

# SEC NEWS DIGEST

Issue 2000-183

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## ENFORCEMENT PROCEEDINGS

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### ADMINISTRATIVE AND CEASE AND DESIST PROCEEDINGS INSTITUTED, FINDINGS MADE AND SANCTIONS IMPOSED AGAINST ASHTIN KELLY & CO. AND WILLIAM WRIDE

On September 21, the Commission issued an Order Instituting Public Administrative and Cease and Desist Proceedings Pursuant to Section 8A of the Securities Act of 1933 (Securities Act) and Sections 15(b), 19(h) and 21C of the Securities Exchange Act of 1934 (Exchange Act), Making Findings and Imposing Remedial Sanctions and a Cease and Desist Order (Order) against E-Invest, Inc., formerly known as, Ashtin Kelly & Co. (Ashtin) of Naples, Florida and William Jonathan Wride (Wride) of Naples, Florida. Ashtin was registered as a broker-dealer with the Commission. Wride was associated with Ashtin, a registered broker-dealer, as its president and chief executive officer. Ashtin and Wride submitted, and the Commission accepted, Offers of Settlement whereby they consented, without admitting or denying, to the entry of this Order. The Order finds that Ashtin and Wride willfully violated, and committed or caused violations of the registration provisions of the Securities Act, in that they, sold shares totaling almost \$4.5 million in two initial public offerings in February and June 1999 before their registration statements became effective. The Order also finds that Ashtin willfully violated, and committed or caused violations of the broker-dealer minimum net capital requirement from February 1999 through April 1999 when it improperly recorded a loan it received as paid-in-capital. Further, the Order finds that Ashtin willfully violated, and committed or caused violations of the broker-dealer book and records provisions. Specifically, the Order finds that Ashtin failed to maintain and keep accurate books and records concerning its liabilities and net capital contributions. Moreover, the Order finds that Ashtin filed monthly reports on Form X-17A-5 with the National Association of Securities Dealers Regulation, Inc. (NASDR), which failed to properly account for its liabilities, and that it failed to provide the Commission with telegraphic notice of its net capital deficiency and the deficiency in its books and records. The Order finds that Wride willfully aided and abetted, and caused Ashtin's violations of the minimum net capital and broker-dealer books and records provisions. The Commission, based upon the findings and the Respondents' consents, censured Ashtin and Wride; ordered them to

cease and desist from committing or causing any violations and any future violation of Section 5(a) of the Securities Act, Sections 15(c)(3) and 17(a) of the Exchange Act and Rules 15c3-1, 17a-3, 17a-5, and 17a-11, promulgated thereunder; and required Ashtin to pay a civil money penalty in the amount of \$20,000 and Wride to pay a civil money penalty in the amount of \$5,000. (Rel. 33-7892; 33-7893; 34-43315; File No. 3-10292)

### **COMMISSION TO HOLD HEARING ON APPLICATION OF CINCINNATI STOCK EXCHANGE**

The Cincinnati Stock Exchange has filed an application seeking review of the Consolidated Tape Authority's (CTA) determination to charge the Exchange's specialists a "market data display device" fee. The CTA administers the Consolidated Tape System, which makes available last sale transaction information for trades executed in eligible securities. The CTA Plan provides that no CTA participant will be charged for receiving or using any portion of the Consolidated Tape's last sale price information so long as that information is furnished to the participant "only at premises occupied solely by such Participant or on the [Participant's] trading floor or trading floors (as the term is generally understood)." The Exchange asserts that, since it operates an electronic trading floor, its specialists should not be charged for receiving trading data at their offices.

The Commission has concluded that the Exchange's application made a showing that charging fees to Exchange specialists is a limitation on access to the CTA's services, which the Commission is required to review under the Securities Exchange Act of 1934. The Commission has appointed an administrative law judge to preside and directed that the law judge will have authority to regulate the scope, schedule, and course of the proceeding. (Rel. 34-43316; File No. 3-9967)

### **JAMES SKALKO CONSENTS TO THE ENTRY OF AN ADMINISTRATIVE ORDER BARRING HIM PARTICIPATING IN AN OFFERING OF PENNY STOCK**

On September 21, the Commission instituted and settled administrative proceedings, pursuant to Sections 15(b)(6) and 19(h) of the Securities Exchange Act of 1934 (Exchange Act), against James A. Skalko. Under the Commission Order, Skalko will be barred from participating in an offering of penny stock.

The Commission found that Skalko consented to the entry of a final judgment that permanently enjoined him from violating Sections 5(a), 5(c), 17(a) and 17(b) of the Securities Act of 1933, Sections 10(b) and 15(a)(1) of the Exchange Act, and Exchange Act Rule 10b-5, directed him to pay disgorgement and prejudgment interest in the amount of \$425,000, and directed him to pay a civil penalty of \$100,000 (SEC v. Corporate Relations Group, Inc., et al., USDC, MDL, C.A. No. 6:99-cv-1222-Orl-28A, filed Sept. 27, 1999). For further information see Litigation Release No. 16294 (September 27, 1999); Litigation Release No. 16717 (September 21, 2000).

During the relevant time, Skalko was a principal associate of Corporate Relations Group, Inc. (CRG), a public relations firm. The Commission's complaint alleged that Skalko participated in a fraudulent scheme in which CRG acquired control of large blocks of securities from small companies at a steep discount, touted the securities to the public, and then sold the securities, which were neither registered with the Commission nor exempt from registration, while promoting them. The securities of several of these companies constituted penny stocks within the meaning of Section 3(a)(51) of the Exchange Act and Rule 3a51-1 thereunder. Without admitting or denying the Commission's findings, Skalko consented to the entry of the Commission's Order. (Rel. 34-43317; File No. 3-10293)

**ADMINISTRATIVE PROCEEDINGS INSTITUTED AGAINST AND SIMULTANEOUSLY SETTLED WITH PAUL KUCIK**

On September 21, the Commission entered an Order Instituting Administrative Proceedings Pursuant to Sections 15(b) and 19(h) of the Securities Exchange Act of 1934, Making Findings and Imposing Remedial Sanctions (Order) against Paul Kucik (Kucik) of North Miami Beach, Florida. The Commission simultaneously accepted Kucik's Offer of Settlement, in which he agreed to be permanently barred from association with any broker or dealer.

