# SEC NEWS DIGEST

Issue 97-83

April 30, 1997

## COMMISSION ANNOUNCEMENTS

## REPORT ON ADMINISTRATIVE PROCEEDINGS ISSUED

A Report on Administrative Proceedings for the Period October 1, 1996 through March 31, 1997 has been issued, giving summary statistical information on the Commission's administrative proceedings caseload. The Commission's Rules of Practice require publication of the report in the SEC Docket each October and April. (Rel. 34-38550)

# COMMISSION MEETINGS

## CHANGE IN THE MEETING: ADDITIONAL ITEM

The following item will be considered at a closed meeting scheduled to be held on Friday, May 2, 1997, at 10:00 a.m.: Cooperation with other law enforcement organizations.

# CLOSED MEETING - MONDAY, MAY 5, 1997 - 10:30 A.M.

The subject matter of the closed meeting scheduled for Monday, May 5, 1997, at 10:30 a.m., will be: Institution and settlement of injunctive actions; and Institution of administrative proceedings of an enforcement nature;

At times, changes in Commission priorities require alterations in the scheduling of meeting items. For further information and to ascertain what, if any, matters have been added, deleted or postponed, please contact: The Office of the Secretary at (202) 942-7070.

## **ENFORCEMENT PROCEEDINGS**

INSTITUTION AND SETTLEMENT OF ADMINISTRATIVE PROCEEDINGS AGAINST DOROTHEA BOSSIO, MICHAEL IMBRIALE AND ROCCO LEONE

The Commission issued an Order Instituting Public Proceedings pursuant to Section 21C of the Securities Exchange Act of 1934,

Making Findings, and Imposing Sanctions with regard to Dorothea Bossio, Michael Imbriale, and Rocco Leone. Simultaneously with the institution of public proceedings, the Commission accepted the offers of settlement submitted by Bossio, Imbriale and Leone. Each of the settling respondents, without admitting or denying the findings, consented to the issuance of the Order, the entry of the findings contained in the Order, and the imposition of sanctions.

Bossio, Leone, and Imbriale are former employees of the Peltz Food Division (PFD) of Chipwich, Inc. In its Order, the Commission found that from at least February 1989 through May 1992 Bossio, Imbriale, and Leone implemented a fraudulent scheme devised by Chipwich's former CEO to overstate PFD's inventory. In addition, the Commission found that Bossio aided Chipwich's former CEO in concealing his misappropriation of corporate funds for personal use. The Commission ordered and Bossio, Imbriale, and Leone agreed to cease and desist from committing or causing any violation or future violation of the applicable antifraud, reporting, record-keeping, and internal control provisions of the securities laws. (Rel. 34-38555; AAE Rel. 909)

CIVIL COMPLAINT FILED AGAINST BRADLEY SIMMONS AND AMERICAN ENERGY GROUP, LTD.

The Commission announced that on April 24 it filed a civil complaint in the United States District Court, Houston Division, against Bradley J. Simmons and American Energy Group, Ltd. (American Energy), an oil and gas company located in Simonton, Texas. The complaint alleges both defendants violated the antifraud and reporting provisions of the federal securities laws. The Commission seeks entry of permanent injunctions and imposition of civil penalties against both defendants and the issuance of an order barring Simmons from acting as an officer or director of any public company.

According to the complaint, the defendants engaged in a scheme to defraud investors by manipulating the price of American Energy's stock. The complaint further alleges that the defendants issued press releases and filed reports with the Commission which contained misrepresentations of material fact and omissions of facts necessary to make the statements made not misleading concerning the company's assets and business prospects, including oil and gas prospects in Pakistan. As a result of the false and misleading information alleged, the price of American Energy's stock is said to have increased from approximately \$.80 to over \$8.00 a share during a five-week period in 1995, and to have remained at artificially high levels through 1995 and much of 1996. [SEC v. Bradley J. Simmons and American Energy Group, Ltd., H-97-1384, USDC SD/TX] (LR-15353)

# GARY DIVALL AND PAUL MAGNUSON ENJOINED

The Commission announced that on April 10 the United States District Court for the Western District of Wisconsin entered an order of permanent injunction against Gary DiVall and Paul Magnuson,

enjoining them from future violations of the antifraud, books and records and reporting provisions of the federal securities laws. DiVall and Magnuson each consented to the entry of the Order without admitting or denying the allegations in the Commission's complaint.

