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# SEC NEWS DIGEST

Issue 2000-145 July 31, 2000

#### **ENFORCEMENT PROCEEDINGS**

# SEC SUES TALLAHASSEE BROKER-DEALER AND ITS PRESIDENT, ALLEGING THEFT OF CUSTOMER FUNDS

On July 26, the Commission filed a complaint and consent to permanent injunction in an action against a Tallahassee, Florida broker-dealer, Meridian Asset Management, Inc. (Meridian), and its president, Robin McEachin. According to the SEC's complaint, Meridian is a broker-dealer registered with the SEC, and an investment adviser registered with the State of Florida. Without admitting or denying the SEC's allegations, Meridian and McEachin consented to the entry of a permanent injunction against future violations of the antifraud provisions of the federal securities laws, an asset freeze, disgorgement of ill-gotten gains, a civil money penalty and other relief. Meridian also consented to the intervention in the case of the Securities Investor Protection Corporation (SIPC) and to the appointment of a SIPC trustee to liquidate Meridian for the benefit of its customers.

The SEC alleges in its complaint that from at least 1998 through the present, McEachin misappropriated at least \$1 million, in large part pension funds, which his customers entrusted to him for investment on their behalf. To conceal his theft, McEachin created fictitious Meridian account statements reflecting the purported contents and market value of his customers' portfolios. The account statements falsely showed purchases of securities in the customers' accounts. In fact, McEachin had either purchased no securities, or had purchased significantly less than reflected in the account statements. The SEC also alleges in its complaint that Meridian failed to maintain the minimum net capital required by law, and that it failed, or is in danger of failing, to meet its obligations to its customers.

The SEC also named in its complaint as relief defendants two companies under McEachin's control, Benefit Plans Consultants, Inc. (BP Consultants) and Consolidated Capital Resources, Inc. (CC Resources), alleging that the companies received at least \$120,000 of stolen customer funds. Both companies consented to an asset freeze and to the entry of an order requiring them to disgorge the customer funds which the SEC alleges they unjustly received.

The SEC would like to acknowledge the valuable assistance of the Florida Office of the Comptroller, Division of Securities, and of SIPC in this investigation. [SEC v. Meridian Asset Management, Inc., et al., Civil Action No. 4:00CV278RH, ND FL, Tallahassee Division (LR-16638)

# RAMON OBOD PLEADS GUILTY TO MAKING A FALSE STATEMENT IN SEC INVESTIGATION

Ramon R. Obod pleaded guilty on July 27 to a criminal information filed by the U.S. Attorney's office for the Eastern District of Pennsylvania alleging that Obod made a false statement to Securities and Exchange Commission lawyers in violation of 18 U.S.C. §1001. The statement occurred during a 1996 telephone interview conducted as part of investigation of possible insider trading in the securities of Transport Holdings, Inc. (Transport) before the September, 26, 1996 public announcement that Transport would be acquired by Conseco, Inc. (Conseco). The criminal information alleged that Obod made a false statement when, asked about the reasons for his purchase of 500 shares of Transport stock, he failed to disclose that he had been informed of Conseco's forthcoming acquisition of Transport by a representative of his client, American Travelers. The Commission's previously filed complaint against Obod alleged that Obod, then a partner in a Philadelphia-based law firm, was at the time the head of his firm's Corporate Department, was outside counsel to and board member of American Travelers Corporation, which had agreed to be acquired by Conseco in August, 1996. The Complaint further alleged that Obod learned in confidence from American Travelers President that Conseco was also going to acquire Transport, and that two days later, Obod purchased 500 shares of Transport's stock. Obod settled the Commission's case without admitting or denying the Commission's allegations by consenting to the entry of an order enjoining him from violating Section 10(b) of the Securities Exchange Act of 1934 and Rule 10b-5 thereunder, requiring him to disgorge his profits plus prejudgment interest, and to pay a one-time civil penalty for a total of approximately \$21,000. For more information, See Lit. Rel. 16156 (May 20, 1999). [SEC v. Ramon R. Obod, Civil Action No. 1:99CV01220, LFO, D.D.C.; US v. Ramon R. Obod, Criminal No. 00-396, E.D. Pa.] (LR-16639)

# FINAL JUDGMENT ENTERED AGAINST JOHN MANION IN INSIDER TRADING **ACTION**

On July 21, the United States District Court for the District of Arizona entered a Final Judgment of Permanent Injunction and Other Relief (Final Judgment) against John R. Manion (Manion). The Final Judgment, entered pursuant to Manion's consent and without admitting or denying the allegations contained in the Commission's Complaint, enjoins Manion from future violations of the antifraud provisions of the federal Manion is also required to pay \$40,185.91 in disgorgement, securities laws. prejudgment interest and civil penalties.