The Order alleged that while employed as a registered representative at various registered broker-dealers, Kucik accepted undisclosed compensation from a promoter for inducing his clients to purchase securities of certain issuers affiliated with the promoter. On April 9, 1999, Kucik pled guilty to one-count of an indictment charging that he participated in a criminal conspiracy to commit securities fraud, mail fraud and wire fraud and was sentenced to five months in prison, three years of probation and ordered to pay restitution (Case No. 98-8117 CR-HURLEY, S.D. Fla. 1998). (Rel. 34-43321; File No. 3-10294)

**ADMINISTRATIVE PROCEEDINGS INSTITUTED AGAINST SHIRLEY McKINNEY, DARLAN GORDON, MICHAEL McEVROY, FRANCIS TAYLOR, IV, DOUGLAS PARKS AND JOHN BROWNSON**

On September 21, the Commission entered an Order Instituting Administrative Proceedings Pursuant to Sections 15(b) and 19(h) of the Securities Exchange Act of 1934 (Order) against Shirley A. McKinney (McKinney), Darlan Gordon (Gordon), Michael J. McEvoy (McEvoy), Francis A. Taylor, IV (Taylor), Douglas Parks (Parks) and John S. Brownson (Brownson) based on their convictions for conspiracy to commit securities fraud, mail fraud and wire fraud (United States v. Paul Mabry, et al, Case No. 98-8117-CR-HURLEY, S.D. Fla. 1998; and United States v. McEvoy, Case No. 98-8115 CR- HURLEY, S.D. Fla. 1998).

The Order alleged that McKinney, Gordon, McEvoy, Taylor, Parks and Brownson each pled guilty to one count of an indictment or criminal information charging them with conspiracy to commit securities fraud, mail fraud and wire fraud. The indictment and criminal information alleged that while employed as registered representatives at various registered broker-dealers during various periods between June 1993 and June 1995,

compensation from a promoter for inducing their clients to purchase securities of certain issuers affiliated with the promoter. Each was sentenced to probation and/or prison and ordered to pay restitution to the extent that their clients suffered losses. A hearing will be held to determine what, if any, remedial action is appropriate in the public interest. (Rel. 34-43322; File No. 3-10295)

### **FINAL JUDGMENT ENTERED AGAINST ARTHUR SHOEMAKER IN INSIDER TRADING ACTION**

On September 13, the United States District Court for the District of Massachusetts entered a Final Judgment of Permanent Injunction (Final Judgment) against Arthur H. Shoemaker (Shoemaker). The Commission had previously sued Shoemaker, a former senior engineer and director of development of the medical products group of Galileo Corporation, for insider trading in advance of a February 12, 1997 announcement that Galileo was losing its largest customer, Xerox Corporation. The announcement had a devastating effect on Galileo's stock price, causing it to decline by 63%. The Commission alleged that by trading in advance of the announcement, Shoemaker illegally avoided a loss of approximately \$87,827 on his sales. The Final Judgment, entered pursuant to Shoemaker's consent and without admitting or denying the allegations contained in the Commission's complaint, enjoins Shoemaker from future violations of Section 17(a) of the Securities Act of 1933 and Section 10(b) of the Securities Exchange Act of 1934 and Rule 10b-5 thereunder. The judgment also orders Shoemaker to disgorge \$87,827 plus prejudgment interest, but waives payment of all but \$47,250 of this amount based upon his demonstrated financial inability to pay more. A civil penalty was not assessed based on Shoemaker's inability to pay. [SEC v. Arthur H. Shoemaker, Civil Action No. 99cv11568-MLW, USDC, D. Mass.] (LR-16716)

### **DISTRICT COURT ENTERS FINAL JUDGMENT AGAINST JAMES SKALKO AND POW WOW, INC. PERMANENTLY ENJOINING THEM FROM VIOLATING THE ANTIFRAUD, ANTITOUTING AND REGISTRATION PROVISIONS OF THE FEDERAL SECURITIES LAWS**

The Commission announced today that, on August 11, 2000, the United States District Court for the Middle District of Florida entered final judgments against James A. Skalko (Skalko) and Pow Wow, Inc. (Pow Wow). Without admitting or denying the Commission's allegations, Skalko consented to the entry of a judgment which permanently enjoins him from violating Sections 5(a), 5(c), 17(a) and 17(b) of the Securities Act of 1933 (Securities Act), Sections 10(b) and 15(a)(1) of the Securities Exchange Act of 1934 (Exchange Act), and Exchange Act Rule 10b-5. The judgment also requires Skalko to pay disgorgement and prejudgment interest in the amount of \$425,000, and a civil penalty of \$100,000. Additionally, without admitting or denying the Commission's allegations, Pow Wow consented to the entry of a judgment which permanently enjoins it from violating Sections 5(a) and 5(c) of the Securities Act.

The Commission alleged that Skalko, while a principal associate of Corporate Relations Group, Inc. (CRG), a public relations firm and co-defendant in this action, violated the

antifraud, antitouting and registration provisions of the securities laws by participating in a fraudulent scheme in which CRG acquired control of large blocks of securities from small companies at a steep discount, touted the securities to the public, and then sold the securities, which were neither registered with the Commission nor exempt from registration, while promoting them. The Commission further alleged that Pow Wow, a Florida corporation for which Skalko was the president and sole director, violated the registration requirements of the Securities Act by selling the securities of CRG's clients while those securities were not registered with the Commission and no exemption from registration was available.

The Commission's civil action against CRG and other defendants, which alleges a variety of federal securities laws violations, including violations of the antifraud, antitouting and registration provisions, is proceeding in the Middle District of Florida (See SEC v. Corporate Relations Group, Inc., et al., Civil Action No. 6:99-cv-1222-Orl-28A, M.D. Fla., Orlando, filed September 27, 1999; Litigation Release No. 16294). [SEC v. Corporate Relations Group, Inc., et al., Civil Action No. 6:99-cv-1222-Orl-28A, M.D. Fla., Orlando] (LR-16717)

### **JOSEPH WEBSTER ENJOINED FOR INSIDER TRADING**

The Commission today filed insider trading charges against Joseph P. Webster, a resident of North Carolina and former president of Priority Telecom, a UnitedGlobalCom, Inc. (United) subsidiary, based in Amsterdam, The Netherlands. The Commission alleged that in January 1999, Webster engaged in illegal insider trading in the securities of United.

The complaint, filed in the United States District Court for the District of Columbia, alleges that Webster, in connection with his employment at Priority Telecom, learned that Microsoft Corporation would invest \$300 million in an initial public offering of United Pan-European Communications (UPC), a wholly-owned subsidiary of United, and that Microsoft and UPC would execute a technical services agreement. The Commission asserts that based upon that information, Webster purchased 1,441 shares of United common stock on January 25 prior to United announcing the Microsoft deal at the close of that business day. Webster sold all his United stock on January 27 for illegal trading profits of \$15,321.

