# sec news digest

# RIKES AND RELATED MATTERS

PROPOSED REVISIONS TO REGULATION D; PROPOSED RULES 701, 702 and FORM 701

The Commission has published for comment a number of proposed revisions to the Regulation D exemptions from the registration requirements of the Securities Act of 1933 for certain limited offers and sales of securities. The proposals concern the accredited investor definition, Rule 504 and certain general, technical matters. A new exemptive provision, designated Rule 701, has also been proposed for comment. Under this proposal, offers and sales of securities pursuant to the terms of certain employee benefit plans and/or employment contracts of non-reporting companies would not have to be registered under the Securities Act.

Comments should be submitted in triplicate to Jonathan G. Katz, Secretary, Securities and Exchange Commission, 450 Fifth Street, NW, Washington, DC 20549. Comments must be received by March 16 and should refer to File No. S7-1-87. Such comments will be available for public inspection. (Rel. 33-6683)

FOR FURTHER INFORMATION CONTACT: Richard K. Wulff or Karen M. O'Brien at (202) 272-2644

RULE 10b-6 AMENDED

The Commission adopted amendments to Rule 10b-6, 17 CFR 240.10b-6, under the Securities Exchange Act of 1934. The amendments pertain to such matters as solicited brokerage transactions, standardized call options, and the rule's applicability to affiliates of broker-dealers. (Rel. 34-24003)

FOR FURTHER INFORMATION CONTACT: Nancy J. Burke at (202) 272-2848

# **ADMINISTRATIVE PROCEEDINGS**

### RICHARD LYLE ANDERSON SANCTIONED

The Commission issued an order instituting public administrative proceedings under the Investment Advisers Act of 1940 and the Securities Exchange Act of 1934 against Richard Lyle Anderson, of Houston, Texas, who was president of Paragon Planning Corporation and Paragon Equity Corporation, both formerly registered with the Commission as an investment adviser and broker-dealer, respectively. Simultaneously, the Commission accepted Anderson's Offer of Settlement in which he, without admitting or denying the Commission's allegations, except those pertaining to a civil injunction which he admitted, consented to findings of violations and the sanctions imposed.

On the basis of the Order for Proceedings and the Offer of Settlement, the Commission found, among other things, that Anderson: (1) wilfully violated the antifraud provisions of the Securities Act, Exchange Act, and Investment Advisers Act; and (2) had been enjoined by consent from engaging in the violations just described.

Based on the above findings and the Offer of Settlement, Anderson was barred from association with any investment adviser, broker, dealer, investment company, or municipal securities dealer, provided that he may apply after 18 months in a non-supervisory, non-proprietary capacity and after four and one-half years in any capacity. (Rel. IA-1052)

### TRADING SUSPENSIONS

TRADING SUSPENDED IN PROS INTERNATIONAL, INC.

The Commission announced the temporary suspension of over-the-counter trading in the securities of PROS International, Inc., a Nevada corporation with offices located in San Diego, California, for the ten-day period beginning at 9:30 a.m. (EST) January 19 and terminating at 11:59 p.m. (EST) January 28, 1987.

The Commission suspended trading in the securities of PROS because of an apparent lack of current, adequate, and accurate public information concerning the company's business operations and financial condition.

Any broker or dealer or other person who has any information which may relate to this matter is encouraged to telephone the Division of Enforcement at (202) 272-2258. (Rel. 34-24006)

## **INVESTMENT COMPANY ACT RELEASES**

CABLEVISION INVESTMENT OF DETROIT, INC.

An order has been issued exempting Cablevision Investment of Detroit, Inc. from all provisions of the Investment Company Act in connection with the proposed offer of its shares pursuant to a cable television franchise agreement. (Rel. IC-15535 - Jan. 13)

THE RIGHTIME FUND, INC.

