SEC NEWS DIGEST

Issue 97-175

September 10, 1997

RULES AND RELATED MATTERS

REGISTRATION UNDER THE SECURITIES ACT OF 1933 OF CERTAIN INVESTMENT COMPANY SECURITIES

The Commission has issued a release that adopts amendments to Rule 24f-2 under the Investment Company Act and to Form 24F-2, implementing the provision of the National Securities Markets Improvement Act of 1996 relating to the registration of certain investment company securities under the Securities Act of 1933. The amendments simplify the method of calculating the amount of registration fees on these securities. The Commission also has adopted conforming amendments to several rules and forms, and rescinded Rules 24e-2 and 24f-1 under the Investment Company Act. The amendments will be effective October 11, 1997. FOR FURTHER INFORMATION CONTACT: Robin S. Gross at (202) 942-0690. (Rel. 33-7448, IC-22815)

ENFORCEMENT PROCEEDINGS

ADMINISTRATIVE LAW JUDGE BARS IRA SCOTT FROM BEING ASSOCIATED WITH AN INVESTMENT ADVISER

Ira William Scott, who was registered with the Securities and Exchange Commission as an investment adviser doing business as Ira W. Scott, a sole proprietorship, for about two years in the period 1986-88, has been barred from being associated with an investment adviser, pursuant to Section 203(f) of the Investment Advisers Act. On September 29, 1994, the Court of Appeals of Indiana, Third District, affirmed Mr. Scott's conviction, following a jury verdict, by the Superior Court of Porter County, Indiana, on nine counts of felonies involving the sale of securities and four felony counts for Mr. Scott was not registered with the Indiana Securities theft. Division as an agent of Value Plus Communications, Inc. (VPC), a company he owned which he claimed was in the business of purchasing long-distance time from telephone companies and reselling that time to customers. Mr. Scott knowingly effected the offer and sale of unregistered VPC securities and, while doing so, he knowingly engaged in acts, practices, and courses of business which operated

as a fraud or deceit on investors by failing to disclose VPC's true financial condition, failing to disclose the background of the company, and guaranteeing that VPC would earn returns of thirty-five percent and fifteen and one half percent interest; pay the money back within a year; not lose money; and return an investor's funds at any time, and he knowingly or intentionally exerted unauthorized control over the property of these investors and Sprint Telecommunications. (Initial Decision No. 116)

COMMISSION SANCTIONS INVESTMENT COMPANY ADMINISTRATOR

The Commission has issued an Order Instituting Public Proceedings, Making Findings and Imposing Remedial Sanctions against Frank P. Meadows, III (Meadows) and The Nottingham Company, Inc. doing business as The Nottingham Management Company, Inc., TNC, Inc., F.P. Meadows & Company, and The Nottingham Company (Nottingham), an administrator to investment companies, of Rocky Mount, North The Commission accepted the Offer of Settlement of Carolina. Meadows and Nottingham in which, without admitting or denying the Commission's findings, except for findings pertaining to the jurisdiction of the Commission, Meadows consented to an order barring him from association with any investment company, investment adviser, broker, dealer, municipal securities dealer, or transfer agent, with the right to reapply after eighteen months. Pursuant to their consent, Meadows and Nottingham were also-ordered by the Commission to cease and desist from committing or causing any violation or future violation of Sections 34(a) and 34(b) of the Investment Company Act (ICA) and from causing any future violation of Sections 17(a)(3), 22(q), 31(a) and 31(b) of the ICA and Rule 31a-2 thereunder, and Meadows was ordered to cease and desist from committing or causing any violation or future violation of Section 37 of the ICA. Meadows was ordered to pay a civil money penalty of thirty five thousand dollars and Nottingham was ordered to pay a civil money penalty of fifteen thousand dollars.

