# SEC NEWS DIGEST

Issue 97-207

October 27, 1997

### COMMISSION ANNOUNCEMENTS

SUSPENSION OF TRADING IN THE SECURITIES OF GREAT NORTHERN FINANCE CORPORATION

The Commission announced the ten-day suspension of trading in the securities of Great Northern Finance Corporation (GNFL) period beginning at 9:00 a.m. EST on October 27, 1997 and concluding at 11:59 p.m. EST on November 7, 1997. The Commission suspended trading in the securities of GNFL in view of questions that have been raised about the adequacy and accuracy of publicly-disseminated information concerning, among other things: arrangements, understandings or agreements to artificially control the market for GNFL's securities; the ownership of GNFL's securities; the status of a proposed acquisition of Lazer-Tek Designs, Inc. by GNFL; the business prospects of Lazer-Tek; and the business prospects of GNFL. (Rel. 34-39278; File No. 500-1)

# ENFORCEMENT PROCEEDINGS

CEASE AND DESIST PROCEEDINGS INSTITUTED AGAINST RICHARD STRAUSS FOR ACTING AS AN UNREGISTERED BROKER-DEALER

The Commission has instituted cease and desist proceedings under the Securities Exchange Act of 1934 (Exchange Act) against Richard G. Strauss (Strauss), a resident of Lakewood, Colorado. The Order Instituting Proceedings, Making Findings and Imposing Cease and Desist Order alleges that, between March 1992 and June 1993, Strauss, who was not registered with the Commission as a broker-dealer, received compensation for arranging to sell blocks of stock owned by Atlantic Capital Corporation, a Florida stock promoter, in violation of Section 15(a)(1) of the Exchange Act.

Simultaneously with the institution of these proceedings, the Commission accepted an offer of settlement by Strauss, under which he consented to the issuance of an order requiring him to cease and desist from committing or causing future violations of Section 15(a)(1) of the Exchange Act. (Rel. 34-39277; File No. 3-9483)

# WILLIAM BROSSEAU SENTENCED TO A MAXIMUM TERM OF 60 MONTHS

On October 21, in United States District Court for the Northern District of Texas, the Honorable Joe Kendall sentenced William D. Brosseau to the maximum term of 60 months in federal prison for selling interests in an oil and gas project through fraudulent means. Brosseau's sentence was to begin immediately. The Court also ordered to Brosseau pay \$8.2 million in restitution and fined him \$100,000.

The criminal case against Brosseau was based on the same activities alleged in a civil action brought by the Commission on September 20, 1996. Brosseau settled the Commission's action against him on October 20 by consenting to the entry of a permanent injunction. The Commission's complaint alleged that Brosseau and others told investors that oil and gas prospects presented minimal risks, that the wells would produce investment returns of more than 40% per annum for periods as long as 25 years, and that their entire investment would be returned in less than two years. The complaint further alleged that Brosseau took in excess of \$1.1 million of investors' funds for his own use, and diverted significant amounts of investor funds to other entities under his control. [U.S. v. William D. Brosseau, et al., 3:96-CR-315, USDC, ND/TX] (LR-15541)

### COMPLAINT FILED AGAINST DAVID SCOTT HEREDIA

The Commission announced the filing of a complaint in the United States District Court for the Eastern District of New York against David Scott Heredia (Heredia), seeking to permanently enjoin Heredia from violating the antifraud provisions of the federal securities laws, and seeking disgorgement, plus prejudgment interest, and civil penalties from the defendant. Heredia is 26 years old and currently resides in Orlando, Florida.

