# SEC NEWS DIGEST

Issue 96-226

November 27, 1996

#### **ENFORCEMENT PROCEEDINGS**

CEASE-AND-DESIST PROCEEDINGS INSTITUTED AGAINST DESOTO BROADCASTING, INC. AND DANFORD SAWYER, JR.

The Commission has instituted cease-and-desist proceedings pursuant to Section 8A of the Securities Act of 1933 (Securities Act) and Section 21C of the Securities Exchange Act of 1934 (Exchange Act) against DeSoto Broadcasting, Inc. (DeSoto) and Danford Sawyer, Jr. DeSoto is a Florida corporation and corporate general partner of a Florida limited partnership which owns and operates a commercial television station headquartered in Sarasota, Florida. Sawyer is DeSoto's president, chief executive officer director. The cease-and-desist proceedings are based upon allegations that, in connection with the private offer of DeSoto common stock, between December 26, 1991 and continuing through February 8, 1994, DeSoto and Sawyer made material misrepresentations concerning certain contracts DeSoto purportedly consummated with third parties, DeSoto's future ability to achieve profitability and certain of the risks associated with the investment in violation of the antifraud provisions of the Securities Act and Exchange Act. (Rel. 33-7366; 34-37989)

NEW ALLIED DEVELOPMENT CORPORATION, ERICA HULL, AND GRADY SANDERS SANCTIONED

The Commission has barred Erica J. Hull and Grady A. Sanders from association with any offering of penny stock, and has ordered New Allied Development Corporation, Hull, and Sanders to cease and desist from violating the securities laws. Hull and Sanders were also ordered to pay penalties of \$150,000 and \$1,000,000, respectively, and Sanders was ordered to disgorge \$115,195. Hull was president, CEO, and a director of New Allied, a company that purportedly planned to build a casino in Black Hawk, Colorado. Sanders was a control person of the company.

The Commission found that, between January 1991 and January 1993, in connection with the offer and sale of New Allied securities, Hull and Sanders violated antifraud provisions of the securities laws by disseminating disclosure statements and press releases that failed to state material facts and included false and misleading statements of material facts. It also found that Sanders violated registration

provisions by selling unregistered New Allied securities. The Commission characterized the conduct of both Hull and Sanders as fraudulent and deceitful but, in imposing more severe sanctions on Sanders, noted that he was the driving force behind the fraudulent scheme that resulted in the violations. (Rel. 34-37990)

# NEW YORK STOCK EXCHANGE ACTION AGAINST HENRY FARAGALLI, JR. SUSTAINED

The Commission has found that Henry James Faragalli, Jr., formerly a registered representative with PaineWebber Incorporated and Shearson Lehman Brothers Incorporated, member firms of the New York Stock Exchange, Inc., made unsuitable recommendations, excessively traded customer accounts, made untrue statements and omitted to disclose necessary facts to customers, and engaged in unauthorized trading, as well as other misconduct violative of Exchange rules. Faragalli was censured and suspended from membership, allied membership, approved person status, and from employment or association in any capacity with any NYSE member or member organization for a period of nine years.

In sustaining the Exchange's findings of violation against Faragalli, the Commission stated that the record in this case provides ample evidence of serious misconduct over several years at two different firms and involving numerous customers. It further noted that, throughout these proceedings, Faragalli sought to blame others at his firm, a downturn in the market, and even his own customers for the alleged misconduct. The Commission agreed with the Exchange that this effort by Faragalli was "neither worthy nor credible." (Rel. 34-37991)

HYANNIS TRADING ADVISORS, INC., JEREMIAH HEGARTY AND MICHAEL HEGARTY CHARGED WITH SECURITIES FRAUD

The Commission announced the filing of an injunctive action in the United States District Court for the District of Massachusetts against Hyannis Trading Advisors, Inc. (Hyannis Trading), Jeremiah J. Hegarty (J. Hegarty) and Michael P. Hegarty (M. Hegarty), alleging violations of the antifraud provisions of the federal securities laws, and additional provisions and rules under the Investment Advisers Act of 1940 (Advisers Act) governing performance fees, promotional materials, disclosure to clients and the filing of required reports with the Commission. The complaint alleged that the Hegartys solicited clients for Hyannis Trading's options trading program with materially misleading promotional materials and verbal statements that misrepresented past trading performance and the principal risk management features of the trading strategy. In late 1992, the Hegartys disregarded these risk management features, losing more than 85% of Hyannis Trading's \$7.6 million of clients' funds under management, and, for 21 clients, caused losses in excess of account equity of over \$650,000. [SEC v. Hyannis Trading, et al., D. Mass., No. 96-12367, RCL] (LR-15166)

# COURT ADJUDGES DEFENDANT GATEWAYS TO SPACE, INC. IN CIVIL CONTEMPT

On October 29, 1996, United States District Court Judge Harold H. Greene entered an Order adjudging Gateways to Space, Inc. (Gateways) in civil contempt. The Order found that Gateways violated a final judgment of permanent injunction issued on April 16, 1993 for failing to file complete and accurate periodic reports with the Commission as required by the federal securities laws.

Gateways failed to file a complete annual report (Form 10-K) for the year ending 1995 as required by the permanent injunction. In addition to finding Gateways in civil contempt, the October 29, 1996 Order provides for daily fines of \$500.00 beginning on December 3, 1996 until Gateways complies with the Permanent Injunction. [SEC v. Gateways To Space, Inc., Civil Action No. 93-0194, HHG] (LR-15168)

# INVESTMENT COMPANY ACT RELEASES

HLM GLOBAL EQUITY LIMITED PARTNERSHIP, ET AL.

An order has been issued on an application filed by HLM Global Equity Limited Partnership (Partnership), et al. under Section 17(b) of the Investment Company Act for an exemption from Section 17(a) of the Act. The order permits the Partnership to transfer substantially all of its assets and liabilities to a series of Harding, Loevner Funds, Inc. in exchange for the series' shares, which then would be distributed pro rata to partners of the Partnership. (Rel. IC-22349 - November 25)

#### MEDALLION FINANCIAL CORP.

A notice has been issued giving interested persons until December 20 to request a hearing on an application filed by Medallion Financial Corp. for an order pursuant to Section 61(a)(3)(B) of the Investment Company Act approving applicant's stock option plan for certain directors and the grant of certain stock options thereunder. (Rel. IC-22350 - November 25)

# THE CHUBB SERIES TRUST, ET AL.

A notice has been issued giving interested persons until December 20 to request a hearing on an application filed by The Chubb Series Trust (Trust), Chubb Investment Advisory Corporation (Chubb Investment Advisory), and Morgan Guaranty Trust Company of New York (Morgan). Applicants seek an order granting exemptions from Sections 9(a), 13(a), 15(a) and 15(b) of the Investment Company Act and Rules 6e-2(b)(15) and 6e-3(T)(b)(15) thereunder to the extent necessary to permit shares of any current or future series of the Trust and shares of any other investment company that is designed to fund variable insurance products and for which Chubb Investment Advisory or Morgan or any of their affiliates may serve as investment adviser, administrator, manager, principal underwriter or

sponsor to be sold to and held by variable annuity and variable life insurance separate accounts of both affiliated and unaffiliated life insurance companies and qualified pension and retirement plans outside the separate account context. (Rel. IC-22351 - November 25)

# OSMANLI BANKASI A.S. AND TURKEY GARANTI BANKASI A.S.

An order has been issued under Section 6(c) of the Investment Company Act granting an exemption from Section 17(f) of the Act. The order permits Osmanli Bankasi A.S. to act as custodian for investment company assets in Turkey. (Rel. IC-22352; IS-1033 - November 26)

# VOYAGEUR ARIZONA MUNICIPAL INCOME FUND II, INC.

An order has been issued under Section 8(f) of the Investment Company Act declaring that Voyageur Arizona Municipal Income Fund II, Inc. has ceased to be an investment company. (Rel. IC-22353 - November 26)

## VOYAGEUR COLORADO MUNICIPAL INCOME FUND II, INC.

An order has been issued under Section 8(f) of the Investment Company Act declaring that Voyageur Colorado Municipal Income Fund II, Inc. has ceased to be an investment company. (Rel. IC-22354 - November 26)

