# SEC NEWS DIGEST

Issue 97-217

November 10, 1997

## COMMISSION ANNOUNCEMENTS

#### FEE RATE ADVISORY - NOVEMBER 10 UPDATE

The current continuing resolution has been extended. As a result of this extension, the fee rate for Section 6(b) filings will remain at the current rate of 1/33rd of one percent of the aggregate offering amount. This fee is calculated by dividing the aggregate offering amount by 3300.

This rate will be in effect until the pending appropriations bill is enacted or another continuing resolution is enacted. When the pending appropriations bill is enacted, the fee rate will be decreased to \$295 per \$1,000,000 (pro rated for amounts less than \$1,000,000). This fee will be calculated by multiplying the aggregate offering amount by .000295.

The Commission will issue further notices as appropriate to keep filers and registrants informed of developments affecting the Section 6(b) fee rate. This information will be posted at the SEC's internet address http://www.sec.gov. In the interim, filers and registrants should contact the Office of Filings and Information Services, Filer Support Unit at (202) 942-8900 if they have any questions. (Press Rel. 97-100)

# ENFORCEMENT PROCEEDINGS

PUBLIC ADMINISTRATIVE AND CEASE AND DESIST PROCEEDINGS INSTITUTED AGAINST RUSSO SECURITIES, INC., PATRICK RUSSO AND KIMBERLY KENT

On November 7, the Commission instituted public administrative and cease and desist proceedings pursuant to Sections 15(b), 19(h) and 21C of the Securities Exchange Act of 1934 (Exchange Act) against Russo Securities, Inc., (Russo), Patrick Russo (P. Russo), and Kimberly Kent (Kent).

In the order instituting proceedings (Order), the Commission's Division of Enforcement alleges that on December 29, 1995, January 31, 1996, February 29, 1996 and March 22, 1996, Russo, a broker-

dealer registered with the Commission, and a member of the New York Stock Exchange, Inc. and the National Association of Securities Dealers, Inc., willfully violated Section 15(c)(3) of the Exchange Act and Rule 15c3-1 in that it effected transactions in customer accounts without maintaining the required minimum net capital. The Commission's Division of Enforcement also alleges that Russo willfully violated Section 17(a)(1) of the Exchange Act and Rules 17a-3(a)(2), 17a-3(a)(11), 17a-5(a) and 17a-11 in that Russo made inaccurate records and filed inaccurate reports of its assets and net capital computations, and failed to provide the Commission with the required notices of its net capital and books and records deficiencies. Last, the Commission's Division of Enforcement alleges that P. Russo, one of the firm's owners and its president, and Kent, the firm's financial and operations principal and chief financial officer, each willfully aided and abetted, and was a cause of, Russo's violations described above. (Rel. 34-39312; AAE Rel. 982; File No. 3-9484)

IN THE MATTER OF KENNETH O'NEAL, CPA, DONALD WHITE, CPA, AND GEORGE BLEIER, CPA

The Commission instituted administrative proceedings against accountants Kenneth O'Neal, Donald White, and George Christopher Bleier and issued an Order denying them the privilege of appearing or practicing before the Commission. The Commission found that the respondents, who were licensed by the State of Texas as certified public accountants and employed by the firm of O'Neal & White, participated in deficient audits of Members Service Corporation's financial statements for the years ended December 31, 1991 and December 31, 1992. The respondents consented to the issuance of the Order without admitting or denying the Commission's findings.

The Commission found that O'Neal willfully violated Section 10(b) of the Exchange Act and Rule 10b-5 thereunder and engaged in improper professional conduct, and that White and Bleier engaged in improper professional conduct. The Order denies O'Neal, White, and Bleier the privilege of appearing or practicing before the Commission as accountants pursuant to Rule 102(e) of the Commission's Rules of Practice. The Order provides that, after three years, Bleier may apply to the Commission for permission to appear or practice before the Commission. (Rel. 34-39314; AAE Rel. 983; File No. 3-9485)

## INVESTMENT COMPANY ACT RELEASES

ACACIA NATIONAL LIFE INSURANCE COMPANY, ET AL.