The Commission's action was filed on June 22, 1999 against six defendants for insider trading in the stock of Bio-Dental Technologies Corp. (Bio-Dental) prior to the June 3,

1996 announcement that Zila, Inc. would acquire Bio-Dental. Named as defendants were Manion, Rocco J. Anselmo, James L. Rammelt, Ivan Kron, Donald S. Italia and William E. Sklar. Simultaneous with the filing of the Complaint, all of the defendants, except Manion, without admitting or denying the allegations, consented to the entry of judgments against them. The judgments permanently enjoined them from future antifraud violations and ordered them to pay a total of \$250,286 in disgorgement, prejudgment interest and civil penalties. [SEC v. John R. Manion, Rocco J. Anselmo, James L. Rammelt, Ivan Kron, Donald S. Italia and William E. Sklar, Civil Action No. CIV 99-1103 PHX, ROS, D. Ariz.] (LR-16640)

### **INVESTMENT COMPANY ACT**

## NOTICES OF DEREGISTRATIONS UNDER THE INVESTMENT COMPANY ACT

For the month of July 2000, a notice has been issued giving interested persons until August 22, 2000, to request a hearing on any of the following applications for an order under Section 8(f) of the Investment Company Act declaring that the applicant has ceased to be an investment company:

Senior Floating Income Fund, Inc. [File No. 811-9111]

Fundmanager Portfolios [File No. 811-8992]

Piper Funds Inc. [File No. 811-4905]

American Government Income Fund Inc. [File No. 811-5470]

American Government Income Portfolio, Inc. [File No. 811-5622]

American Opportunity Income Fund Inc. [File No. 811-5854]

Piper Global Funds Inc. [File No. 811-6046]

Piper Funds Inc. -- II [File No. 811-7279]

Highlander Income Fund Inc. [File No. 811-8280]

Insured Tax Free Income Trust National Series 85-1 (and Subsequent Series of the

Trust) [File No. 811-4121]

The Explorer Institutional Trust [File No. 811-8808]

U.S. Small Company Portfolio [File No. 811-8954]

Emerging Markets Portfolio [File No. 811-8233]

U.S. Mid-Cap Portfolio [File No. 811-8467]

(Rel. IC-24585 - July 28)

#### **SECURITIES ACT REGISTRATIONS**

The following registration statements have been filed with the SEC under the Securities Act of 1933. The reported information appears as follows: Form, Name, Address and Phone Number (if available) of the issuer of the security. Title and the number and/or face amount of the securities being offered; Name of the managing underwriter or depositor (if applicable); File number and date filed; Assigned Branch; and a designation if the statement is a New Issue.

- F-1 FLOWARE WIRELESS SYSTEMS LTD, 28 HACHAROSHET ST OR-YEHUDA, ISRAEL, L3

  00000 \$67,275,000 FOREIGN COMMON STOCK. (FILE 333-12290 JUL. 14)
  (BR. 7 NEW ISSUE)
  - S-8 IONA TECHNOLOGIES PLC, IONA BLDG, 8-10 LOWER PEMBROKE ST, DUBLIN 2 IRELAND, L2 (011) 662-5255 4,276,358 (\$330,081,383.13) FOREIGN COMMON STOCK. (FILE 333-12326 JUL. 19) (BR. 3)
- F-3 MICROCELL TELECOMMUNICATIONS INC, 1250 RENE LEVESQUE BLVD WEST MONTREAL,

  QUEBEC H3B 4W8, E6 00000 (212) 858-1000 9,590,000 (\$303,887,920)

