sec news digest

# LIBRARY

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July 8, 1992

U S SECURITIES

# CIVIL PROCEEDINGS

SUMMARY JUDGMENT GRANTED AGAINST MICHEAL GRUENBERG

The Commission announced that Summary Judgment was granted and a Final Judgment and Order of Permanent Injunction entered against Micheal L. Gruenberg by the U.S. District Court for the District of Minnesota, enjoining him from violations of the antifraud, reporting and accounting provisions of the Securities Exchange Act of 1934. Micheal Gruenberg was formerly a director and president of Endotronics, Inc., a Minneapolis based biotechnology company.

The complaint, which was filed on May 28, 1991, alleged that in 1986 and 1987 Micheal Gruenberg, along with Eugene I. Gruenberg, formerly Endotronics' chairman and chief executive officer, engaged in a scheme to inflate Endotronics' revenue by reporting false sales and engaging in several undisclosed related party transactions which involved their secretly infusing funds into the company. Micheal and Eugene Gruenberg were both convicted by a U.S. District Court in October 1990 of various counts of violating federal wire, mail and securities laws in connection with the alleged scheme and sentenced to prison.

On or about January 9, 1992, the Commission moved for summary judgment against both defendants, which was granted on July 1, 1992 by the Honorable Harry H. MacLaughlin, U.S.D.C. Judge, with respect to Micheal L. Gruenberg. [SEC v. Micheal L. Gruenberg, et al., Civil Action No. 5-91-CV-68, USDC, D. Minn.] (LR-13302; AAE Rel. 411)

CIVIL ACTION AGAINST LATIN INVESTMENT CORP., ET AL.

The Commission announced today that the U.S. District Court for the District of Columbia last week entered an order and final judgment of permanent injunction and other relief against Fernando Leonzo (Leonzo), the former president of Latin Investment Corporation. The Court found that Leonzo violated Sections 5(a) and (c) of the Securities Exchange Act of 1933 (Securities Act) by selling unregistered securities, in the form of passbook accounts, to investors. The Court further found that Leonzo violated Section 17(a) of the Securities Act and Section 10(b) of the Exchange Act of 1934 (and Rule 10b-5 thereunder) by, among other things, misrepresenting to investors that Latin Investment Corporation was a bank and failing to tell investors that he used investors' funds for his own personal benefit in connection with sales of the passbook accounts. The Court ordered Leonzo to pay disgorgement and restitution of 6,461,266.91 and prejudgment interest of 9965,214. [SEC. v. Latin Investment Corp., et. al., USDC DC, 90 Civ. 3101, GAG] (LR-13304)

### CRIMINAL PROCEEDINGS

JOHN GENETTI AND LOUIS VARGAS INDICTED

The Commission and the U.S. Attorney for the Central District of California announced that on June 11, 1992 John A. Genetti (Genetti) and Louis Vargas (Vargas) were indicted and charged with racketeering and securities fraud in connection with a scheme to defraud investors through the sale of approximately \$35,000,000 worth of bogus municipal bonds. The Indictment charges that Genetti and Vargas violated Section 10(b) of the Securities Exchange Act of 1934 and Rule 10b-5 thereunder by misrepresenting, among other things, that securities sold by Reserve Financial Group, First Securities Group of California, Inc. and FSG Financial Services, Inc. (FSG) were municipal bonds issued, backed or sanctioned by various governmental entities.

In a related civil case, the Commission filed an action in July 1991 against FSG and Joan S. Kantor (Kantor) alleging fraud in connection with the sale of securities misrepresented to be municipal bonds. The Court has entered a preliminary injunction against FSG and Kantor, and has ordered other relief. [SEC v. FSG Financial Services, Inc. and Joan S. Kantor, Civil Action No. 91-3960 JM] (LR-13303)

#### INVESTMENT COMPANY ACT RELEASES

THE ADVANTAGE GROWTH AND TREASURY SECURITIES TRUST, ET AL.

