sec news digest

Issue 93-214

*

November 5, 1993

CIVIL PROCEEDINGS

COMPLAINT FILED AGAINST BILTMORE SECURITIES, INC. AND OTHERS

The Commission announced that on October 4, 1993 a complaint was filed in the Southern District of Florida seeking a temporary restraining order, preliminary and permanent injunctions and other relief against Biltmore Securities, Inc., a registered broker dealer and others. The Commission's complaint alleges that from February 1992 through the present, the defendants engaged in a scheme to defraud investors.

On October 6, 1993, the Honorable Judge Jose A. Gonzalez entered a temporary restraining order, by consent, which enjoins the defendants from participating in the distribution and/or underwriting of any initial public offerings (IPOs) of securities for a period of 90 days, an order expediting discovery and an order preventing the destruction of documents.

An evidentiary hearing on the Commission's motion for a preliminary injunction was held on October 14, 1993. The Court, considering the testimony and argument from counsel presented at the hearing and the fact that the defendants voluntarily consented not to participate in IPOs for 90 days from October 6, 1993, denied the Commission's motion for a preliminary injunction and further ordered that defendants would remain enjoined from participating in IPOs for 90 days. [SEC v. Biltmore Securities, Inc. et al., Civil Action No. 93-6837-CIV-GONZALEZ, S.D. Fla.] (LR-13860)

COMPLAINT FILED AGAINST CARMEN ELIO AND FANEUIL HALL SECURITIES, INC.

The Commission announced that on November 4 it filed a complaint with the United States District Court for the District of Massachusetts against Carmen W. Elio, a securities broker in Boston, Massachusetts, and Faneuil Hall Securities, Inc. (Faneuil Hall Securities), a registered broker-dealer and investment adviser controlled by Elio. The complaint alleges that between 1982 and 1991, Elio and Faneuil Hall Securities defrauded their clients by recommending certain securities and investment advisory services without disclosing that Elio received fees to solicit investments in those products and services. The complaint also alleges that Elio unlawfully failed to register with the Commission as an investment adviser, and that Faneuil Hall Securities failed to disclose its compensation arrangements in disclosure forms filed with the Commission and provided to clients.

The complaint seeks permanent injunctions, disgorgement of wrongfully obtained compensation and civil penalties from Elio and Faneuil Hall Securities. [SEC $_{\rm V}$ Carmen W. Elio and Faneuil Hall Securities, Inc., Civil Action No. 93-12401-Y, D. Mass.] (LR-13859)

INVESTMENT COMPANY ACT RELEASES

MUTUAL FUND GROUP, ET AL.

A conditional order has been issued under Section 6(c) of the Investment Company Act amending a prior order exempting Mutual Fund Group, et al. from Sections 18(f), 18(g), and 18(i) of the Act permitting the issuance of two classes of shares representing interests in the same investment portfolio. As amended, the order also exempts applicants from the provisions of Sections 2(a)(32), 2(a)(35), 22(c), and 22(d) of the Act and Rule 22c-1 thereunder. The amended order permits applicants to issue multiple classes of shares representing interests in the same investment portfolio, assess a contingent deferred sales charge (CDSC) on certain redemptions of the shares, and waive or reduce the CDSC in certain instances. (Rel. IC-19833 - November 3)

WEITZ PARTNERS, INC., ET AL.

A notice has been issued giving interested persons until November 29 to request a hearing on an application filed by Weitz Partners, Inc., et al., for an order under Section 17(b) of the Investment Company Act exempting applicants from Section 17(a). The order would permit the exchange of shares of Partners Value Fund for portfolio securities of the Partnership, after which the Partnership will dissolve and distribute the shares it received in the exchange <u>pro rata</u> to its partners. (Rel. IC-19834 - November 4)

LUTHERAN BROTHERHOOD, ET AL.

An order has been issued on an application filed by Lutheran Brotherhood, LB Variable Annuity Account I (Variable Account) and Lutheran Brotherhood Securities Corp. that grants exemptions from Sections 26(a)(2)(C) and 27(c)(2) of the Investment Company Act so that Applicants may assess a mortality and expense risk charge against the assets of the Variable Account, which funds certain variable annuity contracts. (Rel. IC-19835 - November 4)

EMERALD FUNDS, ET AL.