An order has been issued pursuant to Section 26(b) of the Investment Company Act, on an application filed by Acacia National Life Insurance Company, Acacia National Life Insurance Company Variable Life Separate Account I and Acacia National Life Insurance Company Variable Annuity Separate Account II, approving the substitution of

shares of the Neuberger & Berman Advisors Management Trust Limited Maturity Bond Portfolio for shares of the Strong Advantage Fund II and the substitution of shares of Acacia Capital Corporation Calvert Responsibly Invested Balanced Portfolio for shares of the Strong Asset Allocation Fund II. (Rel. IC-22880 - November 6)

#### SELF-REGULATORY ORGANIZATIONS

# PROPOSED RULE CHANGES

The <u>Chicago Board Options Exchange</u> filed a proposed rule change (SR-CBOE-97-57) to change its rule to permit a FLEX equity option to have a term of five years in certain circumstances. Publication of the proposal is expected in the <u>Federal Register</u> during the week of November 10. (Rel. 34-39305)

The <u>American Stock Exchange</u> filed a proposed rule change (SR-AMEX-97-37) to include additional physically separate locations on the trading floor where options on Amex-listed stocks may trade. Publication of the proposal is expected in the <u>Federal Register</u> during the week of November 10. (Rel. 34-39306)

The <u>Chicago Stock Exchange</u> filed a proposed a rule change (SR-CHX-97-21) regarding suitability of customer recommendations. Publication of the notice is expected in the <u>Federal Register</u> the week of November 10. (Rel. 34-39307)

## ACCELERATED APPROVAL OF A PROPOSED RULE CHANGE

The <u>Government Securities Clearing Corporation</u> filed a proposed rule change (SR-GSCC-97-06) that will amend GSCC's rules to establish an automatic debit arrangement for netting members' payment of funds-only settlement amounts to GSCC. The Commission has approved the proposed rule change on an accelerated basis. Publication of the proposal is expected in the <u>Federal Register</u> during the week of November 10. (Rel. 34-39309)

# IMMEDIATE EFFECTIVENESS OF PROPOSED RULE CHANGE

A proposed rule change filed by the <u>Pacific Exchange</u> (SR-PCX-97-40) relating to a fee change for ASAP members has become immediately effective under Section 19(b)(3)(A) of the Securities Exchange Act of 1934. Publication of the proposal is expected in the <u>Federal Register</u> during the week of November 10. (Rel. 34-39311)

## SECURITIES ACT REGISTRATIONS

The following registration statements have been filed with the SEC under the Securities Act of 1933. The reported information appears as follows: Form, Name, Address and Phone Number (if available) of

the issuer of the security; Title and the number and/or face amount of the securities being offered; Name of the managing underwriter or depositor (if applicable); File number and date filed; Assigned Branch; and a designation if the statement is a New Issue.

Registration statements may be obtained in person or by writing to the Commission's Public Reference Branch at 450 Fifth Street, N.W., Washington, D.C. 20549 or at the following e-mail box address: <public info @ sec>. In most cases, this information is also available on the Commission's website: <www.sec.gov>.

