SEC NEWS DIGEST

Issue 2000-200

N

October 18, 2000

ENFORCEMENT PROCEEDINGS

ADMINISTRATIVE SANCTION IMPOSED AGAINST ASIF AMEEN

On October 17, the Commission entered an Administrative Order Making Findings and Imposing Remedial Sanctions against Asif Ameen (Ameen) of Wichita, Kansas. The Order makes findings that from approximately 1992 through mid 1997, Ameen, a Bangladesh native who was then employed as a registered representative at Primeline Securities Corp., a Wichita, Kansas broker-dealer, operated an investment program in which he paid investors their promised returns with funds that he obtained from subsequent investors. The Order also finds that Ameen converted to his own use funds from the securities accounts of many of his Primeline customers. The Order further finds that Ameen was convicted on April 16, 1998 of four state counts of felony theft and one state count of securities fraud related to his thefts, and that on December 14, 1998 he pleaded no contest to, and was judged guilty on five additional felony theft and securities fraud charges related to his actions. The Order, to which Ameen consented, bars him from association with any broker or dealer. (Rel. 34-43449; File No. 3-9906)

ADMINISTRATIVE SANCTIONS IMPOSED AGAINST BRUCE BERTSCH

On October 17, the Commission entered an Administrative Order Making Findings and Imposing Remedial Sanctions against Bruce W. Bertsch (Bertsch) of Valley Center, Kansas. The Order makes findings that from approximately 1994 through mid 1997, Bertsch, while employed at Primeline Securities Corp., a registered broker-dealer based in Wichita, Kansas, was responsible for supervising Asif Ameen (Ameen), a registered representative at that firm who stole funds from his clients. The Order finds that Bertsch failed to reasonably supervise Ameen with a view to preventing Ameen's violations of the antifraud provisions of the federal securities laws in connection with his thefts. The Order, to which Bertsch consented, suspends Bertsch from association with any broker or dealer for three months, bars him from association in a supervisory or proprietary capacity with any broker or dealer with a right to reapply for such association after two years, and imposes a civil money penalty of \$10,000. (Rel. 34-43450; File No. 3-9906)

JEROME BECHSTEIN ENJOINED AND FINED FOR FRAUD IN TOWNE BANCORP'S OFFERING

On October 4, an injunctive Order was entered in a case filed by the U.S. Securities and Exchange Commission against Jerome C. Bechstein, a resident of Bowling Green, Ohio, for fraud in the sale of Towne Bancorp, Inc. stock. Under the Order, Bechstein, a former president and director of Towne Bancorp, is enjoined from violating antifraud provisions of the federal securities laws, and must pay a civil penalty of \$25,000. Bechstein consented to the entry of the Order without admitting or denying the allegations in the complaint.

The complaint alleges that Towne Bancorp's registered "mini-max" offering required the company to raise \$4 million by June 30, 1994, subject to an extension, or else the offering would terminate and all funds paid by subscribers would be returned with interest. Towne Bancorp raised only \$2.5 million by June 30, 1994 and it did not extend the However, Bechstein failed to return funds to investors as promised and offering. improperly continued to sell Towne Bancorp stock subscriptions through November 1995. During that time, Bechstein failed to disclose to investors that the offering was not extended past June 30, 1994; that investors' funds were not returned; and that Towne Bancorp, thus, had a significant outstanding liability. Further, Towne Bancorp, through Bechstein, did not properly report the outstanding liability with the Commission. The complaint specifically alleges that Bechstein violated Sections 5(b), 10(a)(3) and 17(a) of the Securities Act of 1933, Section 10(b) of the Securities Exchange Act of 1934 (Exchange Act), and Rules 10b-5 and 10b-9 promulgated thereunder, and from aiding and abetting an issuer's violations of Section 13(a) of the Exchange Act and Rules 12b-20, 13a-1 and 13a-13 promulgated thereunder. [SEC v. Jerome Bechstein, N.D.Oh., Civil Action No. 3:99CV7591] (LR-16771)

CORRECTION

A News Digest item that was published on August 17, 2000, under the heading "FORMER OFFICERS OF ALIAS RESEARCH, INC. ENJOINED; COMMISSION BARS WILLIAM McCLINTOCK" contained an error.

The first two sentences of the third paragraph of the item should have read: "On July 24, 2000, the court permanently enjoined McClintock from violating Section 17(a) of the Securities Act and Sections 10(b) and 13(b)(5) of the Exchange Act and Rules 10b-5, 13b2-1 and 13b2-2 thereunder. The final judgment also prohibits McClintock for a period of five years from acting as an officer or director of a public company."

INVESTMENT COMPANY ACT RELEASES

EATON VANCE MANAGEMENT, ET AL.

An order has been issued on an application filed by Eaton Vance Management, et al. exempting applicants from Sections 18(c) and 18(i) of the Investment Company Act, and pursuant to Section 17(d) of the Act and Rule 17d-1 under the Act. The order permits certain registered closed-end management investment companies to issue multiple classes of shares, and would amend a prior order that permits the imposition of asset-based distribution fees. (Rel. IC-24689 – October 16)

HOLDING COMPANY ACT RELEASES

IES UTILITIES, INC.

A notice has been issued giving interested persons until November 7, 2000, to request a hearing on a proposal by IES Utilities, Inc., a wholly owned public utility subsidiary of Alliant Energy Corporation, a registered holding company, to extend the time in which it may issue its notes and debentures in an aggregate principal amount of up to \$200 million and provide related collateral, from December 31, 2000 through June 30, 2004. (Rel. 35-27251)

INTERSTATE POWER COMPANY

A notice has been issued giving interested persons until November 7, 2000, to request a hearing on a proposal by Interstate Power Company, a wholly owned public utility subsidiary of Alliant Energy Corporation, a registered holding company, to extend the time in which it may issue its first mortgage bonds and debentures and issue notes in connection with the sale of tax-exempt bonds in an aggregate principal amount of up to \$80 million, from December 31, 2000 through June 30, 2004. (Rel. 35-27251)

ALABAMA POWER COMPANY, ET AL.