A notice has been issued giving interested persons until November 29 to request a hearing on an application filed by the Emerald Funds, et al. for a conditional order under Section 6(c) of the Investment Company Act that would exempt applicants from Sections 2(a)(32), 2(a)(35), 18(f), 18(g), 18(i), and 22(d) of the Act and Rule 22c-1 thereunder. Applicants seek an amendment to a prior order to permit applicants to offer an unlimited number of classes of shares in the same portfolio. In addition, the amendment would permit the imposition and waiver of a contingent deferred sales charge on redemptions of shares of certain of the applicants' portfolios, and the implementation of certain conversion features. (Rel. IC-19836 - November 4)

LISTING, DELISTING AND UNLISTED TRADING ACTIONS

TINLISTED TRADING PRIVILEGES AND WITHDRAWAL SOUGHT

A notice has been issued giving interested persons until November 24 to comment on the application of the <u>Chicago Stock Exchange</u> for unlisted trading privileges in three over-the-counter issues and an application to withdraw unlisted trading privileges in three over-the-counter issues. (Rel. 34-33140)

UNILISTED TRADING PRIVILEGES SOUGHT

Notices have been issued giving interested persons until November 26 to comment on the applications of two exchanges for unlisted trading privileges in issues which are listed and registered on one or more other national securities exchange and are reported in the consolidated transaction reporting system. The exchanges and number of issues are: Chicago Stock Exchange - 8 issues (Rel. 34-33148); and Philadelphia Stock Exchange -11 issues (Rel. 34-33149).

UNLISTED TRADING PRIVILEGES GRANTED

Orders have been issued granting the applications of five exchanges for unlisted trading privileges in issues which are listed and registered on one or more other national securities exchange and are reported in the consolidated transaction reporting system. The exchanges and number of issues are: Boston Stock Exchange - 10 issues (Rel. 34-33150); Chicago Stock Exchange - 14 issues (Rel. 34-33151); Philadelphia Stock Exchange - 11 issues (Rel. 34-33152); Pacific Stock Exchange - 2 issues (Rel. 34-33153); and Cincinnati Stock Exchange - 3 issues (Rel. 34-33154).

SELF-REGULATORY ORGANIZATIONS

ACCELERATED APPROVAL OF PROPOSED RULE CHANGES

The Commission approved on an accelerated basis proposed rule changes (SR-Amex-93-30, SR-BSE-93-21, SR-CHX-93-22, SR-NYSE-93-34 and SR-PHLX-93-47) filed by the American, Boston, Chicago, New York and Philadelphia Stock Exchanges that extend, until October 31, 1994 (American, New York and Philadelphia) or until October 31, 1995 (Boston and Chicago), circuit breaker rules that will be activated during volatile market conditions. Publication of the proposal is expected in the Federal Register during the week of November 1. (Rel. 34-33120)

IMMEDIATE EFFECTIVENESS OF PROPOSED RULE CHANGES

The <u>National Securities Clearing Corporation</u> filed a proposed rule change (SR-NSCC-93-10) relating to certain legal consequences of CNS processing. The proposed rule change became effective upon filing with the Commission. (Rel. 34-33129)

The <u>Philadelphia Stock Exchange</u> filed a proposed rule change (SR-PHLX-93-43) which amends paragraph (b)(ii) of Floor Procedure Advice F-15, "Minor Infractions of Position/Exercise Limits and Hedge Exemptions," to provide that a fine specified in Advice F-15 applies to failures to appropriately reduce an option position held under Advice F-15's hedge exemption if the remaining option position exceeds the applicable limit by 5% or less, and that the PHLX's Business Conduct Committee will review hedge exemption position limit violations of more than 5%, has become effective upon filing with the Commission. Publication of the proposal is expected in the <u>Federal Register</u> during the week of November 8. (Rel. 34-33142)

The <u>National Association of Securities Dealers</u> filed a proposed rule change (SR-NASD-93-62) to waive member fees associated with the operation of the Small Order Execution System and SelectNet for November and December 1993. Because this proposed rule change establishes or changes a due, fee, or other charge under Section 19(b)(3)(A)(ii) of the Securities Exchange Act of 1934, it became effective upon filing with Commission. Publication of the proposal is expected in the <u>Federal Register</u> during the week of November 8. (Rel. 34-33143)

PROPOSED RULE CHANGES

The <u>National Association of Securities Dealers</u> filed a proposed rule change (SR-NASD-93-61) to modify the operational features of the SelectNet service. The NASD is proposing to prohibit entry of orders into SelectNet priced outside the inside Nasdaq market during normal market hours (9:30 a.m. to 4:00 p.m.). Publication of the proposal is expected in the <u>Federal Register</u> during the week of November 8. (Rel. 34-33141)

