

U.S. SECURITIES AND EXCHANCE COMMISSION

ADMINISTRATIVE PROCEEDINGS

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ROONEY, PACE INC. AND RANDOLPH K. PACE SANCTIONED

The Commission instituted consolidated proceedings under Section 15(b) of the Securities Exchange Act of 1934 against Rooney, Pace Inc. and Randolph K. Pace, chairman of that registered broker-dealer firm, concerning conduct in connection with three separate offerings.

Rooney, Pace and Pace consented to an Order, without admission or denial, which finds that Rooney, Pace willfully violated Sections 5 and 17(a) of the Securities Act of 1933 and Sections 10(b), 13(d), 15(c)(1), and 17(a) of the Exchange Act and Rules 10b-5, 10b-6, 13d-1, 15c1-2, 15c1-6, 17a-1, and 17a-3, and that Pace willfully violated Sections 5 and 17(a) of the Securities Act and 10(b) of the Exchange Act and Rules 10b-5 and 10b-6, and aided and abetted violations of those antifraud provisions and of Sections 15(c)(1) and 17(a) of the Exchange Act and Rules 15c1-2, 17a-1, and 17a-3.

The Commission censured Rooney, Pace and ordered compliance with its undertaking to withdraw its broker-dealer registration. Pace was suspended from association with a broker or dealer for nine months beginning after a three-month suspension in another matter. Restrictions were placed on his activities for five years thereafter. (Rel. 34-25125)

NYSE ACTION AGAINST THOMAS P. GARRITY AFFIRMED

The Commission affirmed sanctions imposed by the New York Stock Exchange on Thomas P. Garrity, of Laguna Hills, California, a former salesman of Kidder Peabody & Co., Incorporated. The NYSE censured Garrity and suspended him for four years from member-ship, allied membership, approved person status, and employment or association in any capacity with any member or member organization.

The Commission found, as had the NYSE, that, in 1982, Garrity effected unauthorized naked options transactions in his personal account at Kidder. He then deceived Kidder about his ability to supply margin, delaying remedial action on its part, and causing it to suffer losses of about \$96,000. Garrity made no effort to repay Kidder for that loss.

In affirming the sanctions assessed by the NASD, the Commission noted that Garrity's "deliberate dishonesty" had inflicted heavy losses on his employer, and that Garrity still disclaimed any responsibility for his actions. (Rel. 34-25115)

CIVIL PROCEEDINGS

CIVIL INJUNCTIVE ACTION FILED AGAINST THE CANNON GROUP INC., OTHERS

The Commission filed on November 9 an injunctive action in the U.S. District Court in Los Angeles against The Cannon Group Inc., a film producer, Yoram Globus, Cannon's president and chief executive officer, and Barry I. Lublin, Cannon's Chief Financial Officer from July 1985 to December 1986, alleging that they violated or aided and abetted violations of the antifraud, reporting, proxy, recordkeeping, and internal controls provisions of the securities laws, and against David A. Burkhardt, a Vice President of Cannon from May 1985 through September 1986, alleging that he violated the antifraud provisions of the Securities Act of 1933. Without admitting or denying the allegations, the defendants consented to Final Judgments of Permanent Injunction enjoining them from all the violations alleged and, in addition, requiring Cannon to (1) engage an independent person to investigate all related party transactions over \$60,000 for 1984 through 1986, (2) make specific detailed disclosure relating to a large licensing arrangement with a German company, (3) engage its independent accountants to perform an additional special review of Cannon's accounting systems and

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procedures related to eight specific areas, and (4) to provide twenty hours annually of accounting education relevant to Cannon's business for all Cannon's accounting and financial management and supervisory personnel. (SEC v. The Cannon Group Inc., et al., USDC CDCA, Civil Action No. 87-7509). (LR-11603)

INVESTMENT COMPANY ACT RELEASES

CHUBB INVESTMENT FUNDS, INC.