- F-6 VIRGIN EXPRESS HOLDINGS PLC /ADR, 60 WALL ST,
  C/O MORGAN GUARANTY TRUST CO OF NY, NEW YORK, NY 10260 (212) 648-3250 30,000,000 (\$1,500,000) DEPOSITARY RECEIPTS FOR COMMON STOCK. (FILE
  333-7858 OCT 29) (BR. 99 NEW ISSUE)
- F-1 SELECT APPOINTMENTS HOLDINGS PLC, ZIGGURAT GROSVENOR RD ST ALBANS, HERTFORDSHIRE AL1 3HW, ENGLAND, X0 (172) 784-2999 - 23,000,000 (\$229,281.250) FOREIGN COMMON STOCK (FILE 333-7860 - OCT 30) (BR 7)
- SB-1 BRANSON FOUNDATION FINANCIAL CAPITAL CO, 574 STATE HIWAY 248, BRANSON, MO 65616 (417) 334-3455 10,000,000 (\$10,000,000) STRAIGHT BONDS (FILE 333-7862 OCT 30) (BR 9 NEW ISSUE)
- F-6 CHINA LIGHT & POWER CO LTD /ADR/, 111 WALL ST, C/O CITIBANK NA,
  NEW YORK, NY 10043 (212) 657-7691 100,000,000 (\$5,000,000)
  DEPOSITARY RECEIPTS FOR COMMON STOCK (FILE 333-7866 OCT 30) (BR 99)
- S-8 FRESH DEL MONTE PRODUCE INC, 800 DOUGLAS RD NORTH TOWER 12TH FLOOR,
  C/O DEL MONTE FRESH PRODUCE CO, CORAL GABLES, FL 33134 (305) 520-8400 2,380,030 (\$38,080,480) FOREIGN COMMON STOCK (FILE 333-7870 OCT 30)
  (BR 2,
- S-8 ELF AQUITAINE /ADR/, 48 WALL STREET, C/O BANK OF NEW YORK, NEW YORK, NY 10286 300,000 (\$27,831,000) FOREIGN COMMON STOCK (FILE 333-7872 OCT 30) (BR 4)
- F-1 HLS HOLDINGS LTD, 16 RAFFLES QUAY #26-00, HONG LEONG BLDG, SINGAPORE 0104, U0 - 35,814,500 (\$64,887,000) FOREIGN COMMON STOCK (FILE 333-7876 OCT 31) (BR 2 - NEW ISSUE)
- S-8 VOCALTEC COMMUNICATIONS LTD, 1 MASKIT ST, HERZLIVA 46733 ISRAEL, L3 750,000 (\$9,049,265) FOREIGN COMMON STOCK. (FILE 333-7878 OCT 31)
- F-6 CENTRAIS ELETRICAS DE SANTA CATARINA S/A CELESC /ADR/, 111 WALL ST, C/O CITIBANK NA, NEW YORK, NY 10043 (212) 657-7691 10,000,000 (\$500,000) DEPOSITARY RECEIPTS FOR PREFERRED STOCK (FILE 333-7882 OCT 31) (BR 99)
- S-8 OLIN CORP, 501 MERRITT 7, P O BOX 4500, NORWALK, CT 06856 (203) 356-2000 - 100,000 (\$4,150,000) COMMON STOCK 7,000,000 (\$7,000,000) OTHER SECURITIES INCLUDING VOTING TRUST (FILE 333-39303 - NOV. 03) (BR 4)
- S-8 OLIN CORP, 501 MERRITT 7, P O BOX 4500, NORWALK, CT 06856 (203) 356-2000 - 75,000 (\$3,112,500) COMMON STOCK 2,000,000 (\$2,000,000) OTHER SECURITIES INCLUDING VOTING TRUST (FILE 333-39305 - NOV 03) (BR 4)
- S-8 EXTEN INDUSTRIES INC, 9625 BLACK MOUNTAIN RD STE 218, SAN DIEGO, CA 92126 (619) 578-9784 - 4,530,677 (\$181,227 08) COMMON STOCK (FILE 333-39307 - OCT 30) (BR. 8)
- S-8 EUROTRONICS HOLDINGS INC, 268 WEST 400 SOUTH, SUITE 301, SALT LAKE CITY, UT 84101 (801) 575-8073 17,000,000 (\$1,020,000) COMMON STOCK (FILE 333-39311 OCT 31) (BR 4)

