SEC NEWS DIGEST

Issue 97-198

October 14, 1997

RULES AND RELATED MATTERS

OFFSHORE PRESS CONFERENCES, MEETINGS WITH COMPANY REPRESENTATIVES CONDUCTED OFFSHORE AND PRESS-RELATED MATERIALS RELEASED OFFSHORE

In the National Securities Markets Improvement Act of 1996, Congress directed the Commission to adopt rules that clarify the application of the Securities Act of 1933 to offshore press activities. The Commission has now issued a release adopting a rule and amendments that will facilitate U.S. press access to the offshore press activities of foreign issuers. The Commission has adopted Rule 135e under the Securities Act and amendments to Rule 14d-1 under the Securities Exchange Act of 1934 to clarify the conditions under which journalists may be provided access to offshore press activities in which a present or proposed offering of securities or tender offer is discussed, without violating the provisions of Section 5 of the Securities Act or the procedural requirements of the tender offer rules under the Williams Act. FOR FURTHER INFORMATION CONTACT: Felicia H. Kung, Division of Corporation Finance, at (202) 942-2990. (Rel. Nos. 33-7470; 34-39227; IS-1103)

ENFORCEMENT PROCEEDINGS

IN THE MATTER OF ROBERT SAYEGH, THOMAS CORE AND JOHN CRANLEY, JR.

On October 10, an Administrative Law Judge barred Robert Sayegh from association with a broker or dealer and member of a national securities exchange or registered securities association and has censured Thomas Core and John J. Cranley, Jr., and barred them from association with a broker or dealer in a supervisory or proprietary capacity. The U.S. District Court for the Southern District of New York had enjoined all three respondents from violations of Section 10(b) of the Securities Exchange Act of 1934 and Rule 10b-5. Judge John F. Keenan enjoined Mr. Sayegh following an eleven day trial Messrs. Core and Cranley consented to the entry of the injunctions, admitted they participated in the manipulation of the securities of Chase Medical Group and the American depository receipts of an Irish company, the Institute of Clinical Pharmacology, and cooperated with

the Division of Enforcement's investigation. (Initial Decision 118; File No. 3-9019)

ADMINISTRATIVE PROCEEDINGS SETTLED WITH A BROKER-DEALER AND INVESTMENT ADVISER

On October 9, the Commission instituted and simultaneously settled public administrative proceedings against Lawrence A. Krause (Krause), and three entities he controlled, KW Financial Services, Inc. (KW Financial), KW Securities Corp., a registered broker-dealer and Lawrence A. Krause & Associates, Inc., a registered investment adviser. Krause consented to the entry of a cease and desist order, the imposition of civil penalties in the amount of \$15,000 and a twelve-month suspension. KW Financial, KW Securities and LAKA consented to the entry of cease and desist orders and, with regard to KW Securities and LAKA, censures.

The Commission alleged that between 1991 and 1994, Krause and his entities offered and sold partnership interests in three limited partnerships based on offering materials that misrepresented and omitted facts concerning the use of investors' proceeds, in violation of the antifraud provisions of the federal securities laws. At the same time, Krause, through KW Financial, without authorization or disclosure borrowed and subsequently repaid \$406,800 from the partnerships to pay certain operating expenses.

Krause and the related entities consented to the administrative order without admitting or denying the Commission's allegations. (Rel. Nos. 33-7469; 34-39226; IA-1678; File No. 3-9477)

ADMINISTRATIVE PROCEEDINGS INSTITUTED AGAINST JAMES BOGNER, CPA

On October 10, the Commission instituted public administrative proceedings, pursuant to Rule 102(e) of the Commission's Rules of Practice, against James Bogner (Bogner), CPA. In the order instituting the proceedings, the Division of Enforcement and the Office of Chief Accountant (Staff) allege that Bogner engaged in improper professional conduct in connection with his audit of the 1992 financial statements (1992 Financial Statements) of Aqua Buoy Corporation (now known as Chester Holdings, Ltd.) (Aqua Buoy). The purpose of the proceeding is to determine whether those allegations are true and, if so, whether Bogner should be denied the privilege of appearing or practicing before the Commission as an accountant. Bogner, age 49, resides in Denver, Colorado.

