

# sec news digest

Issue 88-148

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August 3, 1988

U.S. SECURITIES AND  
EXCHANGE COMMISSION

## RULES AND RELATED MATTERS

### FORMS N-17f-1, N-17f-2, AND ADV-E PROPOSED FOR COMMENT

The Commission is proposing for public comment Forms N-17f-1 and N-17f-2 under the Investment Company Act of 1940 and Form ADV-E under the Investment Advisers Act of 1940. The forms would serve as cover sheets for accountant examination certificates which are currently required to be filed with the Commission. The forms are designed to facilitate the proper filing of examination certificates and, thus, increase accessibility of such information to both investors and the Commission's staff.

Comments should be submitted in triplicate to Jonathan A. Katz, Secretary, Securities and Exchange Commission, 450 Fifth Street, NW, Stop 6-9, Washington, DC 20549. Comments must be received by September 23 and should refer to File No. S7-16-88. All submissions will be available for inspection in the Public Reference Room. (Rel. IC-16511)

FOR FURTHER INFORMATION CONTACT: John McGuire at (202) 272-2107

## COMMISSION ANNOUNCEMENTS

### MARK D. FITTERMAN AND EDWIN H. NORDLINGER NAMED MERITORIOUS EXECUTIVES BY PRESIDENT REAGAN

The Commission announced today that Mark D. Fitterman and Edwin H. Nordlinger have received the Presidential Rank Award of Meritorious Executive. The Meritorious Executive Award recognizes senior managers in government service who have made exceptional contributions to the agencies for which they work. Managers are nominated by the agencies, recommended by the Office of Personnel Management, and selected by President Reagan.

Mr. Fitterman is an Associate Director of the Division of Market Regulation. Mr. Nordlinger is Associate Regional Administrator for the New York Regional Office. (Press Release 88-69)

### NOTICE TO USERS OF THE PUBLIC REFERENCE ROOM: RE MICROFICHE

The Commission recently announced that it is in the process of revising the rules and procedures governing the use of the Commission's Washington, DC Public Reference Room. Beginning August 10 and until the revised rules go into effect, temporary rules will govern requests for microfiche. These temporary rules are available from the Public Reference Room, and from the Public Affairs Office of the Commission.

## ADMINISTRATIVE PROCEEDINGS

### TEMPORARY SUSPENSION OF WILLIAM A. CALVO, III ORDERED

The Commission temporarily suspended William A. Calvo, III, an attorney, from appearing or practicing before the Commission under Rule 2(e)(3) of its Rules of Practice. The suspension is based on a permanent injunction entered against Calvo for violations of the securities laws by the U.S. District Court for the District of Connecticut on June 8 in SEC v. The Electronics Warehouse, Inc., et al., Civil Action No. H-86-282. Calvo may file a petition with the Commission to lift the suspension within 30 days of the effective date of the suspension. If no such petition is filed, the suspension becomes permanent. (Rel. 34-25946)

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## **CIVIL PROCEEDINGS**

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### **LIMITED PARTNERSHIPS PROMOTERS ENJOINED**

The Houston Branch Office announced that on July 26 U.S. District Judge Edward C. Prado, Western District of Texas, entered orders of permanent injunction against Magan's Superbred Racehorses, Inc., Wilco's Data, Inc., War Hymn Associates, War Eagle Associates, and John Joseph Magan, all of San Antonio, Texas. Defendants were permanently enjoined from further violations of the securities registration and antifraud provisions of the Securities Act of 1933. Defendants consented to the orders without admitting or denying the allegations in the Commission's Complaint.

The June 21 Complaint alleges that, beginning in at least May 1988 and continuing thereafter, defendants have been offering unregistered securities in the form of interests in limited partnerships organized to breed and raise standardbred harness racehorses. According to the Complaint, defendants offered these unregistered securities through at least eight advertisements being aired on local and national television stations and cable networks which service areas in Texas and Oklahoma. It further alleges that the defendants made untrue statements of material facts and omitted to state material facts concerning, among other things, the risks and returns associated with the securities. (SEC v. Magan's Superbred Racehorses, Inc., et al., WDTX, Civil Action No. SA 88 CA 0626). (LR-11823)

### **SHELL COMPANY PROMOTERS SUED**

The Fort Worth Regional Office filed a civil action on July 25 against First Houston Capital Resources Fund, Inc., Agalod, Inc., W. Allen Broughton, Donald D. Howard, Michael A. Light, Michael F. Dermer, James Johnson, Nicholas A. Genco, and Arnold Campos. The action seeks injunctive relief against them to prevent further violations of the securities registration and antifraud provisions of the securities laws and disgorgement from Agalod, Light, and Dermer.