# FREEDOM MUTUAL FUND, ET AL.

An order has been issued on an application filed by Freedom Mutual Fund, et al., under Section 6(c) of the Investment Company Act for an exemption from Section 15(a) of the Act. The order permits the implementation, without shareholder approval, of new investment advisory agreements between Freedom Mutual Fund, Freedom Group of Tax Exempt Funds, and FundManager Trust (Trusts) and Freedom Management Capital Corporation (Adviser) with respect to certain series of the Trusts for an interim period of not more than 120 days, beginning on the date on which the Adviser's parent is sold to JHFSC Acquisition Corp. and ending no later than March 31, 1997. The order also permits the Adviser to receive all fees earned under the new agreements following shareholder approval. (Rel. IC-22355 - November 26)

#### SELF-REGULATORY ORGANIZATIONS

#### PROPOSED RULE CHANGE

The American Stock Exchange filed a proposed rule change (SR-AMEX-96-36) to adopt an official Exchange policy concerning the circumstances and conditions under which the Exchange, in order to carry out its market surveillance and enforcement functions for

derivative products containing Italian component securities, may obtain access to information regarding activity on the Italian securities markets obtained by the SEC pursuant to the Commission's Memorandum of Understanding with Italy's Commissione Nazionale per le Societa e la Borsa. Publication of the notice is expected in the Federal Register during the week of November 25. (Rel. 34-37973; IS-1031)

The <u>National Association of Securities Dealers</u> filed a proposed rule change (SR-NASD-96-39) to amend the requirements for the use in advertisements and sales literature of investment company rankings. Publication of the proposal is expected in the <u>Federal Register</u> during the week of November 25. (Rel. 34-37987)

#### APPROVAL OF PROPOSED RULE CHANGE

The Commission granted approval of a proposed rule change (SR-NSCC-96-15) filed by the <u>National Securities Clearing Corporation</u>. The rule change enables members with long positions in securities subject to a tender offer with an election as to consideration to receive protection for receipt of the tender offer consideration. (Rel. 34-37976)

# IMMEDIATE EFFECTIVENESS OF PROPOSED RULE CHANGE

A proposed rule change filed by the <u>Philadelphia Stock Exchange</u> to Amend Floor Procedure Advice F-24, the Wheel (SR-PHLX-96-49) has become effective under Section 19(b)(3)(A) of the Securities Exchange Act of 1934. Publication of the proposal is expected in the <u>Federal Register</u> during the week of November 25. (Rel. 34-37977)

# ORDER EXTENDING TEMPORARY REGISTRATION AS A CLEARING AGENCY

The Commission extended the <u>Government Securities Clearing Corporation</u>'s temporary registration as a clearing corporation (File No. 600-23) under Sections 17A and 19(a) of the Securities Exchange Act until May 31, 1997. Publication of the order is expected in the <u>Federal Register</u> during the week of December 2. (Rel. 34-37983)

# APPROVAL OF EXTENSION OF CLEARING AGENCY REGISTRATION

The Commission has approved a request for an extension of registration as a clearing agency filed by the <u>International Securities Clearing Corporation</u> under Section 19(a) of the Exchange Act (File No 600-20). Publication of the request is expected in the <u>Federal Register</u> during the week of December 2. (Rel. 34-37986; IS-1032)

# WITHDRAWALS SOUGHT

A notice has been issued giving interested persons until December 17 to comment on the application of Kirby Corporation to withdraw from listing and registration on the <a href="American Stock Exchange">American Stock Exchange</a> its Common Stock, \$0.10 Par Value. (Rel. 34-37978)

A notice has been issued giving interested persons until December 17 to comment on the application of AMREP Corporation to withdraw from listing and registration on the <a href="Chicago Stock Exchange">Chicago Stock Exchange</a> and <a href="Pacific Stock Exchange">Pacific Stock Exchange</a>, its Common Stock, \$.10 Par Value. (Rel. 34-37979)

A notice has been issued giving interested persons until December 18 to comment on the application of Technitrol, Inc. to withdraw from listing and registration on the <u>American Stock Exchange</u>, Common Stock, \$0.125 Par Value and its Common Stock Purchase Rights. (Rel. 34-37992)

#### DELISTING GRANTED

An order has been issued granting the application of the <u>Boston Stock Exchange</u> to strike from listing and registration Techdyne, Inc., Common Stock, \$.01 Par Value and Redeemable Warrants. (Rel. 34-37980)

#### WITHDRAWAL GRANTED

An order has been issued granting the application of Pittway Corporation to withdraw from listing and registration its Common Stock, \$1.00 Par Value and its Class A Stock, \$1.00 Par Value, on the American Stock Exchange. (Rel. 34-37981)

An order has been issued granting the application of The Alpine Group, Inc. to withdraw from listing and registration its Common Stock, \$.10 Par Value, on the American Stock Exchange. (Rel. 34-37982)

# SECURITIES ACT REGISTRATIONS

The following registration statements have been filed with the SEC under the Securities Act of 1933. The reported information appears as follows: Form, Name, Address and Phone Number (if available) of the issuer of the security; Title and the number and/or face amount of the securities being offered; Name of the managing underwriter or depositor (if applicable); File number and date filed; Assigned Branch; and a designation if the statement is a New Issue.

- S-B PEOPLES REPUBLIC OF CHINA, 2300 CONNECTICUT AVE, STE 2250, WASHINGTON, DC 20008 \$1,900,000,000 FOREIGN GOVERNMENT AND AGENCY DEBT. (FILE 333-6026 NOV. 12) (BR. 8)
- F-1 OPEN JOINT STOCK CO VIMPEL COMMUNICATIONS, 10 12 ULITSA, 8 MARTA MOSCOW, RUSSIAN FEDERATION, U2 0000 142,878 (\$3,904,855.74)
  FOREIGN COMMON STOCK. (FILE 333-6030 NOV. 15) (BR. 3)
- F-6 SELECT APPOINTMENTS HOLDINGS PLC /ADR/, 48 WALL ST, C/O BANK OF NEW YORK, NEW YORK, NY 10286 (212) 495-1727 25,000,000 (\$1,250,000) DEPOSITARY RECEIPTS FOR COMMON STOCK. (FILE 333-6036 NOV. 15) (BR. 99)

- S-8 CRH PUBLIC LTD CO, BELGARD CASTLE CLONDALKIN, DUBLIN 22 IRELAND, L2 600,000 (\$6,276,000) FOREIGN COMMON STOCK. (FILE 333-6040 NOV. 15) (BR. 6)
- F-3 UNITED BREWERIES COMPANY INC, AHUMADA 131, SANTIAGO CHILE, F3 00000 (212) 530-5000 25,552,530 (\$102,465,645.30) FOREIGN COMMON STOCK. (FILE 333-6042 NOV. 15) (BR. 2)
- F-6 NATUZZI INDUSTRIES SPA \ADR, 48 WALL ST, C/O BANK OF NEW YORK, NEW YORK, NY 10286 (212) 815-2009 10,000,000 (\$2,500,000)

