# SEC NEWS DIGEST 

Issue 2000-195
October 11, 2000

## COMMISSION ANNOUNCEMENTS

## COMMISSION MEETINGS

## CLOSED MEETING - TUESDAY, OCTOBER 17, 2000 - 10:30 A.M.

The subject matter of the closed meeting scheduled for Tuesday, October 17 will be: Institution and settlement of injunctive actions; and Institution and settlement of administrative proceedings of an enforcement nature.

At times, changes in Commission priorities require alterations in the scheduling of meeting items. For further information and to ascertain what, if any, matters have been added, deleted or postponed, please contact: The Office of the Secretary at (202) 9427070.

## ENFORCEMENT PROCEEDINGS

## COMMISSION DENIES MOTION OF HERBERT M. CAMPBELL II TO LIFT TEMPORARY SUSPENSION PURSUANT TO RULE 102(e) AND DIRECTS HEARINGS

On August 10, Herbert M. Campbell II, an attorney who was formerly senior vice president and general counsel of Solv-Ex Corporation, was temporarily suspended from appearing or practicing before the Commission pursuant to Rule 102(e)(3) of the Commission's Rules of Practice. The suspension was based on a permanent injunction, entered against Campbell on May 16, 2000 by the U. S. District Court for the District of New Mexico in an action brought by the Commission, enjoining him from violating Section 17(a) of the Securities Act of 1933, Section 10(b) of the Securities Exchange Act of 1934 and Rule 10b-5 thereunder, and Section 13(a) of the Exchange Act. Campbell timely filed a petition to lift the suspension. On October 6, 2000, the Commission denied his petition and set the matter for hearings before an administrative law judge. (Rel. 34-43422; File No. 3-10268)

## SEC CHARGES FORMER CONNECTICUT TREASURER AND TEN OTHERS INVOLVED IN FRAUDULENT SCHEME IN CONNECTION WITH INVESTMENT OF state pension fund money; Three defendants agree to settle CHARGES

On October 10, the Commission filed a civil fraud action against Paul J. Silvester, the former Treasurer of the State of Connecticut, two private equity firms, three of their officers, and five others involved in a fraudulent scheme in connection with the investment of state pension fund money. The Commission alleged that the defendants participated in a scheme where Silvester awarded investments of hundreds of millions of dollars of state pension fund money in exchange for lucrative fees paid by the private equity firms to Silvester's friends and political associates.

Juan Marcel Marcelino, District Administrator of the SEC's Boston District Office, said, "Public pension funds result from the hard earned contributions of public employees. It is imperative that such funds be managed with complete honesty and integrity and for the sole benefit of the funds' beneficiaries. The SEC will vigorously pursue public officials and investment managers who violate the federal securities laws with respect to investment of public pension fund assets."

According to the complaint, Silvester, who served as Treasurer from July 1997 until January 1999, solicited two private equity firms, Landmark Partners, Inc. and Triumph Capital Group, Inc., to pay substantial consulting or finder's fees to Silvester's friends. In order to secure the investment of pension fund money, Landmark and Triumph agreed to pay the requested fees. Silvester then demanded and received kickbacks of the fees from his friends. The Commission alleged that Silvester, Triumph, Landmark, and certain of the firms' officers violated their fiduciary duties by failing to disclose the quid pro quo. Landmark is headquartered in Simsbury, Connecticut. Triumph is headquartered in Boston, Massachusetts, and also maintains offices in Hartford, Connecticut, Palm Beach, Florida, and San Francisco and Los Angeles, California.

The complaint alleges that, in return for investing $\$ 150$ million of state pension funds with Landmark in 1998, Silvester solicited and Landmark agreed to pay $\$ 1.5$ million in finder's fees to Silvester's friend, Ben F. Andrews, Jr. Andrews agreed to kick back part of the finder's fee to Silvester using another friend of Silvester, Christopher A. Stack, as an intermediary. Andrews arranged for Landmark to split the finder's fee between him and Stack (who was paid through his consulting firm, KCATS, LLC), and Stack then funneled part of his Landmark fee to Silvester through an intermediary. Jerome L. Wilson, another Landmark consultant, acted as an intermediary in arranging for Landmark to hire and pay Andrews and Stack. Stanley F. Alfeld, chairman of Landmark, agreed to hire Andrews. Wilson later notified Alfeld that Andrews would split his fee with KCATS, Stack's consulting firm. At Alfeld's request, Wilson also arranged for his employer to act as a conduit for payment from Landmark to Andrews and Stack.

