
MID ATLANTIC CENTERS LIMITED PARTNE RSHIP	MD	Х							11/24/9	7	
MID PENN BANCORP INC	PA					Х			12/04/9	7	
MIDAMERICAN ENERGY CO	IA					X	Х		12/08/9	7	
MIDAMERICAN ENERGY HOLDINGS CO	IA					X	X		12/08/9	7	
ML EQ REAL ESTATE PORTFOLIO L P	DE		X				Х		11/24/9	7	
MONUMENT RESOURCES INC	CO				X		X		11/13/9	7	
NATIONAL CITY CORP	DE					X	X		12/09/9	7	
NATIONAL COLLEGIATE TRUST 1997-S2	DE						Х		12/03/9	7	
NATIONAL COLLEGIATE TRUST 1997-S2	DE		X				X		12/03/9		
NATIONAL PROPERTY INVESTORS 4	CA				Х		х		12/08/9		
NATIONS ASSET SECURITIES INC	DE					_	X		12/05/9		
NAVISTAR FINANCIAL RETAIL RECEIVABL	DE					x	x		11/17/9	<del>)</del> 7	
ES CORPORATION						v			12/00/		
NETWORK IMAGING CORP	DE					X	v		12/08/9		
NEW CENTURY ENERGIES INC	DE					x	X		12/04/9		AMERICA
NMC CORP	DE	v					X		12/08/9		AMEND
NORTH FORK BANCORPORATION INC NORWEST ASS SEC CORP MORT PAS THR C	DE DE	X					x		12/09/9		
ERT SER 1997-03 TRUST	DE						Λ		11/23/-	•	
NORWEST ASSET SECURITIES CORP MOR P	DE						x		11/25/9	7	
AS TH CERT SER 1997-11 TR	DE						••		11,25,5	,	
NORWEST ASSET SECURITIES CORP MORT	DE						х		11/25/9	7	
PS THR CER SER 1997-06 TR	DD						••		,, -		
	FL		x				x		12/01/9	7	
NUCO2 INC /FL OCWEN ASSET INVESTMENT CORP	VA		x						12/04/9		
ORBITAL SCIENCES CORP /DE/	DE		••			Х			11/28/9		
PEP BOYS MANNY MOE & JACK	PA					х	x		12/05/9		
PNC MORTGAGE SECURITIES CORP	DE					х	x		11/25/9		
PNC MORTGAGE SECURITIES CORP	DE					х	x		12/01/9		
PNC MORTGAGE SECURITIES CORP	DE					x	x		12/01/9		
PNC MORTGAGE SECURITIES CORP	DE					x	x		12/01/9		
PNC MORTGAGE SECURITIES CORP	DE					x	х		12/01/9		
PNC MORTGAGE SECURITIES CORP	DE					x	X		12/01/9	7	
PNC MORTGAGE SECURITIES CORP	DE					X	x		12/01/9	7	
PNC MORTGAGE SECURITIES CORP	DE					Х	x		12/01/9	7	
PNC MORTGAGE SECURITIES CORP	DE					X	x		12/01/9	7	
PNC MORTGAGE SECURITIES CORP	DE					х	x		12/01/9	7	
PNC MORTGAGE SECURITIES CORP	DE					x	x		12/01/9	7	
PNC MORTGAGE SECURITIES CORP	DE					x	x		12/01/9	7	
PNC MORTGAGE SECURITIES CORP	DE					x	x		12/01/9	7	
PNC MORTGAGE SECURITIES CORP	DE					X	x		12/01/9	7	
PREMIER LASER SYSTEMS INC	CA					X			12/05/9	7	
PRESLEY COMPANIES /DE	DE					x			12/04/9	7	
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	STATE	7	8K ITE	M NO.	
