# SEC NEWS DIGEST

Issue 97-203

October 21, 1997

## COMMISSION ANNOUNCEMENTS

# NOTICE BY THE DIVISION OF MARKET REGULATION

Due to building renovation of the SEC's home office, staff in the Division of Market Regulation will be moving and unavailable to the public on Friday afternoon, October 24, 1997. If you are unable to reach individual staff member in the Division, please call (202) 942-0090.

## ENFORCEMENT PROCEEDINGS

# COMMISSION DENIES PETITION OF STEPHEN RANGEN FOR RECONSIDERATION

On October 20, the Commission denied the petition of Stephen T. Rangen, of Los Angeles, California, for reconsideration of the Commission's order sustaining disciplinary action taken against him by the New York Stock Exchange.

The Commission had found that Rangen made unsuitable recommendations to three unsophisticated investors, and excessively traded their accounts. He ignored his customers' conservative investment objectives, and pursued an aggressive short-term trading strategy that resulted in extremely high turnover rates. The Commission had accordingly sustained the Exchange's sanctions of censure and a four-year suspension.

The Commission rejected Rangen's petition for reconsideration, finding that all of his numerous objections to the Commission's findings were lacking in merit. (Rel. 34-39260; File No. 3-8994)

# PATRICIA GALE ENJOINED

The Commission announced that on October 3 Judge Nancy G. Edmunds of the United States District Court for the Eastern District of Michigan entered an Order of Permanent Injunction (Order) against Patricia S. Gale (Gale) enjoining her from further violations of the antifraud and broker-dealer registration provisions of the federal securities laws, ordering \$39,939.29 of disgorgement, including pre-

judgment interest, and civil penalties. The Court will set the specific amount of civil penalties in a subsequent hearing. Gale consented to the entry of the Order, without admitting or denying the allegations in the Commission's complaint.

The complaint, filed on September 30, 1996, alleged that from approximately 1990 through 1994, Gale, among others, participated in a scheme whereby a total of approximately 120 investors were defrauded through the offer and sale of over \$3 million in fictitious certificates of deposit issued by a purported international bank, Century Security Bank and Trust, Ltd., and interests in two investment clubs, the Profit Masters Group and Meca International, Inc. In connection therewith, misrepresentations and omissions of material facts were made concerning the legitimacy, returns and risks of the investments, the commissions received, and the use of investor proceeds. [SEC v. Gary W. Berus, et al., USDC, E.D. Michigan., Civil Action No. 96-CV-74524] (LR-15536)

# INSIDER TRADING COMPLAINT AGAINST ANTEC CHAIRMAN AND NINE OF HIS FAMILY MEMBERS AND NEIGHBORS

On September 30, the Commission filed a complaint against Bruce Van Wagner (Van Wagner), of Lake Forest, Illinois, his wife Jocelyn Van Wagner (Jocelyn), five of his children, Peter Van Wagner, Mary Jane Reed, Laurey Van Wagner, Ellis Van Wagner and Mark Van Wagner; three of his neighbors, Carol Gwaltney, Barbara Blanchard and Dudley Blanchard; and as relief defendants, Donald Gwaltney and Jane Gwaltney. Van Wagner is a board member of Antec Corporation (Antec), a publicly-held company based in Rolling Meadows, Illinois, and was Chairman of Antec from 1993 to 1997. According to the complaint, on June 9, 1995, Antec issued a press release regarding a significant shortfall in second quarter earnings. On the day of the announcement, the stock dropped \$8.00 per share from its previous day's close of \$23.50 per share. The complaint alleges that Van Wagner learned of the potential earnings shortfall on or about June 1, and that he passed this confidential information to The complaint further alleges that, between June 1 and Jocelyn. June 9, Van Wagner or Jocelyn tipped five children and three neighbors, all of whom sold Antec stock before the June press release, thereby avoiding total losses of approximately \$75,000. Barbara Blanchard and Dudley Blanchard, each consented, without admitting or denying the allegations in the complaint, to the entry of a Final Judgment and Order enjoining them from future violations of Section 17(a) of the Securities Act of 1933 and Section 10(b) of the Securities Exchange Act of 1934 and Rule 10b-5 thereunder. They also agreed to disgorge \$10,650 plus pre-judgment interest, and to pay a \$10,650 civil penalty. [SEC v. Bruce Van Wagner, et al., 97 C 6826, USDC, N.D. Ill.] (LR-15537)