An order has been issued exempting The Rightime Fund, Inc. from Section 19(b) of the Investment Company Act and Rule 19b-1 to the extent necessary to allow monthly distributions of certain long-term capital gains realized by its series, The Rightime Government Securities Fund. (Rel. IC-15536 - Jan. 13)

M.D.C. MORTGAGE FUNDING CORPORATION II

A notice has been issued giving interested persons until February 6 to request a hearing on an application filed by M.D.C. Mortgage Funding Corporation II, subsidiary of Yosemite Financial, Inc., requesting an order exempting it and certain trusts created by it from all provisions of the Investment Company Act in connection with the issuance of certain mortgage-backed securities. (Rel. IC-15537 - Jan. 13)

# **HOLDING COMPANY ACT RELEASES**

NATIONAL FUEL GAS COMPANY

A supplemental order has been issued authorizing National Fuel Gas Company, a registered holding company, to issue and sell up to one million shares of its common stock and to make capital contributions to its subsidiary, Seneca Resources Corporation, up to the amount of the proceeds through December 31, 1988. (Rel. 35-24301 - Jan. 15)

# LISTING, DELISTING AND UNLISTED TRADING ACTIONS

UNLISTED TRADING SOUGHT

Notices have been issued giving interested persons until February 5 to comment on the application of the following stock exchanges for unlisted trading privileges in specified number of issues which are listed and registered on one or more other national securities exchanges and are reported in the consolidated transaction reporting system: Philadelphia Stock Exchange - one issue; four issues. (Rel. 34-23993; Rel. 34-23995); and the Midwest Stock Exchange - 22 issues. (Rel. 34-23994)

### **SELF-REGULATORY ORGANIZATIONS**

ACCELERATED APPROVAL OF PROPOSED RULE CHANGE

The Commission approval, on an accelerated basis, a proposed rule change filed by the New York Stock Exchange (SR-NYSE-86-34) that would increase rates affecting selected Sales Practice and other related fees. (Rel. 34-23987)

### **SECURITIES ACT REGISTRATIONS**

The following registration statements have been filed with the SEC under the Securities Act of 1933. The reported information appears as follows: Form, Name, Address and Phone Number (if available) of the issuer of the security; Title and the number and/or face amount of the securities being offered; Name of the managing underwriter or depositor (if applicable); File number and date filed; Assigned Branch; and a designation if the statement is a New Issue.

- S-3 PROCTER & GAMBLE CO, ONE PROCTER & GAMBLE PLZ, CINCINNATI, OH 45202 (513) 983-1100 200,000,000 (\$200,000,000) STRAIGHT BONDS. (FILE 33-11207 JAN. 09) (BR. 13)
- S-6 NUVEEN TAX EXEMPT UNIT TRUST INSURED SERIES 111, 333 W WACKER DR, C/O JOHN NUVEEN & CO INC, CHICAGO, IL 60606 - INDEFINITE SHARES. (FILE 33-11208 -JAN. 09) (BR. 18 - NEW ISSUE)
- S-6 NUVEEN TAX EXEMPT UNIT TRUST SERIES 414, 333 W WACKER DR. C/O JOHN NUVEEN & CO INC. CHICAGO, IL 60606 INDEFINITE SHARES. (FILE 33-11209 JAN. 09) (BR. 22 NEW ISSUE)
- S-3 COINTEL CORP, 23801 CALABASAS RD, STE 1020, CALABASAS, CA 91302 (818) 716-5889 2,000,000 (\$120,000) COMMON STOCK. (FILE 33-11210 JAN. 12) (BR. 11)
- S-1 DEN MAT CORP, 3130 SKYWAY DR, UNIT 501, SANTA MARIA, CA 93455 (805) 922-8491 1,400,000 (\$7,000,000) COMMON STOCK. 1,400,000 (\$18,200,000) COMMON STOCK. (FILE 33-11211 JAN. 12) (BR. 9 NEW ISSUE)
- S-8 INTER CITY GAS CORP, 1800 INTER CITY GAS BLDG, 444 ST MARY AVE WINNIPEG,
  MANITOBA R3C 3T7 CANADA, A2 (204) 944-9920 1.000.000 (\$1.000.000)
  OTHER SECURITIES INCLUDING VOTING TRUST. 1.000.000 (\$11.000.000) FOREIGN COMMON STOCK.
  (FILE 33-11213 JAN. 09) (BR. 3)
- S-6 AMERICAN MUNICIPAL TRUST TWENTY SEVENTH YIELD SERIES, P 0 BCX 23928,

  C/O AMERICAN MUNICIPAL SECURITIES INC, TAMPA, FL 33623 + 5.000 (\$5.500,000)