The Complaint alleges that Agalod, Light, and Dermer, of Miami, Florida, arranged a business combination between First Houston and a Utah shell company and, with Broughton and Howard, both of Fort Worth, Texas, created an active, public over-the-counter trading market for the survivor's shares through the dissemination of false and misleading releases and financial statements. Johnson, an NASD registered representative, sold First Houston shares and prepared his own false and misleading releases. Genco and Campos provided unqualified audit opinions on false financial statements to aid and abet the other defendants in the scheme. (SEC v. First Houston Capital Resources Fund, Inc., et al., USDC NDTX, CA 4-88-475-E). (LR-11825)

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## **INVESTMENT COMPANY ACT RELEASES**

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### **VAN KAMPEN MERRITT U.S. GOVERNMENT FUND**

An order has been issued requesting approval of certain offers of exchange by the following: Van Kampen Merritt U.S. Government Fund, Van Kampen Merritt Insured Tax Free Income Fund, Van Kampen Merritt Tax Free High Income Fund, Van Kampen Merritt California Insured Tax Free Fund, Van Kampen Merritt Growth and Income Fund, and Van Kampen Merritt Pennsylvania Tax Free Income Fund (the Load Funds); Van Kampen Merritt Money Market Fund and Van Kampen Merritt Tax Free Money Fund (the No Load Funds); Van Kampen Merritt Inc. (VKM) and any additional open-end funds in the same family for which VKM or one of its subsidiaries or a subsidiary under common control as defined in Section 2(a)(9) of the Investment Company Act will serve as principal underwriter or investment adviser in the future (Additional Funds). (Rel. IC-16507 - July 29)

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## **HOLDING COMPANY ACT RELEASES**

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### **GENERAL PUBLIC UTILITIES CORPORATION**

A supplemental order has been issued authorizing General Public Utilities Corporation, a registered holding company, to issue its short-term unsecured notes in a total principal amount outstanding at any one time of up to \$100 million through March 31, 1989. (Rel. 35-24687 - Aug. 1)

## SELF-REGULATORY ORGANIZATIONS

### APPROVAL OF PROPOSED RULE CHANGE

The Commission approved a proposed rule change filed by the Cincinnati Stock Exchange (SR-CSE-88-3) to reduce the order exposure period from 30 to 15 seconds on a six-month pilot basis. (Rel. 34-25955)

### APPLICATION FOR REGISTRATION AS A CLEARING AGENCY

Delta Government Options Corp. filed an application for full registration as a clearing agency under Section 17A of the Securities Exchange Act of 1934. Delta would issue and trade options on U.S. government securities and provide clearance and settlement services with respect to those options. Under Section 17A(b)(1) of the Act, Delta has requested several exemptions from the requirements of Section 17A. (Rel. 34-25956)

### ORDER EXTENDING REGISTRATION AS A CLEARING AGENCY

The Commission extended the MBS Clearing Corporation temporary registration as a clearing agency until August 2, 1989. (Rel. 34-25957)

## SECURITIES ACT REGISTRATIONS

The following registration statements have been filed with the SEC under the Securities Act of 1933. The reported information appears as follows: Form, Name, Address and Phone Number (if available) of the issuer of the security; Title and the number and/or face amount of the securities being offered; Name of the managing underwriter or depositor (if applicable); File number and date filed; Assigned Branch; and a designation if the statement is a New Issue.

