sec news digest

Issue 93-225

November 23, 1993

U.S. SECURITIES
EXCHANGE COMMISSION

NUV + U 1773

RULES AND RELATED MATTERS

ADOPTION OF AMENDMENTS TO THE EXECUTIVE COMPENSATION DISCLOSURE RULES AND PROPOSAL TO ELIMINATE THE FILING REQUIREMENTS FOR PRELIMINARY PROXY MATERIALS UNDER CERTAIN CIRCUMSTANCES

The Commission adopted amendments to the executive compensation disclosure rules. The amendments broaden the class of persons covered by the rules, require disclosure of year-end restricted stock holdings in all cases, require registrants to set forth material assumptions and adjustments used in any grant-date valuation of options, and change the point in time at which the market capitalization of a peer group index or market capitalization index is calculated from the end of the period for which a return is indicated to the beginning of such period. In addition, the Commission adopted several technical amendments. (Rels. 33-7032; 34-33229)

The Commission also proposed for comment amendments to the proxy and information statement filing requirements to codify a prior interpretation of Rule 14a-6 that submitting amendments to an existing compensation plan for shareholder approval does not trigger the preliminary filing requirement. Comments on the proposals should be submitted in triplicate to Jonathan G. Katz, Secretary, Securities and Exchange Commission, 450 5th Street, N.W., Stop 6-9, Washington D.C. 20549 on or before December 13, 1993 and should reference File No. S7-31-93. (Rels. 34-33232; IC-19887)

For further information regarding the proposed amendments contact Gregg W. Corso, Paula Dubberly, Brian L. Henry or Thomas D. Twedt at (202) 272-3097.

ANNOUNCEMENTS

CARREL APPLICATIONS NOW BEING ACCEPTED

Pursuant to the rules of the Public Reference Room, the January allocation of carrels and telephone privileges for recognized user organizations (information dissemination companies) are now being accepted. Application forms are available in the Public Reference Room. Information dissemination companies that are unable to pick up forms in Washington may submit applications by writing to Virginia Nabinett, Branch Chief, Public Reference, Securities and Exchange Commission, Room 1024, Mail Stop 1-2, 450

Fifth Street, N.W., Washington, D.C. 20549. Applications must include a brief description of the business; business address and telephone number; the name of a person who will serve as on-site contact in the Room and the names of all persons who work everyday in the Room; the number of employees assigned to research/sales of SEC filings, regardless of work location; and the number of carrels requested. Applicants may also submit a supplemental statement in support of their request for carrels/telephone privileges. The applications must be certified and signed by a responsible company official, and submitted by the close of business December 23, 1993. As in the past, assignment of carrels/telephone privileges is discretionary and applicants should not assume they will receive use of the full number of carrels for which they apply. The Commission intends to continue to reserve carrels for the general public. Revised carrel allocations will be effective January 10, 1994.

Questions concerning application procedures may be addressed to Virginia Nabinett, Branch Chief, at (202) 272-2816.

ENFORCEMENT PROCEEDINGS

PROCEEDINGS INSTITUTED AGAINST JOEL ZBAR

Public administrative proceedings have been instituted against Joel Zbar, the former Treasurer and current Chief Financial Officer of Del-Val Financial Corporation (Del-Val). The Order Instituting Proceedings alleges that Zbar violated or caused Del-Val's violations of Section 10(b) and 13(a) of the Securities Exchange Act of 1934 and Rules 10b-5, 12b-20, 13a-1 and 13a-13 thereunder. The Division of Enforcement alleges that beginning in October 1989, Del-Val and its manager, Kenbee Management, Inc., began suffering significant liquidity problems which continued through October 1990. The Division further alleges that Zbar, as Del-Val's treasurer and principal accounting officer, knew or was reckless in not knowing that Del-Val's public filings contained materially false and misleading statements concerning Del-Val's liquidity.

A hearing will be scheduled to determine whether the allegations are true and whether any remedial action is appropriate. (Rel. 34-33201)

NASD ACTION AGAINST REMBERT SUSTAINED

The Commission has sustained disciplinary action imposed by the NASD on William F. Rembert. During an almost two-year period, Rembert falsified records submitted to his employer relating to the purchase by 55 customers of tax sheltered annuities. Rembert's actions resulted in his employer paying him approximately \$24,500 in excessive commissions. The Commission also sustained the NASD's sanctions, including a censure, a bar from associating with any member of the NASD in any capacity and a fine of \$10,000. Rembert stipulated to all the facts which comprised the complaint in front of the NASD. (Rel. 34-33202)

ORDER OF PERMANENT INJUNCTION AND CIVIL PENALTIES ENTERED AGAINST AVEILING & BIENES, FRANK AVEILING AND MICHAEL BIENES

The Commission announced that the Honorable John E. Sprizzo, District Judge for the Southern District of New York, permanently enjoined, by consent, Avellino & Bienes (A&B), Frank Avellino (Avellino) and Michael Bienes (Bienes) from further violations of Sections 5(a) and 5(c) of the Securities Act of 1933 and Section 7 of the Investment Company Act of 1940. A&B agreed to pay a civil penalty of \$250,000, and Avellino and Bienes each agreed to pay civil penalties of \$50,000.