  DEPOSITARY RECEIPTS FOR COMMON STOCK. (FILE 333-6048 NOV. 18) (BR. 99 NEW ISSUE)
- S-8 OMAP HOLDINGS INC, 268 WEST 400 SOUTH SUITE 300, SALT LAKE CITY, UT 84101 (801) 575-8073 240,000 (\$12,000) FOREIGN COMMON STOCK. (FILE 333-6056 NOV. 18) (BR. 1)
- F-1 EIDOS PLC, FERRY HOUSE, 51-57 LACY RD, PUTNEY LONDON UK, XO (181) 780-2222 5,750,000 (\$71,415,000) FOREIGN COMMON STOCK. (FILE 333-6058 NOV. 19) (BR. 3 NEW ISSUE)
- S-8 MEMCO SOFTWARE LTD, ATIDIM INDUSTRIAL PARK, BLDG 7 NEVE SHARET, TEL AVIV ISRAEL, L3 1,690,096 (\$29,787,942) FOREIGN COMMON STOCK. (FILE 333-6060 NOV. 19) (BR. 3)
- S-4 SILVER KING COMMUNICATIONS INC, 12425 28TH ST NORTH, ST PETERSBURG, FL 33716 (813) 573-0339 60,074,287 (\$1,437,235,510) COMMON STOCK. (FILE 333-16437 NOV. 20) (BR. 3)
- S-3 FX ENERGY INC, 3006 HIGHLAND DR, STE 206, SALT LAKE CITY, UT 84106 (801) 486-5555 764,072 (\$6,876,648) COMMON STOCK. (FILE 333-16439 NOV. 20) (BR. 4)
- S-8 CHINA BIOMEDICAL GROUP INC, C/O ALCOTT SIMPSON & CO, 250 PARK AVENUE STE 1930, NEW YORK, NY 10117 (212) 681-8400 59,000 (\$118,000) COMMON STOCK. (FILE 333-16441 NOV. 20) (BR. 1)
- S-1 MAZEL STORES INC, 31000 AURORA ROAD, SOLON, OH 44139 (216) 248-5200 493,350 (\$7,893,600) COMMON STOCK. (FILE 333-16443 NOV. 20) (BR. 2)
- S-8 MINNESOTA POWER & LIGHT CO, 30 W SUPERIOR ST, DULUTH, MN 55802 (218) 722-2641 150,000 (\$4,228,125) COMMON STOCK. (FILE 333-16445 NOV. 20) (BR. 4)
- S-8 PAPA JOHNS INTERNATIONAL INC, 11492 BLUEGRASS, STE 175, LOUISVILLE, KY 40299 (502) 266-5200 1,500,000 (\$71,437,500) COMMON STOCK. (FILE 333-16447 NOV. 20) (BR. 2)
- S-4 ALLEGRO NEW MEDIA INC, 16 PASSAIC AVENUE 6, FAIRFIELD, NJ 07004 (201) 808-1992 - 3,846,335 (\$19,077,821) COMMON STOCK. (FILE 333-16449 - NOV. 20) (BR. 9)
- SB-2 SAC TECHNOLOGIES INC, 4444 WEST 76TH STREET, SUITE 600, EDINA, MN 55435 (612) 835-7080 1,210,000 (\$7,260,000) COMMON STOCK. 1 (\$100) WARRANTS, OPTIONS OR RIGHTS. (FILE 333-16451 NOV. 20)
- S-4 SHOP VAC CORP, 2323 REACH RD, WILLIAMSPORT, PA 17701 (717) 326-0502 100,000,000 (\$100,000,000) STRAIGHT BONDS. (FILE 333-16453 NOV. 20)
- S-8 LABOR READY INC, 2156 PACIFIC AVENUE, TACOMA, WA 98402 (206) 383-9101 350,000 (\$5,120,500) COMMON STOCK. (FILE 333-16455 NOV. 20) (BR. 1)
- S-8 CORPORATE EXPRESS INC, 325 INTERLOCKEN PKWY, BROOMFIELD, CO 80021 (303) 373-2800 - 189,805 (\$5,149,409.65) COMMON STOCK. (FILE 333-16457 - NOV. 20) (BR. 2)
- S-8 LABOR READY INC, 2156 PACIFIC AVENUE, TACOMA, WA 98402 (206) 383-9101 150,000 (\$2,194,500) COMMON STOCK. (FILE 333-16459 NOV. 20) (BR. 1)
- S-8 DYNATECH CORP, 3 NEW ENGLAND EXECUTIVE PARK, BURLINGTON, MA 01803 (617) 272-6100 600,000 (\$34,800,000) COMMON STOCK. (FILE 333-16461 NOV. 20) (BR. 5)

- S-8 MINNESOTA POWER & LIGHT CO, 30 W SUPERIOR ST, DULUTH, MN 55802 (218) 722-2641 2,100,000 (\$59,193,750) COMMON STOCK. (FILE 333-16463 NOV. 20) (BR. 4)
- S-8 TIMKEN CO, 1835 DUEBER AVE SW, CANTON, OH 44706 (216) 471-3000 25,000 (\$1,123,500) COMMON STOCK. (FILE 333-16465 NOV. 20) (BR. 6)
- S-8 GLOBAL VENTURE FUNDING INC, 2712 ECHO MESA DR, LAS VEGAS, NV 89134 (702) 233-6638 300,000 (\$30,000) COMMON STOCK. (FILE 333-16467 NOV. 20) (BR. 3)
- S-3 JACOR COMMUNICATIONS INC, 1300 PNC CENTER, 201 E FIFTH ST, CINCINNATI, OH 45202 (513) 621-1300 - 125,000,000 (\$125,000,000) STRAIGHT BONDS. (FILE 333-16469 - NOV. 20) (BR. 3)
- S-8 DELTA AIR LINES INC /DE/, HARTSFIELD ATLANTA INTL AIRPORT, 1030 DELTA BLVD, ATLANTA, GA 30320 (404) 715-2600 - 24,700,000 (\$1,869,543,000) COMMON STOCK. (FILE 333-16471 - NOV. 20) (BR. 5)
- S-8 HORACE MANN EDUCATORS CORP /DE/, 1 HORACE MANN PLZ, SPRINGFIELD, IL 62715 (217) 789-2500 300,000 (\$11,156,250) COMMON STOCK. (FILE 333-16473 NOV. 20) (BR. 1)
- S-1 PEGASYSTEMS INC, 101 MAIN ST, CAMBRIDGE, MA 02142 (617) 374-9600 2,581,750 (\$85,197,750) COMMON STOCK. (FILE 333-16475 NOV. 20) (BR. 3)
- S-8 BANKAMERICA CORP, BANK OF AMERICA CTR, 555 CALIFORNIA ST, SAN FRANCISCO, CA 94104 (415) 622-3530 5,229,280 (\$497,759,475.40) COMMON STOCK. (FILE 333-16477 NOV. 20) (BR. 7)
- S-3 PARKWAY PROPERTIES INC, 300 ONE JACKSON PL, 188 E CAPITOL ST STE 300, JACKSON, MS 39225 (601) 948-4091 100,000,000 (\$100,000,000) DEPOSITARY RECEIPTS FOR PREFERRED STOCK. (FILE 333-16479 NOV. 20) (BR. 8)
- S-4 COLONIAL BANCGROUP INC, ONE COMMERCE ST STE 800, P O BOX 1108, MONTGOMERY, AL 36104 (334) 240-5000 2,492,919 (\$73,921,981) COMMON STOCK. (FILE 333-16481 NOV. 20) (BR. 7)
- S-8 ELECTRO SCIENTIFIC INDUSTRIES INC, 13900 NW SCIENCE PARK DR, PORTLAND, OR 97229 (503) 641-4141 400,000 (\$8,000,000) COMMON STOCK. (FILE 333-16485 NOV. 20) (BR. 6)
- S-8 ELECTRO SCIENTIFIC INDUSTRIES INC, 13900 NW SCIENCE PARK DR, PORTLAND, OR 97229 (503) 641-4141 150,000 (\$3,000,000) COMMON STOCK. (FILE 333-16487 NOV. 20) (BR. 6)
- S-8 ALLIED HEALTHCARE PRODUCTS INC, 1720 SUBLETTE AVE, ST LOUIS, MI 63110 (314) 771-2400 300,000 (\$2,081,250) COMMON STOCK. (FILE 333-16489 NOV. 20) (BR. 1)
- S-1 BUCKHEAD COMMUNITY BANCORP INC, 3520 PIEDMONT ROAD NE, ATLANTA, GA 30305 2,400,000 (\$12,000,000) COMMON STOCK. (FILE 333-16493 NOV. 20)
- S-1 BROOKS FIBER PROPERTIES INC, 425 WOODS MILL RD S STE 300, TOWN & COUNTY, MO 63017 7,859,175 (\$254,931,989.10) COMMON STOCK. (FILE 333-16495 NOV. 20) (BR. 3)
- S-1 BROOKS FIBER PROPERTIES INC, 425 WOODS MILL RD S STE 300, TOWN & COUNTY, MO 63017 5,175,000 (\$167,864,062.50)
  DEPOSITARY RECEIPTS FOR COMMON STOCK. (FILE 333-16497 NOV. 20) (BR. 3)
- S-1 SUMMIT HOLDING SOUTHEAST INC, 2310 A-Z PARK ROAD, LAKELAND, FL 33801 (941) 665-6060 1,639,866 (\$16,398,660) PREFERRED STOCK. 5,750,000 (\$69,000,000) COMMON STOCK. (FILE 333-16499 NOV. 20) (NEW ISSUE)
- S-4 TOKHEIM CORP, P O BOX 360, P O BOX 360, FORT WAYNE, IN 46801 (219) 423-2552 100,000,000 (\$100,000,000) STRAIGHT BONDS. (FILE 333-16503 NOV. 21) (BR. 6)

- S-3 ETEC SYSTEMS INC, 26460 CORPORATE AVE, M/S 302, HAYWARD, CA 94545 (510) 783-9210 5,060,000 (\$151,800,000) COMMON STOCK. (FILE 333-16505 NOV. 20) (BR. 6)
- S-2 NASTECH PHARMACEUTICAL CO INC, 129 OSER AVE, HAUPPAUGE, NY 11788 (516) 273-0101 2,300,000 (\$45,712,500) COMMON STOCK. (FILE 333-16507 NOV. 20) (BR. 1)
- S-1 SOUTHWEST BANCORP INC /TX/, 4400 POST O-K PARKWAY, HOUSTON, TX 77027 (713) 235-8881 1,772,500 (\$28,360,000) COMMON STOCK. (FILE 333-16509 NOV. 20) (NEW ISSUE)

#### RECENT 8K FILINGS

Form 8-K is used by companies to file current reports on the following events:

- Item 1. Changes in Control of Registrant.
- Item 2. Acquisition or Disposition of Assets.
- Item 3. Bankruptcy or Receivership.
- Item 4. Changes in Registrant's Certifying Accountant.
- Item 5. Other Materially Important Events.
- Item 6. Resignations of Registrant's Directors.
- Item 7. Financial Statements and Exhibits.
- Item 8. Change in Fiscal Year.

