# SEC NEWS DIGEST

Issue 97-201 October 17, 1997

# COMMISSION ANNOUNCEMENTS

# NOTICE BY THE DIVISION OF MARKET REGULATION

Due to building renovation of the SEC's home office, staff in the Division of Market Regulation will be moving and unavailable to the public on Friday afternoon, October 24, 1997. If you are unable to reach individual staff member in the Division, please call (202) 942-0090.

# SEC AND GERMAN BAWE SIGN MEMORANDUM OF UNDERSTANDING

The U.S. Securities and Exchange Commission and the Bundesaufsichtsamt für den Wertpapierhandel (BAWe) (Germany's securities regulator) jointly announced the signing today of a Memorandum of Understanding (MOU). The MOU sets forth a practical approach for cooperating and sharing information in enforcing U.S. and German securities laws. (Press Rel. 97-92)

# ENFORCEMENT PROCEEDINGS

PRELIMINARY INJUNCTION ISSUED AGAINST WOODLAND HILLS, CALIFORNIA BROKERAGE FIRM AND OTHERS

The Commission announced that on October 14 the Honorable Dickran Tevrizian, United States District Judge for the Central District of California, issued an order preliminarily enjoining all six defendants, Whitworth Energy Resources, Ltd.; Williston Basin Holding, Corp.; Amerivest Financial Group, Inc., a registered broker-dealer; Peter Sacker; Jerry W. Anderson; and Robert M. Kerns, from further violations of the antifraud provisions of the Securities Act and Exchange Act. The Order also provides that a previously ordered asset freeze will continue in full force and effect, and prohibits the defendants from destroying documents. The Court denied the defendants' motion to remove or limit the powers of the receiver, Thomas F. Lennon, who was appointed permanently to take control of the three corporate defendants, and their affiliates, which are all located in Woodland Hills, California.

The Commission's complaint alleges that since 1992, the defendants raised between \$16 and \$19 million from over 500 investors in a Ponzi-like scheme. Additionally, the defendants in some cases do not own the oil and gas wells they claim to own, and sold the same interests in wells to at least three different groups of investors. [SEC v. Whitworth Energy Resources, Ltd., et al., Civil Action No. 97-6980, DT, SHx, C.D. Cal.] (LR-15534)

# INVESTMENT COMPANY ACT RELEASES

VARIABLE ANNUITY PORTFOLIOS, ET AL.

An order has been issued pursuant to Section 6(c) of the Investment Company Act exempting Variable Annuity Portfolios (Trust) and Citibank, N.A. from the provisions of Sections 9(a), 13(a), 15(a) and 15(b) of the Act and Rules 6e-2(b)(15) and 6e-3(T)(b)(15) thereunder. The order provides exemptions to the extent necessary to permit shares of the Trust to be sold to and held by separate accounts funding variable annuity and variable life insurance contracts issued by both affiliated and unaffiliated life insurance companies; qualified pension and retirement plans; and subadvisers to certain series of the Trust. (Rel. IC-22857 -October 16)

# SELF-REGULATORY ORGANIZATIONS

# IMMEDIATE EFFECTIVENESS OF PROPOSED RULE CHANGES

The <u>American Stock Exchange</u> filed a proposed rule change (SR-Amex-97-27) to establish hedge exemptions from narrow-based and broadbased index options position and exercise limits. Publication of the approval order is expected in the <u>Federal Register</u> during the week of October 13. (Rel. 34-39211)

The <u>Chicago Board Options Exchange</u> filed a proposed rule change, which became effective upon filing, relating to the Routing of Firm and Broker-Dealer Orders to the Par Workstations in the DIX Trading Crowd. Publication of the notice in the <u>Federal Register</u> is expected during the week of October 20. (Rel. 34-39240)

IMMEDIATE EFFECTIVENESS OF SECOND CHARGES AMENDMENT TO THE SECOND RESTATEMENT OF THE CONSOLIDATED TAPE ASSOCIATION PLAN AND FIRST CHARGES AMENDMENT TO THE RESTATED CONSOLIDATED QUOTATION PLAN

The <u>Consolidated Tape Association</u> and the <u>Consolidated Quotation Plan</u> submitted amendments to the Plans which establish and change fees and other charges collected on behalf of all of the sponsors and participants of the Plans. Publication of the order in the <u>Federal Register</u> is expected during the week of October 20. (Rel. 34-39235)

# WITHDRAWAL SOUGHT

A notice has been issued giving interested persons until November 6, 1997, to comment on the application of Tivoli Industries, Inc. to withdraw its Common Stock, \$.001 Par Value; Redeemable Class A Warrants to Purchase \$.001 Par Value Common Stock, expiring September 21, 1997; Redeemable Class B Warrants to Purchase \$.001 Par Value Common Stock, expiring September 21, 1997. (Rel. 34-39246)

# DELISTING GRANTED

An order has been issued granting the application of the <u>New York Stock Exchange</u> to strike from listing and registration McCrory Corporation, 6 1/2% Convertible Subordinated Debentures, due February 15, 1992; 7 1/2% Sinking Fund Subordinated Debentures, due May 15, 1994; 7 1/2% Sinking Fund Subordinated Debentures, due May 15, 1994 (1971 Issue); 7 3/4% Sinking Fund Subordinated Debentures, due September 15, 1995; 7 5/8% Sinking Fund Subordinated Debentures, due December 15, 1997. (Rel. 34-39247)

# SECURITIES ACT REGISTRATIONS

The following registration statements have been filed with the SEC under the Securities Act of 1933. The reported information appears as follows: Form, Name, Address and Phone Number (if available) of the issuer of the security; Title and the number and/or face amount of the securities being offered; Name of the managing underwriter or depositor (if applicable); File number and date filed; Assigned Branch; and a designation if the statement is a New Issue.

Registration statements may be obtained in person or by writing to the Commission's Public Reference Branch at 450 Fifth Street, N.W., Washington, D.C. 20549 or at the following e-mail box address: <public info @ sec>. In most cases, this information is also available on the Commission's website: <www.sec.gov>.