The order instituting public proceedings alleged that Meadows misused investment company assets, and that Meadows and Nottingham violated provisions of the ICA prohibiting destruction and falsification of investment company records. The order further alleged that assets of investment companies were loaned to affiliated persons, that records of investment companies were not produced for examination by representatives of the Commission, and that shares of investment companies were issued for property other than cash. (Rel. Nos. 34-39034; IC-22813; IA-1660)

PERMANENT INJUNCTION ISSUED AGAINST FORMER DEAN WITTER REYNOLDS INC. REGISTERED REPRESENTATIVE

The Commission has obtained a permanent injunction against Michael J. Oberholzer, a former broker in the Hayward, California, branch of Dean Witter Reynolds Inc. (Dean Witter). The Commission alleged that between September 1989 and September 1995 Oberholzer defrauded four Dean Witter customers and caused investor losses totalling \$320,000 by engaging in churning, unauthorized margin trading, and

unsuitable trading. The Commission also alleged that Oberholzer made material misrepresentations and omissions, and that he falsified Dean Witter's books and records in connection with this fraudulent conduct.

Oberholzer consented to the permanent injunction without admitting or denying the allegations. [SEC v. Michael J. Oberholzer, Civil Action No. 97-03320-CW, N.D. Cal.] (LR-15481)

INVESTMENT COMPANY ACT RELEASES

LEVCO SERIES TRUST, ET AL.

A notice has been issued giving interested persons until October 6, 1997, to request a hearing on an application filed by LEVCO Series Trust (Trust) and John A. Levin & Co., Inc. (Levin) (together, Applicants). Applicants seek an order under Section 6(c) of the Investment Company Act granting exemptions from the provisions of Sections 9(a), 13(a), 15(a) and 15(b) of the Act, and Rules 6e-2(b)(15) and 6e-3(T)(b)(15) thereunder. The order would provide exemptions to the extent necessary to permit shares of the Trust and any other open-end management investment company for which Levin or any of its affiliates may serve as manager, investment adviser, administrator, principal underwriter or sponsor to be sold to and held by separate accounts funding variable annuity and variable life insurance contracts issued by both affiliated and unaffiliated life insurance companies; and qualified pension and retirement plans outside of the separate account context. (Rel. IC-22814 September 9)

SELF-REGULATORY ORGANIZATIONS

APPROVAL OF PROPOSED RULE CHANGES

The Commission approved a proposed rule change submitted by the <u>Chicago Stock Exchange</u> (SR-CHX-97-15) relating to a specialist's deregistration in an issue. (Rel. 34-39028)

The Commission approved a proposed rule change submitted by the <u>Philadelphia Stock Exchange</u> (SR-Phlx-97-25) to eliminate the enhanced parity split for the specialist in the 3D German mark foreign currency options. Publication of the proposal is expected in the <u>Federal Register</u> during the week of September 8. (Rel. 34-39030)

PROPOSED RULE CHANGE

<u>The Depository Trust Company</u> filed a proposed rule change (SR-DTC-97-07), which became effective upon filing, to amend DTC's procedures in accordance with certain disclosure requirements for transactions involving inflation indexed securities processed through DTC's Institutional Delivery system. Publication of the proposal is expected in the <u>Federal Register</u> during the week of September 15. (Rel. 34-39031)

SECURITIES ACT REGISTRATIONS

The following registration statements have been filed with the SEC under the Securities Act of 1933. The reported information appears as follows: Form, Name, Address and Phone Number (if available) of the issuer of the security; Title and the number and/or face amount of the securities being offered; Name of the managing underwriter or depositor (if applicable); File number and date filed; Assigned Branch; and a designation if the statement is a New Issue.

Registration statements may be obtained in person or by writing to the Commission's Public Reference Branch at 450 Fifth Street, N.W., Washington, D.C. 20549 or at the following e-mail box address: <public info @ sec>. In most cases, this information is also available on the Commission's website: <www.sec.gov>.

