Issue 87-183

September 25, 1987

### **CIVIL PROCEEDINGS**

#### ROBERT J. WEEDER ENJOINED

The Atlanta Regional and Miami Branch Offices announced that on September 1 U.S. District Judge Jose A. Gonzalez, Jr., Southern District of Florida, entered a Final Judgment of Permanent Injunction against Robert J. Weeder of Lafayette, Indiana. Weeder was the former Treasurer and Chief Financial Officer of Home State Savings Bank, an Ohio savings institution, which closed on March 9, 1985 after sustaining more than \$143.9 million in losses resulting from the March 4, 1985 collapse of E.S.M. Government Securities, Inc. Weeder consented to the Final Judgment, without admitting or denying the allegations in the Commission's Complaint.

The Final Judgment permanently enjoined Weeder from violating the antifraud and reporting provisions of the securities laws, by misrepresenting and omitting to state material facts concerning: (1) an issuer's financial condition and results of operations, including all material risks and contingencies; (2) transactions between an issuer and its officers, directors, principal shareholders, and related parties; (3) material transactions between an issuer's principal suppliers of goods and services and its officers, directors, and principal shareholders; (4) agreements with or directives issued by federal or state regulators affecting an issuer's business; and (5) the risks of an investment in securities. (SEC v. Marvin L. Warner, et al., Civil Action No. 86-6742-CIV-GONZALEZ, SDFL). (LR-11554)

#### COMPLAINT NAMES MORGAN PETROLEUM, INC., OTHERS

The San Francisco Branch Office filed a civil complaint on September 22 in the U.S. District Court for Northern California seeking orders of permanent injunction against Morgan Petroleum, Inc., Morgan Resources, Inc., Morgan Securities, Inc., Daniel M. Daley, Clifford M. Reiter, Garry E. Stelow, Joseph J. Tennant, and John F. Toale for violation of the antifraud and other provisions of the securities laws. The complaint alleges that the violations were committed in connection with the offer and sale of interests in limited partnerships, and the operation of such partnerships which wre formed to acquire income-producing oil and gas properties.

The complaint charges the defendants with: misappropriating and otherwise misusing partnership funds; failing to file required reports; supplying false andmisleading information to the public, regulatory bodies, and to accountants conducting audits; and failing to satisfy numerous broker-dealer financial responsibility and reporting obligations. (SEC v. Morgan Petroleum, Inc., et al., NDCA, Civil Action No. 87-20628, WAI). (LR-11555)

# **INVESTMENT COMPANY ACT RELEASES**

#### IDS CERTIFICATE COMPANY

A notice has been issued giving interested person until October 13 to request a hearing on an application filed by IDS Certificate Company (IDSC) and IDS Financial Corporation (IDS) for a second order amending a prior order to permit an extention of the maturity date of a promissory note from IDS to IDSC. (Rel. IC-15997 - Sept. 21)

#### THE MANUFACTURERS LIFE INSURANCE COMPANY OF AMERICA

A notice has been issued giving interested person until October 19 to request a hearing on an application filed by The Manufacturers Life Insurance Company of America, Separate Account One of the Manufacturers Life Insurance Company of America, and Man-Equity, Inc. to permit the sale of shares of ManuLife Series Fund, Inc. to both variable annuity and variable life separate accounts of the Company. (Rel. IC-15998 - Sept. 22)

A notice has also been issued giving interested person until October 19 to request a hearing on an application filed by The Company, Account One, and ManEguity to permit the deduction of mortality and expense risk charges in connection with the issuance of certain variable annuity contracts. (Rel. IC-15999 - Sept. 22)

## TRUST INDENTURE ACT RELEASES

RAIL CAR ASSOCIATES LIMITED PARTNERSHIP

An order has been issued under the Trust Indenture Act of 1939 on an application by Rail Car Associates Limited Partnership and Union Tank Car Company that the trusteeship of Harris Trust and Savings Bank under two indentures is not so likely to involve a material conflict of interest as to make it necessary in the public interest or for the protection of investors to disqualify the Bank from acting as trustee under either indenture. (Rel. TI-2119)

### **SECURITIES ACT REGISTRATIONS**

The following registration statements have been filed with the SEC under the Securities Act of 1933. The reported information appears as follows: Form, Name, Address and Phone Number (if available) of the issuer of the security; Title and the number and/or face amount of the securities being offered; Name of the managing underwriter or depositor (if applicable); File number and date filed; Assigned Branch; and a designation if the statement is a New Issue.

