SEC NEWS DIGEST

Issue 2000-219

November 15, 2000

COMMISSION ANNOUNCEMENTS

COMMISSION APPROVES AUDITOR INDEPENDENCE AND MARKET STRUCTURE RULES

Today the Commission unanimously approved rules concerning auditor independence requirements and market structure issues. The Chairman's opening statement at today's meeting and fact sheets for each set of rules are available on the Commission's web site.

- Chairman's Statement.-- www.sec.gov/news/extra/levonai.htm
- Fact Sheet on Auditor Independence -- www.sec.gov/news/extra/faqaud.htm
- Fact Sheet on Market Structure -- www.sec.gov/news/extra/faqmstrc.htm

(Press Rel. 2000-171)

ENFORCEMENT PROCEEDINGS

COMMISSION REMANDS NASD'S KEIDAISH DECISION FOR STATUTORY DISQUALIFICATION

The Commission has remanded the decision of the National Association of Securities Dealers, Inc. to statutorily disqualify Stephen L. Keidaish to associate with member firm Orosey & Pepe Capital Markets, Inc. based on a 1994 DUI felony manslaughter conviction.

The Commission found that although the NASD pointed out that Keidaish was still serving his probation, it did not discuss the risks of harm to markets or investors arising from Keidaish's felony. The Commission remanded this appeal for further proceedings. (Rel. 34-43555; File No. 3-10111)

FINAL JUDGMENT, ORDER OF PERMANENT INJUNCTION ENTERED, AND ADMINISTRATIVE PROCEEDINGS INSTITUTED AGAINST DEAN TURNER

On November 14, the Commission instituted public administrative proceedings, pursuant to Sections 15(b) and 19(h) of the Securities Exchange Act of 1934, against Dean C. Turner (Turner), formerly a registered representative at a Troy, Michigan branch office of Dean Witter Reynolds, Inc. based upon Turner's conviction for violating two counts of the federal mail fraud statutes and on an Order of Permanent Injunction entered by consent against Turner on November 3, 2000, which enjoins him from future violations of Sections 5(a), 5(c) and 17(a) of the Securities Act of 1933, and Sections 10(b), 15(a)(1) and 15(c)(1) of the Exchange Act and Rules 10b-5 and 15c1-2 thereunder. Both actions arose from Turner's sale of fraudulent promissory notes in Lease Equities Fund, Inc. (Lease Equities), a company based in Milford, Michigan.

The Order Instituting Proceedings states that the Commission's complaint underlying the Permanent Injunction alleges that Turner offered and sold \$13.6 million of promissory notes issued by Lease Equities to Dean Witter customers by misrepresenting the use of funds, the source of funds to be repaid to investors, the risks of the securities, the collateral for the securities and the returns to be realized. The complaint also alleges that \$10 million of principal on the notes was never repaid, that Turner falsely led investors to believe that he was acting on behalf of Dean Witter Reynolds, and that Turner did not disclose his ownership interest in Lease Equities or his status as an officer of Lease Equities and of one of its affiliates. The Order states that Turner, in consenting to the entry of the Permanent Injunction, neither admitted nor denied the allegations in the Commission's complaint. Turner recently began serving an 18-month prison term for A hearing before an administrative law judge will be his mail fraud conviction. scheduled to determine whether the allegations in the Order are true and what, if any, remedial sanctions are appropriate in the public interest and for the protection of investors against Turner. [SEC v. Dean C. Turner, et al., Civil Action No. 97-74810, USDC, E. Dist. Mich.] (LR-16799); Administrative Proceedings in the Matter of Dean C. Turner - (Rel. 34-43560; File No. 3-10362)

UPSTATE INVESTMENT ADVISER, PRINCIPALS SETTLE FRAUD CHARGES

Waltzer and Associates (Waltzer), a registered investment adviser, and its principals, Lewis N. Waltzer and Karney E. Waltzer, have settled charges that they defrauded Waltzer's clients. Each of the respondents consented, without admitting or denying the Commission's findings, to the entry of orders making findings, imposing remedial sanctions, and imposing cease-and-desist orders against them (Orders). the Commission found that, from at least January 1994 through August 1996, Waltzer and Lewis and Karney Waltzer defrauded clients by (1) making false performance claims; (2) failing to disclose that they received transaction-based compensation for selling certain securities and insurance contracts to their advisory clients; and (3) failing to disclose a prior Commission enforcement action against Waltzer and Lewis Waltzer. In addition, the Commission found that Waltzer, aided and abetted by Lewis Waltzer, failed to provide to clients a written disclosure statement known as Part II of Form ADV. Waltzer, which was located in Monticello, New York from 1985 until 1994, and in Newburgh, New York thereafter, ceased operations in August 1996.

Each of the respondents consented, without admitting or denying the Commission's findings, to the entry of orders requiring them to cease and desist from future violations and imposing certain other sanctions, as follows:

Waltzer consented to an Order directing it to cease and desist from committing or causing any violation and any future violation of Section 17(a) of the Securities Act of 1933 (Securities Act), Section 10(b) of the Securities Exchange Act of 1934 (Exchange Act) and Rule 10b-5 thereunder, and Sections 204, 205, 206(1), 206(2), and 206(4) of the Investment Advisers Act of 1940 (Advisers Act) and Rules 204-3 and 206(4)-4 thereunder, and revoking Waltzer's registration as an investment adviser.

Lewis Waltzer consented to an Order directing him to cease and desist from committing or causing any violation and any future violation of Section 17(a) of the Securities Act, Section 10(b) of the Exchange Act and Rule 10b-5 thereunder, and Sections 204, 205, 206(1), 206(2), and 206(4) of the Advisers Act and Rules 204-3 and 206(4)-4 thereunder, barring him from association with any broker, dealer, or investment adviser, prohibiting him from serving or acting as an employee, officer or director, member of an advisory board, investment adviser or depositor of, or principal underwriter for, a registered investment company or affiliated person of such investment adviser, depositor, or principal underwriter, and directing him to pay disgorgement and prejudgment interest in the amount of \$50,000 and a civil penalty in the amount of \$50,000. (Rels. 33-7914; 34-43561; IA-1908; IC-24738; File No. 3-10142)

Karney Waltzer consented to an order directing her to cease and desist from committing or causing any violation and any future violation of Section 17(a) of the Securities Act, Section 10(b) of the Exchange Act and Rule 10b-5 thereunder, and Sections 205, 206(1), 206(2), and 206(4) of the Advisers Act and Rule and 206(4)-4 thereunder, barring her from association with any broker, dealer, or investment adviser, and prohibiting her from serving or acting as an employee, officer or director, member of an advisory board, investment adviser or depositor of, or principal underwriter for, a registered investment company or affiliated person of such investment adviser, depositor, or principal underwriter. The proceedings were instituted on February 7, 2000. (Rels. 33-7915; 34-43562; IA-1909; IC-24739; File No. 3-10142)

INVESTMENT COMPANY ACT RELEASES

THE GALAXY FUND, ET AL.