The complaint also alleges that, in return for investing $\$ 200$ million with Triumph shortly after losing the November 1998 election, Silvester solicited and Frederick W. McCarthy and Charles B. Spadoni, Triumph's president and general counsel, respectively, agreed to provide $\$ 1$ million consulting contracts to Silvester's friends, Stack and Lisa Thiesfield. Stack then funneled part of his Triumph fee to Silvester through another intermediary. Silvester also requested and expected to receive part of Thiesfield's Triumph fee.

According to the complaint, Silvester, Landmark, Alfeld, Wilson, Andrews, Stack, KCATS, Triumph, McCarthy, Spadoni, and Thiesfield, variously, violated and aided and abetted violations of Section 17(a) of the Securities Act of 1933, Section 10(b) of the Securities Exchange Act of 1934 and Rule 10b-5 thereunder, and/or Sections 206(1) and 206(2) of the Investment Advisers Act of 1940. The Commission seeks to enjoin each of the Defendants from continuing to violate or aid and abet violations of these laws. The Commission also seeks disgorgement of monies fraudulently received by Defendants Silvester, Andrews, Stack, KCATS, and Thiesfield, plus prejudgment interest. The Commission further seeks civil monetary penalties from each of the Defendants.

Defendants Silvester, Stack and KCATS have agreed to settle the Commission's charges, without admitting or denying the allegations contained in the Commission's complaint, by entering consent agreements that enjoin them from future violations of the antifraud provisions of the Securities Act, the Exchange Act, and the Advisers Act. Silvester has agreed to pay $\$ 10,500$, representing the proceeds he received from the conduct alleged in the complaint. Stack and KCATS have agreed, jointly and severally, to pay $\$ 300,667$, representing the proceeds they received and retained from the conduct alleged in the complaint. Proposed final judgments by consent against Silvester, Stack and KCATS were filed with the complaint. [SEC v. Paul J. Silvester, et al., Civil Action No. 3:00-CV-19411 DJS, D. Conn.] (LR-16759; Press Rel. 2000-153)

## SEC CHARGES ETS PAYPHONES, INC. AND CHARLES EDWARDS WITH FRAUD

The Commission announced that it filed a complaint in the United States District Court for the Northern District of Georgia on September 29, 2000, against ETS Payphones, Inc. (ETS) and Charles E. Edwards (Edwards). The complaint seeks permanent injunctions against ETS and Edwards and a freeze of Edwards' assets. The complaint alleges that ETS and Edwards engaged in fraud in the offer and sale of unregistered securities in the form of investment contracts.

The Commission's complaint alleges that the defendants promoted a massive fraudulent scheme through the use of insurance agents, financial planners, marketing companies, and over the Internet, in which ETS raised more than $\$ 300$ million from over 10,000 investors. The complaint asserts that the scheme is based upon purported investments in customer owned, coin-operated telephones offered and sold in units, involving a telephone, site lease, lease/back agreement and buy/back agreement, that constitute securities. No registration statement was filed with the Commission in connection with these securities. The complaint also asserts that investors were not told that ETS was
losing money, had a negative net worth, and was dependent on revenue from new investors to sustain its operations.

The complaint seeks permanent injunctions against defendants ETS and Edwards to prevent future violations of Sections 5(a), 5(c) and 17(a) of the Securities Act of 1933 and Section 10(b) of the Securities Exchange Act of 1934 and Rule 10b-5 thereunder. The complaint also seeks an accounting, disgorgement and prejudgment interest as well as civil penalties from the defendants. [SEC v. ETS Payphones, Inc. and Charles E. Edwards, Civil Action File No. 1:00-CV-2532-JTC, N.D. Ga.] (LR-16760)

## FINAL JUDGMENT ON CONSENT FILED AGAINST THOMAS PILEGGI

The Commission announced that on July 19, 2000, the United States District Court for the Eastern District of Pennsylvania entered a final consent judgment of permanent injunction and other relief against defendant Thomas B. Pileggi in this insider trading case. According to the Commission's complaint, filed on July 16, 1997, Pileggi, who was a director of the Cheltenham Bank, a wholly owned subsidiary of Independence Bancorp, Inc. (INBC), purchased INBC stock after learning that CoreStates Financial Corp. had made a proposal to acquire INBC. Pileggi made $\$ 228,800$ in illegal profits from his trading prior to the public announcement of the acquisition proposal.

Without admitting or denying the allegations in the complaint, Pileggi consented to the entry of a final judgment of permanent injunction against future violations of the antifraud provisions of the federal securities laws, Section 10(b) of the Securities Exchange Act of 1934 and Rule 10b-5 thereunder. Pileggi agreed to disgorge his trading profits, plus prejudgment interest in the amount of $\$ 161,964.92$. He also agreed to pay a penalty of $\$ 228,800$.