The Depository Trust Company and the National Securities Clearing Corporation filed proposed rule changes (SR-DTC-93-08 and SR-NSCC-93-07) relating to a Netting Contract and Limited Cross-Guaranty agreement. Publication of the proposal is expected in the Federal Register during the week of November 8. (Rel. 34-33145)

APPROVAL OF PROPOSED RULE CHANGE

The Commission approved a proposed rule change (SR-PHLX-91-08) filed by the Philadelphia Stock Exchange to permit foreign currency options orders of 1,000 contracts (block orders) or more to trade utilizing special procedures applicable to block transactions. In particular, the new block trading rules provide executions at a single price for block orders and additionally provide for priority and precedence among categories of contra-side interests competing in the trading crowd for participation in the block trade. Publication of the proposal is expected in the Federal Register during the week of November 8. (Rel. 34-33144)

SECURITIES ACT REGISTRATIONS

The following registration statements have been filed with the SEC under the Securities Act of 1933. The reported information appears as follows: Form, Name, Address and Phone Number (if available) of the issuer of the security; Title and the number and/or face amount of the securities being offered; Name of the managing underwriter or depositor (if applicable); File number and date filed; Assigned Branch; and a designation if the statement is a New Issue.

- S-8 HAEMONETICS CORP, 400 MOOD RD, BRAINTREE, MA 02184 (617) 848-7100 86,000 (\$2,007,740) COMMON STOCK. (FILE 33-70932 QCT. 27) (BR. 8)
- S-8 MAENOMETICS CORP, 400 MOOD RD, BRAINTREE, NA 02184 (617) 848-7100 1,710,000 (\$38,304,566) COMMON STOCK. (FILE 33-70934 OCT. 27) (BR. 8)
- N-1A CORONA INVESTMENT TRUST, 237 PARK AVENUE, SUITE 2100, NEW YORK, NY 10017 INDEFINITE SHARES. (FILE 33-70958 OCT. 27) (BR. 17 NEW ISSUE)
- SB-2 INTEGRATED PROCESS EQUIPMENT CORP, 911 BERN COURT, SAN JOSE, CA 95112 (408) 436-2170 4,203,100 (\$66,616,554.99) COMMON STOCK. 1,250,000 (\$7,812,500) MARRANTS, OPTIONS OR RIGHTS. 790,330 (\$7,695,282.50) COMMON STOCK. (FILE 33-70962 OCT. 28) (BR. 3)
- -2 TRI CONTINENTAL CORP, 100 PARK AVE, NEW YORK, NY 10017 (212) 432-4100 2,500,000 (\$63,281,250) COMMON STOCK. (FILE 33-70964 OCT. 28) (NR. 17)
- F-6 RNOME POULENC'S A, 25 QUAI PAUL DOLNER, 92408 COURSEVOIE CEDEX, FRANCE, 10 25,000,000 (\$1,250,000) DEPOSITARY RECEIPTS FOR COMMON STOCK. (FILE 33-70966 OCT. 28) (BR. 1)
- F-3 RHOME POULENC S A, 25 QUAI PAUL DOLNER, 92408 COURSEVOIE CEDEX, FRANCE, 10 12,000,000 (\$318,000,000) FOREIGN COMMON STOCK. (FILE 33-70968 OCT. 28) (BR. 1)
- S-1 TEXAS BIOTECHNOLOGY CORP /DE/, DOCTORS CENTER, 7000 FAMMIN STE 1920, NOUSTON, TX 77030 (713) 796-8822 6,612,500 (\$57,385,287.50) COMMON STOCK. (FILE 33-70994 OCT. 28) (BR. 4)
- S-8 CHILES OFFSHORE CORP, 1400 BROADFIELD BLVD STE 400, HOUSTON, TX 77084 (713) 647-0100 802,250 (\$4,963,922) COMMON STOCK. (FILE 33-71006 OCT. 28) (BR. 3)

RECENT SK FILINGS

Form 8-K is used by companies to file current reports on the following events:

- Item 1. Changes in Control of Registrant.
- Item 2. Acquisition or Disposition of Assets.
- Item 3. Bankruptcy or Receivership.
- Item 4. Changes in Registrant's Certifying Accountant.
- Item 5. Other Materially Important Events.
- Item 6. Resignations of Registrant's Directors.
- Item 7. Financial Statements and Exhibits.
- Item 8. Change in Fiscal Year.