The Advantage Growth and Treasury Securities Trust, et al. (Advantage) have been issued a conditional order under Section 6(c) of the Investment Company Act for an exemption from Section 12(d)(1) to permit certain unit investment trusts to invest in shares of one of certain mutual funds and zero coupon obligations. The conditional order also exempts Advantage from Section 14(a) to exempt the trust sponsor from having to take for its own account or place with others \$100,000 worth of units in the trusts. In addition, Advantage is exempted from Section 19(b) and Rule 19b-1 to permit the trusts to distribute capital gains resulting from redemption of fund shares within a reasonable time after receipt. Further, Advantage is exempted from Section 22(d) to permit waiver of any sales load otherwise applicable on fund shares that the trusts have purchased; under Sections 11(a) and (c) to permit certain offers of exchange involving the trusts; and under Section 17(d) and Rule 17d-1 permitting certain affiliated transactions involving the trusts. (Rel. IC-18819 -June 30)

#### SHEARSON LEHMAN APPRECIATION FUND, ET AL.

An order has been issued on an application filed by Shearson Lehman Appreciation Fund, et al. under Section 6(c) of the Investment Company Act granting an exemption from Sections 2(a)(32), 2(a)(35), 18(f), 18(g), 18(i), 22(c), and 22(d) of the Act and Rule 22c-1 thereunder. The exemption permits applicants to issue three classes of shares representing interests in the same portfolio of securities, and to assess a contingent deferred sales load (CDSL) on certain redemptions of shares of one of the classes, and to waive the CDSL in certain cases. (Rel. IC-18832 - July 7) WADDELL & PEED FUNDS, INC., ET AL.

A conditional order has been issued under Section 6(c) of the Investment Company Act exempting Waddell & Reed Funds, Inc., et al. from the provisions of Sections 2(a)(32), 2(a)(35), 22(c), and 22(d) of the Act and Rule 22c-1 thereunder. The exemption permits applicants to impose a contingent deferred sales charge (CDSC) on redemptions of the fund's shares and to waive the CDSC under certain circumstances. (Rel. IC-18833 -July 7)

#### SECURITIES ACT REGISTRATIONS

The following registration statements have been filed with the SEC under the Securities Act of 1933. The reported information appears as follows: Form, Name, Address and Phone Number (if available) of the issuer of the security; Title and the number and/or face amount of the securities being offered; Name of the managing underwriter or depositor (if applicable); File number and date filed; Assigned Branch; and a designation if the statement is a New Issue.

- N-2 BLACKROCK 2001 TERM TRUST INC, 1285 AVE OF THE AMERICAS, NEW YORK, NY 10019 (212) 713-2848 - 11,500,000 (\$115,000,000) COMMON STOCK. UNDERWRITER: PRUDENTIAL SECURITIES INC. (FILE 33-48764 - JUN. 24) (BR. 17 - NEW I\$SUE)
- N-1A TCW/DW SMALL CAP GROWTH FUND, TWO WORLD TRADE CENTER, NEW YORK, NY 10048 (212) 392-1600 - INDEFINITE SHARES. UNDERWRITER: DEAN WITTER REYNOLDS INC. (FILE 33-48765 - JUN. 24) (BR. 16 - NEW ISSUE)
- S-6 DEFINED ASSET FUNDS MUNICIPAL INVT TR FD INSURED SERIES 180, P O BOX 9051, C/O MERRILL LYNCH PIERCE FENNER & SMITH, PRINCETON, NY 08543 - INDEFINITE SHARES. (FILE 33-48785 - JUN. 25) (BR. 22 - NEW ISSUE)
- S-6 DEFINED ASSET FUNDS MUNICIPAL INVT TR FD INTERM TERM SER 194, NEW YORK, NY 10005 -INDEFINITE SHARES. (FILE 33-48786 - JUN. 25) (BR. 22 - NEW ISSUE)
- S-6 NUVEEN TAX EXEMPT UNIT TRUST SERIES 657, IL (FILE 33-48787 JUN. 25) (BR. 22 NEW ISSUE)
- S-1 SUNSHINE MINING CO /DE, 200 CRESCENT CT STE 1350, DALLAS, TX 75201 (214) 855-8700 4,500,000 (\$4,781,250) COMMON STOCK. (FILE 33-48814 JUN. 25) (BR. 6)
- S-8 EASEL CORP, 25 CORPORATE DR, BURLINGTON, MA 01803 (617) 221-2100 75,000 (\$1,696,875) COMMON STOCK. (FILE 33-48816 JUN. 25) (BR. 9)
- S-8 EASEL CORP, 25 CORPORATE DR, BURLINGTON, MA 01803 (617) 221-2100 100,000 (\$1,923,000) COMMON STOCK. (FILE 33-48817 JUN. 25) (BR. 9)
- S-8 FLEET FINANCIAL GROUP INC /RI/, 50 KENNEDY PLZ, PROVIDENCE, RI 02903 (401) 278-5800 (FILE 33-48818 JUN. 25) (BR. 2)
- S-8 EASEL CORP, 25 CORPORATE DR, BURLINGTON, MA 01803 (617) 221-2100 250,000 (\$5,656,250) COMMON STOCK. (FILE 33-48819 JUN. 25) (BR. 9)
- S-8 CHRONIMED INC, 13911 RIDGEDALE DR, MINNETONKA, NN 55343 (612) 541-0239 775,000 (\$4,068,750) COMMON STOCK. (FILE 33-48820 JUN. 25) (BR. 6)
- S-8 CHRONIMED INC, 13911 RIDGEDALE DR, MINNETONKA, MN 55343 (612) 541-0239 125,000 (\$656,250) COMMON STOCK. (FILE 33-48821 JUN. 25) (BR. 6)