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- S-3 PATRIOT AMERICAN HOSPITALITY INC/DE, 3030 LBJ FREEWAY, STE 1500, DALLAS, TX 75234 (415) 573-4514 1,681,793 (\$50,453,790) COMMON STOCK. (FILE 3333-39313 NOV 03) (BR 8)
- S-8 MEDIA ENTERTAINMENT INC, 8748 QUARTERS LAKE RD, BATON ROUGE, LA 70809 (504) 922-7874 120,000 (\$640,000) COMMON STOCK. (FILE 333-39315 NOV 03) (BR 3)
- S-8 RUBY TUESDAY INC, 4721 MORRISON DR, P O BOX 160266, MOBILE, AL 36625 (205) 344-3000 - 500,000 (\$12,985,000) COMMON STOCK (FILE 333-39321 - NOV 03) (BR 2)
- S-8 STEIN MART INC, 1200 RIVERPLACE BLVD, JACKSONVILLE, FL 32207 (904) 346-1500 - 2,042,000 (\$61,137,480) COMMON STOCK (FILE 333-39323 - NOV 03) (BR 2)
- S-3 DLJ MORTGAGE ACCEPTANCE CORP, 277 PARK AVE 9TH FLOOR,
  C/O DONALDSON LUFKIN & JENRETTE LAROCCA), NEW YORK, NY 10172 (212) 504-3000
   PASS-THROUGH MORTGAGE-BACKED CERTIFICATE. (FILE 333-39325 NOV 03)
  (BR 8)
- S-8 COMPU DAWN INC, 77 SPRUCE STREET, CEDARHURST, NY 11516 (516) 374-6700 3,136,204 (\$20,601,770) COMMON STOCK (FILE 333-39327 NOV 03) (BR 9)
- S-8 ATS MONEY SYSTEMS INC, 25 ROCKWOOD PL, ENGLEWOOD, NJ 07631 (201) 894-1700 - 350,000 (\$406,000) COMMON STOCK (FILE 333-39331 - NOV 03) (BR 6)
- S-3 AMERICAN CORPORATE RECEIPTS INC, 45 ESSEX ST, MILLBURN, NJ 07041 (973) 379-0300 60,000,000 (\$60,000,000) EQUIPMENT TRUST CERTIFICATES (FILE 333-39333 NOV 03) (BR 8 NEW ISSUE)
- S-8 MADDEN STEVEN LTD, 52-16 BARNETT AVE, LONG ISLAND CITY, NY 11104 (212) 343-1800 - 510,600 (\$9,657,112.50) COMMON STOCK (FILE 333-39335 - NOV 03) (BR 9)