The Commission's complaint alleged that from at least 1962 to at least July 1992, the defendants sold unregistered securities to the public and from at least 1984 through November 1992, A&B, aided and abetted by Avellino and Bienes, operated as an unregistered investment company. The complaint further alleged that the defendants sold investors notes which paid interest rates ranging between 13.5% and 20%. A&B invested the investors' monies with a broker-dealer, who invested the money in the securities market. The complaint alleged that as of November 1992, A&B had approximately 3,200 investors and had raised in excess of \$441 million through the sale of its unregistered securities. [SEC v. Avellino & Bienes, Frank J. Avellino and Michael S. Bienes, 92 Civ. 8314, JES, SDNY] (LR-13880)

ORDER OF PERMANENT INJUNCTION AND CIVIL PENALTIES ENTERED AGAINST TELFRAN ASSOCIATES LTD., TELFRAN ASSOCIATES CORP., STEVEN MENDELOW AND EDWARD GLANTZ

The Commission announced that the Honorable John E. Sprizzo, District Judge for the Southern District of New York, permanently enjoined Telfran Associates Ltd. (Telfran Ltd.), Telfran Associates Corp. (Telfran Corp.), Steven Mendelow (Mendelow) and Edward Glantz (Glantz), by consent, from further violations of Sections 5(a) and 5(c) of the Securities Act of 1933 and Section 7 of the Investment Company Act of 1940. Telfran Ltd. agreed to pay a civil penalty of \$250,000, and Mendelow and Glantz each agreed to pay civil penalties of \$50,000.

The Commission's complaint alleged that from 1989 through November 1992, the defendants sold unregistered securities to the public and operated as an unregistered investment company. The complaint further alleged that the defendants sold investors notes which paid an interest rate of approximately 15%. The complaint alleged that the defendants used investors' monies to purchase notes from Avellino & Bienes, who invested the money with a broker-dealer. The complaint alleged that as of November 16, 1992, Telfran Ltd. had more than 800 investors and had raised in excess of \$88 million through the sale of its unregistered securities. [SEC v. Telfran Associates Ltd., Telfran Associates Corp., Steven Mendelow and Edward Glantz, 92 Civ. 8564, JES, SDNY] (LR-13881)

INVESTMENT COMPANY ACT RELEASES

BOSTON CAPITAL TAX CREDIT FUND IV. ET AL.

A notice has been issued giving interested persons until December 14, 1993 to request a hearing on an application filed by Boston Capital Tax Credit Fund IV, a limited partnership (Partnership), and Boston Capital Associates IV L.P., the general partner

of the Partnership (General Partner), for an order under Section 6(c) of the Investment Company Act that would exempt the Partnership from all provisions of the Act. The order would permit the Partnership to invest in limited partnerships that engage in the ownership and operation of housing for low and moderate income persons. (Rel. IC-19888 - November 19)

HOLDING COMPANY ACT RELEASES

THE COLUMBIA GAS SYSTEM INC., ET AL.

An order has been issued authorizing a proposal by The Columbia Gas System, Inc. (Columbia), a registered holding company, and its wholly owned nonutility subsidiary company, TriStar Ventures Corporation (TVC), for Columbia to recapitalize TVC by making a capital contribution to TVC of up to \$31 million. Installment promissory notes previously issued by TVC to Columbia will be cancelled. Jurisdiction has been reserved over a proposal to provide additional financing, through December 31, 1994, of up to \$12 million to TVC for administrative operations and preliminary development activities related to qualifying cogeneration facilities and exempt wholesale generators and foreign utility companies. (Rel. 35-25927)

WEST TEXAS UTILITIES COMPANY

An order has been issued authorizing West Texas Utilities (WTU), an electric publicutility subsidiary company of Central and South West Corporation, a registered holding company, to engage in financing. WTU proposes to issue and sell up to an aggregate principal amount of \$45 million of first mortgage bonds (Bonds), in one or more series, from time to time through December 31, 1996. The proceeds from the sale of the Bonds will be used to redeem all or a portion of previously issued and outstanding first mortgage bonds. (Rel. 35-25928)

NEW ENGLAND ENERGY INCORPORATED

A notice has been issued giving interested persons until December 13, 1993 to request a hearing on a proposal by New England Energy Incorporated (NEEI), an electric publicutility subsidiary company of New England Electric System (NEES), a registered holding company. NEEI proposes to invest up to \$15 million, through December 31, 1994, in its partnership (Partnership) with Samedan Oil Corporation, an unaffiliated company, for developing existing oil and gas prospects belonging to the Partnership. (Rel. 35-25929)

CSW CREDIT, INC., ET AL.