- S-8 FUTURE NOW INC, 2722 EAST KENPER RD, CINCINNATI, OH 45241 (513) 771-7110 360,000 (\$2,220,000) COMMON STOCK. (FILE 33-48822 JUN. 25) (BR. 10)
- S-4 FIRST TENNESSEE NATIONAL CORP, 165 MADISON AVE, MEMPHIS, TN 38103 (901) 523-4444 4,729,738 (\$135,412,399) COMMON STOCK. (FILE 33-48823 JUN. 25) (BR. 1)
- S-8 UST INC, 100 W PUTNAN AVE, GREENWICH, CT 06830 (203) 661-1100 10,400,000 (\$276,900,000) COMMON STOCK. (FILE 33-48828 JUN. 26) (BR. 3)
- S-8 MERRILL LYNCH & CO INC, 250 VESEY ST, WORLD FINANCIAL CENTER NORTH TOWER, NEW YORK, NY 10281 (212) 449-2940 - 1,000,000 (\$46,250,000) COMMON STOCK. (FILE 33-48846 -JUN. 25) (BR. 12)
- S-8 ALAFIRST BANCSHARES INC, 255 GRANT ST S E, DECATUR, AL 35601 (205) 353-2530 52,500 (\$586,845) COMMON STOCK. (FILE 33-48847 JUN. 25) (BR. 2)
- S-8 MICROTERRA INC, 621 N W 53RD ST STE 370, BOCA RATON, FL 33487 (407) 997-2280 -100,000 (\$200,000) COMMON STOCK. 105,000 (\$236,250) COMMON STOCK. (FILE 33-48859 -JUN. 25) (BR. 8)
- S-8 KENDALL SQUARE RESEARCH CORP/DE, 170 TRACER LANE, WALTHAM, MA 02154 (617) 742-9100 - 262,500 (\$2,772,656.25) COMMON STOCK. (FILE 33-48881 ~ JUN. 26) (BR. 9)
- S-8 KENDALL SQUARE RESEARCH CORP/DE, 170 TRACER LANE, WALTHAM, MA 02154 (617) 742-9100 - 929,210 (\$9,814,780.63) COMMON STOCK. (FILE 33-48882 - JUN. 26) (BR. 9)
- S-8 NATIONSBANK CORP, NATIONSBANK PLZ, CHARLOTTE, NC 28255 (704) 386-5000 7,000,000 (\$312,375,000) COMMON STOCK. (FILE 33-48883 JUN. 26) (BR. 1)
- S-8 HEALTH CARE & RETIREMENT CORP / DE, ONE SEAGATE, TOLEDO, OH 43604 (419) 247-5600 1,933,000 (\$33,015,640) COMMON STOCK. (FILE 33-48885 JUN. 26) (BR. 6)

#### ACQUISITION OF SECURITIES

Companies and individuals must report to the Commission within ten days on Schedule 13D if after the acquisition of equity securities of a public company their beneficial interest therein exceeds five percent. Persons eligible to use the short form (Schedule 13G) may in lieu of filing a Schedule 13D file a Schedule 13G within 45 days after the end of the calendar year in which the person became subject to Section 13(d)(1). Companies and individuals making a tender offer must have on file at the time the tender offer commences a Schedule 14D-1.