The Commission's complaint, filed on August 2, 1996, alleged that between 1988 and 1992, as general partners of three public partnerships (the DiVall Funds), DiVall and Magnuson made misrepresentations and omissions of material fact to investors and the Funds' auditors concerning their personal use of over \$2.6 million that they had misappropriated from the DiVall Funds. The complaint also alleged that DiVall and Magnuson failed to keep adequate books and records reflecting their use of those investor funds and, as controlling persons of the DiVall Funds, caused the filing of false and misleading periodic reports with the Commission. [SEC v. Gary J. DiVall et al., W.D. Wis., Civil Action No. 96-C-0634-S] (LR-15354)

COURT ORDERS PERMANENT INJUNCTION, DISGORGEMENT AND OTHER RELIEF IN PRIME BANK SCHEME ON THE INTERNET

The Commission announced the entry of final judgments against Gene Block, d/b/a Block Consulting Services, and Robert T. Riley, d/b/a The Roberts Group, enjoining them from violations of Section 17(a) of the Securities Act, Sections 15(a) and 10(b) of the Exchange Act, and Rule 10b-5 thereunder, and ordering them to pay disgorgement of \$500 each plus prejudgment interest. Additionally, Block was ordered to pay a civil penalty of \$5,000. Based upon Riley's financial condition, no civil penalty was imposed. Block and Riley consented to the entry of the final judgments without admitting or denying the allegations in the Commission's complaint.

The Commission's complaint alleges that Block and Riley were offering and selling unregistered and fraudulent investment programs advertisements on the Internet by promising, in some cases, returns as high as 200% to 420% annually. Block and Riley falsely represented that investors' funds would be invested in risk-free, high-yield programs and that the initial investment was guaranteed against loss because a "Prime Bank Guarantee" would be used as security for the transaction. Block and Riley also falsely represented that the investors' funds would be doubled by buying and selling "Bank Instruments." [SEC v. Gene Block, Individually and d/b/a Block Consulting Services, Renate Haag, Individually and d/b/a Haag + Partner, and Robert T. Riley, Individually and d/b/a The Roberts Group, Civil Action No. 95-11748RCL, D. Mass.] (LR-15355)

## INVESTMENT COMPANY ACT RELEASES

CHUBB AMERICA FUND, INC., ET AL.

An order has been issued on an application filed by Chubb America Fund, Inc. (Fund), on behalf of certain portfolios, and Chubb

Investment Advisory Corporation (Adviser) under Section 6(c) of the Investment Company Act for an exemption from Section 15(a). Jefferson-Pilot Corporation has agreed to acquire 100% of the issued and outstanding shares of common stock of Chubb Life Insurance Company of America (Chubb Life), the parent of the Adviser. The indirect change in control of the Adviser will result in the assignment, and thus the termination, of the existing advisory contract between the Fund and the Adviser. The order permits the implementation, without shareholder approval, of a new investment management contract for a period of up to 120 days following the date of the change in control of Chubb Life (but in no event later than August 28, 1997). The order also permits the Adviser to receive all fees earned under the new investment management contract following shareholder approval. (Rel. IC-22640 - April 29)

# PAINEWEBBER/KIDDER, PEABODY CASH RESERVE FUND, INC.

An order has been issued under Section 8(f) of the Investment Company Act declaring that PaineWebber/Kidder, Peabody Cash Reserve Fund, Inc. has ceased to be an investment company. (Rel. IC-22641 - April 29)

# PAINEWEBBER/KIDDER, PEABODY PREMIUM ACCOUNT FUND

An order has been issued under Section 8(f) of the Investment Company Act declaring that Painewebber/Kidder, Peabody Premium Account Fund has ceased to be an investment company. (Rel. IC-22642 - April 29)

#### SELF-REGULATORY ORGANIZATIONS

## PROPOSED RULE CHANGES

The <u>Philadelphia Stock Exchange</u> filed a proposed rule change (SR-Phlx-97-11) to amend: the execution guarantee applicable to PACE market and marketable limit orders over 599 shares; out-of-range protection provisions; execution price for partial round lots; and limit order provisions for clarity. Publication of the approval order is expected in the <u>Federal Register</u> during the week of April 28. (Rel. 34-38544)

The <u>National Association of Securities Dealers</u> filed a proposed rule change (SR-NASD-97-24) to amend requirements relating to supervision and record retention by member firms. Publication of the proposal is expected in the <u>Federal Register</u> during the week of April 28. (Rel. 34-38548)

#### WITHDRAWAL GRANTED

An order has been issued granting the application of Greif Bros. Corporation to withdraw from listing and registration its Class A

Common Stock, without Par Value, on the <a href="Chicago Stock Exchange">Chicago Stock Exchange</a>. (Rel. 34-38557)

### WITHDRAWAL SOUGHT

A notice has been issued giving interested persons until May 20 to comment on the application of T.J.T., Inc. to withdraw from listing and registration on the <u>Boston Stock Exchange</u>, Common Stock, \$.001 Par Value and its Redeemable Common Stock Purchase Warrants. (Rel. 34-38558)

#### SECURITIES ACT REGISTRATIONS

The following registration statements have been filed with the SEC under the Securities Act of 1933. The reported information appears as follows: Form, Name, Address and Phone Number (if available) of the issuer of the security; Title and the number and/or face amount of the securities being offered; Name of the managing underwriter or depositor (if applicable); File number and date filed; Assigned Branch; and a designation if the statement is a New Issue.