A notice has been issued giving interested persons until December 13, 1993 to request a hearing on a proposal by CSW Credit, Inc. (CSW Credit), a non-utility subsidiary of Central and South West Corporation, a registered holding company. CSW Credit proposes to extend, through December 31, 1994, its existing authorization to borrow funds and for CSW to make equity investments in CSW Credit to finance the factoring of receivables of associate and non-affiliated electric utility companies. (Rel. 35-25929)

NATIONAL FUEL GAS COMPANY, ET AL.

A notice has been issued giving interested persons until December 13, 1993 to request a hearing on a proposal by National Fuel Gas Company (NFG), a registered public utility holding company, and Utility Constructors, Inc. (UCI), a wholly-owned non-utility subsidiary of NFG. NFG proposes, pursuant to the authority of the Gas Related Activities Act of 1992, to retain its interest in UCI absent the requirement, as imposed by order dated May 1, 1987 (HCAR No. 24381), that during each three-year period following NFG's acquisition of UCI, the total revenues received by UCI from non-associate companies be less than the total revenues received by UCI during the same period from associate companies. (Rel. 35-25929)

GENERAL PUBIC UTILITIES CORP., ET AL.

A notice has been issued giving interested persons until December 13, 1993 to request a hearing on a proposal by General Public Utilities Corporation (GPU), a registered holding company, General Portfolios Corporation (GPC), a wholly owned nonutility subsidiary of GPU, and Energy Initiatives, Inc., a wholly owned nonutility subsidiary of GPC, to engage in preliminary development and administrative activities in connection with investment in "foreign utility companies," as defined under Section 33 of the Public Utility Holding Company Act of 1935; make guarantees and enter into reimbursement agreements under letters of credit; issue and sell short-term notes to banks and other financial institutions up to an aggregate principal amount at any time outstanding of \$10 million; acquire for \$1,000 all of the capital stock of a new Delaware subsidiary company that would provide operations and maintenance services to affiliated and nonaffiliated projects. (Rel. 35-25929)

CONSOLIDATED NATURAL GAS COMPANY, ET AL.

A notice has been issued giving interested persons until December 13, 1993 to request a hearing on a proposal by Consolidated Natural Gas Company (CNG), a registered holding company, CNG Energy Company (CNG Energy), a wholly owned non-utility subsidiary company of CNG and CNG Technologies, Inc. (CNGT), a wholly owned non-utility subsidiary company of CNG Energy]. CNG Energy and CNGT seek an extension of authorization through December 31, 1995 to acquire, for \$2 million dollars, a limited partnership interest in EnerTek Partners, L.P (Enertek), a gas industry new technologies investment fund. CNG and CNGT each additionally seek an extension of authorization through December 31, 1995 to engage in equity and/or debt financing with its parent to fund the investment in Enertek. (Rel. 35-25929)

GEORGIA POWER COMPANY

A notice has been issued giving interested persons until December 13, 1993 to comment on a proposal by Georgia Power Company (Georgia Power), an electric public-utility subsidiary of The Southern Company, a registered holding company. Georgia Power proposes to invest up to \$5 million to acquire all of the limited partnership units of ATV/GP Parallel Fund, Limited Partnership, a Delaware limited partnership (Partnership) to be formed for the purpose of making venture capital investments in high-technology companies located in Georgia Power's service territory. The Partnership will operate in tandem with the Alliance Technology Ventures, Limited Partnership (Ventures), also a Delaware limited partnership, and at least one other parallel partnership. (Rel. 35-25929)

MONONGAHELA POWER COMPANY, ET AL.

A notice has been issued giving interested persons until December 13, 1993 to request a hearing on a proposal by Monongahela Power Company (Monongahela), The Potomac Edison Company (Potomac Edison) and West Penn Power Company (West Penn) (collectively, Declarants), each an electric public-utility subsidiary company of Allegheny Power System, Inc., a registered holding company. Declarants propose to enter into a \$300 million Competitive Advance and Revolving Credit Facility Agreement (Agreement) for borrowings thereunder through December 31, 1997. In addition, Declarants propose to secure the Agreement with their respective shares of stock in Allegheny Generating Company, an electric utility subsidiary company jointly owned by Declarants. (Rel. 35-25929)

ENTERGY CORPORATION

A notice has been issued giving interested persons until December 13, 1993 to request a hearing on a proposal by Entergy Corporation, a registered holding company, to issue and sell from time to time, through March 31, 1997, up to 2 million shares of its common stock to the participants in a Stock Investment Plan. (Rel. 35-25929)

SELF-REGULATORY ORGANIZATIONS

PROPOSED RULE CHANGE

The <u>American Stock Exchange</u> filed a proposed rule change (SR-AMEX-93-38) to list for trading warrants based on interest rates. Publication of the proposal is expected in the <u>Federal Register</u> during the week of November 22. (Rel. 34-33226)