The companies listed below have filed 8-K reports for the date indicated and/or amendments to 8-K reports previously filed, responding to the item(s) of the form specified. Copies of the reports may be purchased from the Commission's Public Reference Room (when ordering, please give the date of the report). An invoice will be included with the requested material when mailed.

NAME OF ISSUER	STATE CODE	8K ITEN NO. 1 2 3 4 5 6 7	'8 DATE COMMENT
ABSOLUTE ENTERTAINMENT INC	NJ	x x	
ADVANCED INTERVENTIONAL SYSTEMS INC /CA	CA	^ x x	
AFFILIATED FOOD STORES INC	TX	x x	
AG ARMENO MINES & MINERALS INC	14	^ x ^	10/27/93
ALABAMA POWER CO	AL	x x	
ALDEN ELECTRONICS INC	NA.	^ ^	x 10/25/93
ALEXANDER & ALEXANDER SERVICES INC	MD.	x	11/04/93
AMERICAN HOUSING TRUST XI	NY	NO ITEMS	10/25/93
AMERICAN VISUAL APPLIANCES INC	NV	хх	• •
ATLANTIC EXPRESS INC	DE	x x	
ATLANTIS VENTURES INC	UA.	x x x	
BANK OF BOSTON CORP	KA	^ x x	• • •
BAY NEADOWS OPERATING CO	DE	x ^ ^	10/29/93
BILLY BLUES FOOD CORP	TX	х х	
BROOKE GROUP LTD	DE	x x	
CALIFORNIA ENERGY CO INC	DE	_ x x	
CALIFORNIA JOCKEY CLUB	DE	x ^ ^	10/29/93AHEND
CAMBRIDGE BIOTECH CORP	DE	NO ITEMS	12/11/92AVEND
CANTON INDUSTRIAL CORP	OH	X X	
CASINO & CREDIT SERVICES INC	DE	NO ITEMS	08/31/93AMEND
CASINO & CREDIT SERVICES INC	DE	X X	
CASTLE ENERGY CORP	DE	x x x	
CHESTER HOLDINGS LTD	œ	~ x ~ ~	10/24/93
CHIPCON CORP	DE	x x	
CHIRON CORP	DE	~ x x	
CITIBANK SOUTH DAKOTA NA STAND CR CARD N	-	x ~	10/29/93
CITIBANK SOUTH DAKOTA NA STAND CR CARD N		x	10/29/93
CITIBANK SOUTH DAKOTA NA STAND CR CARD N		x	10/29/93
CITIBANK SOUTH DAKOTA NA STAND CR CARD N		X	10/29/93
CITIBANK SOUTH DAKOTA NA STAND CR CARD N	DE	X	10/29/93
CITIBANK SOUTH DAKOTA NA STAND CR CARD N		X	10/29/93
CITIBANK SOUTH DAKOTA NA STAND CR CARD N		X	10/29/93
CITIBANK SOUTH DAKOTA NA STAND CR CARD N		x	10/29/93
CITIBANK SOUTH DAKOTA NA STAND CR CARD N		x	10/29/93
CITIBANK SOUTH DAKOTA NA STAND CR CARD N		x	10/29/93
CITIBANK SOUTH DAKOTA MA STAND CREDIT CA		x	10/29/93
CITIBANK SOUTH DAKOTA NA STAND CREDIT CA		X	10/29/93
CITIBANK SOUTH DAKOTA NA STAND CREDIT CA		X	10/29/93
CITIBANK SOUTH DAKOTA NA STAND CREDIT CA		x	10/29/93
CITIBANK SOUTH DAKOTA NA STAND CREDIT CA		x	10/29/93
CITIBANK SOUTH DAKOTA NA STANDARD CREDIT	DE	x	10/31/93
CITICORP	DE	x	10/28/93
CMC SECURITIES CORP II	DE	x x	• • -
CROWN CASINO CORP	TX	x x	
CYPRESS SENICONDUCTOR CORP /DE/	DE	x x	,
DATASOUTH COMPUTER CORP	NC	xx	
DAUPHIN TECHNOLOGY INC	UT	^x x	,
DIVERSIFIED COMMUNICATIONS INDUSTRIES LT	DE	- x	
DIVERSIFIED COMMUNICATIONS INDUSTRIES LT	DE	x	11/02/93