Without admitting or denying the substantive allegations in the complaint, Webster consented to the entry of a judgment permanently enjoining him from violating antifraud provisions of the federal securities laws, ordering him to disgorge profits in the amount of \$15,321, together with prejudgment interest, and ordering him to pay a civil penalty of \$15,321. [SEC v. Joseph P. Webster, Civil Action No. 00-CV-02246] (LR-16718)

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### **HOLDING COMPANY ACT RELEASES**

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## **AMERICAN ELECTRIC POWER COMPANY, INC. AND CENTRAL AND SOUTH WEST CORPORATION**

An order has been issued denying a request by Mr. Paul Davis, an individual American Electric Power Company, Inc. (AEP) shareholder, for reconsideration of a Commission order issued on June 14, 2000 (June Order) under the Public Utility Holding Company Act of 1935 (Holding Company Act Release No. 27186) and denying a related motion. The June Order authorized AEP to acquire Central and South West Corporation (CSW), a registered public utility holding company, and related transactions. The June Order also denied requests for hearing and for consolidation. (Rel. 35-27232)

## **AMERICAN ELECTRIC POWER COMPANY, INC., ET AL**

An order has been issued authorizing a proposal by American Electric Power Company, Inc. (AEP), a registered holding company. AEP proposes to 1) extend the authorization period of its guarantee authority (Guarantee Authority) from December 31, 2002 to June 30, 2004; 2) increase the Guarantee Authority of the debt of certain nonutility subsidiaries (New Subsidiaries) from \$200 million to up to \$600 million; and 3) increase the Guarantee Authority of other obligations of the New Subsidiaries from \$200 million to up to \$600 million under the terms and conditions stated in the prior orders. The Commission also denied a request for hearing and a related motion. (Rel. 35-27233)

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## **SELF-REGULATORY ORGANIZATIONS**

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### **IMMEDIATE EFFECTIVENESS OF PROPOSED RULE CHANGES**

A proposed rule change filed by the National Association of Securities Dealers to extend the operation of certain Nasdaq services and facilities until 6:30 p.m. Eastern Time (SR-NASD-00-56) has become effective under Section 19(b)(3)(A) of the Securities Exchange Act of 1934. Publication of the proposal is expected in the Federal Register during the week of September 25. (Rel. 34-43302)

A proposed rule change filed by the National Association of Securities Dealers regarding an alternative method of riskless principal trade reporting (SR-NASD-00-52) has become effective under Section 19(b)(3)(A) of the Securities Exchange Act of 1934. Publication of the proposal is expected in the Federal Register during the week of September 25. (Rel. 34-43303)

A proposed rule change filed by the Chicago Stock Exchange to make its after-hours trading "E-Session" a permanent part of its operations (SR-CHX-00-26) has become effective under Section 19(b)(3)(A) of the Securities Exchange Act of 1934. Publication of the proposal is expected in the Federal Register during the week of September 25. (Rel. 34-43304)

## APPROVAL OF PROPOSED RULE CHANGES

The Commission approved a proposed rule change submitted by the American Stock Exchange (SR-Amex-00-36) adopting an options principal membership seat upgrade program. (Rel. 34-43305)

The Commission approved a proposed rule change submitted by the American Stock Exchange (SR-Amex-00-12) establishing procedures for the review of initial listing determinations. (Rel. 34-43308)

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## SECURITIES ACT REGISTRATIONS

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The following registration statements have been filed with the SEC under the Securities Act of 1933. The reported information appears as follows: Form, Name, Address and Phone Number (if available) of the issuer of the security; Title and the number and/or face amount of the securities being offered; Name of the managing underwriter or depositor (if applicable); File Number and date filed; Assigned Branch; and a designation if the statement is a New Issue.

Registration statements may be obtained in person or by writing to the Commission's Public Reference Branch at 450 Fifth Street, N.W., Washington, D.C. 20549 or at the following e-mail box address: <publicinfo@sec.gov>. In most cases, this information is also available on the Commission's website: <www.sec.gov>.

- S-8 PLASTICS MFG CO, W188 N11707 MAPLE ROAD, GERMANTOWN, WI 53022  
(414) 255-5790 - 200,000 (\$2,400,000) COMMON STOCK. (FILE 333-45830 -  
SEP. 15) (BR. 6)
- S-3 CONTINENTAL AIRLINES INC /DE/, 1600 SMITH STREET 3303D, DEPT HQSEO,  
HOUSTON, TX 77002 (713) 324-5000 - 943,317,000 (\$943,317,000)  
EQUIPMENT TRUST CERTIFICATES. (FILE 333-45834 - SEP. 14) (BR. 5)
- SB-2 INFE COM INC, 8000 TOWERS CRESCENT DRIVE, SUITE 640, VIENNA, VA  
22182  
45836 - (703) 734-5650 - 9,610,100 (\$6,825,631.20) COMMON STOCK. (FILE 333-  
SEP. 15) (BR. 9)
- S-4 FIRST COMMUNITY BANCSHARES INC /NV/, PO BOX 989, BLUEFIELD, VA 24605  
(304) 487-9000 - 435,000 (\$8,956,650) COMMON STOCK. (FILE 333-45838 -  
SEP. 15) (BR. 7)
- S-1 MMCA AUTO RECEIVABLES TRUST, 6363 KATELLA AVE, CYPRESS, CA 90630  
45842 - (714) 236-1614 - 1,000,000 (\$1,000,000) STRAIGHT BONDS. (FILE 333-  
SEP. 15) (BR. 8)

S-1 PENSON WORLDWIDE INC, 1700 PACIFIC AVE, STE 1400, DALLAS, TX 75201  
(214) 765-1100 - \$150,000,000 COMMON STOCK. (FILE 333-45844 - SEP. 15)  
(BR. 7 - NEW ISSUE)

S-8 AUGUST TECHNOLOGY CORP, 4900 W 78TH ST, BLOOMINGTON, MN 55435  
(952) 820-0080 - 375,000 (\$5,015,625) COMMON STOCK. (FILE 333-45848 -  
SEP. 15) (BR. 5)

S-8 AUGUST TECHNOLOGY CORP, 4900 W 78TH ST, BLOOMINGTON, MN 55435  
(952) 820-0080 - 2,122,623 (\$28,390,083) COMMON STOCK. (FILE 333-45850  
-  
SEP. 15) (BR. 5)

S-8 VALUE CITY DEPARTMENT STORES INC /OH, 3241 WESTERVILLE RD, COLUMBUS,  
OH  
43224 (614) 471-4722 - 1,000,000 (\$8,530,000) COMMON STOCK. (FILE  
333-45852 - SEP. 15) (BR. 2)

S-8 FREESHOP COM INC, 95 S JACKSON, STE 300, SEATTLE, WA 98104  
(206) 441-9100 - 2,000,000 (\$7,000,000) COMMON STOCK. (FILE 333-45854  
-  
SEP. 15) (BR. 8)