NAME OF ISSUER	CODE				8 9 DATE COMMEN
PRICE T ROWE REALTY INCOME FUND II	DE	X			12/05/97
PRICE T ROWE REALTY INCOME FUND III		X			12/05/97
PRIMARK CORP	MI		X	Х	12/08/97
PRUDENTIAL SECURITIES SECURED FINAN CING CORP	DE		Х	Х	12/01/97
REPUBLIC SECURITY FINANCIAL CORP	FL	X			12/02/97
RESIDENTIAL ACCREDIT LOANS INC	DE		x	x	12/05/97
RESIDENTIAL FUNDING MORTGAGE SECURI TIES I INC	DE		x	x	12/09/97
ROM TECH INC	PA		х	х	12/08/97
ROSES HOLDINGS INC	DE	x	x	x	12/02/97
RYANS FAMILY STEAKHOUSES INC	sc	Х			11/05/97
SALOMON SMITH BARNEY HOLDINGS INC	DE			х	12/09/97
SBS TECHNOLOGIES INC	NM	x		х	11/24/97
SECURITY PACIFIC HOME EQUITY TRUST 1991-A	CA			x	11/25/97
SEMICON TOOLS INC /NV/	NV			х	11/26/97
SENETEK PLC /ENG/	J. •			^	X 04/01/97
SHOWBIZ PIZZA TIME INC	KS	x			11/19/97
SIGHT RESOURCE CORP	DE		х	х	11/25/97
SMITH CORONA CORP	DE		x	•-	12/09/97
SOUND SOURCE INTERACTIVE INC /DE/	DE		x	х	12/08/97
SOUTHERN CALIFORNIA EDISON CO	CA		х		12/08/97
SOUTHERN CALIFORNIA EDISON CO	CA		х		12/08/97
SOUTHERN MINERAL CORP	NV	х		х	11/23/97
SOUTHERN PACIFIC SECURED ASSETS COR	CA			x	11/21/97
SOVEREIGN BANCORP INC	PA		х	х	12/08/97
STAODYN INC	DE		X	X	12/03/97
STRUCTURED ASSET SECURITIES CORPORA	-		x	x	11/25/97
SUSA PARTNERSHIP LP	TN			x	12/04/97
SWISHER INTERNATIONAL INC	NV			x	07/30/97 AMENI
TAUBMAN CENTERS INC	MI		х	••	12/08/97
TAUBMAN REALTY GROUP LTD PARTNERSHI			х		12/08/97
TEL SAVE HOLDINGS INC	DE		х	х	12/05/97
TELEGROUP INC	IA	х			11/25/97
TEREX CORP	DE		х		12/04/97
TEXAS BOTTLING GROUP INC	TX	x			12/01/97
TOUR MAX GOLF INC	DE	х	хх		11/25/97
TRANSITION ANALYSIS COMPONENT TECHN OLOGY INC	DE			x	08/31/97 AMENI
TRIAD PARK LLC	DE			х	12/08/97
TRICON GLOBAL RESTAURANTS INC	NC	X			12/09/97
J S RESTAURANT PROPERTIES INC	MD	X		x	11/26/97
UNIQUE MOBILITY INC	co		х		12/02/97
NITED STATES CELLULAR CORP	DE		х	x	12/01/97
JS ENERGY CORP	WY		х		11/25/97
ARIAN ASSOCIATES INC /DE/	DE		х	x	12/05/97
NASHINGTON GAS LIGHT CO	DC		x		12/31/97
WESTERN WIRELESS CORP	WA				X 11/26/97
WHEELS SPORTS GROUP INC	NC		x	x	12/01/97
HITTMAN HART INC	DE	х		X	X 11/21/97
INCO PETROLEUM CORP	co		x	x	12/08/97
TYMAN GORDON CO	MA		x		12/09/97
TURIE SYSTEMS INC	DE	x		x	12/01/97
ILA INC	DE	x		x	11/06/97 <b>AMEN</b> D
0 OFF STORES INC	DE		x	X	11/20/97
TH LEVEL INC	DE		X		12/09/97