An order has been issued on an application filed by The Galaxy Fund, et al. under Sections 6(c), 10(f), and 17(b) of the Investment Company Act for an exemption from Sections 10(f) and 17(a)(1) of the Act. The order amends an existing order that permits an open-end management investment company to purchase certain securities: (i) from an affiliated underwriter, if such securities are solely underwritten by that underwriter or are unavailable from other members of an underwriting syndicate, and (ii) through group orders placed with an underwriting syndicate that includes the affiliated underwriter. (Rel. IC-24736 - November 13)

WILLIAM BLAIR FUNDS, ET AL.

An order has been issued on an application filed by William Blair Funds, et al. under Section 12(d)(1)(J) of the Investment Company Act for an exemption from Sections 12(d)(1)(A) and (B) of the Act, under Sections 6(c) and 17(b) of the Act for an exemption from Section 17(a) of the Act, and under Section 17(d) of the Act and Rule 17d-1 under the Act to permit certain joint transactions. The order permits certain registered management investment companies and private accounts to invest uninvested cash and cash collateral in one or more affiliated money market funds and engage in certain transactions with each other. (Rel. IC-24737 – November 14)

HOLDING COMPANY ACT RELEASES

NORTHEAST UTILITIES, ET AL.

A supplemental order has been issued authorizing Northeast Utilities (NU), a registered holding company, NU's subsidiary companies including among others, Western Massachusetts Electric Company (WMECO), Holyoke Water Power Company (HWP), Connecticut Light and Power Company (CL&P), Public Service Company of New Hampshire (PSNH), The Rocky River Realty Company (Rocky River), The Quinnehtuk Company (Ouinnehtuk), Northeast Nuclear Energy Company (NNEC), HEC Inc., (HEC), Yankee Energy System, Inc. (YES), Yankee Gas Services Company (Yankee Gas), Yankee Energy Financial Services Company (Yankee Financial), NorConn Properties, Inc. (NorConn), Yankee Energy Services Company (Yesco), and R.M. Services, Inc. (RMS), through December 31, 2000, to: (a) add YES, Yankee Gas, Yankee Financial, NorConn, Yesco and RMS to the NU system money pool; (b) allow YES and Yankee Gas to incur short-term debt; and (c) clarify the inclusion of NNEC, Ouinnehtuk, Rocky River and HEC as participants in the NU system money pool. Jurisdiction has been reserved over removing the limitation on money pool borrowings of the nonutility participants, any PSNH contributions to the money pool, and any borrowings by companies other than CL&P, HWP, NNECP, Rocky River and Ouinnehtuk of money pool funds attributable to contributions by WMECO until the record is complete. (Rel. 35-27275)

WGL HOLDINGS, ET AL.

A supplemental order has been issued authorizing a proposal by WGL Holdings (WGL), currently a wholly owned subsidiary of Washington Gas Light Company (Washington Gas), a gas utility company not currently subject to the Act, for the release of jurisdiction over Washington Gas Light's participation in the WGL system money pool. Jurisdiction continues to be reserved over the payment of dividends by certain nonutility subsidiaries. (Rel. 35-27276)

AMERICAN ELECTRIC POWER COMPANY, INC., ET AL.

A notice has been issued giving interested persons until December 8, 2000, to request a hearing on a proposal by American Electric Power Company, Inc., a registered holding company, and its wholly owned subsidiary, AEP Resources, Inc., to extend the time, through June 30, 2005, in which they may issue securities for investment in companies having interests in exempt wholesale generators and foreign utility companies. (Rel. 35-27277)

SELF-REGULATORY ORGANIZATIONS

PROPOSED RULE CHANGE

The New York Stock Exchange filed a proposed rule change (SR-NYSE-00-39) to amend arbitration rules regarding pilot programs for mediation and administrative conferences. Publication of the proposal is expected in the <u>Federal Register</u> during the week of November 13. (Rel. 34-43538)

APPROVAL OF PROPOSED RULE CHANGE

The Commission approved a proposed rule change submitted by the <u>National Association of Securities Dealers</u> (SR-NASD-00-50) regarding the adoption of interpretive material defining Public Offering for purposes of Nasdaq's shareholder approval rules. Publication of the order is expected in the <u>Federal Register</u> during the week of November 13. (Rel. 34-43549)

DELISTINGS GRANTED

An order has been issued granting the application of the New York Stock Exchange to strike from listing and registration the Common Stock, \$1.00 par value, of Matlack Systems, Inc. (Rel. 34-43551)

An order has been issued granting the application of the <u>New York Stock Exchange</u> to strike from listing and registration the Common Stock, \$.01 par value, of Worldtex, Inc. (Rel. 34-43552)

SECURITIES ACT REGISTRATIONS

The following registration statements have been filed with the SEC under the Securities Act of 1933. The reported information appears as follows: Form, Name, Address and Phone Number (if available) of the issuer of the security; Title and the number and/or face amount of the securities being offered; Name of the managing underwriter or depositor (if applicable); File number and date filed; Assigned Branch; and a designation if the statement is a New Issue.

- F-6 ALLIANZ AKTIENGESELLSCHAFT /ADR/, 60 WALL STREET, NEW YORK, NY 10260
 - 50,000,000 (\$2,500,000) DEPOSITARY RECEIPTS FOR COMMON STOCK. (FILE 333-12750 OCT. 31) (BR. 99 NEW ISSUE)
- F-6 JORDAN KUWAIT BANK/ADR/, ONE WALL ST, NEW YORK, NY 10286 10,000,000

(\$500,000) DEPOSITARY RECEIPTS FOR COMMON STOCK. (FILE 333-12806 - NOV. 01) (BR. 99 - NEW ISSUE)

- F-1 TELEFONICA MOBILE INC, GOYA 24 28001, 34-91-423-4004, MADRID SPAIN, U3
 00000 125,000,000 (\$1,412,250,000) FOREIGN COMMON STOCK. (FILE
- S-8 BARCLAYS PLC, 4 ROYAL MINT CT EET, JOHNSON SMIRKE BLVD, LONDON EC3N 4HJ ENGL, X0 10265 (212) 412-4000 2,521,382 (\$47,628,905.98)

333-12808 - NOV. 02) (BR. 7 - NEW ISSUE)

FOREIGN COMMON STOCK. (FILE 333-12818 - NOV. 03) (BR. 7)

F-6 VIVENDI SA, 42, AVENUE DE FRIEDLAND, 75008 PARIS, FRANCE, 75380 (011) 331-7171 - 500,000,000 (\$25,000,000) DEPOSITARY RECEIPTS FOR COMMON STOCK. (FILE 333-12820 - NOV. 03) (BR.