The Staff alleges that Aqua Buoy, in the 1992 Financial Statements, materially overstated its financial condition. The Staff further alleges that Bogner, who audited and issued an unqualified audit opinion on Aqua Buoy's 1992 Financial Statements, was given false and unreliable information by certain officers of Aqua Buoy, accepted this information uncritically, and did not uncover obvious accounting improprieties concerning Aqua Buoy's acquisitions. Bogner's audit report which was included in Aqua Buoy's annual report on Form 10-K, falsely stated that the 1992 Financial

Statements were presented in conformity with Generally Accepted Accounting Principles (GAAP) and that the audit had been conducted in accordance with Generally Accepted Accounting Standards (GAAS). The Staff alleges that Aqua Buoy's 1992 Financial Statements were not presented in conformity with GAAP, and Bogner, in his audit of those financial statements, departed from GAAS by: failing to obtain sufficient competent evidential matter; failing to exercise due professional care; and failing to maintain an attitude of professional skepticism. (Rel. 34-39228; AAE Rel. 978; File No. 3-9478)

INVESTMENT COMPANY ACT RELEASES

ALEXANDER HAMILTON LIFE INSURANCE COMPANY OF AMERICA, ET AL.

A notice has been issued giving interested persons until November 3, 1997, to request a hearing on an application filed by Alexander Hamilton Life Insurance Company of America, Alexander Hamilton Variable Annuity Separate Account, Chubb Life Insurance Company of America, Chubb Separate Account A, Jefferson-Pilot Life Insurance Company, and Jefferson-Pilot Separate Account A (collectively, Applicants). Applicants seek an order pursuant to Section 26(b) of the Investment Company Act approving the substitution of shares of certain registered management investment companies for shares of certain other registered management investment companies currently serving as underlying investment options for variable annuity contracts and variable life insurance policies. Applicants also seek an order, pursuant to Sections 6(c) and 17(b) of the Act, granting exemptions from Section 17(a) to permit Applicants to carry out certain of the substitutions wholly or partly in-kind. (Rel. IC-22848 - October 9)

LIBERTY ALL-STAR GROWTH FUND, INC.

An order has been issued on an application filed by Liberty All-Star Growth Fund, Inc. under Section 6(c) of the Investment Company Act for an exemption from Section 19(b) of the Act and Rule 19b-1 under the Act. The order permits applicant to make up to four distributions of net long-term capital gains in any one taxable year, so long as it maintains in effect a distribution policy calling for quarterly distributions of a fixed percentage of its net asset value. (Rel. IC-22849 - October 10)

SECURITY FIRST TRUST, ET AL.

A notice has been issued giving interested persons until November 4, 1997, to request a hearing on an application filed by Security First Trust (Fund), Security First Investment Management Corporation, (Adviser) and Virtus Capital Management, Inc. (Subadviser) for an order under Section 6(c) of the Investment Company Act exempting applicants from Section 15(a) of the Act. Signet Banking Corporation, parent of the Subadviser, has agreed to merge with and

into First Union Corporation or a designated subsidiary. The indirect change in control of the Subadviser will result in the assignment, and thus the termination, of the existing advisory contracts between the Fund and the Subadviser. The order would permit the implementation, without shareholder approval, of a new investment advisory agreement for a period of up to 120 days following the date of the change in control of the Subadviser (but in no event later than April 30, 1998). The order also would permit the Subadviser to receive all fees earned under the new investment advisory agreements following shareholder approval. (Rel. IC-22850 - October 10)

HOLDING COMPANY ACT RELEASES

WESTERN RESOURCES, INC.

A notice has been issued giving interested persons until October 31 to request a hearing on a proposal by Western Resources, Inc. (WRI), a Kansas public utility holding company exempt under Section 3(a) pursuant to Rule 2 from all provisions of the Act except Section 9(a)(2). WRI requests authorization to acquire up to 9.9% of the outstanding common stock of WAI, Inc. (WAI), a newly-formed Oklahoma corporation, and shares of WAI's non-voting convertible preferred stock which when aggregated with the common stock may amount to as much as 45% of the total capital stock of WAI. WRI will transfer its Oklahoma and Kansas gas operations to WAI in exchange for the WAI stock. WAI will then merge with ONEOK, Inc., a Delaware corporation which operates as a gas utility company (with WAI being the surviving corporation), and WAI will be renamed ONEOK, Inc. (Rel. 35-26764)

SELF-REGULATORY ORGANIZATIONS

APPROVAL OF PROPOSED RULE CHANGE

The Commission approved a proposed rule change submitted by the <u>Philadelphia Stock Exchange</u> (SR-Phlx-97-32) that increases the order exposure time period for orders eligible for the Public Order Exposure System from 15 seconds to 30 seconds. (Rel. 34-39225)

SECURITIES ACT REGISTRATIONS

The following registration statements have been filed with the SEC under the Securities Act of 1933. The reported information appears as follows: Form, Name, Address and Phone Number (if available) of the issuer of the security; Title and the number and/or face amount of the securities being offered; Name of the managing underwriter or

depositor (if applicable); File number and date filed; Assigned Branch; and a designation if the statement is a New Issue.