- S-18 ROCKTECH INDUSTRIES INC, 421 HILL ST, NC. 6, RENO, NV 89501 (702) 322-6638 - 25,000 (\$137,500) COMMON STOCK. 2,500,000 (\$1,875,000) COMMON STOCK. 2,500,000 (\$2,375,000) COMMON STOCK. 2,500,000 (\$2,875,000) COMMON STOCK. (FILE 33-23037-LA - JUL. 18) (BR. 12 - NEW ISSUE)
- S-18 APOLLO ACQUISITIONS INC/FL, 4675 CHANDLERS FORDE, SARASOTA, FL 34235 (813) 377-6215 - 80,000 (\$480,000) COMMON STOCK. 144,000,000 (\$2,880,000) COMMON STOCK. 8,000 (\$50) WARRANTS, OPTIONS OR RIGHTS. 19,200,000 (\$384,000) COMMON STOCK. UNDERWRITER: KUBER FINANCIAL CORP. (FILE 33-23051-A - JUL. 15) (BR. 12 - NEW ISSUE)
- S-18 CLUB AQUARIUS INC, 641 W WILLOW ST, LONG BEACH, CA 90806 (213) 595-5361 - 300,000 (\$1,500,000) COMMON STOCK. 600,000 (\$600,000) COMMON STOCK. 600,000 (\$2,475,000) COMMON STOCK. 75,000 (\$75) WARRANTS, OPTIONS OR RIGHTS. 75,000 (\$165,000) COMMON STOCK. (FILE 33-23121-LA - JUL. 15) (BR. 11 - NEW ISSUE)
- S-18 DAVENPORT CO, 440 EAST 400 SOUTH, STE 300, SALT LAKE CITY, UT 84111 (801) 326-3065 - 4,000,000 (\$200,000) COMMON STOCK. 4,000,000 (\$1,000,000) COMMON STOCK. 4,000,000 (\$4,000,000) COMMON STOCK. UNDERWRITER: JOHNSON BOWLES CO INC. (FILE 33-23138-D - JUL. 18) (BR. 11 - NEW ISSUE)
- S-18 PATRIOT FINANCIAL CORP, 423 EAST MAIN ST, VILLE PLATTE, LA 70586 (318) 363-7777 - 2,500,000 (\$25,000) COMMON STOCK. 2,500,000 (\$250,000) COMMON STOCK. 2,500,000 (\$500,000) COMMON STOCK. 250,000 (\$3,000) COMMON STOCK. UNDERWRITER: TRI BRADLEY INVESTMENTS INC. (FILE 33-23143-FW - JUL. 20) (BR. 11 - NEW ISSUE)
- S-8 ALBANY INTERNATIONAL CORP /DE/, ONE SAGE RD, MENANDS, NY 12204 (518) 445-2200 - 15,500,000 (\$15,500,000) OTHER SECURITIES INCLUDING VOTING TRUST. (FILE 33-23163 - JUL. 26) (BR. 8)
- S-8 FILENET CORPORATION, 3565 HARBOR BLVD, COSTA MESA, CA 92626 (714) 966-3400 - 600,000 (\$7,389,000) COMMON STOCK. (FILE 33-23165 - JUL. 16) (BR. 9)
- A-1A MORGAN STANLEY INSTITUTIONAL FUND INC, 1300 MORRIS DR PO BOX 1102, VALLEY FORGE, PA 19482 (215) 648-6000 - INDEFINITE SHARES. (FILE 33-23166 - JUL. 25) (BR. 17 - NEW ISSUE)
- S-8 CARVER CORP, 20121 48TH AVE WEST, LYNNWOOD, WA 98036 (206) 775-1202 - 193,250 (\$846,435) COMMON STOCK. (FILE 33-23167 - JUL. 26) (BR. 12)