- S-8 PUBLIC SERVICE CO OF NORTH CAROLINA INC, 400 COX RD, PO BOX 1398, GASTONIA, NC 28053 (704) 864-6731 - 1,560,000 (\$33,150,000) COMMON STOCK (FILE 333-34845 - SEP. 03) (BR 4)
- S-4 LOUIS DREYFUS NATURAL GAS CORP, 14000 QUAIL SPRINGS PKWY, STE 600, OKLAHOMA CITY, OK 73134 (405) 749-1300 - 12,874,427 (\$300,553,754) COMMON STOCK (FILE 333-34849 - SEP. 03) (BR 4)
- S-4 ALTERNATIVE LIVING SERVICES INC, 450 NORTH SUNNYSLOPE RD, STE 300, BROOKFIELD, WI 53003 (414) 789-9565 - 7,401,619 (\$158,816,228) COMMON STOCK (FILE 333-34851 - SEP 03) (BR 1)
- S-4 WRIGHT MEDICAL TECHNOLOGY INC, 5677 AIRLINE RD, ARLINGTON, TN 38002
 (901) 867-9971 85,000,000 (\$85,000,000) STRAIGHT BONDS (FILE 333-34853
 SEP 03) (BR 1)
- S-4 MARSH SUPERMARKETS INC, 9800 CROSSPOINT BLVD, INDIANAPOLIS, IN 46256 (317) 594-2100 - 150,000,000 (\$148,777,500) STRAIGHT BONDS (FILE 333-34855 - SEP 03) (BR 2)
- S-8 CENTRUM INDUSTRIES INC, 6135 TRUST DR, STE 104A, HOLLAND, OH 43528 (419) -86-8-34 - 1,875,557 (\$3,751,114) COMMON STOCK (FILE 333-34857 -SEP 03) (BR 5)
- S-1 TRAMMELL CROW CO, 3500 TRAMMELL CROW CENTER 2001 ROSE AVEN, 214-863-3000, DALLAS, TX 75201 (214) 863-3000 - \$86,250,000 COMMON STOCK (FILE 333-34859 - SEP 03) (BR 8)
- S-4 CTS CORP, 905 W BLVD N, ELKHART, IN 46514 (219) 293-7511 2,314,025 (\$183,895,587) COMMON STOCK (FILE 333-34861 SEP 03) (BR 6)
- S-4 POST PROPERTIES INC, 3350 CUMBERLAND CIRCLE NW, STE 2200, ATLANTA, GA 30339 (770) 850-4400 - 8,500,000 (\$312,375,000) COMMON STOCK (FILE 333-34863 - SEP 03) (BR 8)
- S-8 ASTEA INTERNATIONAL INC, 455 BUSINESS CENTER DRIVE, HORSHAM, PA 19044 (215) 822-88888 - 931,250 (\$2,476,403) COMMON STOCK (FILE 333-34865 -SEP 03) (BR 3)
- 4 NEWS DIGEST, September 10, 1997