- F-3 DAIMLER BENZ AKTIENGESELLSCHAFT, EPPLESTRASSE 225, 60325 FRANKFURT AM MAIN, STUTTGART 70567 GERMANY, 19 (711) 179-3130 -500,000,000 (\$291,019,149 10) STRAIGHT BONDS (FILE 333-6794 - APR 16) (BR 5)
- F-1 ACS ELECTRONICS LTD, POB 5668, MIGDAL HA EMEK, ISRAEL, L3 1,035,000 (\$6,727,500) FOREIGN COMMON STOCK 90,000 (\$90)
  WARRANTS, OPTIONS OR RIGHTS 90,000 (\$702,000) FOREIGN COMMON STOCK (FILE 333-6810 APR 18) (BR 6 NEW ISSUE)
- F-6 FRANCE TELECOM /ADR/, 48 WALL ST, C/O BANK OF NEW YORK, NEW YORK, NY 10286 (212) 815-1727 100,000,000 (\$5,000,000)

  DEPOSITARY RECEIPTS FOR COMMON STOCK (FILE 333-6812 APR. 21) (BR 99 NEW ISSUE)
- F-1 FRANCE TELECOM /, 6 PLACE D ALLERAY, 75505, PARIS CEDEX 15, IO \$100,000,000 FOREIGN COMMON STOCK (FILE 333-6814 APR 21) (BR 3 NEW ISSUE)
- F-1 GROFIT CIVIL ENGINEERING & PUBLIC WORKS LTD, 21 HA YASMIN ST, KARMEN YOSEF, L3 - 2,875,000 (\$20,447,000) FOREIGN COMMON STOCK (FILE 333-6816 - APR 21) (BR 6 - NEW ISSUE)
- F-6 JOINT STOCK CO FOR ENERGY & ELECTRICITY MOSENERGO /ADR/, 48 WALL ST, C/O BANK OF NEW YORK, NEW YORK, NY 10286 (212) 495-1727 50,000,000 (\$2,500,000) DEPOSITARY RECEIPTS FOR COMMON STOCK (FILE 333-6820 APR 22) (BR 99 NEW ISSUE)
- S-8 SMT HEALTH SERVICES INC, 10521 PERRY HIGHWAY, WEXFORD, PA 15090 (412) 933-3300 44,940 (\$376,373) COMMON STOCK (FILE 333-25651 APR 23) (BR. 1)
- S-8 SMT HEALTH SERVICES INC, 10521 PERRY HIGHWAY, WEXFORD, PA 15090 (412) 933-3300 337,050 (\$977,445) COMMON STOCK. (FILE 333-25653 APR 23) (BR 1)
- S-8 SMT HEALTH SERVICES INC, 10521 PERRY HIGHWAY, WEXFORD, PA 15090 (412) 933-3300 - 267,500 (\$1,718,750) COMMON STOCK (FILE 333-25655 -APR 23) (BR. 1)