  UNIT INVESTMENT TRUST. DEPOSITOR: AMERICAN MUNICIPAL SECURITIES INC. (FILE 33-11214 JAN. 12) (BR. 17 NEW ISSUE)
- S-B AVNET INC, 767 FIFTH AVE, NEW YORK, NY 10153 (212) 644-1050 32,988 (\$903,046.50) COMMON STOCK. (FILE 33-11216 JAN. 09) (BR. 3)
- F-6 PANCONTINENTAL MINING LTD /ADR/, 48 WALL ST, C/O BANK OF NEW YORK, NEW YORK, NY 10015 (212) 530-1784 (FILE 33-11221 JAN. 12) (BR. 99)
- S-6 INSURED TAX FREE INCOME TRUST SERIES 38, 201 PROGRESS PKWY, C/O EDWARD D JONES & CO, ST LOUIS, MO 63043 1,000 (\$1,000,000) UNIT INVESTMENT TRUST. DEPOSITOR: INTEGRATED RESOURCES EQUITY CORP, JONES EDWARD D & CO. (FILE 33-11222 JAN. 12) (BR. 17 NEW ISSUE)
- F-6 BRITISH AIRWAYS PLC, 71 LOMBARD ST. LONGON EC3P 3BS ENGLAND, (212) 878-4545 200.000.000 (\$10.000.000) DEPOSITARY RECEIPTS FOR COMMON STOCK. (FILE 33-11223 JAN. 08) (BR. 99)
- S-6 NATIONAL MUNICIPAL TR SPE TRU TWENTY SEC MULTI STATE SERIES, ONE NEW YORK PLZ, C/O THOMSON MCKINNON SECURITIES INC, NEW YORK, NY 10004 13,000 (\$14,300,000) UNIT INVESTMENT TRUST. DEPOSITOR: THOMSON MCKINNON SECURITIES INC. (FILE 33-11239 JAN. 08) (BR. 18 NEW ISSUE)
- S-1 IDS JONES INCOME PARTNERS, 9697 E MINERAL AVE. C/O JONES CABLE CORP. ENGLEWOOD. CO 80112 (303) 792-3111 - 600.000 (\$150.000.000) LIMITED PARTNERSHIP CERTIFICATE. UNDERWRITER: IDS FINANCIAL SERVICES INC. JONES INTERNATIONAL SECURITIES LTD. (FILE 33-11243 - JAN. 09) (BR. 7 - NEW ISSUE)