According to the complaint, from at least May 1994 through at least February 21, 1995, Heredia, while a registered representative of the now defunct broker-dealer, Stratton Oakmont, Inc., violated Section 17(a) of the Securities Act of 1933 and Section 10(b) of the Securities Exchange Act of 1934 and Rule 10b-5 thereunder, by repeatedly and fraudulently making material misrepresentations and omitting to disclose material facts to customers in an effort to solicit securities transactions. The complaint alleges that Heredia made baseless price predictions, fraudulently claimed to possess material nonpublic information, made unauthorized purchases of certain securities and failed to execute customer sell orders. As a result of his fraudulent activities, Heredia's customers suffered total losses of at least \$250,000. [SEC v. David Scott Heredia, CV-97-6091] (LR-15542)

### SELF-REGULATORY ORGANIZATIONS

### WITHDRAWALS GRANTED

An order has been issued granting the application of Anicom, Inc. to withdraw from listing and registration its Common Stock, \$.001 Par Value, on the Chicago Stock Exchange. (Rel. 34-39270)

An order has been issued granting the application of Mid-Atlantic Realty Trust to withdraw from listing and registration its Common Shares of Beneficial Interest Par Value \$.01 and its 7.625% Convertible Subordinated Debentures, due 2003, on the <u>American Stock Exchange</u>. (Rel. 34-39276)

## DELISTINGS GRANTED

An order has been issued granting the application of the <u>New York Stock Exchange</u> to strike from listing and registration Bradlees, Inc., Common Stock, \$0.01 Par Value. (Rel. 34-39271)

An order has been issued granting the application of the <u>American Stock Exchange</u> to strike from listing and registration T/SF Communications Corporation, Common Stock, \$0.10 Par Value. (Rel. 34-39272)

An order has been issued granting the application of the <u>New York Stock Exchange</u> to strike from listing and registration TransTexas Gas Corporation, 10 1/2% Senior Secured Notes, due September 1, 2000. (Rel. 34-39273)

An order has been issued granting the application of the <u>New York Stock Exchange</u> to strike from listing and registration RDM Sports Group, Inc., Common Stock, \$0.01 Par Value and its 8% Convertible Subordinated Debentures, due August 15, 2003. (Rel. 34-39274)

An order has been issued granting the application of Scudder Spain and Portugal Fund, Inc. to withdraw from listing and registration its Common Stock, \$0.01 Par Value, on the <u>American Stock Exchange</u>. (Rel. 34-39275)

## APPROVAL OF PROPOSED RULE CHANGE

The Commission approved a proposed rule change filed the <u>National Association of Securities Dealers</u> (SR-NASD-97-42) to amend NASD Rule 2320(g) to provide authority to the staff of NASD Regulation to grant exemptions from such provision. (Rel. 34-39266)

### SECURITIES ACT REGISTRATIONS

The following registration statements have been filed with the SEC under the Securities Act of 1933. The reported information appears as follows: Form, Name, Address and Phone Number (if available) of the issuer of the security; Title and the number and/or face amount of the securities being offered; Name of the managing underwriter or

depositor (if applicable); File number and date filed; Assigned Branch; and a designation if the statement is a New Issue.

Registration statements may be obtained in person or by writing to the Commission's Public Reference Branch at 450 Fifth Street, N.W., Washington, D.C. 20549 or at the following e-mail box address: <public info @ sec>. In most cases, this information is also available on the Commission's website: <www.sec.gov>.

- F-1 METRONET COMMUNICATIONS CORP, STE 600 BOW VALLEY SQUARE TWO, 205 5TH AVE SW, CALGARY ALBERTA, A0 (403) 262-2255 - 111,000,000 (\$111,000,000) FOREIGN COMMON STOCK. (FILE 333-7794 - OCT. 16) (BR. 3)
- S-8 INCO LTD, ROYAL TRUST TOWER, 145 KING ST W STE 1500, TORONTO ONTARIO CANADA, A6 M5H 4 (416) 361-7511 - 7,000,000 (\$156,437,500) FOREIGN COMMON STOCK. (FILE 333-7798 - OCT. 17) (BR. 6)
- F-6 YANZHOU COAL MINING CO LTD /ADR/, 48 WALL ST, C/O BANK OF NEW YORK, NEWMYORK, NY 10286 (212) 815-1727 50,000,000 (\$2,500,000)