- S-4 EMERGENT GROUP INC, 15 SOUTH MAIN ST STE 750, GREENVILLE, SC 29601 (864) 235-8056 125,000,000 (\$125,000,000) STRAIGHT BONDS (FILE 333-39339 NOV 03) (BR 7)
- S-3 MID PENN BANCORP INC, 349 UNION ST, MILLERSBURG, PA 17061 (717) 692-2133 - 5,200,000 (\$5,200,000) COMMON STOCK (FILE 333-39341 - NOV 03) (BR 7)
- S-8 FULTON BANCORP INC, 410 MARKET ST, FULTON, MO 65351 (573) 642-6618 240,695 (\$4,828,341 70) COMMON STOCK (FILE 333-39343 NOV 03) (BR 7)
- S-8 MOTO PHOTO INC, 4444 LAKE CTR DR, DAYTON, OH 45426 (513) 854-6686 500,000 (\$1,218,750) COMMON STOCK (FILE 333-39347 NOV 03) (BR 2)
- S-8 SANDWICH BANCORP INC, 100 OLD KINGS HWY, SANDWICH, MA 02563
  (508) 888-0026 \$4,043,961 70 COMMON STOCK (FILE 333-39349 NOV 03)
- S-8 MORRISON KNUDSEN CORP//, MORRISON KNUDSEN PLZ, 720 PARK BLVD, BOISE, ID 83712 (208) 386-5000 - \$5,000,000 OTHER SECURITIES INCLUDING VOTING TRUST (FILE 333-39351 - NOV 03) (BR 6)
- S-8 GT INTERACTIVE SOFTWARE CORP, 16 EAST 40TH ST, NEW YORK, NY 10016 (212) 726-6500 741,727 (\$6,768,258 87) COMMON STOCK (FILE 333-39353 NOV 03) (BR 3)
- S-8 FURNITURE BRANDS INTERNATIONAL INC, 101 S HANLEY RD STE 1900, ST LOUIS, MO 63105 (314) 863-1100 1,000,000 (\$16,030,000) COMMON STOCK (FILE 333-39355 NOV 03) (BR 6)
- S-4 APARTMENT INVESTMENT & MANAGEMENT CO, 1873 S BELLAIRE ST, SUITE 1700, DENVER, CO 80222 (303) 757-8101 5,142,455 (\$166,974,968) COMMON STOCK (FILE 333-39357 NOV 03) (BR 8)
- S-4 BUCYRUS INTERNATIONAL INC, P O BOX 500, 1100 MILWAUKEE AVENUE, SOUTH MILWAUKEE, WI 53172 (414) 768-4000 - 150,000,000 (\$150,000,000) STRAIGHT BONDS (FILE 333-39359 - NOV 03) (BR 5)