  FOREIGN COMMON STOCK. (FILE 333-12330 JUL. 20) (BR. 7)
  - F-10 C MAC INDUSTRIES INC, 3000 INDUSTRIAL BLVD SHERBROOKE,
    QUEBEC JIL 1V8 CANADA, A8 A8 11,500,000 (\$644,575,000)
    FOREIGN COMMON STOCK. (FILE 333-12332 JUL. 20) (BR. 3 NEW ISSUE)
  - F-3 MAGIC SOFTWARE ENTERPRISES LTD, 5 HAPLADA STREET, OR YEHUDA ISREAL 60218, L3 (972) 353-8920 - 211,631 (\$2,519,890.32) FOREIGN COMMON STOCK. (FILE 333-12336 - JUL. 20) (BR. 3)
- S-8 ALLEGIANT BANCORP INC, 2122 KRATKY ROAD, SUITE 500, ST LOUIS, MO
  63114
  (314) 692-8200 600,000 (\$5,325,000) COMMON STOCK. (FILE 333-42048 JUL. 24) (BR. 7)
- S-8 ALLEGIANT BANCORP INC, 2122 KRATKY ROAD, SUITE 500, ST LOUIS, MO
  63114
  (314) 692-8200 600,000 (\$5,325,000) COMMON STOCK. (FILE 333-42050 JUL. 24) (BR. 7)
- S-8 TELECOM WIRELESS CORP/CO, 5299 DTC BLVD, STE 1120, ENGLEWOOD, CO
  80111
  (303) 416-4000 3,000,000 (\$1,560,000) COMMON STOCK. (FILE 333-42052

  JUL. 24) (BR. 9)
  - S-8 C&D TECHNOLOGIES INC, 1400 UNION MEETING ROAD, BLUE BELL, PA 19422 (215) 619-2700 5,000,000 (\$5,000,000) OTHER SECURITIES INCLUDING VOTING TRUST. (FILE 333-42054 JUL. 24) (BR. 5)
  - S-3 BOSTON PRIVATE FINANCIAL HOLDINGS INC, 10 POST OFFICE SQ, BOSTON, MA 02109 (617) 556-1900 - 3,450,000 (\$38,057,812.50) COMMON STOCK. (FILE 333-42056 - JUL. 24) (BR. 7)

- S-8 NS GROUP INC, 530 WEST NINTH ST, NEWPORT, KY 41071 (606) 292-6809 150,000 (\$2,714,100) COMMON STOCK. (FILE 333-42058 JUL. 24) (BR. 6)
- S-1 SCICORPS INC, 8487 GREENBELT ROAD, SUITE 201, GREENBELT, MD 20770 (301) -55-2-16 500,000 (\$10,000,000) COMMON STOCK. (FILE 333-42060 JUL. 24) (BR. 1 NEW ISSUE)
- S-8 HIV VAC INC, 1608 ST GREGORY, SUITE B100, LAS VEGAS, NV 89117 (702) 866-5834 3,000,000 (\$930,000) COMMON STOCK. (FILE 333-42064 JUL. 24) (BR. 1)
- S-8 FRONTLINE CAPITAL GROUP, 1350 AVENUE OF THE AMERICAS, NEW YORK, NY
  10019
  (212) 931-8000 2,500,000 (\$55,937,500) COMMON STOCK. (FILE 333-42066

  JUL. 24) (BR. 8)
- S-8 GOLDMAN SACHS GROUP INC, 85 BROAD ST, NEW YORK, NY 10004 (212) 9021000
   2,000,000 (\$203,875,000) COMMON STOCK. (FILE 333-42068 JUL. 24)
  (BR. 7)
  - S-8 ROYAL CARIBBEAN CRUISES LTD, 1050 CARIBBEAN WAY, MIAMI, FL 33132 (305) 539-6000 8,000,000 (\$151,280,000) FOREIGN COMMON STOCK. (FILE 333-42070 JUL. 24) (BR. 5)
  - S-8 ROYAL CARIBBEAN CRUISES LTD, 1050 CARIBBEAN WAY, MIAMI, FL 33132 (305) 539-6000 1,000,000 (\$18,910,000) FOREIGN COMMON STOCK. (FILE 333-42072 JUL. 24) (BR. 5)
  - S-8 LEAPNET INC, 420 W HURON ST, CHICAGO, IL 60610 (312) 494-0300 1,711,889 (\$9,569,459.51) COMMON STOCK. (FILE 333-42076 JUL. 24) (BR. 2)
  - S-8 INFONOW CORP /, 1875 LAWRENCE STREET, SUITE 1100, DENVER, CO 80202 (303) -29-3-02 500,000 (\$2,765,500) COMMON STOCK. (FILE 333-42078 JUL. 24) (BR. 3)
- S-8 TIER TECHNOLOGIES INC, 1350 TREAT BLVD, SUITE 250, WALNUT CREEK, CA 94596 (925) 937-3950 200,000 (\$1,114,000) COMMON STOCK. (FILE 333-42082 JUL. 24) (BR. 3)
- S-8 NETZERO INC, 2555 TOWNSLATE RD, WESTLAKE VILLAGE, CA 91361 (805) 418-2000 4,715,751 (\$31,684,187.82) COMMON STOCK. (FILE 333-42084 JUL. 24) (BR. 3)
- S-8 KLEENAIR SYSTEMS INC, 828 PRODUCTION PLACE, SUITE 410, NEWPORT BEACH, CA
  92663 (949) -57-4-16 1,950,000 (\$5,850,000) COMMON STOCK. (FILE 333-42086 JUL. 24) (BR. 9)
  - S-8 OCEANFIRST FINANCIAL CORP, 975 HOOPER AVE, TOMS RIVER, NJ 08753 (732) 240-4500 631,000 (\$11,793,390) COMMON STOCK. (FILE 333-42088 JUL. 24) (BR. 7)