  DEPOSITARY RECEIPTS FOR COMMON STOCK (FILE 333-7800 OCT. 17) (BR. 99 NEW ISSUE)
- F-10 GULF CANADA RESOURCES LTD, ONE NORWEST CTR, 1700 LINCOLN STE 5000, DENVER, CO 80203 (303) 813-3800 250,000 (\$250,000,000) STRAIGHT BONDS. (FILE 333-7802 OCT. 17) (BR. 4)
- F-1 YANZHOU COAL MINING CO LTD, 40 FUSHAN RD, ZOUCHENG SHANDONG PROVINCE, PEOPLES REPUBLIC OF CHINA, F5 . (537) 538-3489 943,000,000 (\$442,267,000) FOREIGN COMMON STOCK. (FILE 333-7804 OCT 17) (BR. 4 NEW ISSUE)
- S-3 LEHMAN BROTHERS HOLDINGS INC, AMERICAN EXPRESS TWR,
  3 WORLD FINANCIAL CNTR, NEW YORK, NY 10048 (212) 526-7000 \$3,500,000,000
  STRAIGHT BONDS (FILE 333-38227 OCT 17) (BR 8)
- S-1 SWISSRAY INTERNATIONAL INC, 747 THIRD AVE, C/O GARY B WOLFF PC, NEW YORK, NY 10017 (212) 644-6497 - 5,000,000 (\$7,180,000) COMMON STOCK. (FILE 333-38229 - OCT. 20) (BR 1)
- S-8 BEN & JERRYS HOMEMADE INC, 30 TECHNOLOGY DRIVE, SUITE #1, S. BURLINGTON, VT 05403 (802) -65-1-96 400,000 (\$5,446,440) COMMON STOCK. (FILE 333-38233 OCT. 20) (BR 2)
- S-8 CENTENNIAL HEALTHCARE CORP, 400 PERIMETER CENTER TERRACE, ATLANTA, GA 30346 (770) 698-9040 383,163 (\$5,812,583) COMMON STOCK. (FILE 333-38235 OCT 20) (BR 1)
- S-8 ADVO INC, ONE UNIVAC LN, P O BOX 755, WINDSOR, CT 06095 (203) 285-6100 5,000 (\$101,719) COMMON STOCK. (FILE 333-38237 OCT 20) (BR. 2)
- S-8 CENTENNIAL HEALTHCARE CORP, 400 PERIMETER CENTER TERRACE, ATLANTA, GA 30346 (770) 698-9040 58,239 (\$456,011) COMMON STOCK. (FILE 333-38239 OCT 20) (BR 1)
- S-8 CENTENNIAL HEALTHCARE CORP, 400 PERIMETER CENTER TERRACE, ATLANTA, GA 30346 (770) 698-9040 62,427 (\$602,421) COMMON STOCK. (FILE 333-38241 OCT 20) (BR 1)
- S-8 KEY ENERGY GROUP INC, TWO TOWER CTR TENTH FLOOR, EAST BRUNSWICK, NJ 08816 (908) 247-4822 1,400,000 (\$47,600,000) COMMON STOCK. (FILE 333-38247 OCT 20) (BR 4)
- S-3 UNION CAMP CORP, 1600 VALLEY RD, WAYNE, NJ 07470 (973) 628-2000 400,000,000 (\$400,000,000) STRAIGHT BONDS (FILE 333-38249 OCT. 20) (BR 4)
- S-8 COLGATE PALMOLIVE CO, 300 PARK AVE, NEW YORK, NY 10022 (212) 310-2000 4,050,000 (\$293,118,750) COMMON STOCK. (FILE 333-38251 OCT 20) (BR. 2)
- NEWS DIGEST, October 27, 1997