S-8 VALUE CITY DEPARTMENT STORES INC /OH, 3241 WESTERVILLE RD, COLUMBUS,  
OH  
43224 (614) 471-4722 - 120,000 (\$1,023,600) COMMON STOCK. (FILE 333-  
45856  
- SEP. 15) (BR. 2)

S-8 CAREDATA COM INC, TWO PIEDMONT CTR STE 400, 3565 PIEDMONT RD N E,  
ATLANTA, GA 30305 (404) 364-6700 - 400,000 (\$200,000) COMMON STOCK.  
(FILE  
333-45858 - SEP. 15) (BR. 8)

S-3 COMSTOCK RESOURCES INC, 5300 TOWN AND COUNTRY BLVD, STE 500, FRISCO,  
TX  
75034 (972) -66-8-88 - 7,500,000 (\$81,796,875) COMMON STOCK. (FILE  
333-45860 - SEP. 15) (BR. 4)

S-1 RIGEL PHARMACEUTICALS INC, 240 E GRAND AVE, SOUTH SAN FRANCISCO, CA  
94080 - 10,350,000 (\$103,500,000) COMMON STOCK. (FILE 333-45864 - SEP.  
15)  
(BR. 1)

S-8 CENTURY MILESTONE S&T CO LTD, NO 803 JINYU PLAZA,  
100 WEST SANHUAN NORTH RD, HAIDIAN DISTRICT BEIJING PRC, (303) 292-2992  
-  
3,500,000 (\$4,375,000) COMMON STOCK. (FILE 333-45866 - SEP. 15) (BR.  
9)

S-3 INTERNET COMMERCE CORP, 805 THIRD AVE, STE 622, NEW YORK, NY 10022  
(212) 271-7640 - 238,579 (\$3,593,596) COMMON STOCK. (FILE 333-45868 -  
SEP. 15) (BR. 3)

- SB-2 COMMUNICATIONS RESEARCH INC, 69 WESLEY STREET, SOUTH BACKENSACK, NJ  
07606 (201) 457-1011 - \$9,744.36 COMMON STOCK. (FILE 333-45870 - SEP.  
15)  
(BR. 9 - NEW ISSUE)
- S-1 CHESAPEAKE ENERGY CORP, 6100 N WESTERN AVE, OKLAHOMA CITY, OK 73118  
(405) 848-8000 - 389,378 (\$3,017,680) STRAIGHT BONDS. (FILE 333-45872  
-  
SEP. 15) (BR. 4)
- S-8 FIRST LEESPORT BANCORP INC, 133 N CENTRE AVE, LEESPORT, PA 19533  
(610) 926-2161 - 100,000 (\$1,400,000) COMMON STOCK. (FILE 333-45874 -  
SEP. 15) (BR. 7)
- S-1 TOMAX CORP, 205 N 400 W, SALT LAKE CITY, UT 84103 (801) 990-0909 -  
\$50,000,000 COMMON STOCK. (FILE 333-45876 - SEP. 15) (BR. 3 - NEW  
ISSUE)
- S-3 FIRST LEESPORT BANCORP INC, 133 N CENTRE AVE, LEESPORT, PA 19533  
(610) 926-2161 - 200,000 (\$2,800,000) COMMON STOCK. (FILE 333-45878 -  
SEP. 15) (BR. 7)
- S-3 MERRILL LYNCH & CO INC, 4 WORLD FINANCIAL CTR, NEW YORK, NY 10080  
(212) 449-1000 - 17,100,602 (\$1,178,915,501) COMMON STOCK. (FILE  
333-45880 - SEP. 15) (BR. 7)
- S-8 CYCOMM INTERNATIONAL INC, 1420 SPRINGHILL RD, STE 420, MCLEAN, VA  
22102  
(703) 903-9548 - 3,931,209 (\$5,176,423) COMMON STOCK. (FILE 333-45882  
-  
SEP. 15) (BR. 3)
- S-3 AVI BIOPHARMA INC, ONE SW COLUMBIA, STE 1105, PORTLAND, OR 97258  
(503) 227-0554 - 1,725,120 (\$14,663,520) COMMON STOCK. (FILE 333-45888  
-  
SEP. 15) (BR. 1)
- S-8 TRIGON HEALTHCARE INC, 2015 STAPLES MILL RD, RICHMOND, VA 23230  
(804) 354-7000 - 2,766,000 (\$137,253,437.50) COMMON STOCK. (FILE  
333-45890 - SEP. 15) (BR. 1)
- S-8 TRANS ENERGY INC, 210 SECOND ST, PO BOX 393, ST MARYS, WV 26170  
(304) 684-7053 - 140,000 (\$8,750) COMMON STOCK. (FILE 333-45892 - SEP.  
15)  
(BR. 4)
- S-8 TRANS ENERGY INC, 210 SECOND ST, PO BOX 393, ST MARYS, WV 26170  
(304) 684-7053 - 1,000,000 (\$62,600) COMMON STOCK. (FILE 333-45894 -  
SEP. 15) (BR. 4)
- S-3 CABLE DESIGN TECHNOLOGIES CORP, 661 ANDERSON DR, FOSTER PLZ 7,  
PITTSBURGH, PA 15220 (412) 937-2300 - \$150,000,000 STRAIGHT BONDS.  
(FILE  
333-45896 - SEP. 15) (BR. 6)

S-3 CISCO SYSTEMS INC, 170 W TASMAN DR, SAN JOSE, CA 95134 (408) 526-4000 -  
5,902,284 (\$357,088,182) COMMON STOCK. (FILE 333-45898 - SEP. 15) (BR. 3)

S-8 SPSS INC, 233 S WACKER DR, CHICAGO, IL 60606 (312) 329-2400 -  
626,000 (\$15,825,906) COMMON STOCK. (FILE 333-45900 - SEP. 15) (BR. 3)

S-3 AMERIGAS PARTNERS LP, 460 N GULPH RD, BOX 965, VALLEY FORGE, PA 19482  
(610) 337-7000 - 9,000,000 (\$167,625,000) COMMON STOCK. (FILE 333-45902 -  
SEP. 15) (BR. 2)

S-8 KROGER CO, 1014 VINE ST, CINCINNATI, OH 45201 (513) 762-4000 -  
12,000,000 (\$271,920,000) COMMON STOCK. (FILE 333-45904 - SEP. 15)  
(BR. 2)

S-8 BARNEYS NEW YORK INC, 575 FIFTH AVE, NEW YORK, NY 10017 (212) 339-3300  
- 654,000 (\$6,703,500) COMMON STOCK. (FILE 333-45912 - SEP. 15) (BR. 2)