- NEW ISSUE)

F-6 FLETCHER CHALLENGE LTD /ADR/, 111 WALL ST, C/O CITIBANK NA, NEW YORK, NY

10043 (212) 657-7691 - 100,000,000 (\$5,000,000)
DEPOSITARY RECEIPTS FOR COMMON STOCK. (FILE 333-12822 - NOV. 03) (BR. 99)

- F-6 TELEFONICA MOBILE INC /ADR/, 111 WALL ST, NEW YORK, NY 10043 (305) 925-5610 300,000,000 (\$15,000,000)

 DEPOSITARY RECEIPTS FOR COMMON STOCK. (FILE 333-12824 NOV. 03) (BR. 99 NEW ISSUE)
 - F-3 PORTUGAL TELECOM SA, AV FONTES PEREIRA DE MELO 40, 1089 LISBOA CODEX PORTUGAL, S1 - 163,578,786 (\$1,451,761,725.75) FOREIGN COMMON STOCK. (FILE 333-12830 - NOV. 06) (BR. 7)
- SB-2 SEDONA SOFTWARE SOLUTIONS INC, 501-905 WEST PENDER ST., V6C IL6, VANCOUVER, A1 00000 1,375,500 (\$137,550) COMMON STOCK. (FILE 333-49504 NOV. 08) (BR. 9)
 - SB-2 BLUE MOON INVESTMENTS, STE 106-1460 PANDOSY ST, KELOWNA BRITISH COLUMBIA, V1Y 1P3, (250) 868-8177 - 200,000 (\$200,000) COMMON STOCK. (FILE 333-49508 - NOV. 08) (BR. 9)
 - S-8 NASTECH PHARMACEUTICAL CO INC, 45 DAVIDS DR, HAUPPAUGE, NY 11788 (631) 273-0101 300,000 (\$1,921,950) COMMON STOCK. (FILE 333-49514 NOV. 08) (BR. 1)
- S-8 PAYFORVIEW COM CORP /NV/, 575 MADISON AVENUE, 10TH FLOOR, NEW YORK, NY
 10022 (212) 605-0150 3,345,000 (\$535,200) COMMON STOCK. (FILE 333-49518
 NOV. 08) (BR. 9)
 - S-8 CUBIST PHARMACEUTICALS INC, 24 EMILY ST, CAMBRIDGE, MA 02139 (607) 576-1999 1,000,000 (\$44,125,000) COMMON STOCK. (FILE 333-49522 NOV. 08) (BR. 1)
 - S-3 GREG MANNING AUCTIONS INC, 775 PASSAIC AVE, WEST CALDWELL, NJ 07006 (973) 882-0004 31,054 (\$143,140.30) COMMON STOCK. (FILE 333-49528 NOV. 08) (BR. 8)
 - S-8 TRANSACT TECHNOLOGIES INC, 7 LASER LANE, WALLINGFORD, CT 06492 (203) 269-1198 70,000 (\$500,955) COMMON STOCK. (FILE 333-49530 NOV. 08) (BR. 3)
 - S-8 TRANSACT TECHNOLOGIES INC, 7 LASER LANE, WALLINGFORD, CT 06492 (203) 269-1198 250,000 (\$1,789,125) COMMON STOCK. (FILE 333-49532 NOV. 08) (BR. 3)
- S-8 BOE FINANCIAL SERVICES OF VIRGINIA INC, P O BOX 965, 323 PRINCE STREET,

 TAPPAHANNOCK, VA 22560 (804) 443-4343 110,000 (\$1,436,875) COMMON STOCK.

 (FILE 333-49538 NOV. 08) (BR. 9)
 - S-8 TRANSACT TECHNOLOGIES INC, 7 LASER LANE, WALLINGFORD, CT 06492 (203) 269-1198 50,000 (\$357,825) COMMON STOCK. (FILE 333-49540 NOV. 08) (BR. 3)

BOE FINANCIAL SERVICES OF VIRGINIA INC, P O BOX 965, 323 PRINCE S-3 STREET, TAPPAHANNOCK, VA 22560 (804) 443-4343 - 100,000 (\$1,306,250) COMMON STOCK. (FILE 333-49546 - NOV. 08) (BR. 9) MAXX INTERNATIONAL INC, C/O SOLOMON BROADCASTING INTERNATIONAL I, 130 S EL CAMINO DRIVE, BEVERLY HILLS, CA 90212 (301) 205-6220 - 250,000 (\$250,000) COMMON STOCK. (FILE 333-49550 - NOV. 08) (BR. 9) OPTELECOM INC, 9300 GAITHER RD, GAITHERSBURG, MD 20877 (301) 840-2121 -700,000 (\$2,800,000) COMMON STOCK. (FILE 333-49554 - NOV. 08) (BR. 7) PINNACLE FINANCIAL PARTNERS INC, 3401 W END AVE, STE 306, NASHVILLE, TN 37203 (615) 250-1800 - 400,000 (\$2,852,000) COMMON STOCK. (FILE 333-49564 - NOV. 08) (BR. 7) HYPERFEED TECHNOLOGIES INC, 300 S WACKER DR, STE 300, CHICAGO, IL S-8 60606 (312) 913-2848 - 1,000,000 (\$2,671,900) COMMON STOCK. (FILE 333-49574 NOV. 09) (BR. 7) S-3 IMCLONE SYSTEMS INC/DE, 180 VARICK ST, NEW YORK, NY 10014 (212) 645-1405 - 6,000,000 (\$346,860,000) COMMON STOCK. (FILE 333-49578 - NOV. 09) (BR. 1)

RECENT 8K FILINGS

Form 8-K is used by companies to file current reports on the following events:

- Item 1. Changes in Control of Registrant.
- Item 2. Acquisition or Disposition of Assets. Item 3. Bankruptcy or Receivership.
- Item 4. Changes in Registrant's Certifying Accountant. Item 5. Other Materially Important Events.
- Item 6. Resignations of Registrant's Directors.
- Item 7. Financial Statements and Exhibits. Item 8. Change in Fiscal Year.
- Item 9. Regulation FD Disclosure.

