sec news digest

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February 26, 1993

COMMISSION ANNOUNCEMENTS EXCHANGE COMMISSION

SEC'S DIRECTOR OF INVESTMENT MANAGEMENT ANNOUNCES RESIGNATION

On February 25, Marianne Smythe announced she will leave the Securities and Exchange Commission at the end of April to join the Washington, D.C. law firm of Wilmer, Cutler and Pickering. Mrs. Smythe currently serves as Director of the Division of Investment Management, which oversees the \$1.9 trillion investment company industry. The Division also regulates investment advisers and administers the Public Utility Holding Company Act of 1935. (Press Rel. 93-5)

ADMINISTRATIVE PROCEEDINGS

ADMINISTRATIVE PROCEEDINGS ORDERED AGAINST YAMAICHI INTERNATIONAL (AMERICA) INC., SHOJI HATTORI, HIROSHI TAKENAKA AND FRANK MAUCERI

The Commission announced on February 25 the institution of administrative proceedings pursuant to Sections 15(b)(4), 15(b)(6) and 21C of the Exchange Act against Yamaichi International (America), Inc. (YIA), a registered broker-dealer, Shoji Hattori (Hattori), Hiroshi Takenaka (Takenaka), and Frank Mauceri (Mauceri), the firm's former chairman, current chief financial officer and controller, respectively. The Commission's order, to which all respondents consented without admitting or denying the matters set forth therein, finds that YIA violated and the individuals aided and abetted violations of Sections 17(a) and 17(e) of the Exchange Act and Rules 17a-3 The defendants were found to have committed violations by and 17a-5 thereunder. improperly booking and filing inaccurate FOCUS Reports concerning the payment of \$1.4 million to a client in excess of the actual value of certain securities. The firm also failed to maintain copies of certain registration deficiency memoranda in The Commission's order censures the firm, requires an violation of Rule 17a-4. independent compliance review, imposes a civil penalty of \$200,000, bars Hattori with a right to reapply in a non-supervisory capacity after five years, suspends Takenaka for four months and Mauceri for two months, and orders the firm and the individuals to cease and desist from future violations of the above statutes and rules. (Rel. 34-31921)

ADMINISTRATIVE PROCEEDINGS ORDERED AGAINST NOMURA SECURITIES INTERNATIONAL, INC.

The Commission announced that on February 25 it ordered the institution of administrative proceedings pursuant to Sections 15(b)(4) and 21C of the Exchange Act and Section 203(k) of the Investment Advisers Act against Nomura Securities International, Inc. (NSI), a registered broker-dealer. The Commission's order, to which NSI consented without admitting or denying the matters set forth therein, finds that NSI violated Section 17(a) of the Exchange Act and Rule 17a-4 thereunder by failing to maintain copies of certain registration deficiency memoranda sent to employees. NSI also violated Section 204 of the Investment Advisers Act and Rule 204-2(a)(3) by failing to make and keep an accurate record of certain transactions. The Commission's order censures the firm, requires an independent compliance review, imposes a civil penalty of \$50,000 and orders the firm to cease and desist from future violations of the above statutes and rules. (Rels. 34-31922; IA-1364)

ADMINISTRATIVE PROCEEDINGS ORDERED AGAINST DAIWA SECURITIES AMERICA INC.

The Commission announced that on February 25 it ordered the institution of administrative proceedings pursuant to Sections 15(b)(4) and 21C of the Exchange Act against Daiwa Securities America, Inc. (DSAI), a registered broker-dealer. The Commission's order, to which DSAI consented without admitting or denying the matters set forth therein, finds that DSAI violated Section 17(a) of the Exchange Act and Rule 17a-3 thereunder by improperly booking as an "advisory fee" certain profits returned to the firm by a customer and that certain order tickets were inaccurate as the result of certain unregistered account executives borrowing registered representative numbers from other DSAI personnel. The Commission's order censures the firm, requires an independent compliance review, imposes a civil penalty of \$200,000 and orders the firm to cease and desist from future violations of Section 17(a) and Rule 17a-3. (Rel. 34-31923)

DAIWA SECURITIES AMERICA, INC. AND WILLIAM BRACHFELD SANCTIONED

On February 25, the Commission instituted public administrative proceedings against Daiwa Securities America, Inc. (Daiwa) and William M. Brachfeld pursuant to Sections 15(b) and 21C of the Securities Exchange Act of 1934 (Exchange Act) and simultaneously accepted Offers of Settlement submitted by Daiwa and Brachfeld. Pursuant to the Offers of Settlement, the Commission issued an Order finding that Daiwa and Brachfeld each willfully violated or caused violations of Sections 10(b) and 17(a) of the Exchange Act and Rules 10b-5, 17a-3 and 17a-4 thereunder in connection with the submission of a false bid in an auction of U.S. Treasury securities in August of 1989. The Order requires that Brachfeld pay disgorgement and prejudgment interest of \$41,918.24, suspends Brachfeld from association with any regulated entity for a period of three months, and requires that Brachfeld cease and desist from future violations. The Order requires that Daiwa pay disgorgement and prejudgment interest of \$249,340.27, censures Daiwa and requires that Daiwa cease and desist from future violations. (Rel. 34-31924) CIVIL PROCEEDINGS

CIVIL ACTION INSTITUTED AGAINST THE NIKKO SECURITIES CO. INTERNATIONAL INC.