Registration statements may be obtained in person or by writing to the Commission's Public Reference Branch at 450 Fifth Street, N.W., Washington, D.C. 20549 or at the following e-mail box address: <public info @ sec>. In most cases, this information is also available on the Commission's website: <www.sec.gov>.

- F-6 CONTINENTAL AG /ADR/, 23 WALL ST,

 C/O MORGAN GUARANTY TRUST CO OF NEW YORK, NEW YORK, NY 10015 (212) 530-5630

 25,000,000 (\$1,250,000) DEPOSITARY RECEIPTS FOR COMMON STOCK. (FILE

 333-7704 OCT. 02) (BR. 99)
- F-6 ARISAWA MANUFACTURING CO LTD /ADR/, 48 WALL ST, C/O BANK OF NEW YORK, NEW YORK, NY 10286 (212) 815-1727 10,000,000 (\$500,000)
 DEPOSITARY RECEIPTS FOR COMMON STOCK (FILE 333-7706 OCT. 02) (BR. 99 NEW ISSUE)
- F-1 FRESH DEL MONTE PRODUCE INC, 800 DOUGLAS RD NORTH TOWER 12TH FLOOR, C/O DEL MONTE FRESH PRODUCE CO, CORAL GABLES, FL 33134 (305) 520-8400 18,350,550 (\$311,959,350) FOREIGN COMMON STOCK. (FILE 333-7708 OCT 03) (BR 2 NEW ISSUE)
- S-3 INHALE THERAPEUTIC SYSTEMS, 1525 INDUSTRIA WAY, BELMONT, CA 94002 (415) 354-0700 - 1,725,000 (\$53,151,562.50) COMMON STOCK (FILE 333-37195 - OCT. 06) (BR 1)
- S-3 CANTERBURY INFORMATION TECHNOLOGY INC, 1600 MEDFORD PLZ, RTE 70 & HARTFORD RD, MEDFORD, NJ 08055 (609) 953-0044 - 1,500,000 (\$1,740,000) COMMON STOCK. (FILE 333-37197 - OCT. 06) (BR 7)
- S-3 SYNTHETIC INDUSTRIES INC, 309 LAFAYETTE RD, CHICKAMAUGA, GA 30707 (706) 375-3121 3,450,000 (\$100,050,000) COMMON STOCK. (FILE 333-37199 OCT 06) (BR. 2)
- S-8 AVX CORP /DE, 801 17TH AVE S, MYRTLE BEACH, SC 29577 (803) 449-9411 1,100,000 (\$35,818,750) COMMON STOCK. (FILE 333-37201 OCT 06) (BR 6)
- S-8 SERVICE SYSTEMS INTERNATIONAL LTD, 2800 INGLETON AVENUE, STE 203, BURNABY B C CANADA V5C 6G7, Al 00000 (604) 451-1069 1,588,000 (\$1,753,141) COMMON STOCK. (FILE 333-37203 OCT. 06) (BR. 9)
- S-1 COMPASS INTERNATIONAL SERVICES CORP, 5 INDEPENDENCE WAY, SUITE 300, PRINCETON, NJ 08540 (609) 514-5156 4,715,000 (\$56,580,000) COMMON STOCK. (FILE 333-37205 OCT 06) (BR 2 NEW ISSUE)
- S-1 MOTOR CARGO INDUSTRIES INC, 845 W CENTER ST, 845 W CENTER ST, NORTH SALT LAKE, UT 84054 (801) 292-1111 ~ 2,564,500 (\$35,903,000) COMMON STOCK (FILE 333-37211 OCT. 06) (BR. 5 NEW ISSUE)
- S-1 CENTRAL FINANCIAL ACCEPTANCE CORP, 5480 EAST FERGUSON DR, COMMERCE, CA 90022 (213) 720-8600 2,012,500 (\$50,312,500) PREFERRED STOCK. (FILE 333-37213 OCT 06) (BR. 7)
- S-4 GENERAL MOTORS CORP, 100 RENAISSANCE CTR, 3044 W GRAND BLVD, DETROIT, MI 48202 (313) 556-5000 - 102,459,163 (\$6,752,704,334) COMMON STOCK (FILE 333-37215 - OCT. 06) (BR. 5)
- S-3 BIOSAFE INTERNATIONAL INC, 10 FAWCETT ST, CAMBRIDGE, MA 02138 (617) 497-4500 - 35,483,971 (\$26,612,978) COMMON STOCK (FILE 333-37217 - OCT 06) (BR. 4)
- S-8 FIRST FEDERAL FINANCIAL SERVICES CORP, 135 E LIBERTY ST, WOOSTER, OH 44691 (330) 264-8001 136,878 (\$5,611,998) COMMON STOCK (FILE 333-37219 OCT 06) (BR 7) ·
- S-4 HE HOLDINGS INC, 7200 HUGHES TERRACE, BLDG CO1/MS A114, LOS ANGELES, CA 90045 (310) 568-6077 102,630,503 (\$2,541,603,354.59) COMMON STOCK (FILE 333-37223 OCT 06) (BR 5 NEW ISSUE)