Pileggi was subsequently convicted of securities and wire fraud in connection with his INBC trades after a jury trial. [SEC v. Robert Hunter, Edward R. Dudlik, Jr., Thomas F. Goldman, W. Kenneth Greenwood, Joseph McKeon, Kathy Naumann, Benjamin Paul, Thomas B. Pileggi, John Rose, John Rose, Jr., Raymond F. White, and H. Constance Neff as Relief Defendant, Civil Action No. 97-CV-4621, EDPA, Bartle, J.] (LR-16761)

## INVESTMENT COMPANY ACT RELEASES

## PFL LIFE INSURANCE COMPANY, ET AL.

An order has been issued pursuant to Section 6(c) of the Investment Company Act exempting PFL Life Insurance Company (PFL), PFL Life Variable Annuity Account C (PFL Account), AFSG Securities Corporation (AFSG), Transamerica Life Insurance and Annuity Company (Transamerica), Separate Account VA-6 (Transamerica Account), Transamerica Securities Sales Corporation (TSSC), Western Reserve Life Assurance Co. of Ohio (Western Reserve), and WRL Series Annuity Account (WRL Account) (PFL, Transamerica, and Western Reserve are together referenced herein as the "Companies"
and PFL Account, Transamerica Account, and WRL Account are together referenced herein as the "Accounts") from the provisions of Sections 2(a)(32), 22(c) and 27(i)(2)(A) of the Act, and Rule $22 \mathrm{c}-1$, thereunder, to permit, under specified circumstances, the recapture of a bonus credit previously applied to premium payments made under: (i) deferred variable annuity contracts that the Companies will issue through the Accounts and (ii) deferred variable annuity contracts that the Companies, and any other separate account of the Companies, or their successors in interest, may issue in the future that are substantially similar to the contracts in all material respects. The order extends to certain National Association of Securities Dealers, Inc. member which may, in the future, act as principal underwriter of such policies. (Rel. IC-24681 - October 10)

## BILL GROSS' IDEALAB!

An order has been issued on an application filed by Bill Gross' idealab! under Section $3(\mathrm{~b})(2)$ of the Investment Company Act declaring applicant to be primarily engaged in a business other than that of investing, reinvesting, owning, holding or trading in securities. (Rel. IC-24682-October 10)

## HOLDING COMPANY ACT RELEASES

## CINERGY CORPORATION

An order has been issued authorizing Cinergy Corporation (Cinergy), a registered holding company, to distribute rights regarding its common stock under a stockholder rights plan. (Rel. 35-27245)

## SELF-REGULATORY ORGANIZATIONS

## PROPOSED RULE CHANGE

The American Stock Exchange filed a proposed rule change (SR-Amex-00-46) adopting Commentary to Section 713 that defines "public offering" for purposes of the Exchange's shareholder approval rules. Publication of the proposal is expected in the Federal Register during the week of October 9. (Rel. 34-43419)

## IMMEDIATE EFFECTIVENESS OF PROPOSED RULE CHANGE

A proposed rule change filed by the Philadelphia Stock Exchange to implement decimals pricing (SR-PHLX 00-05) has become effective under Section 19(b)(3)(A) of the Securities Exchange Act of 1934. Publication of the proposal is expected in the Federal Register during the week of October 9. (Rel. 34-43421)

## SECURITIES ACT REGISTRATIONS

The following registration statements have been filed with the SEC under the Securities Act of 1933. The reported information appears as follows: Form, Name, Address and Phone Number (if available) of the issuer of the security; Title and the number and/or face amount of the securities being offered; Name of the managing underwriter or depositor (if applicable); File Number and date filed; Assigned Branch; and a designation if the statement is a New Issue.

Registration statements may be obtained in person or by writing to the Commission's Public Reference Branch at 450 Fifth Street, N.W., Washington, D.C. 20549 or at the following e-mail box address: [publicinfo@sec.gov](mailto:publicinfo@sec.gov). In most cases, this information is also available on the Commission's website: <www.sec.gov>.