A notice has been issued giving interested persons until November 7, 2000, to request a hearing on a proposal by Alabama Power Company, Georgia Power Company, Gulf Power Company and Mississippi Power Company, each an electric public utility subsidiary company of the Southern Company, a registered holding company, to solicit proxies from their common and preferred shareholders and to amend their articles of incorporation. The Commission has issued an order authorizing the proposed solicitation of proxies, effective immediately, under Rule 62(d). (Rel. 35-27252)

WGL HOLDINGS, ET AL.

An order has been issued authorizing a proposal by WGL Holdings (WGL), currently a wholly owned subsidiary of Washington Gas Light Company (Washington Gas), a gas utility company not currently subject to the Act, and Washington Gas' nonutility

subsidiary companies, Hampshire Gas Company, Crab Run Gas Company, Brandywood Estates, Inc., Washington Gas Resources Corp. and Primary Investors, LLC have filed an application-declaration requesting authorization through March 31, 2004 for a series of financings and activities including hedging transactions, a money pool agreement, changes in capital stock of subsidiaries, payment of dividends out of capital or unearned surplus, energy consumer financing activities, services agreements, creation of certain subsidiary companies and issuance of common stock, preferred stock, debentures and guarantees. Washington Gas intends to reorganize with WGL becoming a holding company over Washington Gas. WGL will register under the Act. (Rel. 35-27253)

THE SOUTHERN COMPANY, ET AL.

A notice has been issued giving interested persons until November 10, 2000, to request a hearing on a proposal by The Southern Company, a registered holding company, and its subsidiaries, Mobile Energy Services Holdings, Inc. and Mobile Energy Services Company, L.L.C. requesting that the SEC issue (1) an order under Section 11(f) of the Act approving the amended Joint Plan of Reorganization and certain related transactions and (2) a report on the amended Joint Plan of Reorganization under Section 11(g) that may accompany a solicitation of creditors and any other interest holders for approval of the amended Joint Plan of Reorganization in the bankruptcy proceedings. (Rel. 35-27254)

SELF-REGULATORY ORGANIZATIONS

IMMEDIATE EFFECTIVENESS OF PROPOSED RULE CHANGES

A proposed rule change (SR-PHLX-00-83) filed by the <u>Philadelphia Stock Exchange</u> to distribute Quality of Execution Reports to specialists and order flow providers, has become immediately effective under Section 19(b)(3)(A) of the Securities Exchange Act. Publication of the proposal is expected in the <u>Federal Register</u> during the week of October 16. (Rel. 34-43436)

A proposed rule change (SR-NYSE-00-40) submitted by the <u>New York Stock Exchange</u> regarding Section 804 of the NYSE Listed Company Manual has become effective under Section 19(b)(3)(A) of the Securities Exchange Act of 1934. Publication of the proposal is expected in the <u>Federal Register</u> during the week of October 16. (Rel. 34-43442)

A proposed rule change (SR-CHX-00-32) filed by the <u>Chicago Stock Exchange</u> relating to partial automatic executions of Nasdaq/NM securities (SR-CHX-00-32) has become immediately effective and operative under Section 19(b)(3)(A) of the Securities Exchange Act. Publication of the proposal is expected in the <u>Federal Register</u> during the week of October 16. (Rel. 34-43444)

PROPOSED RULE CHANGES

A proposed rule change has been filed by the <u>National Association of Securities Dealers</u> (SR-NASD-00-55) relating to the delivery requirement of a margin disclosure statement to non-institutional customers. Publication of the proposal is expected in the <u>Federal</u> Register during the week of October 16. (Rel. 34-43441)

A proposed rule change has been filed by the <u>National Securities Clearing Corporation</u> (SR-NSCC-00-05) to allow NSCC to modify its rules to permit additional types of investment products to be processed through NSCC's Mutual Fund Services. Publication of the proposal is expected in the <u>Federal Register</u> during the week of October 23. (Rel. 34-43447)

ACCELERATED APPROVAL OF PROPOSED RULE CHANGE

The Commission granted accelerated approval to a proposed rule change submitted by the <u>Chicago Board Options Exchange</u> (SR-CBOE-00-35) relating to manual handling of RAES orders in certain limited situations. Publication of the proposal is expected in the <u>Federal Register</u> during the week of October 23. (Rel. 34-43448)

SECURITIES ACT REGISTRATIONS

The following registration statements have been filed with the SEC under the Securities Act of 1933. The reported information appears as follows: Form, Name, Address and Phone Number (if available) of the issuer of the security; Title and the number and/or face amount of the securities being offered; Name of the managing underwriter or depositor (if applicable); File number and date filed; Assigned Branch; and a designation if the statement is a New Issue.