- S-8 SENTRY TECHNOLOGY CORP, 350 WIRELESS BLVD, HAUPPAUGE, NY 11788 (514) 232-2100 - 500,000 (\$1,485,000) COMMON STOCK (FILE 333-34867 -SEP. 03) (BR. 3)
- S-8 MERCHANTS BANCSHARES INC, 164 COLLEGE ST, BURLINGTON, VT 05401 (802) 658-3400 - 100,000 (\$2,650,000) COMMON STOCK (FILE 333-34869 -SEP 03) (BR 7)
- S-8 MERCHANTS BANCSHARES INC, 164 COLLEGE ST, BURLINGTON, VT 05401 (802) 658-3400 - 400,000 (\$10,600,000) COMMON STOCK (FILE 333-34871 -SEP 03) (BR 7)
- S-1 U S LIQUIDS INC, 411 N SAM HOUSTON PARKWAY EAST, STE 400, HOUSTON, TX 77060 (281) 272-4500 - 4,990,000 (\$75,785,625) COMMON STOCK (FILE 333-34875 - SEP 03) (BR. 4)
- S-8 BORG WARNER SECURITY CORP, 200 S MICHIGAN AVE, NULL, CHICAGO, IL 60604 (312) 322-8500 - 2,450,000 (\$41,870,500) COMMON STOCK (FILE 333-34877 -SEP 03) (BR. 7)
- S-3 GATX CAPITAL CORP, FOUR EMBARCADERO CTR SUITE 2200, SAN FRANCISCO, CA 94111 (415) 955-3200 - 500,000,000 (\$500,000,000) STRAIGHT BONDS (FILE 333-34879 - SEP. 03) (BR 7)
- S-8 SYBASE INC, 6475 CHRISTIE AVE, EMERYVILLE, CA 94608 (510) 922-3500 -3,750,000 (\$68,790,000) COMMON STOCK (FILE 333-34881 - SEP 03) (BR 3)
- S-3 CONCENTRA MANAGED CARE INC, 312 UNION WHARF, BOSTON, MA 02109 (617) 367-2163 - 305,616 (\$9,798,049) COMMON STOCK (FILE 333-34883 -SEP 03) (BR 1)
- SB-2 ADVANTAGE MARKETING SYSTEMS INC/OK, 2601 NW EXPRWY STE 1210W, OKLAHOMA CITY, OK 73112 (405) 842-0131 - 2,500,000 (\$17,149,000) COMMON STOCK. (FILE 333-34885 - SEP 03) (BR 6)
- S-8 DAY RUNNER INC, 15295 ALTON PARKWAY, IRVINE, CA 92718 (714) /68-0-35 -95,000 (\$3,206,250) COMMON STOCK (FILE 333-34887 - SEP 03) (BR 5)
- S-8 MCDONALD & CO INVESTMENTS INC, 1400 MCDONALD INVESTMENT CTR, STE 2100, CLEVELAND, OH 44114 (216) 443-2300 - 100,000 (\$4,340,625) COMMON STOCK (FILE 333-34889 - SEP 03) (BR 8)
- S-3 FIRSTPLUS FINANCIAL GROUP INC, 1600 VICEROY, 8TH FL, DALLAS, TX 75235 (214) 630-6006 - 889,209 (\$41,959,550) COMMON STOCK (FILE 333-34891 -SEP 03) (BR. 7)
- S-3 GLASGAL COMMUNICATIONS INC, 151 VETERANS DR, PEGUANNOCK, NJ 07440 (201) 768-8082 - 2,760,884 (\$15,071,688) COMMON STOCK (FILE 333-34893 -SEP 03) (BR 3)
- S-4 CAREY INTERNATIONAL INC, 4530 WISCONSIN AVE NW, WASHINGTON, DC 20016 (202) 895-1200 - 1,500,000 (\$21,843,750) COMMON STOCK (FILE 333-34897 -SEP. 03) (BR 5)
- S-8 NCI BUILDING SYSTEMS INC, P O BOX 40220, HOUSTON TEXAS, TX 77240 (713) 466-7788 - 500,000 (\$17,815,000) COMMON STOCK (FILE 333-34899 -SEP 03) (BR 6)
- S-4 ASSOCIATED BANC-CORP, 112 NORTH ADAMS ST, P O BOX 13307, GREEN BAY, WI 54301 (414) 433-3166 - 28,627,148 (\$1,171,748,475 56) COMMON STOCK (FILE 333-34901 - SEP 04) (BR 7)
- S-3 QUADRAX CORP, 300 HIGH POINT AVE, PORTSMOUTH, RI 02871 (401) 683-6600 -9,744,346 (\$4,874,673) COMMON STOCK (FILE 333-34905 - SEP 03) (BR 6)
- S-3 XOMA CORP /DE/, 2910 SEVENTH ST, BERKELEY, CA 94710 (510) 644-1170 -3,800,000 (\$23,750,000) COMMON STOCK (FILE 333-34907 - SEP 04) (BR 1)
- S-3 THERMOTREX CORP, 10455 PACIFIC CENTER COURT, SAN DIEGO, CA 92121 (617) 622-1000 - \$250,000,000 COMMON STOCK (FILE 333-34909 - SEP 04) (BR 1)

- S-8 CADIZ LAND CO INC, 100 WILSHIRE BLVD, SUITE 1620, SANTA MONICA, CA 90401 (310) 899-4700 - 57,500 (\$316,250) COMMON STOCK. (FILE 333-34911 -SEP 04) (BR. 2)
- S-3 GENZYME CORP, ONE KENDALL SQ, CAMBRIDGE, MA 02139 (617) 252-7500 -540,000,000 COMMON STOCK. (FILE 333-34913 - SEP 04) (BR. 1)

RECENT 8K FILINGS

Form 8-K is used by companies to file current reports on the following events.