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    S-3 CARREKER CORP, 4055 VALLEY VIEW LANE, STE 1000, DALLAS, TX }7524
    (972) 458-1981 - 4,600,000 ($85,100,000) COMMON STOCK. (FILE 333-47160
    OCT. 03) (BR. 3)
    SB-2 FUTUREROMANCE COM INC, 585 PARKSIDE BLVD, MASSAPEQUA, NY 11758
        (516) 770-4720 - 4,000,000 ($4,000,000) COMMON STOCK. (FILE 333-47168
    OCT. 03) (BR. 9 - NEW ISSUE)
    S-8 HITSGALORE COM INC, }10134\mathrm{ 6TH STREET, SUITE J, RANCHO CUCAMONGA, CA
        91730 (909) 481-8821 - 10,000 ($1,500,000) COMMON STOCK. (FILE 333-
4 7 1 7 0
    OCT. 03) (BR. 1)
    S-8 SED INTERNATIONAL HOLDINGS INC, 4916 N ROYAL ATLANTA DR, TUCKER, GA
    30085 (770) 941-8962 - 11,400,000 ($6,761,300) COMMON STOCK. (FILE
    333-47172 - OCT. 03) (BR. 3)
    S-8 INCYTE GENOMICS INC, 3160 PORTER DR, PALO ALTO, CA 94304 (650) 855-
0555
    - 2,600,000 ($105,222,000) COMMON STOCK. (FILE 333-47178 - OCT. 03)
        (BR. 1)
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    S-8 INCYTE GENOMICS INC, 3160 PORTER DR, PALO ALTO, CA 94304 (650) 855-
    0555
- 400,000 (\$16,188,000) COMMON STOCK. (FILE 333-47180-OCT. 03) (BR.
1)
S-3 P COM INC, 3175 S WINCHESTER BLVD, CAMPBELL, CA 95008 (408) 866-3666
3,000,000 (\$17,578,125) COMMON STOCK. (EILE 333-47184-OCT. 03) (BR.
7)

SB-2 E MACHINERY NET INC, 1400 MEDFORD PLAZA, ROUTE 70 AND HARTFORD ROAD, MEDFORD, NJ 08055 (609) 953-7985-2,430,000 ( $\$ 3,329,000$ ) COMMON STOCK. (FILE 333-47186 - OCT. 03) (BR. 3)

S-8 ZOOM TELEPHONICS INC, 207 SOUTH ST, BOSTON, MA 02111 (617) 423-1072
350,000 ( $\$ 2,318,750$ ) COMMON STOCK. (FILE 333-47188-OCT. 03) (BR. 7)
S-3 P COM INC, 3175 S WINCHESTER BLVD, CAMPBELL, CA 95008 (408) 866-3666 9,000,000 ( $\$ 52,734,375$ ) COMMON STOCK. (FILE 333-47190-OCT. 03) (BR.
7)

S-8 ALLIANCE CAPITAL MANAGEMENT L P, 1345 AVE OF THE AMERICAS, NEW YORK, NY

10105 (212) 969-1000-96,000,000 ( $\$ 96,000,000$ )
OTHER SECURITIES INCLUDING VOTING TRUST. (FILE 333-47192-OCT. 03) (BR. 7)

S-8 ALLIANCE CAPITAL MANAGEMENT HOLDING LP, 1345 AVE OF THE AMERICAS, NEW YORK, NY 10105 (212) 969-1000 - \$96,000,000 CONVERTIBLE PREFERRED SHARE OF BENEFICIAL INTEREST. (FILE 333-47194OCT. 03) (BR. 7)

S-1 ATEL CAPITAL EQUIPMENT FUND IX LLC, 235 PINE STREET 6TH FLOOR, SAN FRANCISCO, CA 94104 (415) 989-8800-15,000,000 ( $\$ 150,000,000$ ) LIMITED PARTNERSHIP CERTIFICATE. (FILE 333-47196-OCT. 03) (BR. 6 - NEW ISSUE)

S-8 GENERAL MOTORS CORP, 300 RENAISSANCE CTR, MAIL CODE: 482-C34-D71, DETROIT, MI 48265 (313) 556-5000-10,000,000 ( $\$ 366,000,000$ ) COMMON
STOCK.
(FILE 333-47198-OCT. 03) (BR. 5)
S-8 GENERAL MOTORS CORP, 300 RENAISSANCE CTR, MAIL CODE: 482-C34-D71, DETROIT, MI 48265 (313) 556-5000-180,000 ( $\$ 6,588,000$ ) COMMON STOCK. (FILE 333-47200-OCT. 03) (BR. 5)

S-8 GENERAL MOTORS CORP, 300 RENAISSANCE CTR, MAIL CODE: 482-C34-D71, DETROIT, MI 48265 (313) 556-5000-100,000 ( $\$ 6,628,500$ ) COMMON STOCK. (FILE 333-47202 - OCT. 03) (BR. 5)

S-8 GENERAL MOTORS CORP, 300 RENAISSANCE CTR, MAIL CODE: 482-C34-D71, DETROIT, MI 48265 (313) 556-5000-40,000,000 ( $\$ 1,464,000,000$ ) COMMON STOCK. (EILE 333-47204-OCT. 03) (BR. 5)

