Issue 87-184

U.S. OROUKITIES AND

#### **RULES AND RELATED MATTERS**

RULE 206(4)-4 ADOPTED

The Commission adopted Rule 206(4)-4 under the Investment Advisers Act of 1934 to codify an investment adviser's fiduciary obligation to disclose material financial and disciplinary information to clients. (Rel. IA-1083)

FOR FURTHER INFORMATION CONTACT: Debra Kertzman at (202) 272-2107

#### ADMINISTRATIVE PROCEEDINGS

BERNHARD MEIER BARRED

An order has been issued barring Bernhard Meier from association with any broker, dealer, investment adviser, investment company, or municipal securities dealer.

The Commission had previously instituted public administrative proceedings against Meier under Sections 15(b)(6) and 15B(c)(4) of the Securities Exchange Act of 1934 and Section 203(f) of the Investment Advisers Act of 1940. In issuing its order barring Meier, the Commission found that an injunction was entered against him by the U.S. District Court for the Southern District of New York on July 1, 1986 against future violations of Sections 10(b) and 14(e) of the Exchange Act and Rules 10b-5 and 14e-3 [SEC v. Bernhard Meier, et al., 86 Civ. 3726 (RO) (SDNY)]. Meier, in the administrative proceeding, failed to file an Answer, and was deemed to be in default as provided by Rules 6(e) and 7(e) of the Commission's Rules of Practice, 17 CFR \$\$201.6 (e) and 201.7(e). (Rel. 34-24849)

## **CIVIL PROCEEDINGS**

COMPLAINT NAMES BROADHOLLOW FUNDING CORP.

The New York Regional Office filed a Complaint on September 21 in the U.S. District for the Eastern District of New York against Broadhollow Funding Corp. (BFC), a Melville, New York mortgage brokerage and servicing agency. The Complaint alleges that BFC offered and sold its own unregistered securities by making false and misleading statements to investors in violation of the registration and antifraud provisions of the Securities Act of 1933 and the Securities Exchange Act of 1934. Among other things, BFC falsely told investors that their investments would be secured by mortgages, and failed to inform investors and prospective investors that BFC had operated at a net loss from its inception and that the majority of its loans were in default. In addition, BFC allegedly used proceeds from the sale of its securities to later investors to redeem the securities of, and to make interest payments to, earlier in-(SEC v. Broadhollow Funding Corp., USDC EDNY, Civil Action No. 87-3255). vestors. (LR-11556)

## CRIMINAL PROCEEDINGS

GILBERT SCHULMAN, OTHERS SENTENCED

The New York Regional Office and the U.S. Attorney for the District of New Jersey announced that on September 9 U.S. District Judge Dickenson Debevoise sentenced Gilbert Schulman to eight years imprisonment; Robert Bevill to two and one-half years imprisonment; John Rooney to one and one-half years imprisonment; Stuart Gottlieb to nine months imprisonment; and Robert Levine to three months imprisonment. Each also receive<sup>a</sup> five years probation and other penalties.

Schulman was convicted after trial of tax fraud, conspiracy, and mail fraud. Bevill pleaded guilty to mail fraud and conspiracy; Rooney pleaded guilty to making false statements to banks and conspiracy; Gottlieb pleaded guilty to conspiracy and tax evasion; and Levine pleaded guilty to making false statements to banks.

Charges against the defendants, all former principals or employees of Bevill Bresler & Schulman, Inc. (BBS), stemmed from BBS's wrongful pledging of customer-owned government securities in repurchase and reverse repurchase agreements. Losses incurred by the fraud are now estimated at between \$180 and \$200 million dollars. (U.S. v. Robert Bevill, et al., DNJ, CR-316; U.S. v. Stuart Gottlieb, DNJ, CR-86-314; U.S. v. Levine, DNJ, CR 86-323). (LR-11558)

### **INVESTMENT COMPANY ACT RELEASES**

THE RIGHTIME FUND, INC.

A notice has been issued giving interested person until October 16 to request a hearing on an application filed by The Rightime Fund, Inc., and other future investment companies for which Rightime Econometrics, Inc., or its subsidiaries or affiliates serve as investment advisers and Rightime Econometrics, Inc., for an order permitting the funds to pool their daily cash balances and to participate in a joint trading account. (Rel. IC-16000 - Sept. 23)

ML-LEE ACQUISITION FUND, L.P.