S-4 AES CORPORATION, 1001 N 19TH ST, STE 2000, ARLINGTON, VA 22209  
(703) 522-1315 - 10,000,000 (\$856,600,000) COMMON STOCK. \$250,000,000  
STRAIGHT BONDS. (FILE 333-45916 - SEP. 15) (BR. 2)

S-3 SECURE COMPUTING CORP, ONE ALMADEN BLVD, STE 400, SAN JOSE, CA 95113  
(612) 628-2700 - 381,072 (\$10,182,244) COMMON STOCK. (FILE 333-45918 -  
SEP. 15) (BR. 3)

S-8 SAFEWAY INC, 5918 STONERIDGE MALL RD, PLEASANTON, CA 94588  
(925) 467-3000 - 8,000,000 (\$401,250,000) COMMON STOCK. (FILE 333-45920 -  
SEP. 15) (BR. 2)

S-1 ARRAY BIOPHARMA INC, 1885 33RD ST, BOULDER, CO 80301 (303) 381-6600  
- \$72,000,000 COMMON STOCK. (FILE 333-45922 - SEP. 15) (BR. 1)

S-3 IFS INTERNATIONAL HOLDINGS INC, 300 JORDAN RD, RENSSELAER TECHNOLOGY  
PK,  
TROY, NY 12180 (518) 283-7900 - 1,168,386 (\$2,628,828) COMMON STOCK.  
(FILE 333-45924 - SEP. 15) (BR. 3)

S-4 DIGITAL GENERATION SYSTEMS INC, 875 BATTERY ST, STE 1850, SAN  
FRANCISCO,  
CA 94111 (415) 546-6600 - 51,440,537 (\$10,096.47) COMMON STOCK. (FILE  
333-45926 - SEP. 15) (BR. 2)

S-8 AUTODESK INC, 111 MCINNIS PKWY, SAN RAFAEL, CA 94903 (415) 507-5000  
- 3,658,245 (\$104,717,263.12) COMMON STOCK. (FILE 333-45928 - SEP. 15)  
(BR. 3)

- S-3 MAIL COM INC, 11 BROADWAY, NEW YORK, NY 10004 (212) 425-4200 -  
75,000,000 (\$75,000,000) COMMON STOCK. (FILE 333-45930 - SEP. 15) (BR.  
2)
- S-8 NEON COMMUNICATIONS INC, 2200 W PARK DR, WESTBOROUGH, MA 01581  
(508) 616-7800 - 4,453,276 (\$161,921,115) COMMON STOCK. (FILE 333-  
45932 -  
SEP. 15) (BR. 7)
- S-1 JNI CORP, 9775 TOWNE CENTRE DR, SAN DIEGO, CA 92121 (858) 535-3121 -  
5,175,000 (\$362,896,875) COMMON STOCK. (FILE 333-45934 - SEP. 15) (BR.  
36)
- S-4 CALDERA SYSTEMS INC, 240 W CENTER ST, OREM, UT 84057 (801) 765-4999  
-  
64,919,119 (\$342,472,759) COMMON STOCK. (FILE 333-45936 - SEP. 15)  
(BR. 3)
- S-8 NUMERICAL TECHNOLOGIES INC, 70 W PLUMERIA AVE, SAN JOSE, CA 95134  
(408) 919-1910 - 3,888,322 (\$90,619,475.39) COMMON STOCK. (FILE 333-  
45938  
- SEP. 15) (BR. 1)
- S-1 OMM INC, 9410 CARROLL PARK DR, STE 140, SAN DIEGO, CA 92121  
(858) 362-2800 - \$100,000,000 COMMON STOCK. (FILE 333-45940 - SEP. 15)  
(BR. 37)
- S-1 CALIPER TECHNOLOGIES CORP, 605 FAIRCHILD DR, STE 405, MOUNTAIN VIEW,  
CA  
94043 (650) 623-0700 - 2,300,000 (\$133,112,500) COMMON STOCK. (FILE  
333-45942 - SEP. 15) (BR. 5)
- S-4 PAN PACIFIC RETAIL PROPERTIES INC, 1631-B S MELROSE DR, VISTA, CA  
92083  
(760) 727-1002 - 12,195,221 (\$230,529,025) COMMON STOCK. (FILE 333-  
45944 -  
SEP. 15) (BR. 8)
- S-8 CORIO INC, 700 BAY RD, STE 210, REDWOOD CITY, CA 94063 (650) 298-  
4800 -  
17,240,970 (\$117,126,789.58) COMMON STOCK. (FILE 333-45948 - SEP. 15)  
(BR. 3)

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## RECENT 8K FILINGS

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Form 8-K is used by companies to file current reports on the following events:

- Item 1. Changes in Control of Registrant.
- Item 2. Acquisition or Disposition of Assets.
- Item 3. Bankruptcy or Receivership.
- Item 4. Changes in Registrant's Certifying Accountant.
- Item 5. Other Materially Important Events.
- Item 6. Resignations of Registrant's Directors.
- Item 7. Financial Statements and Exhibits.

Item 8. Change in Fiscal Year.  
 Item 9. Regulation S Offerings.

The following companies have filed 8-K reports for the date indicated and/or amendments to 8-K reports previously filed, responding to the item(s) of the form specified. 8-K reports may be obtained in person or by writing to the Commission's Public Reference Branch at 450 Fifth Street, N.W., Washington, D.C. 20549 or at the following e-mail box address: <publicinfo@sec.gov>. In most cases, this information is also available on the Commission's website: <www.sec.gov>.