The following companies have filed 8-K reports for the date indicated and/or amendments to 8-K reports previously filed, responding to the item(s) of the form specified. Copies of the reports may be purchased from the Commission's Public Reference Room (when ordering, please give the date of the report). An invoice will be included with the requested material when mailed.

NAME OF ISSUER	STATE		TEM NO	D. 678	DATE	COMMENT
NATE OF EGOUEN						
ACCESS FINANCIAL LENDING CORP	DE		х	х	11/18/9	96
ACCUSTAFF INC	FL	X		X	11/14/9	96
AFG RECEIVABLES CORP	CA		X		11/15/9	96
AFG RECEIVABLES CORP	CA		X		11/15/9	96
AFG RECEIVABLES CORP	CA		X		11/15/9	96
AFG RECEIVABLES CORP	CA		X		11/15/9	96
AGTSPORTS INC	CO		X		11/25/9	96
AK STEEL HOLDING CORP	DE		X	X	11/21/9	96
ALDILA INC	DE		X	X	11/25/9	96
ALL-COMM MEDIA CORP	NV		X	X	11/26/9	96
ALLIEDSIGNAL INC	DE				11/12/9	96
AMERICAN BANKNOTE CORP	DE	Х		X	11/14/9	96
AMERICAN BUSINESS INFORMATION INC /DE	NE			X	09/10/9	6AMEND
AMERICAN ECOLOGY CORP	DE		X	X	11/19/9	96
AMERTRANZ WORLDWIDE HOLDING CORP	DE	X		X	10/10/9	PAMEND
ANDREW CORP	DE		X		11/14/9	96
APPLEBEES INTERNATIONAL INC	DE		X	X	11/25/9	96
ARBOR HEALTH CARE CO /DE/	DE		X	Х	11/14/9	96
ARV ASSISTED LIVING INC	CA			X	09/25/9	PAMEND
ATLANTIS PLASTICS INC	FL	X		X	11/12/9	96
AUDIO COMMUNICATIONS NETWORK INC	FL		X		11/19/9	96
BANC ONE HOME EQUITY LOAN TRUST 1996-A	DE		Х	X	11/15/9	96
BELL INDUSTRIES INC	CA			ХХ	11/22/9	96
BIOGEN INC	MA		X		11/21/9	96
BROADBAND TECHNOLOGIES INC /DE/	DE		X	X	11/19/9	96