The following companies have filed 8-K reports for the date indicated and/or amendments to 8-K reports previously filed, responding to the item(s) of the form specified. 8-K reports may be obtained in person or by writing to the Commission's Public Reference Branch at 450 Fifth Street, N.W., Washington, D.C. 20549 or at the following e-mail box address: cpublicinfo@sec.gov. In most cases, this information is also available on the Commission's website: cycle

	STATE			8K ITEM				
NAME OF ISSUER	CODE	1 	2 	3 4 5 6	78	9 	DATE	COMMENT
AASTROM BIOSCIENCES INC	MI						11/14/0	
ABLEAUCTIONS COM INC	${ t FL}$		X		Χ.		07/26/00	
ACUTE EXTRACORPOREAL SERVICES LLC					X		02/15/99	
ADAMS GOLF INC	DE			X			11/06/00	
ADAPTIVE BROADBAND CORP	DE			X			11/12/0	
ADVANCED DEPOSITION TECHNOLOGIES IN C	DE			X	Х		09/30/00)
ADVANSTAR INC	DE					Х	09/30/00)
AFFYMETRIX INC	DE		Х		X		10/30/00)
AFTERMARKET TECHNOLOGY CORP	DE		X		X		10/27/00)
AIRPLANES LTD	DE			X			11/14/00)
AIRPLANES US TRUST	DE			X			11/14/00)
ALZA CORP	DE		Х				11/14/00)
AMAZON NATURAL TREASURES INC	NV			Х			11/09/00)
AMERICAN ELECTRIC AUTOMOBILE CO INC		Х					09/18/00)
AMERICAN FINANCIAL GROUP INC	OH			X	X	Х	11/14/00)
AMERICAN RESIDENTIAL EAGLE BOND TRU ST 1999-2 COLLATERALIZED	DE			X	Х		10/25/00)
AMERICAN TOWER CORP /MA/	DE				Х		11/13/00)
AMERICREDIT AUTOMOBILE RECEIVABLES TRUST 1999-D	DE			Х	X		11/10/00	
AMERICREDIT AUTOMOBILE RECEIVABLES TRUST 2000 C	DE			X	Х		11/10/00) .
AMERICREDIT AUTOMOBILE RECEIVABLES TRUST 2000-A	DE			X	Х		11/10/00)
AMERICREDIT AUTOMOBILE RECEIVABLES TRUST 2000-B	DE			X	Х		11/10/00)
AMERICREDIT FINANCIAL SERVICES INC	DE			Х	Х		11/08/00)
AMERIGAS PARTNERS LP	DE			X	21		11/10/00	
AMERISERVE FOOD DISTRIBUTION INC /D	DE				Х		11/14/00	
AMERIVEST PROPERTIES INC	MD				Х		08/25/00	AMEND
AMGEN INC	DE				X		11/08/00	
AMR CORP	DE						11/10/00	
ANGELES INCOME PROPERTIES LTD 6	CA		Х		Х		05/04/00	
ANKER COAL GROUP INC	DE				X		11/10/00	
ANTEON CORP	VA				X		10/20/00	
ARCH WIRELESS COMMUNICATIONS INC	DE		X		X		11/10/00	
ARCH WIRELESS INC	DE		X		X		11/10/00	
ARI NETWORK SERVICES INC /WI	WI		••			Y	11/13/00	
ARIBA INC	DE				X	11	08/28/00	
ASCHE TRANSPORTATION SERVICES INC	DE				X		11/02/00	
ATLANTIC COAST AIRLINES HOLDINGS IN	DE	X		Α	Λ		11/02/00	
AVAYA INC	DE					x	11/13/00	
AVIGEN INC \DE	DE			Х	Х	••	11/14/00	
AVIS GROUP HOLDINGS INC	DE				X		11/13/00	
AVNET INC	NY					Y	10/20/00	
AXA FINANCIAL INC	DE		Х		X	47	11/14/00	
BALANCED CARE CORP	DE		• •		X		11/14/00	
BALLISTIC RECOVERY SYSTEMS INC	MN				A X		11/00/00	
BANC OF AMERCIA COM MORT PAS THRU C	DE				x X		11/02/00	
ER SERIES 2000-2			v					
BANCORPSOUTH INC	MS		X	X	X		08/21/00	AMEND

NAME OF ISSUER	STATE CODE						NO. 78	9	DATE (COMMENT
BANCORPSOUTH INC	MS		 х		х				08/31/0	AMEND
BATH NATIONAL CORP	NY				X		X		11/02/0	
BATTLE MOUNTAIN GOLD CO	NV						Х		11/10/00	
BEAR STEARNS ASSET BAC SE INC MOR B							X		10/25/00	
A LIB NOT CL A SE 1999-1							••		20,20,0	
BECTON DICKINSON & CO	NJ							Х	11/13/0	0
BEST BUY CO INC	MN						Х		11/09/0	
BINDLEY WESTERN INDUSTRIES INC	IN	Х							09/30/0	
BIOQUAL INC	DE						Х		11/07/00	0
BIRCH TELECOM INC /MO	DE				Х		X		11/10/00	0
BLUE RHINO CORP	DE		Х				X		10/26/0)
BOTTOMLINE TECHNOLOGIES INC /DE/	DE		Х				X		08/28/00) AMEND
BREAKAWAY SOLUTIONS INC	DE				X		X		10/04/0	כ
BROADCOM CORP	CA						X		09/07/00	O AMEND
BSB BANCORP INC	DE				X		X		11/09/0	C
BUSINESS MALL COM INC	NV					Х	X		11/05/00)
CAMINUS CORP	DE						X		08/30/00	O AMEND
CANDELA CORP /DE/	DE				X		X		11/09/0	C
CAPITAL ONE MASTER TRUST	VA				X		X		10/16/00)
CAPSULE COMMUNICATIONS INC DE	DE				X		X		11/02/0	C
CAREMATRIX CORP	DE			Х	X		X		11/09/00)
CASTLEGUARD ENERGY INC	\mathtt{FL}	X							06/30/00	C
CELERITY SYSTEMS INC	DE				Х		X		10/16/00)
CENTER TRUST INC	MD				X		X		11/14/0)
CENTURYTEL INC	LA				Х				09/30/00)
CFW COMMUNICATIONS CO	VA							Х	10/03/00)
CHECKFREE CORP \GA\	DE						X		11/14/00)
CHESAPEAKE ENERGY CORP	OK						X	Х	11/14/00)
CHI ENERGY INC	DE				X		X		10/30/00)
CHRONIMED INC	MN				X		Х		11/14/00)
CIPHERGEN BIOSYSTEMS INC	DE				X		X		10/30/00)
CISCO SYSTEMS INC	CA				X				11/09/00)
CITIZENS COMMUNICATIONS CO	DE						X		08/31/00)
CITIZENS COMMUNICATIONS CO	DE						X		09/30/00)
CLAIMSNET COM INC	DE						X	X	11/14/00)
CNA SURETY CORP	DE				X		X		11/10/00)
CNET NETWORKS INC	DE						X		10/17/00	
COACHMEN INDUSTRIES INC	IN				X		X		11/09/00	
CON MED SUPPLY CO INC	IL				X		X		02/15/99	9
CONSOLIDATED CAPITAL PROPERTIES V	CA		Х				X		09/07/00	
CONTINENTAL AIRLINES INC /DE/	DE				X		X		11/13/00	
CONTINENTAL HEALTH CARE LTD	IL				X		X		02/15/99	9
CORECOMM LTD	D0						X		11/13/00	
CORECOMM LTD /DE/	DE						X	X	11/13/00	
CSS INDUSTRIES INC	DE				Х				11/13/00	
CSX TRADE RECEIVABLES CORP	DE				X		X		10/25/00	
CWMBS INC	DE				X		X		07/28/00	
CWMBS INC	DE				Х		X		08/28/00	
CWMBS INC	DE				X		X		09/28/00	
CWMBS INC	DE				Х		X		09/28/00	
DAIMLER BENZ AUTO GRANTOR TRUST 199	DE				X		X		10/20/00)
7 A										