	STATE	BK ITEN NO.	
NAME OF ISSUER	CODE	12345678	DATE COMMENT
PTP INI RINGS THE			40.00.00
EIF HOLDINGS INC EKCO GROUP INC /DE/	HI	X	10/27/93
EVERGREEN INFORMATION TECHNOLOGIES INC	DE CO	X NO ITEMS	11/01/93
FAIRFIELD COMMUNITIES INC	DE	X X	10/27/93 10/20/93
FARREL CORP	DE	х̂	10/28/93
FIRST BANCORPORATION OF ONIO	OH	x ^ ^	10/29/93
FIRST CAPITAL BANCORP INC	GA	x x x	07/31/93
FIRST COLONIAL VENTURES LTD	UT	x x	12/31/92
FIRST NIDWEST FINANCIAL INC	٠.	xx	11/01/93
FLEET MORTGAGE SECURITIES INC	RI	X X	10/25/93
FLEET MORTGAGE SECURITIES TRUST 1993-1	RI	X X	10/25/93
FNB FINANCIAL CORP	TN	хх	10/20/93
FORD MOTOR CREDIT CO	DE	x x	11/05/93
FREYMILLER TRUCKING INC	IN	x x	10/08/93
FUND AMERICA INVESTORS CORP II SERIES 19	DE	x x	10/25/93
GAMMA INTERNATIONAL LTD	DE	X	11/01/93
GEO INTERNATIONAL CORP /NEW/	DE	x	11/01/93
GEOTEK INDUSTRIES INC	DE	x x	10/18/93
GLOBAL VENTURE FUNDING INC	∞	NO ITEMS	06/18/93AMEND
GULF POWER CO	ME	X X	10/27/93
INFOPAGE INC	DE	X X	10/20/93
INTEGRATED PROCESS EQUIPMENT CORP	DE	X	09/03/93AMEND
INTERPOINT CORP /NEW/	WA	X X	10/29/93
IRONSTONE GROUP INC	DE	x x x x	05/24/93
KETTLE RESTAURANTS INC	TX	x x	11/01/93
LA QUINTA INNS INC	TX	X X	10/18/93
LAURENTIAN CAPITAL CORP/DE/	DE	X X	10/20/93
LCI INTERNATIONAL INC	DE	X X	10/17/93
LIBERTY MATIONAL BANCORP INC /KY/	KY	X X	11/02/93
LINCOLN NC REALTY FUND INC	MD	X	10/28/93
LIVE ENTERTAINMENT INC	DE	X	10/20/93
LONE STAR INDUSTRIES INC	DE	X	11/03/93
MATTEL INC /DE/	DE	X X	11/03/93
MEDAPHIS CORP	DE	X	09/01/93
MEDICAL TECHNOLOGY SYSTEMS INC /DE/	DE	X X	11/01/93
MICROCARB INC	DE	X	11/01/93 11/03/93
NEW YORK TELEPHONE CO NEW YORK TELEPHONE CO	NY Ny	X X	11/03/93
ORBITAL SCIENCES CORP /DE/	DE	x x	11/01/93
ORBITRON CAPITAL CORP	DE	x ^	10/26/93
OUTLOOK GRAPHICS CORP	WI	x ^ x	10/18/93
PACIFIC GAS & ELECTRIC CO	CA	x	11/04/93
PACIFIC GAS & ELECTRIC CO	NV.	хх	11/02/93
PACIFIC VESTERN BANCSHARES INC /DE/	DE	x x	10/27/93
PAINE WEBBER GROUP INC	DE	x x	10/26/93
PAINEWEBBER NORTGAGE ACCEPTANCE CORP IV		x x	10/25/93
PAINEVEBBER MORTGAGE ACCEPTANCE CORP IV		NO ITEMS	10/25/93
PAINEVEBBER MORTGAGE ACCEPTANCE CORPORAT	DE	X X	10/25/93
PERKINS FAMILY RESTAURANTS LP	DE	χχ	09/14/93ANEND
PERMIAN BASIN ROYALTY TRUST	TX	x x	10/07/93
PHOENIX RE CORP	DE	X	11/03/93
PRIMA ENERGY CORP	DE	X	10/28/93
PRUDENTIAL SECURITIES SECURED FINANCING		x x	10/31/93
PURE TECH INTERNATIONAL INC	DE	x	10/29/93