- S-3 ENRON CORP/OR/, 1400 SMITH ST, HOUSTON, TX 77002 (713) 853-6161 176,634 (\$6,894,255) COMMON STOCK. (FILE 333-38253 OCT. 20) (BR. 4)
- S-8 AMERICAN RESOURCES & DEVELOPMENT CO, 102 WEST 500 SOUTH STE 400, SALT LAKE CITY, UT 84101 (801) 363-8961 - 17,000 (\$95,200) COMMON STOCK. (FILE 333-38257 - OCT. 20) (BR. 3)
- S-8 MOLEX INC, 2222 WELLINGTON CT, LISLE, IL 60532 (708) 969-4550 737,891 (\$33,527,922.31) COMMON STOCK. (FILE 333-38259 OCT. 20) (BR 6)
- S-1 JPS TEXTILE GROUP INC /DE/, 555 N PLEASANTBURG DR STE 202, GREENVILLE, SC 29607 (864) 239-3900 10,000,000 (\$123,330,000) COMMON STOCK. (FILE 333-38261 OCT. 20) (BR. 2)
- S-8 TELESERVICES INTERNATIONAL GROUP INC, 100 SECOND AVENUE SOUTH, SUITE 1000, ST PETERSBURG, FL 33701 (813) 895-4410 ~ 5,000,000 (\$8,906,250) COMMON STOCK. (FILE 333-38263 ~ OCT. 20) (BR. 9)
- S-3 WAVE SYSTEMS CORP, 480 PLEASANT ST, LEE, MA 01238 (413) 243-1600 5,858,340 (\$6,678,508) COMMON STOCK. (FILE 333-38265 OCT. 20) (BR 3)
- S-1 HEADLANDS MORTGAGE CO, 700 LARKSPUR LANDING CIRCLE STE 250, LARKSPUR, CA 94939 (415) 461-6790 9,200,000 (\$147,200,000) COMMON STOCK. (FILE 333-38267 OCT. 20) (BR. 7 NEW ISSUE)
- S-8 SHIVA CORP, 28 CROSBY DR, BEDFORD, MA 01730 (617) 270-8300 1,000,000 (\$14,312,500) COMMON STOCK (FILE 333-38269 OCT 20) (BR. 3)
- S-3 MAGAININ PHARMACEUTICALS INC, 5110 CAMPUS DR, PLYMOUTH MEETING, PA 19462 (610) 941-5231 2,875,000 (\$31,452,500) COMMON STOCK. (FILE 333-38271 OCT 20) (BR. 1)
- S-8 SEPTIMA ENTERPRISES INC, 600 SANDTREE DR, STE 212, LAKE PARK, FL 33403 (561) 624-7299 867,000 (\$864,750) COMMON STOCK (FILE 333-38273 OCT 20) (BR 9)
- S-8 PRINTRAK INTERNATIONAL INC, 1250 N TUSTIN AVE, ANAHEIM, CA 92807 (714) 238-2000 250,000 (\$2,257,812) COMMON STOCK (FILE 333-38275 OCT 20) (BR. 3)
- S-8 PRINTRAK INTERNATIONAL INC, 1250 N TUSTIN AVE, ANAHEIM, CA 92807 (714) 238-2000 116,489 (\$314,520) COMMON STOCK. (FILE 333-38277 OCT 20) (BR 3)
- SB-2 PARAVANT COMPUTER SYSTEMS INC /FL/, 780 S APOLLO BLVD, ATRIUM ONE, MELBOURNE, FL 32901 (407) 727-3672 300,000 (\$1,406,250) COMMON STOCK 420,000 (\$1,102,500) WARRANTS, OPTIONS OR RIGHTS. 420,000 (\$1,968,750) COMMON STOCK (FILE 333-38279 OCT. 20) (BR. 9)
- S-3 VITALINK PHARMACY SERVICES INC, 1250 E DIEHL RD, NAPERVILLE, IL 60563 (630) 245-4800 351,318 (\$7,837,904.58) COMMON STOCK. (FILE 333-38281 OCT 20) (BR 1)
- S-4 SIGNATURE RESORTS INC, 1875 SOUTH GRANT STREET, SUITE 650, SAN MATEO, CA 94402 (650) 312-7171 200,000,000 (\$200,000,000) STRAIGHT BONDS (FILE 333-38283 OCT 20) (BR 5)
- S-8 VISION TWENTY ONE INC, 7209 BRYAN DAIRY RD, LARGO, FL 33777
  (813) 545-4300 933,333 (\$11,841,662) COMMON STOCK (FILE 333-38285 OCT 20) (BR 1)
- S-1 EQUALITY BANCORP INC, 9920 WATSON ROAD, ST LOUIS, MO 63126 (314) 352-333 - 497,181 (\$4,122,524) COMMON STOCK. (FILE 333-38287 - OCT. 20) (BR. 7)
- S-8 TREND LINES INC, 135 AMERICAN LEGION HWY, REVERE, MA 02151 (617) 853-0900 - 250,000 (\$2,062,500) COMMON STOCK (FILE 333-38289 -OCT. 20) (BR 2)
- S-8 MALLINCKRODT INC /MO, 7733 FORSYTH BLVD, ST LOUIS, MO 63105 (314) 854-5299 - 2,800,000 (\$106,232,000) COMMON STOCK. (FILE 333-38291 -OCT 21) (BR 1)