A notice has been issued giving interested persons until December 4 to request a hearing on an application filed by Chubb Investment Funds, Inc. (Fund) and Chubb Securities Corporation (Distributor) approving certain offers of exchange among the Fund's present and future investment portfolios for which the Distributor acts as principal underwriter. (Rel. IC-16121 - Nov. 12)

THE ALGER FUND

A notice has been issued giving interested persons until December 7 to request a hearing on an application filed by The Alger Fund seeking an order amending its prior order [Release IC-15288, September 5, 1986] to permit an additional waiver of a contingent deferred sales load. (Rel. IC-16122 - Nov. 12)

ROYAL TRUST CORPORATION OF CANADA

A notice has been issued giving interested persons until December 7 to request a hearing on an application filed by Royal Trust Corporation of Canada for an order exempting it from all provisions of the Investment Company Act to enable it to issue and sell its debt securities in the United States without registering as an investment company under the Act. (Rel. IC-16123 - Nov. 12)

HOLDING COMPANY ACT RELEASES

NEW ENGLAND ELECTRIC SYSTEM; CENTRAL AND SOUTHWEST CORPORATION

Notices have been issued giving interested persons until December 7 to request a hearing on a proposal by the following companies filed under Release 35-24501, dated November 12: New England Hydro-Transmission Electric Company, Inc. (NEH-M) - New England Hydro-Transmission Corporation (NEH-NH), New England Power Company, and their parent New England Electric System, a registered holding company; Montaup Electric Company, an indirect subsidiary of Eastern Utilities Associates, a registered holding company; and The Connecticut Light and Power Company, Western Massachusetts Electric Company, Holyoke Water Power Company, Holyoke Power and Electric Company, and their parent Northeast Utilities, a registered holding company, (collectively, Applicants), whereby Applicants will enter into certain credit support and capital funding agreements to allow NEH-M and NEH-NH to finance the construction of transmission interconnection facilities with Hydro-Quebec, the provincial utility of Quebec, Canada, to provide additional sources of energy for the New England area; and <u>Central and Southwest Corporation (CSW)</u> - a registered holding company, to invest up to \$200 million through December 31, 1988 in a joint venture formed by CSW, its nonutility subsidiary, CSW Financial, Inc., and Manufacturers Hanover Leasing Corporation, for the purpose of engaging in leveraged leasing of property, other than electric utility facilities.

LISTING, DELISTING AND UNLISTED TRADING ACTIONS

UNLISTED TRADING/WITHDRAWAL GRANTED

An order has been issued granting the application of the <u>Midwest Stock Exchange</u> for unlisted trading privileges in the common stock of two over-the-counter issues, SUN MICROSYSTEMS, INC. and NORDSTROM, INC., and granting the application to withdraw unlisted trading privileges in the common stock of two over-the-counter issues, SHARED MEDICAL SYSTEMS and DSC COMMUNICATIONS. (Rel. 34-25116)

TRUST INDENTURE ACT RELEASES

UNION TANK CAR COMPANY

A notice has been issued giving interested persons until December 9 to request a hearing on an application by the Union Tank Car Company under Section 310(b)(1)(ii) of the Trust Indenture Act of 1939. The application declares that the trusteeship of First National Bank of Chicago under two previously qualified indentures dated April 1, 1969 and June 1, 1979, and five other indentures not qualified under the Act, is not so likely to involve a material conflict of interest as to make it necessary to disqualify the Bank from acting as trustee under said indentures. (Rel. TI-2128)

SECURITIES ACT REGISTRATIONS

The following registration statements have been filed with the SEC under the Securities Act of 1933. The reported information appears as follows: Form, Name, Address and Phone Number (if available) of the issuer of the security; Title and the number and/or face amount of the securities being offered; Name of the managing underwriter or depositor (if applicable); File number and date filed; Assigned Branch; and a designation if the statement is a New Issue.