- S-3 PYR ENERGY CORP, 1675 BROADWAY, STE 1150, DENVER, CO 80202 (303) 825-3748 242,000 (\$1,042,250) COMMON STOCK. (FILE 333-42090 JUL. 24) (BR. 4)
- S-3 CVF TECHNOLOGIES CORP, 916 CENTER ST, LEWISTON, NY 14092 (716) 754-7883
   2,502,937 (\$7,674,619.56) COMMON STOCK. (FILE 333-42092 JUL. 24)
  (BR. 9)
  - S-8 ONEOK INC /NEW/, 100 WEST 5TH ST, TULSA, OK 74103 (918) 588-7000 250,000 (\$6,828,125) COMMON STOCK. (FILE 333-42094 JUL. 24) (BR. 2)
  - S-8 WASTE CONNECTIONS INC/DE, 620 COOLIDGE DRIVE, SUITE 350, FOLSOM, CA 95630 (916) 608-8200 1,497,868 (\$28,085,025) COMMON STOCK. (FILE 333-42096 JUL. 24) (BR. 6)
- SB-2 USA TECHNOLOGIES INC, 200 PLANT AVENUE, WAYNE, PA 19087 (610) 989-0340
- 2,200,000 (\$3,080,000) COMMON STOCK. (FILE 333-42098 JUL. 24) (BR. 8)
- S-3 RMI NET INC, 999 18TH STREET, STE 2201, DENVER, CO 80202 (303) 672-0700
  - \$30,000,000 STRAIGHT BONDS. (FILE 333-42100 JUL. 24) (BR. 3)
  - S-3 MOTIENT CORP, 10802 PARKRIDGE BLVD, RESTON, VA 22091 (703) 758-6000 (FILE 333-42104 JUL. 24) (BR. 7)
- S-3 RAMTRON INTERNATIONAL CORP, 1850 RAMTRON DR, COLORADO SPRINGS, CO
  80921
  (719) 481-7000 1,569,623 (\$23,357,624) COMMON STOCK. (FILE 333-42106

  JUL. 24) (BR. 5)
  - S-8 CNS INC /DE/, PO BOX 39802, MINNEAPOLIS, MN 55439 (612) 820-6696 700,000 (\$3,850,000) COMMON STOCK. (FILE 333-42108 JUL. 24) (BR. 5)
- S-8 INFORMATICA CORP, 3350 W BAYSHORE RD, PALO ALTO, CA 94303 (650) 687-6200
   2,693,350 (\$226,577,660.94) COMMON STOCK. (FILE 333-42110 JUL. 24)
  (BR. 3)
- S-8 INFORMATICA CORP, 3350 W BAYSHORE RD, PALO ALTO, CA 94303 (650) 687-6200
   196,348 (\$594,934.44) COMMON STOCK. (FILE 333-42112 JUL. 24) (BR. 3)
  - S-8 HYPERTENSION DIAGNOSTICS INC /MN, 2915 WATERS ROAD SUITE 108, 612-687-9999, EAGAN, MN 55121 (612) 687-9999 1,150,000 (\$7,866,000) COMMON STOCK. (FILE 333-42114 JUL. 24) (BR. 9)
- S-4 TNP ENTERPRISES INC, PO BOX 2943, 4100 INTERNATIONAL PLAZA, FT WORTH, TX
  76113 (817) 731-0099 100,000 (\$100,000,000) PREFERRED STOCK. (FILE 333-42116 JUL. 24) (BR. 2)