- S-18 ATREITES DEVELOPMENT CORP, 2761 NE 58TH ST, FORT LAUDERDALE, FL 33308 (305) 491-6148 - 600 (\$300,000) COMMON STOCK. 3,960,000 (\$3,960,000) COMMON STOCK. 60 WARRANTS, OPTIONS OR RIGHTS. 60 (\$36,000) COMMON STOCK. UNDERWRITER: KETTLER & CO. (FILE 33-17189-A - SEP. 14) (BR. 5 - NEW ISSUE)
- S-18 HOLIDAY RV SUPERSTORES INC, 5001 SAND LAKE RD, ORLANDO, FL 32819 (305) 351-3096 2,300,000 (\$5,175,000) COMMON STOCK. 200,000 (\$20) WARRANTS, OPTIONS OR RIGHTS. 200,000 (\$576,000) COMMON STOCK. UNDERWRITER: JAMES THOMAS INC. (FILE 33-17190-A SEP. 14) (BR. 2 NEW ISSUE)
- N-1A PUTNAM CALIFORNIA TAX EXEMPT MONEY MARKET FUND, ONE POST OFFICE SQ, BOSTON, MA 02109 (617) 292-1000 INDEFINITE SHARES. (FILE 33-17211 SEP. 17) (BR. 22 NEW ISSUE)
- S-6 SEARS TAX EXEMPT INVESTMENT TRUST INSURED CALIFORNIA SER 31, 130 LIBERTY ST,
  C/O DEAN WITTER REYNOLDS INC, NEW YORK, NY 10006 DEPOSITOR: DEAN WITTER REYNOLDS INC.
  (FILE 33-17212 SEP. 17) (BR. 22 NEW ISSUE)
- S-6 SEARS TAX EXEMPT INVESTMENT TRUST CA MUN PORT SER 41, 130 LIBERTY ST,
  C/O DEAN WITTER REYNOLDS INC, NEW YORK, NY 10006 11,000 (\$11,330,000)
  UNIT INVESTMENT TRUST. DEPOSITOR: DEAN WITTER REYNOLDS INC. (FILE 33-17213 SEP. 17)
  (BR. 22 NEW ISSUE)
- S-3 NEW ENGLAND TELEPHONE & TELEGRAPH CO /PRED/, 185 FRANKLIN ST RM 100, BUSTON, MA 02107 (617) 743-9800 (FILE 33-17214 SEP. 17) (BR. 13)
- S-6 NUVEEN TAX EXEMPT UNIT TRUST INSURED SERIES 130, 333 W WACKER DR, C/O JOHN NUVEEN & CO INC, CHICAGO, IL 60606 INDEFINITE SHARES. DEPOSITOR: NUVEEN JOHN & CO INC. (FILE 33-17215 SEP. 18) (8R. 22 NEW ISSUE)
- S-6 NUVEEN TAX EXEMPT UNIT TRUST SERIES 441, 333 W WACKER DR, C/O JOHN NUVEEN & CO INC, CHICAGO, IL 60606 INDEFINITE SHARES. DEPOSITOR: NUVEEN JOHN & CO INC. (FILE 33-17216 SEP. 18) (BR. 22 NEW ISSUE)
- S-4 CENTRAL & SOUTHERN HOLDING CO/GA, P O BRAWER 748, MILLEDGEVILLE, GA 31061 (912) 452-5541 30,176 (\$1,790,342.08) COMMON STOCK. (FILE 33-17261 SEP. 16) (BR. 2 NEW ISSUE)
- S-1 ACCESS TECHNOLOGY INC, SIX PLEASANT ST, SOUTH NATICK, MA (617) 655-9191 624,000 (\$6,864,000) COMMON STECK. 1,243,600 (\$13,679,600) COMMON STOCK. UNDERWRITER:

  BROWN & SONS INC, DONALOSON LUFKIN & JENRETTE SECURITIES C. (FILE 33-17263 SEP. 16) (BR. 10 NEW ISSUE)
- S-1 MCCLATCHY NEWSPAPERS INC, 2100 Q ST, SACRAMENTO, CA 95816 (916) 321-1000 1,290,000 (\$29,670,000) CDMMON STOCK. 1,470,000 (\$33,810,000) CDMMON STOCK.