The following list consist of recent filings of Schedules 13D and 14D, which includes the following information: Column 1 - the company purchased (top), and the name of the purchaser; Column 2 - the type of security purchased; Column - 3 - the type of form filed; Column 4 - the date the transaction occurred; Column 5 - the current number of shares (in 000's) owned (top) and the current percent owned; Column 6 - the CUSIP number (top) and the percent owned; and Column 7 - the status of the filing, i.e., new, update or revision. A the second second

NAME AND CLASS OF STOCK/OWNER		FORM	EVENT DATE	SHRS(000)/ XOUNED		
BMC INDS INC MINN Galileo industrie ottiche	Сом	130	6/25/92	417 7.7	05560710 0.0	NEW
CAS MEDICAL SYS INC SCHEPS LOUIS P	Cum	130	7/ 2/92	514 5.5	12476920 0.0	NEW
CCC FRANCHISING CORP BRENNAN ROBERT E	COM	130	6/12/92	18,600 57.1	12487710 51.0	UPDATE
CHANDLER INS LTD Centra inc	COM	130	7/ 2/92	1,635 23.6		UPDATE
CHANDLER INS LTD Centra inc	COM	130	7/ 2/92	1,6 <b>3</b> 5 23.6		RVSION
CONTINUUM INC CONTINUUM HOLDINGS CO	COM	130	6/24/92	1,928 17.9	21218010 31.9	UPDATE
CONTINUUM INC STATE AUTH SUPERANNUATION	COM Etal	130	6/23/92	1,247 11.6	21218010 16.2	UPDATE
INSIGNIA FINANCIAL GROUP HALPERN MERRIL M ET AL	COM CL	a 13d	6/29/92	4,983 29.3		UPDATE
KINARK CORP BRAININ GARRY	COM	13D	7/ 1/92		49447410 0.0	NEW
MECHANICAL TECHNOLOGY INC MASCO CORP	СОМ	130	7/ 1/92	•	58353810 48.6	UPDATE
MITCHELL ENERGY & DEV CORP MITCHELL GEORGE P	CL A	130	6/24/92	-	60659220 63.0	UPDATE
NORTH AMERN NATL CORP LAURENTIAN GROUP CORP ET /	COM NL	130	6/25/ <b>92</b>	837 25.3		UPDATE
NORTHEAST FED CORP RHODE ISL STATE INVMNT	СОМ	130	6/24/92	800 14.0	66416110 0.0	NEW
NUCORP INC ODYSSEY PARTNERS ET AL	COM	130	6/25/92	411 5.5	67035110 5.3	
NYCAL CORP CHANNEL HOTELS & PROPERTI	COM Es	130	7/ <b>3</b> /92		67066010 18.2	
REN CORP-USA COBE LABORATORIES INC ET /	COH NL	1 <b>3</b> D	7/ 2/92		75965610 40.1	
REXENE CORP GILLIAM WILLIAM J	СОМ	13D	6/26/92	3,643 11.6	76168210 12.2	
SALOMON PHIBRO OIL TR BEAR STEARNS & CO	UNI1 B	EN INT 1 <b>3</b> 0	6/22/92	•	79599510 6.5	

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NAME AND CLASS OF STOCK/OWNER		ORM	EVENT DATE	SHRS(000)/ XOWNED	CUSIP/ PRIOR%	FILING <u>STATUS</u>
STONERIDGE RES INC	r COM			1,289	86183910	1
PATRICIA INVMTS INC ET AL		130	4/10/92	9.4	9.9	UPDATE
TECHNOLOGY DEV CORP	COM			376	87899210	)
REAMER R E ET AL		13D	6/ 3/92	15.7	18.6	UPDATE
UNIVERSAL MATCHBOX GROUP LTD	COM			7,750	91373010	)
YEH DAVID C W		130	7/ 1/92	79.5	73.0	UPDATE
VERNITRON CORP	COM NEW	ł		756	92435930	1
SHEARSON ELEC INC ET AL		1 <b>3</b> D	6/25/92	14.6	15.2	UPDATE
VITESSE SEMICONDUCTOR CORP	COM			933	92849710	)
NORTON CO		13D	6/23/92	6.9	8.8	UPDATE

## RECENT 8K FILINGS

Form 8-K is used by companies to file current reports on the following events:

- Item 1. Changes in Control of Registrant.
- Item 2. Acquisition or Disposition of Assets.
- Item 3. Bankruptcy or Receivership.
- Changes in Registrant's Certifying Accountant. Other Materially Important Events. Resignations of Registrant's Directors. Item 4.
- Item 5.
- Item 6.
- Item 7. Financial Statements and Exhibits.
- Item 8. Change in Fiscal Year.