- S-18 HJM MANAGEMENT CORP, 1377 K ST NW STE 822, WASHINGTON, DC 20005 (202) 682-7832 -75,000,000 (\$750,000) COMMON STOCK. 75,000,000 (\$2,250,000) COMMON STOCK. (FILE 33-18271 - NOV. 09) (BR. 5 - NEW ISSUE)
- S-6 INSURED MUNICIPALS INCOME TRUST 41ST INSURED MULTI SERIES, 1001 WARRENVILLE RD,
 C/D VAN KAMPEN MERRITT INC, LISLE, IL 60532 1,000 (\$1,020,000) UNIT INVESTMENT TRUST.
 DEPOSITOR: VAN KAMPEN MERRITT INC. (FILE 33-18284 NOV. 03) (BR. 18 NEW ISSUE)
- S-6 VARIABLE ACCOUNT B, 70 PINE ST, C/O AMERICAN INTL LIFE ASSURANCE CD, NEW YORK, NY 10270 (212) 770-7000 - INDEFINITE SHARES. DEPOSITOR: AMERICAN INTERNATIONAL LIFE ASSURANCE CO. (FILE 33-18317 - NOV. 03) (BR. 20 - NEW ISSUE)
- S-18 KWS ACQUISITION CORP, 2365 BOSTON POST RD, PD BOX 28, LARCHMONT, NY 10538 (914) 834-7431 - 150,000 (\$150,000) COMMON STOCK. 600,000 (\$750,000) COMMON STOCK. 600,000 (\$1,050,000) COMMON STOCK. 300,000 (\$675,000) COMMON STOCK. (FILE 33-18346-NY - OCT. 22) (BR. 12 - NEW ISSUE)
- S-8 DATA DESIGN LABORATORIES, 7925 CENTER AVE, CUCAMONGA, CA 91730 (714) 987-2511 -400,000 (\$2,452,000) COMMON STCCK. (FILE 33-18356 - NOV. 05) (BR. 9)
- S-1 CAMPBELL RESOURCES INC/NEW, 111 RICHMOND ST W STE 400, TORONTO ONTARIO M5H 2G4, A6 (416) 366-5201 - 39,305,112 (\$82,823,420) FOREIGN COMMON STOCK. 2,822,580 WARRANTS, OPTIONS DR RIGHTS. (FILE 33-18359 - NDV. 05) (BR. 4)
- S-8 CONTINENTAL MEDICAL SYSTEMS INC /DE/, 600 WILSON LN, PD BOX 715, MECHANICSBURG, PA 17055 (717) 691-8047 - 2,250,000 (\$18,281,250) COMMON STOCK. (FILE 33-18362 - NOV. 05) (BR. 6)
- S-11 PRINCIPAL MUTUAL LIFE INSURANCE CO, 711 HIGH ST, DES MOINES, IA 50309 200,000,000 (\$200,000,000) MORTGAGE BONDS. UNDERWRITER: SALOMON BROTHERS INC. (FILE 33-18370 -NOV. 06) (BR. 20 - NEW ISSUE)
- S-18 SPECIALTY LUBRICATION CORP, 4770 CROMWELL RD, MEMPHIS, TN 38118 (901) 365-2755 -833,333 (\$5,000,000) COMMON STOCK. 41,667 (\$50) WARRANTS, OPTIONS OR RIGHTS. 41,667 (\$300,074) COMMON STOCK. UNDERWRITER: SHELTER ROCK SECURITIES INC. (FILE 33-18377 -NOV. 06) (BR. 4 - NEW ISSUE)
- S-3 AMERICAN FAMILY CORP, 1932 WYNNTON RD, COLUMBUS, GA 31999 (000) 000-0000 -3,000,000 (\$38,625,000) COMMON STOCK. (FILE 33-18390 - NOV. 06) (BR. 9)
- S-8 HARNISCHFEGER INDUSTRIES INC, 13400 BISHOPS LN, BROOKFIELD, WI 53005 (414) 671-4400 - 5,000,000 (\$5,000,000) OTHER SECURITIES INCLUDING VOTING TRUST. (FILE 33-18393 -NOV. 06) (BR. 9)
- S-8 SPC RETAIL CORP, 36 S WABASH AVE, CHICAGO, IL 60603 (312) 641-8000 400,000 (\$4,596,000) COMMON STOCK. 400,000 PREFERRED STOCK. (FILE 33-18401 - NOV. 06) (BR. 1)