An order has been issued on an application filed by ML-Lee Acquisition Fund, L.P. (Partnership), a business development company, Mezzanine Investments, L.P. (Managing General Partner), and Thomas H. Lee Advisors, Inc. (Investment Advisor), for an order (1) permitting certain joint transactions between the Partnership and the Investment Advisor or an affiliate thereof otherwise prohibited by Sections 17(d) and 57(a)(4) of the Investment Company Act; and (2) granting an exemption from Section 57(a)(1) of the Act, and permitting the Partnership to acquire certain interim investments from the Managing General Partner or its affiliates, subject to certain conditions. (Rel. IC-16001 - Sept. 23)

#### PRUDENTIAL-BACHE MUNICIPAL BOND FUND

A notice has been issued giving interested persons until October 19 to request a hearing on an application of Prudential-Bache Municipal Bond Fund (Fund), The Prudential Insurance Company of America, and Prudential Reinsurance Company requesting an order permitting the Fund to purchase portfolio insurance coverage from an affiliate. (Rel. IC-16002 - Sept. 24)

#### HOLDING COMPANY ACT RELEASES

MONONGAHELA POWER COMPANY; GPU SERVICE CORPORATION; ALABAMA POWER COMPANY

Notices have been issued giving interested persons until October 19 to request a hearing on a proposal by the following companies filed under Release 35-24464, dated September 24: Monongahela Power Company, West Penn Power Company, and The Potomac Edison Company - subsidiaries of Allegheny Power System, Inc., to extend through December 31, 1988 the period during which Monongahela and West Penn may issue and sell up to \$75 million and \$35 million, respectively, of first mortgage bonds remaining to be sold, as previously authorized by Commission order dated June 12, 1987 (Rel. 35-24410); GPU Service Corporation - to issue and sell short-term notes in an aggregate amount of \$15.4 million at any one time outstanding and by its parent General Public Utilities Corporation, a registered holding company, to guarantee such notes; and Alabama Power Company, Georgia Power Company, Gulf Power Company, and Mississippi Power Company - subsidiaries of The Southern Company, and Southern Electric Generating Company, subsidiary of Alabama and Georgia, to acquire and retire up to the respective aggregate total outstanding amounts of \$1.419 billion of first mortgage bonds, \$290 million of preferred stock, and \$1.825 billion of pollution control or industrial development bonds.

#### **SELF-REGULATORY ORGANIZATIONS**

APPROVAL OF PROPOSED RULE CHANGE

The Commission approved a proposed rule change filed by The Midwest Stock Exchange (SR-MSE-86-5) which will require its listed companies to maintain a minimum of two independent directors and to establish an audit committee with a majority of independent directors. (Rel. 34-24939)

NOTICE OF PROPOSED RULE CHANGE

The New York Stock Exchange filed a proposed rule change under Rule 19b-4 (SR-NYSE-87-29) to amend its Rule 123A.47(b) to increase the order size of stock subject to the "One-Half Point Error Guarantee" provision of the Rule from 1,099 shares to 2,099 shares. Publication of the proposal is expected to be made in the Federal Register during the week of September 21. (Rel. 34-24940)

#### **SECURITIES ACT REGISTRATIONS**

The following registration statements have been filed with the SEC under the Securities Act of 1933. The reported information appears as follows: Form, Name, Address and Phone Number (if available) of the issuer of the security; Title and the number and/or face amount of the securities being offered; Name of the managing underwriter or depositor (if applicable); File number and date filed; Assigned Branch; and a designation if the statement is a New Issue.