The companies listed below have filed 8-K reports for the date indicated and/or amendments to 8-K reports previously filed, responding to the item(s) of the form specified. Copies of the reports may be purchased from the Commission's Public Reference Room (when ordering, please give the date of the report). An invoice will be included with the requested material when mailed.

STATE	8K ITEM NO.			
CODE	1234567	78	DATE	COMMENT
DE	X		06/26/92	
DE	X )	(	06/15/92	
UT	X		06/23/91	
AK	X		06/30/92	
MA	X		06/11/92	
DE	x	(	06/26/92	
DE	X		05/01/92	
FL	X		06/24/92	
DE	x>	(	06/26/92	
	CODE DE DE UT AK MA DE DE FL	CODE 1 2 3 4 5 6 7   DE X DE X D UT X AK X D DE X D	CODE 1 2 3 4 5 6 7 8   DE X <td>CODE 1 2 3 4 5 6 7 8 DATE   DE X 06/26/92   DE X X 06/15/92   UT X 06/23/91   AK X 06/30/92   MA X 06/11/92   DE X X 06/26/92   FL X 06/26/92</td>	CODE 1 2 3 4 5 6 7 8 DATE   DE X 06/26/92   DE X X 06/15/92   UT X 06/23/91   AK X 06/30/92   MA X 06/11/92   DE X X 06/26/92   FL X 06/26/92

	STATE	8K ITEM NO.	
NAME OF ISSUER	CODE	12345678	DATE COMMENT
AMERICAN PACIFIC MINT INC	NV	X X	06/18/92
AMERICAN STEEL & WIRE CORPORATION	DE	X	06/08/92
AMR CORP	DE	x	06/22/92
ANDREW CORP	DE	ХХ	07/01/92
AQUA BUOY CORP	СО	X	04/08/92 AMEND
ARCHIVE CORP /DE/	DE	X X	06/22/92
ARISTAR INC	DE	X	06/24/92
ARTISTIC GREETINGS INC	DE	ХХ	06 <u>/16/92</u>
ASPEN MARINE GROUP INC	CO	X	06/22/92
ASSOCIATED BANC CORP	WI	ХХ	05/08/92 AMEND
AUDIO COMMUNICATIONS NETWORK INC	FL	NO ITEMS	05/30/92
AUDRE RECOGNITION SYSTEMS INC		X	06/08/92
AURA SYSTEMS INC	DE	NO ITEMS	06/11/92 AMEND
AVALON COMMUNITY SERVICES INC	NV	X X X X	06/15/92
AVESIS INC	DE	X	06/22/92
BAGDAD CHASE INC	NV	X	05/05/92
BALFOUR MACLAINE CORP	DE	X	04/29/92
BANCTENN CORP	TN	хх	06/26/92
BANKAMERICA CORP	DE	хх	07/01/92
BANKWORCESTER CORP	DE	x x	06/23/92
BANYAN MORTGAGE INVESTMENT FUND	DE	x	06/19/92
BANYAN STRATEGIC LAND FUND II	DE	x	06/30/92
BARRYS JEWELERS INC /CA/	CA	x	06/30/92
BARTON INDUSTRIES INC	OK	X X	06/18/92
BECKMAN INSTRUMENTS INC	DE	xx	06/24/92
BERGEN BRUNSWIG CORP	NJ	X X	06/19/92
BESICORP GROUP INC	NY	x	07/01/92
BEST COLLATERAL INC	CO	x x x x x x x	06/17/92
BOATMENS BANCSHARES INC /MO	MO		06/30/92
BONNEVILLE PACIFIC CORP	DE	X X X	06/12/91
BOWL AMERICA INC	MD	x	06/26/92
BRT REALTY TRUST	MA	x	06/30/92
BSD BANCORP INC	DE	x	06/18/92
BUCKEYE COMMUNICATIONS INC	DE	x	06/15/92
CALGENE INC /DE/	DE	x	06/26/92
CARNA INC/DE	DE	x x	06/19/92
	DE		
CARNIVAL CRUISE LINES INC		X	07/06/92
CAROLINA POWER & LIGHT CO	NC	X	07/02/92
CATERPILLAR FINANCIAL SERVICES CORP	DE	X X	07/02/92
CCC FRANCHISING CORP	NY	X X	06/12/92
CCR INC	UT	X	04/09/92 AMEND
CENTURY PROPERTIES FUND XII	CA	X	04/07/92 AMEND
CENTURY PROPERTIES FUND XX	CA	X	05/29/92 AMEND
CHEMICAL WASTE MANAGEMENT INC	DE	X	06/29/92
CHIPPEWA RESOURCES CORP/CO	CO	X	06/26/92
CIRCLE FINE ART CORP	DE	X	06/29/92
CITY NATIONAL BANCSHARES CORP	NJ	X X	05/15/92
CITYFED FINANCIAL CORP	DE	x	06/16/92
COMCAST CORP	PA	X X	06/27/92
COMMERCIAL INTERNATIONAL CORP	DE	x x	06/04/92
COMMONWEALTH EDISON CO	IL	X	06/24/92