- SB-2 RESPONSE USA INC, 11-H PRINCESS ROAD, LAWRENCEVILLE, NJ 08648 (609) 896-4500 - 3,000,000 (\$33,174,000) COMMON STOCK (FILE 333-37595 - OCT 10) (BR. 3)
- S-3 TELEPORT COMMUNICATIONS GROUP INC, 437 RIDGE ROAD, EXECUTIVE BLDG 3, DAYTON, NJ 08810 (718) 392-2000 17,250,000 (\$848,484,375) COMMON STOCK (FILE 333-37597 OCT. 10) (BR 3)
- S-3 MANOR CARE INC/NEW, 11555 DARNESTOWN RD, GAITHERSBURG, MD 20878 (301) 681-9400 (FILE 333-37599 OCT. 10) (BR 1)
- S-1 CAREER EDUCATION CORP, 2800 WEST HIGGINS ROAD, SUITE 790, HOFFMAN ESTATES, IL 60195 (847) 781-3600 - \$51,750,000 COMMON STOCK (FILE 333-37601 - OCT 10) (BR. 7 - NEW ISSUE)
- S-3 KNIGHT RIDDER INC, ONE HERALD PLZ, MIAMI, FL 33132 (305) 376-3800 460,000,000 (\$460,000,000) STRAIGHT BONDS (FILE 333-37603 OCT. 10) (BR 5)

- S-8 CABLE & CO WORLDWIDE INC, 724 FIFTH AVE, NEW YORK, NY 10019 (212) 489-9686 - 901,758 (\$309,979) COMMON STOCK. (FILE 333-37605 - OCT 10) (BR. 9)
- S-3 RELIANCE STEEL & ALUMINUM CO, 2550 EAST 25TH ST, LOS ANGELES, CA 90058 (213) 582-2272 3,795,000 (\$105,785,625) COMMON STOCK. (FILE 333-37607 OCT 10) (BR. 4)
- S-1 APPLIED MICRO CIRCUITS CORP, 6290 SEQUENCE DRIVE, SAN DIEGO, CA 92121 (619) 450-9333 6,267,500 (\$75,210,000) COMMON STOCK. (FILE 333-37609 OCT 10) (BR. 6)
- S-4 DELTA MILLS INC, 233 N MAIN STREET, SUITE 250, GREENVILLE, SC 29601 (864) 370-4719 150,000,000 (\$150,000,000) STRAIGHT BONDS. (FILE 333-37617 OCT 10) (BR. 2 NEW ISSUE)
- S-8 ADC TELECOMMUNICATIONS INC, 12501 WHITEWATER DR, MINNETONKA, MN 55343 (612) 938-8080 270,000 (\$2,130,300) COMMON STOCK. (FILE 333-37619 OCT 10) (BR 3)
- S-4 USN COMMUNICATIONS INC, 10 SOUTH RIVERSIDE PLAZA STE 401, CHICAGO, IL 60606 (312) 906-3600 152,725 (\$100,001,276) STRAIGHT BONDS. (FILE 333-37621 OCT. 10) (BR. 3)
- S-8 NIMBUS CD INTERNATIONAL INC, 623 WELSH RUN RD, GUILDFORD FARM, RUCKERSVILLE, VA 22968 (804) 985-1100 2,724,832 (\$30,313,756) COMMON STOCK (FILE 333-37623 OCT 10) (BR. 2)
- S-8 AHL SERVICES INC, 3353 PEACHTREE RD NE, SUITE 1120, ATLANTA, GA 30326 (404) 267-222 100,000 (\$1,781,250) COMMON STOCK. (FILE 333-37627 OCT. 10) (BR 5)
- S-3 LEXMARK INTERNATIONAL GROUP INC, ONE LEXMARK CENTRE DRIVE, LEXINGTON, KY 40511 (606) 232-2700 13,800,000 (\$429,594,000) COMMON STOCK. (FILE 333-37629 OCT 10) (BR 3)
- S-1 PENTEGRA DENTAL GROUP INC, 18000 GROESCHKE RD, BUILDING A2 PO BOX 218000, HOUSTON, TX 77218 (602) 952-1200 - 2,500,000 (\$40,250,000) COMMON STOCK (FILE 333-37633 - OCT 10) (BR. 1)
- S-3 BOEING CAPITAL CORP, 4060 LAKEWOOD BLVD., 6TH FLOOR, DOUGLAS CNTR, LONG BEACH, CA 90808 (310) -62-7-30 - 1,000,000,000 (\$1,000,000,000) STRAIGHT BONDS. (FILE 333-37635 - OCT. 10) (BR 7)
- S-8 ALPHA BETA TECHNOLOGY INC, ONE INNOVATION DR, ONE INNOVATION DR, WORCESTER, MA 01605 (508) 798-6900 837,165 (\$2,498,414.30) COMMON STOCK. (FILE 333-37639 OCT 10) (BR. 1)
- S-8 MICRO COMPONENT TECHNOLOGY INC, 2340 WEST COUNTY RD C, P O BOX 64013, ST PAUL, MN 55126 (612) 482-5100 250,000 (\$1,140,000) COMMON STOCK. (FILE 333-37641 OCT. 10) (BR. 5)
- S-1 BANK UNITED CORP, 3200 SOUTHWEST FREEWAY, SUITE 1600, HOUSTON, TX 77027 (516) 745-6644 140,171 (\$6,106,199.19) COMMON STOCK. (FILE 333-37645 OCT 10) (BR 7)
- S-8 INTELLIGENT DECISION SYSTEMS INC, 2025 E BELTLINE AVE SE, STE 400, GRAND RAPIDS, MI 49546 (616) 285-5830 2,000,000 (\$720,000) COMMON STOCK. (FILE 333-37647 OCT 10) (BR. 3)
- S-3 UIH AUSTRALIA PACIFIC INC, 4643 SOUTH ULSTER ST, SUITE 1300, DENVER, CO 80237 (303) 770-4001 488,000 (\$5,099,600) COMMON STOCK. (FILE 333-37651 OCT 10) (BR 3)
- S-8 STERLING SOFTWARE INC, 300 CRESCENT COURT, SUITE 1200, DALLAS, TX 75201 (214) 981-1000 25,000,000 (\$25,000,000)
  OTHER SECURITIES INCLUDING VOTING TRUST. (FILE 333-37653 OCT 10) (BR 3)
- S-8 DATASTREAM SYSTEMS INC, 1200 WOODRUFF RD, STE C 40, GREENVILLE, SC 29607 (864) 422-5001 500,000 (\$18,562,500) COMMON STOCK (FILE 333-37655 OCT 10) (BR 3)