NAME OF ISSUER	STATE CODE	8K ITEM NO.									DATE	COMMENT
		1	2	3	4	5	6	7	8	9		
ACCRUE SOFTWARE INC	DE					X	X				09/20/00	
ADC TELECOMMUNICATIONS INC	MN					X	X				09/19/00	
ADVANCED TISSUE SCIENCES INC	DE					X					09/20/00	
AFFILIATED COMPUTER SERVICES INC	DE					X					09/20/00	
ALLBERRY INC	DE					X					09/18/00	
ALLIANCE CAPITAL MANAGEMENT HOLDING LP	DE					X	X				09/21/00	
ALLIANCE CAPITAL MANAGEMENT L P	DE					X	X				09/21/00	
ALLIED RISER COMMUNICATIONS CORP	DE					X	X				09/19/00	
AMC FINANCIAL INC	DE		X			X	X				09/20/00	
AMERICAN COMMERCIAL LINES LLC	DE		X								09/06/00	
AMERICAN EXPRESS CENTURION BANK	UT					X	X				09/15/00	
AMERUS GROUP CO/IA	IA					X					09/18/00	
ANMORE MANAGEMENT INC	AI		X								09/12/00	
APPLIED DIGITAL SOLUTIONS INC	MO		X					X			09/08/00	
APPLIX INC /MA/	MA					X	X				09/15/00	
ARCH COMMUNICATIONS GROUP INC /DE/	DE					X	X				09/07/00	
ARIS CORP/	WA					X	X				09/01/00	
ASD SYSTEMS INC	TX					X	X				09/20/00	
ASSET BACKED SECURITIES CORP	DE		X								08/25/00	
ASSET SECURITIZATION CORP COM MOR P S THR CERT SER 1999-C2	DE		X								08/25/00	
ATMEL CORP	DE					X	X				09/15/00	
ATMI INC	DE					X	X				07/07/00	AMEND
AURORA FOODS INC /DE/	DE					X	X				09/20/00	
AUTOTOTE CORP	DE		X				X				09/06/00	
BANC OF AMERICA COMMERCIAL MORTGAGE INC	DE					X	X				09/20/00	
BANC ONE AUTO GRANTOR TRUST 1997-B	NY					X	X				09/20/00	
BANK ONE TEXAS NATIONAL ASSOCIATION						X	X				09/20/00	
BANTA CORP	WI					X	X				09/20/00	
BARTON BEERS LTD	MD					X					09/18/00	
BARTON BRANDS LTD /DE/	DE					X					09/18/00	
BARTON BRANDS OF CALIFORNIA INC	CT					X					09/18/00	
BARTON BRANDS OF GEORGIA INC	GA					X					09/18/00	
BARTON CANADA LTD	DE					X					09/18/00	
BARTON DISTILLERS IMPORT CORP	NY					X					09/18/00	
BARTON FINANCIAL CORP	DE					X					09/18/00	
BARTON INC	DE					X					09/18/00	
BATAVIA WINE CELLARS INC	NY					X					09/18/00	
BEAR STEARNS COMMERCIAL MORTGAGE SE CURITIES INC	DE		X								08/25/00	
BEAR STEARNS COMMERCIAL MORTGAGE SE CURITIES INC	DE		X								08/25/00	

NAME OF ISSUER	STATE CODE	8K ITEM NO.									DATE	COMMENT
		1	2	3	4	5	6	7	8	9		
BEAR STEARNS COMMERCIAL MORTGAGE SE CURITIES INC	DE				X		X				09/19/00	
BLUE ZONE INC	NV				X		X				09/08/00	
BPI PACKAGING TECHNOLOGIES INC	DE						X				09/21/00	
BREED TECHNOLOGIES INC	DE				X		X				02/11/00	
BURNHAM PACIFIC PROPERTIES INC	MD				X		X				09/11/00	
CANANDAIGUA EUROPE LTD	NY				X						09/18/00	
CANANDAIGUA LTD	NY				X						09/18/00	
CANANDAIGUA WINE CO INC /NY/	NY				X						09/18/00	
CANDANAIGUA B V	DE						X				09/18/00	
CAPITAL BUILDERS DEVELOPMENT PROPER TIES /CA/	CA			X							08/30/00	
CARAUSTAR INDUSTRIES INC	NC				X		X				09/12/00	
CELL THERAPEUTICS INC	WA							X			09/20/00	
CERPLEX GROUP INC/DE	DE	X	X								09/21/00	
CHASE COMMERCIAL MORTGAGE SECURITIE S CORP	NY				X		X				09/21/00	
CHEVY CHASE AUTO RECEIVABLES TRUST 2000-1	MD				X						09/20/00	
CHURCHILL DOWNS INC	KY	X									09/08/00	
CLARK/BARDES HOLDINGS INC	DE	X									09/06/00	
CLOUD PEAK CORP	DE				X						09/18/00	
CNH RECEIVABLES INC	DE				X		X				09/15/00	
COAST FEDERAL LITIGATION CONTINGENT PAYMENT RIGHTS TRUST	DE				X		X				09/19/00	
COBALT NETWORKS INC	DE				X						09/18/00	
COLLEGIATE PACIFIC INC	PA	X					X				09/07/00	
COMMERCIAL NET LEASE REALTY INC	DE				X		X				09/20/00	
COMMUNITY CAPITAL CORP /SC/	SC				X		X				09/06/00	
COMMUNITY WEST BANCSHARES /	CA				X		X				09/20/00	
COMPUTER ASSOCIATES INTERNATIONAL I NC	DE				X		X				09/21/00	
COMPUTER NETWORK TECHNOLOGY CORP	MN				X						09/21/00	
CONCORD CAMERA CORP	NJ						X				09/21/00	
CONSECO FINANCE SECURITIZATIONS COR P	DE						X				09/19/00	
CONSTELLATION BRANDS INC	DE				X						09/18/00	
CONTINENTAL AIRLINES INC /DE/	DE				X		X				09/21/00	
CONTINENTAL INFORMATION SYSTEMS COR P	NY				X						09/19/00	
CORECOMM LTD	DO	X					X				09/21/00	
CP&L ENERGY INC	NC				X						09/01/00	
CREDIT SUISSE FIRST BOSTON MORTGAGE SECURITIES CORP	DE	X									08/25/00	
CYBERIAN OUTPOST INC	CT	X					X				09/08/00	
CYPRESS SEMICONDUCTOR CORP /DE/	DE				X		X				08/30/00	
DATA CRITICAL CORP	DE	X					X				09/11/00	
DEVX ENERGY INC	DE				X						09/18/00	
DLJ COMMERCIAL MORTGAGE CORP	DE				X		X				09/20/00	
DLJ MORTGAGE ACCEPTANCE CORP	DE				X		X				09/18/00	
DRUG EMPORIUM INC	DE				X		X				09/14/00	
DUSA PHARMACEUTICALS INC	NJ				X		X				09/20/00	
E XACT TRANSACTIONS LTD	CO				X						09/20/00	
EDIETS COM INC	DE				X		X				09/12/00	