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	STATE	8K ITEM NO.			
NAME OF ISSUER	CODE	123456	78	DATE	COMMENT
CONTEX SCIENTIFIC CORP	NY	X		06/29/92	
CONSOLIDATED CAPITAL INSTITUTIONAL PROPE	CA	X	X	06/12/92	
CPI CORP	DE	x		06/25/92	
CREATIVE RESOURCES INC	NV	x		06/22/92	
CULLUM COMPANIES INC	ТХ	x		07/01/92	
DATA BROADCASTING CORPORATION	DE	XX X	X	06/26/92	
DECADE COMPANIES INCOME PROPERTIES	WI	X	X	06/12/92	
DEPRENYL ANIMAL HEALTH INC	MO	хх		06/30/92	
DEV TECH CORP	FL	NO ITEMS		06/24/92	
DIGITAL PRODUCTS CORP	FL	x	X	06/16/92	
DRILLSTAR INTERNATIONAL CORP	DE	X		06/26/92	
ELMWOOD BANCORP INC	PA	X	X	06/30/92	
EMERSON RADIO CORP	NJ	X	X	06/24/92	
ENULEX CORP /DE/	DE	X	X	06/19/92	
ENEX RESOURCES CORP	DE	X	X	06/30/92	
ENSTAR INCOME GROWTH PROGRAM FIVE-A LP	GA	X		05/30/92	
ENSTAR INCOME GROWTH PROGRAM FIVE-B LP	GA	X		05/30/92	
ENSTAR INCOME PROGRAM II-1 LP	GA	X		05/30/92	
ENSTAR INCOME PROGRAM II-2 LP	GA	X		05/30/92	
ENSTAR INCOME PROGRAM IV-1 LP	GA	X		05/30/92	
ENSTAR INCOME PROGRAM IV-2 LP	GA	X		05/30/92	
ENSTAR INCOME PROGRAM IV-3 L P	GA	X		05/30/92	
ENSTAR INCOME PROGRAM 1984-1 LP	GA	X		06/30/92	
ERO INC	DE	X	X	06/30/92	
EXTEN INDUSTRIES INC	DE	X		06/23/92	
FALCON CABLE SYSTEMS CO	CA	X		06/19/92	
FALCON OIL & GAS CO INC	CO	X	X	06/26/92	
FARM & HOME FINANCIAL CORP	DE	X	X	06/26/92	
FBS MORTGAGE CORP	NV	X		06/25/92	
FD ASSETS CORP	OR	xx	~	06/26/92	
FERRARA FOOD COMPANY INC	DE	×	X	06/26/92	
FIDELITY NATIONAL FINANCIAL INC /DE/	DE	X	v	06/30/92	
FIRST BANK SYSTEM INC	DE		X	04/30/92	AMEND
FIRST BANK SYSTEM INC	DE	X	X	06/25/92	
FIRST COMMONWEALTH CORP	VA	XX	X	06/16/92	
FIRST EXECUTIVE CORP	DE	X	X	07/02/92	
FIRST INVESTORS 1992-A GRANTOR TRUST	TX	X	X	06/15/92	
FLEET CALL INC	DE	X	X	06/27/92	
FLORIDA STEEL CORP	FL	X	X	06/26/92	
FLS HOLDINGS INC	FL	X		06/26/92	
FOOTHILL GROUP INC	DE	~	X	06/29/92 06/29/92	
FREEPORT MCMORAN COPPER & GOLD INC	DE	×	X	• • •	
FRIES ENTERTAINMENT INC	DE	x	X	06/23/92 06/17/92	
FUND AMERICAN ENTERPRISES HOLDINGS INC	DE	X	X	06/17/92	
GECPF 1 TAX EXEMPT GRANTOR TRUST SERIES	NY	X	X	06/25/92	
GECPF 2 TAX EXEMPT GRANTOR TRUSTS	NY	X	X	06/15/92	
GECPF 3 TAX EXEMPT GRANTOR TRUSTS	NY	x	X	06/24/92	
GENERATION 5 TECHNOLOGY INC /CO/	00	x	X	06/24/92	
GLOBESAT HOLDING CORP	UT	x	v	11/15/91	AMEND
GRANITE STATE BANKSHARES INC	NH		X	11/12/91	AMERU