S-8 GOLDEN OPPORTUNITY DEVELOPMENT CORP, 268 W 400 SOUTH, STE 300, SALT LAKE CITY, UT 84101 (801) 575-8073-300,000 (\$39,000) COMMON STOCK.
(FILE 333-47206-OCT. 03) (BR. 9)
S-3 GASONICS INTERNATIONAL CORP, 2730 JUNCTION AVE, SAN JOSE, CA 95134 (408) 570-7000-655,577 (\$8,397,941.30) COMMON STOCK. (FILE 333-47208 OCT. 03) (BR. 5)

S-8 MOBILITY ELECTRONICS INC, 7955 E REDFIELD RD, SCOTTSDALE, AZ 85260 (480) 596-0061-1,250,000 (\$11,450,000) COMMON STOCK. (FILE 333-47210 OCT. 03) (BR. 4)

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    S-8 INTRANET SOLUTIONS INC, }8091\mathrm{ WALLACE ROAD,
    STANDING SPRINGS INDUSTRIAL PARK, EDEN PRAIRIE, MN 55344 (612) 903-2000
    750,000 ($34,785,000) COMMON STOCK. (FILE 333-47212 - OCT. 03) (BR. 3)
    S-8 INTRANET SOLUTIONS INC, }8091\mathrm{ WALLACE ROAD,
        STANDING SPRINGS INDUSTRIAL PARK, EDEN PRAIRIE, MN 55344 (612) 903-2000
    2,000,000 ($92,760,000) COMMON STOCK. (FILE 333-47214 - OCT. 03) (BR.
3)
    S-8 INTRANET SOLUTIONS INC, }8091\mathrm{ WALLACE ROAD,
        STANDING SPRINGS INDUSTRIAL PARK, EDEN PRAIRIE, MN 55344 (612) 903-2000
    1,000,000 ($46,380,000) COMMON STOCK. (FILE 333-47216 - OCT. 03) (BR.
3)
    S-3 GLOBAL TELESYSTEMS INC, 4121 WILSON BLVD, 8TH FLOOR, ARLINGTON, VA
22203
    (703) 236-3100-20,000,000 ($72,500,000) COMMON STOCK. (FILE 333-
47218
    OCT. 03) (BR. 7)
    S-8 HAWK CORP, 200 PUBLIC SQ STE 30-5000, STE 29-2500, CLEVELAND, OH
44114
    (216) 861-3553 - 700,000 ($4,891,750) COMMON STOCK. (FILE 333-47220-
    OCT. 03) (BR. 5)
    S-3 BANK OF AMERICA CORP /DE/, NATIONSBANK CORPORATE CENTER, 100 N TRYON
ST,
    CHARLOTTE, NC 28255 (704) 386-5000-3,000,000,000 ($3,000,000,000)
    STRAIGHT BONDS. (EILE 333-47222 - OCT. 03) (BR. 7)
    S-3 CERUS CORP, 2525 STANWELL DRIVE SUITE 300, CONCORD, CA 94520
        (510) 603-9071 - 1,200,000 ($65,700,000) COMMON STOCK. (FILE 333-47224
    OCT. 03) (BR. 1)
    S-8 DOCUMENTUM INC, }6801\mathrm{ KOLL CENTER PARKWAY, PLEASANTON, CA 94566
        (925) 600-6800 - 1,300,000 ($78,930,869) COMMON STOCK. (FILE 333-47226
        OCT. 03) (BR. 3)
    S-8 INTRANET SOLUTIONS INC, }8091\mathrm{ WALLACE ROAD,
        STANDING SPRINGS INDUSTRIAL PARK, EDEN PRAIRIE, MN 55344 (612) 903-2000
        1,600,000 ($74,208,000) COMMON STOCK. (FILE 333-47228-OCT. 03) (BR.
3)
    S-8 OM GROUP INC, 50 PUBLIC SQ, STE 3800, CLEVELAND, OH 44113 (216) 263-
7469
    - $84,680,000 COMMON STOCK. (FILE 333-47230 - OCT. 03) (BR. 2)
    S-8 CLAYTON WILIIAMS ENERGY INC /DE, SIX DESTA DR STE 3000, MIDLAND, TX
    79705 (915) 682-6324 - 900,000 ($32,400,000) COMMON STOCK. (FILE
    333-47232 - OCT. 03) (BR. 4)
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S-8 INNERDYNE INC, 1244 REAMWOOD AVE, SUNNYVALE, CA 94089 (408) 745-6010 75,000 (\$390,234.38) COMMON STOCK. (FILE 333-47234-OCT. 03) (BR. 1)