- S-18 AGE RESEARCH INC, 26081 AVENIDA AEROPUERTO STE E-1, SAN JUAN CAPISTRANO, CA 92675 (714) 493-1203 \$2,500,000 COMMON STOCK. \$1,000 WARRANTS, OPTIONS OR RIGHTS. UNDERWRITER: JAMESON P B & CO INC. (FILE 33-16945-LA AUG. 31) (BR. 6 NEW ISSUE)
- S-4 ZIONS BANCORPORATION /UT/, 1380 KENNECOTT BLDG, SALT LAKE CITY, UT 84133 (801) 524-4787 - 115,000 (\$3,566,150) COMMON STOCK. (FILE 33-17236 - SEP. 21) (BR. 1)
- S-1 ALDEN JOHN FINANCIAL CORP, 7300 CORPORATE CTR DR. MIAMI, FL 33126 (305) 470-3100 75,000,000 (\$75,000,000) STRAIGHT BONDS. UNDERWRITER: KIDDER PEABODY & CO INC. (FILE 33-17253 SEP. 16) (BR. 1 NEW ISSUE)
- S-1 COLONIAL CREDIT CARD TRUST 1987-A, FOULKSTONE PLZ. 1403 FOULK RD, WILMINGTON. DE 19803 (302) 478-9740 1,000,000 (\$1,000,000) PASS-THROUGH MORTGAGE-BACKED CERTIFICATE. UNDERWRITER: FIRST BOSTON CORP, KIDDER PEABODY & CO INC. (FILE 33-17267 SEP. 16) (BR. 11 NEW ISSUE)
- S-1 HHR FOOD INDUSTRIES INC, 560 SYLVAN AVE. ENGLEMOOD CLIFFS, NJ 07632 (201) 568-9272 2,070,000 (\$1,552,500) COMMON STOCK. (FILE 33-17284 SEP. 17) (BR. 4 NEW ISSUE)
- S-1 LIDA INC, 2222 S BLVD, CHARLOTTE, NC 28203 (704) 376-5609 805,000 (\$9,660,000) COMMON STOCK. 70,000 (\$1,008,000) WARRANTS, OPTIONS OR RIGHTS. UNDERWRITER: MCKINLEY ALLSOPP INC. (FILE 33-17298 SEP. 17) (BR. 7 NEW ISSUE)
- N-1A WINDJAMMER FUNDS: 50 NONUMENT RD, BALA CYNWYD. PA 19004 (215) 664-6394 INDEFINITE SHARES. (FILE 33-17310 SEP. 18) (BR. 18 NEW ISSUE)
- S-1 HOME QUARTERS WAREHOUSE INC, 2866 VIRGINIA BEACH BLVD, VIRGINIA BEACH, VA 23452 (804) 498-7100 187,500 (\$2,531,250) COMMON STOCK. 1,250,000 (\$16,875,000) COMMON STOCK. UNDERWRITER: BLAIR WILLIAM & CO. (FILE 33-17314 SEP. 18) (BR. 9 NEW ISSUE)
- S-4 CERRITOS VALLEY BANCORP, 13400 SAN ANTONIO DR. NORWALK, CA 90650 (213) 868-3221 599,060 (\$3,893,890) COMMON STOCK. (FILE 33-17316 SEP. 18) (BR. 1 NEW ISSUE)
- S-1 TAKE ONE PREFERRED PARTNERS LP, 1100 AVE OF THE AMERICAS, NEW YORK, NY 10036 (212) 512-1000 100,000 (\$100,000,000) LIMITED PARTNERSHIP CERTIFICATE. (FILE 33-17318 SEP. 18) (BR. 12 NEW ISSUE)
- S-1 NAMSCO CORP, EAST 122 MONTGOMERY, PO BOX 5378, SPOKANE, WA 99205 (509) 327-7784 10,000 (\$12,000,000) CONVERTIBLE DEBENTURES AND NOTES. 500,000 (\$6,750,000) COMMON STOCK. 350,000 (\$35) WARRANTS, OPTIONS OR RIGHTS. 350,000 (\$1,680,000) COMMON STOCK. UNDERWRITER: JORGENSEN SECURITIES INC. (FILE 33-17319 SEP. 18) (BR. 11 NEW ISSUE)