- INFORMATICA CORP, 3350 W BAYSHORE RD, PALO ALTO, CA 94303 (650) 687-S-8 6200 - 800,000 (\$67,225,000) COMMON STOCK. (FILE 333-42118 - JUL. 24) (BR. 3)
- INFORMATICA CORP, 3350 W BAYSHORE RD, PALO ALTO, CA 94303 (650) 687-S-36200 - 1,299,084 (\$109,163,652.38) COMMON STOCK. (FILE 333-42120 - JUL. 24)
  - (BR. 3)
- MAIN STREET & MAIN INC, 5050 NORTH 40TH ST, STE 200, PHOENIX, AZ 85018 (602) 852-9000 - 4,011,740 (\$11,659,319) COMMON STOCK. (FILE 333-42122 JUL. 24) (BR. 5)
- WORLDPAGES COM INC, 390 S WOODSMILL RD, SUITE 260, ST LOUIS, MO S-363017 (314) 205-8668 - 2,063,311 (\$9,570,210) COMMON STOCK. (FILE 333-42124 JUL. 24) (BR. 7)
- LEAP WIRELESS INTERNATIONAL INC, 10307 PACIFIC CENTER COURT, SAN S-3DIEGO, CA 92121 (858) 882-6000 - 2,829,854 (\$273,929,867) COMMON STOCK. (FILE 333-42126 - JUL. 24) (BR. 7)
- VALENCE TECHNOLOGY INC, 301 CONESTOGA WAY, HENDERSON, NV 89015 (702) 558-1000 - 846,665 (\$19,751,001.12) COMMON STOCK. (FILE 333-42134 -JUL. 25) (BR. 1)
  - QUALCOMM SPINCO INC, 5775 MOREHOUSE DR, SAN DIEGO, CA 92121 (858) 587-1121 - \$100,000,000 COMMON STOCK. (FILE 333-42138 - JUL. 25) (NEW ISSUE)
- MGI PHARMA INC, 6300 WEST OLD SHAKOPEE RD, SUITE 110, BLOOMINGTON, S-3MN 55438 (612) 935-7335 - 3,000,000 (\$92,250,000) COMMON STOCK. (FILE 333-42140 - JUL. 25) (BR. 1)

#### RECENT 8K FILINGS

Form 8-K is used by companies to file current reports on the following events:

- Item 1. Changes in Control of Registrant.
- Item 2. Acquisition or Disposition of Assets.
- Item 3. Bankruptcy or Receivership.
- Item 3. Bankruptcy of Receivership.
  Item 4. Changes in Registrant's Certifying Accountant.
  Item 5. Other Materially Important Events.
  Item 6. Resignations of Registrant's Directors.
  Item 7. Financial Statements and Exhibits.
  Item 8. Change in Fiscal Year.
  Item 9. Regulation S Offerings.

	STATE		SK ITEM			
NAME OF ISSUER	CODE	1 2 3	3 <b>4</b> 5 6 	789	DATE	COMMENT
ABN AMRO MORTGAGE CORP	DE	X			07/25/0	
ABN AMRO MORTGAGE CORP SERIES 1998-	DE	X			07/25/0	0
3 ABN AMRO MORTGAGE CORP SERIES 1998-	DE	х			07/25/0	0
3 ABN AMRO MORTGAGE CORP SERIES 1998-	DE	x			07/25/0	0
ABN AMRO MORTGAGE CORP SERIES 1998-	DE	Х			07/25/0	0
5 ABN AMRO MORTGAGE CORP SERIES 1999-	DE	X			07/25/0	0
ABN AMRO MORTGAGE CORP SERIES 1999-	DE	X			07/25/0	0
2 ABN AMRO MORTGAGE CORP SERIES 1999-	DE	x			07/25/0	0
ABN AMRO MORTGAGE CORP SERIES 1999-	DE	Х			07/25/0	0
ABN AMRO MORTGAGE CORP SERIES 1999-	DE	Х			07/25/0	0
5 ABN AMRO MORTGAGE CORP SERIES 1999-	DE	Х			07/25/0	0 .
ABN AMRO MORTGAGE CORP SERIES 1999-	DE	Х			07/25/0	0
7 ABN AMRO MORTGAGE CORP SERIES 1999-	DE	Х			07/25/0	0
8 ABN AMRO MORTGAGE CORP SERIES 2000-	DE	х			07/25/0	0
ABN AMRO MORTGAGE CORP SERIES 2000-	DE	Х			07/25/0	0
2 ACCEL INTERNATIONAL CORP	DE			х	01/20/0	÷0
ADVANTA MORTGAGE LOAN TRUST 1998-4A	NY		Х	X	07/31/0	
ADVANTA MORTGAGE LOAN TRUST 1998-4B	NY		Х	Х	07/31/0	0
ADVANTA MORTGAGE LOAN TRUST 1998-4C	DE		Х	Х	07/31/0	0
ADVANTA MORTGAGE LOAN TRUST 2000-1	NV		Х	Х	07/31/0	0
ADVANTA REVOLVING HOME EQUITY LOAN	NV		X	X	07/31/0	0
TRUST 2000 A						
AES CORPORATION	DE		X		07/27/0	0
AIRGAS INC	DE		Х	X	07/27/0	
ALAMOSA PCS HOLDINGS INC			X	Х	07/27/0	
ALLION HEALTHCARE INC	DE			X		9 AMEND
AMAZON COM INC	DE	Х		X	04/25/0	
AMERICAN ARCHITECTURAL PRODUCTS COR		2.	хх	X	07/21/0	
P					/ -	
AMERICAN SOUTHWEST FINANCIAL SECURI	ΑZ	Х			07/25/0	00
AMERICAN TOWER CORP /MA/	DE	Х		X	07/19/0	) Ó

