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SEC NEWS DIGEST

Issue 2000-152

August 9, 2000

COMMISSION ANNOUNCEMENTS

MEDIA BRIEFING ON PROPOSED SELECTIVE DISCLOSURE RULE

What: Securities and Exchange Commission staff will conduct a media briefing to discuss the selective disclosure rule that the Commission will consider adopting at its open meeting on August 10, 2000 at 10:00 a.m.

Who: David M. Becker, General Counsel
Stephen M. Cutler, Deputy Director, Division of Enforcement

Where: U.S. Securities and Exchange Commission
450 Fifth Street, N.W.
Room 1C50
Washington, D.C.

When: Thursday, August 10, 2000, immediately following the open Commission meeting (approximately 11:30 a.m. EDT).

Teleconference: Please call 202.942.0020 to receive the call-in number for the briefing.

Other: For credentialed media only; pen and pad only. (Press Rel. 2000-110)

RULES AND RELATED MATTERS

ADOPTION OF RULE 12h-5 UNDER THE EXCHANGE ACT AND AMENDMENTS TO REGULATIONS S-X AND S-B AND FORM 20-F CONCERNING FINANCIAL STATEMENT REQUIREMENTS FOR RELATED ISSUERS AND GUARANTORS OF GUARANTEED SECURITIES

The Commission has issued a release adopting Rule 12h-5 under the Securities Exchange Act of 1934 and amending Regulations S-X and S-B and Form 20-F. The new rules and amendments articulate financial reporting requirements for related issuers and guarantors of guaranteed securities. They also create an exemption from reporting under the Exchange Act for eligible subsidiary issuers and subsidiary guarantors of guaranteed securities. The new rules codify, in large part, the staff's current analysis under Staff Accounting Bulletin No. 53 and related no-action letters. (Rels. 33-7878; 34-43124; International Series Rel. 1229)

ENFORCEMENT PROCEEDINGS

SEC CHARGES CHARLES DYER, HAWTHORNE INVESTMENT TRUST AND HAWTHORNE ASSOCIATES, INC. WITH REPEATEDLY FAILING TO COMPLY WITH SEC REGULATORY REQUIREMENTS

On August 8, the Commission instituted administrative and cease and desist proceedings against Charles G. Dyer, of Manchester, Massachusetts, and Hawthorne Investment Trust and Hawthorne Associates, Inc., Boston-based entities controlled by Dyer, based on extensive failures to comply with the rules and regulations governing investment advisers and investment companies.

The order alleges that from April 1995 through the present, Hawthorne Investment Trust, a registered investment company of which Dyer is the sole officer and trustee, failed to comply with the books and records, reporting and governance requirements of the Investment Company Act of 1940. For example, from April 1995 to the present, Hawthorne Investment Trust failed to file required semi-annual reports and annual amendments to its registration statement. Hawthorne Investment Trust also failed to send to its shareholders and file with the Commission semi-annual financial reports. The order also alleges that the investment company renewed an investment advisory contract without the approval of a majority of the directors who were not parties or interested persons. Since 1997, Hawthorne Investment Trust failed to maintain required fidelity bond coverage.

The order also alleges that Hawthorne Associates failed to properly audit client funds, send clients quarterly account statements, and keep required records regarding client funds. The order alleges that, beginning in 1996, Hawthorne Associates and another adviser, owned and controlled by Dyer, committed numerous books and records violations. Both advisers failed to file required annual amendments to Form ADV and failed to offer Part II of their Forms ADV to advisory clients on an annual basis. The

order alleges that Dyer willfully aided and abetted and caused the entities' violations, including the related violations by the other adviser.

The Commission is seeking an order: (1) requiring Dyer, Hawthorne Investment Trust and Hawthorne Associates to cease and desist from committing securities laws violations; and (2) imposing remedial sanctions and money penalties. (Rels. IA-1890; IC-24594; File No. 3-10264)

INDEPENDENT FINANCIAL GROUP AND GREGORY DUPLESSIS SANCTIONED FOR VIOLATING ANTIFRAUD PROVISIONS OF THE INVESTMENT ADVISERS ACT

On August 8, the Commission issued an Order Instituting Public Proceedings, Making Findings and Imposing Remedial Sanctions and Cease-and- Desist Order against Independent Financial Group (IFG) and Gregory DuPlessis. They consented to the entry of the Commission's Order without admitting or denying the findings contained therein.

IFG, located in Valley Forge, Pennsylvania, has been dually registered with the Commission as an investment adviser and a broker-dealer since 1987. Gregory DuPlessis was associated with IFG as a partner and as a registered representative. From 1992 through March 1998, IFG and DuPlessis fabricated and disseminated a series of false advertisements designed to attract and retain clients for the investment advisory business. Specifically, IFG, through DuPlessis, created a series of materially false and misleading advertisements, which misrepresented IFG's past investment performance as an investment adviser and the size and longevity of the advisory business. These advertisements were in the form of: (i) misleading marketing brochures and other sales literature; and (ii) false data submissions to five rating or reporting services, namely Piper/RogersCasey's Money Manager Review, Nelson's Investment Database, GS² Securities, Inc., Effron Enterprises, Inc. and the Mobius Group. He then distributed these advertisements through IFG's investment advisory and broker-dealer operations to actual and prospective clients. In addition, IFG violated certain recordkeeping provisions of the Advisers Act by failing to maintain numerous records required of investment advisers and producing inaccurate records to the staff during its oversight examination in March 1998.