- S-18 ETS INTERNATIONAL INC, 3140 CHAPARRAL DR SW. STE C 103, ROANOKE, VA 24018 (703) 774-8999 4,290,000 (\$2,734,875) COMMON STOCK. 250,000 (\$178,125) COMMON STOCK. (FILE 33-17326 SEP. 18) (BR. 3 NEW ISSUE)
- S-3 TELE COMMUNICATIONS INC. 54 DENVER TECHNOLOGICAL CNTR. 5455 S VALENTIA WAY. ENGLEWOOD; CO 80111 (303) 771-8200 287.500.000 (\$287.500.000) CONVERTIBLE DEBENTURES AND NOTES. (FILE 33-17328 SEP. 21) (BR. 7)
- S-8 PLACER DOME INC. IBM TOWER TORONTO DOMINION CTR. BOX 350 STE 3500.
  TORONTO ONTARIO CANADA M5K 1N3. A6 2,240.600 (\$44.319.068) COMMON STOCK. (FILE 33-17334 SEP. 21) (BR. 1 NEW ISSUE)
- S-8 MYCOGEN CORP, 5451 OBERLIN DR. SAN DIEGO. CA 92121 (619) 453-8030 (FILE 33-17336 SEP. 21) (BR. 1)
- S-1 PSN COMMUNICATIONS INC, 9145 GUILFORD RD STE 180, COLUMBIA, MD 21046 (301) 792-2848 2,409,083 (\$722,725) COMMON STOCK. 2,409.083 (\$1.204,542) COMMON STOCK. 240,908 (\$86,727) COMMON STOCK. 240,908 (\$120,454) COMMON STOCK. 240,908 (\$24) WARRANTS, OPTIONS OR RIGHTS. (FILE 33-17340 SEP. 22) (BR. 12)
- S-6 MUNICIPAL INVESTMENT TRUST FUND MULTISTATE SERIES 3P, ONE LIBERTY PLZ 21ST FLR. C/O MERRILL LYNCH PIERCE FENNER & CO INC. NEW YORK, NY 10080 INDEFINITE SHARES. (FILE 33-17342 SEP. 22) (BR. 17 NEW ISSUE)
- S-6 MUNICIPAL INVT TR FD FOUR HUNDRED FORTY FIRST NOW PYNT SER,
  ONE LIBERTY PLZ 21ST FLR, C/O MERRILL LYNCH PIERCE FENNER & SMITH, NEW YORK, NY 10080
   INDEFINITE SHARES. (FILE 33-17343 SEP. 22) (RR. 17 NEW ISSUE)
- S-8 FEDERAL MOGUL CORP, 26555 NORTHWESTERN HGWY, SOUTHFIELD, MI 48034 (313) 354-7700 670,000 (\$670,000) OTHER SECURITIES INCLUDING VOTING TRUST. (FILE 33-17345 SEP. 22) (BR. 13)
- S-8 FEDERAL MOGUL CORP, 26555 NORTHWESTERN HGNY. SOUTHFIELD, MI 48034 (313) 354-7700 4,875,000 (\$4,875,000) OTHER SECURITIES INCLUDING VOTING TRUST. 77,800 COMMON STOCK. (FILE 33-17346 SEP. 22) (BR. 13)
- S-6 INTERNATIONAL BOND FD AUSTRALIAM & NEW ZEALAND DOL BD SER 25.

  CNE LIBERTY PLZ 21ST FLR, C/O MERRILL LYNCH PIERCE FENNER & SMITH, NEW YORK, NV 10080 (FILE 33-17347 SEP. 22) (BR. 22 NEW ISSUE)
- S-6 EQUITY INCOME FD MERRILL LYNCH EQUITY RES INVT TR 1987 SER 7.