NAME OF ISSUER	STATE							9	DATE	cc	MMENT
AMERIQUEST MORTGAGE SEC INC FLOAT R ATE MO PA TH CE SE 2000-2	DE						Х		07/17/	00	
AMERIQUEST MORTGAGE SECURITIES INC	DE						X		07/17/	00	
AMERISERVE FOOD DISTRIBUTION INC /D	DE					X	Х		07/27/	00	
ANADARKO PETROLEUM CORP	DE		Х			Х	X		07/14/	00	
APPLIED POWER INC	WI					Х			07/25/	00	
ARCH COMMUNICATIONS GROUP INC /DE/	DE					X	X		07/23/	00	
ASSET BACKED SECURITIES CORP	DE	Х							07/15/	00	
AUTOBYTEL COM INC	DE					X	X		07/27/	00	
BANC OF AMERICA FUNDING CORP	DE						X		07/20/	00	
BANYAN CORP /OR/	OR		Х						09/30/	00	
BROOKDALE LIVING COMMUNITIES INC	DE					Х	X		07/26/	00	•
BRYN MAWR BANK CORP	PA					X			06/23/	00	
CAPCO ENERGY INC	CO				X		X		07/19/	00	
CAPITOL COMMUNITIES CORP	NY			Х			X		07/21/	00	
CENTURY MILESTONE S&T CO LTD	CO					X	X		07/20/	00	
CERULEAN COMPANIES INC	GA					Х			06/29/	00	
CFI PROSERVICES INC	OR		Х						07/27/	00	
CHASE COMMERCIAL MORTGAGE SECURITIE S CORP							X		07/19/	00	
CHESAPEAKE ENERGY CORP	ок					Х	Х		07/27/	00	
CHEVY CHASE AUTO RECEIVABLES TRUST 2000-1	MD					X			07/20/		
CHURCHILL DOWNS INC	KY		Х						06/30/	0.0	
CISCO SYSTEMS INC	CA		**			X	x		07/24/		
CIT GROUP INC	DE					Х	X		07/27/		
CITIZENS FINANCIAL CORP /KY/	KY					X	**		06/15/		
CLASSIC BANCSHARES INC	DE					Х			05/30/		
CLECO CORP	LA					X	Х		07/28/		
COMMERCE ONE INC	DE						X		07/20/		
COMMUNITY BANCORP OF NEW JERSEY						Х	Х		07/19/		
COMMUNITY INDEPENDENT BANK INC	PA					Х	Х		07/23/		
COMPAQ COMPUTER CORP	DE					Х	X		07/27/		
COMPLE TEL EUROPE NV	20					Х	••		07/27/		
COMPLE TEL EUROPE IV	DE					Х	Х		07/27/		
COMPARTITIONAL INC COMVERSE TECHNOLOGY INC/NY/	NY		Х			11	X		07/14/		
COVOL TECHNOLOGIES INC	DE		21		х		X		07/19/		AMEND
CREDIT SUISSE FIRST BOSTON MORTGAGE		X			••		••		07/15/		
SECURITIES CORP	DE					х	Х		07/28/	იი	AMEND
CWMBS INC	MA					X	Λ		07/25/		AIDND
DATAMARINE INTERNATIONAL INC						X	х		07/27/		
DELCO REMY INTERNATIONAL INC	DE					Λ	X		07/27/		
DELTA FUND HM EQU LN 2000-2 HM EQU LN ASS BKD CRT SER 2000-2	NY										
DELTA FUNDING HOME EQUITY LOAN TRUS T 2000-1	NY						Х		07/17/		
DEUTSCHE FLOORPLAN RECEIVABLES L P	DE					X	X		07/20/		
DISTRIBUTION FINANCIAL SERVICES FLO ORPLAN MASTER TRUST						X	X		07/20/	00	
EA ENGINEERING SCIENCE & TECHNOLOGY INC	DE					X			07/28/	00	
EAST TEXAS FINANCIAL SERVICES INC	DE					Х	Х		07/25/	00	
EL PASO ENERGY CORP/DE	DE					Х	X		07/28/		