- S-8 PERSONNEL GROUP OF AMERICA INC, 6302 FAIRVIEW RD, STE 201, CHARLOTTE, NC 28210 (704) 442-5100 500,000 (\$16,300,000) COMMON STOCK (FILE 333-39361 NOV 03) (BR 7)
- S-3 TRANSKARYOTIC THERAPIES INC, 195 ALBANY ST, CAMBRIDGE, MA 02138 (617) 349-0200 - 86,785 (\$2,690,335) COMMON STOCK (FILE 333-39363 -NOV 03) (BR 1)
- S-3 TANGER PROPERTIES LTD PARTNERSHIP /NC/, 1400 WEST NORTHWOOD STREET, GREENSBORO, NC 27408 (910) 274-1666 (FILE 333-39365-01 NOV 03) (BR. 8)
- S-3 ITEQ INC, 2727 ALLEN PKWY STE 760, HOUSTON, TX 77019 (713) 285-2700 8,927,988 (\$100,439,865) COMMON STOCK (FILE 333-39367 NOV 03) (BR 6)
- S-3 BOYKIN LODGING CO, 1500 TERMINAL TOWER, 50 PUBLIC SQUARE, CLEVELAND, OH 44113 (216) 241-6375 (FILE 333-39369 NOV 03) (BR 8)
- S-8 INTERLINK ELECTRONICS, 546 FLYNN RD, CAMARILLO, CA 93012 (805) 484-8855 1,500,000 (\$9,277,500) COMMON STOCK (FILE 333-39371 NOV. 03) (BR 3)
- S-4 SOVEREIGN SPECIALTY CHEMICALS INC, 225 WEST WASHINGTON STREET, CHICAGO, IL 60606 (312) 419-7100 125,000,000 (\$125,000,000) STRAIGHT BONDS (FILE 333-39373 NOV 03) (NEW ISSUE)
- S-3 SMARTSERV ONLINE INC, METRO CENTER, ONE STATION PLACE, STAMFORD, CT 06902 (203: 353-5950 6,000,000 (\$11,250,000) COMMON STOCK (FILE 333-39375 NOV 04: (BR 9)
- S-3 SMARTSERV ONLINE INC, METRO CENTER, ONE STATION PLACE, STAMFORD, CT 06902 (203) 353-5950 8,165,000 (\$15,309,375) COMMON STOCK. (FILE 333-39377 NOV 04) (BR 9)
- S-3 HALTER MARINE GROUP INC, 13085 INDUSTRIAL SEAWAY RD, GULFPORT, MS 39503 - 835,484 (\$29,133,327 08) COMMON STOCK (FILE 333-39379 - NOV 03) (BR 6)
- S-3 PIONEER NATURAL RESOURCES CO, 1400 WILLIAMS SQ W, 5205 N O'CONNOR BLVD, IRVING, TX 75039 (972) 444-9001 18,004,289 (\$727,553,318 49)
  COMMON STOCK (FILE 333-39381 NOV 03) (BR 4)
- S-3 FINOVA GROUP INC, 1850 N CENTRAL AVE, P O BOX 2209, PHOENIX, AZ 85002 (602) 207-6900 1,711,269 (\$74,594,215) COMMON STOCK (FILE 333-39383 NOV 03) (BR 7)
- S-3 CELL THERAPEUTICS INC, 201 ELLIOTT AVE W, STE 400, SEATTLE, WA 98119 2,866,847 (\$45,324,851 07) COMMON STOCK (FILE 333-39385 NOV 04) (BR 1)
- S-3 CREDENCE SYSTEMS CORP, 215 FOURIER AVE, FREMONT, CA 94539 (510) 657-7400 - 115,000,000 (\$115,000,000) COMMON STOCK (FILE 333-39387 - NOV 04) (BR 5)
- S-1 SIBIA NEUROSCIENCES INC, 505 COAST BLVD SOUTH, STE 300, LA JOLLA, CA 92037 (619' 452-5892 2,875,000 (\$22,640,625) COMMON STOCK (FILE 333-39389 NOV 04) (BR 1)