NEWS DIGEST, November 17, 1987

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- S-8 SPC RETAIL CORP. 36 S WABASH AVE, CHICAGO, IL 60603 (312) 641-8000 100,000 (\$1,149,000) COMMON STOCK. 100,000 PREFERRED STOCK. (FILE 33-18402 - NOV. 06) (BR. 1)
- S-8 SPC RETAIL CORP, 36 S WABASH AVE, CHICAGO, IL 60603 (312) 641-8000 10,900,000 (\$10,900,000) DTHER SECURITIES INCLUDING VOTING TRUST. (FILE 33-18403 ~ NOV. 06) (BR. 1)
- S-6 MUNICIPAL INVESTMENT TRUST FUND MONTHLY PAYMENT SERIES 446, ONE LIBERTY PLZ - 21ST FLR, C/O MERRILL LYNCH PIERCE FENNER & SMITH, NEW YORK, NY 10080 - INDEFINITE SHARES. (FILE 33-18412 - NOV. 09) (BR. 17 - NEW ISSUE)
- S-8 NATIONAL SEMICONDUCTOR CORP, 2900 SEMICONDUCTORS DR, PO BOX 58090, SANTA CLARA, CA 95052 (408) 721-5000 - 5,000,000 (\$61,875,000) COMMON STOCK. (FILE 33-18414 - NOV. 09) (BR. 13)
- S-8 BELL ATLANTIC CORP, 1600 MARKET ST, PHILADELPHIA, PA 19103 (215) 963-6000 -3,000,000 (\$3,000,000) OTHER SECURITIES INCLUDING VOTING TRUST. (FILE 33-18415 -NOV. 09) (BR. 13)
- S-3 CSX CORP, ONE JAMES CNTR, P O BDX C-32222, RICHMOND, VA 23261 (804) 782-1400 750 (\$75,000,000) PREFERRED STOCK. 750 (\$75,000,000) PREFERRED STOCK. (FILE 33-18416 -NOV. 09) (BR. 13)
- S-B PUBLIC SERVICE ENTERPRISE GROUP INC, 80 PARK PLZ, P 0 BOX 1171, NEWARK, NJ 07101 (201) 430-7000 - 11,700,000 (\$11,700,000) OTHER SECURITIES INCLUDING VOTING TRUST. 487,500 COMMON STOCK. (FILE 33-18417 - NOV. 09) (BR. 13)

ACQUISITIONS OF SECURITIES

Companies and individuals must report to the Commission within ten days on Schedule 13D if after the acquisition of equity securities of a public company their beneficial interest therein exceeds five percent. Persons eligible to use the short form (Schedule 13G) may in lieu of filing a Schedule 13D file a Schedule 13G within 45 days after the end of the calendar year in which the person became subject to Section 13(d)(1). Companies and individuals making a tender offer must have on file at the time the tender offer commences a Schedule 14D-1.

Below is a list of recent filings of Schedules 13D and 14D, which includes the following information: Column 1 - the company purchased (top), and the name of the purchaser; Column 2 - the type of security purchased; Column 3 - the type of form filed; Column 4 - the date the transaction occurred; Column 5 - the current number of shares (in 000's) owned (top) and the current percent owned; Column 6 - the CUSIP number (top) and the prior percent owned; and Column 7 - the status of the filing, i.e., new, update or revision.

| NAME AND CLASS OF STOCK/DWNE | R | FDRM | EVENT DATE | SHRS(000) / Mowned | CUSIP/ PRIOR% | FILING STATUS |
|--|-------------|-------|---------------|-----------------------|------------------|------------------|
| AW COMPUTER SYS INC AMBRUS MARIA | CL A | 13D | 11/ 5/87 | 237 7.5 | 00244810 7.8 | UPDATE |
| ACMAT CORP SHEET METAL WORKERS PENS | CL A FD | 13D | 10/30/87 | 2,231 63.7 | 00461620 0.0 | MEW |
| ALGOREX COPP JAFFIN GEORGE M | COM | 13D | 11/ 1/87 | 500 N/A | 01586710 N/A | UPDATE |
| ALLWASTE INC DUBROF JERRY | COM | 13D | 10/30/87 | 897 12.0 | 02004710 0.0 | NEW |
| ANAREN MICROWAVE INC ENTWISTLE CO | COM | 1 3 D | 11/ 9/87 | 358 8.5 | 03274410 7.3 | UPIATE |
| BR COMMUNICATIONS TECHNOLOSY COMMUNICATIONS | COM INTL | 13D | 11/ 5/87 | 1.859 45.9 | 05591110 64.4 | UPDATE |
| BELL & HOMELL CO BACS ROBERT M.ET AL | COM | 131 | 11/ 6/87 | 1,523 16.2 | 07785110 15.9 | UPDATE |

ACQUISITIONS REPORTS CONT.