The Commission announced the filing on February 25 of a complaint in the United States District Court for the Southern District of New York against The Nikko Securities Co. International Inc. (Nikko U.S.), a registered broker-dealer. The complaint alleges that Nikko U.S. repeatedly violated Sections 17(a) and 17(e) of the Securities Exchange Act of 1934 (Exchange Act) and Rules 17a-3 and 17a-5 thereunder by concealing from the investing public, the Commission, the New York Stock Exchange and the firm's independent auditors over \$18 million in trading losses through the filing of false and misleading FOCUS Reports. The complaint seeks to enjoin Nikko U.S. permanently from violating these provisions of the Exchange Act and to require the firm to pay civil penalties pursuant to Section 21(d)(3) of the Exchange Act in an amount to be determined by the Court. [SEC v. The Nikko Securities Co. International, Inc., 93 Civ. No. 1088, JFK, SDNY] (LR-13533)

INVESTMENT COMPANY ACT RELEASES

OPPENHEIMER VALUE STOCK FUND, ET AL.

A notice has been issued giving interested persons until March 22, 1993 to request a hearing on an application filed by Oppenheimer Value Stock Fund, et al. for an order under Section 17(b) of the Investment Company Act exempting applicants from the provisions of Section 17(a). The order would permit Oppenheimer Value Stock Fund to purchase substantially all of the assets of Oppenheimer Blue Chip Fund in exchange for shares of Oppenheimer Value Stock Fund. (Rel. IC-19296 - February 25)

NATIONS FUND TRUST, ET AL.

A notice has been issued giving interested persons until March 22, 1993 to request a hearing on an application filed by Nations Fund Trust, et al. for an order under Section 6(c) of the Investment Company Act that would exempt applicants from Sections 18(f), 18(g) and 18(i) of the Act. The requested relief would permit the named investment company applicants, and any other registered investment company for which Funds Distributor, Inc. serves in the future as principal underwriter, to create multiple classes of shares in their respective investment portfolios. (Rel. IC-19297 - February 25)

COLONIAL TRUST I, ET AL.

A notice has been issued giving interested persons until March 22, 1993 to request a hearing on an application filed by Colonial Trust I, et al. for an amendment to a prior order under Section 6(c) of the Investment Company Act. The prior order exempts applicants from Sections 2(a)(32), 2(a)(35), 18(f), 18(g), 18(i), 22(c), and 22(d)of the Act and Rule 22c-1 thereunder. The exemptions apply to the extent necessary to permit certain open-end management investment companies to issue three separate classes of shares representing interests in the same portfolio of securities, one of which would convert into another class with a lower Rule 12b-1 distribution fee after a specified period. The exemptions also apply to the extent necessary to permit certain open-end management investment companies assess and, under certain circumstances, waive a contingent deferred sales charge on certain redemptions of shares of one class. The amended order would permit applicant investment companies to issue additional classes of shares under terms that may differ from those of the three classes of shares permitted under the prior order. (Rel. IC-19298 - February 25)

SELF-REGULATORY ORGANIZATIONS

APPROVAL OF PROPOSED RULE CHANGES

The Commission approved a proposed rule change (SR-NASD-92-35) filed by the <u>National</u> <u>Association of Securities Dealers</u> to amend Appendix A of Article III, Section 30 of the Rules of Fair Practice. The rule is intended to conform closely the NASD's margin rules with those of the New York Stock Exchange (NYSE) by deleting and replacing the current provisions of Appendix A with rule language substantially identical to the NYSE margin rules. Publication of the order is expected in the <u>Federal Register</u> during the week of March 1. (Rel. 34-31918)

The Commission approved a proposed rule change filed by the <u>Options Clearing</u> <u>Corporation</u> to provide for disclosure in the <u>Options Disclosure Document</u> (SR-ODD-93-01) to accommodate the Chicago Board Options Exchange's FLEX Options proposal. Publication of the order is expected in the <u>Federal Register</u> during the week of March 1. (Rel. 34-31919)

The Commission approved a proposed rule change filed by the <u>Chicago Board Options</u> <u>Exchange</u> (SR-CBOE-92-17) to enable the Exchange to list and trade large-size, customized index options, referred to as Flexible Exchange Options (FLEX Options) based on the Standard & Poor's Corporation 100 and 500 Stock Indexes. Publication of the order is expected in the <u>Federal Register</u> during the week of March 1. (Rel. 34-31920)

SECURITIES ACT REGISTRATIONS

The following registration statements have been filed with the SEC under the Securities Act of 1933. The reported information appears as follows: Form, Name, Address and Phone Number (if available) of the issuer of the security; Title and the number and/or face amount of the securities being offered; Name of the managing underwriter or depositor (if applicable); File number and date filed; Assigned Branch; and a designation if the statement is a Nèw Issue.