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- S-11 CNL AMERICAN PROPERTIES FUND INC, 400 E SOUTH ST, STE 500, ORLANDO, FL 32801 (407) 422-1574 34,500,000 (\$345,000,000) COMMON STOCK. (FILE 333-37657 OCT. 10) (BR. 8)
- S-3 BLYTH INDUSTRIES INC, 100 FIELD POINT RD, GREENWICH, CT 06830 (203) 661-1926 1,710,746 (\$45,441,690.63) COMMON STOCK. (FILE 333-37659 OCT. 10) (BR. 6)
- S-1 TIER TECHNOLOGIES INC, 1350 TREAT BLVD, SUITE 250, WALNUT CREEK, CA 94596 (510) 937-3950 8,910,000 (\$46,920,000) COMMON STOCK. (FILE 333-37661 OCT. 10)
- S-8 CARSON INC, 64 ROSS ROAD SAVANNAH INDUSTRIAL PARK, PO BOX 22309, SAVANNAH, GA 31405 (912) 651-3400 600,000 (\$6,225,000) COMMON STOCK. (FILE 333-37663 OCT. 10) (BR. 2)
- S-8 UNITED STATIONERS INC, 2200 E GOLF RD, DES PLAINES, IL 60016 (708) 699-5000 - 4,100,000 (\$95,060,113) COMMON STOCK. (FILE 333-37665 - OCT 10) (BR 4)
- S-8 YONKERS FINANCIAL CORP, 6 EXECUTIVE PLAZA, YONKERS, NY 10701 (914) 968-4500 - 357,075 (\$7,255,764) COMMON STOCK. (FILE 333-37667 -OCT. 10) (BR. 7)
- S-8 YONKERS FINANCIAL CORP, 6 EXECUTIVE PLAZA, YONKERS, NY 10701 (914) 968-4500 - 142,830 (\$2,902,305.60) COMMON STOCK (FILE 333-37669 - OCT 10) (BR. 7)
- S-1 ADVANCED COMMUNICATIONS GROUP INC/DE/, 3355 WEST ALABAMA SUITE 580, HOUSTON, TX 77098 (713) 622-9600 \$138,000,000 COMMON STOCK. (FILE 333-37671 OCT 10) (NEW ISSUE)
- S-1 SPIROS DEVELOPMENT CORP II INC, 7475 LUSK BLVD , SAN DIEGO, CA 92121 (619) 457-2553 \$307,722,106 COMMON STOCK. (FILE 333-37673 OCT 10) (NEW ISSUE)
- S-1 DELCO REMY INTERNATIONAL INC, 2902 ENTERPRISE DRIVE, ANDERSON, IN 46013 (765) 778-6523 - \$69,000,000 COMMON STOCK. (FILE 333-37675 - OCT 10) (NEW ISSUE)
- S-8 RICHMAN GORDMAN 1/2 PRICE STORES INC, 12100 WEST CENTER RD, OMAHA, NE 68144 (402) 691-4000 - 900,000 (\$45,900) COMMON STOCK. (FILE 333-37677 -OCT 10) (BR 2)
- S-1 AMERISTEEL CORP, 5100 W LEMON STREET, TAMPA, FL 33609 (813) 251-8811 \$97,750,000 COMMON STOCK (FILE 333-37679 OCT. 10) (BR 6)
- S-8 ADVANCED COMMUNICATION SYSTEMS INC, 10089 LEE HIGHWAY, FAIRFAX, VA 22030 (703) 937-8130 713,000 (\$6,298,320) COMMON STOCK (FILE 333-37681 OCT 10) (BR 3)
- S-3 ECHOSTAR COMMUNICATIONS CORP, 90 INVERNESS CIRCLE EAST, ENGLEWOOD, CO 80112 (303) 799-8222 - 5,750,000 (\$138,000,000) COMMON STOCK 2,300,000 (\$115,000,000) PREFERRED STOCK (FILE 333-37683 - OCT. 10) (BR. 3)
- S-3 WASHINGTON MUTUAL INC, 1201 THIRD AVENUE, SUITE 1500, SEATTLE, WA 98101 (206) 461-2000 25,293,684 (\$1,723,132,223) COMMON STOCK. (FILE 333-37685 OCT 10) (BR. 7)
- S-3 SUGEN INC, 351 GALVESTON DR, REDWOOD CITY, CA 94063 (650) 306-7700 1,780,000 (\$35,210,180) COMMON STOCK (FILE 333-37687 OCT. 10) (BR 1)
- S-4 NORWEST CORP, NORWEST CTR, SIXTH & MARQUETTE, MINNEAPOLIS, MN 55479 (612) 667-1234 3,291,380 (\$30,423,374.28) COMMON STOCK. (FILE 333-37689 OCT. 10) (BR 7)
- S-3 BUCKHEAD AMERICA CORP, 4243 DUNWOODY CLUB DR, STE 200, ATLANTA, GA 30350 (404) 393-2662 106,320 (\$860,128 80) COMMON STOCK. (FILE 333-37691 OCT 10) (BR 5)
- S-3 MORGAN STANLEY ABS CAPITAL II INC, 1585 BROADWAY, NEW YORK, NY 10036 1,000,000 (\$1,000,000) EQUIPMENT TRUST CERTIFICATES (FILE 333-37693 OCT 10) (BR. 8)

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- S-8 PROMEDCO MANAGEMENT CO, 801 CHERRY ST, SUITE 1450, FORT WORTH, TX 76102 (817) 335-5035 3,100,000 (\$33,518,750) COMMON STOCK. (FILE 333-37695 OCT 10) (BR 1)
- S-8 BRIDGESTREET ACCOMMODATIONS INC, 30670 BAINBRIDGE ROAD, STE 19, SOLON, OH 44139 (617) 261-1600 1,100,000 (\$11,963,000) COMMON STOCK. (FILE 333-37697 OCT. 10) (BR. 5)
- S-3 SEMTECH CORP, 652 MITCHELL RD, NEWBURY PARK, CA 91320 (805) 498-2111 749,977 (\$51,140,931 63) COMMON STOCK. (FILE 333-37699 OCT. 10) (BR. 6)
- S-8 JABIL CIRCUIT INC, 10800 ROOSEVELT BLVD, ST PETERSBURG, FL 33716 (813) 577-9749 - 1,200,000 (\$75,356,000) COMMON STOCK. (FILE 333-37701 - OCT 10) (BR. 6)
- S-1 DELCO REMY INTERNATIONAL INC, 2902 ENTERPRISE DRIVE, ANDERSON, IN 46013 (765) 778-6523 130,000,000 (\$130,000,000) STRAIGHT BONDS. (FILE 333-37703 OCT 10)