# INVESTMENT COMPANY ACT RELEASES

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# EMERALD FUNDS

A notice has been issued giving interested persons until November 14, 1997, to request a hearing on an application filed by Emerald Funds (Trust) under Section 17(b) of the Investment Company Act for an exemption from Section 17(a) of the Act. The order would permit an in-kind redemption of Trust shares held by an affiliated person of the Trust. (Rel. IC-22861 - October 20)

#### SELF-REGULATORY ORGANIZATIONS

# IMMEDIATE EFFECTIVENESS OF PROPOSED RULE CHANGE

A proposed rule change (SR-PHLX-97-40) filed by the <u>Philadelphia Stock Exchange</u> to amend Exchange Rules 500 and 960.9 to comply with recent modifications made to the By-Laws of the Exchange has become immediately effective under Section 19(b)(3)(A) of the Securities Exchange Act of 1934. Publication of the notice is expected in the <u>Federal Register</u> during the week of October 20. (Rel. 34-39258)

## ACCELERATED APPROVAL OF PROPOSED RULE CHANGE

The Commission granted accelerated approval to a proposed rule change filed by the <u>Chicago Stock Exchange</u> (SR-CHX-97-22) to provide for a fifteen second delay before a MAX execution except where the spread between the ITS Best Bid and ITS Best Offer is equal to the minimum price variation in the relevant issue. (Rel. 34-39259)

#### SECURITIES ACT REGISTRATIONS

The following registration statements have been filed with the SEC under the Securities Act of 1933. The reported information appears as follows: Form, Name, Address and Phone Number (if available) of the issuer of the security; Title and the number and/or face amount of the securities being offered; Name of the managing underwriter or depositor (if applicable); File number and date filed; Assigned Branch; and a designation if the statement is a New Issue.

Registration statements may be obtained in person or by writing to the Commission's Public Reference Branch at 450 Fifth Street, N.W., Washington, D.C. 20549 or at the following e-mail box address: <public info @ sec>. In most cases, this information is also available on the Commission's website: <www.sec.gov>.

F-6 ACCOR SA /ADR/, 48 WALL ST, C/O BANK OF NEW YORK, NEW YORK, NY 10286 (212) 495-1727 - 25,000,000 (\$1,250,000)

DEPOSITARY RECEIPTS FOR COMMON STOCK (FILE 333-7712 - OCT 06) (BR 99 - NEW ISSUE)

F-8 HOLLINGER INC, 1827 WEST 5TH AVE, VANCOUVER BRITISH COLUMBIA, CANADA V6J 1P5, A1 (416) 363-8721 - 5,036,811 (\$23,924,852.30) FOREIGN PREFERRED STOCK (FILE 333-7716 - OCT 07) (BR 5)

- F-6 TATE & LYLE PLC, 48 WALL STREET, C/O CITIBANK N A, NEW YORK, NY 10286 (212) 495-1727 20,000,000 (\$1,000,000)

  DEPOSITARY RECEIPTS FOR COMMON STOCK (FILE 333-7718 OCT 07) (BR 99)
- F-1 HUNGARIAN TELECOMMUNICATIONS CO LTD,
  MAGYAR TAVKOZLESI RT KRISZTINA KRT 6-8, H1122 BUDAPEST HUNGARY, K5
  (361) 155-0550 \$1,000,000 FOREIGN COMMON STOCK (FILE 333-7720 OCT 08) (BR. 3 NEW ISSUE)
- S-8 ACCUGRAPH CORP, 801 E CAMPBELL, SUITE 525, RICHARDSON, TX 75081 (915) 581-1171 2,000,000 (\$1,875,000) COMMON STOCK. (FILE 333-7722 OCT 07) (BR 3)
- S-8 SUTTON RESOURCES LTD /CANADA/, 999 W HASTINGS ST, STE 900, VANCOUVER BRITISH COL CANADA, A1 (203) 364-5735 - 2,437,600 (\$20,544,941 70) FOREIGN COMMON STOCK. (FILE 333-7724 - OCT. 08) (BR 4)
- F-6 BARBEQUES GALORE LTD /ADR/, 60 WALL ST,