S-8 LOCKHEED CORP, 4500 PARK GRANADA BLVD, CALABASAS, CA 91399 (818) 876-2000 - 26,200 (\$1,500,000) COMMON STOCK. (FILE 33-49347 - FEB. 19) (BR. 13)

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ACQUISITION OF SECURITIES

Companies and individuals must report to the Commission within ten days on Schedule 13D if after the acquisition of equity securities of a public company their beneficial interest therein exceeds five percent. Persons eligible to use the short form (Schedule 13G) may in lieu of filing a Schedule 13D file a Schedule 13G within 45 days after the end of the calendar year in which the person became subject to Section 13(d)(1). Companies and individuals making a tender offer must have on file at the time the tender offer commences a Schedule 14D-1.

Below is a list of recent filings of Schedules 13D and 14D, which includes the following information: Column 1 - the company purchased (top), and the name of the purchaser; Column 2 - the type of security purchased; Column - 3 - the type of form filed; Column 4 - the date the transaction occurred; Column 5 - the current number of shares (in 000's) owned (top) and the current percent owned; Column 6 - the CUSIP number (top) and the percent owned; and Column 7 - the status of the filing, i.e., new, update or revision.

NAME AND CLASS OF STOCK/OWNER		FORM	EVENT DATE	SHRS(000)/ %OWNED	-	
ALEXANDER ENERGY CORP	COM			377	01461720)
VONFELDT DEWAYNE R		13D	1/28/93	11.1	5.9	UPDATE
BODY DRAMA INC	COM			177	09689410)
ALPHI FUND		13D	2/17/93	5.5	0.0	NEW
BODY DRAMA INC	COM			177	09689410)
ALPHI FUND		1 3 D	2/17/93	5.5	0.0	RVSION
CARE ENTERPRISES	COM PA	R \$0.01		3,148	14164930)
FOOTHILL GRP ET AL		13D	12/21/92			RVSION
CARE ENTERPRISES	COM PA	R \$0.01		3,148	14164930)
FOOTHILL GRP ET AL		13D	12/21/92	27.2	21.3	RVSION
CHAMBERS DEV INC	CL A			7,108	15782920)
MORAN ASSET MGMT ET AL	•••	13D	2/17/93	•		UPDATE
COMMODORE ENVIRON SVCS UNC	COM			7,300	20265610)
HANNESSON PAUL E		1 3 D	2/16/93	13.5	0.0	NEW
COMMODORE ENVIRON SVCS UNC	сом			7,300	20265610)
HANNESSON PAUL E	0011	13D	2/16/93	•		RVSION
CADAU INC	COM			2 026	30738710)
FARAH INC MARCIANO GEORGES ET AL	1014	13D	2/23/93		32.3	
54040 INC	COM			2 026	30738710	0
FARAH INC MARCIANO GEORGES ET AL	COM	13D	2/23/93		32.3	
	COM			68	3167111	n
FIFTH DIMENSION INC HEYDON PETER N	LOM	13D	1/20/93			UPDATE
	CON			68	31671110	0
FIFTH DIMENSION INC HEYDON PETER N	COM	13D	1/20/93		-	RVSION

ACQUISITIONS CONT.

NAME AND CLASS OF STOCK/OWNER	-	FORM	EVENT DATE	SHRS(000)/ XOWNED	CUSIP/ PRIOR% S	FILING <u>TATUS</u>
GEODYNAMICS CORP ZISKIN BARRY	СОМ	13D	12/31/91	176 5.1	37299010 4.5	NEW
GEODYNAMICS CORP ZISKIN BARRY	СОМ	13D	12/31/91	176 5.1		RVSION
GRANCARE INC EQUITY GROUP INVMTS INC E	COM T AL	13D	2/ 8/93	693 7.4	38518810 7.6	UPDATE
GRANCARE INC EQUITY GROUP INVMTS INC E	COM T AL	13D	2/ 8/93	693 7.4		RVSION
HOME SHOPPING NETWORK INC LIBERTY MEDIA CORP	COM	13D	2/23/93	20,616 23.5	43735110 23.5	UPDATE
IMAGINE FILMS ENTMT INC GRAZER BRIAN	COM	13D	2/18/93	5,310 100.0	45291110 72.3	UPDATE
IMAGINE FILMS ENTMT INC HG PRODUCTION ET AL	COM	13D	2/18/93	5,280 100.0	45291110 0.0	UPDATE
IMAGINE FILMS ENTMT INC HOWARD RON	СОМ	13D	2/18/93	5,310 100.0	45291110 72.3	UPDATE
LIFEQUEST MED INC SALOMON BROTHERS HLDGS ET	COM AL	13D	8/19/92	442 13.7		RVSION
MIDSOUTH CORP KANSAS CITY SO INDS ET AL	Сом	13D	2/16/93	2,275 22.3	59804110 17.7	UPDATE
MIDSOUTH CORP KANSAS CITY SO INDS ET AL	СОМ	13D	2/16/93	2,275 22.3		RVSION
OHIO BANCORP PNC BANK CORP	СОМ	130	2/22/93	8 0.1	67715110 0.1	UPDATE
OVERSEAS SHIPHOLDING GROUP I ARCHER DANIELS MIDLAND	COM	13D	2/16/93	4,428 13.5	69036810 9.6	UPDATE
OVERSEAS SHIPHOLDING GROUP I ARCHER DANIELS MIDLAND	COM	13D	2/16/93	4,428 13.5	690 36810 9.6	RVSION
PACIFIC BANCORPORATION KEITH DON E ET AL	COM	NEW 13d	11/25/92		69402420 16.1	UPDATE
PACIFIC BANCORPORATION KEITH DON E ET AL	COM	NEW 13D	11/25/92		69402420 16.1	RVSION
RIVERSIDE GROUP INC WILSON J STEVEN ET AL	СОМ	1 3 D	2/11/93	3,631 68.6		UPDATE
RIVERSIDE GROUP INC WILSON J STEVEN ET AL	СОМ	13D	2/11/93	3,631 68.6	76913510 73.3	RVSION

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ACQUISITIONS CONT.