- Item 1 Changes in Control of Registrant.
- Item 2 Acquisition or Disposition of Assets
- Item 3 Bankruptcy or Receivership
- Item 4 Changes in Registrant's Certifying Accountant
- Item 5 Other Materially Important Events
- Item 6 Resignations of Registrant's Directors
- Item 7 Financial Statements and Exhibits
- Item 8 Change in Fiscal Year
- Item 9 Regulation S Offerings.

The following companies have filed 8-K reports for the date indicated and/or amendments to 8-K reports previously filed, responding to the item(s) of the form specified 8-K reports may be obtained in person or by writing to the Commission's Public Reference Branch at 450 Fifth Street, N W , Washington, D.C. 20549 or at the following e-mail box address <public info @ sec> In most cases, this information is also available on the Commission's website <www.sec.gov>

	STATE		8K	1	m	EM	N	о.				
NAME OF ISSUER	CODE	12	3	4	5	6	7	8	9	DATE	COMME	NT
ADAMS JOHN LIFE CORP	CA	x			х					08/27/9	97	
ADVANCED MICRO DEVICES INC	DE				х		Х			09/03/9	7	
AGL RESOURCES INC	GA	х								09/09/9	7	
AGRIBIOTECH INC	CO	х					X			08/22/9	7	
AMTECH SYSTEMS INC	AZ	х					Х			08/07/9	7 AME	ND
ANALYTICAL SURVEYS INC	CO						х			09/30/9	6 AME	ND
ANDREA ELECTRONICS CORP	NY				х		х			09/02/9	97	
ASSET-BACKED FLOATING RATE CERTIFIC	DE	х					Х			08/25/9	97	
ATES SERIES 1997-AQ1												
ASSET-BACKED FLOATING RATE CERTIFIC	DE	х					х			08/25/9	7	
ATES SERIES 1997-LB4												
AUTOCAM CORP/MI	MI						х			06/30/9	7 AME	ND
AVIATION DISTRIBUTORS INC	DE		2	ĸ	х					08/29/9	7	
BANK OF NEW ENGLAND CORP	MA						х			05/01/9	7	
BANKERS TRUST NEW YORK CORP	NY				х		х			09/01/9	17	
BAY APARTMENT COMMUNITIES INC	MD	х			х		х			09/04/9	7	
BEAR STEARNS MORTGAGE SECURITIES IN	DE						х			08/29/9	7	
с												
BERG ELECTRONICS CORP /DE/	DE				х					08/25/9	7	
BLOCK MORTGAGE FINANCE ASSET BACKED	DE				х		х			08/25/9	7	
CERTIFICATES SER 1997-2												