CABLE & CO WORLDWIDE INC CAPITAIN TONYS PIZZA INC /MY/ CARBINERI INTERMATIONAL INC DE CARBAMERICA REALTY COPP MD CARBAMERICA REALTY COPP MD CARBAMERICA REALTY COPP CATERPILLAR FINANCIAL FUNDING CORP CATERPILLAR FINANCIAL FUNDING CORP CONTENNIAL TECHNOLOGIS INC DE CENTRAIL ILLINOIS LIGHT CO CENTRAIL ILLINOIS LIGHT CO CILINGE COMMERICIAL MAGNATORIES INC CHASE COMMERICIAL MOTAGAGE SECURITIES COR CHESAPEAKE BIOLOGICAL LABORATORIES INC CILICORP INC CILI V OMNER TRUST 1996-A DE CIT V OMNER TRUST 1996-A DE CIT V OMNER TRUST 1996-A DE CIT V OMNER TRUST 1996-B DE CITIZENS FIRST FINANCIAL CORP DE CITIZENS FIRST FINANCIAL CORP DE CONTINORTGAGE HOME EQUITY LOAN TRUST 199 CONTINORTGAGE HOM	NAME OF ISSUER	STATE	8K ITEM NO. 1 2 3 4 5 6 7 8			DATE	COMMENT
CADNUS COMMUNICATIONS CORP./NEW	NAME OF ISSUER						
CAPTAIN TOMYS PIZZA INC /NY/	CABLE & CO WORLDWIDE INC	DE				11/20/9	6
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CARRAMERICA REALTY CORP	CAPTAIN TONYS PIZZA INC /NY/	NY		X		08/02/96	
CARRAMERICAR REALTY CORP							
CATERPILLAR FINANCIAL FUNDING CORP   NC							
CESTINANCIAL CORP							
CENTENNIAL TECHNOLOGIES INC				v	X		
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Chase Commercial Mortgage Securities Cor					^		
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CITIZENS FIRST FINANCIAL CORP	CIT RV OWNER TRUST 1996-A	DE		х	X	11/15/9	6
COGENTRIX ENERGY INC	CIT RV TRUST 1996-B	DE		X	X	11/15/9	6
COLTEC INDUSTRIES INC	CITIZENS FIRST FINANCIAL CORP	DE		X		11/26/9	6
COMMERCIAL CREDIT CO	COGENTRIX ENERGY INC	NC	X		Χ	11/14/9	6
COMMODORE ENVIRONMENTAL SERVICES INC /DE	COLTEC INDUSTRIES INC	PA	X				
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DIGITAL VIDEO SYSTEMS INC   DE	· · · · · · · · · · · · · · · · · · ·						
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GURRANTEE LIFE COMPANIES INC  GURRANTEE LIFE COMPANIES INC  HALF ROBERT INTERNATIONAL INC /0E/  HALF ROBERT INTERNATIONAL INC /0E/  HARCOURT GENERAL INC  HILLS STORES CO /0E/  HUTTON COMAN REALTY PENSION INVESTORS  CA  MITICAPPO  HUTTON COMAN REALTY PENSION INVESTORS  CA  MITICAPPO  HUTTON COMAN REALTY PENSION INVESTORS  CA  MITICAPPO  HINTERNET CORP  HINTERNET CORP  HARCOURT HALLTH SERVICES INC  DE  MITICAPPO  HARCOURT HALLTH SERVICES INC  DE  MITICAPPO  HINTERNET CORP  HINTERNET CO		STATE	8K ITEM NO.			
HALF ROBERT INTERNATIONAL INC / DE	NAME OF ISSUER	CODE	1 2 3	3 4 5 6	7 8	DATE COMMENT
HALF ROBERT INTENATIONAL INC /DE/   DE	GUARANTEE LIFE COMPANIES INC	DE		x	X	11/14/96
MARMONY PRODUCTS INC	HALF ROBERT INTERNATIONAL INC /DE/	DE				
HARMONY PRODUCTS INC	HARCOURT GENERAL INC	DE	X			11/25/96
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HILLS STORES CO /DE/ HUTTON COMAM REALTY PENSION INVESTORS  INDIANA FEDERAL CORP HUTTON COMAM REALTY PENSION INVESTORS  INDIANA FEDERAL CORP HOLTON COMAM REALTY PENSION INVESTORS  INDIANA FEDERAL CORP INDIVIDUAL INC DE X X 11/14/96 INDIVIDUAL INC DE X X 11/14/96 INVESTOR CORP /DE/ INTEGRATED HEALTH SERVICES INC DE X X 11/18/96 INTERNET CORP GA X X 11/18/96 INTERNET CORP INTERNET	HENG FAI CHINA INDUSTRIES INC	CA	Х		X	
HUITON COMAM REALTY PENSION INVESTORS	HERTZ CORP	DE		x		
INDIANA FEDERAL CORP   DE	HILLS STORES CO /DE/	DE		X	X	11/21/96
INDIVIDUAL INC   DE	HUTTON CONAM REALTY PENSION INVESTORS	CA	X			11/11/96
INNOTECH   INC   INTEGON CORP / DE	INDIANA FEDERAL CORP	DE		X	X	11/14/96
INTEGON CORP /DE/ INTEGRATED HEALTH SERVICES INC INTERRET CORP JAN BELL MARKETING INC DE X X 11/18/96  JONES INTERCABLE INVESTORS L P CO X 11/22/96  KCS ENERGY INC DE X X 11/22/96  KCS ENERGY INC KEY CORP / NEW/ KOLL REAL ESTATE GROUP INC LEHMAN HOME EQUITY LOAN TRUST 1994-1 LEHMAN HOME EQUITY LOAN TRUST 1994-1 LEHMAN HOME EQUITY LOAN TRUST 1996-1 LIFECELL CORP MARINE DRILLING COMPANIES INC MAIL LAND & PINEAPPLE CO INC MBA CORP MEDCATH INC MEDEX INC MEDIMUNE INC /DE MEDCATH INC MEDEX INC MEDIMUNE INC /DE MERRY LAND & INVESTMENT CO INC MISSION WEST PROPERTIES/NEW/ MICC MORTAGAE INVESTORS INC DE X 11/25/96 MICC MORTAGAE INVESTORS INC DE X 11/25/96 MORGAN GROUP INC MIN X 11/25/96 MORGAN GROUP INC MIN X 11/25/96 MORGAN GROUP INC MORTAN THERNATIONAL INC NAPPO BIOTHERAPEUTICS INC DE X 11/25/96 NOBLEMEDIA COMPUNICATIONS INC DE X 11/26/96 NOBLEMEDIA COMPUNICATIONS INC DE X 11/26/96 NOBLEMEDIA COMPUNICATIONS INC DE X 11/26/9	INDIVIDUAL INC	DE		X	X	11/01/96
INTEGRATED HEALTH SERVICES INC  INTERMET CORP  INTERMET CORP  JAN BELL MARKETING INC  JONES INTERCABLE INVESTORS L P  JONES INTERCABLE INVESTORS L P  JONES INTERCABLE INVESTORS L P  JOP FOODSERVICE INC  KEYCORP / NEW/  KOLL REAL ESTATE GROUP INC  LEHMAN ABS CORP  LEHMAN ABS CORP  LEHMAN HOME EQUITY LOAN TRUST 1994-1  LIFECELL CORP  MARINE DRILLING COMPANIES INC  MBNA CORP  MBD X 11/26/96  MBD X 11/26/96  MBD X 11/26/96  MBD X 11/26/96  MBNA CORP  MBD X 11/26/96  MBD X 11	INNOTECH INC	DE		X		03/20/96
INTERMET CORP	INTEGON CORP /DE/	DE		X		10/25/96
INTERMET CORP	INTEGRATED HEALTH SERVICES INC	DE		X	X	10/17/96AMEND
JAN BELL MARKETING INC  JONES INTERCABLE INVESTORS L P  JOSES INTERCABLE INVESTORS L P  LOO  JP FOODSERVICE INC  DE  X	INTERMET CORP	GA		X	X	11/18/96
JONES INTERCABLE INVESTORS L P  JP FOODSERVICE INC  DE		GA		X	X	11/18/96AMEND
JP FOODSERVICE INC	JAN BELL MARKETING INC	DE		X	X	11/25/96
CCS ENERGY INC	JONES INTERCABLE INVESTORS L P	CO		X		11/22/96
KEYCORP /NEW/ KOLL REAL ESTATE GROUP INC  DE	JP FOODSERVICE INC	DE		X		11/26/96
NOLL REAL ESTATE GROUP INC   DE	KCS ENERGY INC	DE		X	X	11/25/96
LEHMAN ABS CORP	KEYCORP /NEW/	ОН		X		11/25/96
LEHMAN HOME EQUITY LOAN TRUST 1994-1	KOLL REAL ESTATE GROUP INC	DE		X	X	11/26/96
LEHMAN HOME EQUITY LOAN TRUST 1996-1	LEHMAN ABS CORP	DE		X	X	11/25/96
LIFECELL CORP	LEHMAN HOME EQUITY LOAN TRUST 1994-1	NY	X			11/29/96
MARINE DRILLING COMPANIES INC  MAUL LAND & PINEAPPLE CO INC  MBNA CORP  MBNA CORP  MEDCATH INC  MC X 11/16/96  MEDEX INC  MEDEX INC  MERPY LAND & INVESTMENT CO INC  MERRY LAND & INVESTMENT CO INC  MESISION WEST PROPERTIES/NEW/  MCC MORTGAGE INVESTORS INC  MCS MATIONSBANG AROUSE INC  MOBILEMEDIA COMPUNICATIONS INC  MOBILEMEDIA CORP  MORTON INTERNATIONAL INC  NAPRO BIOTHERAPEUTICS INC  NAPRO BIOTHERAPEUTICS INC  NATIONSBANK NA  NC  NATIONSBANK NA  NC  NATIONSBANK NA  NC  NATIONSBANK OF DELAWARE NA  NEIMAN MARCUS GROUP INC  NEIMORK EVENT THEATER INC  DE  X  X  X  X  X  X  X  X  X  X  X  X  X	LEHMAN HOME EQUITY LOAN TRUST 1996-1	NY		X	Χ	11/15/96
MAUI LAND & PINEAPPLE CO INC	LIFECELL CORP	DE		Х	X	11/18/96
MBNA CORP	MARINE DRILLING COMPANIES INC	TX		Х	X	11/08/96
MEDCATH INC	MAUI LAND & PINEAPPLE CO INC	ΗI	х			11/08/96
MEDEX INC	MBNA CORP	MD		X		11/26/96
MEDIMMUNE INC / DE	MEDCATH INC	NC		X		11/14/96
MERRY LAND & INVESTMENT CO INC         GA         X         X         11/07/96           MISSION WEST PROPERTIES/NEW/         CA         X         X         11/22/96           MLCC MORTGAGE INVESTORS INC         DE         X         11/25/96           MMCA AUTO GRANTOR TRUST 1993-1         DE         X         10/31/96           MOBILEMEDIA COMP         DE         X         11/25/96           MOBILEMEDIA CORP         DE         X         11/25/96           MORGAN GROUP INC         IN         X         X         11/19/96           MORTON INTERNATIONAL INC         IN         X         11/25/96           MORTON INTERNATIONAL INC         IN         X         11/25/96           MORTON INTERNATIONAL INC         IN         X         11/25/96           NAPPO BIOTHERAPEUTICS INC         DE         X         11/29/96           NATIONSBANK NA         NC         X         X         11/19/96           NATIONSBANK NA         NC         X         X         11/15/96           NETHAN MARCUS GROUP INC         DE         X         X         11/25/96           NELILIE MAE EDUCATION FUNDING LLC         DE         X         X         11/26/96           NETWORK EVENT TH	MEDEX INC	ОН	Х		X	11/12/96
MERRY LAND & INVESTMENT CO INC         GA         X         X         11/07/96           MISSION WEST PROPERTIES/NEW/         CA         X         X         11/22/96           MLCC MORTGAGE INVESTORS INC         DE         X         11/25/96           MMCA AUTO GRANTOR TRUST 1993-1         DE         X         10/31/96           MOBILEMEDIA COMP         DE         X         11/25/96           MOBILEMEDIA CORP         DE         X         11/25/96           MORGAN GROUP INC         IN         X         X         11/19/96           MORTON INTERNATIONAL INC         IN         X         11/25/96           MORTON INTERNATIONAL INC         IN         X         11/25/96           MORTON INTERNATIONAL INC         IN         X         11/25/96           NAPPO BIOTHERAPEUTICS INC         DE         X         11/29/96           NATIONSBANK NA         NC         X         X         11/19/96           NATIONSBANK NA         NC         X         X         11/15/96           NETHAN MARCUS GROUP INC         DE         X         X         11/25/96           NELILIE MAE EDUCATION FUNDING LLC         DE         X         X         11/26/96           NETWORK EVENT TH	MEDIMMUNE INC /DE	DE		X		11/25/96
MICC MORTGAGE INVESTORS INC   DE	MERRY LAND & INVESTMENT CO INC	GA		X	Х	