		EVENT	SHRS(000)/	CUSIP/ FILIN
NAME AND CLASS OF STOCK/OWNER	FORM	DATE	%OWNED	PRIOR% STATUS
ROCHESTER & PITTSBURGH COAL COM			909	77133310
ISELIN O DONNELL II	13D	12/31/92	26.4	26.4 UPDAT
ROCHESTER & PITTSBURGH COAL COM			909	77133310
ISELIN O DONNELL II	13D	12/31/92	26.4	26.4 RVSIO
WYNNS INTL INC COM			779	98319510
GAMCO INVESTORS INC ET AL	13D	2/22/93	21.7	22.7 UPDAT
WYNNS INTL INC COM			779	98319510
GAMCO INVESTORS INC ET AL	13D	2/22/93	21.7	22.7 RVS10
		_,, -		

RECENT 8K FILINGS

Form 8-K is used by companies to file current reports on the following events:

- Item 1. Changes in Control of Registrant.
- Item 2. Acquisition or Disposition of Assets.
- Item 3. Bankruptcy or Receivership.
- Item 4. Changes in Registrant's Certifying Accountant.
- Item 5. Other Materially Important Events.
- Item 6. Resignations of Registrant's Directors.
- Item 7. Financial Statements and Exhibits.
- Item 8. Change in Fiscal Year.

The companies listed below have filed 8-K reports for the date indicated and/or amendments to 8-K reports previously filed, responding to the item(s) of the form specified. Copies of the reports may be purchased from the Commission's Public Reference Room (when ordering, please give the date of the report). An invoice will be included with the requested material when mailed.

NAME OF ISSUER	STATE CODE	8K ITEM NO. 1 2 3 4 5 6 7 8	DATE COMMENT
ACA JOE INC	DE	x X	01/27/93
ACTION AUTO RENTAL INC	DE	x	01/28/93
ACTRADE INTERNATIONAL LTD	DE	X	02/09/93
ADDINGTON RESOURCES INC	DE	x x	02/04/93
ADDINGTON RESOURCES INC	DE	X	02/10/93
ADVANCED ORTHOPEDIC TECHNOLOGIES INC	NV	хх	02/05 /93
AERODYNE PRODUCTS CORP	DE	X	01/29/93
AGCO CORP /DE	DE	x X	01/26/93
AHMANSON H F & CO /DE/	DE	хх	02/08/93
AIRSENSORS INC	DE	хх	01/28/93
AIRSENSORS INC	DE	x	02/08/93
AIRSHIELD COMPOSITES INTERNATIONAL CORP	DE	X	02/05 /93
ALCO INTERNATIONAL GROUP INC	DE	X	02/05/93
ALLIED PRODUCTS CORP /DE/	DE	x x	02/10/93
AMCOR CAPITAL CORP	DE	x X	11/02/92 AMEND