NAME OF ISSUER	STATE CODE	1 2	8K I			9	DATE C	OMMENT
THE TANK OVER THE	MN			х	 Х		07/27/00	
ELTRAX SYSTEMS INC ENTERGY CORP /DE/	DE			X	Х		07/26/00	
FARM FAMILY HOLDINGS INC	DE			X	X		06/30/00	
	MD			X	X		07/27/00	
FCNB CORP FIRST NATIONAL BANK OF ATLANTA	1110			x	X		06/30/00	
FORD MOTOR CO	DE	}	,				07/28/00	
GLOBALNET INC	NV	•	•		Х		05/30/00	
GOONET INC	DE			Х	X		07/26/00	
HALLIBURTON CO	DE			X	X		07/26/00	
HANOVER BANCORP INC	PA	}	ζ		X		07/27/00	)
HELLER FUNDING CORP	DE			Х	Х		07/13/00	)
HELLER FUNDING CORP	DE			Х	Х		07/14/00	)
HOUSEHOLD HOME EQUITY LOAN TRUST 19 99-1					X		07/28/00	)
HOUSEHOLD REVOLVING HOME EQUITY LOA N TRUST 1995-2	DE				X		07/28/00	
HOUSEHOLD REVOLVING HOME EQUITY LOA N TRUST 1996-1	DE.				Х		07/28/00	)
HOUSEHOLD REVOLVING HOME EQUITY LOA N TRUST 1996-2	DE				Х		07/28/00	)
IMAGING TECHNOLOGIES CORP/CA	DE			X	X		07/12/00	)
IMH ASSETS CORP IMPAC CMB TRUST SER IES 1999-1	CA	Х					07/25/00	)
IMX PHARMACEUTICALS INC	$\mathtt{UT}$	2	K		X		07/21/00	) AMEND
INAMED CORP	DE		X		Χ		04/13/00	) AMEND
INDYMAC ABS INC	DE				X		07/28/00	)
INLAND RETAIL REAL ESTATE TRUST INC	MD	2	X	X	X		07/18/00	)
INLAND RETAIL REAL ESTATE TRUST INC					X		07/18/0	) AMEND
INTERNATIONAL RECTIFIER CORP /DE/	DE			X	X		07/19/0	)
INTERSPEED INC	DE			X	X		07/20/0	)
IVILLAGE INC	DE			X	X		07/27/0	) .
IXION BIOTECHNOLOGY INC	DE	Х			X		07/14/0	)
JACKSONVILLE BANCORP INC	ΤX			X	X		07/27/0	o .
JAGGED EDGE MOUNTAIN GEAR INC	CO	X					07/23/0	0
JD AMERICAN WORKWEAR INC	DE		X				07/13/0	O AMEND
KSL RECREATION GROUP INC	DE		X				06/22/0	0
LIBERATE TECHNOLOGIES	DE			X	X		07/16/0	
LMKI INC	NV			X			07/27/0	
LONE STAR TECHNOLOGIES INC	DE			X	X		07/27/0	
LUCENT TECHNOLOGIES INC	DE			X			07/28/0	
LUMENON INNOVATIVE LIGHTWAVE TECHNO	)			X	X		07/25/0	
L90 INC	DE		X		X		07/24/0	
MALAN REALTY INVESTORS INC	MI			Х	X		07/24/0	
MCDONALDS CORP	DE				X		07/25/0	
MORGAN STANLEY DEAN WITTER CAPITAL I INC SERIES 2000 LIFE1	DE				Х		07/17/0	
NATIONAL PENN BANCSHARES INC	PA			X	Х		07/11/0	
NATIONAL SECURITY GROUP INC	DE	X					07/26/0	
NATIONAL STEEL CORP	DE			X	X		07/28/0	
NATIONSLINK FUNDING CORP 1999-LTL-1 COMMER LOAN PAS THR CER	L DE	Х					07/15/0	
NEBCO EVANS HOLDING CO	DE			X	Х		07/27/0	
NETWORK SIX INC	RI			X			07/27/0	0