- S-11 SANTA BARBARA SAVINGS & LOAN ASSOCIATION, 3908 STATE ST, SANTA BARBARA, CA 93105 (805) 682-5000 1,000,000 (\$1,000,000) PASS-THROUGH MORTGAGE-BACKED CERTIFICATE. UNDERWRITER: GOLDMAN SACHS & CO. (FILE 33-11245 JAN. 09) (BR. 7 NEW ISSUE)
- S-8 ILLINGIS POWER CO, 500 S 27TH ST, DECATUR, IL 62525 (217) 424-6600 25,000 (\$734,375) COMMON STOCK. (FILE 33-11247 JAN. 09) (BR. 8)
- S-1 MDC ASSET INVESTORS INC, 3600 SOUTH YOSEMITE ST STE 900, DENVER, CO 80237 (303) 773-1100 458,333 (\$6,393,745.35) COMMON STOCK. (FILE 33-11248 JAN. 09) (BR. 12)
- S-8 CICKEY JOHN CORP, P O BOX 10, AUBURN, IL 62615 (217) 438-3371 200,000 (\$2,300,000) COMMON STOCK. (FILE 33-11249 JAN. 09) (BR. 8)
- S-6 TRUST SOUTHWEST TAX EXEMPT INCOME TRUST ANT SERIES 1,
  4100 REPUBLICBANK CNTR PO BOX 3226, C/O ROTAN MOSLE INC. HOUSTON, TX 77253 550
  (\$577,500) UNIT INVESTMENT TRUST. DEPOSITOR: ROTAN MOSLE INC. (FILE 33-11250 JAN. 09) (BR. 16 NEW ISSUE)
- S-6 INSURED TAX FREE INCOME TRUST SERIES 36, 201 PROGESS PKWY, C/O EDWARD D JONES & CO, ST LOUIS, MO 63043 1,000 (\$1,000,000) UNIT INVESTMENT TRUST. DEPOSITOR:
  INTEGRATED RESOURCES EQUITY CORP, JONES EDWARD D & CO. (FILE 33-11251 JAN. 09)
  (BR. 17 NEW ISSUE)
- S-6 INSURED TAX FREE INCOME TRUST SERIES 37, 201 PROGRESS PKWY, C/O EDWARD D JONES & CO, ST LOUIS, NO 63043 1,000 (\$1,000,000) UNIT INVESTMENT TRUST. DEPOSITOR:
  INTEGRATED RESOURCES EQUITY CORP, JONES EDWARD D & CO. (FILE 33-11252 JAN. 09)
  (BR. 17 NEW ISSUE)
- S-8 GREY ADVERTISING INC /DE/, 777 THIRD AVE, NEW YORK, NY 10017 (212) 546-2000 51,150 COMMON STOCK. (FILE 33-11253 JAN. 09) (BR. 5)
- S-8 AMOCO CORP, 200 E RANDOLPH DR, CHICAGO, IL 60601 (312) 856-6111 525,000,000 (\$210,000,000) OTHER SECURITIES INCLUDING VOTING TRUST. 8,750,000 COMMON STOCK. (FILE 33-11254 JAN. 09) (BR. 11)
- S-8 UNITED TECHNOLOGIES CORP/DE, UNITED TECHNOLOGIES BLDG, HARTFORD, CT 06101 (203) 728-7000 50,000,000 (\$50,000,000) OTHER SECURITIES INCLUDING VOTING TRUST. (FILE 33-11255 JAN. 09) (BR. 12)
- S-6 INSURED TAX FREE INCOME TRUST SERIES 39, 201 PROGRESS PKWY, C/D EDWARD D JONES & CO. ST LOUIS, MO 63043 1,000 (\$1,000,000) UNIT INVESTMENT TRUST. DEPOSITOR:
  INTEGRATED RESOURCES EQUITY CORP, JONES EDWARD D & CO. (FILE 33-11256 JAN. 12)
  (BR. 17 NEW ISSUE)
- S-1 POSEIDON POOLS OF AMERICA INC, 50TH ST & 1ST AVE, BROOKLYN, NY 11232 (718) 788-8060 632,500 (\$6,325,000) COMMON STOCK. 632,500 (\$3,795,000) COMMON STOCK. 50,000 (\$55) WARRANTS, OPTIONS OR RIGHTS. 110,000 (\$660,000) COMMON STOCK. 55,000 (\$330,000) COMMON STOCK. UNDERWRITER: EVANS & CO INC. (FILE 33-11257 JAN. 09) (BR. 2 NEW ISSUE)

# **ACQUISITIONS OF SECURITIES**

Companies and individuals must report to the Commission within ten days on Schedule 13D if after the acquisition of equity securities of a public company their beneficial interest therein exceeds five percent. Persons eligible to use the short form (Schedule 13G) may in lieu of filing a Schedule 13D file a Schedule 13G within 45 days after the end of the calendar year in which the person became subject to Section 13(d)(1). Companies and individuals making a tender offer must have on file at the time the tender offer commences a Schedule 14D-1.

Below is a list of recent filings of Schedules 13D and 14D, which includes the following information: Column 1 - the company purchased (top), and the name of the purchaser; Column 2 - the type of security purchased; Column 3 - the type of form filed; Column 4 - the date the transaction occurred; Column 5 - the current number of shares (in 000's) owned (top) and the current percent owned; Column 6 - the CUSIP number (top) and the prior percent owned; and Column 7 - the status of the filing, i.e., new, update or revision.

