# sec news digest

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Issue 92-214

U.S. SECURITIES EXCHANGE COMMISSION

November 4, 1992

# CIVIL PROCEEDINGS

#### CIVIL ACTION FILED AGAINST ETTORE PETRINI

The Commission today announced the filing of a civil action in the U.S. District Court for the Southern District of New York against Ettore Petrini (Petrini). Without admitting or denying the complaint's allegations, Petrini consented to the entry of a Final Judgment enjoining him from violating Sections 10(b) and 14(e) of the Securities Exchange Act of 1934 and Rules 10b-5 and 14e-3 thereunder. Petrini further agreed to disgorge \$239,500, representing his trading profits, plus interest, and to pay a civil penalty of \$239,500. [SEC v. Ettore Petrini, USDC SDNY Civil Action No. 92-8039] (LR-13425)

#### HOLDING COMPANY ACT RELEASES

#### MASSACHUSETTS ELECTRIC CO.

A notice has been issued giving interested persons until November 23 to request a hearing on a proposal by the Massachusetts Electric Company (Mass Electric), an electric public-utility subsidiary company of New England Electric System, a registered holding company. Mass Electric proposes to amend its Articles of Organization and By-laws to provide for a new class of preferred stock (Preferred Stock-Cumulative) and to authorize additional shares of its existing class of preferred stock such that the aggregate combined outstanding par value of all series thereof would not exceed \$120 million. Mass Electric has been authorized to solicit proxies from holders of its preferred and common stock, as its By-laws require for approval of the proposal. (Rel. 35-25664)

# THE NARRAGANSETT ELECTRIC COMPANY

A notice has been issued giving interested persons until November 23 to request a hearing on a proposal by The Narragansett Electric Company (Narragansett), an electric public-utility subsidiary company of New England Electric System, a registered holding company. Narragansett proposes to amend its preferred stock preference provisions to allow for one or more additional series or classes of preferred stock with different par values and to authorize the issuance of additional preferred stock. The new preferred stock would rank pari passu with and have the same preferences and rights as the existing class of preferred stock, \$50 par value (Cumulative Preferred Stock), but would have varying par values. Narragansett has been authorized to solicit proxies from holders of its Cumulative Preferred Stock as is required for approval of the proposal. (Rel. 35-25665)

## LISTING, DELISTING AND UNLISTED TRADING ACTIONS

#### DELISTINGS GRANTED

An order has been issued granting the application of the <u>Boston Stock Exchange</u> to strike from listing and registration BPI Environmental, Inc., Common Stock, \$.01 Par Value. (Rel. 34-31372)

An order has been issued granting the application of the <u>New York Stock Exchange</u> to strike from listing and registration Ames Department Stores, Inc., Common Stock, \$.50 Par Value; 7 1/2% Convertible Subordinated Debentures, due October 1, 2014; and 10% Subordinated Debentures, due January 15, 1995. (Rel. 34-31373)

#### UNLISTED TRADING PRIVILEGES SOUGHT

Notices have been issued giving interested persons until November 20 to comment on the applications of five exchanges for unlisted trading privileges in issues which are listed and registered on one or more other national securities exchanges and are reported in the consolidated transaction reporting system. The exchanges and number of issues are as follows: <u>Boston Stock Exchange</u> - 9 issues (Rel. 34-31374); <u>Pacific Stock Exchange</u> - 1 issue (Rel. 34-31375); <u>Philadelphia Stock Exchange</u> - 25 issues (Rel. 34-31376); <u>Cincinnati Stock Exchange</u> - 54 issues (Rel. 34-31377); and <u>Midwest Stock Exchange</u> - 13 issues (Rel. 34-31378).

#### SELF-REGULATORY ORGANIZATIONS

#### PROPOSED RULE CHANGE

The Options Clearing Corporation filed a proposed rule change (SR-OCC-92-23) to permit OCC to cross-margin options on the Wilshire Small Cap Index (Wilshire) with the futures and the options on the futures on the Wilshire. Publication of the proposal is expected in the <u>Federal Register</u> during the week of November 4. (Rel. 34-31379)

# ACCELERATED APPROVAL ON A TEMPORARY BASIS OF PROPOSED RULE CHANGES

The <u>Government Securities Clearing Corporation</u> filed a proposed rule change (SR-GSCC-92-09) requesting an extension of its authority to net zero coupon Government securities. The Commission issued a notice of filing and an order temporarily approving the proposed rule change on an accelerated basis through March 31, 1993. The order will allow GSCC to continue to include in its netting system book-entry zero coupon Government securities. Publication of the proposal is expected in the <u>Federal Register</u> during the week of November 2. (Rel. 34-31383)

The <u>Government Securities Clearing Corporation</u> filed a proposed rule change (SR-GSCC-92-10) requesting an extension of its authority to net forward-settling trades in government securities. The Commission has issued a notice of filing and an order temporarily approving the proposed rule change on an accelerated basis through March 31, 1993. Publication of the proposal is expected in the <u>Federal Register</u> during the week of November 2. (Rel. 34-31384)

The <u>Government Securities Clearing Corporation</u> filed a proposed rule change (SR-GSCC-92-11) requesting an extension of its authority to maintain its current clearing fund formula. The Commission has issued a notice of filing and an order granting accelerated approval on a temporary basis of a proposed rule change through March 31, 1993. Publication of the proposal is expected in the <u>Federal Register</u> during the week of November 2. (Rel. 34-31385)

# MMEDIATE EFFECTIVENESS OF PROPOSED RULE CHANGE

The <u>National Association of Securities Dealers</u> filed a proposed changed which deletes Section 11 of Schedule A of the NASD By-Laws thereby eliminating the 10% service charge levied by the NASD on monetary penalties paid on an installment basis. The NASD proposes to replace the 10% service charge with an assessment against the balance of the penalty due at a market rate of interest to be determined periodically by the NASD Board of Governors. The proposed rule change became effective upon filing with the Commission. Publication of the proposal is expected in the <u>Federal Register</u> during the week of November 2. (Rel. 34-31380)