- S-8 UNIDIGITAL INC, 20 WEST 20TH ST, NEW YORK, NY 10011 (212) 337-0330 725,000 (\$3,954,573) COMMON STOCK (FILE 333-25657 APR. 22) (BR. 9)
- S-3 ELECTROSOURCE INC, 2809 INTERSTATE 35 SOUTH, SAN MARCOS, TX 78666 (512) /75-3 65 437,674 (\$2,516,625.50) COMMON STOCK (FILE 333-25659 APR 23) (BR 6)
- S-8 SHERWIN WILLIAMS CO, 101 PROSPECT AVE NW, CLEVELAND, OH 44115 (216) 566-2200 - 14,000,000 (\$373,625,000) COMMON STOCK. (FILE 333-25669 - APR. 23) (BR. 4)
- S-8 SHERWIN WILLIAMS CO, 101 PROSPECT AVE NW, CLEVELAND, OH 44115 (216) 566-2200 - 400,000 (\$10,675,000) COMMON STOCK. (FILE 333-25671 - APR 23) (BR. 4)
- SB-2 NICHI CAPITAL LTD, 150 NASSAU ST 10TH FLOOR, NY, NY 10038 (212) 566-4143
   900,000 (\$9,000,000) COMMON STOCK (FILE 333-25673 APR 23) (BR. 9)
- S-3 TERA COMPUTER CO \WA\, 2815 EASTLAKE AVE EAST, SEATTLE, WA 98102 (206) 325-0800 1,295,000 (\$5,621,850) COMMON STOCK. (FILE 333-25675 APR 23) (BR. 9)
- S-3 GLOBALINK INC, 9302 LEE HIGHWAY, 12TH FL, FAIRFAX, VA 22031 (703) 273-5600 - 2,489,408 (\$8,914,625) COMMON STOCK (FILE 333-25677 - APR 23) (BR 9)
- S-8 AURORA ELECTRONICS INC, 2030 MAIN ST STE 1120, IRVINE, CA 92714 (714) 660-1232 - 100,000 (\$156,300) COMMON STOCK (FILE 333-25679 -APR 23) (BR 3)
- S-8 MARKETLINK INC, 10340 VIKING DR, STE 150, EDEN PRAIRIE, MN 55344
  (612) 996-9000 1,500,000 (\$3,468,750) COMMON STOCK (FILE 333-25681 APR 23) (BR. 3)
- S-4 CAPSTAR BROADCASTING PARTNERS INC, 600 CONGRESS AVE, SUITE 1400, AUSTIN, TX 78701 (512) 404-6380 277,000,000 (\$150,283,580) STRAIGHT BONDS (FILE 333-25683 APR. 23) (BR 3)
- S-3 PG&E CORP, 77 BEALE ST, P O BOX 770000 MAIL CODE B32, SAN FRANCISCO, CA 94177 (415) 973-7000 - 14,607,143 (\$346,919,646) COMMON STOCK. (FILE 333-25685 - APR 23) (BR 4)
- S-8 WESTERBEKE CORP, AVON INDUSTRIAL PARK, 41 LEDIN DRIVE, AVON, MA 02322 (508) 588-7700 150,000 (\$440,625) COMMON STOCK (FILE 333-25687 APR 23) (BR 4)
- S-8 FC BANC CORP, FARMERS CITIZENS BANK BLDG, BOX 567, BUCYRUS, OH 44820 (419) 562-7040 32,502 (\$1,161,270 40) COMMON STOCK (FILE 333-25689 APR 23) (BR 7)
- S-3 CONNECTICUT ENERGY CORP, 855 MAIN STREET, BRIDGEPORT, CT 06604 (203) 382-8111 - 1,750,000 (\$37,843,750) COMMON STOCK. (FILE 333-25691 - APR 23) (BR 4)
- S-4 USI AMERICAN HOLDINGS INC, 101 WOOD AVENUE SOUTH, 6TH FLOOR, ISELIN, NJ 08830 (908) 767-0700 - 125,000,000 (\$125,000,000) STRAIGHT BONDS (FILE 333-25695 - APR. 23) (BR 6)
- S-8 PINNACLE SYSTEMS INC, 280 N BERNARDO AVE, MOUNTAIN VIEW, CA 94043 (415) 526-1600 - 250,000 (\$3,422,500) COMMON STOCK (FILE 333-25697 -APR 23) (BR 5)
- S-8 U S GLOBAL INVESTORS INC, 7900 CALLAGHAN RD, SAN ANTONIO, TX 78229 (210) 308-1234 200,000 (\$368,000) COMMON STOCK. (FILE 333-25699 APR 23) (BR 8)
- S-8 DIGITAL GENERATION SYSTEMS INC, 875 BATTERY ST, STE 1850, SAN FRANCISCO, CA 94111 (415) 546-6600 1,475,000 (\$6,342,575) COMMON STOCK (FILE 333-25701 APR 23) (BR 2)

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- S-4 BELLSOUTH CORP, 1155 PEACHTREE ST NE, ATLANTA, GA 30367 (404) 249-2000 1,200,000 (\$41,820,000) COMMON STOCK. (FILE 333-25703 APR. 23) (BR. 3)
- S-4 CCB FINANCIAL CORP, 111 CORCORAN ST, PO BOX 931, DURHAM, NC 27702 (919) 683-7777 - 5,102,030 (\$316,784,443) COMMON STOCK (FILE 333-25705 - APR. 23) (BR 7)
- S-8 CUBIST PHARMACEUTICALS INC, 24 EMILY ST, CAMBRIDGE, MA 02139 (607) 576-1999 - 1,500,000 (\$8,417,652 91) COMMON STOCK (FILE 333-25707 - APR 23) (BR 1)
- S-8 MEDICAL INDUSTRIES OF AMERICA INC, 1903 S CONGRESS AVE, STE 400, BOYNTON BEACH, FL 33426 (561) 737-2227 - 315,180 (\$630,360) COMMON STOCK (FILE 333-25709 - APR. 24) (BR 1)
- S-8 FIRST COMMERCE CORP /LA/, 210 BARONNE ST, 210 BARONNE STREET, NEW ORLEANS, LA 70112 (504) 561-1371 1,100,000 (\$30,939,801.19) COMMON STOCK (FILE 333-25711 APR 23) (BR. 7)
- S-4 FIRSTPLUS FINANCIAL GROUP INC, 1250 WEST MOCKINGBIRD LN, STE 200, DALLAS, TX 75247 (214) 630-6006 1,243,134 (\$11,760,547) COMMON STOCK (FILE 333-25715 APR 24) (BR 7)
- S-3 FELCOR SUITE HOTELS INC, 545 E JOHN CARPENTER FREEWAY, SUITE 1300, IRVING, TX 75062 (214) 444-4900 (FILE 333-25717 APR 24) (BR 8)
- S-1 CONTINENTAL NATURAL GAS INC, 1412 SOUTH BOSTON, SUITE 500, TULSA, OK 74119 (918) 582-4700 2,415,000 (\$33,810,000) COMMON STOCK (FILE 333-25719 APR 24) (NEW ISSUE)
- N-2 TRI CONTINENTAL CORP, 100 PARK AVE, 7TH FLOOR, NEW YORK, NY 10017 (212) 432-4100 1,800,000 (\$43,538,400) COMMON STOCK (FILE 333-25723 APR. 24) (BR 22)
- S-8 CTC COSMETICS HOLDINGS CO INC, NO 80 LIU TUANG RD PUDONG, SHANGHAI, F5 90024 (310) 470-6949 - 55,600 (\$253,675) COMMON STOCK (FILE 333-25725 -APR 24) (BR 5)
- S-11 CCA PRISON REALTY TRUST, 2200 ABBOTT MARTIN RD, STE 201, NASHVILLE, TN 37215 (615) 460-1220 19,550,000 (\$410,550,000) COMMON STOCK (FILE 333-25727 APR 24) (NEW ISSUE)