	STATE	SK ITEM NO.	
NAME OF ISSUER	CODE	12345678	DATE COMMENT
		~~~~	
RADYNE CORP	MY	X	10/27/93
RESOLUTION TRUST CORP MORTGAGE PASS THRO		X X	10/25/93
RESOLUTION TRUST CORP MULTIF MORT PA THR		X X	10/25/93
RESOLUTION TRUST CORPORATION MORT PASS T		X X	10/25/93
RESOLUTION TRUST CORPORATION MORTGAGE PA		X X	10/28/93
RYLAND MORTGAGE SECURITIES CORP /VA/	VA	NO ITEMS	09/30/93
RYLAND MORTGAGE SECURITIES CORP FOUR SER	VA	X X	10/25/93
RYLAND MORTGAGE SECURITIES CORP SERIES 1	VA	NO ITEMS	10/15/93
RYLAND MORTGAGE SECURITIES CORP SERIES 1		NO ITEMS	10/25/93
RYLAND MORTGAGE SECURITIES CORP SERIES 1		X X	10/25/93
RYLAND MORTGAGE SECURITIES CORP SERIES 1		X X	10/25/93
RYLAND MORTGAGE SECURITIES CORP SERIES 1		X X	10/29/93
RYLAND MORTGAGE SECURITIES CORP SERIES 1	VA	NO ITEMS	10/29/93
RYLAND MORTGAGE SECURITIES CORP THREE SE	VA	X X	10/25/93
RYLAND MORTGAGE SECURITIES CORP THREE SE		X X	10/25/93
RYLAND MORTGAGE SECURITIES CORP THREE SE	VA	X X	10/29/93
RYLAND MORTGAGE SECURITIES CORP THREE 19		X X	10/25/93
RYLAND MORTGAGE SECURITIES CORP TWO SERI	VA	NO ITEMS	10/25/93
SAFEWAY INC	DE	X	11/01/93
SAXON MORTGAGE SECURITIES CORP SERIES 19	VA	X X	10/25/93
SAXON MORTGAGE SECURITIES CORP SERIES 19	VA	NO ITEMS	10/25/93
SAXON MORTGAGE SECURITIES CORP SERIES 19	VA	NO ITEMS	10/25/93
SAXON MORTGAGE SECURITIES CORP SERIES 19	VA	X X	10/25/93
SAXON MORTGAGE SECURITIES CORP SERIES 19	VA	NO ITEMS	10/25/93
SAXON MORTGAGE SECURITIES CORP SERIES 19 SAXON MORTGAGE SECURITIES CORP SERIES 19	VA	X X	10/25/93 10/25/93
SAXON NORTGAGE SECURITIES CORP SERIES 19	VA	NO ITEMS X X	10/25/93
SEARS MORTGAGE SEC CORP MORT PASS THRO C		x x	10/01/93
SHOUBOAT INC	WV	x x	07/02/93
SONOCO PRODUCTS CO	SC	x Î	10/14/93AMEND
SOUTHERN NEW ENGLAND TELECONOLINICATIONS	CT	^ x ^	11/03/93
SOUTHERN NEW ENGLAND TELEPHONE CO	CT	x	11/03/93
SPECTRAL DIAGNOSTICS INC	٠.	x	10/19/93
SPHINX PHARMACEUTICALS CORP	DE	x x	09/17/93
STANDARD CREDIT CARD MASTER TRUST 1991-2		Т Х	10/29/93
STANDARD CREDIT CARD MASTER TRUST 1991-2		x	10/29/93
STRUCTURED NORTGAGE ASSET RESOLDENTIAL T		хх	10/25/93
STRUCTURED MORTGAGE ASSET RESIDENTIAL TR		x x	10/25/93
STRUCTURED MORTGAGE ASSET RESIDENTIAL TR	DE	x x	10/25/93
STRUCTURED MORTGAGE ASSET RESIDENTIAL TR	DE	xx	10/25/93
STRUCTURED MORTGAGE ASSET RESIDENTIAL TR		NO ITEMS	10/25/93
SYSTEMS TECHNOLOGY ASSOCIATES INC	FL	X	10/28/93
TALCON LP	DE	x x	10/15/93
THOMAS & BETTS CORP	NJ	x	11/04/93
U S NOME CORP /DE/	DE	~ x x	11/03/93
UNION BANCSHARES INC /KS/	KS	x x	10/13/93
UNITED FEDERAL BANCORP INC	PA	X X	11/01/93
UNITED HEALTHCARE CORP	101	хх	11/03/93
USAIR GROUP INC	DE	ХX	11/03/93
USAIR INC /NEW/	DE	хх	11/03/93
WEST PENN POWER CO	PA	NO ITEMS	06/09/93
WEST SUBURBAN BANCORP INC	IL	x	10/21/93AMEND
WESTHORELAND COAL CO	DE	х х	11/01/93
WHOLE FOODS MARKET INC	TX	хх	11/02/93
XOMA CORP /DE/	DE	x x	10/27/93