W100 00 T001110	STATE		_	8K IT			_		
NAME OF ISSUER	CODE	1	2 	3 4 5	- 	7 8 	9 	DATE	COMMENT
DAIMLER BENZ VEHICLE OWNER TRUST 19 98-A	DE			Х		X		09/20/0	00
DARDEN RESTAURANTS INC	FL			Х		X		11/10/0	00
DENSE PAC MICROSYSTEMS INC	CA		Х			X		10/26/0	00
DEVON ENERGY CORP/DE	DE			X		X		08/29/0	00
DIALYSIS SPECIALISTS OF TULSA INC	OK			X		X		02/15/9	99
DIASENSOR COM INC	PA				Х			11/14/0	00
DIXIE GROUP INC	TN					X		07/01/0	00 AMEND
DLJ COMMERCIAL MORTGAGE CORP	DE			X		X		11/10/0	00
DORSEY TRAILERS INC	DE			X		Х		10/30/0	00
DUPAGE DIALYSIS LTD	$_{ m IL}$			X		X		02/15/9	9
EDUVERSE COM	NV			X				11/10/0	00
ELAMEX SA DE CV				X		X		11/13/0	00
ELECTRIC LIGHTWAVE INC	DE			Х				09/30/0	00
ENTRADA NETWORKS INC	DE					X		11/13/0	00 AMEND
EQUINOX SYSTEMS INC	${ t FL}$			X		X		11/03/0	00
EQUITY ONE ABS INC	DE			Х		X		11/08/0	00
EQUITY ONE ABS INC	DE			X		X		11/10/0	00
ERESOURCE CAPITAL GROUP INC	DE					X		09/07/0	00 AMEND
ESCO TECHNOLOGIES INC	MO					X	Х	11/13/0	0
EVENFLO CO INC	DE			X		X		11/13/0	
EVENTURES GROUP INC	DE			X		X	Х	11/10/0	0
EVEREST HEALTHCARE INDIANA INC	IN			X		X		02/15/9	9
EVEREST HEALTHCARE OHIO INC	OH			X		X		02/15/9	9
EVEREST HEALTHCARE SERVICES CORP	DE			Х		X		02/15/9	
EVEREST HEALTHCARE TEXAS HOLDING CO	DE			X		X		02/15/9	19
RP									
EVEREST HEALTHCARE TEXAS LP	DE			X		X		02/15/9	
EVEREST MANAGEMENT INC	DE			X		X		02/15/9	
EVEREST NY HOLDINGS INC	NY			Х		X		02/15/9	
EVEREST ONE IPA INC	NY			Х		X		02/15/9	
EVEREST THREE IPA INC	NY			Х		X		02/15/9	
EVEREST TWO IPA INC	NY			X		X		02/15/9	
EVERLERT INC	ΝV	X						05/11/0	
EXABYTE CORP /DE/	DE			Х				11/13/0	
EXCO RESOURCES INC	TX					X			0 AMEND
EXODUS COMMUNICATIONS INC	DE					X	Х	11/10/0	
FAIRFIELD COMMUNITIES INC	DE			Х		X		11/01/0	
FARMER MAC MORTGAGE SECURITIES CORP	DE	X						11/13/0	
FINANCIAL ASSET SEC CORP FIRST FRAN	DE		X			X		10/17/0	0
KLIN MORT LN TR 2000 FF1									_
FINANCIAL INSTITUTIONS INC	NY					X		11/13/0	
FINANCIALWEB COM INC	NV					X		11/13/0	
FIRST CENTURY BANKSHARES INC	WV			X		X		11/10/0	
FIRST FINANCIAL BANCORP /CA/	CA			Х				11/03/0	
FLEET CREDIT CARD MASTER TRUST II	NY		X					10/16/0	
FLEXTRONICS INTERNATIONAL LTD						X		09/15/0	
FLORIDA EAST COAST INDUSTRIES INC	FL						Х	11/13/0	
FORD CREDIT AUTO LOAN MASTER TRUST	DE			Х		X		10/31/0	
FORD CREDIT AUTO RECEIVABLES TWO L	DE			Х		X		10/26/0	
FORD CREDIT AUTO RECEIVABLES TWO L	DE			Х		X		10/31/0	0