  ONE LIBERTY PLZ 21ST FLR. C/O MERRILL LYNCH PIERCE FENNER & SMITH, NEW YORK, NY 10080
   INDEFINITE SHARES. (FILE 33-17348 SEP. 22) (BR. 22 NEW ISSUE)
- S-8 CUPERTINO NATIONAL BANCORP, 20230 STEVENS CREEK BLVD STE 6, PO BOX 1350, CUPERTINO, CA 94015 (408) 996-1144 60,000 (8600,000) COMMON STOCK. (FILE 33-17368 SEP. 22) (BR. 2)
- S-8 CUPERTINO NATIONAL BANCORP. 20230 STEVENS CREEK BLVD STE 6, PO BOX 1350, CUPERTINO, CA 94015 (408) 996-1144 60,000 (\$570,000) COMMON STOCK. (FILE 33-17369 SEP. 22) (BR. 2)
- S-8 GENERAL DATACOMM INDUSTRIES INC. RTE 63. MIDDLEBURY. CT 06762 (203) 574-1118 300,000 (\$2,325,000) COMMON STOCK. (FILE 33-17370 SEP. 22) (BR. 8)
- S-2 SOUTHEASTERN MICHIGAN GAS ENTERPRISES INC. 405 WATER ST. PORT HURON, MI 48060 (313) 987-2200 25,000,000 (\$25,000,000) STRAIGHT BONDS. (FILE 33-17371 SEP. 22) (BR. 8)
- S-2 MANUFACTURED HOMES INC, PO BOX 24549. WINSTON SALEN, NC 27114 (919) 768-9890 2,212,500 (\$37,612,500) COMMON STOCK. (FILE 33-17375 SEP. 22) (BR. 2)

# **ACQUISITIONS OF SECURITIES**

Companies and individuals must reposit to the Commission within ten days on Schedule 13D if after the acquisition of equity securities of a public company their beneficial interest therein exceeds five percent. Persons eligible to use the short form (Schedule 13G) may in lieu of filing a Schedule 13D file a Schedule 13G within 45 days after the end of the calendar year in which the person became subject to Section 13(d)(1). Companies and individuals making a tender offer must have on file at the time the tender offer commences a Schedule 14D-1.

Below is a list of recent filings of Schedules 13D and 14D, which includes the following information: Column 1 - the company purchased (top), and the name of the purchaser; Column 2 - the type of security purchased; Column 3 - the type of form filed; Column 4 - the date the transaction occurred; Column 5 - the current number of shares (in 000's) owned (top) and the current percent owned; Column 6 - the CUSIP number (top) and the prior percent owned; and Column 7 - the status of the filing, i.e., new, update or revision.

NAME AND CLASS OF STOCK/OWNE	R	FERM	EVENT DATE	SHRS(000)/ %DWNED	CUSIP/ PRIOR%	FILING STATUS
PROFESSIONAL CARE INC EMERALD PARTNERS ET AL	COM	13 <b>D</b>	9/19/87	324 9.8	74311410 9.5	UPDATE
RESTAURANT ASSOC INDS INC AMERICAN RESTAURANT GRP E	CI. A T AL	13D	9/21/87	171 6.9	76125220 8.6	UPDATE
SALOMON INC MINERAL & RESOURCES CORP	COM LTD	13B	9/18/87	21,282 14.0	79599110 20.6	UPDATE
SAN BAR CORP RELIANCE FINANCIAL SVCS C	COM DRP	13B	9/22/87	0 0.0	79646910 20.1	UPDATE
UP RIGHT INC CHATSWOOD INC	COM	14D-1	9/22/87	0 0.0	91529410 0.0	NEM
AMERICAN CONSOLIDATED GOLÓ HAMPTON U 3 HOLDINGS	COM	13D	9/ 8/87	3,323 43.0	02 <b>5</b> 22710	NEW
BRNF LIQUIDATING TRUST DALFORT CORP ET AL	UNITS I	BEN INT	9/15/87	729 19.3	05591610 16.8	UPDATE
BRNF LIG TR DALFORT CORP ET AL	SERIES	130 130	9/15/87	45 8.5	05591620 0.0	NEW
BRNF LIØ TR DALFORT CORP ET AL	SERIES	C 13D	9/15/87	107 20.4	05591630 0.0	NEW
BLOCKBUSTER ENTMT CORP FLYNN DONALD F	COM	13D	9/ 8/87	2,963 84.5	09367610 100.0	UPDATE
BLOCKBUSTER ENTMT CORP HUIZENGA H WAYNE	COM	13D	9/ 8/87	2,963 84.5	09367610 100.0	UPDATE
BLOCKBUSTER ENTMT CORP MELK JOHN J	COM	13p	9/ 8/87	2,963 84.5	09367610 100.0	UPDATE
BROCKWAY INC	COM	14D-1	9/23/87	2,300 18.4	11185310 0.0	HEM
CLASSIFIED FINL CORP COCKRELL THOMAS A	COM	13D	9/15/87	610 9.1	18273810 0.0	NEW
CLASSIFIED FINL CORP STANLEY FURMAN K	COM	13D	9/15/87	626 9.4	18273810 0.9	UPDATE
CLEVELAND CLIFFS INC BOLGER DAVID F ET AL	COM	130	9/21/87	933 7 <b>.5</b>	18589610 7.5	UPDATE