- S-4 EASTERN VIRGINIA BANKSHARES INC, 307 CHURCH LANE, PO BOX 1005, TAPPAHANNOCK, VA 22560 (804) 443-4333 - 5,189,295 (\$37,838,000) COMMON STOCK. (FILE 333-37225 - OCT. 06) (BR. 7 - NEW ISSUE)
- S-3 HOME PROPERTIES OF NEW YORK INC, 850 CLINTON SQ, ROCHESTER, NY 14604 (716) 246-4105 - 1,216,508 (\$31,325,081) COMMON STOCK. (FILE 333-37229 - OCT 06) (BR 8)
- S-3 FLEET FINANCIAL GROUP INC, ONE FEDERAL STREET, BOSTON, MA 02211 (617) 292-2000 (FILE 333-37231 - OCT. 06) (BR 7)
- S-3 FP BANCORP INC, 613 W VALLEY PKWY, ESCONDIDO, CA 92025 (619) 741-3312 457,500 (\$4,575,000) COMMON STOCK. (FILE 333-37233 OCT. 06) (BR. 7)
- S-1 AMKOR TECHNOLOGY INC, 1345 ENTERPRISE DR, WEST CHESTER, PA 19380 (610) 431-9600 \$402,500,000 COMMON STOCK. (FILE 333-37235 OCT. 06) (BR 6 NEW ISSUE)
- S-8 ALLEGHANY CORP /DE, PARK AVE PLZ, NEW YORK, NY 10055 (212) 752-1356 202,102 (\$26,767,399) COMMON STOCK. (FILE 333-37237 OCT. 06) (BR. 1)
- S-3 SIMTEK CORP, 1465 KELLY JOHNSON BLVD, STE 301, COLORADO SPRINGS, CO 80920 (719) 531-9444 - 5,074,713 (\$6,102,722) COMMON STOCK. (FILE 333-37239 - OCT 06) (BR 6)
- S-3 IMAGING TECHNOLOGIES CORP/CA, 11031 VIA FRONTERA STE #100, SAN DIEGO, CA 92127 (619) 613-1300 \$19,500,000 COMMON STOCK. (FILE 333-37245 OCT 06) (BR 3)
- S-3 GENERAL GROWTH PROPERTIES INC, 55 WEST MONROE ST, STE 3100, CHICAGO, IL 60603 (312) 551-5000 (FILE 333-37247 OCT. 06) (BR. 8)
- S-3 BOSTON SCIENTIFIC CORP, ONE BOSTON SCIENTIFIC PL, NATICK, MA 01760 (508) 650-8000 500,000,000 (\$500,000,000) STRAIGHT BONDS. (FILE 333-37255 OCT 06) (BR 1)
- N-2 TEMPLETON RUSSIA EURASIA FUND, 500 EAST BROWARD BOULEVARD, FORT LAUDERDALE, FL 33394 (180) 034-2523 - 3,450,000 (\$69,000,000) COMMON SHARES OF BENEFICIAL INTEREST (FILE 333-37257 - OCT. 06) (NEW ISSUE)
- F-1 BARBEQUES GALORE LTD, 15041, BAKE PARKWAY #A, IRVINE, CA 92718 (714) 597-2400 - 2,702,500 (\$43,240,000) FOREIGN COMMON STOCK. (FILE 333-37259 - OCT 06) (NEW ISSUE)
- S-4 PEOPLES BANCORP INC, 138 PUTNAM ST, P O BOX 738, MARIETTA, OH 45750 (614) 373-3155 748,855 (\$13,292,176) COMMON STOCK (FILE 333-37261 OCT 02) (BR 7)
- S-8 TECHNE CORP /MN/, 614 MCKINLEY PL N E, MINNEAPOLIS, MN 55413 (612) 379-8854 - 300,000 (\$10,500,000) COMMON STOCK (FILE 333-37263 - OCT 06) (BR 1)
- SB-2 SUPERIOR SUPPLEMENTS INC, 270 OSER AVENUE, HAUPPAUGE, NY 11788 (516) 231-0783 4,700,000 (\$27,318,750) COMMON STOCK. (FILE 333-37265 OCT 06) (BR 9)
- S-3 CASINO RESOURCE CORP, 707 BIENVILLE BLVD, OCEAN SPRINGS, MS 39564
 (601) 435-1976 1,075,500 (\$1,949,343.75) COMMON STOCK. (FILE 333-37267 OCT 06) (BR 5)
- S-3 LECROY CORP, 700 CHESTNUT RIDGE RD, CHESTNUT RIDGE, NY 10977 (914) 425-2000 95,181 (\$3,961,909) COMMON STOCK. (FILE 333-37269 OCT 06) (BR. 5)
- S-1 CAPITAL TRUST, 605 THIRD AVENUE 26TH FLOOR, STE 200, NEW YORK, NY 10016 (212) 655-0220 9,200,000 (\$94,852,000) COMMON SHARES OF BENEFICIAL INTEREST. (FILE 333-37271 OCT. 06) (BR. 8)
- S-3 CRESCENT REAL ESTATE EQUITIES CO, 900 THRID AVENUE, SUITE 1800, NEW YORK, NY 10022 (212) 836-4216 - 1,198,664 (\$45,399,399) COMMON STOCK. (FILE 333-37273 - OCT 06) (BR 8)