- UNDERWRITER: GOLDMAN SACHS & CQ. MORGAN STANLEY & CO INC. Shearson Lehman Brothers Inc. (FILE 33-1/270 - SEP. 16) (Br. 12 - New Issue)
- S-8 COMPRESSION LABS INC, 2305 BERING DR, SAN JOSE, CA 95131 (408) 435-3000 400,000 (\$2,200,000) COMMON STCCK. (FILE 33-17283 SEP. 17) (BR. 9)
- S-8 VERTICOM INC, 545 WEDDELL DR, SUNNYVALE, CA 94089 (408) 747-1222 350,000 (\$1,772,050) COMMON STOCK. (FILE 33-17285 SEP. 17) (BR. 10)
- S-8 SAMNA CORP /GA/, 2700 NORTHEAST EXPWY, ATLANTA, GA 30345 (404) 321-5006 200,000 (\$1,525,000) COMMON STOCK. (FILE 33-17292 SEP. 17) (BR. 9)
- S-3 QMS INC, DNE MAGNUM PASS, MOBILE, AL 36618 (205) 633-4300 3,660 (\$86,485.80) COMMON STOCK. (FILE 33-17293 SEP. 17) (BR. 9)
- S-8 SAMNA CORP /GA/, 2700 NORTHEAST EXPWY, ATLANTA, GA 30345 (404) 321-5006 (FILE 33-17295 SEP. 17) (8R. 9)
- S-8 TIFFANY ε CO, 727 FIFTH AVENUE, NEW YORK, NY 10022 (212) 755-8000 846,000 (\$11,182,482) COMMON STOCK. (FILE 33-17297 SEP. 17) (BR. 10)
- S-3 PHILLIPS PETROLEUM CO, PHILLIPS BLDG, BARTLESVILLE, OK 74004 (916) 661-6600 460,000,000 (\$450,000,000) CONVERTIBLE DEBENTURES AND NUTES. UNDERWRITER:
  FIRST BOSTON CORP, GOLDMAN SACHS & CO, MERRILL LYNCH CAPITAL MARKETS,
  MORGAN STANLEY & CO INC. (FILE 33-17299 SEP. 17) (BR. 4)
- F-1 BASIC RESOURCES INTERNATIONAL BAHAMAS LTD, 42 AVE DE FRIEDLAND, PARIS FRANCIS 75008, 10 00000 (212) 541-8920 (FILE 33-17300 SEP. 17) (BR. 11)
- F-1 BASIC RESOURCES INTERNATIONAL BAHAMAS LTD, 42 AVE DE FRIEDLAND, PARIS FRANCIS 75008, 10 00000 (212) 541-8920 (FILE 33-17302 SEP. 17) (BR. 11)
- S-1 CONSOLIDATED HYDRO INC, 2 GREENWICH PLZ, GREENWICH, CT 06830 (203) 661-4203 1,380,000 (\$34,500,000) PREFERRED STOCK. UNDERWRITER: DILLON READ & CO INC. (FILE 33-17303 SEP. 17) (BR. 8)
- S-8 FIRST FINANCIAL CORP /WI/, 1305 MAIN ST, STEVENS PT, WI 54481 (715) 341-0400 27,322 (\$587,423) COMMON STOCK. (FILE 33-17304 SEP. 17) (BR. 2)
- S-6 KANSAS TAX EXEMPT TRUST SERIES 20, 120 S MARKET STE 610, C/O RANSON & COMPANY INC, WICHITA, KS 67202 1,000 (\$1,010.000) UNIT INVESTMENT TRUST. DEPOSITOR:

  KANSON & CO INC. (FILE 33-17306 SEP. 18) (BR. 17 NEW ISSUE)
- S-4 SUMMIT BANCORPORATION, 367 SPRINGFIELD AVE, SUMMIT, NJ 07901 (201) 522-8400 1.091.475 (\$33.835.725) COMMON STOCK. (FILE 33-17307 SEP. 18) (BR. 2)
- S-8 CALTON INC, 100 CRAIG RD, FREEHOLD TOWNSHIP, NJ U7728 (201) 780-1800 1,000,000 (\$4,000,000) COMMON STOCK. 1,000,000 (\$4,000,000) COMMON STOCK. (FILE 33-17308 SEP. 18) (BR. 5)
- S-6 OLD KENT FINANCIAL CORP/MI, ONE VANDENBERG CTR, GRAND RAPIDS, MI 49503 (616) 774-5808 12,500,000 (\$12,500,000) OTHER SECURITIES INCLUDING VOTING TRUST. (FILE 33-17309 SEP. 18) (BR. 1)

# **ACQUISITIONS OF SECURITIES**

Companies and individuals must report to the Commission within ten days on Schedule 13D if after the acquisition of equity securities of a public company their beneficial interest therein exceeds five percent. Persons eligible to use the short form (Schedule 13G) may in lieu of filing a Schedule 13D file a Schedule 13G within 45 days after the end of the calendar year in which the person became subject to Section 13(d)(1). Companies and individuals making a tender offer must have on file at the time the tender offer commences a Schedule 14D-1.