## ACCELERATED APPROVAL OF PROPOSED RULE CHANGES

The Commission approved a proposed rule change (SR-CBOE-92-02) filed by the <u>Chicago Board Options Exchange</u> to allow the CBOE to list for trading options on the Russell 2000 Index (Russell). The Russell is a capitalization-weighted index composed of 2000 domestic stocks designed to track the performance of small to mid-sized companies. The Commission granted accelerated approval to amendments providing for, among other things, the listing of capped-style options on the Russell, long-term options on the Russell and the settlement of Russell options based on the opening prices of the component stocks of the Index. Publication of the proposal is expected in the <u>Federal Register</u> during the week of November 2. (Rel. 34-31382)

The Commission granted accelerated approval to a proposed rule change submitted by the <u>New York Stock Exchange</u> (SR-NYSE-92-30) to extend a pilot program relating to market-at-the-close order procedures until October 31, 1993. (Rel. 34-31386)

The Commission approved on an accelerated basis proposed rule changes (SR-Amex-92-38, SR-MSE-92-11, SR-NYSE-92-19 and SR-PHLX-92-29) filed by the <u>American</u>, <u>Midwest</u>, <u>New York</u> and <u>Philadelphia Stock Exchanges</u> that extend until October 31, 1993 circuit breaker rules that will be activated during volatile market conditions. Publication of the proposal is expected in the <u>Federal Register</u> during the week of November 2. (Rel. 34-31387)

# SIGNIFICANT NO-ACTION AND INTERPRETATIVE LETTERS

# NTERPRETATION OF NEW RULES UNDER SECTION 16 OF THE EXCHANGE ACT

The Division of Corporation Finance has announced the publication of significant staff correspondence interpreting the new Section 16 rules. Copies of the letter may be obtained by writing to, or by making a request in person at, the Public Reference Room, Securities and Exchange Commission, 450 5th Street, N.W., Room 1024, Washington, D.C. 20549. Each request must state the name of the subject company, the Act and the Section of the Act to which it relates, and the public availability date.

Letter

Availability
Date

Subject

EnergyNorth, Inc.

November 4, 1992

Rule 16a-1(a)(2)(ii)(C)

## SECURITIES ACT REGISTRATIONS

The following registration statements have been filed with the SEC under the Securities Act of 1933. The reported information appears as follows: Form, Name, Address and Phone Number (if available) of the issuer of the security; Title and the number and/or face amount of the securities being offered; Name of the managing underwriter or depositor (if applicable); File number and date filed; Assigned Branch; and a designation if the statement is a New Issue.

- N-1A SAFECO INTERMEDIATE TERM BOND FUND INC, SAFECO PLZ S-2, SEATTLE, WA 98185 (206) 545-5176 INDEFINITE SHARES. (FILE 33-53528 OCT. 21) (BR. 16)
- N-1A SAFECO WASHINGTON STATE MUNICIPAL BOND FUND INC, SAFECO PLAZA, SEATTLE, WA 98185 (206) 545-5000 INDEFINITE SHARES. UNDERWRITER: SAFECO SECURITIES INC. (FILE 33-53530 OCT. 21) (BR. 16 NEW ISSUE)
- N-1A SAFECO INSURED MUNICIPAL BOND FUND INC, SAFECO PLAZA, SEATTLE, WA 98185 (206) 545-5000 INDEFINITE SHARES. (FILE 33-53532 OCT. 21) (BR. 16 NEW ISSUE)
- S-6 FIRST TRUST COMBINED SERIES 170, 1001 WARRENVILLE RD, LISLE, IL 60532 INDEFINITE SHARES. (FILE 33-53574 OCT. 21) (BR. 18 NEW ISSUE)
- S-6 FIRST TRUST COMBINED SERIES 171, 1001 WARRENVILLE RD, LISLE, IL 60532 INDEFINITE SHARES. (FILE 33-53576 OCT. 21) (BR. 18 NEW ISSUE)
- N-1A NORTH AMERICAN GOVERNMENT BOND FUND INC, 717 FIFTH AVENUE, NEW YORK, NY 10022 (212) 446-5600 INDEFINITE SHARES. (FILE 33-53598 OCT. 22) (NEW ISSUE)
- N-2 MUNIYIELD INSURED FUND II INC, 800 SCUDDERS MILL RD, PLAINSBORO, NJ 08536 (609) 282-2800 20 (\$1,000,000) PREFERRED STOCK. (FILE 33-53604 OCT. 22) (BR. 17)
- S-8 COMMUNICATIONS SATELLITE CORP, 950 LENFANT PLZ SW, WASHINGTON, DC 20024 (202) 863-6000 500,000 (\$20,687,500) COMMON STOCK. (FILE 33-53610 OCT. 22) (BR. 7)
- S-4 JACOR COMMUNICATIONS INC, 1300 CENTRAL TRUST CTR, 201 E FIFTH ST, CINCINNATI, OH 45202 (513) 621-1300 11,140,929 (\$69,839,444) COMMON STOCK. (FILE 33-53612 OCT. 22) (BR. 7)
- N-2 MUNIFIELD MICHIGAN INSURED FUND INC, 800 SCUDDERS MILL RD, PLAINSBORO, NJ 08536 (609) 282-2800 20 (\$1,000,000) PREFERRED STOCK. (FILE 33-53614 OCT. 23) (BR. 17)
- N-2 MUNITIELD PENNSYLVANIA FUND, 800 SCUDDERS MILL RD, PLAINSBORO, NJ 08536 (609) 282-2800 20 (\$1,000,000) PREFERRED STOCK. (FILE 33-53616 OCT. 23) (BR. 17)
- F-6 CEMEX S A /FI,
  AVENUE CONSTITUCION 444 PTE, APDO POSTAL 392 CP 6400, MONTERREY N L MEXICO, O5 20,000,000 (\$1,000,000) DEPOSITARY RECEIPTS FOR COMMON STOCK. (FILE 33-53620 OCT. 23) (NEW ISSUE)