CDAST R V INC XERDX CORP ET AL	COM	13D	9/18/87	291 7.6	19039210 0.0	HEM
FINALCO GROUP INC VAN ARNEM HARDLD L	COM	13D	9/14/87	1,307 24.9	31734710 0.0	иЕМ
GAMBPO AKTIEBOLAG HOLGER CRAFOORD FAMILY ET	NON RE: AL	S B 13D	9/ 7/87	1,416 24.9	36464399 25.0	UPDATE
LACANA MNG CORP ROYEX GOLD MINING CORP	COM	13D	2/13/87	10,804 81.2	50546610 0.0	NEW
NEWMONT MNG CORP GOLD FIELDS AMER CORP ET (	COM AL	13D	9/22/87	33,224 50.0	65163910 24.1	
NORTH AMERN PHILIPS CORP N V PHILIPS GLOEIL ET AL	COM	140-1	9/23/87	16,825 58.0	65704510 68.8	
NUMAC DIL % GAS LTD UNION SHIELD RESOURCES ET	COM AL	13D	9/23/87	0 0.0	67052210 22.2	
RESTAURANT ASSOC INDS INC BRODY MARTIN ET AL	CL B C	DNV 13D	9/18/87	1,090 37.4	76125210 21.6	UPDATE
RESTAUPANT ASSOC INDS INC M SQUARED CORP	CL B C	0NV 13D	9/18/87	1,090 37.4	76125210 37.4	UPDATE
RESTAURANT ASSOC INDS INC BRODY MARTIN ET AL	CL A	13D	9/18/87	294 11.9	76125220 8.0	UPDATE
RESTAURANT ASSOC INDS INC M SQUARED CORP	CLA	13D	9/18/87	294 11.9	76125220 11.9	
ROYAL INTL OPTICAL CORP DIMENTO FRANCIS J ET AL	COM	13D	9/15/87	400 5.8	78031510 0.0	
SAGE ALLEN % CO INC SPICE CORP ET AL	COM	13D	9/18/87	882 53.5	78662410 56.3	UPDATE
SCHWARTZ BROTHERS INC LEWIS RONALD F	COM	13D	8/27/87	84 5.3	80852710 5.1	UPDATE
SCOTT CABLE COMMUNICATION MORAN ASSET MGT INC	COM	13D	9/22/87	384 9.2	80938810 7.5	UPDATE
STANDARD METALS CORP GAYLORD EDWARD L ET AL	COM	13D	9/10/87	913 28.8	85361510 29.2	UPDATE

# **RECENT 8K FILINGS**

Form 8-K is used by companies to file current reports on the following events:

- Changes in Control of Registrant.
- Item 2. Acquisition or Disposition of Assets.
- Item 3. Bankruptcy or Receivership.
- Item 4. Changes in Registrant's Certifying Accountant.
- Item 5.
- Other Materially Important Events.
  Resignations of Registrant's Directors.
- Item 7. Financial Statements and Exhibits.

The companies listed below have filed 8-K reports for the date indicated and/or amendments to 8-K reports previously filed, responding to the item(s) of the form specified. Copies of the reports may be purchased from the Commission's Public Reference Room (when ordering, please give the date of the report). An invoice will be included with the requested material when mailed.