NAME OF ISSUER	CODE	1	2	3	4	5	6	7	8	9	DATE	COMMENT
BOETTCHER PENSION INVESTORS LTD	со					x		x			08/25/	97
CABLEVISION SYSTEMS CORP	DE					Х					08/29/9	97
CALENERGY CO INC	DE				Х						09/09/9) 7
CAMPBELL SOUP CO	NJ					х					09/09/9	97
CAPSTAR HOTEL CO	DE					Х		х			09/09/9	97
CARDIAC SCIENCE INC	DE									Х	08/29/9	97
CENTRAL MAINE POWER CO	ME					х					09/02/9	
CHARTWELL CABLE FUND INC	CO	х				х		х			08/31/9	
CHESAPEAKE ENERGY CORP	OK					х		х			08/28/9	
CHRYSLER FINANCIAL CORP	MI					х		х			09/08/9	
CISCO SYSTEMS INC	CA					х		x 			07/28/9	
CLUCKCORP INTERNATIONAL INC	TX		х					х				7 AMEND
COACHMAN INNS INCOME LTD PARTNERSHI P	OK	х									12/31/9	4 AMEND
COMPUTER PRODUCTS INC	FL					х					09/02/9	
COMPUTERVISION CORP /DE/	DE		Х								09/03/9	97
CONSILIUM INC	DE							х				7 AMEND
CONSOLIDATED GRAPHICS INC /TX/	тх					х		х			09/08/9	
CONTOUR MEDICAL INC	NV				х			х				7 AMEND
CONVERSION TECHNOLOGIES INTERNATION AL INC	DE					х					09/08/9	97
CREDENCE SYSTEMS CORP	DE					х		х			09/05/9	7
CROSS MEDICAL PRODUCTS INC /DE	DE					Х					09/30/9	97
CSX CORP	VA					X		х			09/08/9	7
CSX TRADE RECEIVABLES CORP	DE					Х		х			08/25/9	97
CSX TRANSPORTATION INC	VA					х		Х			09/08/9	97
CULLIGAN WATER TECHNOLOGIES INC	DE					х					09/08/9	97
CWABS INC	DE					Х		х			08/28/9	7
DAUPHIN TECHNOLOGY INC	IL		Х									7 AMEND
DAYTON HUDSON RECEIVABLES CORP	MN					х					09/08/9	7
DELTEK SYSTEMS INC	VA					X		х			09/05/9	
DMI INC /CO/	CO	х	х		Х		х		х			7 AMEND
DONALDSON LUFKIN & JENRETTE INC /NY	DE					х		х			09/03/9	17
DOUBLETREE CORP	DE					х		х			09/01/9	7 AMEND
DYNEX SECURITIES CORP	VA					х		Х			08/25/9	7
ENERGEN CORP	AL					х					09/05/9	7
ENVIRONMENTAL PLASMA ARC TECHNOLOGY INC	NV				х						07/08/9	7
ENVIRONMENTAL POWER CORP	DE					х		х			08/26/9	7
EQUITY GROWTH SYSTEMS INC /DE/	DE					х		х			06/30/9	7
EQUIVANTAGE HOME EQUITY LOAN ASSET BACKED CERT SRS 1997-02 T	NY							х			08/25/9	7
ESSEX PROPERTY TRUST INC	MD					х		х			09/09/9	7
ESSEX PROPERTY TROST INC EXPORT FUNDING CORP	DE			х		^		â			06/17/9	
FAY LESLIE COMPANIES INC	DE			î		х		х				7 AMEND
FAY LESLIE COMPANIES INC	DE					x		x			08/27/9	
FINANCIAL ASSET SECURITIES CORP	DE					x		x			09/08/9	
FINGERMATRIX INC	NY	х				A		n			09/03/9	
FINLAY ENTERPRISES INC /DE	DE	Λ				х		х			09/04/9	
FINLAY FINE JEWELRY CORP	DE					x		x			09/04/9	
FIRST NATIONAL BANK OF ATLANTA	DE					x		x			03/31/9	
FIRST NATIONAL BANK OF ATLANTA						x		x			04/30/9	
FIRST NATIONAL BANK OF ATLANTA						x		x			06/30/9	
FIRST WASHINGTON REALTY TRUST INC	MD		x			••		x				7 AMEND
FIRST WASHINGTON REALTY TRUST INC	MD		Λ			х		A			09/09/9	
FORD MOTOR CREDIT CO	DE					x		х			09/10/9	
GATX CORP	NY	х				~		A			09/09/9	
GE CAPITAL MORTGAGE SERVICES INC	NJ	A				х		х			08/25/9	
SE SHITTE GORIGHOE SERVIÇES INC						••		**				_

09/09/97

STATE 8K ITEM NO.

GENERAL AMERICAN TRANSPORTATION COR NY X P /NY/

NAME	OF	ISSUER

STATE 8K ITEM NO.