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- S-11 CARR REALTY CORP, 1700 PENNSYLVANIA AVE N W, WASHINGTON, DC 20006 (202) 624-1700 7,187,500 (\$154,531,250) COMMON STOCK. UNDERWRITER: LEGG MASON WOOD WALKER INC, LEHMAN BROTHERS, MERRILL LYNCH & CO. (FILE 33-53626 OCT. 23) (BR. 6 NEW ISSUE)
- S-8 MYERS L E CO GROUP, 2550 W GOLF RD STE 200, ROLLING MEADOWS, IL 60008 (708) 290-1891 250,000 (\$4,359,375) COMMON STOCK. (FILE 33-53628 OCT. 23) (BR. 10)
- S-3 DUTY FREE INTERNATIONAL INC, 19 CATOONAH ST, RIDGEFIELD, CT 06877 (203) 431-6057 380,979 (\$9,810,209.25) COMMON STOCK. (FILE 33-53630 OCT. 23) (BR. 2)
- S-11 STRUCTURED MORTGAGE SECURITIES CORP, 1345 AVENUE OF THE AMERICAS, NEW YORK, NY 10105 1,000,000 (\$1,000,000) PASS-THROUGH MORTGAGE-BACKED CERTIFICATE. UNDERWRITER:
  SMITH BARNEY HARRIS UPHAM & CO INC. (FILE 33-53632 OCT. 23) (BR. 11 NEW ISSUE)
- S-8 BELL SPORTS CORP, RT 136 EAST, RANTOUL, IL 61866 (217) 893-9300 25,000 (\$521,875) COMMON STOCK. (FILE 33-53634 OCT. 23) (BR. 11)
- S-8 BELL SPORTS CORP, RT 136 EAST, RANTOUL, IL 61866 (217) 893-9300 247,480 (\$5,166,145) COMMON STOCK. (FILE 33-53636 OCT. 23) (BR. 11)
- S-8 BELL SPORTS CORP, RT 136 EAST, RANTOUL, IL 61866 (217) 893-9300 375,000 (\$7,828,125) COMMON STOCK. (FILE 33-53638 OCT. 23) (BR. 11)
- S-3 OCEANEERING INTERNATIONAL INC, 16001 PARK TEN PLACE STE 600, HOUSTON, TX 77084 (713) 578-8868 - 960,001 (\$16,920,017.62) COMMON STOCK. (FILE 33-53640 - OCT. 23) (BR. 12)
- S-1 HRSI FUNDING INC, 4640 W CHARLESTON BLVD, HYDE PARK PLAZA, LAS VEGAS, NV 89102 (708) 564-6102 2,000,000 (\$2,000,000) EQUIPMENT TRUST CERTIFICATES. (FILE 33-53642 OCT. 23) (BR. 11)
- F-6 COPENE PETROQUIMICA DO NORDESTE S A /ADR/, 48 WALL ST, NEW YORK, NY 10286 (212) 495-1727 100,000,000 (\$5,000,000) DEPOSITARY RECEIPTS FOR COMMON STOCK. (FILE 33-53644 OCT. 23) (NEW ISSUE)
- S-8 AMERICAN RECREATION CENTERS INC, 11171 SUN CENTER DR. SUITE 120, RANCHO COROVA, CA 95670 (916) 852-8005 850,000 (\$5,950,000) COMMON STOCK. (FILE 33-53648 OCT. 23) (BR. 12)
- S-8 AMERICAN RECREATION CENTERS INC, 11171 SUN CENTER DR. SUITE 120, RANCHO COROVA, CA 95670 (916) 852-8005 850,000 (\$5,950,000) COMMON STOCK. (FILE 33-53650 OCT. 23) (BR. 12)
- S-1 COMPUWARE CORPORATION, 31440 NORTHWESTERN HGWY, FARMINGTON HILLS, MI 48334 (313) 737-7300 8,395,000 (\$159,505,000) COMMON STOCK. UNDERWRITER: DONALDSON LUFKIN & JENRETTE SECURITIES C, MORGAN STANLEY & CO INC, ROBERTSON STEPHENS & CO. (FILE 33-53652 OCT. 23) (BR. 10 NEW ISSUE)
- S-4 ALLSTAR INNS L P /DE/, 2020 DE LA VINA ST, SANTA BARBARA, CA 93130 (805) 687-3383 405,000 (\$2,879,550) COMMON STOCK. (FILE 33-53654 OCT. 23) (BR. 12)
- S-1 BROAD NATIONAL BANCORPORATION, 905 BROAD ST, NEWARK, NJ 07102 (201) 624-2300 575,000 (\$5,750,000) PREFERRED STOCK. (FILE 33-53658 OCT. 23) (BR. 1)
- S-8 INTERDIGITAL COMMUNICATIONS CORP, 2200 RENAISSANCE BLVD STE 105, KING OF PRUSSIA, PA 19406 (215) 278-7800 - 600,000 (\$3,750,000) COMMON STOCK. (FILE 33-53660 - OCT. 23) (BR. 8)
- S-3 WILLIAMS COMPANIES INC, ONE WILLIAMS CTR, TULSA, OK 74172 (918) 588-2000 9,400,000 (\$197,400,000) COMMON SHARES OF BENEFICIAL INTEREST. UNDERWRITER: MORGAN STANLEY & CO INC, PAINEWEBBER INC, PRUDENTIAL SECURITIES INC, SMITH BARNEY HARRIS UPHAM & CO INC. (FILE 33-53662 OCT. 23) (BR. 7)