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- S-8 GREAT TRAIN STORE CO, 14180 DALLAS PARKWAY, STE 618, DALLAS, TX 75240 (214) 392-1599 250,000 (\$2,000,000) COMMON STOCK (FILE 333-37705 OCT 10) (BR 2)
- S-1 INFORMATION ADVANTAGE SOFTWARE INC, 7905 GOLDEN TRIANGLE DR, STE 190, EDEN PRAIRIE, MN 55344 (612) 933-3700 \$28,750,000 COMMON STOCK. (FILE 333-37707 OCT 10) (NEW ISSUE)
- S-4 FIRST UNION CORP, ONE FIRST UNION CTR, CHARLOTTE, NC 28288 (704) 374-6565 1,825,000 (\$88,138,005) COMMON STOCK. (FILE 333-37709 OCT 10) (BR 7)
- S-3 APPLIED CELLULAR TECHNOLOGY INC, P O BOX 2067, NIXA, MO 65714 (417) 725-9888 - 8,858,516 (\$83,048,588) COMMON STOCK (FILE 333-37713 - OCT. 10) (BR 3)
- S-3 FIRSTPLUS FINANCIAL GROUP INC, 1600 VICEROY, 8TH FL, DALLAS, TX 75235 (214) 630-6006 3,400,000 (\$191,887,500) COMMON STOCK. (FILE 333-37715 OCT 10) (BR 7)
- S-3 GMAC COMMERCIAL MORTGAGE SECURITIES INC, 650 DRESHER RD, P.O BOX 1015, HORSHAM, PA 19044 (215) 881-1000 1,000,000 (\$1,000,000) EQUIPMENT TRUST CERTIFICATES (FILE 333-37717 OCT. 10) (BR. 8)
- S-4 HERMES EUROPE RAILTEL B V, TERHULPSESTEENWEG 6A, 1560 HOEILAART BELGIUM, HOEILAART, C9 265,000,000 (\$265,000,000) STRAIGHT BONDS. (FILE 333-37719 OCT 10) (NEW ISSUE)
- S-4 SUN HEALTHCARE GROUP INC, 101 SUN LANE N E, ALBUQUERQUE, NM 87109 (505) 821-3355 250,000,000 (\$250,000,000) STRAIGHT BONDS (FILE 333-37721 OCT. 10) (BR. 1)
- S-4 TRANSAMERICAN ENERGY CORP, 1300 NORTH SAM HOUSTON PARKWAY EAST, SUITE 200, HOUSTON, TX 77032 (281) 987-8600 - 1,605,000,000 (\$1,605,000,000) STRAIGHT BONDS. (FILE 333-37723 - OCT. 10) (BR 4)
- SB-2 PRINCETON VIDEO IMAGE INC, 47 HULFISH ST STE 500, PRINCETON, NJ 08540 4,675,000 (\$33,215,000) COMMON STOCK. (FILE 333-37725 OCT. 10)
- S-3 LIBERTY TECHNOLOGIES INC, LEE PARK, 555 N LANE, CONSHOHOCKEN, PA 19428 (610) 834-0330 407,972 (\$1,427,902) COMMON STOCK. (FILE 333-37729 OCT 10) (BR 1)
- S-1 UNIVERSAL DOCUMENT MGMT SYSTEMS INC, 4350 GLENDALE-MILFORD ROAD, SUITE 250, CINCINNATI, OH 45241 (513) 786-8350 - 2,990,000 (\$38,870,000) COMMON STOCK (FILE 333-37731 - OCT. 10) (NEW ISSUE)
- S-8 WATSON PHARMACEUTICALS INC, 311 BONNIE CIRCLE, CORONA, CA 91720 (909) 270-1400 1,092,628 (\$66,400,695) COMMON STOCK. (FILE 333-37733 OCT 10) (BR 1)

- S-8 APPLIED COMPUTER TECHNOLOGY INC, 2573 MIDPOINT DR, FORT COLLINS, CO 80525 (970) 490-1849 - 1,437,500 (\$6,109,375) COMMON STOCK. (FILE 333-37735 - OCT. 14) (BR. 9)
- S-3 ALTERNATIVE LIVING SERVICES INC, 450 NORTH SUNNYSLOPE RD, STE 300, BROOKFIELD, WI 53003 (414) 789-9565 50,000,000 (\$50,000,000) STRAIGHT BONDS. (FILE 333-37737 OCT. 14) (BR. 1)

### RECENT 8K FILINGS

Form 8-K is used by companies to file current reports on the following events

- Item 1 Changes in Control of Registrant.
- Item 2 Acquisition or Disposition of Assets.
- Item 3 Bankruptcy or Receivership.
- Item 4 Changes in Registrant's Certifying Accountant
- Item 5 Other Materially Important Events
- Item 6 Resignations of Registrant's Directors
- Item 7 Financial Statements and Exhibits.
- Item 8 Change in Fiscal Year
- Item 9 Regulation S Offerings

The following companies have filed 8-K reports for the date indicated and/or amendments to 8-K reports previously filed, responding to the item(s) of the form specified 8-K reports may be obtained in person or by writing to the Commission's Public Reference Branch at 450 Fifth Street, N W , Washington, D.C 20549 or at the following e-mail box address <public info @ sec> In most cases, this information is also available on the Commission's website <www.sec gov>