#### RECENT 8K FILINGS

Form 8-K is used by companies to file current reports on the following events

- Item 2 Acquisition or Disposition of Assets
- Item 3 Bankruptcy or Receivership.
- Item 4 Changes in Registrant's Certifying Accountant
- Item 5 Other Materially Important Events

- Item 6 Resignations of Registrant's Directors.
- Item 7 Financial Statements and Exhibits.
- Item 8 Change in Fiscal Year
- Item 9 Regulation S Offerings

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The following companies have filed 8-K reports for the date indicated and/or amendments to 8-K reports previously filed, responding to the item(s) of the form specified 8-K reports may be obtained in person or by writing to the Commission's Public Reference Branch at 450 Fifth Street, N W , Washington, D C 20549 or at the following e-mail box address <public info @ sec> In most cases, this information is also available on the Commission's website <www.sec gov>

	STATE		8	K 2	ITE	M I	NO.				
NAME OF ISSUER	CODE								DATE		COMMENT
AAMES FINANCIAL CORP/DE	DE	 			x				11/07		
AES CORPORATION	DE				X	2	K		11/06	/97	AMEND
AMAZON COM INC	DE				X				11/07	/97	
AMERICAN BANKNOTE CORP	DE				X	7	K		11/05	/97	
AMERICAN COMMUNICATIONS SERVICES IN C	DE				X				11/07	/97	
AMERICAN ENTERTAINMENT GROUP INC	CO				x				11/06	/97	
AMERICAN GENERAL HOSPITALITY CORP	MD				X				11/06	/97	
AMERUS LIFE HOLDINGS INC	AI	X				2	K		10/23	/97	
AMRESCC RESIDENTIAL SECURITIES CORP	NY				X	2	K		10/27	/97	AMEND
MORT LOAN TRUST 1997-1											
AMRESCO RESIDENTIAL SECURITIES CORP	DE				Х	2	K		10/27	/97	AMEND
MORT LOAN TRUST 1997-3											
AMRESCO RESIDENTIAL SECURITIES CORP MORTGAGE LOAN TR 1997-2	NY				x	2	K		10/27	/97	<b>AMEN</b> D
ARADIGM CORP	CA				х	,	ĸ		09/30	/97	
ASSET SECURITIZATION CORP SERIES 19	DE				x		ĸ		11/03		
97-D5	22				••	•	•		11,00	,	
AVTEL COMMUNICATIONS INC/UT	UT			X					10/07	/97	
BIG LAKE FINANCIAL CORP	FL				Х	2	X		10/31	/97	
BIONUTRICS INC	NV	X				7	X		10/31	/97	
BIOPHARMACEUTICS INC	DE	х							09/25	/97	
BRITE VOICE SYSTEMS INC	KS	X				2	K		10/30	/97	
CARDIAC CONTROL SYSTEMS INC	DE				X	:	X		10/27	/97	
CELTRIX PHARMACEUTICALS INC	DE				X	2	X		11/05	/97	
CHAPARRAL RESOURCES INC	CO					2	X		11/06	/97	
COMMUNITY BANKS INC /PA/	PA				X				10/28	/97	
CONCENTRA MANAGED CARE INC	DE				х	:	X		10/31	/97	
CONSOLIDATED HYDRO INC	DĒ		x			2	x 3	K	11/07	/97	
CONTINENTAL AIRLINES INC /DE/	DE					2	X		10/23	/97	
CORNUCOPIA RESOURCES LTD					x	7	K		11/06	/97	
COUNTRY STAR RESTAURANTS INC	DE							X	10/24	/97	
COVENTRY CORP	DE				X				11/03	/97	
CS WIRELESS SYSTEMS INC	DE				х	2	X		10/30	/97	
DAISYTEK INTERNATIONAL CORPORATION	DE				X	2	X		11/05	/97	•
/DE/ DATA DIMENSIONS INC	DE	х				,	X		10/30	/97	
DATAMETRICS CORP	DE			х					11/03	/97	
DDL ELECTRONICS INC	DE				x				11/05	/97	
DEVELOPERS DIVERSIFIED REALTY CORP	OH				x	:	X		11/07	/97	
DIGITAL GENERATION SYSTEMS INC	CA	х			x	:	X		06/18	/97	AMEND
EAGLE BANCGROUP INC	DE	-		х					10/17	/97	AMEND
EAGLE FINANCIAL CORP	DE				х		X		10/26		
ELECTRO CATHETER CORP	NJ				x	•			11/07		
ELECTRONIC SYSTEMS TECHNOLOGY INC	WA				x		X		11/07		
ELTRAX SYSTEMS INC	MN				x		X		10/03		
	DE				x				11/06		
EVERFLOW EASTERN PARTNERS LP EXCHANGE NATIONAL BANCSHARES INC	MI	х				:	X		11/03		