		FORM	EVENT DATE	SHRS (000) /		
THE ADMAR GROUP INC TPA OF AMERICA INC	COM	13D	12/31/86	5,329 14.3	00709310 0.0	HEW
AMBER RES CO HEAFITZ BRUCE	COM	13D	12/29/86	13,362 51.0		UPDATE
ARDEN GROUP INC LEWIS STEPHEN B	CL A		1/ 5/87	170 7.3	03976210 6.3	UPIDATE
ATLANTA SOSNOFF CAP OSHEROW SHEPARD D	COM	13D	12/30/86	1.906 20.8	04649910 21.4	UPDATE
ATLANTA SOSNOFF CAP SOSNOFF MARTIN T	COM	13D	12/30/86	5,641 61.4		UPDATE
ATLANTIC RESH CORP GENERAL DEFENSE CORP ET A	COM	13D	1/ 5/87	584 7.7	04881610 7.4	UPDATE
ATLANTIC SOUTHERST AIRLS INC VOORHEES ALAN M ET AL	COM	13D	11/26/86	1,471 11.0	04886910 0.0	NEW
BUCKEYE FINL CORP MCCONNELL JOHN H	CDM	13D	12/31/86	181 7.1	11816610 7.1	UPDATE
BUCKEYE FINL CORP PETROPOULOS JAMES	COM	13D	12/31/86	191 7.5	11816610 7.4	UPDATE
CAPITAL CITY BK GRP SMITH WILLIAM G JR	COM	13D	12/29/86	158 5.3	13967410 0.0	NEW
CAPITAL CITY BK GRP SMITH WILLIAM GODFREY	COM	13D	12/29/86	665 22.2	13967410 20.8	UPDATE
CITADEL HLDG CORP HECCO VENTURES ET AL	COM	13D	1/ 8/87	377 11.3	17286210 9.6	UPDATE
CONTAINER INDS INC NORMAN WEIL VENTURE PTNR (	COM ET AL	. 13D	12/23/86	446 4.5	21074810 4.5	UPDATE
CORPORATE DATA SCIENCES INC PRESTON MICHAEL ET AL	COM	13D	8/14/86	1,406 27.3	21988410 0.0	NEW
CULBRO CORP B BROS PEALTY LTD PRTSHP (	COM ET AL	13D	11/26/86	2,250 52.4	22989010 52.6	UPDATE
DMI FURNITURE INC PRUDENTIAL INS CO OF AMER		13D	10/31/86		23323010 25.7	
DANIEL INDS INC ORION CAP CORP ET AL				5.1	23623510 0.0	NEW
EHRLICH BOBER FINL CORP EHRLICH BURTT R	COM	13D		597 16.6	28245210 0.0	
ENERGY CONVERSION DEVICES MANNING & MAPIER ADVISORS	COM	13D	12/24/86	1,502 30.3	29265910 28.2	UPDATE
ENERGY RES N D INC ENERGY RES PRISHP ET AL	COM	PAR \$0.05 13D	12/29/86	1,175 58.9	29271620 0.0	NEW
FAIR GROUNDS CORP ROUSSEL LOUIS J III ET AL	CDM	13D	1/ 8/87	200 51.0	30315310 50.7	UPDATE
FLARE INC DALLAS GEDRGE J III ET AL	COM	13D	12/12/86	6,277 51.2	338 <b>5</b> 2010 31.7	UPDATE
GUARANTY BANCSHARES CORP PA FIRST SAVE ASSOCIATES ET			12/26/86		40076210 0.0	
KEE EXPL INC FOUNTAIN OIL & GAS INC	COM	PAR \$0.00		35,000 70.0		

	KETCHUM & CO SOWELL JAMES E ET AL	COM	13D	12/29/86		49262010 0.0	
			عاد.				
٠	KINGS RD ENTMT INCL	COM			1,269	49616210	
	FRIEDMAN STEPHEN J		. 13D	12/31/86	26.9	20.9	UPDATE
	MERCHANTS BANCORP INC PA	CDM	•		0	58844410	
	FIDELCOR INC ET AL	<b></b>	13D	12/31/86	100.0		
	MINERALS ENGR CD	СПМ	PAR \$0.01		500	60314320	
	S MHOL DUMP	CLIT			7.4		
					- <del>-</del>		
	MINSTAR INC	CDM		•	1,404	60444210	
	BELLFONTE CO ET AL		13D	12/26/86	8.0	. 0. 0	HEM
	NORTEK INC	COM			1,004	65655910	
	RELIANCE FINANCIAL SVCS C	ORP	13D	1/ 6/87	8.2	6.7	
	NORTHVIEW CORP	COM		·		66718810	
	BOESKY SEEMA ET AL		13D	12/29/86	67.7	75.2	UPDATE
	NORTHVIEW CORP	COM		•		66718810	
	SLATKIN BURTON ET AL		13D	12/29/86	66.9	0.0	HEW
	PAUNEE INDS INC	COM			n	70410710	
	HURD SYLVIA JEAN ET AL	000	13D	12/24/86		N∕A	
	PHILLIPS VAN HEUSEN CORP	СОМ			034	71859210	
	ROSEWOOD FINANCIAL ET AL		130	17 6787	13.7	9.1	HETIETE
	THE TOTAL OF THE STATE OF THE	~. <del>~</del>		1, 0, 0,		744	
	PHLCORP					71879810	
:	LEUCADIA NATIONAL CORP ET	AL	13D	1/ 5/87	39.0	39.1	UPDATE
	REPUBLIC AMERN CORP	СПМ			7.120	76027910	
	AMERICAN FINANCIAL CORP E	TAL	13D	12/19/86	31.3	0.0	
	SCEPTRE RES LTD	CDM				80621410	
•	NOVERCO INC		13D	12/12/86	6.3	0.0	NEM
	USX CORP	COM			29,350	90290510	
	ICAHN CARL C ET AL		13D		11.4		
	UNITED NATL FINANCIAL CORP	СПМ			123	91112210	
	RONCONE JOHN M ET AL			12/31/86			UPDATE