| BEVERLY HILLS BANCORP Asset Ventures L P et Al | COM | 13D | 10/30/87 | | 08786310 0.0 | NEW |
|---|--------------|------------|----------|-----------------|-------------------|--------|
| CABLEGUARD INC Renaissance V LTD | COM | 13D | 11/ 4/87 | 280 N/A | 12691010 N∕A | UPDATE |
| CAPITAL WIRE & CABLE CORP TIGER ET AL | COM | 13D | 10/26/87 | 316 8.0 | 14053010 13.4 | UPDATE |
| COMMERCIAL ENERGY SYS Leach Michael D | COM | 13D | 3729786 | 750 10.1 | | NEW |
| CONQUEST EXPL CO Dyson-Kissner-Moran Corp | COM ET AL | 13D | 10/29/87 | 10,984 27.6 | 20828510 28.9 | UPDATE |
| DART DRUG STORES INC FANTLES DRUG STORES | COM | 13D | 11/ 1/87 | 6,483 47.1 | | NEW |
| DATA GEN CORP State of Wisconsin invest | COM BI | 13D | 10/22/87 | 1,432 5.2 | | |
| DETECTOR ELECTRS CORP RHP GRP | COM | 13D | 10/29/87 | 2,700 100.0 | 25065710 0.0 | NEW |
| DIVI HOTELS N V Kassner fred | COM | 13D | 10/ 4/87 | 342 7.2 | 25537410 0.0 | NEW |
| DOW JONES & CO INC HAMMER ROY A | CDM | 13D | 11/ 2/87 | | 26056110 15.2 | |
| DOW JONES & CO INC HAMMER ROY A | CL B C | 0NV 13D | 11/ 2/87 | | 26056120 28.4 | UPDATE |
| EAC INDS INC HILTON DAVIS CO ET AL | COM | 13D | 11/ 5/87 | 123 6.3 | | UPDATE |
| ENERGY FACTORS INC SITHE ENERGIES ET AL | COM | 13D | 11/ 6/87 | 10,360 100.0 | 29266710 100.0 | UPDATE |
| ENVIRONMENTAL SYS CO BELL DARLENE C | COM | 13D | 10/21/87 | 677 5.1 | | неф |
| ENVIRONMENTAL SYS CO BELL MELVYN | COM | 13D | 10/21/87 | | 29408710 18.3 | UPDATE |
| FINANCIAL CORP SANTA BARBARA UNITED WIRE METAL-FUNDS | COM | 13D | 10/29/87 | 426 7.3 | 31744110 5.6 | UPDATE |
| FIRST NATL CORP LA CASE RONALD D ET AL | COM | 13D | 10/ 1/87 | 3,085 66.6 | 33590010 71.9 | UPDATE |
| GTI CORP DEL VENTER WILLIAM ET AL | CBM | 13D | 10/29/87 | 2,140 38.3 | | UPDATE |
| GULF RES & CHEM CORP FIDELITY INTL LTD | COM | 13D | 10/ 2/87 | 1,065 11.5 | 40249610 0.0 | RVSION |
| GULF RES & CHEM COPP FMR COPP | COM | 13D | 9/30/87 | 1,065 11.5 | | |
| GULL INC GARY ASSOC L P | COM | 13D | 11/11/87 | 178 3.6 | 40290010 5.1 | UPDATE |
| HBO % CO ANDOVER GRP ET AL | COM | 13D | 10/27/87 | 526 2.4 | | RVSIDN |
| HALL FRANK B & CO INC RELIANCE FINANCIAL SVCS C | | 13D | 11/ 6/87 | 12,939 67.9 | | UPDATE |

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ACQUISITIONS REPORTS CONT.