S-8 ONE VOICE TECHNOLOGIES INC, 6333 GREENWICH DR \#240, SAN DIEGO, CA (619) 552-4466-1,000,000 (\$7,375,000) COMMON STOCK. (FILE 333-47236 OCT. 03) (BR. 9)

SB-2 MORANZO INC, 566 PORT HARWICK, CHULA VISTA, CA 91913 (619) 692-2505 $4,720,000(\$ 472,000)$ COMMON STOCK. (FILE 333-47238 - OCT. 03) (NEW
ISSUE)
SB-2 FINDWHAT COM INC, 121 W 27TH ST, STE 903, NEW YORK, NY 10001 (212) 633-2660-2,382,648 (\$6,997,838) COMMON STOCK. (FILE 333-47240 OCT. 03) (BR. 8)

S-4 FIRST COMMUNITY BANCORP /CA/, 6110 EL TORDO, RANCHO SANTA FE, CA 92067 (858) 756-3023-787,843 (\$10,474,731) COMMON STOCK. (FILE 333-47242OCT. 03) (BR. 7)

SB-2 LEXON INC/OK, 8908 S YALE AVE 409, 918-492-4125, TULSA, OK 74137 (918) 492-2560-1,900,000 (\$1,606,250) COMMON STOCK. (FILE 333-47244 OCT. 03) (BR. 9)

S-3 HORIZON OFFSHORE INC, HORIZON OFESHORE INC, 2500 CITY WEST BLVD., STE. 2200, HOUSTON, TX 77042 (713) 361-2600 4,300,000 ( $\$ 69,337,500$ ) COMMON STOCK. (FILE 333-47246-OCT. 04) (BR.
4)

S-3 WEBMD CORP, 400 LENOX BUILDING, 3399 PEACHTREE ROAD NE, ATLANTA, GA 30326 (404) 495-7600-15,000,000 (\$191,250,000) COMMON STOCK. (EILE 333-47248-OCT. 04) (BR. 3)

S-8 WEBMD CORP, 400 LENOX BUILDING, 3399 PEACHTREE ROAD NE, ATLANTA, GA 30326 (404) 495-7600-74,559,937 (\$1,275,873,478.07) COMMON STOCK.
(FILE
333-47250 - OCT. 04) (BR. 3)
S-3 NEUROCRINE BIOSCIENCES INC, 10555 SCIENCE CENTER DRIVE, SAN DIEGO, CA

92121 ( 858 ) 658-7600-3,450,000 (\$144,796,500) COMMON STOCK. (FILE 333-47252 - OCT. 04) (BR. 1)

S-8 COBALT NETWORKS INC, 555 ELLIS AVENUE, MOUNTAIN VIEW, CA 94043 (650) 930-2500-633 (\$2,405.40) COMMON STOCK. (FILE 333-47254 - OCT.
04)
(BR. 5)