- S-8 CARVER CORP, 20121 48TH AVE WEST, LYANWCCD, WA 98036 (206) 775-1202 - 100,000 (\$438,000) COMMON STOCK. (FILE 33-23168 - JUL. 26) (BR. 12)
- S-3 NORTON CO, 120 FRONT ST, WORCESTER, MA 01608 (617) 795-5000 - 285,025 (\$15,320,093) COMMON STOCK. (FILE 33-23285 - JUL. 27) (BR. 13)
- S-8 NATIONAL MERCANTILE BANCORP, 1840 CENTURY PARK EAST, LOS ANGELES, CA 90067 (213) 277-2265 - 324,096 (\$2,305,647.33) COMMON STOCK. (FILE 33-23303 - JUL. 26) (BR. 1)
- S-8 UNION PLANTERS CORP, 67 MADISON AVE, UNION PLANTERS BANK BLDG, MEMPHIS, TN 38103 (901) 523-6000 - 150,000 (\$3,825,000) COMMON STOCK. (FILE 33-23306 - JUL. 26) (BR. 1)
- S-3 GLENFED INC, 700 N BRAND BLVD, PO BOX 1709, GLENDALE, CA 91209 (818) 500-2000 - 300,000 (\$6,525,000) COMMON STOCK. (FILE 33-23307 - JUL. 26) (BR. 1)
- S-8 HAMMCNC CO/CA, 4910 CAMPUS DR, NEWPORT BEACH, CA 92660 (714) 752-6671 - 244,000 (\$915,000) COMMON STOCK. (FILE 33-23308 - JUL. 26) (BR. 11)
- S-8 BARRISTER INFORMATION SYSTEMS CORP, ONE TECHNOLOGY CTR, 45 CAK ST, BUFFALO, NY 14203 (716) 845-5010 - 150,000 (\$506,250) COMMON STOCK. (FILE 33-23309 - JUL. 26) (BR. 10)
- S-8 SOUTHDOWN INC, 1200 SMITH ST STE 2200, HOUSTON, TX 77002 (713) 658-8921 - 2,000,000 (\$35,905,275.86) COMMON STOCK. (FILE 33-23328 - JUL. 26) (BR. 10)
- S-8 SEAGATE TECHNOLOGY INC, 920 DISC DR, SCOTTS VALLEY, CA 95066 (408) 438-6550 - 2,000,000 (\$35,625,000) COMMON STOCK. (FILE 33-23329 - JUL. 25) (BR. 9)
- S-3 UTILICORP UNITED INC, 911 MAIN STE 2000, KANSAS CITY, MO 64105 (816) 421-6600 - 2,000,000 (\$36,250,000) COMMON STOCK. UNDERWRITER: DREXEL BURNHAM LAMBERT INC, SHEARSON LEFMAN HUTTON INC. (FILE 33-23331 - JUL. 26) (BR. 8)
- S-8 UNITED FINANCIAL CORPORATION OF SOUTH CAROLINA INC, 425 MAIN ST, GREENWCCD, SC 29648 (803) 223-8611 - 203,429 (\$2,026,947.20) COMMON STOCK. (FILE 33-23332 - JUL. 26) (BR. 2)
- S-8 PITTSFORD CO, ONE PICKWICK PLZ, PO BOX 8900, GREENWICH, CT 06836 (203) 622-0900 - 2,000,000 (\$30,625,000) COMMON STOCK. (FILE 33-23333 - JUL. 27) (BR. 3)
- S-1 FUTURES DIMENSION FUND II LP, ONE LIBERTY PLZ, C/O MERRILL LYNCH OPTICNS/FUTURES MANAGE, NEW YORK, NY 10080 (212) 637-1269 - 150,000 (\$15,000,000) LIMITED PARTNERSHIP CERTIFICATE. (FILE 33-23334 - JUL. 27) (BR. 12)
- S-3 EASTERN EDISON CO, 110 MULBERRY ST, BROCKTON, MA 02403 (617) 580-1213 - 75,000,000 (\$77,062,500) MORTGAGE BONDS. 200,000 (\$20,550,000) PREFERRED STOCK. (FILE 33-23335 - JUL. 27) (BR. 7)
- S-8 EXCELAN INC /DE/, 2180 FORTUNE DR, SAN JOSE, CA 95131 (408) 434-2300 - 1,100,000 (\$14,300,000) COMMON STOCK. (FILE 33-23336 - JUL. 25) (BR. 10)
- S-6 CARDINAL GNMA TRUST THIRD SERIES, OHIO CO, 155 E BROAD ST, COLUMBUS, OH 43215 - INDEFINITE SHARES. DEPOSITOR: OHIO CO. (FILE 33-23338 - JUL. 27) (BR. 18 - NEW ISSUE)

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## ACQUISITIONS OF SECURITIES

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Companies and individuals must report to the Commission within ten days on Schedule 13D if after the acquisition of equity securities of a public company their beneficial interest therein exceeds five percent. Persons eligible to use the short form (Schedule 13G) may in lieu of filing a Schedule 13D file a Schedule 13G within 45 days after the end of the calendar year in which the person became subject to Section 13(d)(1). Companies and individuals making a tender offer must have on file at the time the tender offer commences a Schedule 14D-1.

Below is a list of recent filings of Schedules 13D and 14D, which includes the following information: Column 1 - the company purchased (top), and the name of the purchaser; Column 2 - the type of security purchased; Column 3 - the type of form filed; Column 4 - the date the transaction occurred; Column 5 - the current number of shares (in 000's) owned (top) and the current percent owned; Column 6 - the CUSIP number (top) and the prior percent owned; and Column 7 - the status of the filing, i.e., new, update or revision.