  C/O MORGAN GUARANTY TRUST CO OF NY, NEW YORK, NY 10260 (212) 648-3250 50,000,000 (\$2,500,000) DEPOSITARY RECEIPTS FOR COMMON STOCK (FILE
  333-7726 OCT 08) (BR 99 NEW ISSUE)
- F-6 HUNGARIAN TELECOMMUNICATIONS CO LTD /ADR/, 60 WALL ST,
  C/O MORGAN GUARANTY TRUST CO OF NY, NEW YORK, NY 10260 (212) 648-1250 50,000,000 (\$2,500,000) DEPOSITARY RECEIPTS FOR COMMON STOCK (FILE
  333-7728 OCT 08) (BR. 99 NEW ISSUE)
- F-3 TECNOMATIX TECHNOLOGIES LTD, DELTA HOUSE, 16 HAGALIM AVE, HERZILYA 46733 ISRAE, L3 (313) 471-6140 - 97,750,000 (\$97,750,000) STRAIGHT BONDS (FILE 333-7734 - OCT 09) (BR 3)
- F-6 RHONE POULENC S A /ADR/, 111 WALL ST, C/O CITIBANK NA, NEW YORK, NY 10043 (210) 530-1784 100,000,000 (\$5,000,000)
  DEPOSITARY RECEIPTS FOR COMMON STOCK (FILE 333-7736 OCT 09) (BR 99)
- F-6 KAWASAKI HEAVY INDUSTRIES LTD /ADR/, 48 WALL ST, C/O BANK OF NEW YORK, NEW YORK, NY 10286 (212) 495-1727 20,000,000 (\$1,000,000)

  DEPOSITARY RECEIPTS FOR COMMON STOCK (FILE 333-7738 OCT 09) (BR 99

   NEW ISSUE)
- F-3 NICE SYSTEMS LTD, ATIDIM INDUSTRIAL PK BLDG 7,
  NEVE SHARETT PO BOX 58070, TEL AVIV 61580 ISRAEL, L3 (212) 267-3545 435,000 (\$25,230,000) FOREIGN COMMON STOCK (FILE 333-7740 OCT 09)
  (BR 3)
- F-10 ROYAL GROUP TECHNOLOGIES LTD, 1 ROYAL GATE BLVD, WOODBRIDGE,
  ONTARIO CANADA, A6 (905) 264-0701 4,025,000 (\$111,693,750)
  FOREIGN COMMON STOCK (FILE 333-7742 OCT. 09) (BR. 6 NEW ISSUE)
- F-10 ROYAL GROUP TECHNOLOGIES LTD, 1 ROYAL GATE BLVD, WOODBRIDGE, ONTARIO CANADA, A6 (905) 264-0701 3,277,500 (\$90,950,625) FOREIGN COMMON STOCK (FILE 333-7744 OCT 09) (BR 6)
- F-3 MADECO SA, URETA COX 930, SANTIAGO CHILE, F3 (212) 495-1727 9,823,190 (\$22,789,800 80) FOREIGN COMMON STOCK (FILE 333-7746 OCT 09) (BR 6)
- F-3 LERNOUT & HAUSPIE SPEECH PRODUCTS NV, SINT KRISPIJNSTRAAT 7, 8900 IEPER, BELGIUM, C9 (325) 722-8888 510,000 (\$30,090,000) FOREIGN COMMON STOCK (FILE 333-7754 OCT. 10) (BR. 3)
- S-3 SILICON VALLEY GROUP INC, 101 METRO DRIVE, SUITE 400, SAN JOSE, CA 95110 (408) 434-0500 489,296 (\$16,225,055) COMMON STOCK (FILE 333-37781 OCT 14) (BR 5)
- S-4 PATRIOT BANK CORP, HIGH & HANOVER STREETS, P O BOX 1090, POTTSTOWN, PA 19464 (610) 323-1500 - 19,000,000 (\$19,000,000) STRAIGHT BONDS (FILE 333-37783 - OCT 14) (BR. 7)
- S-8 SCHLOTZSKYS INC, 200 WEST FOURTH ST, AUSTIN, TX 78701 (512) 469-7500 -150,000 (\$2,953,500) COMMON STOCK (FILE 333-37785 - OCT 14) (BR 2)
- S-3 SOUTH CAROLINA ELECTRIC & GAS CO, 1426 MAIN ST, COLUMBIA, SC 29201
- 4 NEWS DIGEST, October 21, 1997