#### RECENT SK FILINGS

Form 8-K is used by companies to file current reports on the following events

- Item 1 Changes in Control of Registrant
- Item 2 Acquisition or Disposition of Assets
- Item 3 Bankruptcy or Receivership
- Item 4 Changes in Registrant's Certifying Accountant
- Item 5. Other Materially Important Events
- Item 6 Resignations of Registrant's Directors
- Item 7 Financial Statements and Exhibits
- Item 8 Change in Fiscal Year
- Item 9 Regulation S Offerings

The following companies have filed 8-K reports for the date indicated and/or amendments to 8-K reports previously filed, responding to the item(s) of the form specified. Copies of the reports may be purchased from the Commission's Public Reference Room (when ordering, please give the date of the report). An invoice will be included with the requested material when mailed

STATE 8K ITEM NO.

NAME OF ISSUER	CODE	1	2 3	4	5	6 7	8 9	DATE	COMMENT	
AAMES CAPITAL ACCEPTANCE CORP	DE				x	х		04/14/9	 7	
AAMES CAPITAL CORP	CA				x	X		04/14/9	7	
ABS GROUP INC	DE						Х	04/14/9	7	
ACACIA RESEARCH CORP	CA			X		X		04/29/9	7	
ACCOM INC	DE				2	X		04/28/9	7	
AFG RECEIVABLES CORP	CA				X			04/15/9	7	
AFG RECEIVABLES CORP	CA				X			04/15/9	7	
AFG RECEIVABLES CORP	CA				X			04/15/9	7	
AFG RECEIVABLES CORP	CA				X			04/15/9	7	
AFG RECEIVABLES CORP	CA				X			04/15/9	7	
AHMANSON H F & CO /DE/	DE				X	x		04/28/9	7	
AIRBORNE FREIGHT CORP /DE/	DE	X	*	ı				04/22/9	7	
ALLEN GROUP INC	DE	Х						12/31/9	5	
AMERICAN EXPRESS CO	NY				х			04/24/9	AMEND	
AMERIGON INC	CA				x	Х		04/28/9	7	
APARTMENT INVESTMENT & MANAGEMENT C	MD				Х	Х		04/16/9	AMEND	
ASA HOLDINGS INC					Х	X		04/21/9	7	
ASSET SECURITIZATION CORP COMM MOR	NY				Х	Х		04/15/97	,	
PASS THR CER 1996-MD VI										
AST RESEARCH INC /DE/	DE				х	Х		04/28/97	1	
AUTOLIV INC		}	<		X	x		04/28/97	'	
AYDIN CORP	DE				x			04/21/97	1	
BALCOR PENSION INVESTORS III	IL	3	ζ.		X	X		04/28/97	'	
BIOCONTROL TECHNOLOGY INC	PA				Х			04/24/97	!	
BLYTH INDUSTRIES INC	DE			X		Х		04/29/97		
BOATMENS AUTO TRUST 1995-A	DE				X	Х		04/15/97		
BOATMENS AUTO TRUST 1996-A	DE				Х	Х		04/15/97		
BRITTON & KOONTZ CAPITAL CORP	MS				Х	Х		02/18/97	•	
CAPITAL 2000 INC	CO	X X	ζ.			Х		02/12/97	AMEND	
CATERPILLAR FINANCIAL FUNDING CORP	NV					Х		04/25/97		
CELLEX BIOSCIENCES INC	MN					X	X	04/10/97		
CENTURY PROPERTIES FUND XI	CA	X	(			X		04/18/97		
CHANCELLOR BROADCASTING CO /DE/	DE					х		01/23/97	AMEND	
CHAPARRAL RESOURCES INC	CO				X			04/17/97		
CHASE CREDIT CARD MASTER TRUST	NY				X	Х		04/04/97		
CHEMFIX TECHNOLOGIES INC	DE	Х			X			04/14/97		
CHEVY CHASE AUTO RECEIVABLES TRUST	MD				Х			03/31/97		
1995-1 CHEVY CHASE AUTO RECEIVABLES TRUST 1995-1	MD				X			03/31/97		
CHEVY CHASE AUTO RECEIVABLES TRUST 1995-2	MD				x			03/31/97		
CHEVY CHASE AUTO RECEIVABLES TRUST 1996-1	MD				x			03/31/97		
CHEVY CHASE AUTO RECEIVABLES TRUST 1996-2	MD				X			03/31/97		
CHEVY CHASE AUTO RECEIVABLES TRUST 1997-1	MD				x			03/31/97		
CHEVY CHASE MASTER CREDIT CARD TRUS	MID				x			03/31/97		
CHEVY CHASE MASTER CREDIT CARD TRUS T II	MD				Х			03/31/97		
CHIC BY H I S INC	DE				X	X		04/28/97		
CHOICES ENTERTAINMENT CORP	DE				X	Х		04/23/97		
CINCINNATI BELL INC /OH/	OH				X			03/03/97		
CITADEL COMPUTER SYSTEMS INC	DE				X	X		04/11/97		
COAST BANCORP	CA				X	X		04/22/97		
COCA COLA ENTERPRISES INC	DE				Х	X		04/15/97		
COFFEE PEOPLE INC	OR				X	X		04/21/97		