- S-2 EXIDE CORP, 645 PENN ST, READING, PA 19612 (215) 378-0500 260,000,000 (\$216,000,000) STRAIGHT BONDS. UNDERWRITER: BROWN ALEX & SONS INC, MORGAN STANLEY & CO INC. (FILE 33-53666 OCT. 23) (BR. 3)
- S-8 CAPITAL RE CORP, 787 SEVENTH AVE 27TH FL, NEW YORK, NY 10019 (212) 974-0100 696,000 (\$13,615,848) COMMON STOCK. (FILE 33-53668 OCT. 23) (BR. 10)
- S-1 OLYMPIC FINANCIAL LTD, 7825 WASHINGTON AVE SOUTH, MINNEAPOLIS, MN 55439 (612) 942-9880 5,750,000 (\$40,250,000) COMMON STOCK. (FILE 33-53670 OCT. 23) (BR. 12)
- S-11 CNL INCOME FUND XIII LTD, 400 EAST SOUTH ST STE 500, ORLANDO, FL 32801 (407) 422-1574 8,500,000 (\$85,000,000) LIMITED PARTNERSHIP CERTIFICATE. (FILE 33-53672 OCT. 23) (BR. 6)
- N-2 EMERGING MARKETS INCOME FUND INC, SEVEN WORLD TRADE CENTER, NEW YORK, NY 10048
  (212) 783-7000 400,000 (\$6,000,000) COMMON STOCK. UNDERWRITER: OPPENHEIMER & CO INC,
  SALOMON BROTHERS INC. (FILE 33-53674 OCT. 26) (BR. 17)
- S-3 EMISPHERE TECHNOLOGIES INC, 15 SKYLINE DR, HAWTHORNE, NY 10532 (914) 347-2220 365,000 (\$6,410,312) COMMON STOCK. (FILE 33-53676 OCT. 26) (BR. 8)
- S-8 COMMUNITY BANKSHARES INC /NH/, 43 N MAIN ST, CONCORD, NH 03301 (603) 224-1100 160,000 (\$920,000) COMMON STOCK. (FILE 33-53678 OCT. 26) (BR. 2)
- F-1 BII ENTERPRISES INC, 5145 STEELES AVE WEST, WESTON ONTARIO M9L 1R5, A1 1,725,000 (\$12,506,250) COMMON STOCK. UNDERWRITER: GORDON CAPITAL INC, OHIO CO. (FILE 33-53680 OCT. 26) (BR. 6)
- S-4 BIOSOURCE INTERNATIONAL INC, 950 FLYNN RD STE A, CAMARILLO, CA 93012 (805) 987-0086 5,371,173 (\$7,688,354.40) COMMON STOCK. (FILE 33-53682 OCT. 22) (BR. 4 NEW ISSUE)
- S-6 EMPIRE STATE MUN EXEMPT TRU SER 71 & GUARANTEED INTERM SER 1, 6 E 43RD ST, C/O GLICKENHAUS & CO, NEW YORK, NY 10017 320 (\$320,000) UNIT INVESTMENT TRUST. (FILE 33-53688 OCT. 23) (BR. 16)
- S-6 SEPARATE ACCOUNT VL I OF HARTFORD LIFE INSURANCE CO, PO BOX 2999, HARTFORD, CT 06104
   INDEFINITE SHARES. (FILE 33-53692 OCT. 22) (BR. 20)
- S-8 CEM CORP, 3100 SMITH FARM RD, MATTHEWS, NC 28106 (704) 821-7015 90,000 (\$697,500) COMMON STOCK. (FILE 33-53694 OCT. 23) (BR. 8)
- S-3 INGERSOLL RAND CO, 200 CHESTNUT RIDGE RD, WOODCLIFF LAKE, NJ 07675 (201) 573-0123 125,000,000 (\$125,000,000) STRAIGHT BONDS. (FILE 33-53696 OCT. 23) (BR. 9)
- S-8 IOWA FIRST BANCSHARES CORP, 300 E SECOND ST, MUSCATINE, IA 52761 (319) 263-4221 50,000 (\$1,250,000) COMMON STOCK. (FILE 33-53700 OCT. 23) (BR. 2)
- S-8 FISHER BUSINESS SYSTEMS INC, 3939 ROSWELL RD STE 300, MARIETTA, GA 30062 (404) 578-1771 - 40,000 (\$10,000) COMMON STOCK. (FILE 33-53702 - OCT. 23) (BR. 10)
- S-8 FISHER BUSINESS SYSTEMS INC, 3939 ROSWELL RD STE 300, MARIETTA, GA 30062 (404) 578-1771 150,000 (\$37,500) COMMON STOCK. (FILE 33-53704 OCT. 23) (BR. 10)
- S-3 PITNEY BOWES CREDIT CORP, 201 MERRITT SEVEN, NORWALK, CT 06856 (203) 846-5600 500,000,000 (\$500,000,000) STRAIGHT BONDS. (FILE 33-53736 OCT. 26) (BR. 11)
- S-2 ACS ENTERPRISES INC, 2064 STATE RD, BENSALEM, PA 19020 (215) 245-4900 1,150,000 (\$10,421,875) COMMON STOCK. UNDERWRITER: GERARD KLAUER MATTISON & CO INC. (FILE 33-53738 OCT. 26) (BR. 7)

- S-8 FIRST FINANCIAL BANCSHARES OF POLK COUNTY INC, ONE NORTH FIRST STREET, LAKE WALES, FL 33853 (813) 676-3431 59,237 (\$528,062.50) COMMON STOCK. (FILE 33-53750 OCT. 26) (BR. 1)
- N-1A WEST ONE FUNDS, 2 OLIVER ST, BOSTON, MA 02109 (800) 342-5734 INDEFINITE SHARES. (FILE 33-53646 OCT. 23) (BR. 18 NEW ISSUE)
- N-1A ARK FUND, 82 DEVONSHIRE ST, BOSTON, MA 02109 (617) 570-7000 INDEFINITE SHARES.