CODE 1 2 3 4 5 6 7 8 9 DATE COMMENT

CONTRACT OR P NY X 08/23/97 GEOR CO DE X X 09/03/97 GEL VENTURES INC NJ X X 09/03/97 GUEST SUPPLY INC NJ X X 09/03/97 GUEST SUPPLY INC NJ X X 09/03/97 HALDANE BERNARD ASSOCIATES INC FL X 09/03/97 HELICON GAUPTAL CORP DE X 06/26/97 AMENT NOR TRUST C X 09/05/97 MENT HUBON FOODS INC DE X 06/26/97 AMENT INDES NETENSTIC NV X 09/05/97 MENT INDES NOTENATIONAL INC NV X 09/05/97 MENT INDES NOTENATIONAL DE X 09/05/97 MENT <th>NAME OF ISSUER</th> <th></th> <th></th> <th></th> <th></th> <th></th> <th></th> <th></th> <th>DATE</th> <th></th>	NAME OF ISSUER								DATE																																																																																																																																																																																																																																																																									
GGLF VENTURES INC UT X 0.0/22/97 GREEN MOUNTAIN FOND CORP VT X X 0.9/03/97 GREEN MOUNTAIN FOND CROUP INC DE X X 0.9/03/97 HAIN FOOD GROUP INC DE X X 0.9/03/97 HELICON CAPITAL CORP DE X 0.0/26/97 AMENN HUBCO INC DE X 0.0/26/97 AMENN HUBCO INC DE X 0.0/26/97 AMENN INDUS INTERNATIONAL DE X 0.0/26/97 AMENN INDUS INTERNATIONAL DE X 0.0/26/97 AMENN INTECLORENT ELECTONICS INC PA X 0.0/26/97 INTENDENTERTORONES INC DE X 0.0/26/97 INTERCARGO CORP DE X 0.0/26/97																																																																																																																																																																																																																																																																																		
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CODE	1	2	3	4	5	6	7	8	9	DATE	COMMENT