- S-1 HARBOR FLORIDA BANCORP INC, 100 S SECOND ST, FORT PIERCE, FL 34950 (561) 461-2414 15,208,750 (\$152,087,500) COMMON STOCK. (FILE 333-37275 OCT 06) (BR 7)
- S-3 SOURCE MEDIA INC, 8140 WALNUT HILL LANE STE 1000, DALLAS, TX 75231 (914) 669-5811 33,000 (\$396,000) COMMON STOCK. (FILE 333-37279 OCT. 06) (BR 3)
- S-4 FIRST UNITED BANCSHARES INC /AR/, MAIN & WASHINGTON STREET,
 P O BOX 751, EL DORADO, AR 71730 (501) 863-3181 425,000 (\$17,000,000)
 COMMON STOCK. (FILE 333-37281 OCT. 06) (BR. 7)
- S-4 AMTRAN INC, 7337 W WASHINGTON ST, INDIANAPOLIS, IN 46231 (317) 247-4000 100,000,000 (\$100,000,000) STRAIGHT BONDS. (FILE 333-37283 OCT 06) (BR. 5)
- S-3 KIMCO REALTY CORP, 3333 NEW HYDE PARK RD, PO BOX 5020, NEW HYDE PARK, NY 11042 (516) 869-9000 (FILE 333-37285 OCT. 06) (BR. 8)
- S-3 KEYCORP /NEW/, 127 PUBLIC SQ, CLEVELAND, OH 44114 (216) 689-3000 3,336,118 (\$214,032,820) COMMON STOCK. (FILE 333-37287 OCT 06) (BR 7)
- SB-2 EIP MICROWAVE INC, 1745 MCCANDLESS DRIVE, MILPITAS, CA 95035 (714) 720-1766 ~ 1,274,721 (\$2,358,234) COMMON STOCK. (FILE 333-37289 - OCT 06) (BR 5)
- S-1 TRAVIS INTERNATIONAL INC, 3000 WESLAYAN, STE 350, HOUSTON, TX 77027 \$35,000,000 COMMON STOCK (FILE 333-37291 OCT. 06)
- S-8 ALPHA HOSPITALITY CORP, 12 E 49TH ST, NEW YORK, NY 10017 (212) 750-3500 36,000 (\$94,500) COMMON STOCK. (FILE 333-37293 OCT. 07) (BR 5)
- S-8 BENEFICIAL CORP, ONE CHRISTINA CENTRE, 301 N WALNUT ST, WILMINGTON, DE 19801 (302) 425-2500 3,500,000 (\$279,562,500) COMMON STOCK. (FILE 333-37295 OCT 07) (BR. 7)
- S-3 FAIRCHILD CORP, 300 W SERVICE RD, PO BOX 10803, CHANTILLY, VA 22021 (703) 478-5800 6,247,000 (\$176,087,313) COMMON STOCK. (FILE 333-37297 OCT 07) (BR 6)
- S-8 RENAL CARE GROUP INC, 2100 WEST END AVENUE, SUITE 800, NASHVILLE, TN 37203 (615) 345-5500 2,295,078 (\$58,519,278) COMMON STOCK. (FILE 333-37299 OCT 06) (BR. 1)
- S-8 PEOPLES SIDNEY FINANCIAL CORP, 101 EAST COURT ST, SIDNEY, OH 45365 (937) 492-6129 23,000 (\$379,500) COMMON STOCK (FILE 333-37301 OCT 06) (BR 7)
- S-1 FTD CORP, 3113 WOODCREEK DRIVE, DOWNERS GROVE, IL 48034 (630) 719-7800 \$8,882,104 50 COMMON STOCK (FILE 333-37303 OCT 06) (BR 6)
- S-8 FIRST FEDERAL FINANCIAL SERVICES CORP, 135 E LIBERTY ST, WOOSTER, OH 44691 (330) 264-8001 547,514 (\$22,328,122) COMMON STOCK (FILE 333-37305 OCT 06) (BR. 7)
- S-4 GULF WEST BANKS INC, 425 22ND AVE N, ST PETERSBURG, FL 33704 (813) 894-5696 1,950,000 (\$8,648,642) COMMON STOCK (FILE 333-37307 OCT 07)