On the basis of its findings and DuPlessis' and IFG's Offer of Settlement, the Commission ordered that: (i) IFG and DuPlessis shall cease and desist from committing or causing any violation and any future violation of the antifraud and other provisions of the Advisers Act; (ii) DuPlessis be barred from association with any investment adviser with the right to reapply for association after one year to the appropriate self-regulatory organization, or if there is none, to the Commission; (iii) DuPlessis be suspended from association with any broker or dealer for a period of 60 days; and (iv) IFG and DuPlessis shall each pay a civil penalty in the amount of \$50,000 and \$25,000, respectively. (Rels. IA-1891; 34-43130; File No. 3-10265)

INTERNET COMPANY ORDERED TO CEASE AND DESIST FROM OFFERING AND SELLING UNREGISTERED STOCK VIA THE INTERNET

On August 8, the Commission instituted and simultaneously settled public cease and desist proceedings against Universalscience.com, Inc. (Universalscience) and Rene Perez (Perez). Beginning in May 1999, Universalscience and its president, Perez, offered to sell and sold "free" shares of Universalscience stock via the Internet. This "free" stock was given to individuals who signed up to be Universalscience "members." Additionally, Universalscience offered each of these members the option to purchase an additional 1,000 shares at \$1.00 per share. Over 4,000 individuals signed up for the "free" stock offering. Universalscience received no proceeds from its \$1.00 per share offering. The Commission's order found that Universalscience and Perez violated Section 5 of the Securities Act of 1933 by failing to register such shares with the Commission. Without admitting or denying the allegations in the order, Universalscience and Perez consented to the entry of an order that they cease and desist from committing or causing any violations and any future violations of the registration provisions of the federal securities laws. (Rel. 33-7879; File No. 3-10266)

SEC RECOVERS \$314,357 IN PENALTIES AND DISGORGEMENT FROM THREE HEALTH INSURANCE EXECUTIVES FOR INSIDER TRADING IN EMPLOYEE BENEFIT PLANS, INC.

The SEC today announced the settlement of a complaint for insider trading in the securities of Employee Benefit Plans, Inc. (EBP) against Thomas A. Toussaint of Eden Prairie, Minnesota, Michael C. Slagle of Fairhope, Alabama and Erwin K. Geigle of Bismarck, North Dakota. Under the settlement, the defendants consent to pay \$314,357 in disgorgement, interest and penalties.

EBP was an underwriter and administrator of health insurance plans, headquartered in Minneapolis, Minnesota. The SEC had filed a complaint in this matter in November 1998. The complaint alleged that in the Spring of 1995, Thomas Toussaint, who was in charge of EBP's underwriting department, tipped Michael Slagle, a friend and business associate, about pending merger negotiations between EBP and First Financial Management Corporation. Slagle then purchased EBP securities and in turn tipped Erwin Geigle, a friend and business associate, of the pending negotiations. Geigle also purchased EBP securities. In addition, Slagle recommended EBP to one other friend and business associate who purchased EBP, and Geigle recommended EBP to seven other friends and business associates who purchased EBP. The tipping and trading violated Section 10(b) of the Securities Exchange Act of 1934 and Rule 10b-5 thereunder.

Toussaint, Slagle and Geigle consented, without admitting or denying the allegations of the complaint, to the entry of final judgments which will permanently enjoin them from violations of Section 10(b) of the Exchange Act and Rule 10b-5 thereunder. Pending approval by the Court, the defendants also consented to pay \$133,294 in disgorgement and to pay prejudgment interest on the disgorgement in the amount of \$71,372.61. In addition, Toussaint consented to pay a civil penalty of \$41,230 equal to the profits of his

tippee Slagle, Slagle consented to pay a civil penalty of \$41,230 equal to his profits and Geigle consented to pay a civil penalty of \$27,231 equal to his profits. The total payments will be \$314,357.61. [SEC v. Thomas A. Toussaint, et al., USDC, District of Minn., Civil Action No. 98-CV-2406 DSD/JMM] (LR-16647)

SEC FILES AND SETTLES INSIDER TRADING CASE

On August 7, the SEC filed a settled civil injunctive action alleging illegal insider trading before a November 10, 1998 announcement that Roanoke Electric Steel Corp. (RESCO) would make a tender offer for the outstanding shares of Steel of West Virginia, Inc. (SWVA). The complaint alleges that defendant Watson King, of Roanoke, Virginia, learned of the then proposed tender offer in the course of his employment as a vice president at RESCO and that he tipped two of his friends and golfing partners, defendants Stirling Minter and Edmund Byrd. Prior to public announcement of the tender offer, Minter purchased 30,400 shares of SWVA common stock and he recommended the stock to his son, who purchased 5,000, and Byrd purchased 4,000 shares. As a result of the public announcement of the tender offer, Minter made profits of \$95,760, his son made \$17,500 and Byrd made \$13,140.