K REPORTS CONT.

NAME OF ISSUER	STATE CODE		tem No 3 4 5	). 678	DATE	COMMENT
GREAT NORTHERN GAS CO					06/30/92	
GREENMAN BROTHERS INC	CO	NO II			• •	
GRUDGE MUSIC GROUP INC /NY/	NY		X	v	06/26/92	
HAWAIIAN ELECTRIC INDUSTRIES INC	NY		v	X	03/24/92	AMEND
HEIN WERNER CORP	HI	v	X	v	06/23/92	
	, WI	X		X	06/20/92	
HITOX CORPORATION OF AMERICA HNB FINANCIAL GROUP	DE			X	06/15/92	
	CA	NO I	_	v	06/11/92	AMEND
HOH WATER TECHNOLOGY CORP HOLSON BURNES GROUP INC	CA		X	X	06/17/92	
	DE	X		X	06/19/92	
HONE FEDERAL CORP	MD		X	X	07/12/92	
HOMEFED CORP	DE		X		06/25/92	
HOUSTON INDUSTRIES INC	TX		X	X	06/29/92	
I FLOW CORP /CA/	CA		X		06/29/92	•
IDAHO POWER CO	ID		X		06/11/92	
IMEX MEDICAL SYSTEMS INC	DE	X		X	06/30/92	
INTERNATIONAL BROADCASTING CORP /MN/	MN		х	X	05/08/92	
INVESTORS TRUST INC	IN	хх		X	06/16/92	
JAMESWAY CORP	NY		X	X	06/06/92	
JONES GROWTH PARTNERS II L P	CO			X	04/30/92	AMEND
LANCER INDUSTRIES INC /DE/	DE		Х		06/26/92	
LASER PHOTONICS INC	DE		X	X	06/22/92	
LAWYERS TITLE CORP	VA		X	X	06/22/92	
LEHMAN PASS THROUGH SECURITIES INC	DE		X		06/25/92	
LINIUM TECHNOLOGY INC	DE		x	X	06/01/92	AMEND
LOMAS FINANCIAL CORP	DE		Х		06/09/92	
LORAL CORP /NY/	NY		X	X	06/30/92	
MAGNA GROUP INC	DE		X	X	12/20/91	
MAIL BOXES COAST TO COAST INC	DE	хх		X	06/30/92	
MARINE MIDLAND BANK N A			X	X	06/18/92	
MARINE MIDLAND 1989-1 CARS R TRUST	NY		х	X	06/15/92	
MAUI LAND & PINEAPPLE CO INC	HI		X		06/12/92	
MEAD CORP	OH			X	07/02/92	
MEREDITH CORP	IA		х	x	06/25/92	
MERIS LABORATORIES INC	CA	x		x	06/22/92	
MERIS LABORATORIES INC	CA		x		06/27/92	
MICROSEMI CORP	DE		X		06/26/92	
MICROSEMI CORP	DE		x		06/26/92	
NID SOUTH INSURANCE CO	NC		x		06/26/92	
MILESTONE PROPERTIES INC	DE		~	x	01/07/92	AMEND
MILESIONE PROPERTIES INC MINNESOTA MINING & MANUFACTURING CO	DE			x	06/30/92	MILING
	DE	x		^	06/15/92	
MITEL CORP		*		v		
MONEY STORE INC /NJ	NJ		v	X	06/15/92	
MOORE MEDICAL CORP	DE		X	X	06/29/92	
MORTGAGE BANKERS FINANCIAL CORP I	DE		X	X	06/20/92	
MORTGAGE BANKERS FINANCIAL CORP I	DE		X	X	06/25/92	
NATIONSBANK CORP	NC		X	X	06/15/92	
NEW EAST BANCORP	NC		X		05/27/92	
NEW JERSEY RESOURCES CORP	NJ		X		06/24/92	
NEW VALLEY CORP	NY		X	X	06/26/92	
NIPSCO INDUSTRIES INC	IN		X		06/30/92	
NISSAN AUTO RECEIVABLES 1991-A GRANTOR T			X	X	06/15/92	