RECENT 8K FILINGS

Form 8-K is used by companies to file current reports on the following events

- Item 1 Changes in Control of Registrant.
- Item 2 Acquisition or Disposition of Assets
- Item 3 Bankruptcy or Receivership
- Item 4 Changes in Registrant's Certifying Accountant

- Item 5 Other Materially Important Events.
- Item 6 Resignations of Registrant's Directors.
- Item 7 Financial Statements and Exhibits
- Item 8 Change in Fiscal Year
- Item 9 Regulation S Offerings

The following companies have filed 8-K reports for the date indicated and/or amendments to 8-K reports previously filed, responding to the item(s) of the form specified. 8-K reports may be obtained in person or by writing to the Commission's Public Reference Branch at 450 Fifth Street, N.W, Washington, D.C. 20549 or at the following e-mail box address <public info @ sec>. In most cases, this information is also available on the Commission's website <www.sec.gov>

	STATE		8 K	ITEM	NO.			
NAME OF ISSUER	CODE	1 2		4 5 6		9	DATE	COMMENT
AAMES CAPITAL CORP	CA			x	X		09/19/9	
ADVANCED MICRO DEVICES INC	DE			X	X		09/30/9	
ALLIANCE ENTERTAINMENT CORP	DE			X	X		10/01/9	7
ALLIED HOLDINGS INC	GA	Х		Х	X		10/10/9	7
ALTERNATIVE LIVING SERVICES INC	DE			Х	Х		09/23/9	7
AMERICAN BUILDERS & CONTRACTORS SUP	TX			Х	X		10/08/9	7
PLY CO INC								
AMERICAN GENERAL CORP /TX/	TX			X	X		10/10/9	7
AMETECH INC	OK			X	X		10/02/9	
ARI NETWORK SERVICES INC /WI	WI	Х			Х		09/30/9	7
ARV ASSISTED LIVING INC	CA			X	X		09/30/9	7
ASPEN TECHNOLOGY INC /MA/	MA			X	X		10/09/9	7
ASSET SECURITIZATION CORP SERIES 19	DE			X	X		10/10/9	7
97-D5								
ASYST TECHNOLOGIES INC /CA/	CA			Х	Х		09/30/9	7
ATMI HOLDINGS INC	DE	х		x			10/10/9	7
AVIRON	CA			X	X		10/08/9	7
BLACK HILLS CORP	SD			X			10/10/9	7
BOSTON LIFE SCIENCES INC /DE	DE			X	x		09/30/9	7
BROWN TOM INC /DE	DE			X			10/07/9	7
BT ENERGY CORPORATION	DE		2	K			10/01/9	7
CALI REALTY CORP /NEW/	MD			X			09/19/9	7 AMEND
CARRIAGE SERVICES INC	DE	x			x		09/25/9	7
CECO ENVIRONMENTAL CORP	NY	x		X	х		09/25/9	7
CENTURY PACIFIC HOUSING FUND I	CA		2	X			09/30/9	7
CENTURY PACIFIC TAX CREDIT HOUSING	CA		2	K			09/30/9	7
FUND II								
CHENIERE ENERGY INC	DE			Х			09/29/9	7
CHICAGO BRIDGE & IRON CO N V				Х	Х		10/03/9	7
CITY HOLDING CO	wv			х			10/10/9	7
COLORADO MEDTECH INC	CO	х			Х		10/01/9	7
COMMUNICATIONS CENTRAL INC	GA	х			Х		10/01/9	
CONTIMORTGAGE HOME EQUITY TURST 199		х			X		10/07/9	7
7 4								
CONTINUCARE CORP	FL			х	X		10/07/9	7
CONTISECURITIES ASSET FUNDING CORP	DE				x		10/07/9	
CREATIVE BIOMOLECULES INC	DE			х			10/10/9	
CRESCENT OPERATING INC	DE	х					09/29/9	
CRESCENT REAL ESTATE EQUITIES CO	MD				x		10/08/9	
CSX TRADE RECEIVABLES CORP	DE				X		09/25/9	
DELTA FUNDING CORP /DE/	DE			х	x		10/10/9	
DESIGNS INC	DE			X	-		10/09/9	
DIGITAL VIDEO SYSTEMS INC	DE			••	x		08/01/97	
DIMENSIONAL VISIONS GROUP LTD	DE					x	10/10/97	
DORAL FINANCIAL CORP	PR			х	х		10/01/97	
DURA PHARMACEUTICALS INC/CA	DE			X	X		10/01/9	
EAGLE RIVER INTERACTIVE INC	DE	х		••	X		10/10/9	
EASTERN ENVIRONMENTAL SERVICES INC	DE	X			X		08/15/9	
THE COLUMN TWO	22	v			Λ		00/15/9	תוויות