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    F-3 CHINA MOBILE HONG KONG LTD, 60TH FLOOR, THE CENTER,
    99 QUEENS ROAD CENTRAL, HONG KONG CHINA, K3 00000 - $4,000,000,000
    FOREIGN COMMON STOCK. (FILE 333-47256 - OCT. 04)
    S-8 CAS MEDICAL SYSTEMS INC, 21 BUSINESS PARK DR, BRANFORD, CT 06405
    (203) 488-6056 - 1,000,000 ($1,250,000) COMMON STOCK. (FILE 333-47258
    OCT. 04) (BR. 5)
    S-8 TORO CO, 8111 LYNDALE AVE SOUTH, BLOOMINGTON, MN 55420 (612) 888-
8801 -
    37,500 ($1,218,750) COMMON STOCK. (EILE 333-47260 - OCT. 04) (BR. 5)
    S-8 FRIEDMAN INDUSTRIES INC, 4001 HOMESTEAD RD, HOUSTON, TX 77028
    (713) 672-9433 - 11,600 ($44,950) COMMON STOCK. (FILE 333-47262 - OCT.
04)
    (BR. 6)
    S-3 DEERE & CO, ONE JOHN DEERE PLACE, MOLINE, IL 61265 (309) 765-8000
(FILE
    333-47264 - OCT. 04) (BR. 5)
    S-8 MANDALAY RESORT GROUP, }3950\mathrm{ LAS VEGAS BLVD S, LAS VEGAS, NV }8911
        (702) 734-0410-3,500,000 ($89,578,125) COMMON STOCK. (FILE 333-47268
    OCT. 04) (BR. 5)
S-3 MERRILL LYNCH MORTGAGE INVESTORS INC, WORLD FINANCIAL CENTER N
TOWER,
    250 VESEY ST 10TH FL, NEW YORK, NY 10281 (212) 449-1000 - 2,000,000,000
    ($2,000,000,000) EQUIPMENT TRUST CERTIFICATES. (FILE 333-47270 - OCT.
04)
    (BR. 8)
    S-8 INTELISPAN INC /WA/, 1720 WINDWARD CONCOURSE SUITE 100, ALPHARETTA,
GA
    30005 (678) 256-0300 - 2,371,900 ($5,832,250) COMMON STOCK. (FILE
    333-47272 - OCT. 04) (BR. 9)
    S-8 INTELISPAN INC /WA/, }1720\mathrm{ WINDWARD CONCOURSE SUITE 100, ALPHARETTA,
GA
    30005 (678) 256-0300-8,088,647 ($16,274,371) COMMON STOCK. (FILE
    333-47276 - OCT. 04) (BR. 9)
    S-8 INTERTAN INC, 3300 HGWY #7, STE 904, CONCORD ONTARIO CANADA, TX
76102
    (905) 760-9701 - 200,000 ($2,226,000) COMMON STOCK. (FILE 333-47284 -
    OCT. 04) (BR. 2)
    S-3 FIRST UNION CORP, ONE FIRST UNION CTR, CHARLOTTE, NC 28288
        (704) 374-6565 - 1,186,689 ($38,344,888) COMMON STOCK. (FILE 333-47286
        OCT. 04) (BR. 7)
    S-8 AVISTA CORP, 1411 E MISSION AVE, SPOKANE, WA 99202 (509) 489-0500 -
        2,500,000 ($54,450,000) COMMON STOCK. (FILE 333-47290 - OCT. 04) (BR.
2)
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S-3 HUMAN GENOME SCIENCES INC, 9410 KEY W AVE, ROCKVILLE, MD 20850 (301) 309-8504-795,412 (\$134,325,201.50) COMMON STOCK. (FILE 33347292 OCT. 04) (BR. 1)

S-3 PUGET SOUND ENERGY INC, 411 108TH AVE NE, BELLEVUE, WA 98004 (425) 454-6363 (FILE 333-47302-OCT. 04) (BR. 2)

S-8 CONVERGENT COMMUNICATIONS INC /CO, 400 INVERNESS DRIVE SOUTH, SUITE 400,

ENGLEWOOD, CO 80112 (303) 749-3000-1,250,000 (\$3,867,187.50) COMMON STOCK. (FILE 333-47304-OCT. 04) (BR. 7)
S-4 VOICESTREAM WIRELESS CORP /DE, 3650 131ST AVENUE SE, SUITE 400, BELLEVUE, WA 98006 (425) 653-4600-41,964,015 (\$2,867,293,156.11) COMMON STOCK. (FILE 333-47306-OCT. 04) (BR. 7)

S-4 WASHINGTON MUTUAL INC, 1201 THIRD AVE, STE 1500, SEATTLE, WA 98101 (206) 461-2000-48,972,900 (\$1,978,893,540) COMMON STOCK. (FILE 333-47308-OCT. 04) (BR. 7)

S-8 CRYOLIFE INC, 1655 ROBERTS BOULEVARD N W, STE 142, KENNESAW, GA 30144
(770) 419-3355-97,500 (\$1,496,625) COMMON STOCK. (FILE 333-47310OCT. 04) (BR. 1)

S-8 PURADYN FILTER TECHNOLOGIES INC, 3020 HIGH RIDGE ROAD STE 100, BOYTON BEACH, FL 33426 (407) 547-9499-1,000,000 ( $\$ 7,000,000$ ) COMMON STOCK. (FILE 333-47312 - OCT. 04) (BR. 9)

S-3 WEBHIRE INC, 91 HARTWELI AVE., ALLIED DRIVE, LEXINGTON, MA 02421 (781) 869-5000-1,702,128 (\$5,797,873.50) COMMON STOCK. (FILE 33347314 OCT. 04) (BR. 3)

S-8 GREAT BASIN WATER CO, 2950 E. FLAMINGO RD, SUITE F, LAS VEGAS, NV 89121 (702) 734-1223-1,500,000 (\$656,250) COMMON STOCK. (EILE 333-47316OCT. 04) (BR. 9)

S-8 INSYNQ INC, 1101 BROADWAY PLZ, 60 E SOUTH TEMPLE, TACOMA, WA 98402 (253) 284-2000-40,000 ( $\$ 40,800$ ) COMMON STOCK. (FILE 333-47318 - OCT.
04) (BR. 5)