NAME OF ISSUER	STATE CODE		2					NO.		) . <b>_</b> .	DATE C	OMMENT
ONVIA COM INC	WA					Х		Х		(	07/25/00	
PACIFIC GAS & ELECTRIC CO	CA					Х					07/28/00	
PAGING NETWORK INC	DĒ	Х		Х				Х		-	07/14/00	
PEOPLES FIRST INC	PA					X				-	06/27/00	
PG&E CORP	CA					Х				-	07/28/00	
PHYSICIANS RESOURCE GROUP INC	DE					Х		Х			07/20/00	
PLAY BY PLAY TOYS & NOVELTIES INC	ТX				Х			X			07/24/00	
PRECISION OPTICS CORPORATION INC	MA					Х					07/28/00	
PRIDE COMPANIES LP	DE	Х									07/25/00	
PROFESSIONAL TRANSPORTATION GROUP L TD INC	GA							Х			05/17/00	AMEND
PROTECTION ONE ALARM MONITORING INC	DE					X					07/28/00	
PROTECTION ONE INC	DE					Χ					07/28/00	
RIBOZYME PHARMACEUTICALS INC	CO					Χ					07/28/00	
RURAL METRO CORP /DE/	DE					Χ		X			07/14/00	
SBC COMMUNICATIONS INC	DE					Χ		X			07/20/00	
SBE INC	DE					Х		X			07/14/00	
SBI COMMUNICATIONS INC	DE		Х								09/30/00	
SCB COMPUTER TECHNOLOGY INC	TN							Х			07/28/00	
SHAW GROUP INC	LA		Х					X			07/14/00	
SOBIESKI BANCORP INC	DE					Χ		X			07/28/00	
SOUTH JERSEY FINANCIAL CORP INC	DE					X		X			07/27/00	
SOUTHERN HERITAGE BANCORP	GΑ						X				06/30/00	
STERLING FINANCIAL CORP /PA/	PA		X					X			07/27/00	
STERLING FINANCIAL CORP /WA/	WA					X					07/20/00	
STERLING MEDIA CAPITAL GROUP INC	PA								X		07/07/00	
STRUCTURED ASSET SEC CORP COM MORT PAS THR CERTS SER 2000-C3	DE	X									07/25/00	
STRUCTURED ASSET SEC CORP COM MORT PAS THR CERTS SER 2000-C3	DE	Х									07/25/00	i
STRUCTURED ASSET SEC CORP MORT PASS THR CERT SER 2000-2	DE					Х		X			06/25/00	1
STRUCTURED ASSET SECURITIES CORP	DE					Х		X			07/26/00	
STRUCTURED ASSET SECURITIES CORP	DE					Χ		Х			07/27/00	1
SUSSEX BANCORP	NJ					Х		X			07/25/00	
SYMANTEC CORP	DE					X		X			07/26/00	1
TALBOT BANCSHARES INC	MD					X		Х			07/25/00	
TOWN SPORTS INTERNATIONAL INC	NY		Х					X			07/13/00	
TRIMBLE NAVIGATION LTD /CA/	CA		X								09/29/00	1
TRIWEST MANAGMEENT RESOURCES CORP	NV				Х			Х			07/25/00	
TRUSTCO BANK CORP N Y	NY					Х					07/28/00	1
UNION PACIFIC RESOURCES GROUP INC	UT	Χ									07/14/00	1
VAIL BANKS INC .	CO		Х					Х			07/14/00	t .
VECTREN CORP	IN					Х		Х			06/30/00	
VERIDA INTERNET CORP	NV					X					07/19/00	
VIB CORP	CA .					X		X			07/26/00	ı
VIROPHARMA INC	DE					X		X			07/27/00	
VIRTUAL COMMUNITIES INC/DE/	DE					X		Х			07/28/00	
VISTA BANCORP INC	NJ	Χ	X	X	X	X	X	X X	2		08/01/00	
VITAL SIGNS INC	NJ					X		X			07/27/00	
VOICESTREAM WIRELESS CORP /DE	WA					X		X			07/23/00	
WACHOVIA CREDIT CARD MASTER TRUST						X		X			06/30/00	
WESTERN RESOURCES INC /KS	KS					Х					07/28/00	
WESTERN WIRELESS CORP	WA					X		X			07/24/00	)

	STATE		8K	I.	ГЕМ	N	٥.				
NAME OF ISSUER	CODE	1 2	3	4 !	5 6	7	8	9	DATE	COMMENT	
WESTVACO CORP	DE			2	 {	X			07/27/	00	
WODFI LLC	FL	X							06/30/	00	
YOUR BANK ONLINE COM INC	CO	X							07/24/	00	
SDEV INTERACTIVE INC	CA	X				Х			07/21/	0.0	