NAME OF ISSUER	STATE CODE		2			NO.	DATE	^	OMMENT
RAND OF ISSUER				 • •		 	 		
ECHELON INTERNATIONAL CORP	FL				X		10/01/	97	
EMPIRE OF CAROLINA INC	DE				X	X	08/25/	97	
ENTERGY ARKANSAS INC	AR				X		10/09/	97	
ENTERGY CORP /DE/	DE				X		10/09/	97	
ENTERGY GULF STATES INC	TX				X		10/09/	97	
FCC NATIONAL BANK					X	X	10/10/	97	
FIRST NATIONAL ENTERTAINMENT CORP	CO		X		X		09/30/	97	
FIRST SOUTHERN BANKSHARES INC/GA	GA	X				X	10/01/	97	
FLORIDA GAMING CORP	DE				X	X	09/24/	97	AMEND
FORD MOTOR CREDIT CO	DE				X	X	10/09/	97	
FPA MEDICAL MANAGEMENT INC	DE				X		07/31/	97	AMEND
GE CAPITAL MORTGAGE SERVICES INC	NJ		X			X	09/26/	97	
GE CAPITAL MORTGAGE SERVICES INC	NJ		X			X	09/26/	97	
GENERAL CREDIT CORP	NY		X			X	09/30/	97	
GOLF TECHNOLOGY HOLDING INC	ID				X	X	09/30/	97	
GREEN TREE FINANCIAL CORP	DE					X	10/09/	97	
HELMSTAR GROUP INC	DE				x		10/01/	97	
HORIZON GROUP INC	MI				X		10/10/	97	
INTEGRATED HEALTH SERVICES INC	DE		х		x	X	09/25/	97	
KRYSTAL COMPANY	TN	x					10/10/	97	
LA TEKO RESOURCES LTD	A1				x		10/08/	97	
LEHMAN ABS CORP	DE				x	X	10/10/	97	
LORAL SPACE & COMMUNICATIONS LTD	D0				x	X	10/07/	97	
MARGO NURSERY FARMS INC	FL			Х	x	X	09/30/	97	
MARSH & MCLENNAN COMPANIES INC	DE				x	X	09/18/	97	
MASCO CORP /DE/	DE				X	X	09/30/	97	
MATHSOFT INC	MA				х	X	10/09/	97	
MED WASTE INC	DE		х			x	09/25/	97	
MELAMINE CHEMICALS INC	DE				х	χ	10/09/	97	
MELLON BANK CREDIT CARD MASTER TRUS					X	X	10/09/	97	
MELLON BANK NA MELLON BANK HOME EQU ITY LOAN TRUST 1996-1	NY				X	X	10/09/	97	
MERITOR AUTOMOTIVE INC	DΕ				х	X	09/30/	97	
MERRILL LYNCH MORTGAGE INVESTORS IN C	DE	x					09/25/	97	
MERRILL LYNCH MORTGAGE INVESTORS IN C SERIES 1997-FF2	DE	X					09/25/	97	
METRIC INCOME TRUST SERIES INC	CA		X			Х	09/26/	97	
MONEY STORE OF NEW YORK INC	NY				х	X	09/29/	97	
MONEY STORE SBA LOAN BACKED ADJ RAK CERT SERIES 1997-I					X	X	09/29/	97	
NEIMAN MARCUS FUNDING CORP	DE		X				09/30/9	97	
NEW ERA OF NETWORKS INC	DE		x			X	09/26/9	37	
ORTHOLOGIC CORP	DE				x		10/10/		
PANACO INC	DE	х					10/10/		AMEND
PENNROCK FINANCIAL SERVICES CORP	PA				x		06/26/		
PEOPLES HERITAGE FINANCIAL GROUP IN	ME				x	X	10/10/		
PETRACOM HOLDINGS INC	DE				x		09/30/9	97	
DUADMACYCTOMC UCA DANCE GODD							- 0 / 0 0 / /		3.000m