SECURITIES ACT REGISTRATIONS

- S-8 SOUTHERN NEW ENGLAND TELECOMMUNICATIONS CORP, 227 CHURCH ST, NEW HAVEN, CT 06510 (203) 771-5200 150,000 (\$5,250,000) COMMON STOCK. (FILE 33-51055 NOV. 16) (BR. 7)
- S-11 GREEN TREE FINANCIAL CORP, 1100 LANDMARK TOWERS, 345 ST PETER ST, SAINT PAUL, MN 55102 (612) 293-3400 1,000,000 (\$1,000,000) EQUIPMENT TRUST CERTIFICATES. (FILE 33-51057 NOV. 16) (BR. 12)
- S-3 SANTA FE ENERGY RESOURCES INC, 1616 S VOSS RD STE 1000, HOUSTON, TX 77057 (713) 783-2401 575,000 (\$12,685,937) COMMON SHARES OF BENEFICIAL INTEREST. (FILE 33-51059 NOV. 16) (BR. 3)
- N-1A DREYFUS GROWTH & VALUE FUND INC, THE DREYFUS CORPORATION,
 200 PARK AVENUE 8TH FLOOR WEST, NEW YORK, NY 10166 (212) 922-6754 INDEFINITE SHARES. (FILE 33-51061 NOV. 16) (BR. 22)

- S-8 MEWELL CO, 29 E STEPWENSON ST, NEWELL CTR, FREEPORT, IL 61032 (815) 235-4171 - 20,331 (\$794,179.69) COMMON STOCK. (FILE 33-51063 - NOV. 16) (NR. 9)
- S-3 FIRST MARYLAND BANCORP, FIRST MARYLAND BLDG, 25 S CHARLES ST, BALTIMORE, ND 21201 (301) 244-4000 6,900,000 (\$172,500,000) PREFERRED STOCK. (FILE 33-51065 NOV. 16) (BR. 13)
- SB-2 9 STEAKS INC, 4021 VERNON AVE S, ST LOUIS PARK, NN 55416 (612) 929-9353 1,380,000 (\$8,970,000) COMMON STOCK. (FILE 33-71176-C MOV. 02) (BR. 11)
- N-1A PROTECTIVE INVESTMENT CO, 2801 NIGHAAY 280 SOUTH, BIRMINGHAN, AL 35223 (800) 866-3555 INDEFINITE SHARES. (FILE 33-71592 NOV. 12) (BR. 17 NEW ISSUE)
- S-8 NUNTCO INC, 14323 SOUTH OUTER FORTY, STE 600 N, CHESTERFIELD, NO 63017 (314) 878-0155 10,520 (\$185,046.80) COMMON STOCK. (FILE 33-71610 MOV. 15) (BR. 6)
- S-8 COMMUNICATION INTELLIGENCE CORP, 275 SHORELINE DR 6TH FLOOR, REDWOOD SHORES, CA 94065 (415) 802-7888 1,300,000 (\$4,313,200) COMMON STOCK. (FILE 33-71614 NOV. 15) (BR. 9)
- F-6 SEMETEK PLC /EMG/, 6 TME PIMES COURT, STE D, ST LOUIS, NO 63141 (314) 542-2202 40,000,000 (\$2,000,000)
 DEPOSITARY RECEIPTS FOR COMMON STOCK. (FILE 33-71618 NOV. 15) (NR. 4)
- S-8 3DO CO, 600 GALVESTON DRIVE, REDWOOD CITY, CA 94063 (415) 261-3000 3,169,833 (\$38,665,179.15) COMMON STOCK. (FILE 33-71620 NOV. 15) (BR. 11)
- S-8 FINANCIAL BENEFIT GROUP INC /DE/, 7251 W PALMETTO PARK ND, BOCA RATON, FL 33433 (407) 394-9400 1,930,279 (\$7,925,761.38) COMMON STOCK. (FILE 33-71622 NOV. 15) (BR. 9)
- F-6 NONG KONG & CHINA GAS CO LTD/ADR/, 111 WALL ST, C/O CITIBANK NA, NEW YORK, NY 10043 (212) 657-7691 10,000,000 (\$500,000) DEPOSITARY RECEIPTS FOR COMMON STOCK. (FILE 33-71624 NOV. 15) (NEW ISSUE)
- S-8 ETHICAL HOLDINGS PLC, CORPUS CHRISTIE HOUSE 9 WEST ST, GODNANCHESTER PE 18 8HG, NUNTINGDON CAMBS ENGLAND, XO 540,000 (\$2,349,000) COMMON STOCK. (FILE 33-71626 NOV. 15) (BR. 4)
- F-6 KAO CORP, 16 WALL ST, C/O BANKERS TRUST CD, NEW YORK, NY 10015 (212) 250-2500 10,000,000 (\$500,000)
 DEPOSITARY RECEIPTS FOR COMMON STOCK. (FILE 33-71628 NOV. 15) (BR. 0)
- S-8 BISYS GROUP INC, 150 CLOVE ROAD, LITTLE FALLS, NJ 07424 (201) 812-8600 - 80,000 (\$1,422,000) COMMON STOCK. (FILE 33-71632 - NOV. 15) (NR. 9)
- S-8 RADIUS INC, 1710 FORTUNE DR, SAN JOSE, CA 95131 (408) 434-1010 1,150,000 (\$4,940,500) COMMON STOCK. (FILE 33-71636 NOV. 15) (NR. 10)
- S-8 PHILLIPS & JACOBS INC, 15 TWINDRIDGE DR, PENNSAUKEN, NJ 08110 (609) 488-7200 400,000 (\$4,700,000) COMMON STOCK. (FILE 33-71638 NOV. 15) (BR. 9)