	STATE		8K	1	T	<b>3</b> 4	N	٥.			
NAME OF ISSUER											COMMENT
ADVANCED ELECTRONIC SUPPORT PRODUCT											7
S INC											
ADVANCED ELECTRONIC SUPPORT PRODUCT	FL				X		x			09/30/9	7
S INC											
ADVANCED NMR SYSTEMS INC	DE				X		X			10/15/9	7
ADVANTA CORP	DE				X		X			09/30/9	7
ADVOCAT INC	DE	X					x			10/01/9	7
AIRPLANES LTD					X					10/15/9	7
AIRPLANES US TRUST	DE				X					10/15/9	7
ALLIED HEALTHCARE PRODUCTS INC	DE	X								10/07/9	7
ALYDAAR SOFTWARE CORP /NC/	NC								X	09/30/9	7
AMERICAN BIO MEDICA CORP	NY		2	ζ.						08/29/9	7 AMEND
AMERICAN RADIO SYSTEMS CORP /MA/	DE				X		X			10/04/9	7
AMERICAN TELECASTING INC/DE/	DE				X					10/15/9	7
AMERICREDIT FINANCIAL SERVICES INC	DE				X		X			10/10/9	7
AMERICREDIT FINANCIAL SERVICES INC	DE				X		X			10/10/9	7
AMERICREDIT FINANCIAL SERVICES INC	DE			:	X		X			10/10/9	7
ANCHOR GAMING	NV			:	X					10/15/9	7
ANDAL CORP	NY	X		:	X					09/30/9	7
ARCADIA FINANCIAL LTD	MN				X		X			10/08/9	7
ARDEN REALTY INC	MD	X					x			09/30/9	7
AREA BANCSHARES CORP	KY	Х					X			09/30/9	7 AMEND
ARGYLE TELEVISION INC	DE		2	( )	X		x			08/29/9	7
ASR INVESTMENTS CORP	MD	X					X			09/30/9	7
AUTOLEND GROUP INC	DE		X							09/22/9	7
AVALON PROPERTIES INC	MD			1	X		Х			10/14/9	7
AVAX TECHNOLOGIES INC	DE			:	X		X			10/13/9	7
AVIATION SALES CO	DE	X		:	X		x			09/30/9	7
BANK OF NEW ENGLAND CORP	MA	NO I	TEN	1S						09/30/9	7

	STATE	3		81	K	ITI	M	NO.			
NAME OF ISSUER	CODE	1	2	3	4	5	6	7 8	9	DATE	COMMENT
	<b></b>										
BANKAMERICA CORP	DE					X		x		09/30/9	7
BB&T CORP	NC					X				10/10/9	7
BEAR STEARNS COMPANIES INC	DE					X				10/14/9	
BECKMAN INSTRUMENTS INC	DE					X		X		10/15/9	
BELLWETHER EXPLORATION CO	DE							X		09/30/9	
BERKSHIRE REALTY CO INC /DE	DE	X	X			X		х		06/30/9	
BERKSHIRE REALTY CO INC /DE	DE							X		10/15/9	
BOLDER TECHNOLOGIES CORP	DE					X		X		10/09/9	
BRE PROPERTIES INC /MD/	MD					X				09/29/9	
BROWNING FERRIS INDUSTRIES INC	DE					X		Х		10/09/9	
BUSSE BROADCASTING CORP	DE					X		X		10/15/9	
CABLE TV FUND 14 B LTD	CO					Х		Х		10/03/9	
CABLE TV FUND 14-A LTD	CO					X		X		10/03/9	
CALENERGY CO INC	DE					Х		X		10/13/9	
CALIBER SYSTEM INC	OH					X		X		10/05/97	
CAPITAL ONE MASTER TRUST	VA					X		x		10/15/97	
CAPSTAR HOTEL CO	DE					X		Х		10/15/9	
CAPSTONE PHARMACY SERVICES INC	NY		X					X		09/30/9	7
CAPTEC FRANCHISE CAPITAL PARTNERS L	DE		X					X		10/14/97	7
P IV											
CASCADE FINANCIAL CORP	DE							X		10/15/97	AMEND
CASMYN CORP	CO		X					X		09/30/97	7
CASMYN CORP	CO	X								09/30/97	7
CATERPILLAR INC	DE					Х				10/15/97	7
CHECKMATE ELECTRONICS INC	GA					Х		X		10/13/97	7
CHS ELECTRONICS INC	UT		X					X		10/03/97	7
CIBER INC	DE					X		X		10/15/97	1
CIRCUIT CITY CREDIT CARD MASTER TRU	DE					х		X		10/15/97	7
ST											
COEUR D ALENE MINES CORP	ID					X		X		10/15/97	,
COMMONWEALTH TELEPHONE ENTERPRISES	PA		X					X		09/30/97	1
INC /NEW/											
COMPAQ COMPUTER CORP	DE					Х		X		09/30/97	,
COMSOUTH BANKSHARES INC	SC					Х				10/30/97	,
CONECTISYS CORP	CO					Х				10/15/97	,
CONSILIUM INC	DE							X		10/15/97	AMEND
CONSOLIDATED PAPERS INC	WI		X					X		09/30/97	1
CONTINENTAL CHOICE CARE INC	NJ		X							10/10/97	•
CORAM HEALTHCARE CORP	DE		X					X		09/30/97	,
CORNING INC /NY	NY	X								09/30/97	,
COVEST BANCSHARES INC	DE					x		X		10/16/97	
CRAFTMATIC INDUSTRIES INC	DE			X				X		06/25/97	
CRIIMI MAE FINANCIAL CORP	MD	X								10/01/97	•
CULP INC	NC	X								10/15/97	
CUMBERLAND HEALTHCARE L P I-A	DE					x				09/30/97	
CWMBS INC	DE					x		K		10/14/97	
CYRIX CORP	DE					x		X		10/16/97	
DECORA INDUSTRIES INC	DE		X					X		10/01/97	
DECORATIVE HOME ACCENTS INC	DE			X						09/29/97	
DISCOVER CARD MASTER TRUST I	DE					x		X		10/15/97	
DISCOVER CARD MASTER TRUST I	DE					х		K		10/15/97	
DISCOVER CARD TRUST 1991 D	DĒ					х		K		10/15/97	
DISCOVER CARD TRUST 1991 F	DE					x		K		10/15/97	
DISCOVER CARD TRUST 1992-B	DE					X		K.		10/15/97	
DISCOVER CARD TRUST 1993-A	DE					X		X		10/15/97	
DISCOVER CARD TRUST 1993-B	DE					X		r. X		10/15/97	
DOBSON COMMUNICATIONS CORP	ок		x					ĸ.		10/13/97	
DOCTORS HEALTH SYSTEM INC	MD	•				х		ĸ		10/01/97	
DONALDSON LUFKIN & JENRETTE INC /NY	DE					X	•	-		10/03/97	
/						••				_0, _3, 31	