	STATE	SK ITEM NO.	•		
NAME OF ISSUER	CODE	123450		DATE	COMMENT
AMCORE FINANCIAL INC	NV		x	11/30/92	
AMERICAN ANNUITY GROUP INC	DE	x	x	02/11/93	
AMERICAN BRANDS INC /DE/	DE	X		02/08/93	
AMERICAN BUSINESS COMPUTERS CORP	FL	x		02/18/93	
AMERICAN DENTAL LASER INC	DE	x	x	02/15/93	
AMERICAN GENERAL CORP /TX/	тх	x		02/04/93	
AMERICAN RELIANCE GROUP INC	NJ	x	x	02/12/93	
AMERICAN RESOURCE CORP INC	NV	x	x	11/02/92	AMEND
AMERICAN UNITED GLOBAL INC	DE		x	12/18/92	AMEND
AMERICARE INTERNATIONAL INC	FL	x	x	02/08/93	
AMERITECH CORP /DE/	DE		x	02/05/93	
AMNEX INC	NY	x	x	11/18/92	
AMOSKEAG CO	DE	x		02/05/93	
AMSERV HEALTHCARE INC	DE	x		02/10/93	
AMSOUTH BANCORPORATION	DE	X	x	02/01/93	
ANGEION CORP/MN	MN	x	x	02/04/93	
ARIZONA PUBLIC SERVICE CO	AZ	NO ITEMS		07/00/00	
ARTRA GROUP INC	PA	x x	x	02/18/93	
ARVIDA JMB PARTNERS L P II	DE	x		02/18/93	
ASSOCIATES CORPORATION OF NORTH AMERICA	DE	X		02/16/93	
ATRIX LABORATORIES INC	DE	X		01/12/93	AMEND
BAROID CORPORATION /DE	DE	x	x	01/29/93	
BAROID CORPORATION /DE	DE	x	x	02/01/93	
BARR LABORATORIES INC	NY	x	x	02/04/93	
BARTON INDUSTRIES INC	OK	x	x	02/11/93	
BEAR STEARNS MOR SEC INC MOR PASS THROUG	DE	x	x	01/25/93	
BEAR STEARNS MOR SEC INC MOR PASS THROUG	DE	~	x	01/29/93	
BEAR STEARNS MORTGAGE SEC INC MORT PASS	DE	x	x	01/25/93	
BEAR STEARNS MORTGAGE SEC INC MORT PASS	DE	x	x	01/25/93	
BEAR STEARNS MORTGAGE SEC INC MORT PASS	DE	x	x	01/25/93	
BELMAC CORP /FL/	FL	x		11/17/92	AMEND
BELMAC CORP /FL/	FL	x	x	02/12/93	
BERLITZ INTERNATIONAL INC	NY	x	x	02/08/93	
BIOCONTROL TECHNOLOGY INC	PA	NO ITEMS	••	05/07/00	
BIOCONTROL TECHNOLOGY INC	PA	x	x	02/16/93	
BLOC DEVELOPMENT CORP	DE	x		01/28/93	
BNY MASTER CREDIT CARD TRUST	DE	X	x	02/16/93	
BONNEVILLE PACIFIC CORP	DE	x		02/16/93	
BORG WARNER SECURITY CORP	DE	NO ITEMS		01/01/91	
BOSTON CAPITAL TAX CREDIT FUND II LTD PA	DE	x		01/29/93	
BOSTON EDISON CO	MA	Ŷx	x	02/11/93	
BOWMAR INSTRUMENT CORP	IN	x	~	02/05/93	
BROADWAY & SEYMOUR INC	DE	· ^	x	11/25/92	AMEND
BUTTON GWINNETT FINANCIAL CORP	DE	x x	x	01/25/93	7.1.2.10
	DE	x	Ŷ	02/17/93	
BUTTREY FOOD & DRUG STORES CO CACHE INC	FL	x	x	02/10/93	
	FL	x	^	02/17/93	
CAESARS WORLD INC		X	x	01/11/93	
CALIFORNIA BANCSHARES INC CALIFORNIA FEDERAL BANK	DE CA	X	x	01/25/93	
		X	x	01/25/93	
CALNETICS CORP	CA NV	x	x	01/27/93	AMEND
CANCER TREATMENT HOLDINGS INC		×	x	02/01/93	FT 12 190
CAPITAL ACQUISITIONS INC	DE	^	~	02/01/73	

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NAME OF ISSUER	STATE CODE	8K ITEM			DATE	COMMEN
ARRE UI 1000EN						
CAPITOL HOME EQUITY LOAN TRUST 1990-1	MD	•	X		01/29/93	
CAPITOL HOME EQUITY LOAN TRUST 1991-1	MD			х	01/25/93	
CAPITOL HOME EQUITY LOAN TRUST 1992-1	MD			х	01/25/93	
CARE CONCEPTS INC	NV		X		02/08/93	
CARLYLE REAL ESTATE LTD PARTNERSHIP IX	IL	х		x	01/29/93	
CARLYLE REAL ESTATE LTD PARTNERSHIP VIII	IL	Х		х	01/29/93	
CASEYS GENERAL STORES INC	IA		x	х	02/09/93	
CBLX HOLDINGS INC	со			х	01/18/93	AMEND
CENTERIOR ENERGY CORP	OH		х		02/09/93	
CENTRAL BANCSHARES OF THE SOUTH INC	DE		х		01/31/93	
CENTRAL FIDELITY BANKS INC	VA		x	x	02/08/93	
CENTRAL MAINE POWER CO	ME		x		01/29/93	•
CENTURA BANKS INC	NC		x	x	02/08/93	
CENTURY PROPERTIES FUND XIX	CA		^	x	12/16/92	AMEND
	DE	x		x	01/28/93	ANLAD
CHASE MORTGAGE FINANCE CORP		~	v	^		
CHEESECAKE FACTORY INCORPORATED	DE		X X		02/01/93	
CHEVY CHASE EXTENDIBLE CREDIT CARD TRUST	MD				02/08/93	
CHEVY CHASE EXTENDIBLE CREDIT CARD TRUST	MD		X		02/08/93	
CHIRON CORP	DE		х	X	02/09/93	
CHOCK FULL O NUTS CORP	NY			X	12/04/92	AMEND
CHRYSLER FINANCIAL CORP	MI	X	Х	x	02/01/93	
CIGNA CORP	DE		Х	x	02/16/93	
CINCINNATI MICROWAVE INC	OH		Х	x	02/05 /93	
CINCINNATI MILACRON INC /DE/	DE	x		x	02/01/93	
CITIZENS & SOUTHERN 1989 A GRANTOR TRUST	GA		х	х	02/08/9 3	
CLAYTON SILVER MINES INC	AZ		Х		01/15/93	
CLEVELAND ELECTRIC ILLUMINATING CO	OH		Х		02/09/93	
COASTAL HEALTHCARE GROUP INC	DE	х		х	01/29/93	
COCA COLA BOTTLING CO CONSOLIDATED /DE/	DE		х		02/09/93	
COCA COLA ENTERPRISES INC	DE		х	x	02/16/93	
COGNITRONICS CORP	NY			х	11/13/92	AMEND
COLTEC INDUSTRIES INC	PA		x	x	02/18/93	
COLUMBIA GAS SYSTEM INC	DE		х	х	02/10/93	
COMDISCO RECEIVABLES TRUST 1991-A	DE		х	х	02/12/93	
COMDISCO RECEIVABLES TRUST 1992 A	DE		X	x	02/12/93	
	NV			x	02/10/93	
COMET ENTERTAINMENT INC	DE			x	02/09/93	
COMMERCIAL CREDIT CO			x	x	02/04/93	
COMMERCIAL PROGRAMMING UNLIMITED INC	NY	v	^	~	02/03/93	
COMMON GOAL HEALTH CARE PARTICIPATING MO	DE	х	v		07/02/93	
COMPUTER ASSOCIATES INTERNATIONAL INC	DE		X	~ ~		
COMPUTER AUTOMATION INC	DE	хх		хх	02/01/93	
COMTEX SCIENTIFIC CORP	NY	XXX			02/09/93	
COORS ADOLPH CO	CO		X	X	02/09/93	
CORNUCOPIA RESOURCES LTD		x		X	02/12/93	
CORTECH INC	DE		x	X	02/05/93	
CORTEX PHARMACEUTICALS INC/DE/	DE		х		02/04/93	
COSMO COMMUNICATIONS CORP	FL		х	X	12/31/92	
COSMO COMMUNICATIONS CORP	FL		х		12/31/92	AMEND
CYCARE SYSTEMS INC	DE		X		01/29/93	
CYPROS PHARMACEUTICAL CORP	CA		х		02/03/93	
DALLAS GOLD & SILVER EXCHANGE INC /NV/	NV		x	X	12/29/92	
VALUAS BOLD & SILVEN ENGINEEDE ING / NV/			х		02/11/93	
DATRONIC EQUIPMENT INCOME FUND XIX L P	DE		~			