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PROFESSIONALS INSURANCE CO MANAGEME MI

PHARMASYSTEMS HOLDINGS CORP

PNC MORTGAGE SECURITIES CORP

PMT SERVICES INC /TN/

PMT SERVICES INC /TN/

PRICE ENTERPRISES INC

RANGER INDUSTRIES INC

POPULAR INC

NT GROUP PUROFLOW INC

QUADRAMED CORP

STATE 8K ITEM NO.

NAME OF ISSUER	CODE	1	2	3	4	5 6	7	8 :	DATE	C	OMMENT
RENEGADE VENTURE CORP	co	x							10/09/	 97	
RESIDENTIAL ACCREDIT LOANS INC	DE						х		10/09/		
ROCHEM ENVIRONMENTAL INC	UT				х		x		10/08/		
ROCKWELL INTERNATIONAL CORP	DE		х		••		x		09/30/		
SAF T LOK INC	FL		••				•	,	09/25/		
SCFC BOAT LOAN TRUST 1992-1	IL					x	х	Ī	09/30/		
SCFC RECREATIONAL VEHICLE LOAN TRUS	IL					x	x		09/30/		
Т 1991-1									,,		
SIGNATURE RESORTS INC	MD					х			05/15/	97	AMEND
SILICON GRAPHICS INC /CA/	DE							2	09/30/		
SOUTHERN PACIFIC FUNDING CORP	CA					X	х		10/09/		
SPECTRIAN CORP /CA/	CA					x			10/03/		
SPIEKER PROPERTIES INC	MD						Х		10/10/	97	AMEND
SPIEKER PROPERTIES L P	CA						Х		10/10/		
STRUCTURED ASSET SECURITIES CORP SE	DE					х	x		10/10/		
RIES 1997 LL I											
TELEGROUP INC	IA	Х							10/09/	97	
TELEGROUP INC	IA	Х							10/09/	97	
THERMO OPTEK CORP	DE	Х							10/10/	97	AMEND
THERMOTREX CORP	DE	Х							10/10/	97	
THERMOTREX CORP	DE	х							10/10/	97	
THIOKOL CORP /DE/	DE				:	X			10/10/	97	
TOYOTA AUTO LEASE TRUST 1997-A	CA			x					08/31/	97	
TRANSMEDIA ASIA PACIFIC INC	DE			2	K				09/26/9	97	
TRANSMEDIA EUROPE INC	DE			2	K				09/26/9	7	
TRANSWORLD HEALTHCARE INC	NY		X		:	X	X X		10/01/9	7	
TRIO TECH INTERNATIONAL	CA	X							10/08/9	7	
UNION PACIFIC CORP	UT						Х		10/10/9	7	
UNION PACIFIC CORP	UT						X		10/10/9	7	
UNION PACIFIC CORP	UT						X		10/10/9	7	
UNION PACIFIC CORP	UT						Х		10/10/9	7	
UNION PACIFIC RAILROAD CO	UT						X		10/10/9	7	
US WATS INC	NY				2	X			10/10/9	7	
WASHINGTON MUTUAL INC	WA				2	X	X		10/10/9	7	
WESTERN MICRO TECHNOLOGY INC /DE	DE				2	K	X		10/10/9	7	
WESTINGHOUSE ELECTRIC CORP	PA				2	K	X		10/10/9	7	
WINTHROP CALIFORNIA INVESTORS LTD P ARTNERSHIP	DE				2	K			03/28/9	7	
WORLDCOM INC /GA/	GA				2	K	х		10/09/9	7	
XIRCOM INC	CA				2	K	х		10/02/9	7	
YAHOO INC	CA				2	Κ.	x		10/07/9		