Registration statements may be obtained in person or by writing to the Commission's Public Reference Branch at 450 Fifth Street, N.W., Washington, D.C. 20549 or at the following e-mail box address: vpublicinfo@sec.gov>. In most cases, this information is also available
on the Commission's website:

S-1 DENDREON CORP, 3005 FIRST AVENUE, SEATTLE, WA 98121 (206) 254-545 -2,875,000 (\$61,065,000) COMMON STOCK. (FILE 333-47706 - OCT. 11) (BR. 1)

S-4 PLUM CREEK TIMBER CO INC, 999 THIRD AVE, STE 2300, SEATTLE, WA 98104
 (206) 467-3600 - 119,322,523 (\$85,000,000) COMMON STOCK. (FILE 33347708 OCT. 11) (BR. 8)

EXELIXIS INC, 260 LITTLEFIELD AVENUE, SOUTH SAN FRANCISCO, CA 94080 S-4 (650) 825-2200 - 2,612,764 (\$16,982,966) COMMON STOCK. (FILE 333-47710

OCT. 11) (BR. 1)

- STARBAND COMMUNICATIONS INC, 1760 OLD MEADOW ROAD, MCLEAN, VA 22102 S-1 (703) 287-3000 - \$287,500,000 COMMON STOCK. (FILE 333-47712 - OCT. 11) (BR. 37 - NEW ISSUE)
- PUBLIC SERVICE ENTERPRISE GROUP INC, 80 PARK PLZ, P O BOX 1171, s-3

SAVINGS BANCORP INC, 118 N COURT ST, CIRCLEVILLE, OH 43113 S-4 (740) 474-3191 - \$22,926,000 COMMON STOCK. (FILE 333-47716 - OCT. 11) (BR. 7 - NEW ISSUE)

NEOSE TECHNOLOGIES INC, 102 WITMER RD, HORSHAM, PA 19044 (215) 441-S-8 5890 - 690,000 (\$31,966,320) COMMON STOCK. (FILE 333-47718 - OCT. 11) (BR.

1)

- SINA COM, 1313 GENEVA DRIVE, SUNNYVALE, CA 94089 (408) 548-0000 -S-8 2,621,469 (\$24,985,876.41) FOREIGN COMMON STOCK. (FILE 333-47720 -OCT. 11) (BR. 3)
- ALTERA CORP, 101 INNOVATION DR, SAN JOSE, CA 95134 (408) 544-8000 -S - 8173,945 (\$4,666,477.81) COMMON STOCK. (FILE 333-47722 - OCT. 11) (BR. 5)
 - DATALINK NET INC, 1735 TECHNOLOGY WAY, STE 790, SAN JOSE, CA 95125 s-3 (408) 367-1700 - 172,500 (\$1,617,187.50) COMMON STOCK. (FILE 333-47724

OCT. 11) (BR. 7)

- PLANETRX COM, 349 OYSTER POINT BLVD, STE 201, SOUTH SAN FRANCISCO, S-1CA 94080 (650) 616-1500 - 100,000,000 (\$51,901,250) COMMON STOCK. (FILE 333-47730 - OCT. 11) (BR. 2)
- OMNISKY CORP, 299 CALIFORNIA AVENUE, SUITE 300, PALO ALTO, CA 94306 S-8 14,408,563 (\$109,150,492) COMMON STOCK. (FILE 333-47734 - OCT. 11) (BR. 7)

GOAMERICA INC, C/O GOAMERICA, INC., 401 HACKENSACK AVENUE, S-8 HACKENSACK,

NJ 07601 (201) 996-7310 - 10,678,000 (\$59,321,235.38) COMMON STOCK. (FILE

333-47736 - OCT. 11) (BR. 7)

POPMAIL COM INC, 1331 CORPORATE DR, SUITE 350, IRVING, TX 75038 S-8 (972) 550-5500 - 694,965 (\$282,156) COMMON STOCK. (FILE 333-47738 -OCT. 11) (BR. 5)

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NEWARK, NJ 07101 (973) 430-7000 - 500,000,000 (\$500,000,000) STRAIGHT BONDS. (FILE 333-47714 - OCT. 11) (BR. 2)

GLOBAL TECHNOLOGIES LTD, 1811 CHESTNUT STREET, SUITE 120, S-3PHILADELPHIA, PA 19103 (215) 972-8191 - 541,314 (\$1,759,270.50) COMMON STOCK. (FILE 333-47740 - OCT. 11) (BR. 7) ABSOLUTEFUTURE COM, 10900 N.E. 8TH STREET, SUITE 1414, CENTRAL S-8 PLAZA, BELLEVUE, WA 98004 (425) 462-6210 - 3,000,000 (\$2,949,512.50) COMMON STOCK. (FILE 333-47742 - OCT. 11) (BR. 9) SAN DIEGO SOCCER DEVELOPMENT CORP, 2123 GARNET AVENUE STE B, SAN S-4DIEGO, CA 92109 (619) 581-2120 - 7,212,775 (\$2,524,471.25) COMMON STOCK. (FILE 333-47746 - OCT. 11) (BR. 1) ALABAMA NATIONAL BANCORPORATION, 1927 FIRST AVENUE NORTH, S-8 BIRMINGHAM, AL 35209 (205) 583-3600 - 300,000 (\$6,225,000) COMMON STOCK. (FILE 333-47748 - OCT. 11) (BR. 7) ICOS CORP / DE, 22021-20TH AVENUE S.E.,, BOTHELL, WA 98021 s-3 (206) 485-1900 - 5,750,000 (\$273,843,750) COMMON STOCK. (FILE 333-47750 -OCT. 11) (BR. 1) MANNATECH INC, 600 SOUTH ROYAL LANE, SUITE 200, COPPELL, TX 75019 S-8 (972) 471-7400 - 2,000,000 (\$4,687,500) COMMON STOCK. (FILE 333-47752 OCT. 11) (BR. 1) EOP OPERATING LTD PARTNERSHIP, TWO NORTH RIVERSIDE PLAZA, SUITE s-3 2100, CHICAGO, IL 60606 (312) 466-3300 - \$325,000,000 COMMON STOCK. (FILE 333-47754 - OCT. 11) (BR. 8) TECHSYS INC, 44 ASPEN DRIVE, LIVINGSTON, NJ 07039 (973) 422-1666 -S-8 3,500,000 (\$28,770,000) COMMON STOCK. (FILE 333-47756 - OCT. 11) (BR. 8) KOSAN BIOSCIENCES INC, 3832 BAY CENTER PLACE, HAYWARD, CA 94545 S-8 (510) 732-8400 - 2,934,048 (\$27,885,460.92) COMMON STOCK. (FILE 333-47758 - OCT. 11) (BR. 1) GLOBAL SPORTS INC, 1075 FIRST AVE, RTE 3 INDUSTRIAL PARK, S-8 KING OF PRUSSIA, PA 19406 (610) 265-3229 - 900,000 (\$6,356,250) COMMON STOCK. (FILE 333-47760 - OCT. 11) (BR. 6) RADIO ONE INC, 5900 PRINCESS GARDEN PARKWAY, 8TH FL, LANHAM, MD s-3 20706 (301) 306-1111 - 310,000 (\$310,000,000) CONVERTIBLE DEBENTURES AND NOTES. (FILE 333-47762 - OCT. 11) (BR. 7)