NORTHWEST PIPELINE CORP	DE			х		09/08/97	
NORWEST ASS SEC CORP MORT PAS THR C	MD				х	08/25/97	
ERT SER 1997-02 TRUST							
NORWEST ASS SEC CORP MORT PAS THR C	MD				х	08/25/97	
ERT SER 1997-03 TRUST							
NORWEST ASSET SECS CORP MORT PAS TH	DE				х	08/25/97	
R CERT SER 1997-05 TR							
NORWEST ASSET SECURITIES CORP	DE			х	х	09/05/97	
NORWEST ASSET SECURITIES CORP MOR P					x	08/25/97	
AS TH CERT SER 1997-10 TR							
NORWEST ASSET SECURITIES CORP MOR P	DE				х	08/25/97	
AS TH CERT SER 1997-11 TR						. ,	
NORWEST ASSET SECURITIES CORP MORT	NY				х	08/25/97	
PASS THR CERT SER 1997-01							
NORWEST ASSET SECURITIES CORP MORT	DE				х	08/25/97	
PS THR CER SER 1997-06 TR							
NORWEST ASSET SECURITIES CORP MORT	DE				х	08/25/97	
PS THR CER SER 1997-07 TR						, ., .	
NORWEST ASSET SECURITIES CORP MORT	DE				х	08/25/97	
PS THR CER SER 1997-09 TR							
NPC INTERNATIONAL INC	KS				х	06/09/97	AMEND
OHSL FINANCIAL CORP	DE	х				09/05/97	
OMEGA ENVIRONMENTAL INC	DE		х	х	х	09/08/97	
ONGARD SYSTEMS INC	DE			х		08/28/97	
ORBITAL SCIENCES CORP /DE/	DE			х	х	08/15/97	
OROAMERICA INC	CA	х			х	08/26/97	
PACIFIC RIM ENTERTAINMENT INC	DE	х				08/22/97	
PAINEWEBBER MORTGAGE PARTNERS FIVE	DE	х			х	08/25/97	
LP							
PARKWAY PROPERTIES INC	MD			х	х	09/09/97	
PENTAIR INC	MN	х				07/21/97	
PHILIP SERVICES CORP				х		09/08/97	
PHYSICIAN SALES & SERVICE INC /FL/	FL			х	х	08/22/97	
POLYCOM INC	DE			х	Х	09/05/97	
PRESIDIO CAPITAL CORP		х		х	х	07/18/97	
PTI HOLDING INC	DE		х			09/02/97	
RADIUS INC	CA	х		х	х	08/25/97	
READERS DIGEST ASSOCIATION INC	DE			х	х	06/30/97	
RECKSON ASSOCIATES REALTY CORP	MD	х			х	06/30/97	
REGENT TECHNOLOGIES INC	co	х				08/22/97	AMEND
RESIDENTIAL ACCREDIT LOANS INC	DE			х	х	08/25/97	
RESIDENTIAL ACCREDIT LOANS INC	DE			х	х	09/09/97	
RESIDENTIAL ASSET SECURITIES CORP	DE			х	х	08/25/97	
RESIDENTIAL FUNDING MORTGAGE SECURI	DE			х	х	08/25/97	
TIES II INC							
RESPIRONICS INC	DE			х		09/02/97	
RETIREMENT CARE ASSOCIATES INC /CO/	CO		х		х	08/14/97	AMEND
ROANOKE GAS CO	VA			х		09/08/97	
RYANS FAMILY STEAKHOUSES INC	SC	х				09/03/97	
RYLAND MORTGAGE SECURITIES CORP SER				х	х	08/31/97	
IES 1994-7							
SALOMON BROTHERS MORT SEC VII INC A	DE				х	08/25/97	
SST BACK CERT SE 1997-LB2							
SALOMON BROTHERS MRT SEC VII INC AS	DE				х	08/25/97	
T BK FL RT CRT SR 1997LB3							
SECURITY CAPITAL INDUSTRIAL TRUST	MD				х	09/08/97	
SECURITY CAPITAL PACIFIC TRUST	MD				х	09/08/97	
SETECH INC /DE	DE				x	06/26/97	AMEND
SIGNATURE RESORTS INC	MD			х		05/15/97	
SMARTFLEX SYSTEMS INC	DE			x	х	08/04/97	
SNAP ON INC	DE			x	х	08/22/97	
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NAME OF ISSUER	CODB	1	2	3	4	5	6	7	8	9	DATE	co	MMENT'
SOUND SOURCE INTERACTIVE INC /DE/	DE				x						08/22/	97	AMEND
TELE COMMUNICATIONS INC /CO/	DE					х		х			09/08/	97	
TENET INFORMATION SERVICES INC	UT	х									06/30/	96	
THERAPEUTIC DISCOVERY CORP	DE	х						х			08/25/	97	
TIMES MIRROR CO /NEW/	DE					х		х			09/04/	97	
TODD AO CORP	DE		Х					х			08/27/	97	AMEND
TRANS LEASING INTERNATIONAL INC	DE					х					08/27/	97	
TRANSWORLD HEALTHCARE INC	NY							х			06/24/	97	AMEND
TRANSWORLD HEALTHCARE INC	NY							х			07/03/	97	AMEND
TYREX OIL CO	WY							х			09/09/	97	AMEND
TYSON FOODS INC	DE					х		х			09/09/	97	
UCFC ACCEPTANCE CORP	LA					х		х			08/15/	97	
UNIT INSTRUMENTS INC	PA						х	х			09/03/	97	
UROQUEST MEDICAL CORP	DE				х			х			09/08/	97	
VINCAM GROUP INC	FL							х			05/08/	97.	AMEND
WACHOVIA CORP/ NC	NC	х									09/08/	97	
WATERMARC FOOD MANAGEMENT CO	тх				х			х			08/20/	97.	AMEND
WILLIAMS COMPANIES INC	DE					х		х			09/08/	97	
XECOM CORP /NV	NV					х					08/22/	97	