	STATE	:		81	K 1	TO	4 BR	э.				
NAME OF ISSUER	CODE	1	2	3	4	5 6	5 7	8	9	DATE	O	OPPHENT.
DYCOM INDUSTRIES INC	FL					x				10/31/	97	
EASTBROKERS INTERNATIONAL INC	DE		x			^				08/01/		AMEND
EASTGROUP PROPERTIES INC	MID					x	х			10/16/		12.2.2
EFTC CORP	CO		x			x	X			09/30/		
EIF HOLDINGS INC	ні					х				10/14/		
EIP MICROWAVE INC	DE				x					10/09/		
ELCOR CORP	DE					х	x			10/15/	97	
ELECTROMAGNETIC SCIENCES INC	GA	x								12/31/	97	
ENLIGHTEN SOFTWARE SOLUTIONS INC	CA		x				X			10/01/	97	
ENSERCH EXPLORATION INC /TX/	TX					X				10/15/	97	
EQCC ASSET BACKED CORP	DE					X	X			09/30/	97	
EQCC HOME EQUITY LOAN TRUST 1996-A	DE					X	X			08/15/	97	
EQCC HOME EQUITY LOAN TRUST 1996-1	DE					X	X			08/15/	97	
EQCC HOME EQUITY LOAN TRUST 1996-2	DE					X	X			08/15/		
EQCC HOME EQUITY LOAN TRUST 1996-3	DE					X	X			08/15/		
EQCC HOME EQUITY LOAN TRUST 1996-4	DE					X	X			08/15/		
EQCC HOME EQUITY LOAN TRUST 1997 2	DE					X	X			08/15/		
EQCC HOME EQUITY LOAN TRUST 1997-A	DE					X	X			08/15/		
EQCC HOME EQUITY LOAN TRUST 1997-1	DE					X	X			08/15/		
EQCC RECEIVABLES CORP	DE					Х	X			09/30/		
EQUITY OFFICE PROPERTIES TRUST	C3		X			х	X X			10/01/		
EXCEL REALTY TRUST INC	CA DE					v	^			10/15/		
FAMILY GOLF CENTERS INC FARM FAMILY HOLDINGS INC	DE					X X				10/16/		
FEDERAL MOGUL CORP	MI	х				^				10/15/		
FFY FINANCIAL CORP	DE	^				X	X			10/14/		
FINISHMASTER INC	MI					X	X			07/09/		
FIRST CAPITAL INSURED REAL ESTATE L	IL		x			Λ	X			10/01/		
IMITED PARTNERSHIP							••			14,01,	٠.	
FIRST CHICAGO NBD CORP	DE					х				10/14/	97	
FIRST COMMERCE CORP /LA/	LA					x				10/14/		
FIRST INDUSTRIAL LP	IL		x			X	х			06/30/		AMEND
FIRST INDUSTRIAL REALTY TRUST INC	MD		х			х				06/30/	97	AMEND
FIRSTPLUS INVESTMENT CORP	NV					X	х			10/10/	97	
FIRSTPLUS INVESTMENT CORP	NV					x	X			10/10/	97	
FIRSTPLUS INVESTMENT CORP	NV					X	X			10/10/	97	
FLORIDA ROCK INDUSTRIES INC	FL					X				10/01/	97	
FLOW INTERNATIONAL CORP	DB		X				X			09/30/	97	
FMC CORP	DE		X				x			10/06/	97	AMEND
FONAR CORP	DE						X			07/31/	97	AMEND
FORD MOTOR CO	DE					X	X			09/30/	97	
FREMONT GENERAL CORP	NV		X				X			08/01/	97	AMEND
FREQUENCY ELECTRONICS INC	DE					X				10/14/	97	
FUND AMERICAN ENTERPRISES HOLDINGS	DE					X	X			10/09/	97	
INC												
GALOOB TOYS INC	DE					X	X			10/14/	97	
GATX CAPITAL CORP	DE					X	X			07/31/	97	
GLENBOROUGH PARTNERS A CALIFORNIA L P	CA		X				X			10/14/	97	
GLOBAL DIRECTMAIL CORP	DE		X				X			09/30/	97	
GMAC COMMERCIAL MORTGAGE SECURITIES	DE		X				X			09/30/	97	
INC												
GPU INC /PA/	PA				X					10/15/	97	
GRAND HAVANA ENTERPRISES INC	DE								X	10/31/	97	
GREAT AMERICAN BACKRUB STORE INC	NY					X	Х			09/30/	97	
GREEN TREE FINANCIAL CORP	DE					X	x			10/15/		
GROW BIZ INTERNATIONAL INC	MN		X				X			08/15/		AMEND
GTE CORP	NY					X	Х			10/16/		
HARSCO CORP	DE		X				Х			10/06/		
HAWAIIAN ELECTRIC CO INC	HI					X				10/16/		
HAWAIIAN ELECTRIC INDUSTRIES INC	HI					X				10/16/	97	