S-3 TRIZETTO GROUP INC, 567 NICHOLAS DRIVE SUITE 360, NEWPORT BEACH, CA 92660 (949) 719-2200 - 598,784 (\$9,562,580.48) COMMON STOCK. (FILE 333-47764 - OCT. 11) (BR. 3)

S-8 CHARLES RIVER LABORATORIES INTERNATIONAL INC, 261 BALLARDVALE STREET,

WILMINGTON, MA 01867 (978) 658-6000 - 3,073,384 (\$39,139,933) COMMON STOCK.

(FILE 333-47768 - OCT. 11) (BR. 1)

S-1 CIDRA CORP, 50 BARNES PARK NORTH, WALLINGFORD, CT 06492 (203) 265-0035 - \$150,000,000 COMMON STOCK. (FILE 333-47770 - OCT. 11) (BR. 36)

- S-4 QWEST CORP, 1801 CALIFORNIA ST, SUITE 2950, DENVER, CO 80202
 (303) 896-3099 1,000,000,000 (\$1,000,000,000) STRAIGHT BONDS. (FILE
 333-47772 OCT. 11) (BR. 7)
- S-8 NETJ COM CORP, 34700 PACIFIC COAST HWY, SUITE 303, CAPISTRANO BEACH, CA 92624 (949) 248-8933 - 3,000,000 (\$3,450,000) COMMON STOCK. (FILE

333-47776 - OCT. 11) (BR. 9)

- S-8 QINNET COM INC, 450 NORTH BRAND BOULEVARD, 6TH FLOOR, GLENDALE, CA
 91203
 (818) .29-1.62 260,000 (\$2,600,000) COMMON STOCK. (FILE 333-47778 OCT. 11) (BR. 9)
- SB-2 ULTRAMED INTERNATIONAL INC, 1580 LEMOINE AVE, FORT LEE, NJ 07024 (201) 592-0634 - 1,500,000 (\$1,500,000) COMMON STOCK. (FILE 333-47780

OCT. 11) (NEW ISSUE)

S-8 DIGENE CORP, 1201 CLOPPER ROAD, GAITHERSBURG, MD 20878 (301) 944-7000 -1,000,000 (\$33,250,000) COMMON STOCK. (FILE 333-47782 - OCT. 11) (BR. 1)

S-8 CALLON PETROLEUM CO, 200 N CANAL ST, NATCHEZ, MS 39120 (601) 442-1601 -1,300,000 (\$21,490,625) COMMON STOCK. (FILE 333-47784 - OCT. 11) (BR.

4)

S-8 APW LTD, N22 W23685 RIDGEVIEW PKWY WEST, WAUKESHA, WI 53188 (262) 523-7600 - 1,000,000 (\$46,750,000) COMMON STOCK. (FILE 333-47786

OCT. 11) (BR. 5)

S-8 APW LTD, N22 W23685 RIDGEVIEW PKWY WEST, WAUKESHA, WI 53188 (262) 523-7600 - 200,000 (\$8,264,943.33) COMMON STOCK. (FILE 333-47788

OCT. 11) (BR. 5)

S-8 APW LTD, N22 W23685 RIDGEVIEW PKWY WEST, WAUKESHA, WI 53188 (262) 523-7600 - 1,000,000 (\$46,750,000) COMMON STOCK. (FILE 333-47790)

OCT. 11) (BR. 5)

- THERASENSE INC, 1360 S LOOP RD, SUITE 2000, SAN FRANCISCO, CA 94502 S-1 (510) 749-5400 - \$86,250,000 COMMON STOCK. (FILE 333-47792 - OCT. 11)
- ATHERSYS INC /OH, 3201 CARNEGIE AVENUE, 216-231-9911, CLEVELAND, OH S-1 44115 (216) 431-9900 - \$115,000,000 COMMON STOCK. (FILE 333-47802 -OCT. 12)

RECENT 8K FILINGS

Form 8-K is used by companies to file current reports on the following events:

Item 1. Changes in Control of Registrant.

- Item 2. Acquisition or Disposition of Assets.
- Item 2. Acquisition of Disposition of Assets.
 Item 3. Bankruptcy or Receivership.
 Item 4. Changes in Registrant's Certifying Accountant.
 Item 5. Other Materially Important Events.
 Item 6. Resignations of Registrant's Directors.
 Item 7. Financial Statements and Exhibits.
 Item 8. Change in Fiscal Year.
 Item 9. Regulation S Offerings.