- S-8 MALLINCKRODT INC /MO, 7733 FORSYTH BLVD, ST LOUIS, MO 63105 (314) 854-5299 - 900,000 (\$34,146,000) COMMON STOCK. (FILE 333-38293 -OCT 21) (BR 1)
- S-8 BELLSOUTH CORP, 1155 PEACHTREE ST NE, ATLANTA, GA 30367 (404) 249-2000 - 2,000,000 (\$93,687,500) COMMON STOCK (FILE 333-38295 - OCT. 21) (BR 3)
- S-8 TENET HEALTHCARE CORP, 3820 STATE STREET, SANTA BARBARA, CA 93105
  (805) 563-7000 20,000,000 (\$608,750,000) COMMON STOCK. (FILE 333-38299 OCT 21) (BR 1)
- S-3 GST TELECOMMUNICATIONS INC, 4317 NE THURSTON WAY, VANCOUVER, WA 98662 (360) 254-4700 \$200,000,000 STRAIGHT BONDS. (FILE 333-38301 OCT. 21) (BR 3)

### RECENT 8K FILINGS

Form 8-K is used by companies to file current reports on the following events:

- Item 1 Changes in Control of Registrant.
- Item 2 Acquisition or Disposition of Assets
- Item 3 Bankruptcy or Receivership
- Item 4 Changes in Registrant's Certifying Accountant
- Item 5 Other Materially Important Events.
- Item 6 Resignations of Registrant's Directors
- Item 7 Financial Statements and Exhibits.
- Item 8 Change in Fiscal Year
- Item 9 Regulation S Offerings

The following companies have filed 8-K reports for the date indicated and/or amendments to 8-K reports previously filed, responding to the item(s) of the form specified 8-K reports may be obtained in person or by writing to the Commission's Public Reference Branch at 450 Fifth Street, N.W., Washington, D.C. 20549 or at the following e-mail box address <public info @ sec>. In most cases, this information is also available on the Commission's website <www.sec gov>

	STATE			8K :	ITEM	NO			
NAME OF ISSUER	CODE	1	2	3 4	5 6	7	3 9	DATE	COMMENT
ADVANCED MICRO DEVICES INC	DE				x	X		10/07/9	7
ADVANCED VIRAL RESEARCH CORP	DE				X			09/26/9	7
AES CORPORATION	DE				X	X		10/21/9	7
AIRGAS INC	DE				X			10/23/9	7
ALKERMES INC	PA				X	X		09/30/9	7
ALPACA INC	NV	X	X			X		10/16/9	7
AMCON DISTRIBUTING CO	DE		X			X		10/10/9	7
AMERICAN BANKNOTE CORP	DE				x	X		10/24/9	7
AMERICAN BINGO & GAMING CORP	DE		X					10/23/9	7
AMERICAN COMMUNICATIONS SERVICES IN	DE				X			10/24/9	7
AMERICAN RADIO SYSTEMS CORP /MA/	DE				x	x		10/24/9	7 AMEND
AMERICAN REALTY TRUST INC	GA		x			x		10/16/9	7
AT&T CORP	NY				X	x		10/20/9	7
AVERY DENNISON CORPORATION	DE				x	x		10/23/9	7
BA MASTER CREDIT CARD TRUST /						x		09/30/9	7
BANKERS TRUST NEW YORK CORP	NY				X	X		10/23/9	7
BASIN EXPLORATION INC	DE					х		10/23/9	7
BERKSHIRE REALTY CO INC /DE	DE				x			10/23/9	7
BLACK WARRIOR WIRELINE CORP	DE		X		X	X		10/09/9	7