NAME OF ISSUER	STATE CODE		2		ITEM 5 6		9	DATE (COMMENT
								11/12/0	
FOREST OIL CORP	NY				Х	X	.,	11/13/00	
FORTUNE BRANDS INC	DE				.,	Х	Х	11/13/00	
FRONTSTEP INC	OH				X	X		11/08/00	
FRONTSTEP INC	OH			Х		X		11/08/00	
FUSION NETWORKS HOLDINGS INC	DE					X		08/18/00	
FUSION NETWORKS HOLDINGS INC			Х			X		11/09/00	
GENCORP INC	ОН				X	X		11/13/00	
GENESCO INC	TN						Х	11/14/00	
GLOBAL TECHNOVATIONS INC	DE		X					08/31/00	
GLOBAL TELESYSTEMS INC	DE				X	X		11/10/00	
GLOBAL TELESYSTEMS INC	DE				X	X		11/13/0	
GLOBUS WIRELESS LTD	NV		X			X		10/31/00	
GMAC COMMERCIAL MORTGAGE SECURITIES INC	DE	Х						11/10/00	0
GMAC COMMERCIAL MORTGAGE SECURITIES	DE	Х						11/10/0	0
GMAC COMMERCIAL MORTGAGE SECURITIES	DE	Х						11/10/0	0
INC GMAC COMMERCIAL MORTGAGE SECURITIES	DE	х			,			11/10/0	0
INC									
GOAMERICA INC	DE					X		08/31/0	0
GOLDONLINE INTERNATIONAL INC	DE		Х			X		10/26/0	0
GRAND UNION CO /DE/	DΕ				X	X		11/12/0	0
GRANT PRIDECO INC	DE					X	X	11/13/0	0
GREAT BASIN WATER CO				X				11/10/0	0
GREEN MOUNTAIN POWER CORP	VT	Х						11/13/0	0
GREENBRIAR CORP	NV		Х					10/30/0	0
GSI LUMONICS INC	A 3		Х			X		10/01/0	O AMEND
HANOVER COMPRESSOR CO /	DE					X		08/31/0	O AMEND
HARLEY DAVIDSON CUSTOMER FUNDING CO	NV				X	X		11/07/0	0
HARLEY DAVIDSON CUSTOMER FUNDING CO	NV				X	Х		11/13/0	0
HARRAHS ENTERTAINMENT INC	DE				Х	Х		11/14/0	0
HEAFNER TIRE GROUP INC	DE		Х		••	Х		05/25/0	
HEALTHPLAN SERVICES CORP	DE		X			X		10/26/0	
	DE		Λ		Х	Λ.		11/10/0	
HECLA MINING CO/DE/	DE				X	Х		11/07/0	
HERCULES INC	DE				X	X	y	11/13/0	
HEWLETT PACKARD CO	DE				X	X	Λ	11/13/0	
HINES HORTICULTURE INC			Х		Λ	X		10/31/0	
HOLIDAY RV SUPERSTORES INC	DE		А		v			02/15/9	
HOME DIALYSIS OF AMERICA INC	AZ				X	X			
HUDSON RESPIRATORY CARE INC	CA		X			Х		11/28/0	
HUMAN GENOME SCIENCES INC	DE					X		11/14/0	
HUNTINGDON LIFE SCIENCES GROUP PLC						Х	Х	11/14/0	
ICG COMMUNICATIONS INC /DE/	DE			X		X		11/14/0	
ICG FUNDING LLC	DE			X		X		11/14/0	
ICG HOLDINGS CANADA CO /CO/	DE			Х		X		11/14/0	
ICG HOLDINGS INC	CO			X		X		11/14/0	
IGO CORP			X			Х		08/29/0	
IKON OFFICE SOLUTIONS INC	OH				Х	X		10/26/0	
IMMUNEX CORP /DE/	DE				X	X		11/09/0	
INFOCURE CORP	DE				X	X		11/13/0	U

	STATE			8K 1	TEM	NO.			
NAME OF ISSUER	CODE	1	2	3 4	5 6	7 8 	9 	DATE	COMMENT
INFOSPACE INC	DE		Х			Х		08/31/0	
INSTEEL INDUSTRIES INC	NC				X			11/10/0)
INTERMEDIA COMMUNICATIONS INC	DE				X	X		11/14/0)
INTERNET COMMERCE CORP	DE					X		11/06/0)
INTERSIL HOLDING CO	DE				X	X		10/27/0)
INTERWOVEN INC	CA		Х			X		10/31/0)
INTRENET INC	IN				X	Х		11/14/0	
IOS CAPITAL INC	DE				X	X		10/26/0	
IRON MOUNTAIN INC/PA	PA					Х		11/14/0	
ISM HOLDING CORP						Х		11/14/0	
JACO ELECTRONICS INC	NY	Х						07/24/0	
JACO ELECTRONICS INC	NY	Х						07/24/0	
JCC HOLDING CO	DE					X		11/13/0	
JDS UNIPHASE CORP /CA/	DE					Х	X	11/13/0	
JONES SODA CO	WY				X	Х		11/10/0	
KALAN GOLD CORP	CO			X		Х		11/06/0	
KEEBLER FOODS CO	DE				X	X		11/14/0	
KELLYS COFFEE GROUP INC	CO		Х			X		08/29/0	
KOALA CORP /CO/	CO				X	Х		11/07/0	
LABORATORY CORP OF AMERICA HOLDINGS	DE				X	Х		10/10/0	0
LANDACORP INC	DE				X	X		10/27/0	0
LAUNCH MEDIA INC	DE		Х			X		08/31/0	O AMEND
LEHMAN BROTHERS HOLDINGS INC	DE					X		11/14/0	כ
LEUCADIA NATIONAL CORP	NY				Χ	X		11/10/0)
LEVEL JUMP FINANCIAL GROUP INC	\mathtt{FL}	X				X		11/02/0	
LEVEL 3 COMMUNICATIONS INC	DE				X			11/07/0)
LIBERTY CORP	SC		Χ			Х		11/01/0	C
LIFEMINDERS INC	DE		Х			X		08/31/0	D AMEND
LIVEPERSON INC	DE		Х			X		10/12/0	O AMEND
LOEWEN GROUP INC					X	Х		11/14/0)
LOGIO INC	NV	Х	Х			X		10/31/0	
LOGISOFT CORP	DE				X			11/08/0	0
LOWES COMPANIES INC	NC .						Х	11/13/0)
LUNA MEDICAL TECHNOLOGIES INC	NV				X			09/20/0	כ
MAGNA ENTERTAINMENT CORP					X	Х		11/10/0)
MANAGEMENT NETWORK GROUP INC			Х			Х		08/30/0	D AMEND
MAXWELL SHOE CO INC	DE		Х			X		10/17/0)
MAYTAG CORP	DE			X				11/13/0)
MBNA AMERICA BANK NATIONAL ASSOCIAT	DE				X	X		10/31/0)
ION									
MBNA AMERICA BK NAT ASSOC MBNA MAST					X	X		10/31/0)
ER CREDIT CARD TRUST II									
MBNA CORP	MD				Х			10/31/0)
MBNA CORP	MD				Х			10/31/0)
MBNA CORP	MD				X			10/31/0	0
MBNA CORP	MD				Х			10/31/0)
MCCORMICK & CO INC	MD		Х			Х		08/31/0	O AMEND
MCM CAPITAL GROUP INC	DE				Х	X		11/03/0	
MELLON RESIDENTIAL FUNDING CORP MOR						Х		10/16/0	
T PAS THR SER 2000 TBC 3	- -							•	
MERCY DIALYSIS CENTER INC	WI				Х	Х		02/15/9	9
MERISEL INC /DE/	DE		Х					12/31/0	