	STATE	8 K	ITEM	NO.	
NAME OF ISSUER	CODE				9 DATE COMMENT
COMMONWEALTH INDUSTRIES INC/DE/	DE		x		04/17/97
CONCORDE GAMING CORP	CO	х		x	02/13/97 AMEND
CONNECTIVE THERAPEUTICS INC	DE	Х		x	01/15/97 AMEND
CONTIMORTGAGE HOME EQUITY LOAN TRUS	S NY	х			04/15/97
T 1997-1					
CONTIMORTGAGE HOME EQUITY LOAN TRUS	S NY	Х			04/15/97
T 1997-2					
DATAFLEX CORP	ŊJ		х		04/18/97
DYNATECH CORP	AM			X	12/31/96 AMEND
ECHOSTAR COMMUNICATIONS CORP	NV		Х		04/28/97
ECOTYRE TECHNOLOGIES INC EFTEK CORP	DE NV			Х.	04/29/97
ELECTRONIC SYSTEMS TECHNOLOGY INC	WA		х	x	X 04/14/97 04/21/97
EXPRESS AMERICA HOLDINGS CORP	DE		X	^	04/25/97
EXPRESS SCRIPTS INC	DE	х	•		04/25/97
FARM FAMILY HOLDINGS INC	DE	••	х		04/22/97
FIDELITY BANCORP INC	PA		x	х	04/18/97
FINANCIAL ASSET SECURITIZATION INC	VA		x		04/25/97
FIRST UNION MASTER CREDIT CARD TRUS	3		x	х	04/15/97
Τ					
FIRST UNION NATIONAL BANK OF GEORGI	GA		х	x	04/15/97
A \					
FLEET FINANCIAL GROUP INC	RI		x	x	04/16/97
FLEETWOOD CREDIT RECEIVABLES CORP	CA		х	х	11/15/96
FLUSHING FINANCIAL CORP	DE		Х	x	04/24/97
FRONTEER FINANCIAL HOLDINGS LTD	CO			X	02/25/97 AMEND
GARGOYLES INC	WA	Х		X	04/11/97
GE CAPITAL MORTGAGE SERVICES INC	NJ		Х	Х	03/24/97
GE CAPITAL MORTGAGE SERVICES INC	NJ		х	X	03/27/97
GENERAL ELECTRIC CO	NY		х	Х	04/28/97
GENTA INCORPORATED /DE/	DE		х	X	04/25/97
GLOBAL DIAMOND RESOURCES INC	NV			2	X 04/11/97
GOODHEART WILLCOX CO INC	DE	х	Х		04/11/97
GREAT WESTERN FINANCIAL CORP	DE		v	X	04/28/97
GREEN TREE FINANCIAL CORP	MIN		X	X	04/15/97 04/15/97
GREEN TREE FINANCIAL CORP	MIN MIN		X X	X X	04/15/97
GREEN TREE FINANCIAL CORP	MN		X	X	04/15/97
GREEN TREE FINANCIAL CORP	MN		X	X	04/15/97
GREEN TREE FINANCIAL CORP	MN		Х	х	04/15/97
GREEN TREE FINANCIAL CORP	MN		x	X	04/15/97
GREEN TREE FINANCIAL CORP	MN		х	X	04/15/97
GREEN TREE FINANCIAL CORP	MN		х	X	04/15/97
GREEN TREE FINANCIAL CORP	MN		x	x	04/15/97 .
GREEN TREE FINANCIAL CORP	MN		x	X	04/15/97
GREEN TREE FINANCIAL CORP	MN		x	x	04/15/97
GREEN TREE FINANCIAL CORP	MN		x	x	04/15/97
GREEN TREE FINANCIAL CORP	MN		X	х	04/15/97
GREYHOUND LINES INC	DE		Х		04/16/97
GUITAR CENTER INC	CA	х		X	04/16/97
HALIFAX CORP	VA	х			04/01/96 AMEND
HARTER FINANCIAL INC	NY	хх		X	04/11/97
HAWTHORNE FINANCIAL CORP	CA		Х	X	04/29/97
HCIA INC	MD		X	X	04/30/97
HEADLANDS MORTGAGE SECURITIES INC	CA		X	X	04/28/97
HEXCEL CORP /DE/	DE		X	X	04/29/97
HOME BANCORP/IN	IN		Х		04/25/97
HOUSEHOLD CONSUMER LOAN TRUST 1996-	DE	х			04/14/97
1	D.F.	v			04/14/97
HOUSEHOLD CONSUMER LOAN TRUST 1996-	DE	Х			04/14/97
2					