The following companies have filed 8-K reports for the date indicated and/or amendments to 8-K reports previously filed, responding to the item(s) of the form specified. 8-K reports may be obtained in person or by writing to the Commission's Public Reference Branch at 450 Fifth Street, N.W., Washington, D.C. 20549 or at the following e-mail box address: <pre

NAME OF ISSUER	STATE CODE	12		ITE 4 5		ю. 8	9	DATE	COMMENT
AAMES FINANCIAL CORP/DE	DE			х	Х			09/30/	00
ABIOMED INC	DE			Х				09/21/	00
ADVANCE PARADIGM INC	DE	Х			Х			10/02/	00
ADVANTA BUSINESS SERVICES CORP	DE				Х			10/11/	00
ADVANTA BUSINESS SERVICES CORP	DE				Х			10/11/	00
ADVANTA EQUIPMENT LEASING RECEIVABL	NV				Х			10/11/	00
ES SERIES 2000-1 LLC									
ADVANTA LEASING RECEIVABLES CORP IV	NV				Х			10/11/	00
ADVANTA LEASING RECEIVABLES CORP IX	NV				Х			10/11/	00
ADVANTA LEASING RECEIVABLES CORP V	NV				Х	2		10/11/	00
ADVANTA LEASING RECEIVABLES CORP VI	NV				Х			10/11/	00
II									
ADVO INC	DE			Х	Х	<u> </u>		10/10/	00
AIRCRAFT FINANCE TRUST	DE			Х				10/16/	00
ALLIED PRODUCTS CORP /DE/	DE		Х	Х	Х	Σ.		10/02/	00
ALLIED RISER COMMUNICATIONS CORP	DE			Х	Х	ζ.		10/09/	00
AMERICAN EAGLE OUTFITTERS INC	DE			Х	X	ζ.		10/05/	00
AMERICAN EXPRESS CENTURION BANK	UT			Х	Х	Κ		10/16/	0.0
AMERICAN EXPRESS CREDIT ACCOUNT MAS TER TRUST	DE			х	Х	X		10/16/	00
AMERICAN EXPRESS RECEIVABLES FINANC ING CORP	DE			Х	X	2		10/16/	00

	STATE		SK ITEM			
NAME OF ISSUER	CODE	12	3456	18	9 DATE	COMMENT
			 V	·	10/16/	
AMERICAN EXPRESS RECEIVABLES FINANC ING CORP II	DE		Х	Х	10/16/	00
AMERICREDIT AUTOMOBILE RECEIVABLES TRUST 1999-D	DE		Х	Х	10/13/	00
AMERICREDIT AUTOMOBILE RECEIVABLES	DE		Х	Х	10/13/	00
TRUST 2000 C AMERICREDIT AUTOMOBILE RECEIVABLES	DE		х	х	10/13/	00
TRUST 2000-A AMERICREDIT AUTOMOBILE RECEIVABLES	DE		х	x	10/13/	00
TRUST 2000-B						
AMSOUTH BANCORPORATION	DE		Х	х	10/17/	
ARIAD PHARMACEUTICALS INC	DE		Х	Х	10/16/	
ARQULE INC	DE		Х	Х	08/14/	
ASSOCIATES FIRST CAPITAL CORP	DE		Х	Х	10/17/	
ATMI INC	DE	Х		Х	10/13/	00
BANC OF AMERICA COMMERCIAL MORTGAGE	DE		Х	Х	10/16/	00
INC						
BANC ONE CREDIT CARD MASTER TRUST	NY		Х	Х	10/16/	00
BANK OF AMERICA MORTGAGE SECURITIES	DE		Х	х	10/17/	00
INC						
BANK OF NEW YORK CO INC	NY		Х	х	09/30/	00
BANKERS TRUST CORP	NY	х		X	09/29/	
	DE	••	Х		10/10/	
BAYCORP HOLDINGS LTD	DE		x	Х	10/17/	
BELL & HOWELL CO/	CA		11	x		00 AMEND
BELL MICROPRODUCTS INC		х		X	10/02/	
BELLSOUTH CORP	GA	Λ	v	Λ	10/02/	
BICO INC/PA	PA		X	.,	10/12/	
BLUEFLY INC	NY		Х	X		
BOSTON PROPERTIES INC	DE		Х	X	10/16/	
BOWLIN OUTDOOR ADVERTISING & TRAVEL	NM		Х	Х	10/04/	00
CENTERS INC						
CAPSTONE TURBINE CORP	CA		Х	Х	08/02/	
CATERPILLAR INC	DE		Х		10/17/	
CC MASTER CREDIT CARD TRUST	MD			Х	10/16/	
CC MASTER CREDIT CARD TRUST II	MD			Х	10/16/	
CENTURY BUSINESS SERVICES INC	DE	Х	Х	Х	09/26/	
CERIDIAN CORP	DE		Х	Х	10/17/	′00
CHEVRON CORP	DE		Х	Х	10/15/	′00
CHEVRON CORP	DE		Х	Х	10/15/	00 AMEND
CHRYSLER FINANCIAL CO LLC DAIMLERCH RYSLER AUTO TRUST 2000-C			Х	Х	10/16/	00
	DE	х			10/16/	00
CINERGY CORP		23	х	Х	10/16/	
CIRCUIT CITY CREDIT CARD MASTER TRU ST	שט					
CITADEL BROADCASTING CO	NV	Х	Х	Х	10/02,	
CITADEL COMMUNICATIONS CORP	NV	Х	Х	Х	10/02,	
CITICORP MORTGAGE SECURITIES INC	DE		Х		10/16,	-
CODA MUSIC TECHNOLOGY INC	MN		Х	X	10/17,	
COLUMBIA ENERGY GROUP	DE		Х		10/16,	
COMMUNICATION INTELLIGENCE CORP	DE	Х		Х	10/06,	/00
CONTIFINANCIAL CORP	DE		Х		10/06,	/00
CORIXA CORP	DE		Х	Х	10/15,	/00

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STATE

8K ITEM NO.