S-8 ATRIX LABORATORIES INC, 2579 MIDPOINT DR, FORT COLLINS, CO 80525 (303) 482-5868-1,750,000 (\$24,609,375) COMMON STOCK. (FILE 333-47320 OCT. 04) (BR. 1)

S-8 ULTRAMAR DIAMOND SHAMROCK CORP, 6000 N. LOOP $1604 \mathrm{~W} ., \mathrm{P}$ O BOX 696000, SAN ANTONIO, TX 78249 (210) 592-2000-500,000 ( $\$ 12,515,625$ ) COMMON STOCK. (FILE 333-47322 - OCT. 04) (BR. 4)

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    S-8 KRISPY KREME DOUGHNUTS INC, 370 KNOLLWOOD ST., SUITE 500, WINSTON
SALEM,
    NC 27103 (336) 722-2981 - 1,000,000 ($79,861,270) COMMON STOCK. (FILE
    333-47326 - OCT. 04) (BR. 5)
    S-4 CHESAPEAKE ENERGY CORP, 6100 N WESTERN AVE, OKLAHOMA CITY, OK 73118
        (405) 848-8000-4,000,000 ($27,966,091) COMMON STOCK. (FILE 333-47330
        OCT. 04) (BR. 4)
    SB-2 INFORETECH WIRELESS TECHNOLOGY INC, 5500 152ND STREE'T, SUITE 214,
        VANCOUVER BRITISH COLUMBIA, A6 V3S-8 (604) 576-7442 - 1,805,000
        ($8,274,750) COMMON STOCK. (FILE 333-47332 - OCT. 04) (BR. 9)
    S-1 WAVESPLITTER TECHNOLOGIES INC, 46430 FREMONT BLVD, FREMONT, CA 94538
        (510) 580-8888 - $115,000,000 COMMON STOCK. (FILE 333-47334 - OCT. 04)
        (NEW ISSUE)
    S-3 WELLS FARGO & CO/MN, 420 MONTGOMERY ST, SIXTH & MARQUETTE,
        SAN FRANCISCO, CA 94163 (612) 667-1234 (FILE 333-47336 - OCT. 04) (BR.
7)
    S-8 SPACEDEV INC, 13855 STOWE DRIVE, PO BOX 2121, POWAY, CA 92064
        (858) 375-2000-5,184,698 ($6,224,676.40) COMMON STOCK. (EILE 333-
47338 -
        OCT. 05) (BR. 4)
S-8 CAMBRIDGE TECHNOLOGY PARTNERS MASSACHUSETTS INC, 304 VASSAR ST,
        CAMBRIDGE, MA 02139 (617) 374-9800-6,500,000 ($42,621,646.09)
        COMMON STOCK. (FILE 333-47340 - OCT. 05) (BR. 3)
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## RECENT 8K FILINGS

Form $8-\mathrm{K}$ is used by companies to file current reports on the following events:

## Item 1. Changes in Control of Registrant.

Item 2. Acquisition or Disposition of Assets.
Item 3. Bankruptcy or Receivership.
Item 4. Changes in Registrant's Certifying Accountant.
Item 5. Other Materially Important Events.
Item 6. Resignations of Registrant's Directors.
Item 7. Financial Statements and Exhibits.
Item 8. Change in Fiscal Year.
Item 9. Regulation S Offerings.
The following companies have filed $8-\mathrm{K}$ reports for the date indicated and/or amendments to $8-\mathrm{K}$ reports previously filed, responding to the item( s ) of the form specified. $8-\mathrm{K}$ reports may be obtained in person or by writing to the Commission's Public Reference Branch at 450 Fifth Street, N.W., Washington, D.C. 20549 or at the following e-mail box address: [publicinfo@sec.gov](mailto:publicinfo@sec.gov). In most cases, this information is also available on the Commission's website: <www.sec.gov>.




| NAME OF ISSUER | StAte 8K ITEM NO. |  |  |  |  |  |  |  |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
|  | CODE | 12 | 4 | 5 | 7 | 9 | DATE | COMMENT |
| VIADOR INC |  |  |  | X |  |  | 10/10 |  |
| VIANET TECHNOLOGIES INC | NV |  | X |  | X |  | 10/02 |  |
| VIRILITEC INDUSTRIES INC | DE |  | X |  | X |  | 10/02 |  |
| VIROPHARMA INC | DE |  |  | X | X |  | 10/02 |  |
| XEROX CORP | NY |  |  | X |  |  | 10/09 |  |
| ZIASUN TECHNOLOGIES INC | NV |  | X |  |  |  | 10/03 |  |
| ZYDECO ENERGY INC | DE |  |  | X |  |  | 10/02 |  |