| NAME AND CLASS OF STOCK/OWNER                                 | FORM           | EVENT DATE | SHRS (000)/% OWNED | CUSIP/PRIOR%     | FILING STATUS |
|---|----------------|------------|--------------------|------------------|---------------|
| AM INTL INC<br>KOMORI PRINTING MACH ET AL                     | COM NEW<br>13D | 7/27/88    | 3,870<br>7.5       | 00172320<br>4.0  | UPDATE        |
| ACMAT CORP<br>BERGMAN STANLEY N                               | COM<br>13D     | 6/10/88    | 45<br>4.1          | 00461610<br>22.8 | RVISION       |
| ACMAT CORP<br>CHASE ARNOLD L                                  | COM<br>13D     | 6/10/88    | 22<br>2.1          | 00461610<br>11.4 | RVISION       |
| ACMAT CORP<br>CHASE DAVID T ET AL                             | COM<br>13D     | 6/10/88    | 55<br>5.0          | 00461610<br>6.8  | RVISION       |
| ACMAT CORP<br>FREEDMAN CHERYL CHASE                           | COM<br>13D     | 6/10/88    | 22<br>2.1          | 00461610<br>11.4 | RVISION       |
| ALAMCO INC<br>KEELEY DEV CORP ET AL                           | COM<br>13D     | 7/19/88    | 786<br>5.3         | 01074210<br>0.0  | NEW           |
| ALPHA OMEGA CAPITAL<br>MORRIS CHARLES CALVIN                  | COM<br>13D     | 6/23/88    | 1,388<br>7.9       | 02091110<br>0.0  | NEW           |
| AMVESTORS FINL CORP<br>LASTER RALPH W JR                      | COM<br>13D     | 7/20/88    | 458<br>5.3         | 03234310<br>4.3  | UPDATE        |
| ANGLO ENERGY INC<br>BERMAN WARREN S                           | CL A<br>13D    | 7/19/88    | 1,016<br>10.1      | 03505310<br>3.8  | UPDATE        |
| ANGLO ENERGY INC<br>ISENBERG EUGENE M ET AL                   | CL A<br>13D    | 7/19/88    | 3,117<br>31.1      | 03505310<br>33.4 | UPDATE        |
| BAYLY CORP<br>CRESTAR BANK                                    | COM<br>13D     | 7/15/88    | 0<br>0.0           | 07286910<br>0.0  | NEW           |
| BULL RUN GOLD MINES LTD<br>EDELMAN ASHER B. ET AL             | COM<br>13D     | 7/22/88    | 1,262<br>13.6      | 12018210<br>18.9 | UPDATE        |
| CIRCLE EXPRESS INC<br>BELFORD ARTHUR L                        | COM<br>13D     | 7/14/88    | 422<br>3.1         | 17256210<br>1.6  | UPDATE        |
| CLEVELAND CLIFFS INC<br>BOLGER DAVID F ET AL                  | COM<br>13D     | 7/20/88    | 407<br>3.5         | 13589610<br>5.7  | UPDATE        |
| DICEOM ELECTRS INC<br>STATE OF WISCONSIN INVEST BD            | COM<br>13D     | 7/12/88    | 290<br>5.0         | 25302610<br>0.0  | NEW           |
| EAGLE BANCORP<br>BAUPOST LTD PARTNERSHIP                      | COM<br>13D     | 7/18/88    | 62<br>5.0          | 26941310<br>0.0  | NEW           |
| EDO CORP<br>CAPCOR INC EMPL PENS PLAN ET AL                   | COM<br>13D     | 7/26/88    | 362<br>6.0         | 23134710<br>5.9  | UPDATE        |
| ENERGY CONVERSION DEVICES IN<br>MANNING & NAPIER ADVISORS INC | COM<br>13D     | 6/ 3/88    | 1,582<br>26.6      | 29265910<br>26.2 | UPDATE        |
| EXCELAN INC<br>NETWORK EQUIP TECH                             | COM<br>13D     | 4/13/88    | 0<br>0.0           | 30068010<br>0.0  | RVISION       |
| GENERAL CINEMA CORP<br>JOHANSEN KILE                          | COM<br>13D     | 7/22/88    | 2,320<br>4.1       | 36935210<br>0.0  | NEW           |
| GENERAL REFRACTORIES CO<br>BELMONT INDS ET AL                 | COM<br>14D-1   | 7/28/88    | 1,691<br>47.6      | 37062310<br>33.5 | UPDATE        |
| GOLD STD INC<br>FREIDBERG ALBERT D ET AL                      | COM<br>13D     | 7/18/88    | 1,870<br>16.1      | 38072410<br>0.0  | NEW           |
| GULF&MISSISSIPPI CORP<br>ELECTRA INVESTMENT TRUST P.L.C       | COM<br>13D     | 6/24/88    | 99<br>5.5          | 40205410<br>0.0  | NEW           |