	STATE	SK ITEM NU.			
NAME OF ISSUER	CODE	1 2 3 4 5 6	7 	DATE	COMMENT
AGUEN THE	L.I.A	Ü	х	09/16/87	
ADVEN INC AERO SERVICES INTERNATIONAL INC	WA La	x x x	â	08/31/87	
ALHAMBRA MINES INC	DE	X	,	09/08/87	
AMERICA FIRST PARTICIPATING PREFERRED EQ		X		09/22/87	
AMERICA FIRST PARTICIPATING PREFERRED EQ		X		09/22/87	
AMERICA FIRST TAX EXEMPT MORTGAGE FUND 2	DE	X		09/22/87	
AMERICAN NATIONAL PETROLEUM CO /DE/ ARC INTERNATIONAL CORP	DE	X X	X	09/08/8 <b>7</b> 09/08/8 <b>7</b>	
BANCTENN CORP	TN	^ x	x	09/15/87	
BANISTER CONTINENTAL LTD	FN		X	07/15/87	AMEND
BLINDER INTERNATIONAL ENTERPRISES INC		X		09/16/87	
CANNON GROUP INC/DE	DE		X	05/01/87	AMEND
CANRAD INC	DE NY	X X	X	09/08/87 08/31/87	
CAPITALBANC CORP CENTRAL ILLINDIS LIGHT CO	IL	â	^	09/02/87	
CHARLOTTE CHARLES INC	DΕ	X		09/01/87	
CILCORP INC	IL	X		09/02/87	
CITIZENS TRUST BANCORP INC	MI	X		09/04/87	
CLEVELAND CLIFFS INC	OH MD	X X	X	09/22/8 <b>7</b> 09/10/8 <b>7</b>	
COLLATERALIZED MORTGAGE SECURITIES CORP COMPREHENSIVE RESOURCE INC	MN	x ^	^	08/31/87	
CONSOLIDATED CAPITAL GROWTH FUND	CA	X	х	09/14/87	
CONSOLIDATED CAPITAL INSTITUTIONAL PROPE	CA	X	X	09/14/87	
CONSOLIDATED CAPITAL INSTITUTIONAL PROPE	CA	X	X	09/14/87	
CONSOLIDATED CAPITAL INSTITUTIONAL PROPE	CA	X	X	09/14/87	
CONSOLIDATED CAPITAL PROPERTIES	CA CA	X X	X	09/14/87 09/14/87	
CONSOLIDATED CAPITAL PROPERTIES II CONSOLIDATED CAPITAL PROPERTIES III	CA	x	x	09/14/87	
CONSOLIDATED CAPITAL PROPERTIES IV	CA	X	X	09/14/87	
CONSOLIDATED CAPITAL VENTURE PROPERTIES	CA	X	X	09/14/87	
CREATIVE FOODS CORP		X		09/15/87	
CUMBERLAND RESOURCES INC	GA	X		09/17/87	
DECADE COMPANIES INCOME PROPERTIES	WI NV	X	Х	09/02/87 08/10/87	AMEND
DIGITAL METCOM INC DIGITECH INC	DE	X	^	06/24/87	
DJN INC	DE	X		09/11/87	
DST SYSTEMS INC	MO	X	X	09/18/87	
EXPLOSIVE FABRICATORS INC	DE	X X		09/11/87 09/04/87	
EYE TECHNOLOGY INC FIRST REPUBLIC CORP OF AMERICA	שפ	x ^		09/10/87	
FISHER T S INC	CO	x x		05/19/87	
GAINER CORP	IN	X		09/09/87	
GENERAL PUBLIC UTILITIES CORP /PA/	PA	X	X	09/11/87	
GREENE COUNTY BANCSHARES INC	TN	X NO ITEMS		09/09/87	AMENO
HCW DIL INCOME FUND 1984 I HERLEY MICROWAVE SYSTEMS INC	DE DE	NO ITEMS NO ITEMS		11/30/86 07/31/87	AMEND Amend
HOME MAC MORTGAGE SECURITIES CORP	DC	X		09/21/87	
HONEYBEE INC	MO	X	X	09/08/87	
IBS TECHNOLOGIES LTD		X		03/31/87	AMEND
INSITUFORM OF NORTH AMERICA INC	DE	Χ	Х	09/16/87	
INTERCAP DEVELOPMENT CORP	CO	NO ITEMS	J	03/13/87	AMEND
INTERNATIONAL CONSUMER BRANDS INC INTRAMERICAN OIL & MINERALS INC	DE PA	X X	X	07/10/87 09/10/87	
IRT PROPERTY CO	GĀ	x	х	09/14/87	
ISRAMCO INC	DE	X		09/01/87	1
JACOR COMMUNICATIONS INC	ОН	Х		08/31/87	
JOHNSTOWN CONSOLIDATED INCOME PARTNERS 2	CA	X	X	09/14/87	
JONES INTERCABLE INC	CO KS	Х	X	09/10/87 09/22/87	
KANSAS GAS & ELECTRIC CO /KS/ LANDMARK BANCORP	CA	x	^	09/08/87	
LANDMARK LAND CO INC/DE	DE	~	х	07/24/87	AMEND
MARINE MIDLAND BANKS INC	DE	X	X	09/16/87	
MARSH & MCLENNAN COMPANIES INC	DE	X	Х	09/17/87	
MAXPHARMA INC	TN	X	J.	09/18/87	445
MECHANICAL TECHNOLOGY INC	NY NV	NO ITEMS	X	06/30/87 08/13/87	AMEND AMEND
MEDITECH PHARMACEUTICALS INC MERRILL LYNCH & CO INC	DE	X NO TIEW?		09/10/87	UNITER
MICHAEL ANTHONY JEWELERS INC	DE	x	х	09/23/87	
MONARCH TILE MANUFACTURING INC		х	X	09/15/87	
MULTI BENEFIT REALTY FUND 87-1	CA	X	X	09/14/87	