NAME OF ISSUER	CODE			3 4 5 6		9	DATE	со	MMENT
NAME OF ISSOER									
COULTER PHARMACEUTICALS INC				Х	Х		10/16/	00	
COVINGHAM CAPITAL CORP	UT	Х					09/21/		
COX TECHNOLOGIES INC	AZ			Х	Х		10/10/		
CPS AUTO RECEIVABLES TRUST 1998-4	CA				Х		09/15/		
CRESTED CORP	CO	Х					10/17/		
CROWN PAPER CO	VA			Х	Х		10/09/		
CROWN VANTAGE INC	VA			Х	Х		10/09/		
CRYOCON INC	CO				Х		08/16/		AMEND
CUMULUS MEDIA INC	IL		Х		Х		10/02/		
CYGNUS INC /DE/	DE			Х	Х		08/25/		
CYNET INC	ΤX			Х	Х		10/12/		
DAIMLERCHRYSLER AUTO TRUST 2000 A	DE			Х	Х		10/16/		
DAIMLERCHRYSLER AUTO TRUST 2000 B	DE			Х	X		10/16/		
DANKA BUSINESS SYSTEMS PLC				Х	X		10/17/		
DATALINK NET INC	NV			Х	X		10/12/		ANGINE
DELHAIZE AMERICA INC	NC				Х		07/31/		AMEND
DETREX CORPORATION	MI		Х		Х		09/29/		
DIAMOND HOME SERVICES INC	DE			Х	Х		10/02/		
DIME BANCORP INC	DE			Х			10/17/		
DISCOVER BANK	DE			Х	Х		10/16/		
DISCOVER CARD MASTER TRUST I	DE			Х	Х		10/16/		
DISCOVER CARD MASTER TRUST I	DE			Х	Х		10/17/		
DOLLAR GENERAL CORP	TN					Х	10/13/		
E COMMERCE GROUP INC	NV			X			10/17/		
E TWOMEDIA COM INC	NV		Х	X			08/18/		AMEND
EINSTEIN NOAH BAGEL CORP	DE			Х	Х		10/17/		
ELCOR CORP	DE			Х	Х		10/17/		
ENTERPRISES SOLUTIONS INC	NV			Х			10/10/		
ENTRAVISION COMMUNICATIONS CORP	DE		Х		Х		10/02/		
EPICEDGE INC	TΧ			Х	Х		09/29/		
EVERGREEN RESOURCES INC	CO				Х				AMEND
EVERGREEN RESOURCES INC	CO			Х	Х		10/13/		
EXABYTE CORP /DE/	DE			Х	Х		10/16/		
EXIDE CORP	DE		Х		Х		10/16/		
EXPRESS SCRIPTS INC	DE		Х				10/17/		
FARMER MAC MORTGAGE SECURITIES CORP	P DE	Х					10/16/		
FECHTOR DETWILER MITCHELL & CO	DE	Х					10/12/		
FEDERATED DEPARTMENT STORES INC /DE	DE			Х			10/16,	00	
/	NV			х			10/16,	/00	
FINANCIALWEB COM INC				Δ	х		10/16,		
FINGERHUT MASTER TRUST	DE				x		10/16,		
FINGERHUT RECEIVABLES INC	DE		v		Λ		09/30		
FIRST CHESTER COUNTY CORP	PA		Х		v				
FIRST CHICAGO MASTER TRUST II	DE			X	Х		10/16,		
FIRST COMMUNITY FINANCIAL CORP /NC/	/ NC			Х			10/12		
FIRST NBC CREDIT CARD MASTER TRUST					Х		10/11,		
FIRST SECURITY BANCORP INC /KY/	KY			Х			10/16,		
FIRST USA BANK NATIONAL ASSOCIATION				X	X		10/16		
FIRST USA CREDIT CARD MASTER TRUST	DE			X	Х		10/10		
FMC CORP	DE			X			10/13		
FNANB CREDIT CARD MASTER TRUST	_ ~			Х	X		10/16		
GANNETT CO INC /DE/	DE				х		10/16	100	

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CODE 1 2 3 4 5 6 7 8 9 DATE COMMENT