## ACQUISITIONS REPORTS CONT.

|   |                 |         |                |                   |        |
|---|-----------------|---------|----------------|-------------------|--------|
| HARD ROCK INTL PLC<br>PLEASURAMA PLC                      | ADR CL A<br>13D | 7/20/88 | 5,213<br>51.7  | 41163240<br>0.0   | NEW    |
| KNOGO CORP<br>MOLLER FREDERICK A JR                       | COM<br>13D      | 7/25/88 | N/A<br>N/A     | 49915810<br>7.2   | UPDATE |
| MAGIC YRS CHILD CARE&LEARNIN<br>COLLIER JUNE M            | COM<br>13D      | 7/1/88  | 85<br>3.2      | 55916910<br>0.0   | NEW    |
| MATRIX CORP DEL<br>AGFA-GEVAERT INC ET AL                 | COM<br>14D-1    | 7/28/88 | 3,973<br>30.6  | 57682910<br>30.4  | UPDATE |
| MICROS SYSTEMS INC<br>WESTINGHOUSE ELEC CORP              | COM<br>13D      | 7/27/88 | 4,849<br>66.3  | 59490110<br>66.3  | UPDATE |
| ROBERTSON COMPANIES INC<br>KOTVAL LESLIE F                | COM<br>13D      | 6/27/88 | 32<br>4.9      | 77054810<br>0.0   | NEW    |
| SANTA FE SOUTHN PAC CORP<br>HENLEY GRP                    | COM<br>13D      | 7/27/88 | 26,456<br>16.8 | 80218310<br>16.7  | UPDATE |
| SPECIALTY EQUIP COS INC<br>SPE ACQUISITION ET AL          | COM<br>14D-1    | 7/28/88 | 0<br>0.0       | 84749710<br>0.0   | NEW    |
| SUN ELEC CORP<br>PAGE JOHN C ET AL                        | COM<br>13D      | 7/28/88 | 696<br>9.9     | 86671310<br>9.3   | UPDATE |
| TORO CO<br>STATE OF WISCONSIN INVEST BD                   | COM<br>13D      | 7/11/88 | 495<br>5.1     | 89103210<br>0.0   | NEW    |
| TRIMEDYNE INC<br>HARRIS IRVING B ET AL                    | COM<br>13D      | 7/13/88 | 297<br>4.7     | 89625910<br>7.4   | RVSION |
| VR BUSINESS BROKERS INC<br>CHRISTIE GRP ET AL             | COM<br>13D      | 7/22/88 | 4,946<br>90.9  | 91828210<br>100.0 | UPDATE |
| XIOX CORP<br>NEW YORK & FOREIGN SECS CORP                 | COM<br>13D      | 7/19/88 | 34<br>3.0      | 93390510<br>5.4   | UPDATE |
| AMVESTORS FINL CORP<br>MURRELL T M                        | COM<br>13D      | 7/25/88 | 642<br>7.9     | 03234310<br>7.2   | UPDATE |
| BEARD OIL CO<br>BEARD W M                                 | COM<br>13D      | 6/28/88 | 1,731<br>29.8  | 07384810<br>0.0   | NEW    |
| CALMAT CO<br>ONCOR U S A INC                              | COM<br>13D      | 7/19/88 | 5,834<br>13.0  | 13127110<br>0.0   | NEW    |
| CALSTAR INC<br>STAHL ROBERT F JR ET AL                    | COM<br>13D      | 7/21/88 | 30<br>5.4      | 13135610<br>6.7   | UPDATE |
| CATALINA LTS INC<br>MOSS DAVID M                          | COM<br>13D      | 6/9/88  | 1,734<br>56.0  | 14386510<br>63.3  | UPDATE |
| CHIEF AUTOMOTIVE SYS INC<br>DOVER CORP ET AL              | COM<br>14D-1    | 7/29/88 | 7,994<br>35.7  | 16862410<br>0.0   | UPDATE |
| CLEMENTE GLOBAL GROWTH FD IN<br>SUMTER PARTNERS L P ET AL | COM<br>13D      | 7/26/88 | 306<br>15.1    | 18556910<br>12.5  | UPDATE |
| CLEVELAND CLIFFS INC<br>TIGER ET AL                       | COM<br>13D      | 7/20/88 | 354<br>7.4     | 18583610<br>5.6   | UPDATE |
| CONCORD CAMERA CORP<br>BENUN JACK C                       | COM<br>13D      | 7/19/88 | 3,220<br>55.5  | 20615610<br>0.0   | NEW    |