### **RECENT 8K FILINGS**

Form 8-K is used by companies to file current reports on the following events:

- Item 1. Changes in Control of Registrant.
- Item 2. Acquisition or Disposition of Assets.
- Bankruptcy or Receivership. Item 3.
- Item 4. Changes in Registrant's Certifying Accountant.
- Item 5.
- Other Materially Important Events. Resignations of Registrant's Directors. Item 6.
- Financial Statements and Exhibits.

The companies listed below have filed 8-K reports for the date indicated and/or amendments to 8-K reports previously filed, responding to the item(s) of the form specified. Copies of the reports may be purchased from the Commission's Public Reference Room (when ordering, please give the date of the report). An invoice will be included with the requested material when mailed.

NAME OF TSSUER	STATE	8K II 1 2 3	EM NO	6 7	DATE	COMMENT
MELLON BANK CORP MEMORY METALS INC MERCHANTS NATIONAL CORP MERIDIAN RESERVE INC	PA			x	01/13/87	
MEMORY METALS INC	DE		X	X	01/11/87	
MERCHANTS NATIONAL CORP	IN		X		09/16/86	
MERIDIAN RESERVE INC	DE	X		X	12/29/86	
MESTEK INC	CO	×		X	12/31/86	
MESTFK INC MET COIL SYSTEMS CORP METRO TEL CORP METROMEDIA CO MICKELBERRY CORP MIDDLEBY CORP	PA DE	X. X		X	12/31/86 12/30/86	
METRO TEL CORP	NY	x		x	12/31/86	
METROMEDIA CO	DE		X	X	01/06/87	
MTCKELBERRY CORP	DE	X		X	12/30/86	
MIDDLEBY CORP MIDLAND SOUTHWEST CORP	0.		X		12/31/86	
MLH INCOME REALTY PARTNERSHIP V	NY NY	X X	x	x	12/30/86	
MONOGRAM INDUSTRIES INC /CE/	DE	â	^	x	12/30/86 12/30/86	
MOORF MCCORMACK RESOURCES INC/NEW/	DE	x		X	12/30/86	
MORTGAGE BANKERS FINANCIAL CORP I	DE	X		X	12/30/86	
MORTGAGE SECURITIES III TRUST A	NV		X	X	12/30/86	
MRI BUSINESS PROPERTIES FUND LTD III MULTIVEST REAL ESTATE FUND LTD SERIES VI	CA	X		X	12/23/86	AMEND
MUNICIPAL DEVELOPMENT CORP	M I DE	X		X	12/31/86 12/29/86	
MUNSINGWEAR INC	DE	x		x	12/29/86	
MUTUAL BENEFIT MORTGAGE INVESTORS III LP		X		X	12/31/86	
NATIONAL HEALTH CARE SYSTEMS INC	CA	X		X	12/29/86	
NATIONAL MICRONETICS INC	DE	X		X	12/30/86	
NATIONWIDE CELLULAR SERVICE INC NEW ULM TELECOM INC	NY	X		X	12/31/86	