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	STATE	2		ak .	TTR	M NO.				
NAME OF ISSUER	CODE		2			6 7 8	9	DATE	C	CHREST
CB BANCSHARES INC	oĸ			<b>-</b>		x		06/25/9	 7	AMEND
HEALTH & RETIREMENT PROPERTIES TRUS	MD		X			х		10/01/	97	
Т										
HEALTHY PLANET PRODUCTS INC	DE				x			10/14/	97	
HENLEY HEALTHCARE INC	TX		X			X		09/30/	97	
HERTZ CORP	DE				X			10/15/	97	
HIGHWOODS FORSYTH L P	NC		X			x		10/01/	97	
HIGHWOODS PROPERTIES INC	MD					X		10/01/		
HMG WORLDWIDE CORP	DE	Х						10/15/		
HMN FINANCIAL INC	DE				X	X		09/30/		
HONDA AUTO RECEIVABLES 1997-A GRANT OR TRUST	CA				X			09/30/	97	
HORIZON PHARMACIES INC						X		08/02/	97	AMEND
HUNTINGTON BANCSHARES INC/MD	MD		X		x	X		09/30/	97	
IMAGING DIAGNOSTIC SYSTEMS INC /FL/	FL							10/15/		
IMAGING DIAGNOSTIC SYSTEMS INC /FL/							Х	10/16/		AMEND
IMCLONE SYSTEMS INC/DE	DE				Х			10/10/		
IN HOUSE REHAB CORP	CO			X		Х		10/01/		AMEND
INTEGON CORP /DE/	DE	X						10/16/		
INTEGRATED ORTHOPEDICS INC	TX		X			X		10/01/		
INTEL CORP	DE	X						10/14/9		
INTERACTIVE ENTERTAINMENT LTD					X	Х		09/30/9		
INTERAMERICAS COMMUNICATIONS CORP	NV				X	X		10/16/9		
INTERLINK COMPUTER SCIENCES INC	CA				X			10/15/9	97	
INTERNEURON PHARMACEUTICALS INC	DE				X			10/15/		
INTERRA FINANCIAL INC	DE				X	x		10/14/9	97	
IRON MOUNTAIN INC /DE	DE		Х			X		10/16/9		
JCP RECEIVABLES INC	DE					X		10/15/9		
K SWISS INC	DE				X	X		10/15/9		
KELLER FINANCIAL SERVICES OF FLORID A INC	FL				х	X		10/16/9		
KOLL REAL ESTATE GROUP INC	DE				Х	X		10/10/9		
KTI INC	NJ		X		X X	ΧX		09/30/9		
LANDEC CORP \CA\	CA		X					08/20/9		
LDM TECHNOLOGIES INC	MI		X			X		09/30/9		
LIGHTBRIDGE INC	DE				X	X		10/09/9		
LINDBERG CORP /DE/	DE		X					10/01/5		
LONE STAR INDUSTRIES INC	DE				X			10/13/9		
LUNDGREN BROS CONSTRUCTION INC	MN			Х				10/09/9		
LYNCH CORP	IN				X	Х		10/14/9		
MACERICH CO	MD	Х						10/15/9		AMEND
MAINE PUBLIC SERVICE CO	ME				X 			10/15/9		
MALLINCKRODT INC /MO	ΝY				X			10/16/9		
MALLON RESOURCES CORP	co	X						10/15/9		
MASCOTECH INC	DE		X			X		09/30/9		
MASTEC INC	DE		X					10/16/9		
MAXIM GROUP INC /	DE				X	X		10/16/9		
MCNEIL PACIFIC INVESTORS FUND 1972	CA	Х						09/30/9		
MEADOWBROOK REHABILITATION GROUP IN	DE		х			X		07/31/9	•7	
MEADOWBROOK REHABILITATION GROUP IN	DE		X			Х		08/31/9	7	
MELLON BANK CORP	PA				X	X		10/14/9	7	
MELLON BANK PREMIUM FINANCE LOAN MA STER TRUST					X	X		10/08/9	7	
MERIDIAN INDUSTRIAL TRUST INC	MD		X			x		09/30/9	7	
MERIT SECURITIES CORP	VA			:	X	X		09/29/9		
MERIT SECURITIES CORP	AV			:	X	X		09/29/9	7	
MERIT SECURITIES CORP	VA			:	X	x		09/29/9	7	
MERIT SECURITIES CORP	VA				x	x		09/29/9	7	

	STATE	2		R	K.	TTT	24	MO.				
NAME OF ISSUER	CODE		2					7 8	9	DATE	C	OMMENT
							. – -					
MERIT SECURITIES CORP	VA					X		X		09/29/		
MERIT SECURITIES CORP	VA					X		X		09/29/		
MERIT SECURITIES CORP	VA					X		Х		09/29/		
MERRILL LYNCH & CO INC	DE					Х		X		10/15/		
MERRILL LYNCH MUNICIPAL ABS INC	DE	Х	Х	Х			X	Х		10/01/		330000
METALCLAD CORP	DE				Х			v		05/31/		
METEOR INDUSTRIES INC METRIS COMPANIES INC	CO DE					х		Х		06/30/ 09/30/		AMENU
METZLER GROUP INC	DE	х				^				07/31/		AMENTA
MICROPROSE INC/DE	DE					х				10/05/		AMERIC
MICROTEL INTERNATIONAL INC	DE					X				10/14/		
MIDWEST REAL ESTATE SHOPPING CENTER	DE	х				••				10/16/		
LP										10, 10,	•	
MILLENNIUM ELECTRONICS INC	NV		х			x		x		09/12/	97	
MLCC MORT INV INC MORT LOAN ASST BK								X		10/15/		
PASS THRU CERT SE 1997-A										,,	•	
MMCA AUTO GRANTOR TRUST 1993-1	DE							x		09/30/	97	
MMCA AUTO OWNER TRUST 1995-1	DE							X		09/30/		
MONARCH DENTAL CORP	DE		х					x		08/01/		AMEND
MONEY STORE D C INC	DC					х		X		09/30/		12.0112
MONEY STORE D C INC	DC					X		X		09/30/		
MONEY STORE HOME EQUITY CORP	KY					x		x		09/30/		
MONEY STORE HOME EQUITY CORP	KY					х		x		09/30/		
MONEY STORE KENTUCKY INC	KY					х		X		09/30/		
MONEY STORE KENTUCKY INC	KY					X		x		09/30/		
MONEY STORE MINNESOTA INC	MN					x		X		09/30/		
MONEY STORE MINNESOTA INC	MN					X		X		09/30/		
MONEY STORE TRUST 1997-C	NJ					X		X		09/30/		
MORGAN STANLEY CAPITAL I INC	DE					X		X		10/15/		
NDC AUTOMATION INC	DE					х				10/15/		
NEW YORK BANCORP INC	DE					х		х		10/07/		
NEWPORT NEWS SHIPBUILDING INC	DE					х		X		09/25/		
NORTH BANCSHARES INC	DE	х								09/30/		
NORTON MCNAUGHTON INC	DE		x			х		x		09/30/		
NORWEST ASSET SECURITIES CORP	DE					х		x		10/15/	97	
NORWEST ASSET SECURITIES CORP	DE					х		х		10/15/9		
NUCLEAR METALS INC	MA					х		х		09/29/	97	
OCCIDENTAL PETROLEUM CORP /DE/	DE					х				10/16/		
OUTLOOK INCOME GROWTH FUND VIII	CA		X					х		10/01/	97	
OXFORD CAPITAL CORP /NV	NV				х			х		10/13/	97	
P-COM INC	DE					х		x		10/16/	97	
PACIFIC GAS & ELECTRIC CO	CA					x				10/16/9		
PACIFIC TELECOM INC	WA					х		X		09/30/9	97	
PACIFICORP /OR/	OR					X		х		10/14/9	97	
PAINEWEBBER MORTGAGE ACCEPTANCE COR	DE					x		X		10/14/9	97	
PORATION IV												
PALEX INC	DE							x		10/15/9	97	AMEND
PEOPLES BANCORP	IN					х				10/16/9	97	
PEOPLES TELEPHONE COMPANY INC	NY					x				10/16/9	97	
PERPETUAL MIDWEST FINANCIAL INC	DE					X		X		10/15/9	97	
PETHEALTH SYSTEMS INC	CO	X								09/30/9	97	AMEND
PETRO UNION INC	CO							x		08/11/9	97	AMEND
PG&E CORP	CA					X				10/16/9	97	
PIEDMONT NATURAL GAS CO INC	NC					X				10/10/9	97	
PLACER DOME INC						х				10/14/9		
PNC BANK CORP	PA					х		x		10/15/9		
PREMIER LASER SYSTEMS INC	CA		х					X		09/30/9		
PRENTISS PROPERTIES TRUST/MD	MD							X		10/16/9		
PRICE COMMUNICATIONS CORP	NY		х					X		10/21/9		
PROCTER & GAMBLE CO	OH					х		X		10/15/9		
PROMEDCO MANAGEMENT CO	DE		x							10/15/9	97	