Without admitting or denying the substantive allegations of the complaint, King, Minter and Byrd consented to the entry of final judgments which would permanently enjoin them from future violations of antifraud and tender offer provisions of the federal securities laws and which would (1) order King to pay a civil penalty of \$108,900; (2) order Minter to disgorge his and his sons trading profits of \$112,960 plus prejudgment interest of \$13,200 and pay a civil penalty of \$112,960; and (3) order Byrd to disgorge profits of \$13,140, plus prejudgment interest of \$1,537.81 and pay a civil penalty of \$13,140. The proposed final judgments are under review by the Court.

The Commission acknowledges the assistance of NASD Regulation in this matter. [SEC v. Watson King, et al., USDC, WDVA, Civil Action No. 7:00CV00622] (LR-16648)

SELF-REGULATORY ORGANIZATIONS

IMMEDIATE EFFECTIVENESS OF PROPOSED RULE CHANGE

A proposed rule change (SR-PCX-00-16) filed by the Pacific Exchange proposing changes to certain Exchange fees has become effective under Section 19(b)(3)(A) of the Securities Exchange Act of 1934. Publication of the proposal is expected in the Federal Register during the week of August 7. (Rel. 34-43115)

PROPOSED RULE CHANGE

The Philadelphia Stock Exchange filed a proposed rule change (SR-Phlx-00-34) and Amendment No. 1 under Rule 19b-4 of the Securities Exchange Act of 1934 to require

immediate display of customer limit orders by specialists. Publication of the proposal is expected in the Federal Register during the week of August 7. (Rel. 34-43126)

APPROVAL OF PROPOSED RULE CHANGE

The Commission approved a proposed rule change (SR-BSE-99-1), and granted accelerated approval to Amendment No. 1 to that proposed rule change, filed by the Boston Stock Exchange to implement a program to permit BSE members to act as specialists from off-floor locations. Publication of the proposal is expected in the Federal Register during the week of August 7. (Rel. 34-43127)

SECURITIES ACT REGISTRATIONS

The following registration statements have been filed with the SEC under the Securities Act of 1933. The reported information appears as follows: Form, Name, Address and Phone Number (if available) of the issuer of the security; Title and the number and/or face amount of the securities being offered; Name of the managing underwriter or depositor (if applicable); File number and date filed; Assigned Branch; and a designation if the statement is a New Issue.

Registration statements may be obtained in person or by writing to the Commission's Public Reference Branch at 450 Fifth Street, N.W., Washington, D.C. 20549 or at the following e-mail box address: <publicinfo@sec.gov>. In most cases, this information is also available on the Commission's website: <www.sec.gov>.

- S-8 CAPSTONE TURBINE CORP, 6430 INDEPENDENCE, WOODLAND HILLS, CA 91367
(818) 716-2929 - 3,300,000 (\$130,604,650) COMMON STOCK. (FILE 333-
40868 -
JUL. 06) (BR. 5)
- S-3 AES CORPORATION, 1001 N 19TH ST, STE 2000, ARLINGTON, VA 22209
(703) 522-1315 - 9,200,000 (\$460,000,000) PREFERRED STOCK. (FILE
333-40870 - JUL. 06) (BR. 2)
- SB-2 C A T N K INC, 223 FM EAST 1382, SUITE 12720, CEDAR HILL, TX 75104
(972) 293-1115 - 1,000,000 (\$100,000) COMMON STOCK. (FILE 333-40872 -
JUL. 06) (BR. 9 - NEW ISSUE)
- S-3 GREY WOLF INC, 10370 RICHMOND AVE, SUITE 600, HOUSTON, TX 77042
(713) 874-0202 - 14,714,500 (\$70,482,455) COMMON STOCK. (FILE 333-
40874 -
JUL. 06) (BR. 4)
- S-3 SUNOCO INC, TEN PENN CENTER, 1801 MARKET ST, PHILADELPHIA, PA 19103
(215) 977-3000 - 1,250,000,000 (\$1,250,000,000) STRAIGHT BONDS. (FILE
333-40876 - JUL. 06) (BR. 4)

S-3 NEWPORT CORP, 1791 DEERE AVE, IRVINE, CA 92714 (714) 863-3144 -
\$342,685,625 COMMON STOCK. (FILE 333-40878 - JUL. 06) (BR. 5)

S-3 GENERAL ELECTRIC CAPITAL CORP, 260 LONG RIDGE RD, STAMFORD, CT 06927
(203) 357-4000 - STRAIGHT BONDS. (FILE 333-40880 - JUL. 06) (BR. 7)

S-8 MERGE TECHNOLOGIES INC, 1126 S 70TH STREET, MILWAUKEE, WI 53214
(414) 977-4000 - 750,000 (\$1,781,250) COMMON STOCK. (FILE 333-40882 -
JUL. 06) (BR. 3)