	STATE			81	K	IT	EM	N	ю.				
NAME OF ISSUER	CODE	:	1							9	DATE	c	COMMENT
HOUSEHOLD CREDIT CARD MASTER TRUST	DE			X							04/15/	97	1
I HOUSEHOLD FINANCE CORP HOUSEHOLD AN	e De			x							04/15/	97	1
F CRE CAR MAS TR I	. 22			••							01,13,	•	
HOUSEHOLD FINANCE CORP HOUSEHOLD CO	O NV			х							04/14/	97	i
NSUMER LN TRUST 1995-1 /													
HOUSEHOLD REVOLVING HOME EQUITY LOA	A IL			Х							04/21/	97	•
N TRUST 1995-2													
HOUSEHOLD REVOLVING HOME EQUITY LOA	A IL			X							04/21/	97	
N TRUST 1996-1													
HOUSEHOLD REVOLVING HOME EQUITY LOA	4 IL			X							04/21/	97	
N TRUST 1996-2													
HUDSON HOTELS CORP	NY					X			Х		04/22/		
HUDSON HOTELS CORP	NY					X			X		04/22/		
HUMPHREY HOSPITALITY TRUST INC	VA			X					х		04/25/		
IES UTILITIES INC	IA						X				04/28/		
INSIGNIA FINANCIAL GROUP INC	DE			v		4	K				04/14/		
INTEGON CORP /DE/	DE			X		,	,		v		03/31/		
JACKSONVILLE BANCORP INC	DE NV	X	,				K K 2		Х		04/25/ 04/11/		
KARA INTERNATIONAL INC	OH	•	•				r. z		х		04/11/		
LAM RESEARCH CORP	DE						n. K		X		· · · · · ·		AMEND
LG&E ENERGY CORP	KY						ĸ		X		04/28/		
LIVE ENTERTAINMENT INC	DE	x	. :	x		•			X		04/17/		
MARKWEST HYDROCARBON INC	DE	•		••		,	K		••		04/16/		
MAXICARE HEALTH PLANS INC	DE						ĸ		х		04/28/		
MCKESSON CORP	DE								х		11/08/		
MEDAPHIS CORP	DE					,	ĸ		X		12/31/		
MEDIMMUNE INC /DE	DE					2	<				04/28/		
MERIDIAN MEDICAL TECHNOLOGIES INC	DE				2	ĸ			x		04/22/	97	
MERRILL LYNCH MUNICIPAL ABS INC	DE	Х	: :	хх	(	2	( )	ζ.	х		04/01/	97	
MG PRODUCTS INC	FL					2	<				04/29/	97	
MIDLAND INC	CO	X	:								04/21/	97	
ML EQ REAL ESTATE PORTFOLIO L P	DE					2	<				04/10/	97	
NATIONAL AUTO FINANCE CO INC	DE					2	ζ.		Х		04/22/	97	
NATIONSBANK NA	NC					3	(		X		04/15/	97	
NATIONSBANK OF DELAWARE NA	DE					3	ζ.		X		04/15/	97	
NEWMONT GOLD CO	DE						2		X		04/28/		
NEWMONT MINING CORP	DE					2	<		Х		04/28/		
NORCAL WASTE SYSTEMS INC	CA	Х									04/26/		
NYNEX CABLECOMMS GROUP INC	DE	Х							X		04/28/		
NYNEX CABLECOMMS GROUP PLC		Х							X		04/28/		
OGARA CO /OH/	OH								х		02/12/		
OMEGA HEALTHCARE INVESTORS INC	MD						,		X		04/25/		AMEND
ORGANOGENESIS INC OVERLAND DATA INC	DE					}			X		04/29/		
PACIFIC ENTERPRISES INC	CA CA					2			X X		04/25/		
PECO ENERGY CO	PA	x				•	•		Λ.		04/28/ 04/25/		
PEOPLES BANK CREDIT CARD MASTER TRU		^					х	,			03/31/		
ST	C.1						41	•			03/31/	,	
PERCEPTRON INC/MI	MI					>	[	:	х		04/25/	97	
PHYSICIAN CORPORATION OF AMERICA /D						,		•	-		04/24/		
E/						_					, /		
PLANET HOLLYWOOD INTERNATIONAL INC	DE					7			X		04/22/	97	
PNC MORTGAGE SECURITIES CORP	DE					,			X		04/29/		
POLARIS INDUSTRIES INC/MN	MN					X			X		04/28/		
PREISS BYRON MULTIMEDIA CO INC	NY					X					04/18/		
PRESTIGE BANCORP INC	PA					X					04/28/		
PRINCETON MEDIA GROUP INC	<b>A</b> 6							:	<b>x</b> :	Х	04/11/	97	
PROTECTIVE LIFE CORP	DE					Х		2	X		04/23/	97	