MMCA AUTO GRANTOR TRUST 1993-1         DE         X         10/31/96           MOBILEMEDIA COMMUNICATIONS INC         DE         X         11/25/96           MOBILEMEDIA CORP         DE         X         11/25/96           MORGAN GROUP INC         IN         X         11/19/96           MORTON INTERNATIONAL INC         IN         X         11/25/96           MAPRO BIOTHERAPEUTICS INC         DE         X         11/25/96           NATIONAL PATENT DEVELOPMENT CORP         DE         X         X         11/19/96           NATIONSBANK NA         NC         X         X         11/15/96           NATIONSBANK OF DELAWARE NA         DE         X         X         11/15/96           NEIMAN MARCUS GROUP INC         DE         X         X         11/25/96           NELLIE MAE EDUCATION FUNDING LLC         DE         X         X         11/26/96           NETWORK EVENT THEATER INC         DE         X         X <td< td=""><td>MISSION WEST PROPERTIES/NEW/</td><td>CA</td><td></td><td>X</td><td>X</td><td>11/22/96</td></td<>	MISSION WEST PROPERTIES/NEW/	CA		X	X	11/22/96
MOBILEMEDIA COMMUNICATIONS INC         DE         X         11/25/96           MOBILEMEDIA CORP         DE         X         11/25/96           MORGAN GROUP INC         IN         X         X         11/19/96           MORTON INTERNATIONAL INC         IN         X         11/19/96           MORTON INTERNATIONAL INC         IN         X         11/25/96           NATIONAL PATENT DEVELOPMENT CORP         DE         X         X         11/19/96           NATIONSBANK NA         NC         X         X         11/15/96           NATIONSBANK NA         NC         X         X         11/15/96           NEIMAN MARCUS GROUP INC         DE         X         11/25/96           NELLIE MAE EDUCATION FUNDING LLC         DE         X         11/26/96           NETWORK EVENT THEATER INC         DE         X         11/26/96           NETWORK EVENT THEATER INC         DE         X         11/15/96           NETWORK EVENT THEATER INC         DE         X <td>MLCC MORTGAGE INVESTORS INC</td> <td>DE</td> <td></td> <td></td> <td>X</td> <td>11/25/96</td>	MLCC MORTGAGE INVESTORS INC	DE			X	11/25/96
MOBILEMEDIA CORP         DE         X         11/25/96           MORGAN GROUP INC         IN         X         X         11/19/96           MORTON INTERNATIONAL INC         IN         X         X         11/25/96           NAPRO BIOTHERAPEUTICS INC         DE         X         11/08/96           NATIONAL PATENT DEVELOPMENT CORP         DE         X         X         11/19/96           NATIONSBANK NA         NC         X         X         11/15/96           NATIONSBANK OF DELAWARE NA         DE         X         X         11/15/96           NEIMAN MARCUS GROUP INC         DE         X         X         11/25/96           NELLIE MAE EDUCATION FUNDING LLC         DE         X         X         11/25/96           NETWORK EVENT THEATER INC         DE         X         X         11/25/96           NETWORK EVENT THEATER INC         DE         X         X         11/25/96           NEXTEL COMMUNICATIONS INC         DE         X         X         11/25/96           NEXTEL COMMUNICATIONS INC         DE         X         11/22/96           NITIONOL MEDICAL TECHNOLOGIES INC         DE         X         11/26/96           NORTH BANCSHARES INC         DE         X	MMCA AUTO GRANTOR TRUST 1993-1	DE			X	10/31/96
MORGAN GROUP INC         IN         X         11/19/96           MORTON INTERNATIONAL INC         IN         X         11/25/96           NAPRO BIOTHERAPEUTICS INC         DE         X         11/08/96           NATIONAL PATENT DEVELOPMENT CORP         DE         X         X         11/19/96           NATIONSBANK NA         NC         X         X         11/15/96           NATIONSBANK OF DELAWARE NA         DE         X         X         11/15/96           NEIMAN MARCUS GROUP INC         DE         X         X         11/25/96           NELLIE MAE EDUCATION FUNDING LLC         DE         X         X         11/26/96           NETWORK EVENT THEATER INC         DE         X         X         11/15/96           NETWORK EVENT THEATER INC         DE         X         X         11/15/96           NETWORK EVENT THEATER INC         D	MOBILEMEDIA COMMUNICATIONS INC	DE		X		11/25/96
MORTON INTERNATIONAL INC  NAPRO BIOTHERAPEUTICS INC  DE  X  11/08/96  NATIONAL PATENT DEVELOPMENT CORP  NATIONSBANK NA  NC  X  X  11/15/96  NATIONSBANK NA  NC  X  X  11/15/96  NATIONSBANK OF DELAWARE NA  DE  X  X  11/15/96  NEIMAN MARCUS GROUP INC  DE  X  X  11/25/96  NELLIE MAE EDUCATION FUNDING LLC  DE  X  X  11/25/96  NETWORK EVENT THEATER INC  DE  X  X  11/15/96  NEXTEL COMMUNICATIONS INC  DE  X  X  11/15/96  NITINOL MEDICAL TECHNOLOGIES INC  DE  X  11/15/96  NORTH BANCSHARES INC  DE  X  11/26/96  NUEVO ENERGY CO  DASIS RESIDENTIAL INC  DASI	MOBILEMEDIA CORP	DE		X		11/25/96
NAPRO BIOTHERAPEUTICS INC  NATIONAL PATENT DEVELOPMENT CORP  NATIONSBANK NA  NC  X  X  X  X  X  X  X  X  X  X  X  X  X	MORGAN GROUP INC	IN		X	X	11/19/96
NATIONAL PATENT DEVELOPMENT CORP  NATIONSBANK NA  NC  X X 11/15/96  NATIONSBANK OF DELAWARE NA  DE  X X 11/15/96  NEIMAN MARCUS GROUP INC  DE X 11/25/96  NELLIE MAE EDUCATION FUNDING LLC  DE X X 11/26/96  NETWORK EVENT THEATER INC  DE X 08/21/96AMEND  NETWORK LONG DISTANCE INC  DE X X 11/15/96  NEXTEL COMMUNICATIONS INC  DE X X 11/15/96  NITIOL MEDICAL TECHNOLOGIES INC  DE X 11/22/96  NITINOL MEDICAL TECHNOLOGIES INC  DE X 11/26/96  NORTH BANCSHARES INC  DE X 11/26/96  NUEVO ENERGY CO  DE X 11/26/96  OCCIDENTAL PETROLEUM CORP /DE/  OCCUPATIONAL HEALTH & REHABILITATION INC  DE X 09/11/96AMEND  OHIO EDISON CO  OMEGA HEALTH SYSTEMS INC  DE X 09/11/96AMEND  OMEGA HEALTH SYSTEMS INC  DE X 09/10/96AMEND  OMEGA HEALTH SYSTEMS INC  DE X 09/10/96AMEND  OSB FINANCIAL CORP  PAM TRANSPORTATION SERVICES INC  DE X 11/13/96	MORTON INTERNATIONAL INC	IN		Х		11/25/96
NATIONSBANK NA  NC  NATIONSBANK OF DELAWARE NA  DE  NATIONSBANK OF DELAWARE NA  DE  X  11/15/96  NEIMAN MARCUS GROUP INC  DE  NELLIE MAE EDUCATION FUNDING LLC  DE  NETWORK EVENT THEATER INC  DE  NETWORK LONG DISTANCE INC  DE  NETWORK LONG DISTANCE INC  DE  X  11/15/96  NEXTEL COMMUNICATIONS INC  DE  X  11/15/96  NITIOL MEDICAL TECHNOLOGIES INC  DE  X  11/15/96  NORTH BANCSHARES INC  DE  X  11/26/96  NUEVO ENERGY CO  DE  X  11/26/96  OCCIDENTAL PETROLEUM CORP /DE/  OCCUPATIONAL HEALTH & REHABILITATION INC  DE  X  11/20/96  OCCUPATIONAL HEALTH & REHABILITATION INC  DE  X  09/11/96AMEND  OMEGA HEALTH SYSTEMS INC  DE  X  09/10/96AMEND  OMEGA HEALTH SYSTEMS INC  DE  X  11/13/96  PAM TRANSPORTATION SERVICES INC  DE  X  11/13/96  TIMBER  TO THE TOTAL TO	NAPRO BIOTHERAPEUTICS INC	DE		Х		11/08/96
NATIONSBANK OF DELAWARE NA  DE X X 11/15/96  NEIMAN MARCUS GROUP INC  DE X 11/25/96  NELLIE MAE EDUCATION FUNDING LLC  DE X X 11/26/96  NETWORK EVENT THEATER INC  DE X 08/21/96AMEND  NETWORK LONG DISTANCE INC  DE X X 11/15/96  NEXTEL COMMUNICATIONS INC  DE X 11/22/96  NITE LITE USA LTD  CO X 11/15/96  NITINOL MEDICAL TECHNOLOGIES INC  DE X 11/06/96  NORTH BANCSHARES INC  DE X 11/26/96  NUEVO ENERGY CO  DE X 11/26/96  OCCIDENTAL PETROLEUM CORP /DE/  OCCIDENTAL PETROLEUM CORP /DE/  OCCUPATIONAL HEALTH & REHABILITATION INC  DE X 09/11/96AMEND  OHIO EDISON CO  OMEGA HEALTH SYSTEMS INC  DE X 09/10/96AMEND  OMEGA HEALTH SYSTEMS INC  DE X 09/10/96AMEND  OSB FINANCIAL CORP  PAM TRANSPORTATION SERVICES INC  DE X X 11/13/96  PAM TRANSPORTATION SERVICES INC	NATIONAL PATENT DEVELOPMENT CORP	DE	х		X	11/19/96
NEIMAN MARCUS GROUP INC         DE         X         11/25/96           NELLIE MAE EDUCATION FUNDING LLC         DE         X         X         11/26/96           NETWORK EVENT THEATER INC         DE         X         08/21/96AMEND           NETWORK LONG DISTANCE INC         DE         X         11/15/96           NEXTEL COMMUNICATIONS INC         DE         X         11/22/96           NITE LITE USA LTD         CO         X         11/15/96           NITINOL MEDICAL TECHNOLOGIES INC         DE         X         11/06/96           NORTH BANCSHARES INC         DE         X         11/26/96           NUEVO ENERGY CO         DE         X         11/26/96           NUEVO ENERGY CO         DE         X         11/25/96AMEND           OASIS RESIDENTIAL INC         NV         X         11/20/96           OCCIDENTAL PETROLEUM CORP /DE/         DE         X         X         11/20/96           OCCUPATIONAL HEALTH & REHABILITATION INC         DE         X         X         09/11/96AMEND           OHIO EDISON CO         OH         X         09/11/96AMEND           OMEGA HEALTH SYSTEMS INC         DE         X         X         09/10/96AMEND           OSB FINANCIAL CORP <t< td=""><td>NATIONSBANK NA</td><td>NC</td><td></td><td>X</td><td>X</td><td>11/15/96</td></t<>	NATIONSBANK NA	NC		X	X	11/15/96