**ACQUISITIONS REPORTS CONT.**

|  |                      |       |         |               |                  |        |
|--|----------------------|-------|---------|---------------|------------------|--------|
| EPIC HEALTH GROUP INC<br>BERMAN MARTIN L                 | COM                  | 13D   | 7/18/88 | 253<br>2.2    | 29425310<br>0.0  | NEW    |
| EPIC HEALTH GROUP INC<br>GOLDSTEIN ROBERT D              | COM                  | 13D   | 7/18/88 | 440<br>3.9    | 29425310<br>6.2  | UPDATE |
| FIRST CITY BANCORP TEX INC D<br>CLEMATIS N V ET AL       | COM                  | 13D   | 7/20/88 | 1,194<br>8.9  | 31959310<br>0.0  | NEW    |
| FIRST FRANKLIN CORP<br>BAUPOST GRP INC                   | COM                  | 13D   | 7/22/88 | 55<br>3.8     | 32027210<br>7.3  | UPDATE |
| FLORIDA STL CORP<br>FLS HLDGS ET AL                      | COM                  | 14D-1 | 7/29/88 | 0<br>0.0      | 34317210<br>0.0  | NEW    |
| GRIST ML CO<br>DIKER CHARLES M                           | COM NEW              | 13D   | 7/19/88 | 242<br>5.5    | 39262320<br>0.0  | NEW    |
| GULF&MISSISSIPPI CORP<br>GLOBE INVESTMENT TRUST PLC      | COM                  | 13D   | 6/24/88 | 110<br>6.1    | 40205410<br>0.0  | NEW    |
| INTERCO INC<br>CITY CAPITAL ASSOCS ET AL                 | COM                  | 13D   | 7/29/88 | 3,159<br>8.7  | 45850610<br>3.7  | UPDATE |
| LOYOLA CAP CORP<br>WMS CO ET AL                          | COM                  | 13D   | 7/19/88 | 389<br>7.3    | 54908910<br>6.7  | UPDATE |
| OPTICAL SPECIALTIES INC<br>CAMBRIDGE INST CO PLC ET AL   | COM                  | 13D   | 7/1/88  | 3,496<br>66.9 | 68384910<br>56.3 | UPDATE |
| PHOTO MARKER CORP<br>FINKELSTEIN BARRY                   | COM                  | 13D   | 7/20/88 | 114<br>6.6    | 71928710<br>5.2  | UPDATE |
| PITCHAIRM GRP LP<br>PITCHAIRM FEDOR U                    | UTS LTD PARTNSHP INT | 13D   | 1/1/88  | 171<br>23.0   | 72430099<br>19.9 | UPDATE |
| PITCHAIRM GRP LP<br>PITCHAIRM STEPHEN                    | UTS LTD PARTNSHP INT | 13D   | 1/1/88  | 255<br>34.5   | 72430099<br>25.5 | UPDATE |
| QUINOTE CORP<br>HICKORY FURNITURE CO ET AL               | COM                  | 13D   | 7/21/88 | 575<br>7.3    | 74905610<br>6.1  | UPDATE |
| REPUBLIC AMERN CORP<br>PENN CENTRAL CORP                 | COM                  | 13D   | 7/28/88 | 2,637<br>14.3 | 76027910<br>13.9 | UPDATE |
| VANGUARD TECHNOLOGIES INTL I CL A<br>CINCINNATI BELL INC |                      | 14D-1 | 7/29/88 | 3,301<br>97.0 | 92204910<br>45.6 | UPDATE |
| WILDAN PACAPAINS INC<br>ALTAMIRA CAPITAL ET AL           | COM                  | 14D-1 | 7/29/88 | 9,010<br>94.6 | 92999010<br>54.0 | UPDATE |
| XIDEX CORP<br>ANACOMP INC                                | COM                  | 14D-1 | 7/29/88 | 0<br>0.0      | 98490010<br>0.0  | NEW    |

**RECENT 8K FILINGS**

Form 8-K is used by companies to file current reports on the following events:

- Item 1. Changes in Control of Registrant.
- Item 2. Acquisition or Disposition of Assets.
- Item 3. Bankruptcy or Receivership.
- Item 4. Changes in Registrant's Certifying Accountant.
- Item 5. Other Materially Important Events.
- Item 6. Resignations of Registrant's Directors.
- Item 7. Financial Statements and Exhibits.

The companies listed below have filed 8-K reports for the date indicated and/or amendments to 8-K reports previously filed, responding to the item(s) of the form specified. Copies of the reports may be purchased from the Commission's Public Reference Room (when ordering, please give the date of the report). An invoice will be included with the requested material when mailed.