	STATE			8K	ITEM	NO				
NAME OF ISSUER	CODE							9	DATE	COMMENT
MERRILL LYNCH MORTGAGE INVESTORS IN	DE				 х				11/02/0	0
C									,, _	
MERRILL LYNCH MORTGAGE INVESTORS IN	DE				Х	X			11/02/0	0
MGI PROPERTIES LIQUIDATING TRUST	MA				Х				11/03/0	0
MILLS CORP	DE					X		X	09/30/0	0
MIRADOR DIVERSIFIED SERVICES INC	NV	Х		2	ΚX	Х	X		01/31/0	0 AMEND
MITY ENTERPRISES INC	UT					X		X	11/13/0	0
MOHAWK INDUSTRIES INC	DE				X	X			11/14/0	0
MONEY STORE ASSET BACKED CERTIFICAT ES SERIES 1998-B					X	Х			10/16/0	0
MONEY STORE HOME EQUITY LOAN TRUST	NJ				X	Х			10/16/0	0
1998-A										
MONEY STORE HOME IMPROVEMENT LOAN B					X	X			10/16/0	0
MONEY STORE RESIDENTIAL TRUST 1998-	NJ				X	X			10/16/0	0
I										
MONEY STORE TRUST 1998 C					X	X			10/16/0	
MORGAN STANLEY AIRCRAFT FINANCE	DE				X				11/14/0	0
MYTURN COM INC	DE				X				11/10/0	
NATURAL WONDERS INC	DE		X			Х			09/11/0	
NEBCO EVANS HOLDING CO	DE				X	X			11/14/0	
NEOTHERAPEUTICS INC	DE				X	Х			09/21/0	
NETPLEX GROUP INC	NY				X				09/30/0	
NETPLEX GROUP INC	NY				X				11/10/0	
NETSILICON INC	MA					Х			08/31/0	
NET4MUSIC INC	MN			2	X	X			11/06/0	
NEW ENGLAND LIFE PENSION PROPERTIES	MA		X			X			10/31/0	0
III										_
NEW ENGLAND LIFE PENSION PROPERTIES	MA		X			Х			10/31/0	0
IV										
NEW FOCUS INC	CA				X	Х			10/25/0	
NEW HILARITY INC	ID			2	X	X	X		11/08/0	
NEW YORK DIALYSIS MANAGEMENT INC	NY				X	Х			02/15/9	
NEWMIL BANCORP INC	DE				X				11/13/0	
NEXTPATH TECHNOLOGIES INC	NV				X				10/27/0	
NHANCEMENT TECHNOLOGIES INC	DE				Х				11/13/0	
NORDSON CORP	OH		X			Х			09/21/0	
NORTH BUCKNER DIALYSIS CENTER INC	DE				X	Х			02/15/9	
NORTHERN NEW JERSEY DIALYSIS LLC	OK				Х	Х			02/15/9	
NOVELL INC	DE				X				11/13/0	
NUEVO ENERGY CO	DE					X		Х	11/13/0	
NVR INC	VA				Х	X			11/10/0	
NXGEN NETWORKS INC	VИ					Х			08/31/0	
OBLIGATION FUTURES INC	VИ	Х	X			X			11/06/0	
OCWEN ASSET INVESTMENT CORP	VA				X	Х			11/14/0	
OIL DRI CORPORATION OF AMERICA	DE				X	X			11/13/0	
OMNI NUTRACEUTICALS	UT				Х	X			11/07/0	
ORIGIN INVESTMENT GROUP INC	MD			2	X				09/27/0	
OSICOM TECHNOLOGIES INC	NJ	X							11/14/0	
PACER INTERNATIONAL INC/TN	TN				X				10/31/0	
PACIFIC WEBWORKS INC	ИV	Х	X			X			10/31/0	
PACKARD BIOSCIENCE CO	DE	Х							12/31/0	0 AMEND

NAME OF ISSUER	STATE		2	8K I			۵	DATE (COMMENT
NAME OF ISSUER									
PARK PHARMACY CORP	CO		X			Х		11/13/00	
PARTS COM INC	ИV				X			11/06/00	
PARTS COM INC	NV				X			11/06/00	
PEGASUS COMMUNICATIONS CORP	DE				X	X	Х	11/09/00	
PEOPLES BANCORP INC	OH	X						11/13/00	
PEOPLES ENERGY CORP	${\tt IL}$		X					12/31/00	
PEREGRINE SYSTEMS INC	DE				X			11/10/00	
PEREGRINE SYSTEMS INC	DE				X			11/14/00	
PERMA FIX ENVIRONMENTAL SERVICES IN	DE					Х		08/31/00) AMEND
PETRIE STORES LIQUIDATING TRUST	NY				Х	Х		11/10/00)
PHARMACOPEIA INC	DE				••	Х		10/06/00	
PIVOTAL CORP	םם				Х	Х		11/10/00	
POTTERS FINANCIAL CORP	ОН				X	X		11/10/00	
PRECISION AUTO CARE INC	VA			Х		X		11/13/00	
					Х	Λ		11/07/00	
PRIMA ENERGY CORP	DE					v			
PRIMEDIA INC	DE				X	X		11/10/00	
PRIMEX TECHNOLOGIES INC	VA				X	X		11/08/00	
PROSPERITY BANCSHARES INC	TX				X	X		11/08/00	
PUBLIC SERVICE CO OF NEW MEXICO	NM				X			11/13/00	
PUBLIC SERVICE CO OF NEW MEXICO	NM						Х	11/14/00	
QUALITY STORES INC	DE				X	X		11/08/00	
QUEST NET CORP	\mathtt{FL}			X				11/07/00	
RADIAN GROUP INC	DE				X	X		11/13/00	
RECOTON CORP	NY				X	X		10/31/00	
REDBACK NETWORKS INC	DE				X	X		11/13/00)
RELIANCE GROUP HOLDINGS INC	DE				X	X		11/10/00)
RESIDENTIAL ASSET MORTGAGE PRODUCTS	DE					Х		11/13/00)
INC RESIDENTIAL FUNDING MORTGAGE SECURI	DE				х	х		11/10/00)
TIES I INC	20				••	••		11, 10, 0	-
RITE AID CORP	DE				Х	Х		11/08/0	1
RIVER HOLDING CORP	DE		Х		21	X		10/28/00	
	NV		Λ		Х	X		11/10/00	
ROWE COMPANIES RSL COMMUNICATIONS LTD	14 A				X	X		11/10/00	
SAFETY COMPONENTS INTERNATIONAL INC	DE				X	Λ		10/10/00	
					X			11/14/0	
SAFETY KLEEN CORP/	DE					v		11/13/0	
SAMES CORP	DE				X	X			
SAPIENT CORP	DE					X		08/28/00	
SARATOGA BRANDS INC	NY			X				11/10/00	
SAUER DANFOSS INC	DE				X			11/09/0	
SAWTEK INC \FL\	${ t FL}$				X	X		11/14/0	
SEAGATE TECHNOLOGY INC	DE				X	X		10/30/0	
SEALED AIR CORP/DE	DE						X	11/14/0	
SECTOR COMMUNICATIONS INC	NV		X					10/30/0	
SECTOR COMMUNICATIONS INC	NV				X			11/06/0	כ
SECTOR COMMUNICATIONS INC	NV						Х	11/08/0)
SECURITY CAPITAL GROUP INC/	MD					Х	Х	11/14/0)
SEIBELS BRUCE GROUP INC	sc				X			11/13/0)
SEQUOIA MORT TRUST 4 MORT LOAN ASSE	DE				X	X		10/23/0	0
	חב					Y	v	11/13/0	· ·
SHEFFIELD PHARMACEUTICALS INC	MN	х	х		Х	X	Λ	11/13/00	
T BACK PASS-THROUGH CERT SHEFFIELD PHARMACEUTICALS INC	DE	x	x			х	x	11/13/0	O