	STATE			8 K	TT	RM	NO.				
NAME OF ISSUER	CODE		2				7 8	9	DATE	COMME	NT
BOEING CAPITAL CORP	DE								10/23/9	 97	
BRITTON & KOONTZ CAPITAL CORP	MS				X		x		10/21/9	37	
BUTLER NATIONAL CORP	DE							X	10/23/9	7	
CELLSTAR CORP	DE				X		х	X	10/08/9	7	
CHASE MANHATTAN CORP /DE/	DE				X		x		10/21/9	7	
CKS GROUP INC	DE						x		06/25/9	7 AME	ND
COCA COLA CO	DE				X				10/23/9	7	
COLUMBIA BANKING SYSTEM INC	WA		X						10/24/9	97	
CONTROLLED ENVIRONMENTAL AQUACULTUR				X	:				09/20/9	7 AME	ND
E TECHNOLOGY INC CV THERAPEUTICS INC	DE				х		x		10/07/9	. 7	
DART GROUP CORP	DE				X		•		10/06/9		
DATAWATCH CORP	DE		x		**		x		10/07/9		
DESIGNER HOLDINGS LTD	DE	х	••				x		10/14/9		
DISCOVER CARD MASTER TRUST I	DE				х		X		10/23/9		
DISCOVER CARD MASTER TRUST I	DE				x		X		10/23/9		
DURA PHARMACEUTICALS INC/CA	DE				x		X		10/21/9		
DUSA PHARMACEUTICALS INC	ŊJ				x		X		10/24/9		
EAGLE BANCGROUP INC	DE			Х					10/24/9		
EG&G INC	MA			•	Х				10/22/9		
EIP MICROWAVE INC	DE			Х			х		10/09/9		ND
EL CHICO RESTAURANTS INC	TX		х						10/24/9		
EMPIRE GOLD INC	IN							х	10/27/9		
ENERGY VENTURES INC /DE/	DE				Х		x		10/24/9		
EPL TECHNOLOGIES INC	CO				Х		x		10/21/9		
FIRST PALM BEACH BANCORP INC	DE				X		x		10/21/9		
FISERV INC	DE		х				x		10/22/9		
FLIR SYSTEMS INC	OR				X		x		10/06/9		
FROST HANNA CAPITAL GROUP INC	FL				X		x		10/16/9	7	
GDC GROUP INC	CO		X			x	x		09/30/9	6	
GDC GROUP INC	CO		X		X	х	x		11/14/9	16	
GENERAL ACCEPTANCE CORP /IN/	IN	X							09/16/9	7	
GENERAL BEARING CORP	DE			Х			x		10/21/9	7	
GENERAL BINDING CORP	DE				Х		X		10/20/9	7	
GENESIS HEALTH VENTURES INC /PA	PA		X				x		10/09/9	7	
GLOBAL ONE DISTRIBUTION & MERCHANDI SING INC	DE		X		X		X		10/08/9	7	
HEALTH CARE REIT INC /DE/	DE				х		x		10/24/9	7	
HENLEY HEALTHCARE INC	TX			х			x		10/20/9		
HNC SOFTWARE INC/DE	DE			^	x		•		10/16/9		
HORIZON PHARMACIES INC	DE				21		x		08/02/9		NT
HORIZON PHARMACIES INC			х				x		10/11/9		
IBIS TECHNOLOGY CORP	MA		••		х		x		10/24/9		
IMPCO TECHNOLOGIES INC	DE				X		•-		10/27/9		
MADISON BANCSHARES GROUP LTD	PA				Х		х		10/23/9		
MEDIMMUNE INC /DE	DE				Х				10/24/9		
MID PENN BANCORP INC	PA				X		х		10/23/9		
MULTICARE COMPANIES INC	DE	х			X				10/09/9		
NATIONAL BANCORP OF ALASKA INC	DE				X				10/24/9		
NORWEST ASSET SECURITIES CORP	DE				x		х		10/24/9		
NORWEST ASSET SECURITIES CORP MOR P	DE				X		x		07/30/9		
AS TH CERT SER 1997-10 TR	22				••				0.,00,5	•	
NORWEST ASSET SECURITIES CORP MOR P	DE				X		x		07/25/9	7	
AS TH CERT SER 1997-11 TR NORWEST ASSET SECURITIES CORP MORT	NY				x		x		01/30/9	7	
PASS THR CERT SER 1997-01 NORWEST ASSET SECURITIES CORP MORT	DE				x		x		04/29/9	7	
PS THR CER SER 1997-06 TR											
NORWEST ASSET SECURITIES CORP MORT	DE				Х		Х		04/28/9	1	