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NAME OF ISSUER	STATE CODE	8K ITEM NO. 12345678 DATE COMMENT
DATRONIC EQUIPMENT INCOME FUND XVII L P	DE	x 02/11/93
DATRONIC EQUIPMENT INCOME FUND XVIII L P	DE	x 02/11/93
DATRONIC EQUIPMENT INCOME FUND XX L P	DE	x 02/11/93
DATRONIC FINANCE INCOME FUND I LP	DE	x 02/11/93
DELTA MANAGEMENT SYSTEMS INC	NJ	x x 02/11/93
DESTRON IDI INC		x 02/08/93
DEVRY INC	DE	x 02/17/93
DIGITAL COMMUNICATIONS ASSOCIATES INC	GA	x 02/12/93
DIVERSIFAX INC	DE	X X 12/21/92 AMEND
DOMINION BANKSHARES CORP	VA	x 02/08/93
DOSKOCIL COMPANIES INC	DE	x X 02/05/93
DREXEL BURNHAM LAMBERT REAL ESTATE ASSOC	NY	x 02/03/93
DREXEL BURNHAM LAMBERT REAL ESTATE ASSOC	NY	x 02/03/93
DREXEL BURNHAM LAMBERT REAL ESTATE ASSOC	NY	x 02/03/93
EAGLE BANCORP INC /GA/	GA	X X 01/26/93
EASTERN UTILITIES ASSOCIATES	MA	x x 02/09/93
EIF HOLDINGS INC	HI	X X X X 01/31/93
ELECTRO BRAIN INTERNATIONAL CORP	DE	x x 02/05/93
ELECTROMAGNETIC SCIENCES INC	GA	X X X 01/01/93
ELECTROMEDICS INC	СО	x x 01/29/93
ELLISON RAY MORTGAGE ACCEPTANCE CORP	TX	X X 01/28/93
EMPIRE OF CAROLINA INC	DE	x x 02/01/93
ENVIROSOURCE INC	DE	x x 02/12/93
F&M NATIONAL CORP	VA	x x 02/03/93
FAR WEST ELECTRIC ENERGY FUND L P	DE	x x 01/29/93
FCFT INC	DE	x X 01/29/93
FEDERAL EXPRESS CORP	DE	X 02/04/93 AMEND
FEDERAL MOGUL CORP	MI	X 02/23/93
FF BANCORP INC	FL	X 01/29/93
FIDELITY MEDICAL INC	DE	x x 02/05/93
FINGERMATRIX INC	NY	x 11/12/92
FINGERMATRIX INC	NY	x 12/16/92
FINGERMATRIX INC	NY	x 02/01/93
FINGERMATRIX INC	NY	x 02/16/93
FIRST BANCORP /ID/	ID	X X 01/29/93
FIRST CAPITAL INCOME PROPERTIES LTD SERI	FL	x x 01/15/93
FIRST CAPITAL INCOME PROPERTIES LTD SERI	FL	X X 01/15/93
FIRST CONNECTICUT CAPITAL CORP	CT	x 02/05/93
FIRST NATIONAL BANCORP /GA/	GA	x 02/15/93
FIRST TENNESSEE NATIONAL CORP	TN	x 02/17/93
FISHER BUSINESS SYSTEMS INC	GA	X X 02/01/93
FLEET MORTGAGE SECURITIES INC	RI	X X X 01/25/93
FLEET MORTGAGE SECURITIES TRUST 1992-1	RI	X X 01/25/93
FLEET MORTGAGE SECURITIES TRUST 1992-2	DE	x x 01/25/93
FLEET MORTGAGE SECURITIES TRUST 1992-3	RI	x x 01/25/93
FLEET MORTGAGE SECURITIES TRUST 1992-4	RI	x x 01/25/93
FLORAFAX INTERNATIONAL INC	DE	X X X 01/25/93
FOOTHILL GROUP INC	DE	x 02/05/93
FORD MOTOR CO	DE	NO ITEMS 02/12/92
FORD MOTOR CO	DE	x x 02/10/93
FORD MOTOR CREDIT CO	DE	x x 02/11/93
FORTUNISTICS INC	DE	X X X 01/18/93