NAME OF ISSUER	STATE CODE			8K ITEM 3 4 5 6		9	DATE COM	ÆNT
SHOP AT HOME INC /TN/	TN			х			06/30/01	
SHOW AT HOME INC / IN/ SHOWCASE CORP /MN	MN			X	Х		11/07/00	
SILVER RAMONA MINING CO	ID	х		X			05/09/00	
SIMON PROPERTY GROUP INC /DE/	DE	••		X	Х		11/13/00	
SIMON PROPERTY GROOT THE 7 DEF	CO			X			11/14/00	
SMITH GARDNER & ASSOCIATES INC	FL			X			11/10/00	
SOCRATES TECHNOLOGIES CORP	DE	•		X			10/24/00	
SOFTLOCK COM INC	DE			X			11/10/00	
SOFTNET SYSTEMS INC	DE			X			10/26/00	
SONIC FOUNDRY INC	MD				X		08/28/00 A	MEND
SOUTHERN ENERGY INC	DE		X		Х		10/30/00	
SOVEREIGN SPECIALTY CHEMICALS INC	DE		X		Х		10/31/00	
SPORT SUPPLY GROUP INC	DE		Х				11/09/00	
STAMPEDE WORLDWIDE INC	GA.			х			11/13/00	
STAN LEE MEDIA INC	CO				Х		09/11/00 A	MEND
STAN LEE MEDIA INC	co			х	X		11/07/00	
STARTEC GLOBAL COMMUNICATIONS CORP	DE			X	X		11/02/00	
STATEFED FINANCIAL CORP	DE			X	X		10/25/00	
STREAMLINE COM INC	DE			X	Х		11/13/00	
STRUDS INC	DE			X	X		11/14/00	
STRUCTURED ASSET SECURITIES CORP	DE			X	Х		10/30/00	
	DE				**	X	11/10/00	
SUNDOG TECHNOLOGIES INC	MD			хх		**	11/14/00	
SUNTERRA CORP	DE			X	Х		11/09/00	
SWWT INC	VA		Х		X		10/26/00	
T REIT INC	GA		Λ	X	X		11/09/00	
T/R SYSTEMS INC				X	Λ		11/03/00	
TECHNOLOGY FUNDING MEDICAL PARTNERS I L P								
TECHNOLOGY FUNDING PARTNERS III L				X			11/03/00	
TECHNOLOGY FUNDING SECURED INVESTOR	R CA			Х			11/03/00	
TECHNOLOGY FUNDING SECURED INVESTOR	R CA			Х			11/03/00	
TECHNOLOGY FUNDING SECURED INVESTOR	R CA			Х			11/03/00	
S III TECHNOLOGY FUNDING VENTURE CAPITAL	DE			Х			11/03/00	
FUND VI LLC TECHNOLOGY FUNDING VENTURE PARTNERS	DE			X			11/03/00	
IV TECHNOLOGY FUNDING VENTURE PARTNERS	5 DE			x			11/03/00	
V	DE			х	х		11/13/00	
TELECORP PCS INC	DE			X	X		11/13/00	
TELECORP TRITEL HOLDING CO	מת			Λ	X	v	11/13/00	
THOMAS INDUSTRIES INC	DE	Х			Λ	Λ	10/26/00	
TIDALWAVE HOLDINGS INC	FL	Λ		х			11/07/00	
TMP WORLDWIDE INC	DE				х		11/07/00	
TOTAL ENTERTAINMENT RESTAURANT CORI				X	Λ		10/30/00	
TRANS WORLD ENTERTAINMENT CORP	NY			X	v		10/30/00	
TRITEL INC	DE			Х	Х	v		
TRITON ENERGY LTD	E9			••		Х	11/14/00	
TRUSTCO BANK CORP N Y	NY			X	17		11/09/00	
U S INTERACTIVE INC/PA	DE			X	Х		11/09/00	
UACSC AUTO TRUSTS	NY			Х	Х		11/10/00	

	STATE						NO.			
NAME OF ISSUER	CODE	1	2	3 4	4 !	5 6	7 8 	9	DATE	COMMENT
UGI CORP /PA/	PA					 {			11/10/0	0
ULTRAMAR DIAMOND SHAMROCK CORP	DE		X						08/31/0	0 AMEND
	DE			7	X		Х		10/31/0	0 AMEND
UNIVERSAL CORP /VA/	VA						X		09/13/0	0
URBANA CA INC	NV	X							05/27/0	0
URSUS TELECOM CORP	${ t FL}$				2	K	X		10/27/0	10
USA GROUP SECONDARY MARKET SERVICES	DE				2	K			09/30/0	0
INC										
VARI LITE INTERNATIONAL INC	DE		X				X		10/26/0	0
VIALINK CO	DE							X	11/14/0	0
VIALOG CORP	MA				2	X	Х		11/09/0	
VION PHARMACEUTICALS INC	DE				2	X	Х		11/13/0	
VIPC COMMUNICATIONS INC	DE				2	X				0 AMEND
VSOURCE INC	NV				2	X	X		10/30/0	
WAREFORCE COM INC	CA		X				Х		06/02/0	
WAVE SYSTEMS CORP	DE		X				X			0 AMEND
WEBLINK WIRELESS INC	DE				7	X	X		11/13/0	00
WELLS FARGO ASSET SECURITIES CORP	DE				2	X	X		11/13/0	
WESTERN MULTIPLEX CORP	DE				2	X	X		11/13/0	
WESTINGHOUSE AIR BRAKE TECHNOLOGIES CORP	DE				2	X	Х		11/13/0	00
WFS RECEIVABLES CORP	CA						X		11/09/0	0
WILLIS LEASE FINANCE CORP	DE				2	X	X		11/07/0	00
WINSTAR COMMUNICATIONS INC	DE				7	X	X		11/07/0	0
WKI HOLDING CO INC	DE				:	X	X		11/14/0	0
WORLD COLLECTIBLES INC	DE						X		08/07/0	0 AMEND
WORLDBID CORP	NV			:	X		X		11/06/0	0
WRC MEDIA INC	DE				3	X	X		11/10/0	0
WSKC DIALYSIS SERVICES INC	IL					X	Х		02/15/9	9
XAIBE INC	NV	X	Х			X	X		09/13/0	0
2DOBIZ COM INCICES INC	NV	X							10/24/0	0
800 AMERICA COM INC	NV		X				X		11/10/0	0