NEW WORLD PICTURES LTD	MN DE	X		X	12/31/86 12/29/87	
NICHOLS INSTITUTE /DE/	DE	â		x	12/30/86	
NOARKO RESOURCES INC/CO	CO		X	X	12/29/86	
NOONEY INCOME FUND LTD II	MO	X		X	12/29/86	
NOONEY INCOME FUND LTD II	MD	X		X	12/29/86	
NOONEY INCOME FUND LTD III LP	MO	X		X	12/29/86	
NOONEY INCOME FUND LTD III LP NORTHWEST ENGINEERING CO	MO DE	X		X	12/29/86 12/31/86	
NORTON CO	MA	^	x	^	01/14/87	
NOVAN ENERGY INC	CO	X		X	12/30/86	
NOVO CORP	NY	X		X	12/24/86	
OCTO LTD	DE DE		×	X	12/11/86 12/29/86	
OHIO MATTRESS CO /DE/ OLD NATIONAL BANCORPORATION	DE	X	X	x	12/17/86	
OMNI EXPLORATION INC	DE	×	^	x	12/30/86	
OVABLOC THE	DE		X	•	01/12/87	
PACIFIC GAS & ELECTRIC CO	CA		X		01/13/87	
PARKWAY CO/TX	TX	X		_ X	12/31/86	
PC QUOTE INC	DE		X	X	01/06/87 12/31/86	
PENNEY J C CO INC PENNEY J C FINANCIAL CORP	DE		â	â	12/31/86	
PENTRON CORP	DE	X		X	12/30/86	
PEOPLE EXPRESS AIRLINES INC	ĐE	×	X		12/30/86	
PEOPLE EXPRESS INC	DE	X	X		12/30/86	
PHELPS DODGE CORP	NY	X		X	12/31/86	
PLASTI LINE INC /TN/ POLORON PRODUCTS INC	TN NY	^	X	•	12/31/86 12/22/86	
POLYCAST TECHNOLOGY CORP	DE		•	X	10/31/86	AMEND
PR INK INC	CO	x x		X	12/31/86	
PS PARTNERS VI LTD	CA		X	X	10/01/86	
QUAKER DATS CO	NJ	X		X	08/15/86	AMEND
QUAKER DATS CO	LN XT		X	X	11/12/86	AMEND
OUINGCO OIL & GAS INCOME PROGRAM 1982-1 OUINGCO OIL & GAS INCOME PROGRAM 1982-2	ŤΧ			â	09/30/86 09/30/86	AMEND AMEND
QUINOCO DIL & GAS INCEME FROGRAM 1983-1	ŤX			x	09/30/86	AMEND
QUINOCO OIL & GAS INCOME FROGRAM 1983-2	TX			X	09/30/86	AMEND
OUTNOCO OTL & GAS INCEME FROGRAM 1983-3	TX			X	09/30/86	AMEND
OUTHICO DIL E GAS INCEME PROGRAM 1984-1	TX			X	09/30/86	AMEND
QUINDED DIL E GAS INCEME FROGRAM 1984-2	TX			X	09/30/86	AMEND
OUTNOCO OTL & GAS INCOME PROGRAM 1984-3 OUTNOCO OTL & GAS INCOME PROGRAM 1985-1				X	09/30/86 09/30/86	AMEND AMEND
QUINOCO OTL & GAS INCOME PROGRAM 1985-2				x	09/30/86	AMEND
OUINDOO DIL & GAS INCOME FROGRAM 1985-3				X	09/30/86	AMEND
OUTNOCO PENSION INVESTORS DIL & GAS INCO	TX			X	09/30/86	AMEND
QUINOCO PENSION INVESTORS OIL 6 GAS INCO	TX			X	09/30/86	AMEND