• · · ·	STATE	SK ITEM NO		
NAME OF ISSUER	CODE	12345678	DATE	COMMENT
			12/01/92	
GE CAPITAL MORTGAGE SERVICES INC	NJ	х х	01/25/93	
GENERAL ELECTRIC CAPITAL SERVICES INC	DE	X X	12/17/92	
GEOTEK INDUSTRIES INC	DE		01/26/93	
GEOTEK INDUSTRIES INC	DE	х	01/26/93	
GIBRALTAR PACKAGING GROUP INC	DE	x x	01/28/93	
GLENFED INC	DE	ХХ	01/26/93	
GMAC MORTGAGE CORP OF IOWA MORT PAS THR	PA	NO ITEMS	01/25/93	
GMAC MORTGAGE CORP OF IOWA MORT PAS THR	PA	NO ITEMS	01/25/93	
GMAC MORTGAGE CORP OF IOWA MORT PAS THR		NO ITEMS	01/25/93	
GMAC MORTGAGE CORP OF IOWA MORT PAS THR	PA	NO ITEMS	01/25/93	
GMAC MORTGAGE CORP OF IOWA MORT PAS THR	PA	NO ITEMS	01/25/93	
GO VIDEO INC	DE	NO ITEMS	06/00/00	
GOODRICH B F CO	NY	X	02/17/ 93	
GRAND UNION CAPITAL CORP	DE	x	02/04/93	
GRAND UNION CO /DE/	DE	x x	02/04/93	
GRAND UNION HOLDINGS CORP	DE	X X	02/04/93	
GREAT AMERICAN CORP	LA	X X	01/29/93	
GREAT BAY POWER CORP	NH	X X	02/09/93	
GREAT WESTERN BANK	DE	NO ITEMS	12/31/92	
GREAT WESTERN BANK MORTGAGE PASS THROUGH			11/30/92	
GREAT WESTERN BANK MORTGAGE PASS THROUGH	DE	NO ITEMS	11/30/92	
GREAT WESTERN BANK MORTGAGE PASS THROUGH	DE	NO ITEMS	11/30/92	
GREAT WESTERN BANK MORTGAGE PASS THROUGH	DE	NO ITEMS	11/30/92 11/30/92	
GREAT WESTERN BANK MORTGAGE PASS THROUGH GREAT WESTERN BANK MORTGAGE PASS THROUGH	DE DE	NO ITEMS NO ITEMS	11/30/92	
GREAT WESTERN BANK MORTGAGE PASS THROUGH	DE	NO ITEMS	11/30/92	
GREAT WESTERN BANK MORTGAGE PASS THROUGH	DE	NO ITEMS	11/30/92	
GREAT WESTERN BANK MORTGAGE PASS THROUGH	DE	NO ITEMS	12/31/92	
GREAT WESTERN BANK MORTGAGE PASS THROUGH	DE	NO ITEMS	12/31/92	
GREAT WESTERN BANK MORTGAGE PASS THROUGH		NO ITEMS	12/31/92	
GREAT WESTERN BANK MORTGAGE PASS THROUGH	DE	NO ITEMS	12/31/92	
GREAT WESTERN BANK MORTGAGE PASS THROUGH	DE	NO ITEMS	12/31/92	
GREAT WESTERN BANK MORTGAGE PASS THROUGH	DE	NO ITEMS	12/31/92	
GREAT WESTERN BANK MORTGAGE PASS THROUGH	DE	NO ITEMS	12/31/93	
GREENWOOD HOLDINGS INC/CO/	CO	X X	02/04/93	
GRUDGE MUSIC GROUP INC /NY/	NY	х х х	01/22/93	
GULFSIDE INDUSTRIES LTD		x	02/09/93	
HABERSHAM ENERGY CO	ОК	··· X	11/25/92	AMEND
HABERSHAM ENERGY CO	OK	X	12/14/92	AMEND
HALLIBURTON CO	DE	x x	02/08/93	
HARKEN ENERGY CORP	DE	x x	02/09/93	
HARKEN ENERGY CORP	DE	хх	02/11/93	
HASBRO INC	RI	· x x	02/08/93	
HOME STAKE OIL & GAS CO	OK	×	01/27/93	
HOME STAKE ROYALTY CORP /OK/	OK	x	01/27/93	
HOMELAND HOLDING CORP	DE	X	02/12/93	
HOMEOWNERS GROUP INC	DE	X	12/01/92	AMEND
HOSPITAL STAFFING SERVICES INC	FL	X X	02/05/93	
HOUSEHOLD FINANCE CORP	DE	Х	02/16/93	
IDEXX LABORATORIES INC /DE	DE	x x	02/12/93	
ILLINOIS BELL TELEPHONE CO	IL	x	02/05/93	
	IL	x	02/02/93	