Below is a list of recent filings of Schedules 13D and 14D, which includes the following information: Column 1 - the company purchased (top), and the name of the purchaser; Column 2 - the type of security purchased; Column 3 - the type of form filed; Column 4 - the date the transaction occurred; Column 5 - the current number of shares (in 000's) owned (top) and the current percent owned; Column 6 - the CUSIP number (top) and the prior percent owned; and Column 7 - the status of the filing, i.e., new, update or revision.

NAME AND CLASS OF STOCK/OWNE	R	FORM	EVENT DATE	SHRS(000)/	CUSIP/ PRIOR%	FILING STATUS
AMERICAN CONSOLIDATED GOLD DPAGOO MICK R	COM	130	9/ 8/87	761 9.9	02522710 0.0	NEW
BLASIUS IND INC FIDELITY INTL LTD ET AL	COMMON	STOCK 13D	8/29/87	395 10.0	09343710 8.6	UPDATE
BLASIUS IND INC INTEGRITY FUND INC ET AL	COMMON	STOCK 13D	9/18/87	62 1.6	09343710 0.3	UPDATE
CABOT MED CORP NOWICK ABRAHAM	CDM	13D	8/11/87	<b>5</b> 36 8.0	12709510 0.0	NEW
CANANDAIGUA WINE INC SANDS LAURIE A	CL B	13D	8/24/87	249 13.2	13721910 13.2	UPDATE
CANANDAIGUA WINE INC SANDS RICHARD E	CL B	13D	3/24/87	249 13.2	13721910 13.2	UPDATE
CANANDAIGUA WINE INC SANDS ROBERT S	CL B	13D	3/24/87	249 13.2	13721910 13.2	UPDATE
CANANDAIGUA WINE INC SANDS LAURIE A	CL A	13D	3/24/97	299 10.3	13721920 9.4	UPDATE
CANANDAIGUA WINE INC SANDS RICHARD E	CL A	13D	3/24/87	299 10.3	13721920 9.4	UPDATE
CANANDAIGUA WINE INC SANDS ROBERT S	CL A	13D	8/24/87	299 10.3	13721920 9.4	UPDATE
CHARTER FED SVGS&LN ASSN BR UNITED AFFILIATES CORP	COM	13D	9/ 8/87	365 10.1	16122310 6.2	UPDATE
COM VU CORP FRANK BERNARD M	COM	13D	3/18/37	523 30.6	19978510 30.9	UPDATE
COMMONWEALTH REALTY TR PENNANT HOLDINGS LTD	CL A SI	BENINT	9/ 1/87	1,233 84.0	20323720 0.0	HEW
FIRST AMERI CABLE CORP JDEL INC	COM	13D	9/14/87	4,444 14.5	31899110 0.0	NEW
GATES LEARJET CORP INTEGRATED RESOUPCES	COM	140-1	9/23/87	7,841 64.8	36741010 64.3	UPDATE
HALLWOOD GROUP INC HWGCO II INC ET AL	COM NE		8/31/87	517 14.8	40636430 14.3	UPDATE
IGI INC ZODDA ALFRED T	COM	13D	9/17/87		44957510 13.4	
INERTIA DYNAMICS CORP RYOBI LIMITED	COM	140-1	9/22/37	1,732 33.7		UPDATE
INTERNATIONAL AMER HOMES INC PORTEN HERMAN I	COM	13D	7/21/87	692 7.7		UPDATE
INTERNATIONAL AMER HOMES INC SULLIVAN RICHARD A	COM	13D	7/21/87	692 7.7	45900410 3.8	UPDATE
K D I CORP IMPALA PACIFIC CORP ET AL	COM	13D	9/17/87		48245210 48.5	UPDATE
KNAPE & VOGT MFG CO INTEGRITY FUND INC ET AL	COM	13D	9/18/87		49878210 6.7	UPDATE
MASCO INDS INC MANOOGIAN RICHARD A	COM	13D	8/ 4/87		57460110 15.3	UPDATE
MUSTANG DRILLING & EXPL INC BUESCHER FAMILY LTD PRTN	CDM	13D	9/ 8/87	565 5.3	62819010 0.0	NEW