NELLIE MAE EDUCATION FUNDING LLC         DE         X         X         11/26/96           NETWORK EVENT THEATER INC         DE         X         08/21/96AMEND           NETWORK LONG DISTANCE INC         DE         X         11/15/96           NEXTEL COMMUNICATIONS INC         DE         X         11/22/96           NITE LITE USA LTD         CO         X         11/25/96           NITINOL MEDICAL TECHNOLOGIES INC         DE         X         11/06/96           NORTH BANCSHARES INC         DE         X         11/26/96           NUEVO ENERGY CO         DE         X         11/25/96AMEND           OASIS RESIDENTIAL INC         NV         X         11/20/96           OCCIDENTAL PETROLEUM CORP /DE/         DE         X         X         11/20/96           OCCUPATIONAL HEALTH & REHABILITATION INC         DE         X         X         09/11/96AMEND           OHIO EDISON CO         OH         X         09/11/96AMEND           OMEGA HEALTH SYSTEMS INC         DE         X         X         09/10/96AMEND           OSB FINANCIAL CORP         WI         X         X         11/13/96           PAM TRANSPORTATION SERVICES INC         DE         X         X         11/19/96 <td>NATIONSBANK OF DELAWARE NA</td> <td>DE</td> <td></td> <td>X</td> <td>X</td> <td>11/15/96</td>	NATIONSBANK OF DELAWARE NA	DE		X	X	11/15/96
NETWORK EVENT THEATER INC         DE         X         08/21/96AMEND           NETWORK LONG DISTANCE INC         DE         X         11/15/96           NEXTEL COMMUNICATIONS INC         DE         X         11/22/96           NITE LITE USA LTD         CO         X         11/15/96           NITINOL MEDICAL TECHNOLOGIES INC         DE         X         11/06/96           NORTH BANCSHARES INC         DE         X         11/26/96           NUEVO ENERGY CO         DE         X         11/25/96AMEND           OASIS RESIDENTIAL INC         NV         X         11/20/96           OCCIDENTAL PETROLEUM CORP /DE/         DE         X         X         11/20/96           OCCUPATIONAL HEALTH & REHABILITATION INC         DE         X         X         09/11/96AMEND           OHIO EDISON CO         OH         X         09/11/96AMEND           OMEGA HEALTH SYSTEMS INC         DE         X         X         09/10/96AMEND           OSB FINANCIAL CORP         WI         X         X         11/13/96           PAM TRANSPORTATION SERVICES INC         DE         X         X         11/19/96	NEIMAN MARCUS GROUP INC	DE	X			11/25/96
NETWORK LONG DISTANCE INC         DE         X         X         11/15/96           NEXTEL COMMUNICATIONS INC         DE         X         11/22/96           NITE LITE USA LTD         CO         X         11/15/96           NITINOL MEDICAL TECHNOLOGIES INC         DE         X         11/06/96           NORTH BANCSHARES INC         DE         X         11/26/96           NUEVO ENERGY CO         DE         X         11/25/96AMEND           OASIS RESIDENTIAL INC         NV         X         11/20/96           OCCIDENTAL PETROLEUM CORP /DE/         DE         X         X         11/20/96           OCCUPATIONAL HEALTH & REHABILITATION INC         DE         X         X         09/11/96AMEND           OHIO EDISON CO         OH         X         11/15/96           OMEGA HEALTH SYSTEMS INC         DE         X         09/10/96AMEND           OMEGA HEALTH SYSTEMS INC         DE         X         09/10/96AMEND           OSB FINANCIAL CORP         WI         X         11/13/96           PAM TRANSPORTATION SERVICES INC         DE         X         X         11/19/96	NELLIE MAE EDUCATION FUNDING LLC	DE		X	X	11/26/96
NEXTEL COMMUNICATIONS INC         DE         X         11/22/96           NITE LITE USA LTD         CO         X         11/15/96           NITINOL MEDICAL TECHNOLOGIES INC         DE         X         11/06/96           NORTH BANCSHARES INC         DE         X         11/26/96           NUEVO ENERGY CO         DE         X         11/25/96AMEND           OASIS RESIDENTIAL INC         NV         X         11/20/96           OCCIDENTAL PETROLEUM CORP /DE/         DE         X         X         11/20/96           OCCUPATIONAL HEALTH & REHABILITATION INC         DE         X         X         09/11/96AMEND           OHIO EDISON CO         OH         X         09/11/96AMEND           OMEGA HEALTH SYSTEMS INC         DE         X         X         09/10/96AMEND           OMEGA HEALTH SYSTEMS INC         DE         X         X         11/13/96           OSB FINANCIAL CORP         WI         X         X         11/19/96           PAM TRANSPORTATION SERVICES INC         DE         X         X         11/19/96	NETWORK EVENT THEATER INC	DE			X	08/21/96AMEND
NITE LITE USA LTD       CO X       11/15/96         NITINOL MEDICAL TECHNOLOGIES INC       DE X       11/06/96         NORTH BANCSHARES INC       DE X       11/26/96         NUEVO ENERGY CO       DE X       11/25/96AMEND         OASIS RESIDENTIAL INC       NV X       11/20/96         OCCIDENTAL PETROLEUM CORP /DE/       DE X X       11/20/96         OCCUPATIONAL HEALTH & REHABILITATION INC       DE X X       09/11/96AMEND         OHIO EDISON CO       OH X       11/15/96         OMEGA HEALTH SYSTEMS INC       DE X X       09/10/96AMEND         OMEGA HEALTH SYSTEMS INC       DE X X       09/10/96AMEND         OSB FINANCIAL CORP       WI X X       11/13/96         PAM TRANSPORTATION SERVICES INC       DE X X       X X       11/19/96	NETWORK LONG DISTANCE INC	DE	X		X	11/15/96
NITINOL MEDICAL TECHNOLOGIES INC         DE         X         11/06/96           NORTH BANCSHARES INC         DE         X         11/26/96           NUEVO ENERGY CO         DE         X         11/25/96AMEND           OASIS RESIDENTIAL INC         NV         X         11/20/96           OCCIDENTAL PETROLEUM CORP /DE/         DE         X         X         11/20/96           OCCUPATIONAL HEALTH & REHABILITATION INC         DE         X         X         09/11/96AMEND           OHIO EDISON CO         OH         X         11/15/96         09/10/96AMEND           OMEGA HEALTH SYSTEMS INC         DE         X         09/10/96AMEND           OMEGA HEALTH SYSTEMS INC         DE         X         09/10/96AMEND           OSB FINANCIAL CORP         WI         X         X         11/13/96           PAM TRANSPORTATION SERVICES INC         DE         X         X         11/19/96	NEXTEL COMMUNICATIONS INC	DE		Х		11/22/96
NORTH BANCSHARES INC         DE X         11/26/96           NUEVO ENERGY CO         DE X         11/25/96AMEND           OASIS RESIDENTIAL INC         NV X         11/20/96           OCCIDENTAL PETROLEUM CORP /DE/         DE X X         11/20/96           OCCUPATIONAL HEALTH & REHABILITATION INC         DE X X         09/11/96AMEND           OHIO EDISON CO         OH X         11/15/96           OMEGA HEALTH SYSTEMS INC         DE X X         09/10/96AMEND           OMEGA HEALTH SYSTEMS INC         DE X X         09/10/96AMEND           OSB FINANCIAL CORP         WI X X         11/13/96           PAM TRANSPORTATION SERVICES INC         DE X X         11/19/96	NITE LITE USA LTD	CO	X			11/15/96
NUEVO ENERGY CO         DE         X         11/25/96AMEND           OASIS RESIDENTIAL INC         NV         X         11/20/96           OCCIDENTAL PETROLEUM CORP /DE/         DE         X         X         11/20/96           OCCUPATIONAL HEALTH & REHABILITATION INC         DE         X         X         09/11/96AMEND           OHIO EDISON CO         OH         X         11/15/96         00           OMEGA HEALTH SYSTEMS INC         DE         X         X         09/10/96AMEND           OMEGA HEALTH SYSTEMS INC         DE         X         X         09/10/96AMEND           OSB FINANCIAL CORP         WI         X         X         11/13/96           PAM TRANSPORTATION SERVICES INC         DE         X         X         11/19/96	NITINOL MEDICAL TECHNOLOGIES INC	DE		Х		11/06/96
OASIS RESIDENTIAL INC OCCIDENTAL PETROLEUM CORP /DE/ OCCUPATIONAL HEALTH & REHABILITATION INC OHIO EDISON CO OMEGA HEALTH SYSTEMS INC OMEGA HEALTH SYSTEMS INC OMEGA HEALTH SYSTEMS INC OMEGA HEALTH SYSTEMS INC OBS FINANCIAL CORP WI X 11/120/96 VX 09/11/96AMEND OSB FINANCIAL CORP WI X 11/13/96 PAM TRANSPORTATION SERVICES INC DE X X 11/19/96	NORTH BANCSHARES INC	DE	X			11/26/96
OCCIDENTAL PETROLEUM CORP /DE/ OCCUPATIONAL HEALTH & REHABILITATION INC OH	NUEVO ENERGY CO	DE		X		11/25/96AMEND
OCCUPATIONAL HEALTH & REHABILITATION INC OHIO EDISON CO OH X 11/15/96 OMEGA HEALTH SYSTEMS INC OMEGA HEALTH SYSTEMS INC OMEGA HEALTH SYSTEMS INC OBS FINANCIAL CORP WI X X 11/13/96 PAM TRANSPORTATION SERVICES INC DE X X 11/19/96	OASIS RESIDENTIAL INC	NV			X	11/20/96
OCCUPATIONAL HEALTH & REHABILITATION INC DE X X 09/11/96AMEND OHIO EDISON CO OH X 11/15/96  OMEGA HEALTH SYSTEMS INC DE X X 09/10/96AMEND OMEGA HEALTH SYSTEMS INC DE X X 09/10/96AMEND OSB FINANCIAL CORP WI X X 11/13/96  PAM TRANSPORTATION SERVICES INC DE X X 11/19/96		DE		X	X	11/20/96
OHIO EDISON CO OH X 11/15/96  OMEGA HEALTH SYSTEMS INC OMEGA HEALTH SYSTEMS INC OBS FINANCIAL CORP PAM TRANSPORTATION SERVICES INC OH X X 09/10/96AMEND X X 11/13/96  THE X X 11/19/96			X		X	09/11/96AMEND
OMEGA HEALTH SYSTEMS INC  OMEGA HEALTH SYSTEMS INC  OBB FINANCIAL CORP  PAM TRANSPORTATION SERVICES INC  DE X X  O9/10/96AMEND  O9/10/96AMEND  X X  11/13/96  X X  11/19/96		ОН		X		11/15/96
OMEGA HEALTH SYSTEMS INC DE X X 09/10/96AMEND OSB FINANCIAL CORP WI X X 11/13/96 PAM TRANSPORTATION SERVICES INC DE X X 11/19/96		ÐΕ	XX			09/10/96AMEND
OSB FINANCIAL CORP WI X X 11/13/96 PAM TRANSPORTATION SERVICES INC DE X X 11/19/96		DE	χх			09/10/96AMEND
PAM TRANSPORTATION SERVICES INC DE X X 11/19/96		WI		хх		11/13/96
44.42.07				X	X	11/19/96
		DE	X		X	11/12/96