NAME OF ISSUER	STATE CODE	8K ITEM NO.									DATE	COMMENT
		1	2	3	4	5	6	7	8	9		
ELECTRONIC TRANSMISSION CORP /DE/	DE					X					08/25/00	
ENCHIRA BIOTECHNOLOGY CORP	DE					X					09/08/00	
ENDOSONICS CORP	DE	X									09/19/00	AMEND
ESAT INC	NV					X					09/13/00	
ESB FINANCIAL CORP	PA					X	X				09/20/00	
ESSEX CORPORATION	VA		X								09/20/00	
ETRAVELSERVE COM INC	NV		X					X			08/23/00	
EVISION USA COM INC	CO					X					09/08/00	
FACTUAL DATA CORP	CO					X					09/05/00	
FARMER MAC MORTGAGE SECURITIES CORP	DE							X			09/15/00	
FARMER MAC MORTGAGE SECURITIES CORP	DE							X			09/23/00	
FARMER MAC MORTGAGE SECURITIES CORP	DE							X			09/24/00	
FIRST CHARTER CORP /NC/	NC					X	X				09/20/00	
FIRST HORIZON ASSET SECURITIES INC	DE					X	X				09/20/00	
FIRST HORIZON ASSET SECURITIES INC	DE					X					09/20/00	
FIRST MUTUAL BANCSHARES INC	WA				X						09/18/00	
FIRST NATIONAL BANKSHARES CORP	WV	X									09/20/00	
FIRST SECURITY AUTO OWNER TRUST 200 0-2	UT					X					09/18/00	
FIRST SECURITY BANK NA						X					09/18/00	
FIRST UNION COMMERCIAL MORTGAGE SEC URITIES INC	NC	X									08/25/00	
FLEETBOSTON FINANCIAL CORP	RI					X	X				09/12/00	
FORD MOTOR CO	DE			X	X						06/28/00	AMEND
FORD MOTOR CO	DE	X	X								09/21/00	
FORD MOTOR CREDIT CO	DE					X	X				09/21/00	
FPIC INSURANCE GROUP INC	FL					X	X				09/18/00	
FRANCHISE FINANCE CORP OF AMERICA	DE					X	X				09/15/00	
FRANCISCAN VINEYARDS INC	DE					X					09/18/00	
FREEPORT MCMORAN COPPER & GOLD INC	DE					X					09/20/00	
FREESOFTWARECLUB COM	DE					X					09/21/00	
FRONTIER ADJUSTERS OF AMERICA INC	AZ			X			X				09/06/00	AMEND
FULTON FINANCIAL CORP	PA					X	X				08/31/00	
GALEY & LORD INC	DE					X					09/20/00	
GLOBAL SPORTS INC	DE					X	X				09/13/00	
GLOBAL TELESYSTEMS INC	DE					X	X				09/19/00	
GMAC COMMERCIAL MORTGAGE SECURITIES INC	DE	X									08/25/00	
GO ONLINE NETWORKS CORP	CO		X					X			09/05/00	
GREATER BAY BANCORP	CA					X	X				08/28/00	
GREENPOINT HOME EQUITY LOAN TRUST 2 000-1	DE					X	X				08/25/00	
GREENPOINT MORTGAGE SECURITIES INC/ GS MORTGAGE SEC CORP II COMM MORT P A THRO CERT SER 1997-GL1	DE					X	X				09/19/00	
HADRO RESOURCES INC	NV	X									09/15/00	
HAIN CELESTIAL GROUP INC	DE					X	X				09/19/00	
HAVANA REPUBLIC INC/FL	FL			X			X				09/20/00	AMEND
HCA-THE HEALTHCARE CO	DE					X	X				09/14/00	
HEALTHY PLANET PRODUCTS INC	DE						X	X			09/20/00	
HENLEY HEALTHCARE INC	TX					X	X				09/05/00	
HERTZ CORP	DE					X	X				09/21/00	
HOVNANIAN ENTERPRISES INC	DE					X	X				09/20/00	
HUMAN GENOME SCIENCES INC	DE		X			X	X				09/08/00	

NAME OF ISSUER	STATE CODE	BK ITEM NO.									DATE	COMMENT	
		1	2	3	4	5	6	7	8	9			
IMPAC MORTGAGE PASS THROUGH CERTIFI CATES SERIES 2000-2	CA					X	X					07/25/00	
IMPAC MORTGAGE PASS THROUGH CERTIFI CATES SERIES 2000-2	CA					X	X					08/25/00	
INFORMATION MANAGEMENT ASSOCIATES I NC	CT					X	X					09/15/00	
INPRISE CORP	DE							X				09/19/00	
INTEGRATED ORTHOPEDICS INC	TX					X	X					09/18/00	
INTERLIANT INC	DE					X	X					09/20/00	
KING PHARMACEUTICALS INC	TN		X					X				07/07/00	AMEND
LACLEDE GAS CO	MO						X					09/20/00	
LANGER BIOMECHANICS GROUP INC	NY	X						X				09/17/00	
LEHMAN BROTHERS HOLDINGS INC	DE	X										09/20/00	
LODGIAN INC	DE				X			X				09/15/00	
LORAL SPACE & COMMUNICATIONS LTD	DO						X					09/18/00	
LTC HEALTHCARE INC	NV		X					X				09/06/00	
LUNA MEDICAL TECHNOLOGIES INC	NV						X					09/07/00	
M V LEWIS CORP	DE						X					09/18/00	
MALLINCKRODT INC /MO	NY						X					09/19/00	
MAXX INTERNATIONAL INC	UT						X					09/06/00	
MCMORAN EXPLORATION CO /DE/	DE						X					09/20/00	
MEASUREMENT SPECIALTIES INC	NJ				X							09/18/00	
MERCANTILE CREDIT CARD MASTER TRUST	NY					X		X				09/07/00	
MERISEL INC /DE/	DE						X					09/30/00	
MESTEK INC	PA						X	X				09/20/00	
METROCALL INC	DE						X					09/19/00	
MICRO LINEAR CORP /CA/	DE		X					X				09/06/00	
MIDWEST BANC HOLDINGS INC	DE						X	X				09/12/00	
MILLER PETROLEUM INC	TN		X					X				09/06/00	
MOMENTUM HOLDINGS CORP	DE					X		X				09/21/00	
MONARCH IMPORT CO	IL						X					09/18/00	
MONTANA POWER CO /MT/	MT		X									09/15/00	
MORGAN JP COMMERCIAL MOR FIN CORP M OR PAS THR CE SER 2000-C9	DE	X										08/25/00	
MORGAN STANLEY DEAN WITTER & CO	DE						X	X				09/21/00	
MOTIENT CORP	DE						X	X				09/19/00	
MOTO PHOTO INC	DE						X	X				09/20/00	
MT VEEDER CORP	DE						X					09/18/00	
MYCOM GROUP INC /NV/	NV				X			X				09/20/00	
NATIONSLINK FUNDING CORP COMM MORT PASS THR CER SER 1999 SL	DE	X										08/25/00	
NETSCOUT SYSTEMS INC	DE		X					X				07/07/00	AMEND
NET2PHONE INC	DE							X				07/23/00	AMEND
NET2000 COMMUNICATIONS INC	DE		X					X				09/20/00	AMEND
NEWPORT NEWS SHIPBUILDING INC	DE							X	X			09/18/00	
NOBLE INTERNATIONAL LTD	MI		X					X				09/06/00	
OMEGA ENVIRONMENTAL INC	DE			X	X	X						09/20/00	
OMNICOMM SYSTEMS INC	DE						X	X				07/15/00	
ORBCOMM GLOBAL L P	DE			X				X				09/15/00	
ORBIT INTERNATIONAL CORP	DE				X							09/20/00	AMEND
ORLANDO PREDATORS ENTERTAINMENT INC	FL								X			09/20/00	
OXBORO MEDICAL INC	MN						X					09/18/00	
OXFORD RESIDENTIAL PROPERTIES I LTD PARTNERSHIP	MD		X									09/20/00	