  UNDERWRITER: NATIONAL FINANCIAL SERVICES CORP. (FILE 33-53690 OCT. 23) (BR. 18

   NEW ISSUE)
- S-1 JOCKEY CLUB INC, 11111 BISCAYNE BLVD, MIAMI, FL 33161 (305) 893-3344 2,520,000 (\$7,560,000) COMMON STOCK. (FILE 33-53710 OCT. 26) (BR. 12 NEW ISSUE)
- N-1A PIPER INSTITUTIONAL FUNDS INC, 222 SOUTH NINTH ST, MINNEAPOLIS, MN 55402 (612) 342-6418 INDEFINITE SHARES. (FILE 33-53718 OCT. 26) (BR. 16 NEW ISSUE)
- S-1 CALLAWAY GOLF CO /CA, 2285 RUTHERFORD RD, CARLSBAD, CA 92008 (619) 931-1771 1,610,000 (\$43,180,200) COMMON STOCK. UNDERWRITER: MONTGOMERY SECURITIES. (FILE 33-53732 OCT. 27) (BR. 12)
- S-4 TIME WARNER ENTERTAINMENT CO L P, 75 ROCKEFELLER PLAZA, NEW YORK, NY 10019 (212) 484-8000 1,200,000,000 (\$1,200,000,000) STRAIGHT BONDS. (FILE 33-53742 OCT. 26) (BR. 11 NEW ISSUE)
- S-1 SEACOR HOLDINGS INC, 5005 RAILROAD AVE, MORGAN CITY, LA 70380 (504) 385-3475 2,070,000 (\$39,330,000) COMMON STOCK. UNDERWRITER: GOLDMAN SACHS & CO, HOWARD WEIL LABOUISSE FRIEDRICHS INC. (FILE 33-53744 OCT. 26) (BR. 4 NEW ISSUE)
- N-1A PIONEER INTERNATIONAL GROWTH FUND, 60 STATE ST, BOSTON, MA 02109 (617) 742-7825 INDEFINITE SHARES. UNDERWRITER: PIONEER FUNDS DISTRIBUTOR INC. (FILE 33-53746 OCT. 26) (BR. 16 NEW ISSUE)
- S-3 PAINE WEBBER GROUP INC, 1285 AVE OF THE AMERICAS, NEW YORK, NY 10019 (212) 713-2000 100,000,000 (\$100,000,000) WARRANTS, OPTIONS OR RIGHTS. (FILE 33-53776 OCT. 27) (BR. 12)
- S-1 PUROLATOR PRODUCTS CO, 6120 SOUTH YALE AVE, TULSA, OK 74136 (918) 492-1800 11,500,000 (\$195,500,000) COMMON STOCK. (FILE 33-53778 OCT. 27) (BR. 4)
- S-3 VARITY CORP, 672 DELAWARE AVE, BUFFALO, NY 14209 (716) 888-8000 5,175,000 (\$107,704,687) COMMON STOCK. UNDERWRITER: GOLDMAN SACHS & CO, SMITH BARNEY HARRIS UPHAM & CO INC. (FILE 33-53782 OCT. 27) (BR. 4)
- S-4 RYMER FOODS INC, 4600 S PACKERS AVE STE 400, CHICAGO, IL 60609 (312) 927-7777 7,888,792 (\$11,358,191) COMMON STOCK. 39,300,000 (\$39,300,000) STRAIGHT BONDS. (FILE 33-53784 OCT. 27) (BR. 4)
- S-1 WESTERN GAS RESOURCES INC, 12200 N PECOS ST STE 230, DENVER, CO 80234 (303) 452-5603
   2,000,000 (\$50,000,000) PREFERRED STOCK. UNDERWRITER:

  DONALDSON LUFKIN & JENRETTE SECURITIES C, MORGAN STANLEY & CO INC,

  SMITH BARNEY HARRIS UPHAM & CO INC. (FILE 33-53786 OCT. 27) (BR. 8)
- S-8 COLUMBIA HOSPITAL CORP, 777 MAIN ST STE 2100, FORT WORTH, TX 76102 (817) 870-5900 40,626 (\$639,860) COMMON STOCK. (FILE 33-53788 OCT. 27) (BR. 6)
- S-4 CAPSTEAD MORTGAGE CORP, 2001 BRYAN TOWER, DALLAS, TX 75201 (214) 746-8000 29,500,000 (\$389,031,250) PREFERRED STOCK. (FILE 33-53790 OCT. 27) (BR. 5)
- S-8 CYPRUS MINERALS CO, 9100 E MINERAL CIRCLE, ENGLEWOOD, CO 80112 (303) 643-5000 600,000 (\$17,400,000) COMMON STOCK. (FILE 33-53792 OCT. 27) (BR. 1)

- S-8 CYPRUS MINERALS CO, 9100 E MINERAL CIRCLE, ENGLEWOOD, CO 80112 (303) 643-5000 1,500,000 (\$43,500,000) COMMON STOCK. (FILE 33-53794 OCT. 27) (BR. 1)
- S-8 VALERO ENERGY CORP, 530 MCCULLOUGH AVE, SAN ANTONIO, TX 78215 (512) 246-2000 300,000 (\$6,750,000) COMMON STOCK. (FILE 33-53796 OCT. 27) (BR. 4)
- S-8 TELETEK INC, 10200 E GIRARD AVE BLDG A STE 309, DENVER, CO 80231 (303) 696-6956 875,000 (\$612,500) COMMON STOCK. (FILE 33-53798 OCT. 27) (BR. 8)
- S-2 MASCOTT CORP, 304 REGENT ST, LIVINGSTON, NJ 07039 (201) 535-1000 4,312,500 (\$19,751,250) COMMON STOCK. 125,000 (\$125) WARRANTS, OPTIONS OR RIGHTS. 375,000 (\$1,917,500) COMMON STOCK. (FILE 33-53840 OCT. 28) (BR. 11)
- F-3 BROKEN HILL PROPRIETARY CO LTD, 600 BOURKE ST, MELBOURNE 3000, VICTORIA AUSTRAILIA, C3 (415) 981-1515 750,000,000 (\$750,000,000) FOREIGN GOVERNMENT AND AGENCY DEBT. (FILE 33-53844 OCT. 28) (BR. 3)
- S-8 DANSKIN INC, 111 WEST 40TH ST, NEW YOK, NY 10018 (212) 764-4630 200,000 (\$2,100,000) COMMON STOCK. (FILE 33-53852 OCT. 28) (BR. 7)
- S-3 BOSTON GAS CO, ONE BEACON ST, BOSTON, MA 02108 (617) 742-8400 50,000,000 (\$50,000,000) STRAIGHT BONDS. (FILE 33-53858 OCT. 28) (BR. 7)
- S-1 POOL ENERGY SERVICES CO, 10375 RICHMOND AVE, HOUSTON, TX 77042 (713) 954-3000 3,750,000 (\$30,000,000) COMMON STOCK. UNDERWRITER:
  HOWARD WEIL LABOUISSE FRIEDRICHS INC, LEHMAN BROTHERS. (FILE 33-53860 OCT. 28)
  (BR. 4)