| HESSTON CORP FIAT SPA ET AL | PFD CO | NV \$1.6 13D | 0 10/20/87 | 195 43.5 | 42814620 29.9 | |
|--|--------------|-----------------|---------------|----------------|------------------|--------|
| HDME INTENSIVE CARE INC DRIEHAUS RICHARD H | COM | 13D | 10/28/87 | 580 7.7 | | UPDATE |
| INTERMEDICS INC BESSEMER SECUPITIES CORP | COM Et al | 13D | 11/ 5/87 | 1,133 11.0 | | UPDATE |
| INTL MINERALS & CHEM CORP STEINHARDT MICHAEL H ET M | | A PFD 13D | 9/17/87 | | 45988430 0.0 | |
| INTERTHIC ITU UNICORP CANADA & MANN 5EL | COM JRGE | 1 3 D | 11/10/87 | | 46112010 14.0 | |
| LD BRINKMAN CORP FMR CORP | COM | 1 3 D | 10/30/87 | | 50182310 6.5 | |
| LANE TELECOMMUNICATIONS INC MORGENS E & WATERFALL B E | | 13D | 10/28/87 | 300 9.4 | | UFDATE |
| LEISURE TECHNOLOGY INC HOFF PAUL M JR ET AL | COM | 13D | 10/22/87 | | 52591410 8.1 | |
| MATRIX CORP N J SHAMROCK ASSOC ET AL | COM | 13D | 10/29/87 | 718 5.5 | | |
| MATRIX SCIENCE CORP AMP INC | COM | 13D | 11/11/87 | 988 12.5 | | UPDATE |
| MATTEL INC WARBURG PINCUS ASSOC ET A | COM AL | 13D | 10/27/87 | 8,864 18.7 | | |
| MEDCO CONTAINMENT SVCS INC BUELL JAMES R | COM | 13D | 11/ 5/87 | | 58390510 0.0 | |
| METROPOLITAN FINL CORP TIGER ET AL | COM | 13D | 10/28/87 | | 59190810 8.9 | UPDATE |
| PREMARK INTL INC STATE OF WISCONSIN INVEST | COM F BD | 13D | 10/26/87 | 1,764 5.2 | 74045910 0.0 | |
| PYRAMID TECHNOLOGY CORP SECUDIA CAP III ET AL | COM | 1 3 D | 10/22/87 | | 74723610 0.0 | NEW |
| READING CO MUTUAL SHAPES CORP ET AL | COM PA | R \$0.01 13D | 10/20/87 | 581 11.7 | | UPDATE |
| ROBESON INDS CORP BLOOM M PAUL ET AL | COM | 1 3 D | 11/ 2/87 | 97 5.9 | | |
| ST JOE GOLD CORP DALLHOLD INVMNTS ET AL | COM | 13D | 10/29/87 | 28,000 90.3 | | UPDATE |
| SECURITY FINL GRP TEIGEN WARREN W | COM | 13D | 10/28/87 | 46 5.9 | 81424310 0.0 | NEW |
| SEMICON INC ROBISON MARY JEAN | COM | 13D | 10/ 2/87 | | 81662910 14.7 | UPDATE |
| SINGER CD MESA HLDG LTD | COM | 13D | 10/30/87 | 2,100 10.0 | 82930210 0.0 | |
| SIPPICAN INC PLESSEY CO | COM | 14D-1 | 11/10/87 | 378 9.0 | 82990210 0.0 | |
| STAKE TECHNOLOGY LTD GUIDESIGN LIMITED ET AL | COM | 1 3D | 10/23/87 | 289 4.4 | 35255910 7.1 | UPDATE |
| | | | | | | |

| SUNRESORTS LID N V YorkShire N V | CL A | 13D | 10/14/87 | 0 | 86799110 N/A | UPDATE |
|---|------------|-----------------|---------------|----------------|------------------|--------|
| THERMWOOD CORP RENAISSANCE V LTD | COM | 13D | 11/ 4/87 | 294 N/A | 88367210 N∕A | UPDATE |
| UDC UNVE DEV L P Rosenberg Gary A | DEPOS | ITRY UNI 13D | T 10/23/87 | 2,265 24.3 | | UPDATE |
| UP RIGHT INC CHATSWOOD INC | COM | 140-1 | 11/10/87 | 4,231 100.0 | 91529410 47.3 | UPDATE |
| VLI COPP AMERICAN HOME PROD | CDM | 14D-1 | 11/10/87 | 0 0.0 | 91790110 24.9 | UPDATE |
| VEGA BIDTECHNDLOGIES INC DUPONT E I DE NEMDURS & | COM CO | 13D | 10/28/87 | 779 22.2 | 92249610 19.1 | UPDATE |
| VULCAN PACKAGING INC ROYAL BK OF CANADA | CDM | 13D | 10/28/87 | 985 10.3 | 92999010 0.0 | NEW |
| WHITNEY HLDG CORP INDUSTRIAL EQUITY LTD E | COM TAL | 13D | 11/ 4/87 | 413 6.4 | 96661210 5.2 | UPDATE |

RECENT 8K FILINGS

Form 8-K is used by companies to file current reports on the following events:

Item 1. Changes in Control of Registrant.