NAME OF ISSUER	STATE CODE		EM NO. 456	78	DATE COMMENT
PARTS SOURCE INC	FL				10/25/96AMEND
PEGASUS COMMUNICATIONS CORP	DE	x		x	11/08/96
PENN TREATY AMERICAN CORP	PA	^	x	^	11/25/96
PIXTECH INC /DE/	DE		X		11/22/96
• •	DE		^	х	11/12/96
PLATINUM ENTERTAINMENT INC PMI GROUP INC	DE		х	X	11/19/96
PREMIER BANKSHARES CORP	VA		x	x	10/29/96
PRESIDIO CAPITAL CORP	••		x	x	11/21/96
PRIME RECEIVABLES CORP	DE		^	x	11/18/96
PRINCETON MEDIA GROUP INC	A6			x	11/04/96
			v		10/31/96
PRUDENTIAL BANK & TRUST CO /GA/	GA		X	X	
QPQ CORP	FL DE		X X	x	11/20/96
QUARTERDECK CORP	DE		^	^	11/25/96
QUEEN SAND RESOURCES INC			v		11/26/96
QUIZNOS CORP	CO DE		Х	v	09/30/96
READING & BATES CORP			v	X	11/25/96
RECKSON ASSOCIATES REALTY CORP	MD		X	X	11/25/96
RECOVERY ENGINEERING INC	DE		X	X	07/19/96
REDWOOD EMPIRE BANCORP	CA	v	X	v	11/21/96
REVCO D S INC	DE	Х	v	X	11/15/96
ROM TECH INC	PA		X	X	11/15/96
RSI HOLDINGS INC	NC		X	X	11/18/96
SECURE COMPUTING CORP	DE		X	X	11/25/96
SEL DRUM INTERNATIONAL INC	СО		X		11/11/96
SHARED TECHNOLOGIES FARICHILD INC	DE		X		11/22/96
SHERWIN WILLIAMS CO	OH		X		11/22/96
SOLV EX CORP	NM		.,		11/15/96
SOUTHPOINT STRUCTURED ASSETS INC	DE		X	X	11/26/96
STAGE STORES INC	DE		X	Х	11/25/96
STANDARD FINANCIAL INC	DE		X		11/22/96
STRUCTURED ASSETS SECURITIES CORP SER 19	ΙL	X			11/29/96
SYQUEST TECHNOLOGY INC	DE		Х	Х	11/25/96
TAMPA ELECTRIC CO	FL		Х		11/21/96
TAPISTRON INTERNATIONAL INC	GA		Х	X	11/25/96
TECO ENERGY INC	FL		Х		11/21/96
TENGTU INTERNATIONAL CORP	DE	Х		X	11/22/96
TEXAS INSTRUMENTS INC	DE	X			11/26/96
TOROTEL INC	MO			X	11/25/96
TOUCH TONE AMERICA INC	CA	Х		X	11/13/96
TRANSAMERICA OCCIDENTAL LIFE INSURANCE C	CA			Х	10/31/96
TRANSWORLD HOME HEALTHCARE INC	NY	X		X	11/13/96
UACSC 1996-D AUTO TRUST	DE		Х	X	11/21/96
UCFC ACCEPTANCE CORP	LA		X	X	11/15/96
URBAN SHOPPING CENTERS INC	MD		X		11/25/96
WEALTH INTERNATIONAL INC	NV			X	08/27/96AMEND
WEBSTER FINANCIAL CORP	DE		X	X	11/04/96
WFS FINANCIAL 1996-B OWNER TRUST	CA		X	X	11/20/96
WNC HOUSING TAX CREDIT FUND V LP SERIES	CA	X			09/17/96AMEND
WNC HOUSING TAX CREDIT FUND V LP SERIES	CA	X			09/25/96AMEND
WNC HOUSING TAX CREDIT FUND V LP SERIES	CA	X			10/15/96AMEND
WORLD OMNI DEALER FUNDING INC	FL	X			10/31/96