## ACQUISITION OF SECURITIES

Companies and individuals must report to the Commission within ten days on Schedule 13D if after the acquisition of equity securities of a public company their beneficial interest therein exceeds five percent. Persons eligible to use the short form (Schedule 13G) may in lieu of filing a Schedule 13D file a Schedule 13G within 45 days after the end of the calendar year in which the person became subject to Section 13(d)(1). Companies and individuals making a tender offer must have on file at the time the tender offer commences a Schedule 14D-1.

Below is a list of recent filings of Schedules 13D and 14D, which includes the following information: Column 1 - the company purchased (top), and the name of the purchaser; Column 2 - the type of security purchased; Column - 3 - the type of form filed; Column 4 - the date the transaction occurred; Column 5 - the current number of shares (in 000's) owned (top) and the current percent owned; Column 6 - the CUSIP number (top) and the percent owned; and Column 7 - the status of the filing, i.e., new, update or revision.

NAME AND CLASS OF STOCK/OWNER	FORM		 CUSIP/ FILING PRIOR% STATUS
AGNICO EAGLE MINES LTD COM MENTOR EXPLORATION & DEVL CO	130	10/30/92	 00847410 8.0 UPDATE

NAME AND CLASS OF STOCK/OWNER		FORM	EVENT DATE		CUSIP/ F PRIOR% ST	
ALBERTO CULVER CO MUTUAL LIFE ASSUR/CANADA		13D	10/ 6/92		01306820 0.0 N	EW
CALLAWAY GOLF CO GENERAL ELEC PENS TR ET A	COM L	13D	10/28/92	2,771 <b>39.</b> 0	13119310 42.7 U	PDATE
CHEVRON CORP PENNZOIL CO	COM	13D	10/30/92	•	16675110 9.7 U	PDATE
COCA COLA BOTTLING CO CONS COCA COLA CO	COM	13D	10/30/92	2,455 26.7	19109810 14.8 U	PDATE
DIGITAL PRODS CORP MARINO MICHAEL F	COM PA	R \$0. 13D		816 8.6	25386730 0.0 N	EW
ENVIRONMENTAL DIAGNOSTICS IN LINDLEY J THOMAS SR	COM PA	R \$0. 13D	15 EC 10/23/92	408 11.9	29390740 12.0 U	PDATE
ENVIROGEN INC BLECH DAVID ET AL	COM	13D	10/21/92		29404010 0.0 N	EW
JOE FRANKLIN PRODUCTIONS COMMONWEALTH ASSET MGMT CO		13D	10/21/92	•	35499110 0.0 N	EW
GRANGES INC ANGEL TRYGGVE G	COM	13D	10/20/92		38799010 6.8 U	PDATE
IMPACT SYS INC FINMECCANICA S P A ET AL	COM	13D	10/23/92		45291310 19.9 U	PDATE
JUDICATE INC BELFORT JORDAN	COM	13D	10/19/92		48127810 N/A U	PDATE
L A ENTERTAINMENT INC BERNARD JONATHAN	COM	13D	9/ 2/92	16,450 18.0		EW
LAMSON & SESSIONS CO GAMCO INVESTORS INC ET AL	COM	13D	10/30/92		51369610 8.5 U	PDATE
LIMITED INC WEXNER LESLIE H ET AL	COM	1 <b>3</b> D	10/22/92		53271610 26.4 U	PDATE
MASSBANK CORP READING MASS PRIVATE CAP MGMT ET AL	COM	13D	10/26/92	146 6.6	57615210 5.9 U	PDATE
MEDALIST INDS INC CODE, HENNESSEY & SIMMONS	COM ET AL	13D	10/30/92	1,340 36.6	58402010 36.6 U	PDATE
MEDICIS PHARMACEUTICAL CORP DEPRENYL RESEARCH LTD	CL A	13D	11/ 2/92	2,740 6.5	58469010 8.5 U	PDATE
NATIONAL INCOME RLTY TR FRIEDMAN WILLIAM S ET AL	SH BEN	1NT 13D	NEW 10/23/92	954 28.9	63699220 29.0 U	PDATE

NAME AND CLASS OF STOCK/OWNER	<u> </u>	FORM	EVENT Date	SHRS(000)/ XOWNED	CUSIP/ PRIOR%	
NORTEK INC	СОМ			2,056	65655910	)
GAMCO INVESTORS INC ET AL		13D	10/29/92	16.5	15.5	UPDATE
REXENE CORP NEW	COM			658	76168310	)
BENNETT JAMES D ET AL		13D	10/22/92	6.3	0.0	NEW
RYAN BECK & CO INC	COM			1.625	78348310	)
GARVEY FENWICK H		13D	10/22/92	46.3		UPDATE
SCHERER R P CORP DEL	COM			7,024	80652810	1
SHEARSON LEHMAN BROS GRP	ET AL	13D	10/23/92	30.3	45.4	UPDATE
SEVENSON ENVIRONMENTAL SVCS	COM			185	81806310	)
FOYSTON GORDON & PAYNE		13D	4/20/92	2.9	2.0	NEW
SEVENSON ENVIRONMENTAL SVCS	COM			185	81806310	)
FOYSTON GORDON & PAYNE		13D	4/20/92	2.9	2.0	UPDATE
STUARTS DEPT STORES INC	COM			968	86383910	)
WALKER WIRT D III ET AL		13D	10/19/92	22.2	15.4	UPDATE