	STATE	8	K ITEM	INO.	
NAME OF ISSUER	CODE			6789	DATE COMMENT
QUALITY FOOD CENTERS INC	WA	X	x	x	11/12/96 AMEND
QUARTERDECK CORP	DE		x	х	04/14/97
RAYMOND CORP	NY		X		01/30/97 AMEND
RDO EQUIPMENT CO	ND		х		04/28/97
SANDISK CORP	DE		х		04/18/97
SANTA ANITA OPERATING CO	DE			X	04/13/97
SAVIN ELECTRONICS INC	ПJ		Х		03/17/97
SEMICONDUCTOR PACKAGING MATERIALS (	DE	Х		X	01/23/97 AMEND
O INC					4 4
SENTRY TECHNOLOGY CORP		Х		X	02/12/97 AMEND
SITEL CORP	MN		.,	X	01/30/97 AMEND
SIXTY SIX ASSOCIATES LIMITED PARTNE	E DE		Х		03/26/97
RSHIP		v		v	04/15/05
SOUTHERN PACIFIC SECURED ASSETS COR	≀ CA	Х		X	04/15/97
P	D.D.		v		04/16/08
SOUTHWESTERN ELECTRIC POWER CO	DE		X	v	04/16/97
SOUTHWESTERN ENERGY CO	AR NM		X X	X X	04/25/97
SOUTHWESTERN PUBLIC SERVICE CO			x X	Λ.	04/22/97
ST PAUL COMPANIES INC /MN/ STAR MULTI CARE SERVICES INC	MN	х	^		04/28/97
STATEWIDE FINANCIAL CORP	NY	^	х		01/03/97
STONE CONTAINER CORP	NJ DE		X	х	12/31/97 04/28/97
SUBURBAN LODGES OF AMERICA INC	GA	хх	Λ	Λ.	03/14/97 AMEND
SUPERIOR BANK FSB	IL	A A	х	x	04/25/97
SWVA BANCSHARES INC	VA		Λ	X	04/18/97
SYNERGY MEDIA INC	ΝV	Х			04/28/97
TANDYCRAFTS INC	DE	*	х	х	04/29/97
TELCO COMMUNICATIONS GROUP INC	VA	х	•		04/15/97
TELTREND INC	DE	•••	х	х	04/18/97
TENNECO INC /DE	DE		х	x	04/29/97
TMS AUTO HOLDINGS INC	DE		x	X	03/18/97
TMS AUTO HOLDINGS INC	DE			x	03/19/97
TODD SHIPYARDS CORP	DE	х			04/28/97
TOREADOR ROYALTY CORP	DE		х	х	04/21/97
TOY BIZ INC	DE		х	х	04/28/97
TRANSTECHNOLOGY CORP	DE	Х		X	04/17/97
TRANSWORLD INSURANCE CO	AZ			x	03/21/97
UMC ELECTRONICS CO	DE			x	03/31/97
UNITED GROCERS INC /OR/	OR		х	x	04/18/97
UROHEALTH SYSTEMS INC	DE		x	х	04/28/97
US AIRWAYS GROUP INC	DE		x	X	03/31/97
US AIRWAYS INC	DE		х	х	03/31/97
UTI ENERGY CORP	DE	X		х	04/11/97
VALCOR INC	DE	x			04/25/97
VALHI INC /DE/	DE	X			04/25/97
VERIFONE INC	DE		х	х	04/22/97
VIEW TECH INC	CA		Х	х	04/21/97
VILLAGE GREEN BOOKSTORE INC	NY		х		04/18/97
VILLAGE GREEN BOOKSTORE INC	NY		x		04/22/97
VOLKSWAGEN CREDIT AUTO RECEIVABLES	DE		х	х	04/15/97
CORP					
WASHINGTON MUTUAL INC	WA			Х	04/28/97
WINDSOR PARK PROPERTIES 3	CA	Х		x	02/20/97 AMEND
WINDSOR PARK PROPERTIES 4	CA	х		Х	02/20/97 AMEND
WINDSOR PARK PROPERTIES 5	CA	x		x	02/20/97 AMEND
WINDSOR PARK PROPERTIES 7	CA	x		X	02/20/97 AMEND
WINDSOR REAL ESTATE INVESTMENT TRUS	CA	х		x	02/20/97 AMEND
T 8					
WMX TECHNOLOGIES INC	DE		x	x	04/28/97
WORLDTALK COMMUNICATIONS CORP	DE	Х		х	11/12/96 AMEND
WYANT CORP	NY		X	х	04/21/97