ENT ON FIBINGS CONT.						
OUINGCO PENSION INVESTORS OIL & GAS INCO				X	09/30/86	AMEND
OUINDCO PENSION INVESTORS DIL & GAS INCO				X	09/30/86	AMEND
QUINOCT PENSION INVESTORS OIL & GAS INCO	TX			X	09/30/86	AMEND
OUTNOCO PENSION INVESTORS OIL & GAS INCO		· ŧ		X.	09/30/86	AMEND
READICARE INC	CA	X		X	12/30/86	
REAL ESTATE INVESTMENT TRUST OF CALIFORN	CA		X	x	12/31/86 12/30/86	
REALMARK PROPERTY INVESTORS LTD PARTNERS RESTDENTIAL MORTGAGE SECURITIES TRUST FO	DE	X	x	x	12/30/86	
RESTAURANT ASSOCIATES INDUSTRIES INC	DE	^ x	â	Ŷ	12/23/86	
REVCO D S INC	MI	хх	^	x	12/29/86	
REVLON INC	DE			X	12/10/86	AMEND
RIC 19 LTD	CA	X	X	X	12/31/86	
RIC 19 LTD	CA	X	X	X	01/02/87	
RTC 20 LTD	CA	×	X	X	12/17/86	
RIC 20 LTD	CA	X	X	X	12/17/86	
RIC 20 LTD	CA	X	X	X	12/17/86	
RIC 20 LTD	CA	X	X	X	12/18/86	
RTC 20 LTD	CA	X	. X	X	12/22/86	*
RIC 20 LTD	CA	X	X	X	12/23/86	
ROADWAY MOTOR PLAZAS INC	NY	· X	· X	X	01/12/87	
ROCKWELL TECHNOLOGIES INC	UT	X		X	01/05/87	
RYLAND GROUP INC	MD	X		X	12/30/86	
SCHACHER GREENTREE & CO INC	NY		X		08/31/86	
SEARS MORTGAGE SEC COBP MORT PASS THR CE	DE		X	X	12/31/86	
SEARS MORTGAGE SECURITIES CORP SECURITY FUNDING CAPITAL CORP	DE DE		X	X	12/31/86 01/14/87	
SIERRA EXPLORATION CO	CO		хх	X	12/29/86	
SOFTWARE AG SYSTEMS INC	DE		^ x	^	12/29/86	
SOUTHWEST GAS CORP	CA	×	^	x	12/30/86	
SQUIRB CORP	ĎĒ	â		x	12/29/86	
STANLINE INC	CA	X	. •		12/31/86	
STAR FINANCIAL GROUP INC	IN	X	X	X	01/14/87	
STATEWIDE BANCORP	NJ		X		12/31/86	
STATUS GAME CORP	DE	X		X	12/01/86	
SUDBURY HOLDINGS INC	DE	X		X	08/31/86	
SUDBURY HOLDINGS INC	DE	X		X	12/30/86	
SUMMIT TAX EXEMPT BOND FUND LP	DE	X		X	12/29/86	
SUMMIT TAX EXEMPT BOND FUND LP	DE	×		(	12/30/86	
SUN BANCORP INC	PA		X	X	12/29/86	
SUNGROUP INC	TN		X	X	12/13/86	AMEND
SUNSHINE FIFTY INC	MI	<b>X</b> -		X	10/31/86 12/29/86	AMEND
SUNTRUST BANKS INC SUPER VALU STORES INC	GA De	^	•	X	01/05/87	AMEND
SUPER 8 LODGING V LTD	CA		X	^	12/31/86	MHEND
SYSCON CORP	DC	×	^	X	12/30/86	
T BAR INC	MD	X		X	12/30/86	
TANDYCRAFTS INC	DE	X		••	12/29/86	
TAX COMPUTER SYSTEMS INC	NY	NO 11	TEMS		12/31/86	
TELECON CORP	TX	X	X	X	01/14/86	
TELECOMMUNICATIONS NETWORK INC	DE	X		X	12/29/86	
TELESCIENCES INC	LM		X		12/10/86	
TENNECO INC	DE		X	×	01/14/87	
TEXAS AIR CORP	DE	X		X	12/30/86	
THE FITNESS CENTERS INC	DE		. X	X	12/23/86	
THOROUGHBRED BREEDERS INC	FL		X		12/29/86	
THOROUGHBRED INVESTMENTS INC	FL	v	X	•	12/29/86	
TIME INC /DE/ TIMES MIRROR CO	DE DE	X	x	X	12/29/86 12/02/86	
TRITON ENERGY CORP	TX	χ.		x	12/30/86	
TRUST CORP INC	ĎÊ	x ^ ·		Ŷ	12/31/86	
TSI INC	MN	~ x		×	12/30/86	
TWO PARK AVENUE ASSOCIATES	NY		x	X	09/30/86	
UCT MEDICAL AFFILIATES INC	DE		X	X	01/13/87	
UNC INC	VA			X	10/31/86	AMEND
UNICIL	NV	X		X	12/12/86	
UNITED STATES MINERAL & RCYALTY CORP	OK	•	×	-	12/30/86	
UNITED SYSTEMS TECHNOLOGY INC	IA	×		×	12/31/86	
UNIVAR CORP	DE			X	11/01/86	AMEND
UNIVERSITY GRAPHICS INC	NJ		×		12/23/86	
US HEALTHCARE INC	PA	X		X	12/31/86	
USAA INCOME PROPERTIES III LTD PARTNERSH	DE	X	**	X	12/05/86	
USACAFFS L P	DE	u	X	X	12/31/86	
VARITY CORP		X		X	12/31/86	