#### RECENT 8K FILINGS

Form 8-K is used by companies to file current reports on the following events:

- Item 1. Changes in Control of Registrant.
- Item 2. Acquisition or Disposition of Assets.
- Item 3. Bankruptcy or Receivership.
- Changes in Registrant's Certifying Accountant. Other Materially Important Events. Item 4.
- Item 5.
- Resignations of Registrant's Directors. Item 6.
- Financial Statements and Exhibits. Item 7.
- Item 8. Change in Fiscal Year.

The companies listed below have filed 8-K reports for the date indicated and/or amendments to 8-K reports previously filed, responding to the item(s) of the form specified. Copies of the reports may be purchased from the Commission's Public Reference Room (when ordering, please give the date of the report). An invoice will be included with the requested material when mailed.

	STATE	8K ITEM NO.
NAME OF ISSUER	CODE	1 2 3 4 5 6 7 8 DATE COMMENT
***************************************		
AMERICAN BIOMED INC	DE	X 04/30/92 AMEND
AMERICAN COMPLEX CARE INC	NY	x x 10/27/92
AMERICAN EXP REC FIN COR AME EXP MAS TR		x x 09/08/92
AMERICAN HOUSING TRUST V	NY	x 10/20/92
ANCHOR BANCORP INC	DE	x 10/20/92
ARISTA INVESTORS CORP	DE	x x 04/29/92
BANKAMERICA CORP	DE	x x 10/09/92

BAROID CORPORATION /DE	DE	x	X	10/23/92	
BAXTER INTERNATIONAL INC	DE	X		10/28/92	
BOSTON SCIENTIFIC CORP	DE	X		10/05/92	
BUCKEYE COMMUNICATIONS INC	DE		X	05/01/91	AMEND
CCI CODED COMMUNICATIONS INC		X		10/21/92	
CENTRUM INDUSTRIES INC	DE	XX )	(	10/12/92	
COBB RESOURCES CORP	NM	X	X	10/19/92	
CROMPTON & KNOWLES CORP	MA	X		10/28/92	
DIAL REIT INC	MD	X	X	10/27/92	
DIXIE YARNS INC	TN	х х	X	10/15/92	
DRECO ENERGY SERVICES LTD		X		10/26/92	
EL PASO ELECTRIC CO /TX/	TX	X		10/16/92	
EMPLOYEE BENEFIT PLANS INC	DE	X	X	10/15/92	
EQUITEC FINANCIAL GROUP INC	CA		Х	09/30/92	
FIRST COASTAL CORP	DE	X		10/28/92	
FOOTHILL GROUP INC	DE		X	10/28/92	
GOTTSCHALKS INC	DE	X		10/21/92	
GRACECARE HEALTH SYSTEMS INC	DE	X	Х	10/13/92	
HAMPTON RESOURCES CORP	DE	X	X	10/28/92	
HEXCEL CORP /DE/	DE	X		10/28/92	
HJELMS JIM PRIVATE COLLECTION LTD /DE/	DE	X		10/27/92	
IMPERIAL FEDERAL SAVINGS ASSO MOR PA TH	CA		X	09/30/92	
IMPERIAL FEDERAL SAVINGS ASSO MOR PAS TH	CA		X	09/30/92	
IMPERIAL FEDERAL SAVINGS ASSO MOR PAS TH	CA		X	09/30/92	
IMPERIAL FEDERAL SAVINGS ASSO MOR PAS TH	CA		Х	09/30/92	
INTERNATIONAL LEASE FINANCE CORP	CA		X	10/21/92	
JAMESWAY CORP	NY	X		09/17/92	AMEND
KITCHEN BAZAAR INC	DE	x		10/27/92	
MARK CORRECTIONAL SYSTEMS INC /DE/	ÐΕ	X		10/16/92	
MCDONALDS CORP	DE	X		10/28/92	
NEWELL CO	DE	X	X	10/21/92	
NL INDUSTRIES INC	NJ	X	X	10/28/92	
PARIS BUSINESS FORMS INC	PA	X		08/22/92	
PHCA CORP	FL	X	X	10/14/92	
PROTECTIVE LIFE CORP	DE	X		10/27/92	
PSS INC	DE	X		10/27/92	
RAILROAD FINANCIAL CORP	DE	X	X	10/23/92	
RJO BIOLOGICALS INC	CO	X X		10/15/92	
SAFEWAY INC	DE	X	X	10/27/92	
SHOWCASE COSMETICS INC	DE	X		10/16/92	
SMITHFIELD FOODS INC	DE	X		10/21/92	AMENO
SPINNAKER SOFTWARE CORP/MN	MN	NO ITEMS	v	10/16/92	AMEND
STAR MULTI CARE SERVICES INC	NY	vv	X	05/26/92	AMEND
STUARTS DEPARTMENT STORES INC	DE	x x	X	10/13/92	
SUN CO INC	PA	.,	.,	10/30/92	
UJB FINANCIAL CORP /NJ/	NJ	X	X	10/15/92	
UNION TEXAS PETROLEUM HOLDINGS INC	DE	X	X	10/27/92	
UNIVERSAL MANUFACTURING CO	NE	NO ITEMS		10/16/92	
WASHINGTON ENERGY CO	WA			10/29/92 10/29/92	
WASHINGTON NATURAL GAS CO	WA	v	v	10/26/92	
WINNEBAGO INDUSTRIES INC	IA	X X	X X	10/20/92	
AFC HOME EQUITY LOAN TRUST 1992-4	25		Α		
AMERICAN PLASTICS & CHEMICALS INC	DE	X	v	10/27/92 10/30/92	
BANK OF NEW YORK CO INC	NY	NO LIENS	X		AMEND
BARRIER SCIENCE & TECHNOLOGY INC	DE	NO ITEMS		09/15/92 11/03/92	AMEND
BELL ATLANTIC CORP	DE	NO ITEMS X	v	10/14/92	
BERKSHIRE REALTY CO INC /DE	MA		X	10/14/92	
BORDEN INC	NJ	X	X		
BOUNTY GROUP INC	DE	X	X	10/28/92	
CALIFORNIA ENERGY CO INC	DE	X	X	10/29/92	
CHAMBERS DEVELOPMENT CO INC	DΕ	Х	X	10/20/92	