	STATE		BK ITEM	NO.				
NAME OF ISSUER	CODE		3 4 5 6					MMENT
FIRST AMERICAN FINANCIAL CORP	CA.		х			10/23/		
FIRST AMERICAN SCIENTIFIC CORP \NV\			Α.		x	11/03/		
FIRST CENTRAL FINANCIAL CORP	NY		x	x	••	11/07/		
FIRST EMPIRE STATE CORP	NY		x			10/28/		
FIRSTENERGY CORP		х	••	х		10/29/		
GE CAPITAL MORTGAGE SERVICES INC	NJ		х	x		10/25/		
GEO PETROLEUM INC	CA		X			11/07/		
GREEN TREE FINANCIAL CORP	DE		x	x		10/30/		
HARBOUR CAPITAL CORP	DE	х		x		10/28/		
HEADLANDS MORTGAGE SECURITIES INC	DE		х	x		08/29/		
HEADLANDS MORTGAGE SECURITIES INC	DE		х	x		10/30/		
HONDO OIL & GAS CO	DE		х			10/27/	97	
INGERSOLL RAND CO	NJ		х	X		11/06/	97	
INTERNATIONAL FIBERCOM INC	AZ				x	10/23/	97	
INVESTMENT INCOME PROPERTIES OF AME RICA INC		x		X		09/15/	97	
IXC COMMUNICATIONS INC	DE		х	х		11/06/	97	
IXC COMMUNICATIONS INC	DE		x	x		11/07/		
JAKKS PACIFIC INC	DE	х	٠.	x		10/24/		
JEFFERSON PILOT CORP	NC		х			02/23/		AMEND
JP FOODSERVICE INC	DE		X	х		11/05/		
KITTY HAWK INC	DE			х		09/17/		AMEND
LAHAINA ACQUISITIONS INC	CO		x			11/03/		
LANDEC CORP \CA\	CA		••	х		08/28/		AMEND
LASERSIGHT INC /DE	DE		х	x		11/05/		
LEUCADIA NATIONAL CORP	NY	х	•-	X		11/04/		
LIBBEY INC	DE	••	х	x		11/05/		
LOIS/USA INC	DE		x	x		10/29/		
MAC FRUGALS BARGAINS CLOSE OUTS INC	DE		x	x		11/04/		
MAINSTREET BANKGROUP INC	VA		x			06/24/		
MARK IV INDUSTRIES INC	DE		х	х		10/17/		
MARK VII INC	MO		х	х		11/07/		
MED WASTE INC	DE			х		09/25/	97	AMEND
MEDICAL DYNAMICS INC	CO	х	х	X		10/23/		
MERCURY FINANCE CO	DE		х	х		11/06/	97	
METRIS COMPANIES INC	DE		х			10/23/	97	
MOBILEMEDIA COMMUNICATIONS INC	DE		х			10/30/	97	
MOBILEMEDIA CORP	DE		х			10/30/		
NATIONAL OILWELL INC	DE		х			11/06/	97	
NORTHERN TELECOM LTD			х			11/06/	97	
NTS PROPERTIES IV	KY		х			11/07/		
NTS PROPERTIES VI/MD	MID		х			11/07/	97	
ONGARD SYSTEMS INC	DE		х			10/08/		
OXFORD HEALTH PLANS INC	DE		х	Х		11/06/	97	
PACIFIC BELL	CA		х			11/07/	97	
PACIFIC BELL	CA			X		11/07/	97	
PERSONNEL MANAGEMENT INC	IN		x			10/24/		AMEND
PHARMAKINETICS LABORATORIES INC	MD	x		x		10/24/		
POLYDEX PHARMACEUTICALS LTD/BAHAMAS	-		х	X		11/04/		
POST APARTMENT HOMES LP	GA	х	-	x		10/24/		
PRICE REIT INC	MD	X				11/07/		AMEND
PRIDE INTERNATIONAL INC	LA		х	x		10/30/	97	
PRINCETON MEDIA GROUP INC	A6		x			10/31/	97	
PROTECTION ONE ALARM MONITORING INC	DE		х			11/07/		
PROTECTION ONE INC	DE		X			11/07/		
RES CARE INC /KY/		х				11/06/		
	KY							
RESIDENTIAL FUNDING MORTGAGE SECURI	KY DE	-	x	X		11/07/	97	
RESIDENTIAL FUNDING MORTGAGE SECURI TIES II INC	DE	-						
RESIDENTIAL FUNDING MORTGAGE SECURI		x	x x	x x		11/07/ 10/27/ 07/31/	97	AMENT

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	STATE	8K ITEM	NO.	
NAME OF ISSUER	CODE 1	23456	7 8 9	DATE COMMENT
S3 INC	DE	х х	 х	11/06/97
TEL SAVE HOLDINGS INC	DE	x		08/01/97
UNITED BANKSHARES INC/WV	WV	х	x	09/11/97
VECTOR AEROMOTIVE CORP	NV	x		10/31/97 AMEND
VIDEO SERVICES CORP	DE		x	08/27/97 AMEND
VINCAM GROUP INC	FL	X		11/07/97
WEBSTER FINANCIAL CORP	DE	X	x	10/27/97
WESTINGHOUSE ELECTRIC CORP	PA	X	x	10/31/97
WHEELS SPORTS GROUP INC	NC	x	x	10/24/97