S-8 CYBERNET INTERNET SERVICES INTERNATIONAL INC,
POWELL GOLDSTEIN FRAZER & MURPHY, 1001 PENNSYLVANIA AVE NW, WASHINGTON,
DC
20004 (202) 624-7235 - 3,000,000 (\$15,939,000) COMMON STOCK. (FILE
333-40884 - JUL. 06) (BR. 3)

S-8 PREMIERWEST BANCORP, 1455 E. MCANDREWS RD, MEDFORD, OR 97504
(541) 618-6000 - 734,189 (\$3,368,599) COMMON STOCK. (FILE 333-40886 -
JUL. 06) (BR. 7)

F-3 BID COM INTERNATIONAL INC, 6725 AIRPORT RD STE 201, MISSISSAUGA
ONTARIO,
CANADA L4V 1V2, (905) 672-7469 - 1,261,106 (\$3,316,708.78)
FOREIGN COMMON STOCK. (FILE 333-40888 - JUL. 06) (BR. 8)

S-8 GREAT PLAINS SOFTWARE INC, 1701 S W 38TH ST, FARGO, ND 58103
(701) 281-0550 - 876,947 (\$12,777,117.80) COMMON STOCK. (FILE 333-
40890 -
JUL. 06) (BR. 3)

S-3 PCC GROUP INC, 163 UNIVERSITY PARKWAY, POMONA, CA 91768 (909) 869-
6133
- 100,000 (\$150,000) COMMON STOCK. (FILE 333-40892 - JUL. 06) (BR. 3)

S-8 LAHAINA ACQUISITIONS INC, 5895 WINDWARD PARKWAY, SUITE 220,
ALPHARETTA,
GA 30005 (770) 754-6140 - 1,112,500 (\$3,337,500) COMMON STOCK. (FILE
333-40894 - JUL. 06) (BR. 8)

S-8 T/R SYSTEMS INC, 1300 OAKBROOK DR, NORCROSS, GA 30093 (770) 448-9008
-
200,000 (\$1,290,620) COMMON STOCK. (FILE 333-40904 - JUL. 06) (BR. 3)

S-8 JONES PHARMA INC, P.O. BOX 46903, 1945 CRAIG RD, ST LOUIS, MO 63146
(314) 576-6100 - 2,351,250 (\$57,109,448.34) COMMON STOCK. (FILE 333-
40906
- JUL. 06) (BR. 1)

SB-2 WORLD SHOPPING NETWORK INC/NV, 1530 BROOKHOLLOW DR., SUITE C, SANTA
ANA,
CA 92705 (714) 427-0760 - 50,000,000 (\$7,000,000) COMMON STOCK. (FILE
333-40908 - JUL. 06) (BR. 2)

S-8 PALM INC, 5470 GREAT AMERICA PARKWAY, SANTA CLARA, CA 95052
(408) 326-9000 - 320,000 (\$10,140,000) COMMON STOCK. (FILE 333-40910 -
JUL. 06) (BR. 3)

S-3 POLYCOM INC, 2584 JUNCTION AVE, SAN JOSE, CA 95134 (408) 526-9000 -
 2,990,000 (\$272,884,144) COMMON STOCK. (FILE 333-40912 - JUL. 06) (BR.
 7)

S-8 SPHERION CORP, 2050 SPECTRUM BLVD, FT LAUDERDALE, FL 33309
 (954) 938-7600 - 3,846,513 (\$67,073,570) COMMON STOCK. (FILE 333-40914
 -
 JUL. 06) (BR. 8)

S-3 ATLANTIC TECHNOLOGY VENTURES INC, 1017 MAIN CAMPUS DRIVE, SUITE
 3900,
 RALEIGH, NC 27606 (919) 513-7020 - 400,000 (\$1,625,000) COMMON STOCK.
 (FILE 333-40916 - JUL. 06) (BR. 1)

S-3 SPECTRUM CONTROL INC, 8031 AVONIA ROAD, FAIRVIEW, PA 16415
 (814) 835-1650 - 2,935,584 (\$36,782,867.52) COMMON STOCK. (FILE 333-
 40918
 - JUL. 06) (BR. 5)

SB-2 CELL ROBOTICS INTERNATIONAL INC, 2715 BROADBENT PKWY. N.E.,
 ALBUQUERQUE,
 NM 87107 (719) 590-4900 - 1,400,000 (\$5,586,000) COMMON STOCK. (FILE
 333-40920 - JUL. 06) (BR. 5)

S-3 WYNDHAM INTERNATIONAL INC, 1950 STEMMONS FRWY, STE 6001, DALLAS, TX
 75207 (214) 863-1000 - 1,336,276 (\$3,260,514) COMMON STOCK. (FILE
 333-40922 - JUL. 06) (BR. 8)

S-8 MADDEN STEVEN LTD, 52-16 BARNETT AVE, LONG ISLAND CITY, NY 11104
 (718) 446-1800 - 575,000 (\$4,060,937.50) COMMON STOCK. (FILE 333-40924
 -
 JUL. 06) (BR. 2)