- S-8 MBNA CORP, 400 CHRISTIANA ROAD, C/O NBNA AMERICA BANK NA, NEMARK, DE 19713 (302) 453-9930 3,000,000 (\$96,375,000) COMMON STOCK. (FILE 33-71640 MOV. 15) (BR. 11)
- S-3 INTERTRANS CORP, 125 E JOHN CARPENTER FRMY STE 900, IRVING, TX 75062 (214) 830-8888 102,666 (\$1,212,742.12) COMMON STOCK. (FILE 33-71642 MOV. 15) (NR. 4)
- S-8 INTERTRANS CORP, 125 E JOHN CARPENTER FRLY STE 900, IRVING, TX 75062 (214) 830-8888 1,700,000 (\$20,081,250) COMMON STOCK. (FILE 33-71644 NOV. 15) (BR. 4)
- N-2 SECTOR ALLOCATION INCOME FUND INC, 1285 AVE OF THE AMERICAS, NEW YORK, NY 10019 (212) 713-2000 4,500,000 (\$69,000,000) COMMON STOCK.

 UNDERWRITER: PAINEMENDER INC. (FILE 33-71656 NOV. 12) (DR. 16 NEW ISSUE)
- F-6 GRUPO FINANCIERO BANCOMER SA DE CV, 60 WALL ST,
 C/O MORGAN GLIARANTY TRUST CO OF NY, NEW YORK, NY 10260 (212) 648-3200 20,000,000 (\$1,000,000) DEPOSITARY RECEIPTS FOR COMMON STOCK. (FILE
 33-71674 NOV. 15) (BR. 99 NEW ISSUE)
- S-8 KURZWEIL APPLIED INTELLIGENCE INC /DE/, 411 WAVERLEY CAKS BOAD, WALTMAN, NA 02154 (617) 893-5151 1,008,975 (\$18,287,671.88) COMMON STOCK. (FILE 33-71680 NOV. 15) (BR. 10)
- S-8 TRANSCON INC, 2029 CENTURY PARK EAST STE 3150, LOS ANGELES, CA 90067 (310) 553-1300 - 570,000 (\$410,400) COMMON STOCK. (FILE 33-71682 -NOV. 15) (BR. 4)
- S-8 CARSON PIRIE SCOTT & CO /IL/, 331 W WISCONSIN AVE, NILWAUREE, WI 53203 (414) 347-4141 1,276,596 (\$15,000,003) COMMON STOCK. (FILE 33-71684 NOV. 15) (BR. 2)
- S-3 DINECO INC, 820-822 CHURCH ST, HOMESDALE, PA 18431 (717) 253-1970 200,000 (\$2,250,000) COMMON STOCK. (FILE 33-71692 NOV. 15) (BR. 1)
- S-3 KING WORLD PRODUCTIONS INC, 1700 BROADMAY, NEW YORK, NY 10019 (212) 315-4000 450,000 (\$16,931,250) COMMON STOCK. (FILE 33-71696 NOV. 15) (BR. 11)
- S-3 MATIONAL PATENT DEVELOPMENT CORP, 9 W 57TH ST, SUITE 4170, NEW YORK, NY 10019 (212) 230-9500 1,724,176 (\$8,836,402) COMMON STOCK. (FILE 33-71698 NOV. 15) (BR. 5)
- S-8 PIZZA INN INC /NO/, 5050 QUORUM DR STE 500, DALLAS, TX 75240 (214) 701-9955 3,200,000 (\$13,312,000) COMMON STOCK. (FILE 33-71700 NOV. 15) (BR. 12)

RECENT 8K FILINGS

Form 8-K is used by companies to file current reports on the following events:

- Item 1. Changes in Control of Registrant.
- Item 2. Acquisition or Disposition of Assets.
- Item 3. Bankruptcy or Receivership.
- Item 4. Changes in Registrant's Certifying Accountant.
- Item 5. Other Materially Important Events.
- Item 6. Resignations of Registrant's Directors.
- Item 7. Financial Statements and Exhibits.
- Item 8. Change in Fiscal Year.

The companies listed below have filed 8-K reports for the date indicated and/or amendments to 8-K reports previously filed, responding to the item(s) of the form specified. Copies of the reports may be purchased from the Commission's Public Reference Room (when ordering, please give the date of the report). An invoice will be included with the requested material when mailed.