	STATE	8K ITEM NO.		
NAME OF ISSUER	CODE	1 2 3 4 5 6 7	DATE	COMMENT
MUTUAL OIL OF AMERICA INC	DE	X	09/16/87	
NATIONAL FUEL GAS CO	NJ	^ x		
NATIONAL HEALTH ENHANCEMENT SYSTEMS INC.			09/19/87	
	DE		09/08/87	
NATPAC INC/DE				
NL INDUSTRIES INC	NJ	X X		
ORFA CORP OF AMERICA	UT	X	09/21/87	
OXOCO INC	DE	X X		
PACIFIC ACQUISITIONS INC	CO	X	09/09/87	
PHOENIX FINANCIAL CORP /OK/	OK	X X		
PIONEER WESTERN ENERGY 1987 B INCOME LTD		X	08/19/87	
PORTS OF CALL INC	DE	X	03/31/87	AMEND
PORTS OF CALL INC	DE	X	07/28/87	
POWER SPECTRA INC /CA/	CA	X	08/04/87	
PREMIER FINANCIAL SERVICES INC	DE	X X	09/17/87	
RANKIN CENTURY CORP	MS	X X	09/08/87	
REALTY SOUTHWEST FUND II LTD	TX	X X	08/07/87	
REGENCY CRUISES INC	DE	x x	08/17/87	
RIC 20 LTD	CA	X X	08/12/87	
RIC 20 LTD	CA	x x	08/13/87	
RIC 21 LTD	CA	x x	08/12/87	
RIC 21 LTD	CA	x x	08/18/87	
SATELLITE INFORMATION SYSTEMS CO	CO	X	09/22/87	
SENIOR SERVICE CORP	DE	X		AMEND
SHOE CITY CORP	DE		09/11/87	
SHOREY INTERNATIONAL LTD	CO	X	09/11/87	
SKYLINE CORP	DE DE CO IN	x	09/14/87	
SMITH COLLINS PHARMACEUTICAL INC	CO	x x	09/09/87	
SOLITRON DEVICES INC	NY	NO ITEMS	08/11/87	AMEND
SUPER FOOD SERVICES INC	DE	X X	09/17/87	
TEXAS GENERAL PETROLEUM CORP		NO ITEMS	04/24/85	
TRIUMPH CAPITAL INC	FL	X	07/30/87	AMEND
UNIMED INC	DE	x x	09/01/87	
UNITED STATES MUTUAL FINANCIAL CORP	MI	х х		
UTILICORP UNITED INC	DE			AMEND
VICTORIA STATION INC /DE/	DE DE CO	x x x		
VIDEO AD NETWORK INC	CO	X	09/14/87	
WESTERN TELE COMMUNICATIONS INC	NV	. x	09/08/87	
ZACHARY BANCSHARES INC	LA	x	09/10/87	