S-8 TRANS WORLD AIRLINES INC /NEW/, ONE CITY CENTRE, 515 N SIXTH ST,
 ST LOUIS, MO 63101 (314) 589-3000 - 1,000,000 (\$2,406,250) COMMON
 STOCK.
 (FILE 333-40926 - JUL. 06) (BR. 5)

S-8 WASHINGTON MUTUAL INC, 1201 THIRD AVE, STE 1500, SEATTLE, WA 98101
 (206) 461-2000 - 12,000,000 (\$357,375,000) COMMON STOCK. (FILE 333-
 40928 -
 JUL. 06) (BR. 7)

S-8 ELECTRONICS FOR IMAGING INC, 303 VELOCITY WAY, FOSTER CITY, CA 94404
 (650) 357-3500 - 400,000 (\$10,176,000) COMMON STOCK. (FILE 333-40930 -
 JUL. 06) (BR. 3)

S-8 SABRE HOLDING CORP, 4255 AMON CARTER BLVD, FORT WORTH, TX 76155
 (817) 963-6400 - 9,000,000 (\$241,920,000) COMMON STOCK. (FILE 333-
 40932 -
 JUL. 06) (BR. 3)

S-1 EXPEDIA INC, 4200 150TH AVENUE, REDMOND, WA 98052 (425) 705-4874 -
 900,000 (\$15,174,000) COMMON STOCK. (FILE 333-40934 - JUL. 07) (BR. 5)

RECENT 8K FILINGS

Form 8-K is used by companies to file current reports on the following events:

- Item 1. Changes in Control of Registrant.
- Item 2. Acquisition or Disposition of Assets.
- Item 3. Bankruptcy or Receivership.
- Item 4. Changes in Registrant's Certifying Accountant.
- Item 5. Other Materially Important Events.
- Item 6. Resignations of Registrant's Directors.
- Item 7. Financial Statements and Exhibits.
- Item 8. Change in Fiscal Year.
- Item 9. Regulation S Offerings.

The following companies have filed 8-K reports for the date indicated and/or amendments to 8-K reports previously filed, responding to the item(s) of the form specified. 8-K reports may be obtained in person or by writing to the Commission's Public Reference Branch at 450 Fifth Street, N.W., Washington, D.C. 20549 or at the following e-mail box address: <publicinfo@sec.gov>. In most cases, this information is also available on the Commission's website: <www.sec.gov>.

NAME OF ISSUER	STATE CODE	8K ITEM NO.									DATE	COMMENT
		1	2	3	4	5	6	7	8	9		
AAMES FINANCIAL CORP/DE	DE					X		X			07/12/00	
ADAMS OUTDOOR ADVERTISING INC	MN		X					X			06/28/00	
ADAMS OUTDOOR ADVERTISING LTD PARTN ERSHIP	MN		X					X			06/28/00	
ADC TELECOMMUNICATIONS INC	MN					X		X			06/28/00	
ADVANCED WIRELESS SYSTEMS INC	AL					X		X			07/06/00	
AIMCO PROPERTIES LP	DE					X		X			06/28/00	
AIRPLANES LTD	DE					X					07/13/00	
AIRPLANES US TRUST	DE					X					07/13/00	
ALICO INC	FL	X									08/31/00	
ALLTEL CORP	DE		X					X			06/30/00	
AMERICAN RESTAURANT GROUP INC	DE		X			X		X			06/28/00	AMEND
AMERICAN RESTAURANT GROUP INC	DE							X			07/07/00	
AOA CAPITAL CORP	MN		X					X			06/28/00	
AOA HOLDING LLC	MN		X					X			06/28/00	
APARTMENT INVESTMENT & MANAGEMENT C O	MD					X		X			06/28/00	
ARINCO COMPUTER SYSTEMS INC	NM					X		X			06/29/00	
ASTHMA DISEASE MANAGEMENT INC	DE					X	X				07/12/00	
ATLANTIC PREMIUM BRANDS LTD	DE					X		X			07/12/00	
AZTEC COMMUNICATIONS GROUP INC	UT	X									07/11/00	
BANGOR HYDRO ELECTRIC CO	ME					X					06/29/00	
BENCHMARK ELECTRONICS INC	TX							X			07/13/00	
BISYS GROUP INC	DE		X					X			07/01/00	
BORON LEPORE & ASSOCIATES INC	DE							X			06/29/00	