- (803) 748-3000 50,000,000 (\$50,000,000) STRAIGHT BONDS. (FILE 333-37787 OCT 14) (BR 4)
- S-1 FLORIDA PANTHERS HOLDINGS INC, 100 NORTHEAST THIRD AVE, 10TH FL, FT LAUDERDALE, FL 33301 (954) 768-1900 - 940,047 (\$22,825,516.22) COMMON STOCK (FILE 333-37789 - OCT 14) (BR 5)
- S-8 PREMIER CONCEPTS INC /CO/, 3033 S PARKER RD STE 120, THE SKY DECK, DENVER, CO 80014 (303) 338-1800 290,000 (\$813,625) COMMON STOCK. (FILE 333-37791 OCT 14) (BR 2)
- S-3 FORELAND CORP, 12596 W BAYAUD AVE STE 300, UNION TERRACE OFFICE BLDG, LAKEWOOD, CA 80228 (303) 988-3122 - 515,639 (\$1,965,874) COMMON STOCK (FILE 333-37793 - OCT. 14) (BR 4)
- S-8 US XPRESS ENTERPRISES INC, 2931 SOUTH MARKET ST, CHATTANOGGA, TN 37410 (615) 696-7377 300,000 (\$6,543,000) COMMON STOCK (FILE 333-37795 OCT 14) (BR 5)
- S-3 EVERGREEN RESOURCES INC, 1000 WRITER SQ, 1512 LARIMER ST, DENVER, CO 80202 (303) 534-0400 - 200,000 (\$3,600,000) COMMON STOCK (FILE 333-37797 - OCT 14) (BR 4)
- S-2 CAROLINA FIRST BANCSHARES INC, 402 E MAIN ST, P O BOX 657, LINCOLNTON, NC 28093 (704) 732-2222 225,000 (\$5,625,000) COMMON STOCK (FILE 333-37801 OCT 14) (BR 7)
- S-3 HOMESTEAD VILLAGE INC, 2100 RIVEREDGE PKWY, ATLANTA, GA 30328 (770) 303-2200 - \$300,000,000 COMMON STOCK (FILE 333-37803 - OCT. 14)
- S-8 STIFEL FINANCIAL CORP, 500 N BROADWAY, 14TH FLOOR, ST LOUIS, MO 63102 (314) 342-2000 - 600,000 (\$8,287,500) COMMON STOCK (FILE 333-37805 -OCT 14) (BR 8)
- S 6 STIFEL FINANCIAL CORP, 500 N. BROADWAY, 14TH FLOOR, ST LOUIS, MO 63102 (314) 342-2000 750,000 (\$10,359,375) COMMON STOCK (FILE 333-37807 OCT 14) (BE 8
- S-3 FIRST MIDWEST BANCORP INC, 300 PARK BLVD SUITE 405, P O BOX 459, ITASCA, IL 60143 (708) 875-7450 1,520,611 (\$57,783,218) COMMON STOCK (FILE 333-37809 OCT 14) (BR 7)
- S-1 TEXAS PETROCHEMICAL HOLDINGS INC, 8707 KATY FREEWAY, SUITE 300, HOUSTON, TX 77024 (713) 461-3322 57,650,103 (\$30,000,000) STRAIGHT BONDS (FILE 333-37811 OCT 14)
- S-8 INNOVATIVE GAMING CORP OF AMERICA, 4750 TURBO CIRCLE, STE 60, RENO, NV 89502 (702) 823-3000 100,000 (\$487,500) COMMON STOCK (FILE 333-37813 OCT 14) (BR 6)
- S-8 INNOVATIVE GAMING CORP OF AMERICA, 4750 TURBO CIRCLE, STE 60, RENO, NV 89502 (702) 823-3000 - 250,000 (\$1,218,750) COMMON STOCK (FILE 333-37815 - OCT 14' (BR 6)
- S-8 RAINFOREST CAFE INC, 720 SOUTH FIFTH STREET, HOPKINS, MN 55343 (612) 945-5400 1,000,000 (\$34,125,000) COMMON STOCK (FILE 333-37817 OCT 14) (BR 2)
- S-8 RAINFOREST CAFE INC, 720 SOUTH FIFTH STREET, HOPKINS, MN 55343 (612) 945-5400 - 200,000 (\$6,826,000) COMMON STOCK (FILE 333-37819 -OCT 14) (BR 2)
- S-1 APOLLON INC, ONE GREAT VALLEY PARKWAY, MALVERN, PA 19355 (610) 647-9452 2,875,000 (\$37,375,000) COMMON STOCK (FILE 333-37821 OCT 14)
- S-8 WEINGARTEN REALTY INVESTORS /TX/, 2600 CITADEL PLAZA DR, P O BOX 924133, HOUSTON, TX 77008 (713) 866-6000 500,000 (\$20,125,000)