CHASE MANHATTAN CREDIT CARD MASTER TRUST	DE	x	X	10/15/92	
CHASE MANHATTAN CREDIT CARD MASTER TRUST		X	X	10/15/92	
CHASE MANHATTAN CREDIT CARD MASTER TRUST	DE	X	X	10/15/92	
CHASE MANHATTAN CREDIT CARD TRUST 1990-A	DE	X	X	10/15/92	
CHASE MANHATTAN CREDIT CARD TRUST 1991-A	DE	X		10/15/ <del>9</del> 2	
CITIBANK SOUTH DAKOTA NA NATIONAL CREDIT			X	08/18/92	
CITICORP MOR SEC INC REMIC PAS THE CITI		X		10/27/92	
COMPUTERVISION CORP /DE/	DE	Х	X	10/22/92	
CONCEPT GOLD INC	NV	X X	X	10/13/92	
COOPER COMPANIES INC	DE	X	X	10/26/92	
DEKALB ENERGY CO	DE	X	X	10/16/92	
EASTERN UTILITIES ASSOCIATES	MA	X	X	10/30/92	
EDISON BROTHERS STORES INC	DE	X	X	10/28/92	
EUA POWER CORP /NH/	NH	X	X	10/30/92	
EXCALIBUR TECHNOLOGIES CORP	DE	X	X	10/28/92	
FIRST USA BANK	DE	X	X	10/15/92	
FIRST USA BANK FIRST USA CREDIT CARD TRU	DE	X	X	10/15/92	
FIRST USA BANK FIRST USA CREDIT CARD TRU	DE	X	X	10/15/92	
FIRST USA CREDIT CARD BACKED NOTES SERIE	DE	X	X	10/15/92	
FOUNDATION HEALTH CORPORATION GAYLORD CONTAINER CORP /DE/	DE	X X	X	10/15/92 10/16/92	
GOTTSCHALKS INC	DE DE	^x	^	10/21/92	AMEND
HFC HOME EQUITY LOAN TRUST SERIES 1991-1	DE	^	X	09/21/92	
HFC HOME EQUITY LOAN TRUST SERIES 1991-2	DE		x	09/21/92	
HFC HOME EQUITY LOAN TRUST SERIES 1992-1	V.		X	09/21/92	
HOME CENTERS INC	OH	x	x	10/27/92	
HOUSEHOLD CREDIT CARD TRUST 1991-1	NV	^	x	09/15/92	
HOUSEHOLD CREDIT CARD TRUST 1991-2	DE		x	09/15/92	
INTERMARK INC /DE/	DE	x	X	10/19/92	
INVACARE CORP	OH	χ	X	10/29/92	
JG INDUSTRIES INC/IL/	IL	. х	X	10/13/92	
KINDER CARE LEARNING CENTERS INC /DE	DE		X	10/30/92	
KLEER VU INDUSTRIES INC/DE/	DE	x		10/14/92	
MARINE DRILLING COMPANIES INC	TX	X	X	10/30/92	
MONEY STORE INC THE EQUITY LOAN ASS BAK			X	10/15/92	
MONEY STORE INC THS EQUITY LOAN ASS BAK			X	10/15/92	
MORTGAGE BANKERS FINANCIAL CORP I	DE	X	X	10/25/92	
NATIONAL CREDIT CARD TRUST 1989-2			X	08/18/92	
NATIONAL CREDIT CARD TRUST 1989-4			X	08/18/92	
NETWORK IMAGING CORP	DE		X	09/21/92	AMEND
PRIVATE LABEL CREDIT CARD MASTER TRUST 1	DE		X	09/15/92	
STANDARD CREDIT CARD TRUST 1990 4	DE		X	08/18/92	
STANDARD CREDIT CARD TRUST 1990-8	DE		X	08/18/92	
SUNRISE ENERGY SERVICES INC	DE	X X	X	10/16/92	
TECHNOLOGY SOLUTIONS COMPANY	DE	X		10/29/92	
TOUCHSTONE SOFTWARE CORP /CA/	CA	x	X	10/12/92	AMEND
TREMONT CORPORATION	DE	X	X	10/23/92	
U S PHYSICAL THERAPY INC /NV	NV		X	08/19/92	AMEND
UTILICORP UNITED INC	DE		X	10/29/92	
VALLEY NATIONAL CREDIT CARD TRUST 1990-A	NY		X	10/09/92	
VALLEY SYSTEMS INC	DE	X	X	10/29/92	
VMS NATIONAL HOTEL PARTNERS	IL	X	X	09/24/92	
VSE CORP	DE	XX	X	10/31/92	
WENDT BRISTOL HEALTH SERVICES CORP	DE	X	J	10/27/92	
WESTERN FEDERAL SAVINGS & LOAN ASSOCIATI	MD	x	X	10/26/92 10/22/92	
WM BANCORP WOLF FINANCIAL GROUP INC	NY	^ x	X	10/22/92	
MOLI TINANCIAL DRUGE INC	<b>7</b>	^	^	10/ 10/72	