NAME OF ISSUER	STATE CODE	8K ITEM NO.									DATE	COMMENT
		1	2	3	4	5	6	7	8	9		
BRASS EAGLE INC	DE	X									06/30/00	
BRENTON BANKS INC	IA				X	X					07/06/00	
BYL BANCORP	CA				X	X					07/12/00	
CAPITAL ONE FINANCIAL CORP	DE				X	X					07/12/00	
CENTRAL AMERICAN EQUITIES INC	FL				X						07/10/00	
CENTRAL EUROPEAN MEDIA ENTERPRISES LTD		X						X			06/29/00	
CENTRE CAPITAL CORP /NV/	NV	X			X	X					07/03/00	
CENTURA BANKS INC	NC				X	X					07/13/00	
CENTURY BUSINESS SERVICES INC	DE	X					X				06/26/00	AMEND
CHASE COMMERCIAL MORT SEC CORP COM MOR PA TH CE SER 2000-2	NY				X	X					06/28/00	
CHASE FUNDING INC	NY				X	X					06/27/00	
CHECKFREE HOLDINGS CORP \GA\	DE				X	X					07/12/00	
CITADEL BROADCASTING CO	NV	X					X				06/28/00	
CITADEL COMMUNICATIONS CORP	NV	X					X				06/28/00	
CITIZENS COMMUNICATIONS CO	DE				X	X					07/12/00	
CLAIMSNET COM INC	DE						X	X			07/11/00	
CLARK MATERIAL HANDLING CO	DE		X		X	X					06/27/00	
CLASSIC BANCSHARES INC	DE				X						05/30/00	
CLEAN DIESEL TECHNOLOGIES INC	DE				X						07/06/00	
CLEVELAND CLIFFS INC	OH				X	X					07/11/00	
COLONELS INTERNATIONAL INC	MI	X			X	X					06/22/00	
COMPUTONE CORPORATION	DE	X			X	X					06/28/00	
CONSOLIDATED PAPERS INC	WI				X	X					07/12/00	
CTC COMMUNICATIONS GROUP INC	DE				X						06/08/00	
CWABS INC ASSET BACKED CERTIFICATES SERIES 1999 4	DE				X	X					04/25/00	
CWMBS INC	DE				X	X					06/29/00	
CYBERAMERICA CORP	NV				X						06/29/00	
DATA CRITICAL CORP	DE				X	X					06/14/00	
DECRANE AIRCRAFT HOLDINGS INC	DE	X					X				06/30/00	
DECRANE HOLDINGS CO	DE	X					X				06/30/00	
DELTA AIR LINES INC /DE/	DE						X	X			06/29/00	
DELTA FUNDING CORP /DE/	NY				X	X					06/28/00	
DELTA WOODSIDE INDUSTRIES INC /SC/	SC	X			X	X					06/30/00	
DEUTSCHE FLOORPLAN RECEIVABLES L P	DE				X	X					07/13/00	
DEVON ENERGY CORP/DE	DE				X	X					07/11/00	
DIGITAL TECHNOLOGIES MEDIA GROUP IN C	DE				X	X		X			06/19/00	
DILLARD ASSET FUNDING CO	DE				X	X					06/19/00	
DIME BANCORP INC	DE				X						07/12/00	
DISTRIBUTION FINANCIAL SERVICES FLO ORPLAN MASTER TRUST					X	X					07/13/00	
DLJ COMMERCIAL MORT COMM MOR PASS T HR CERT SER 2000-CF1	DE	X					X				06/21/00	
DOLLAR TREE STORES INC	VA				X	X					05/05/00	
DOSKOCIL MANUFACTURING CO INC	TX				X	X					06/21/00	
DRUGMAX COM INC	NV						X				07/03/00	AMEND
DUALSTAR TECHNOLOGIES CORP	DE	X					X				05/11/00	
E COMMERCE GROUP INC	NV	X									07/05/00	
E SPIRE COMMUNICATIONS INC	DE				X	X					06/22/00	
EB2B COMMERCE INC //	NJ				X		X	X			06/30/00	