  COMMON SHARES OF BENEFICIAL INTEREST. (FILE 333-37823 OCT 14) (BR 8)
- S-3 DEL GLOBAL TECHNOLOGIES CORP, 1 COMMERCE PARK, VALHALLA, NY 10595 (914) 686-3600 - 25,000 (\$290,000) WARRANTS, OPTIONS OR RIGHTS 35,609 (\$413,064 40) COMMON STOCK (FILE 333-37825 - OCT. 14) (BR 6)

- S-3 TIME WARNER INC/, TIME & LIFE BLDG ROCKFELLER CENTER,
  75 ROCKEFELLER PLAZA, NEW YORK, NY 10019 (212) 484-8000 \$950,000,000
  STRAIGHT BONDS (FILE 333-37827 OCT. 14) (BR 5)
- S-8 ROBERDS INC, 1100 EAST CENTRAL AVE, DAYTON, OH 45449 (513) 859-5127 350,000 (\$1,137,500) COMMON STOCK (FILE 333-37829 OCT 14) (BR 2)
- S-8 WEINGARTEN REALTY INVESTORS /TX/, 2600 CITADEL PLAZA DR, P O BOX 924133, HOUSTON, TX 77008 (713) 866-6000 200,000 (\$8,050,000) COMMON SHARES OF BENEFICIAL INTEREST. (FILE 333-37831 OCT. 14) (BR. 8)
- S-1 BALANCED CARE CORP, 5021 LOUISE DR, SUITE 200, MECHANICSBURG, PA 17055 (717) 796-6100 8,005,000 (\$88,055,000) COMMON STOCK (FILE 333-37833 OCT 14)
- S-1 XPLOR ENERGY INC, 10200 GROGANS MILL ROAD SUITE 500, THE WOODLANDS, TX 77380 (281) 364-3700 \$57,500,000 COMMON STOCK (FILE 333-37835 OCT 14) (NEW ISSUE)
- S-8 GA FINANCIAL INC/PA, 4750 CLAIRTON BLVD, PITTSBURGH, PA 15236 (412) 882-9800 - 1,246,000 (\$18,589,955) COMMON STOCK (FILE 333-37837 - OCT 14) (BR 7)
- S-4 GOTHIC ENERGY CORP, 5727 S LEWIS AVE STE 700, P O BOX 186, TULSARD, OK 74105 (918) 749-5666 100,000,000 (\$100,000,000) STRAIGHT BONDS (FILE 333-37839 OCT 14) (BR 4)
- S-3 MICROSOFT CORP, ONE MICROSOFT WAY #BLDG 8, NORTH OFFICE 2211, REDMOND, WA 98052 (206) 882-8080 325,728 (\$44,351,125) COMMON STOCK (FILE 333-37841 OCT 14) (BR 3)
- S-8 TEKELEC, 26580 W AGOURA RD, CALABASAS, CA 91302 (818) 880-5656 180,000 (\$5,068,125) COMMON STOCK (FILE 333-37843 OCT 14) (BR 3)
- S-4 EASTERN ENVIRONMENTAL SERVICES INC, 1000 CRAWFORD PLACE, MT LAUREL, NJ 08054 (609) 235-6009 5,000,000 (\$111,400,000) COMMON STOCK. (FILE 333-37845 OCT 14) (BR 4)
- S-1 FIRST INTERSTATE BANCSYSTEM OF MONTANA INC, P O BOX 30918, 401 NO 31ST STREET, BILLINGS, MT 59116 (406) 255-5300 - 1,600,000 (\$40,000,000) PREFERRED STOCK (FILE 333-37847 - OCT 14) (BR 7)
- S-3 PMT SERVICES INC /TN/, 3841 GREEN HILLS VILLAGE DR, NASHVILLE, TN 37215 (615) 254-1539 1,941,475 (\$32,641,048 44) COMMON STOCK (FILE 333-37849 OCT 14) (BR 6)
- S-3 AMERICAN GENERAL CORP /TX/, 2929 ALLEN PKWY, HOUSTON, TX 77019 (713) 522-1111 - 66,000 (\$3,572,250) COMMON STOCK (FILE 333-37851 - OCT 14) (BR 1)
- S-8 DIAMOND MULTIMEDIA SYSTEMS INC, 2880 JUNCTION AVE, SAN JOSE, CA 95134 (408) 325-7000 425,000 (\$5,187,500) COMMON STOCK (FILE 333-37855 OCT 14' (BR 3)
- S-3 STORAGE USA INC, 10440 LITTLE PATUXENT PKWAY STE 1100, COLUMBIA, MD 21044 (410) 730-9500 18,282 (\$734,754) COMMON STOCK (FILE 333-37857 OCT 14) (BR 8)
- S-8 MONTEREY HOMES CORP, 6613 N SCOTTSDALE RD, STE 200, SCOTTSDALE, AZ 85250 (602) 998-8700 475,000 (\$2,389,500) COMMON STOCK (FILE 333-37859 OCT 14) (BR 8)
- S-4 SUIZA FOODS CORP, 3811 TURTLE CREEK BLVD, STE 1300, DALLAS, TX 75219 (214) 528-9922 1,911,075 (\$31,781,177.25) COMMON STOCK 11,691 (\$3,741,120) PREFERRED STOCK (FILE 333-37861 OCT 14) (BR 2)
- S-3 AIRGAS INC, 100 MATSONFORD RD STE 550, 5 RADNOR CORPORATE CENTER, RADNOR, PA 19087 (215) 687-5253 - 295,469 (\$4,422,875 46) COMMON STOCK (FILE 333-37863 - OCT 14) (BR 4)
- S-8 AMERICAN BUSINESS INFORMATION INC /DE, 5711 S 86TH CIRCLE, OMAHA, NE 68127 (402) 593-4500 7,061,525 (\$84,514,080) COMMON STOCK (FILE