| NAME OF ISSUER                           | STATE<br>CODE | 8K ITEM NO. |   |   |   |   |   |   | DATE     | COMMENT |
|--|---------------|-------------|---|---|---|---|---|---|----------|---------|
|  |               | 1           | 2 | 3 | 4 | 5 | 6 | 7 |          |         |
| SECURITY PACIFIC NATIONAL BANK MCRT PASS | CA            |             |   |   |   | X |   |   | 07/22/88 |         |
| SECURITY PACIFIC NATIONAL BANK MCRT PASS | CA            |             |   |   |   | X |   |   | 07/22/88 |         |
| SECURITY PACIFIC NATIONAL BANK MCRT PASS | CA            |             |   |   |   | X |   |   | 07/22/88 |         |
| SECURITY PACIFIC NATIONAL BANK MCRT PASS | CA            |             |   |   |   | X |   |   | 07/22/88 |         |
| SECURITY PACIFIC NATIONAL BANK MCRT PASS | CA            |             |   |   |   | X |   |   | 07/22/88 |         |
| SECURITY PACIFIC NATIONAL BANK SERIES 19 | CA            |             |   |   |   | X |   |   | 07/22/88 |         |
| SECURITY PACIFIC NATIONAL BANK SERIES 19 | CA            |             |   |   |   | X |   |   | 07/22/88 |         |
| SERVICE CCRP INTERNATIONAL               | TX            |             |   |   |   | X | X |   | 07/18/88 |         |
| SIERRA CAPITAL REALTY TRUST IV CO        | MO            |             | X |   |   |   | X |   | 07/08/88 |         |
| SILVER EUREKA CORP                       | NV            |             |   |   |   |   | X |   | 07/25/88 |         |
| SILVERTHORNE PRODUCTION CO               | CO            |             |   |   | X |   | X |   | 06/20/88 |         |
| SCFTGUARD SYSTEMS INC                    | NV            |             |   |   |   | X |   |   | 07/22/88 |         |
| STIMUTECH INC                            | DE            |             |   |   |   | X |   |   | 07/13/88 |         |
| SUNBELT PROFESSIONAL SERVICES INC        | CO            | X           | X |   |   |   | X |   | 06/15/88 |         |
| TAURUS PETROLEUM INC /CO/                | CO            | X           |   |   |   |   | X |   | 07/12/88 |         |
| TECON INC /LT/                           | LT            | NO ITEMS    |   |   |   |   |   |   | 07/13/88 | AMENC   |
| TELEMATION INC                           | NV            |             | X |   |   |   | X |   | 07/08/88 |         |
| TRIAN HOLDINGS INC                       | DE            |             | X |   |   |   | X |   | 07/07/88 |         |
| TRIANGLE INDUSTRIES INC /DE/             | DE            |             | X |   |   |   |   |   | 07/07/88 |         |
| TYLAN CORP                               | CA            |             | X |   |   |   | X |   | 05/26/88 |         |
| ULTIMAP CCRP                             | MN            | X           |   |   |   |   | X |   | 07/11/88 |         |
| ULTIMAP INTERNATIONAL CORP               | MN            | X           |   |   |   |   | X |   | 07/11/88 |         |
| UNICC INC /NM/                           | NM            |             |   |   |   |   | X |   | 05/05/88 | AMENC   |
| UNITED RESERVE UNDERWRITERS INC          | CO            |             | X |   |   |   | X |   | 07/12/88 |         |
| UTAH SHALE LAND & MINERALS CORP          | DE            |             |   |   |   | X | X |   | 07/12/88 |         |
| UTILICORP UNITED INC                     | DE            |             | X |   |   |   | X |   | 07/25/88 |         |
| VANTER CO INC                            | CO            |             |   |   |   |   | X |   | 04/27/88 | AMENC   |
| WELLS REAL ESTATE FUND II                | GA            | NO ITEMS    |   |   |   |   |   |   | 05/23/88 | AMENC   |
| WELLS REAL ESTATE FUND II-OW             | GA            | NO ITEMS    |   |   |   |   |   |   | 05/23/88 | AMENC   |
| WEST POINT PEPPERELL INC                 | GA            |             |   |   |   |   | X |   | 05/07/88 | AMENC   |
| WESTAMERICA BANCCORPORATION              | CA            |             |   |   |   | X | X |   | 07/18/88 |         |
| WILDERNESS EXPERIENCE INC                | CA            |             |   |   |   | X |   |   | 07/15/88 |         |
| WILLIAMS COMPANIES INC                   | DE            |             |   |   |   | X | X |   | 07/12/88 |         |
| WINDSOR PARK PROPERTIES 5                | CA            |             | X |   |   |   | X |   | 07/12/88 |         |
| WINSTON RESOURCES INC                    | DE            | NO ITEMS    |   |   |   |   |   |   | 06/21/88 | AMENC   |
| ZAES BACKYARD HOTELS INC /NY/            | NY            |             |   |   |   |   | X |   | 07/21/88 |         |
| 3CI INC /CE/                             | DE            |             |   |   |   |   | X |   | 07/11/88 |         |