	STATE	8K ITEM NO.	
NAME OF ISSUER	CODE	12345678	DATE COPPLENT

ADVANCED MATERIALS GROUP INC	W	NO ITEMS	10/06/93AIEND
ADVANTA CORP	DE	X	11/17/93
AMERICAN MEDCARE CORP	DE	NO ITEMS	10/29/93
AMERICAN TELEVISION & COMMUNICATIONS COR		X X X X	10/13/93 11/17/93
AMETEK INC ATEL CASH DISTRIBUTION FUND V L P	DE CA	x	06/28/93
ALDIOTRONICS CORP	DE	^	11/09/93
AVOD FINANCIAL SERVICES INC	DE	x ^ ^	11/12/93
BANK OF NEW ENGLAND CORP	MA	MO ITEMS	12/31/92
BAROID CORPORATION /DE	DE	X X	11/09/93
BAXTER INTERNATIONAL INC	DE	Ŷ ^	11/16/93
RELL SPORTS CORP	DE	NO ITEMS	10/26/93
BERGEN BRUNSVIG CORP	NJ.	X	11/05/93
BIOCONTROL TECHNOLOGY INC	PA	NO ITEMS	11/09/93
BMC WEST CORP	DE	X X	11/01/93
BONED NEDICAL NAMUFACTURING LTD	CA	NO ITEMS	11/05/93
BORA CAPITAL INC	CA	X X	09/03/93
BOSTON PACIFIC NEDICAL INC	MA	NO ITEMS	11/10/93
BRUSH CREEK MINING & DEVELOPMENT CO INC	W	NO ITEMS	11/10/93
CABOT OIL & GAS CORP	DE	NO ITEMS	09/30/93
CAIRE INC	DE	X	10/15/93
CAMANDATIGUA WINE CO INC	DE	X	10/15/93AHEND
CAPITAL CABLEVISION SYSTEMS INC	NY	x x	10/13/93
CAPITAL GAMING INTERNATIONAL INC /NJ/		MO ITEMS	10/22/93
CARDINAL BANCSHARES INC	KY	NO ITEMS	10/29/93
CARDINAL BANCSHARES INC	KY	NO ITEMS	11/11/93
CARDIOTRONICS SYSTEMS INC	∞	NO ITEMS	10/21/93
CARLYLE REAL ESTATE LTD PARTNERSHIP XIV	IL	NO ITEMS	08/30/93
CAROLINA FIRST CORP	SC	NO ITEMS	11/09/93
CELESTIAL VENTURES CORP	WV	NO ITEMS	11/15/93
CENTRAL HOLDING CO	MI	NO ITEMS	10/26/93
CENTRAL LIFE ASSURANCE CO	IA	NO ITEMS	11/01/93
CHANCELLOR CORP	MA	NO ITEMS	11/16/93
CHESHIRE FINANCIAL CORP	166	NO ITEMS	09/14/93AMEND
COLUMBIA GAS SYSTEM INC	DE	X	11/18/93

MANE OF TOOLED	CODE	8K ITEN NO.	DATE COMMENT
NAME OF ISSUER	CODE	12343070	DATE COMPERT
COLUMBIA LEASE INCOME FUND A LP	DE	NO ITEMS	11/09/93
COLUMBIA LEASE INCOME FUND A LP	DE	NO ITEMS	11/09/93
COLUMBIA LEASE INCOME FUND II-A LP	DE	NO ITEMS	11/09/93
COLUMBIA LEASE INCOME FUND II-A LP	DE	X	11/09/93
COLUMBIA LEASE INCOME FUND II-A LP	DE	NO ITEMS	11/09/93
COMMONNEALTH EQUITY TRUST	CA	x x	11/03/93AMEND
COOPER DEVELOPMENT CO	DE	NO ITEMS	10/15/93
CREATIVE RESOURCES INC	W	x x	09/01/93
CROFF OIL CO	UT	x x	11/12/93
CS FIRST BOSTON MORTGAGE SECURITIES CORP	DE	NO ITEMS	10/25/93
CS FIRST BOSTON MORTGAGE SECURITIES CORP	DE	x x	10/26/93
CSA INCOME FUND IV LIMITED PARTMERSHIP	MA	NO ITEMS	11/12/93
CTA INCORPORATED	œ	NO ITEMS	08/31/93AMEND
CULP INC	MC	X X	11/01/93
CLIPIES INC	DE	X X	10/01/93
CLIMBS INC MORT PASS THRO CERT SER 1993-B		X X	10/01/93
DEL PAINT CORP	OK	NO ITEMS	10/27/93
DELTA AIR LINES INC /DE/	DE	X X	10/28/93
DESTRON IDI INC		NO ITEMS	11/12/93
DLJ MORTGAGE ACCEPTANCE CORP	DE	X X	10/22/93
ECI ENVIRONMENTAL INC	W	NO ITEMS	11/01/93
ECO2 INC	DE	X X	09/30/93AMEND
EDGEMARK FINANCIAL CORP	DE	X	11/12/93
FINANCIAL CORP OF SANTA BARBARA	DE	X X	11/12/93
FINET INC /NY	MY	X	09/09/93AMEND
FIRST DEPOSIT NATIONAL BANK		X	11/15/93
FIRST INTERSTATE BANCORP /DE/	DE	X X	11/18/93
FIRSTFED BANCSHARES INC	DE	X X	11/08/93
FLOW INTERNATIONAL CORP	DE	NO ITEMS	11/15/93
FONE AMERICA INC	W	X	03/15/93
FRANKLIN JOE PRODUCTIONS INC	W	X X	10/29/93
FREMONT FUNDING INC	DE	NO ITEMS	11/09/93
GE CAPITAL PUBLIC FINANCE INC	MY	NO ITEMS	10/15/93
GEOFF 1 TAX EXEMPT GRANTOR TRUST SERIES	WY	X X	10/15/93
GEOFF 1 TAX EXEMPT GRANTOR TRUST SERIES	MY	X X	10/15/93
GEORF 2 TAX EXEMPT GRANTOR TRUSTS SERIES	MY	NO ITEMS	10/25/93
GEOFF 2 TAX EXEMPT GRANTOR TRUSTS SERIES	MY	NO ITEMS	10/25/93
GECPF 2 TAX EXEMPT GRANTOR THUSTS SERIES GECPF 2 TAX EXEMPT GRANTOR THUSTS SERIES	MY MY	NO ITEMS	10/25/93
GEOFF 3 TAX EXCEPT GRANTOR TRUSTS SERIES	MY	NO ITEMS	10/25/93 10/15/93
GECPF 3 TAX EXEMPT GRANTOR TRUSTS SERIES	MY	NO ITEMS	10/15/93
GENERAL AUTOMATION INC	DE	X X	11/12/93
GENESEE CORP	NY	x ~ ~	11/07/93
GEON CO	DE	^ x	11/10/93
GEOTEK INDUSTRIES INC	DE	хх	11/01/93
GULFSIDE INDUSTRIES LTD	•	NO ITEMS	11/01/93
HANGER ORTHOPEDIC GROUP INC	DE	X	09/01/93
HEICO CORP	FL	NO ITEMS	11/02/93
HUNTINGTON BANCSHARES INC/ND	ND	X X	11/12/93
IMMUCELL CORP /DE/	DE	x x	11/04/93
INDUSTRIAL TRAINING CORP	MD	X X	08/31/93AMEND
INNOVEX INC	100	X X	11/01/93
		· •	