NAME OF ISSUER	STATE	8K ITEM NO.									DATE	COMMENT
	CODE	1	2	3	4	5	6	7	8	9		
ENGAGE INC	DE		X		X		X				04/28/00	AMEND
EXACTIS COM INC			X				X				06/28/00	
EXCHANGE APPLICATIONS INC	DE		X				X				06/30/00	
FAIC II ISSUER TRUST 2000-1	MA		X								03/01/00	
FAIC II ISSUER TRUST 2000-1	MA		X								04/01/00	
FAIC II ISSUER TRUST 2000-1	MA		X								05/01/00	
FAIC II ISSUER TRUST 2000-1	MA		X								06/01/00	
FAIC II ISSUER TRUST 2000-1	MA		X								07/01/00	
FEDERATED INVESTORS INC /PA/	PA					X		X			06/22/00	
FIDELITY BANCORP INC	PA					X		X			07/11/00	
FIDELITY D & D BANCORP INC	PA		X					X			07/12/00	
FIRST CHESTER COUNTY CORP	PA		X								06/30/00	
FIRST PLACE FINANCIAL CORP /DE/	DE					X					07/12/00	
FIRST SIERRA RECEIVABLES II INC	DE		X					X			06/29/00	
FIRST SIERRA RECEIVABLES III INC	DE		X					X			06/29/00	
FNB CORP \VA\	VA					X					07/11/00	
FORD CREDIT AUTO LOAN MASTER TRUST	DE					X		X			06/30/00	
FORD CREDIT AUTO RECEIVABLES TWO L P	DE					X		X			06/30/00	
FORD MOTOR CO	DE	X	X								07/13/00	
FOREST OIL CORP	NY					X		X			07/10/00	
FORMICA CORP	DE					X					07/12/00	
FOUNTAIN COLONY VENTURES INC	CO				X			X			07/07/00	
FRANKLIN RESOURCES INC	DE					X					07/11/00	
FRISCHS RESTAURANTS INC	OH					X					07/12/00	
GADZOOX NETWORKS INC	DE					X					07/13/00	
GATX CORP	NY					X					07/12/00	
GATX RAIL CORP	NY					X					07/12/00	
GLENNAIRE FINANCIAL SERVICES INC	UT					X					07/12/00	AMEND
GLENOIT ASSET CORP	DE					X		X			07/06/00	AMEND
GLENOIT CORP	DE					X		X			07/06/00	AMEND
GOAMERICA INC	DE		X								06/28/00	
GRAND COURT LIFESTYLES INC	DE					X		X			06/22/00	
GREATER BAY BANCORP	CA					X		X			05/18/00	
GULF STATES STEEL INC /AL/	AL					X		X			06/30/00	
HANOVER COMPRESSION INC	DE					X					07/13/00	
HARRIS BANCORP INC	DE		X								07/13/00	
HEMISPHERX BIOPHARMA INC	DE					X					04/12/00	
HEMISPHERX BIOPHARMA INC	DE					X		X			07/13/00	
HENRY JACK & ASSOCIATES INC	DE	X									06/01/00	AMEND
HENRY JACK & ASSOCIATES INC	DE	X									06/01/00	AMEND
HLM DESIGN INC	DE		X					X			04/29/00	AMEND
HOMEGOLD FINANCIAL INC	SC					X					07/03/00	
HOSPITALITY PROPERTIES TRUST	MD							X			07/11/00	
HUMANA INC	DE					X		X			07/12/00	
HYBRID NETWORKS INC	DE					X					06/29/00	
HYDRON TECHNOLOGIES INC	NY					X		X			06/29/00	
ICH CORP /DE/	DE					X		X			06/26/00	
IMAGEX COM INC	WA		X					X			06/27/00	
IMPAC SECURED ASSETS CORP	NY							X			06/29/00	
IN HOME HEALTH INC /MN/	MN	X						X			07/07/00	
INDUSTRIAL ECOSYSTEMS INC	UT					X					06/30/00	
INETVISIONZ COM INC	DE							X			07/10/00	

NAME OF ISSUER	STATE CODE	8K ITEM NO.									DATE	COMMENT
		1	2	3	4	5	6	7	8	9		
INTELILABS COM INC	DE						X	X			07/11/00	
INTER PARFUMS INC	DE							X			06/23/00	AMEND
INTERNATIONAL PAPER CO /NEW/	NY				X	X					07/11/00	
INTERNET MULTI-MEDIA CORP	NV	X			X	X					07/10/00	
INTERSIL CORP	DE				X	X					06/30/00	
INTERSIL HOLDING CO	DE				X	X					06/30/00	
INTRUSION COM INC	DE				X	X					06/30/00	
JACOBS ENGINEERING GROUP INC /DE/	DE				X	X					07/07/00	
KEYSPAN CORP	NY				X	X					07/12/00	
KINARK CORP	DE			X							06/26/00	
KMC TELECOM HOLDINGS INC	DE				X						07/12/00	
K2 DESIGN INC	DE				X	X					07/11/00	
LANDRYS SEAFOOD RESTAURANTS INC	DE				X	X					06/29/00	
LASON INC	DE				X						07/10/00	
LAUTREC INC/FL					X	X					06/30/00	
LINCARE HOLDINGS INC	DE	X			X	X					06/26/00	
M&T BANK CORP	NY				X	X					07/09/00	
MBNA CORP	MD				X						07/12/00	
MILLENIUM HOLDING GROUP INC /AZ/	NV				X						07/11/00	
MONMOUTH REAL ESTATE INVESTMENT CORP	DE				X						07/11/00	
P												
MORRISON KNUDSEN CORP//	DE				X	X					07/07/00	
MYLAN LABORATORIES INC	PA				X						07/13/00	
MYTURN COM INC	DE	X									06/28/00	
NEIMAN MARCUS FUNDING CORP	DE	X									06/30/00	
NEOFORMA COM INC	DE					X					04/28/00	AMEND
NETWORK SIX INC	RI	X									07/05/00	
NEUROGEN CORP	DE				X	X					07/12/00	
NEW CENTURY MORT SEC INC NEW CENT H	DE	X					X				07/10/00	
M EQ LN TR SER 2000-NCA												
NEXTLINK COMMUNICATIONS INC /DE/	DE				X	X					07/06/00	
NORTH BAY BANCORP/CA	CA				X						06/28/00	
NTL INC/DE/	DE	X					X				05/17/00	AMEND
OCCIDENTAL PETROLEUM CORP /DE/	DE				X						06/28/00	
OFFICE DEPOT INC	DE				X	X					07/13/00	
OMEGA HEALTHCARE INVESTORS INC	MD				X						07/12/00	
ORBITAL IMAGING CORP	DE				X						06/29/00	
OTR EXPRESS INC/KS	KS				X						07/13/00	
OXFORD RESIDENTIAL PROPERTIES I LTD	MD	X									07/13/00	
PARTNERSHIP												
OXFORD TAX EXEMPT FUND II LTD PARTN	MD	X									07/13/00	
ERSHIP												
PACIFIC AEROSPACE & ELECTRONICS INC	WA	X				X					05/01/00	AMEND
PACIFIC GATEWAY EXCHANGE INC	DE			X							07/06/00	
PAINE WEBBER GROUP INC	DE				X	X					07/12/00	
PATHWAYS GROUP INC	DE				X						05/15/00	
PENN AKRON CORP	NV				X						07/05/00	
PFSWEB INC	DE	X				X					07/06/00	
PHARMACIA CORP /DE/	DE				X	X					07/12/00	
PJ AMERICA INC	DE					X					07/12/00	
PMC SIERRA INC	DE	X				X					06/27/00	
PNC MORT SEC CORP COM MORT PASS THR	MO	X				X					06/29/00	
CERT SER 2000-C1												