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NAME OF ISSUER ILLINDIS POWER CO IMCLONE SYSTEMS INC/DE	CODE IL DE DE GA	8K ITE 1 2 3			DATE	COMMENT
IMCLONE SYSTEMS INC/DE	DE DE	*****	X	x	AD (AD	******
IMCLONE SYSTEMS INC/DE	DE DE		-		02/08/93	
			X		01/26/93	
INFINITY BROADCASTING CORP			X	x	02/01/93	
INFORMATION AMERICA INC /GA		x		x	02/04/93	
INGERSOLL RAND CO	NJ		X	x	02/04/93	
INNOVEX INC	HN		X		02/04/93	
INTER REGIONAL FINANCIAL GROUP INC	DE		X	x	02/02/93	
INTERCONTINENTAL LIFE CORP	NJ		X	x	01/29/93	
INTERMET CORP	GA		X		02/12/93	
INTERNATIONAL BROADCASTING CORP /HH/	MN	NO ITE	MS		05/00/00	
INTERNATIONAL FAMILY ENTERTAINMENT INC	DE	x		X	01/26/93	
INTERNATIONAL FAST FOOD CORPORATION	FL		X	x	02/01/93	
INTERNATIONAL LEISURE ENTERPRISES INC /A	AZ	X			02/12/93	
INTERNATIONAL STANDARDS GROUP LIMITED	DE		X		02/04/93	
INTERNATIONAL VERONEX RESOURCES LTD			X	X	02/05/93	
IOWA ILLINOIS GAS & ELECTRIC CO	IL		X	x	02/11/93	
IVERSON TECHNOLOGY CORP	DE		X	X	01/25/93	
JAMES RIVER CORP OF VIRGINIA	VA		X	x	02/12/93	
JAYARK CORP	NY	NO ITE	MS		11/23/92	AMEND
JONES INTERCABLE INC	co		X	x	01/15/93	
JONES SPACELINK LTD	CO		X	x	01/15/93	
KANEB SERVICES INC	DE	x		X	01/29/93	
KASLER CORP	CA	NO ITE	MS		05/07/00	
KEITH GROUP OF COMPANIES INC	DE		x		01/11/93	AMEND
KENSINGTON CO INC	MN	XX	X	x	01/01/93	
KOBER CORP	CO	x			01/23/93	
KOLL MANAGEMENT SERVICES INC	DE	X		x	01/29/93	
LANNETT CO INC	PA		X		02/17/93	*
LEADVILLE CORP	CO		X	X	02/11/93	
LEASING TECHNOLOGY INC	UT	X		x	01/29/93	
LEHMAN PASS THROUGH SECURITIES INC	DE		X	x	12/28/92	
LEHMAN PASS THROUGH SECURITIES INC	DE	x		x	01/28/93	
LIFE MEDICAL SCIENCES INC	DE		X		01/11/93	
LIFETIME HOAN CORP	DE		X		02/01/93	
LITTLE PRINCE PRODUCTIONS LTD	NY			хх	02/04/93	
LIVE ENTERTAINMENT INC	DE	X			02/02/93	
LUNN INDUSTRIES INC /DE/	DE	x		x	02/04/93	
MAPCO INC	DE		X		02/03/93	
MARCUM NATURAL GAS SERVICES INC/NEW	DE		X		02/10/93	
MARTIN MARIETTA CORP /MD/			X	X	02/10/93	
MASS MICROSYSTEMS INC	CA		X	x	02/17/93	
MBNA CORP	MD		X		01/31/93	
MCDONALDS CORP	DE			X	02/12/93	
MCDONNELL DOUGLAS FINANCE CORP /DE	DE		X		02/08/93	
MEDUSA CORP	OH	X		x	02/01/93	
MERIDIAN POINT REALTY TRUST IV CO	MO		X	X	02/09/93	
MERIDIAN POINT REALTY TRUST VI CO	MO		X	X	02/09/93	
MERIDIAN POINT REALTY TRUST VII CO	MO		X	X	02/09/93	
MERIDIAN POINT REALTY TRUST VIII CO	MO		X	X	02/09/93	
MERIDIAN POINT REALTY TRUST 82	CA		X	X	02/09/93	
MERIDIAN POINT REALTY TRUST 83	CA		x	X	02/09/93	
MERIS LABORATORIES INC	CA			x	12/08/92	AMEND