Х 10/16/00 AMEND DE GENERAL AUTOMATION INC хх 10/04/00 GENEREX BIOTECHNOLOGY CORP DE 10/16/00 Х Х DEGLIATECH INC 09/28/00 GLOBAL CROSSING LTD х х NV Х Х 08/04/00 AMEND GLOBALMEDIA COM X X 10/13/00 CA GREATER BAY BANCORP 10/13/00 х х GREATER BAY BANCORP CA Х 10/01/00 GSI LUMONICS INC A3 Х GUARDIAN TECHNOLOGIES INTERNATIONAL DE Х Х 09/30/00 INC HARLEYSVILLE NATIONAL CORP Х 09/30/00 PA CA Х Х 10/16/00 HERITAGE COMMERCE CORP Х Х X 10/13/00 DE HERTZ CORP 08/01/00 AMEND HOOVERS INC Х DE Х 05/31/00 AMEND ΗI HOSOI GARDEN MORTUARY INC 09/22/00 AMEND CO Х HOUSEHOLD DIRECT COM INC 10/10/00 Х Х UT HTTP TECHNOLOGY INC 09/28/00 Х CA IMPAC SECURED ASSETS CORP Х Х 10/12/00 DE INAMED CORP 10/12/00 DE Х Х INFOSPACE INC 10/03/00 WΥ Х INID CORP 10/03/00 х х INNERDYNE INC DE 10/16/00 MN Х Х INNOVATIVE GAMING CORP OF AMERICA 09/28/00 INSURANCE MANAGEMENT SOLUTIONS GROU FL Х P INC 10/16/00 NV Х INTACTA TECHNOLOGIES INC ХХ 10/11/00 GA INTERCEPT GROUP INC 07/15/00 Х Х INTERFACE E COM INC 10/17/00 INTERNATIONAL BUSINESS MACHINES COR NY Х Ρ 10/01/00 INTERNET LAW LIBRARY INC DE Х Х Х 09/21/00 NV ХХ Х Х INTERNET MULTI-MEDIA CORP 10/04/00 Х Х DE JUST TOYS INC 10/17/00 Х MI LA-Z-BOY INC LABORATORY CORP OF AMERICA HOLDINGS DE Х Х 10/16/00 Х 05/24/00 Х LAMAR ADVERTISING CO/NEW DE 10/13/00 Х LERNOUT & HAUSPIE SPEECH PRODUCTS N V 10/01/00 DE Х LINC CAPITAL INC 09/15/00 AMEND DE Х LODGIAN INC 10/16/00 Х DE Х LYDALL INC /DE/ 10/17/00 DE Х Х MAXICARE HEALTH PLANS INC 09/10/99 Х Х MAXTOR CORP DE 10/16/00 Х MAY DEPARTMENT STORES CO DE 10/13/00 Х MENTOR CORP /MN/ MN 10/17/00 MERRILL LYNCH & CO INC DE Х Х 09/29/00 NV MESA AIR GROUP INC Х 10/05/00 METASOLV SOFTWARE INC Х Х 10/02/00 MOBILITY ELECTRONICS INC DE Х Х Х Х 08/25/00 MORGAN STANLEY ABS CAPITAL I TRUST DE 2000-1

NAME OF ISSUER

	STATE	_						~		~~	
NAME OF ISSUER	CODE	1	2	3	45	6	78	9	DATE		MMENT
MORGAN STANLEY DEAN WITTER CAPITAL	DE				x		Х		10/12/	00	
I INC MRV COMMUNICATIONS INC	DE				х		х		10/06/	00	
NATIONAL CITY CORP	DE				Х		Х		10/16/	00	
NATIONAL FUEL GAS CO	NJ				Х				10/17/	00	
NATIONAL PROCESSING INC	ОН				Х		Х		10/16/	00	
NAVIDEC INC	со				Х		Х		10/12/	00	
NETWORK SYSTEMS INTERNATIONAL INC	NV		х				Х		09/30/	00	
NET2PHONE INC	DE		Х				Х		07/23/	00	AMEND
NEW YORK BAGEL ENTERPRISES INC	KS				Х				09/11/	00	
NN INC	DE						Х		08/01/	00	AMEND
NORTH BANCSHARES INC	DE	Х							09/30/		
NORTH VALLEY BANCORP	CA				Х		Х		10/11/	00	
NORTHERN TRUST CORP	DE				Х		Х		10/16/		
NOVA COMMUNICATIONS LTD	NV		Х		Х				09/30/	00	
NOVOSTE CORP /FL/	\mathbf{FL}				Х		Х		10/16/	00	
NPS PHARMACEUTICALS INC	DE				Х				10/15/	00	
OCCIDENTAL PETROLEUM CORP /DE/	DE				Х			Х	10/18/		
OLD KENT FINANCIAL CORP /MI/	MI				Х		Х		10/13/	00	
OLD KENT FINANCIAL CORP /MI/	MI				Х		Х		10/16/		
ORDERPRO LOGISTICS INC		Х	Х		Х	Х	Х		10/12/		
OUTBOARD MARINE CORP	DE				Х		Х		10/11/		
PACIFICNET COM INC	DE		Х				Х		10/04/	00	
PENDARIES PETROLEUM LTD	A3		Х						12/31/	00	
PIRANHA INC	DE				Х				10/17/		
PLAYANDWIN INC	NV				Х				09/20/		AMEND
PNC MORTGAGE ACCEPTANCE CORP	MO				Х		Х		10/16/		
POPULAR INC	PR				Х		Х		10/10/		
PROBEX CORP	CO		Х		Х		Х		09/29/		
PROCARE INDUSTRIES LTD	CO				Х		Х		09/29/		
PROFESSIONAL WRESTLING ALLIANCE COR P			Х				Х		10/12/		
PROVINCE HEALTHCARE CO	DE				Х		Х		10/01/		
PUBLIC SERVICE CO OF NEW MEXICO	NM				Х				10/16/		
PUBLIC SERVICE CO OF NEW MEXICO	NM				Х				10/16/		
PUMA TECHNOLOGY INC	DE				Х		Х		10/17/		
QUOKKA SPORTS INC	DE		Х				Х		10/03/		
RAINWIRE PARTNERS INC /DE/	DE				X				10/17/		
RAINWIRE PARTNERS INC /DE/	DE				Х				10/17/		
RAINWIRE PARTNERS INC /DE/	DE				Х				10/17/		AMEND
RECKSON ASSOCIATES REALTY CORP	MD				X		Х		09/07/		
RECKSON OPERATING PARTNERSHIP LP	DE				X		Х		09/07/		
REDDING BANCORP	CA				X				10/17/		
REPUBLIC SECURITY FINANCIAL CORP	FL				X		X		10/16/		
RESIDENTIAL FUNDING MORTGAGE SECURI TIES I INC	DE				Х		Х		10/16/		
RSL COMMUNICATIONS LTD					Х		Х		10/13/		
SAFETY COMPONENTS INTERNATIONAL INC					X		Х		10/11/		
SAKS CREDIT CARD MASTER TRUST	NV				X		Х		10/16,		
SALOMON SMITH BARNEY HOLDINGS INC	DE				X				10/17,		
SANGSTAT MEDICAL CORP	DE				>		X		10/16,		
SBA COMMUNICATIONS CORP	FL				X		Х		10/11,	00	