NAME OF ISSUER	STATE CODE	8K ITEM NO.									DATE	COMMENT
		1	2	3	4	5	6	7	8	9		
POINTE FINANCIAL CORP	FL						X				07/13/00	
PREMIER NATIONAL BANCORP INC	NY						X	X			07/09/00	
PROVIDENT BANKSHARES CORP	MD						X	X			07/12/00	
PUBLIC SERVICE CO OF NEW MEXICO	NM						X				07/12/00	
QUANTA SERVICES INC	DE							X			07/11/00	
QUEST SOFTWARE INC	CA						X	X			06/28/00	
REDDING BANCORP	CA						X				07/12/00	
RELM WIRELESS CORP	PA						X	X			07/12/00	
RESIDENTIAL ASSET MORTGAGE PRODUCTS INC	DE							X			07/13/00	
RESIDENTIAL ASSET SECURITIES CORP	DE							X			07/12/00	
RESIDENTIAL FUNDING MORTGAGE SECURI TIES I INC	DE						X	X			06/23/00	AMEND
RESIDENTIAL FUNDING MORTGAGE SECURI TIES II INC	DE							X			07/12/00	
RICKS CABARET INTERNATIONAL INC	TX		X				X	X			06/06/00	
ROBERTS REALTY INVESTORS INC	GA						X	X			07/12/00	
ROTARY POWER INTERNATIONAL INC	DE						X				07/13/00	
SALOMON BROTHERS MORT SEC VII INC C OM PAS THR CER SE 2000-C1	DE						X	X			06/28/00	
SAN HOLDINGS INC	CO		X					X			06/28/00	
SAUCONY INC	MA						X	X			06/29/00	
SEAHAWK DEEP OCEAN TECHNOLOGY INC	CO						X				07/10/00	
SEAHAWK DEEP OCEAN TECHNOLOGY INC	CO						X				07/10/00	AMEND
SERVICE CORPORATION INTERNATIONAL	TX						X	X			07/10/00	
SIMIONE CENTRAL HOLDINGS INC	DE						X	X			07/12/00	
SKYMALL INC	NV						X				06/30/00	
SL GREEN REALTY CORP	MD						X	X			06/27/00	
SLEEPMASTER LLC	NJ							X			05/02/00	AMEND
SPRINT CORP	KS						X	X			07/13/00	
STANLEY WORKS	CT						X	X			07/11/00	
SURMODICS INC	MN						X				07/06/00	
SYSTEM SOFTWARE ASSOCIATES INC	DE						X	X			07/10/00	
TECH DATA CORP	FL						X		X		06/21/00	AMEND
TELTRONICS INC	DE		X					X			07/11/00	
TEXAS BIOTECHNOLOGY CORP /DE/	DE		X								07/12/00	
THERMATRIX INC	DE							X	X		02/07/00	
TMP WORLDWIDE INC	DE		X					X			05/09/00	
TOP AIR MANUFACTURING INC	IA							X			07/10/00	
TWELVE AMH ASSOCIATES LTD PARTNERSH IP	DE						X		X		07/07/00	
UNITED DIAGNOSTIC INC	DE						X	X			07/11/00	
UNITED PAN EUROPE COMMUNICATIONS NV							X	X			06/26/00	
UNITEDGLOBALCOM INC	DE						X	X			06/26/00	
USAA FEDERAL SAVINGS BANK			X								06/30/00	
VARI L CO INC	CO						X				07/05/00	
WESTVACO CORP	DE						X	X			07/11/00	
WOLVERINE WORLD WIDE INC /DE/	DE						X	X			07/12/00	
WORLDCOM INC/GA//	GA						X	X			07/13/00	
WTAA INTERNATIONAL INC /FL/	FL		X								07/05/00	
1ST MIRACLE GROUP INC	NV						X				07/07/00	AMEND
4NETWORLD COM INC	DE							X			04/28/00	AMEND