	STATE	SK ITEM NO.	
MAME OF ISSUER	CODE	12345678	DATE COMMENT
JG INDUSTRIES INC/IL/	IL	X	10/29/93
KENTUCKY CONMUNITY BANCORP INC	KY	NO ITEMS	11/09/93
LANCER INDUSTRIES INC /DE/	DE	X	11/01/93
LANDER ENERGY CO	œ	NO ITEMS	11/10/93
LAWTER INTERNATIONAL INC	DE	NO ITEMS	11/15/93
LEHMAN PASS THROUGH SECURITIES INC	DE	NO ITEMS	08/25/93
MACNEAL SCHAFENDLER CORP	CA	X	11/17/93
NAGNA COPPER CO	DE	X X	11/17/93
HAGNETEK INC	DE	X X	10/27/93
MANTECH INTERNATIONAL CORP	MT	NO ITEMS	08/31/93ANEND
MARINER HEALTH GROUP INC	DE	X	10/12/93 AVEND
MBNA AMERICA BA NATIONAL ASSOC DOVER CRE		X	11/12/93
MBNA AMERICA BANK NAT ASSO MBNA MAST CRE		X	11/12/93
MBNA AMERICA BK NATIONAL ASSO MBNA CREDI		X	11/12/93
MBNA AMERICA BK NATIONAL ASSO MBNA CREDI		X	11/12/93
MBNA AMERICA BK NATIONAL ASSO MBNA MAS C		X	11/12/93
MBNA AMERICA BK NATIONAL ASSO MBNA MAS C		X	11/12/93
NENA AMERICA BK NATIONAL ASSOC MBNA CRED		X	11/12/93
MBNA AMERICA BK NATIONAL ASSOC MBNA CRED	DE	X	11/12/93
HBNA AMERICA BK NATL ASSOC HBNA NASTER C		X X	06/14/93
MBNA AMERICA BK NATL ASSOC MBNA NASTER C		X	11/12/93
MBNA AMERICA BK NATL ASSOC MBNA MASTER C MBNA AMERICA BK NATL ASSOC MBNA MASTER C		X No ITEMS	11/12/93
	_	NO ITEMS	11/12/93
MBMA CORP MCCORNICK & CO INC	10	X X	10/31/93 11/18/93
MEDALIST INDUSTRIES INC	WI	* x x	11/17/93
NEDICAL MARKETING GROUP INC	DE A1	x x	11/17/93
NELLON BANK CORP	PA	x x	11/16/93
MEMPHIS CATY INC	TN	хх	10/13/93
NICROCARB INC	DE	X	11/16/93AMEND
NONOREX INC	DE	_ x	11/09/93
MORTGAGE CAPITAL FUNDING INC MORT PASS T		x x	10/25/93
NYLAN LABORATORIES INC	PA	X	11/06/93
NATIONAL PROPERTIES INVESTMENT TRUST	MA	X X	07/23/93
NEWELL CO	DE	x x	09/30/93
NMR OF AMERICA INC	DE	NO ITEMS	10/22/93
NOBILITY NOMES INC	FL	x x	10/30/93
NORTH AMERICAN MATCH CORP	NY	NO ITEMS	11/09/93
NUCORP ENERGY INC/OH/	OM	X X	11/15/93
OLYMPIC FINANCIAL LTD	100	X X	11/15/93
OMNI USA INC	WV	X	11/03/93
OPPENHEIMER INDUSTRIES INC	DE	NO ITEMS	08/01/93
PACER TECHNOLOGY	CA	NO ITEMS	10/15/93
PACIFIC GAS & ELECTRIC CO	CA	X	11/17/93
PANTHER MOUNTAIN WATER PARK INC /DE/	DE	NO ITEMS	11/15/93
PARKER & PARSLEY PETROLEUM CO	DE	X X	07/02/93
PEOPLES CARLE CORP	MA	X X	10/13/93
PERSEPTIVE BIOSYSTEMS INC	DE	X X	10/08/93AMEND
PERSEPTIVE BIOSYSTEMS INC	DE	X vo. 1777	10/15/93AMEND
PHOTEES INC/FL	FL	NO ITEMS	11/15/93 11/10/93
POINT BANCORP INC	DE	NO ITEMS	
PRUDENTIAL BANK & TRUST CO	~-	NO ITEMS	11/15/93 10/31/93
QUALITY RESORTS OF AMERICA INC	CA	NO ITEMS	10/31/73