Item 2. Acquisition or Disposition of Assets.

Item 3. Bankruptcy or Receivership.

- Item 4. Changes in Registrant's Certifying Accountant.
- Item 5. Other Materially Important Events. Item 6. Resignations of Registrant's Directors. Item 7. Financial Statements and Exhibits.

The companies listed below have filed 8-K reports for the date indicated and/or amendments to 8-K reports previously filed, responding to the item(s) of the form specified. Copies of the reports may be purchased from the Commission's Public Reference Room (when ordering, please give the date of the report). An invoice will be included with the requested material when mailed.

| NAME OF ISSUER | STATE CODE | 8K 11 1 2 3 | TEM NO 3 4 5 | • 6 7 | DATE | COMMENT |
|---|---------------|----------------|-----------------|----------|----------|---------|
| | | | | | | |
| ACTEK INC | NV | X | | | 11/02/87 | |
| ADVEN INC | WA | ХХ | | X | 10/21/87 | |
| ALLECO INC ALLIANCE WELL SERVICE INC AMERICAN SCIENCE & ENGINEERING INC | MD | | X | | 11/10/87 | |
| ALLIANCE WELL SERVICE INC | NV | X | X | X | 10/27/87 | |
| AMERICAN SCIENCE & ENGINEERING INC | MA | | X | X | 10/20/87 | |
| ASTROTECH INTERNATIONAL CORP | DE | X | | X | 10/29/87 | |
| BANCSHARES 2000 INC | DE | | X | | 10/09/87 | |
| BELCOR INC | CA | X | | X | 09/30/87 | |
| BIOMET INC | IN | X | | X | 10/29/87 | |
| BOW VALLEY INDUSTRIES LTD /NEW/ CHROMALUX CORP CJI INDUSTRIES INC /DE/ | | | X | х | 10/29/87 | |
| CHROMALUX CORP | FL | | X | | 10/29/87 | |
| CJI INDUSTRIES INC /DE/ | DE | | Х | X | 11/03/87 | |
| CUNTINENTAL MURIGAGE INVESTORS | - AP | X | | X | 10/23/87 | |
| CROSBY PHILIP ASSOCIATES INC | FL | | X | | 10/19/87 | |
| CUTCO INDUSTRIES INC DISTRIBUTED LOGIC CORP | NY | | X | X | 10/28/87 | |
| DISTRIBUTED LOGIC CORP | DE | X | | X | 10/28/87 | |
| DIVALL INSURED INCOME FUND LTD PARTNERSH | | X | | X | 10/26/87 | |
| EQUION CORP /DE | DE | | Х | X | 10/29/87 | |
| EQUITABLE OF IOWA COMPANIES FAIR GROUNDS CORP | IA | | х | | 10/20/87 | |
| FAIR GROUNDS CORP | LA | | X | X | | |
| FIRST GOLDEN BANCORPORATION | DE | | X | | 10/22/87 | |
| FLORIDA EAST COAST INDUSTRIES INC | FL | | | K | 11/04/87 | |