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NAME OF ISSUER	STATE CODE				5 6		9	DATE	COMMENT
					 x	 V		- 10/17/	-
SCC COMMUNICATIONS CORP	DE DE					X		10/10/	
SEAGATE TECHNOLOGY INC					X			10/16/	
SEARS CREDIT ACCOUNT MASTER TRUST I I						Δ			
SENSAR CORP /NV/	NV				X			10/16/	
SHERWIN WILLIAMS CO	OH					Х		10/12/	
SHOP AT HOME INC /TN/	TN				Х			06/30/	
SIMTEK CORP	CO		Х			X			00 AMEND
SIMTEK CORP	CO		Х			Х		10/13/ 10/17/	
SONEX RESEARCH INC	MD	Х			.,				
SOUTHWEST BANCORP OF TEXAS INC	ΤX				X			10/16/	
SPECIALTY EQUIPMENT COMPANIES INC	DE				X	Х		10/13/	
SPINTEK GAMING TECHNOLOGIES INC \CA	CA				Х			10/13/	
SPORT HALEY INC	CO				Х	Х		10/16/	
SPRINT CORP	KS				Х			10/17/	
STARCRAFT CORP /IN/	IN		Х					10/16/	
STEMCELL GLOBAL RESEARCH INC	NV				Х			10/12/	
STRUCTURED ASSET MORTGAGE INVESTMEN TS INC					Х			09/25/	00
STRUCTURED ASSET MORTGAGE INVESTMEN	DE				Х			09/25/	00
TS INC STRUCTURED ASSET MORTGAGE INVESTMEN	DE				Х			09/25/	00
TS INC STRUCTURED ASSET MORTGAGE INVESTMEN	DE				Х			09/25/	00
TS INC STRUCTURED ASSET MORTGAGE INVESTMEN	DE				х			09/25/	00
TS INC STRUCTURED ASSET MORTGAGE INVESTMEN	I DE				х			09/25/	00
TS INC STRUCTURED ASSET MORTGAGE INVESTMEN	I DE				х			09/25/	00
TS INC STRUCTURED ASSET MORTGAGE INVESTMEN					х			09/25/	/00
TS INC STRUCTURED ASSET MORTGAGE INVESTMEN					x			09/25/	/00
TS INC					x			09/25/	/00
STRUCTURED ASSET MORTGAGE INVESTMEN TS INC					x			09/25/	
STRUCTURED ASSET MORTGAGE INVESTMEN TS INC									
STRUCTURED ASSET MORTGAGE INVESTMEN TS INC	1 DE				Х			09/25,	
STRUCTURED ASSET MORTGAGE INVESTMEN TS INC	I DE				Х			09/25,	/00
STRUCTURED ASSET MORTGAGE INVESTMEN	N DE				Х			10/01,	/00
TS INC SUMMA INDUSTRIES/	CA		Х			Х		10/05	/00
SUMMA INDUSTRIES/ SURGE COMPONENTS INC	NY		•••		Х			10/16	
TANDY BRANDS ACCESSORIES INC	DE				x	Х		10/16	
	DE				x			10/16	
TEXACO INC	IN			х	••			10/16	
TOKHEIM CORP		х		~~				10/16	
TRIPOS INC	01	Λ						20,20	• = =

NAME OF ISSUER	CODE	1	2	3	4	5	6	7	8	9	DATE		MMENT
TRIZETTO GROUP INC	DE		х					х			10/02/		
TRUSTCO BANK CORP N Y	NY					Х					10/17/		
TXU EUROPE LTD		Х									08/18/		AMEND
UNIDYNE CORP	DE	Х									10/16/		
UNION BANKSHARES INC	VT					Х					10/16/		
UNITY BANCORP INC /DE/	DE					Х		Х			10/10/		
URANIUM RESOURCES INC /DE/	DE					Х		Х			10/16/		
US ENERGY CORP	WY	Х									10/17/		
USA BIOMASS CORP	DE					Х	Х				08/15/		AMEND
USAA FEDERAL SAVINGS BANK			Х								09/30/		
VALLEY FORGE LIFE INSURANCE CO	IL					Х					10/10/		
VIROPHARMA INC	DE					Х		Х			10/16/		
VISTA INFORMATION SOLUTIONS INC	DE					Х		Х			05/24/		
VISTEON CORP	DE					Х					10/16/		
VISUAL BIBLE INTERNATIONAL INC	FL							Х			08/01/		AMEND
VYYO INC	DE					Х		Х			10/12/		
WAMEX HOLDINGS INC	NY						Х				10/11/		
WASHINGTON GAS LIGHT CO	DC	Х									10/16/		
WASHINGTON GROUP INTERNATIONAL INC	DE					Х					10/16/		
WAVERIDER COMMUNICATIONS INC	NV		Х					Х			10/01/		
WEINERS STORES INC	DE			Х		Х		Х			10/16/		
WELLS FARGO & CO/MN	DE					Х		Х			10/17/		
WLR FOODS INC	VA					Х					09/27/		AMEND
WLR FOODS INC	VA					Х		Х			10/16/		
WORLDPORT COMMUNICATIONS INC	DE					Х					10/03/	00	
XTREME WEBWORKS	NV	Х									10/16/	00	
YAMAHA MOTOR RECEIVABLES CORP	DE					Х					10/16/	00	