	STATE	ak ITEN NO.			
NAME OF ISSUER	CODE	123456		DATE CONNENT	
RESOLUTION TRUST CORP		NO ITEMS		10/25/93	
RESOLUTION TRUST CORP		NO ITEMS		10/25/93	
RESOURCE FINANCE GROUP LTD	MI	x	X	09/20/93AMEND	
RICHTON INTERNATIONAL CORP	DE	x	X	10/27/93	
ROCHEN ENVIRONMENTAL INC	UT	x	X	11/05/93AMEND	
RYLAND MORTGAGE SECURITIES CORP SERIES 1	VA	X	X	10/30/93	
SAGA COMMUNICATIONS INC	DE	X	X	11/01/93	
SALVATORI OPHTHALMICS INC	FL	NO ITEMS		11/03/93	
SECURITY PACIFIC HOME EQUITY TRUST 1991-	CA		X	11/05/93	
SHAMMUT NATIONAL CORP	DE	X	X	11/16/93	
SIFTA HILMAUKEE CABLE CORP	DE	X	X	10/13/93	
SINCLARE GROUP INC	MY	x x x	X	10/26/93	
SIZELER PROPERTY INVESTORS INC	DE		X	08/31/93AMEND	
SOLAR FINANCIAL SERVICES INC	MI	X	X	11/06/93	
SOUND ADVICE INC	FL	X	X	11/12/93	
STERLING SOFTWARE INC	DE	X	X	11/15/93	
STRIKER INDUSTRIES INC	DE	NO ITEMS		11/15/93	
STRUCTURED ASSET SECURITIES CORP TRUST I	DE	x	X	09/20/93	
STRUCTURED ASSET SECURITIES CORPORATION	DE	NO ITEMS		10/25/93	
STRUCTURED ASSET SECURITIES CORPORATION	DE	x	X	10/25/93	
STRUCTURED MORTGAGE ASSET RES TRUST SER	DE	×	X	10/25/93	
SUBMICRON SYSTEMS CORP	DE		X	08/31/93AMEND	
SUN HEALTHCARE GROUP INC	DE	X	X	11/01/93	
T SF COMMUNICATIONS CORP	DE	x x	X	11/01/93	
TA NILWAUKEE CABLE CO INC	DE	x	X	10/13/93	
TELEPHONE & DATA SYSTEMS INC	IA	x	X	11/16/93	
TEXOIL INC /NV/	WV	NO ITEMS		11/09/93	
TIME WARMER ENTERTAINMENT CO L P	DE	X	X	10/13/93	
TIME WARNER OPERATIONS INC		X	X	10/13/93	
TOP SOURCE INC	DE	X	X	07/16/93	
TRIBUNE SMAB FOR COMPANIES INC	DE	NO ITEMS		11/01/93	
UNDERWRITERS FINANCIAL GROUP INC	œ	NO ITEMS		11/12/93AMEND	
UNITED STATES CELLULAR CORP	DE	×	X	11/17/93	
VOS ENTERPRISES INC	FL	NO ITEMS		09/27/93	
VITAFORT INTERNATIONAL CORP	DE	X	X	08/07/93	
VTX ELECTRONICS CORP	DE	X	X	11/04/93	
WARNER CABLE CONTUNICATIONS CO	NY	X	X	10/13/93	
WARNER CABLE COMMUNICATIONS INC	DE	x	X	10/13/93	
WARNER CABLE OF NEW YORK INC	DE	x	X	10/13/93	
WARNER COMMUNICATIONS INC	DE	x	X	10/13/93	
WORTH CORP	DE	X	X	10/31/93	
ZIONS BANCORPORATION /UT/	UT	X		10/18/93	