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	STATE	3	8K ITE	INO.			
NAME OF ISSUER	CODE	1 2	3 4 5 6		9	DATE	COMMENT
PRUDENTIAL BACHE TAX CREDIT PROPERT	DE	x	X	X		10/01/	97
IES LP							
PULTE CORP	MI		x	X		10/09/	
READING & BATES CORP	DE			X		10/16/	
REALTY INCOME CORP	DE		X	X		10/15/	
REDOX TECHNOLOGY CORP	DE PA		X X	х		10/01/ 10/13/	
ROM TECH INC ROMAC INTERNATIONAL INC	FL			x			97 AMEND
ROMAC INTERNATIONAL INC	FL		x	X		10/14/	
ROSE INTERNATIONAL LTD	DE	х		x		09/30/	
RYDER SYSTEM INC	FL	X		x		09/30/	
SAFECO CORP	WA	X		X		10/01/	
SANDISK CORP	DE		x	x		06/27/	
SEAGULL ENERGY CORP	TX	x	x	x	:	10/06/	97
SEARS CREDIT ACCOUNT MASTER TRUST I	IL		x	X	:	10/15/	97
I							
SEARS ROEBUCK & CO	NY		x	X		10/17/	97
SENTO TECHNICAL INNOVATIONS CORP	UT	X		X		10/15/	97
SERVICE EXPERTS INC	DE	X		X	:	10/15/	97
SIEBEL SYSTEMS INC	DE	x		X	:	10/01/	97
SOS STAFFING SERVICES INC	UT	Х		x			97 AMEND
SPANISH BROADCASTING SYSTEM INC	DE	X		X	•	09/29/	97
SPARTA SURGICAL CORP	DE		Х	x	1	07/25/	97
SPECIALTY CARE NETWORK INC	DE			x	(	04/04/	97 AMEND
SPECIALTY RETAIL GROUP INC	FL		Х	x			97 AMEND
SPSS INC	DE	Х				09/30/	
STANLEY WORKS	CT		Х			10/11/	
STC BROADCASTING INC	DE	X		X		10/01/	
STONE ENERGY CORP	DE	х		X			97 AMEND
STORAGE TRUST REALTY	MD	X				09/30/	
SUN CO INC	PA		х	X		10/15/	
TCA CABLE TV INC	TX		X	Х		09/19/	
TELEGEN CORP /CO/	CA	х	х	v		10/07/	
TELSCAPE INTERNATIONAL INC THOMPSON PBE INC	TX DE	Λ	х	X X		10/01/	
TMS MORTGAGE INC	NJ		X	X		10/14/! 09/30/!	
TMS MORTGAGE INC	NJ		X	x		09/30/	
TOPRO INC	CO		X	X		10/16/	
TOSCO CORP	NV		x	**		10/15/	
TOY BIZ INC	DE		x	x		10/16/9	
TRANSMEDIA ASIA PACIFIC INC	DE		х				7 AMEND
TRANSMEDIA EUROPE INC	DE		x				7 AMEND
TRIATHLON BROADCASTING CO	DE	х		X		10/01/	
U S RESTAURANT PROPERTIES MASTER L	DE	х		X		07/25/	
P							
UCI MEDICAL AFFILIATES INC	DE	Х		x	1	10/01/9	97
UMC ELECTRONICS CO	DE			x	(	08/31/9	97
UNION PLANTERS CORP	TN		х	х	1	10/16/	97
UNITED MORTGAGE TRUST		x	x		1	10/10/9	97
UNIVERSAL CAPITAL CORP	CO		x	X	3	10/01/9	7 AMEND
UNOCAL CORP	DE		x		1	10/14/9	97
US XPRESS ENTERPRISES INC	NV			хх	1	10/02/9	97
VIEWLOGIC SYSTEMS INC /DE/	DE		x	x	1	10/14/9	97
VIEWLOGIC SYSTEMS INC /DE/	DE		x	X	3	10/14/9	7 AMEND
VINTAGE PETROLEUM INC	DE		x		1	10/16/9	97
VISTANA INC	FL			X	(	9/16/9	7 AMEND
WALDEN RESIDENTIAL PROPERTIES INC	MD	Х		X		10/01/9	
WATERPUR INTERNATIONAL INC	DE	x				9/30/9	
WATSCO INC	FL	x		X		9/30/9	
WAVE SYSTEMS CORP	DE		X	X	1	10/09/9	97

	STATE 8K ITEM				M	NO			
NAME OF ISSUER	CODE	1 2	3 4	1 5	6	7	8 9	DATE	COMMENT
WESTERN MICRO TECHNOLOGY INC /DE	DE	X				X		09/30/	97
WESTWOOD CORP/NV/	NV	X						09/30/	97
WORLDCOM INC /GA/	GA			X		X		10/15/	97
360 COMMUNICATIONS CO	DE			Х		X		09/30/	97

このこれに発えていて、 一般には変異なる。 を変していましています。 かっこう