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| | STATE | SK LTE | M NO. | , | | |
|---|-------|--------|-------|-----|----------|---------|
| NAME OF ISSUER | CODE | 123 | | | DATE | COMMENT |
| | | | | | | |
| G I INDUSTRIES GEORGIA BONDED FIBERS INC GEXA GOLD CORP HAKO MINUTEMAN INC INMED CORP INTERLEAF INC /MA/ | UT | x | | x | 11/06/87 | |
| G I INDUSTRIES GEODEIA RONDED EIRERS INC | NJ | ^ | x | ^ | 11/02/87 | |
| GEURGIA BUNDED FIDERS INC | NV | | x | x | 09/11/87 | |
| GEXA GULU CURP | IL | | x | x | 10/27/87 | |
| TAKU AINUTEAAN ING | GĂ | | ́х ́ | | 10/22/87 | |
| INTERLEAF INC /MA/ | DE | x | | X | 11/06/87 | |
| KEMPER CYMROT REAL ESTATE INVESTMENT FUN | DE | | x | | 11/03/87 | |
| KEMPER CYMROT REAL ESTATE INVESTMENT FUN | DE | | X | | 11/03/87 | |
| KEMPER CYMROT REAL ESTATE INVESTMENT FUN KENCOPE ENERGY COMPANIES KEYSTONE FINANCIAL INC LAMAUR INC LANDFILL RESOURCES INC MAJOR VIDED CORP MICHIGAN VENTURES INC MMI MEDICAL INC MONARCH CAPITAL CORP /DE/ | ТХ | X | | | 10/30/87 | |
| KENSTONE EINANCIAL INC | PA | | Χ. | X | 11/04/87 | |
| | MN | X | | X | 10/23/87 | |
| LANDELLE RESOURCES INC | UT | XX | | X | 10/26/87 | |
| | NV | | X | X | 11/09/87 | |
| MICHIGAN VENTURES INC | DE | хх | x) | (X) | 10/27/87 | |
| | CĀ | | X | | 11/03/87 | |
| MONARCH CAPITAL CORP /DE/ | DE | | X | X | 10/29/87 | |
| MORGAN OLNSTEAD KENNEDY & GARDNER CAPITA | DE | | х | | 11/06/87 | |
| NL CHEMICALS INC | DE | | х | X | 10/29/87 | |
| NOONEY INCOME FUND LTD | MO | | х | | 10/31/87 | |
| NOONEY INCOME FUND LTD II | MO | | X | | 10/31/87 | |
| NOONEY REAL PROPERTY INVESTORS SIX | MO | | X | | 10/31/87 | |
| NOONEY INCOME FUND LTD II NOONEY REAL PROPERTY INVESTORS SIX NORTH AMERICAN PHILIPS CORP OPPENHEIMER INDUSTRIES INC PALMER FINANCIAL CORP PANGEA PETROLEUM CO QMAX TECHNOLOGY GROUP INC REPROTECH INC ROWE FURNITURE CORP SAN CLEMENTE BANCORP SAN LUIS RESOURCES INC SANTA BARBARA FUNDING I INC SANTA BARBARA FUNDING II INC SCAN GRAPHICS INC | DE | | X | | 11/02/87 | |
| OPPENHEIMER INDUSTRIES INC | DE | Х | | X | 10/27/87 | |
| PAIMER FINANCIAL CORP | NV | x | X | X | 06/25/87 | |
| PANGEA PETROLEUM CO | DE | | X | X | 10/08/87 | |
| OMAX TECHNOLOGY GROUP INC | DE | | X | | 10/27/87 | |
| REPROTECH INC | DE | X | | X | 10/22/87 | |
| ROWE FURNITURE CORP | NV | | X | | 10/28/87 | |
| SAN CLEMENTE BANCORP | CA | X | | X | 11/05/87 | |
| SAN LUIS RESOURCES INC | NV | | X | | 10/30/87 | |
| SANTA BARBARA FUNDING I INC | DE | | х | | 10/27/87 | |
| SANTA BARBARA FUNDING II INC | DE | | X | | 10/21/87 | |
| SCAN GRAPHICS INC | UT | | X | | 11/05/87 | |
| SEARS MORTGAGE SEC CORP MORT PASS THR CE | DE | | X | X | 10/01/87 | |
| TEXAS EASTERN TRANSMISSION CORP | DE | | Х | X | 11/09/87 | |
| TRANSAMERICA FINANCIAL CORP | DE | X | | X | 10/31/87 | |
| TRUST AMERICA SERVICE CORP | FL | | | Х | 10/29/87 | |
| UCI MEDICAL AFFILIATES INC | DE | | X | | 09/25/87 | |
| UNGERMANN BASS INC | DE | | ХХ | X | 10/23/87 | |
| UNITED EDUCATION & SOFTWARE INC /DE/ | DE | | X | X | 10/08/87 | |
| UNITED EDUCATION & SOFTWARE INC /DE/ UNIVERSITY REAL ESTATE PARTNERSHIP V | CA | X | | Х | 08/27/87 | |
| VHC LTD | MI | | X | | 10/21/87 | |
| YORK FINANCIAL CORP | PA | | X | | 10/29/87 | |
| ZERO CORP | CA | | Х | X | 11/03/87 | |
| | | | | | | |