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- S-3 CITYSCAPE FINANCIAL CORP, 565 TAXTER RD, ELMSFORD, NY 10523
  (914) 592-6677 10,500,000 (\$103,687,500) COMMON STOCK (FILE 333-37867 OCT 14) (BR 8)
- S-4 SUIZA FOODS CORP, 3811 TURTLE CREEK BLVD, STE 1300, DALLAS, TX 75219 (214) 528-9922 12,600,000 (\$642,096,000) COMMON STOCK (FILE 333-37869 OCT 14) (BR 2)
- S-8 COMPUWARE CORPORATION, 31440 NORTHWESTERN HWY, FARMINGTON HILLS, MI 48334 (810) 737-7300 - 4,000,000 (\$248,250,000) COMMON STOCK (FILE 333-37873 - OCT 14) (BR 3)
- S-3 DAYTON SUPERIOR CORP, 721 RICHARD ST, MIAMISBURG, OH 45342
  (513) 866-0711 1,492,604 (\$27,146,735) COMMON STOCK (FILE 333-37875 OCT 14) (BR 6)
- S-3 AMERICAN GENERAL CORP /TX/, 2929 ALLEN PKWY, HOUSTON, TX 77019 (713) 522-1111 - 36,000 (\$1,948,500) COMMON STOCK (FILE 333-37877 - OCT 14) (BR. 1)
- SB-2 CAPITAL GROWTH HOLDINGS LTD /DE/, 660 STEAMBOAT ROAD 2ND FLOOR, SUITE 410, GREENWICH, CT 06830 (203) 861-7750 - 4,748,480 (\$11,871,200) COMMON STOCK (FILE 333-37879 - OCT 14) (BR. 9)
- S-3 COINMACH LAUNDRY CORP, 55 LUMBER ROAD, C/O COINMACH CORP, ROSLYN, NY 11576 (212) 278-1509 4,312,500 (\$102,960,937) COMMON STOCK (FILE 333-37881 OCT 14) (BR 6)
- S-8 THINK NEW IDEAS INC, 45 W 36 STREET 12TH FLOOR, SUITE 160, NYE YORK, NY 10018 (212) 629-6800 2,000,000 (\$22,940,000) COMMON STOCK (FILE 333-37885 OCT 14) (BR 9)
- S-8 FRIEDMAN INDUSTRIES INC, 4001 HOMESTEAD RD, HOUSTON, TX 77028 (713) 672-9433 461,650 (\$4,299,116) COMMON STOCK (FILE 333-37887 OCT 14) (BR 6.
- S-8 ALASKA APOLLO RESOURCES INC 131 PROSPEROUS PL, STE 17-A, LEXINGTON, KY 40509 (602) 279-2070 123,275 (\$47,378) COMMON STOCK (FILE 333-37889 OCT 14) (BR 4)
- S-1 WENTWORTH J G & CO INC, GRAHAM BUILDING 10TH FL, 15TH & RANSTEAD STREETS, PHILADELPHIA, PA 19102 (215) 567-7660 - 6,079,749 (\$97,275,984) COMMON STOCK (FILE 333-37891 - OCT. 15) (NEW ISSUE)
- S-3 K SWISS INC, 20664 BAHAMA ST, CATSWORTH, CA 91311 (818) 998-3388 300,000 (\$5,137,500) COMMON STOCK (FILE 333-37895 OCT 15) (BR 2)