PS THR CER SER 1997-07 TR

		STATE			ľ	TEM	N	٥.			
NAME OF ISSUER	CODE			3 4			7	8	9	DATE	COMMENT
NORWEST ASSET SECURITIES CORP MORT	DE					X	x			06/26/9	7
PS THR CER SER 1997-09 TR											
OMEGA HEALTH SYSTEMS INC	DE		X				X			05/02/9	7 AMEND
OMNIS TECHNOLOGY CORP	DE				2	K				10/24/9	7
OXFORD AUTOMOTIVE INC			X				X			08/13/9	7
PETRO UNION INC	co								X	10/10/9	7
PP&L INC	PA	x								10/24/9	7
PP&L RESOURCES INC	PA	x								10/24/9	7
PRIME RECEIVABLES CORP	DE						X			10/15/9	7
PUGET SOUND ENERGY INC	WA				2	ζ.				10/23/9	7
PURO WATER GROUP INC	DE				2	(	х			10/24/9	7
RAINBOW MEDICAL INC /	FL			Х			x			09/17/9	7 AMEND
RAWLINGS SPORTING GOODS CO INC	DE				2	(	х			10/24/9	7
RESIDENTIAL ACCREDIT LOANS INC	DE				2	ζ.	X			10/24/9	7
RESIDENTIAL ACCREDIT LOANS INC	DE				2	ζ.	x			10/24/9	7
RESIDENTIAL FUNDING MORTGAGE SECURI	DE				3	(	x			10/24/9	7
ROBERTS REALTY INVESTORS INC	GA.						x			08/26/9	7 AMEND
ROCHEM ENVIRONMENTAL INC	UT			х			x			10/08/9	
ROOM PLUS INC	NY				,	ζ.				09/29/9	
ROYAL CASINO GROUP INC	UT	x								10/20/9	7
SANCTUARY WOODS MULTIMEDIA CORP	DE			х						10/16/9	
SANTA ANITA OPERATING CO	DE				2	(				10/02/9	7
SANTA ANITA REALTY ENTERPRISES INC	DE				,					10/02/9	
SIGNATURE RESORTS INC	MD				X		х			10/23/9	
SMITH ENVIRONMENTAL TECHNOLOGIES CO	DE	x			-	-	-			10/08/9	
SOVRAN SELF STORAGE INC	MD		X				х			10/09/9	7
STRUCTURED ASSET SECURITIES CORP SE RIES 1997 LL I	DE				X	:	X			10/24/9	7
TELE COMMUNICATIONS INTERNATIONAL I	DE				X		X			10/24/9	7
THERMO TERRATECH INC	DE		х				х			10/10/9	,
TRAK AUTO CORP	DE				х		х			10/06/9	,
TRANZONIC COMPANIES	ОН		x				х			10/15/9	,
TRUSTED INFORMATION SYSTEMS INC	DE		X							10/16/9	,
TRUSTED INFORMATION SYSTEMS INC	DE		X							10/16/97	,
UNITED SECURITY BANCORPORATION	WA		x							10/24/9	
VORNADO REALTY TRUST	MD				х		x			10/24/97	
VORNADO REALTY TRUST	MD				x		X			10/24/97	
WESTERN BANCORP	CA		х		X		-			10/10/97	
4HEALTH INC	UT				X					10/14/97	