NAME OF ISSUER	STATE CODE	8K ITEM NO.									DATE	COMMENT
		1	2	3	4	5	6	7	8	9		
OXFORD TAX EXEMPT FUND II LTD PARTN ERSHIP	MD		X								09/20/00	
PALATIN TECHNOLOGIES INC	DE				X	X					09/19/00	
PARACELUS HEALTHCARE CORP	CA		X								09/19/00	
PBOC HOLDINGS INC	DE				X	X					09/19/00	
PBOC HOLDINGS INC	DE				X	X					09/19/00	AMEND
PCSUPPORT COM INC	NV				X	X					09/07/00	
PENTAIR INC	MN				X	X					08/23/00	
PHARMACOPEIA INC	DE		X			X					09/06/00	
POLYONE CORP					X	X					09/19/00	
POLYPHENOLICS INC	NY				X						09/18/00	
POPMAIL COM INC	MN				X	X					09/15/00	
POWERCOLD CORP	NV		X								08/17/00	
PREDICT IT INC					X	X					09/19/00	
PROVIDENT HOME EQUITY LOAN TRUST 20 00-A	OH				X	X					07/25/00	
PROVIDENT HOME EQUITY LOAN TRUST 20 00-A	OH				X	X					08/25/00	
PUBLIC SERVICE CO OF NEW MEXICO	NM				X						09/21/00	
RALCORP HOLDINGS INC /MO	MO					X					09/21/00	AMEND
RAMSAY YOUTH SERVICES INC	DE				X	X					09/20/00	
REAL GOODS TRADING CORP	CA				X						08/30/00	
RENCO STEEL HOLDINGS INC	OH				X	X					09/07/00	
RENTRAK CORP	OR				X	X					09/20/00	
RESIDENTIAL ASSET MORTGAGE PRODUCTS INC	DE					X					09/18/00	
RESIDENTIAL ASSET MORTGAGE PRODUCTS INC	DE					X					09/18/00	
RESIDENTIAL ASSET MORTGAGE PRODUCTS INC	DE				X	X					09/21/00	
RESIDENTIAL ASSET SECURITIES CORP	DE					X					09/20/00	
RESIDENTIAL FUNDING MORTGAGE SECURI TIES II INC	DE				X	X					09/20/00	
RMI NET INC	DE				X	X					09/11/00	
ROBERTS TRADING CORP	NY				X						09/18/00	
ROCKY SHOES & BOOTS INC	OH				X						09/20/00	
RSI HOLDINGS INC	NC				X						09/20/00	
SALIENT CYBERTECH INC	DE		X								09/30/00	
SAXON ASSET SECURITIES TR 2000-2 MO RT LN AS BK CER SE 2000-2	VA				X	X					07/25/00	
SAXON ASSET SECURITIES TR 2000-2 MO RT LN AS BK CER SE 2000-2	VA				X	X					08/25/00	
SHIRE PHARMACEUTICALS GROUP PLC					X						09/20/00	
SNAP ON INC	DE				X	X					09/21/00	
SNAPPLE BEVERAGE GROUP INC	DE				X	X					09/18/00	
SPACEDEV INC	CO				X	X					09/05/00	
SPLASH TECHNOLOGY HOLDINGS INC	DE				X	X					08/30/00	
STEVENS POINT BEVERAGE CO	WI				X						09/18/00	
STEWART & STEVENSON SERVICES INC	TX				X	X					09/20/00	
STRUCTURED ASSET SEC CORP COM MORT PAS THR CERTS SER 2000-C3	DE		X								08/25/00	
T REIT INC	VA				X						09/18/00	
TECHNOLOGY FUNDING SECURED INVESTOR S I	CA					X					09/21/00	AMEND

NAME OF ISSUER	STATE CODE	8K ITEM NO.									DATE	COMMENT
		1	2	3	4	5	6	7	8	9		
TECHNOLOGY FUNDING SECURED INVESTOR S II	CA								X		09/21/00	AMEND
TECHNOLOGY FUNDING SECURED INVESTOR S III	CA								X		09/21/00	AMEND
TELEMONDE INC	DE				X		X				09/19/00	
TERAGLOBAL COMMUNICATIONS CORP	DE				X						09/20/00	
TIDEL TECHNOLOGIES INC	DE				X		X				09/08/00	
TIERS ASSET BACKED SECURITIES SER C HAMT TR 1997-7	DE								X		09/15/00	
TOSCO CORP	NV		X					X			09/08/00	
TRANSOCEAN SEDCO FOREX INC	TX				X		X				09/21/00	
TRIARC COMPANIES INC	DE				X		X				09/18/00	
TRIARC CONSUMER PRODUCTS GROUP LLC	DE				X		X				09/18/00	
TSET INC	NV				X		X				09/12/00	
U S INTERACTIVE INC/PA	DE				X		X				09/20/00	
UNITED FINANCIAL MORTGAGE CORP	IL		X								09/15/00	
US CAN CORP	DE				X		X				09/21/00	
US FRANCHISE SYSTEMS INC/	DE				X		X				09/18/00	
USA TECHNOLOGIES INC	PA				X		X				09/15/00	
VALLEY NATIONAL BANCORP	NJ				X		X				09/06/00	
VIDEO UPDATE INC	DE		X				X				09/18/00	
VIRGINIA GAS CO	DE				X		X				09/13/00	
VOLKSWAGEN DEALER FINANCE LLC	DE				X		X				09/20/00	
WASHINGTON HOMES INC	MD								X		09/20/00	
WCI STEEL INC	OH				X		X				09/07/00	
WELLS FARGO ASSET SECURITIES CORP	DE				X		X				09/20/00	
WELLS FARGO ASSET SECURITIES CORP	DE				X		X				09/21/00	
WENDYS INTERNATIONAL INC	OH				X						09/20/00	
WIN GATE EQUITY GROUP INC	FL			X			X				09/21/00	AMEND
WORLD WRESTLING FEDERATION ENTERTAINMENT INC	DE				X		X				09/18/00	
XCEED INC	DE				X						09/21/00	
1 800 FLOWERS COM INC	DE				X		X				09/22/00	
1STOPSALE COM HOLDINGS INC	DE				X						09/18/00	