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	STATE	-				
NAME OF ISSUER	CODE	123	-	6 / 8	DATE	COMMENT
NISSAN AUTO RECEIVABLES 1991-B GRANTOR T	DE		X	X	06/15/92	
NISSAN AUTO RECEIVABLES 1992-A GRANTOR T	DE		X	X	06/15/92	
NORTH FORK BANCORPORATION INC	DE		X		06/29/92	
NORTHERN INDIANA PUBLIC SERVICE CO	IN		X		06/30/92	
NORTHWEST PIPELINE CORP	DE		X		07/02/92	
NORTHWESTERN STEEL & WIRE CO	IL		X	X	06/30/92	
NYCOM INFORMATION SERVICES INC	NY		X	X	05/28/92	
ONE FINANCIAL USA INC	18	X	X	X	04/30/92	
OPTA FOOD INGREDIENTS INC /DE	DE	X		X	06/17/92	
PACESETTER BUSINESS PROPERTIES	CA		X	X	06/30/92	
PACIFIC REAL ESTATE INVESTMENT TRUST	CA	X		X	06/17/92	
PANWORLD MINERALS INTERNATIONAL INC /UT	NV		X		06/25/92	
PARTICIPATING DEVELOPMENT FUND 86	CT		X		06/15/92	
PERSEPTIVE BIOSYSTEMS INC	DE		X		06/15/92	
PETTIBONE CORP	DE		X		06/29/92	
PHARMETICS INC	NY		X		06/23/92	
PHILIP MORRIS COMPANIES INC	VA		Х		06/24/92	
PIONEER BANCORP INC/NC	NC		X	X	06/25/92	
PIT STOP AUTO CENTERS INC	NV	XX	ĸ	хх	05/26/92	
PITTWAY CORP /DE/	DE		X	x	06/26/92	
POLLUTION RESEARCH & CONTROL CORP /CA/	CA	1	ĸ		06/22/92	
PREFERRED INCOME FUND II LIMITED PARTNER	DE		Х		05/15/92	
PREFERRED PROPERTIES FUND 82	CA			x	03/31/92	AMEND
PRIME MEDICAL SERVICES INC	DE		х	x	06/23/92	
PRINCETON ELECTRONIC PRODUCTS INC	NJ	NO ITE	IS		06/23/92	
PRO DEX INC	CA		х		06/01/92	
PULSE BANCORP INC	NJ		X	x	06/25/92	
QUADREX CORP	DE	x		x	06/17/92	
RALLYS INC	DE	X		x	06/12/92	
RAYTECH CORP	DE		x		06/19/92	
REN CORP USA	TN			x	04/29/92	AMEND
RESIDENTIAL FUNDING MORTGAGE SECURITIES	DE			X	06/25/92	
RESIDENTIAL FUNDING MORTGAGE SECURITIES	DE			X	06/26/92	
RESIDENTIAL FUNDING MORTGAGE SECURITIES	DE			x	06/29/92	
RESIDENTIAL FUNDING MORTGAGE SECURITIES	DE			X	06/29/92	
RESIDENTIAL FUNDING MORTGAGE SECURITIES	DE			X	06/30/92	
RESOLUTION TRUST CORP COMM MOR PAS THR C			X	x	06/25/92	
RESOLUTION TRUST CORP COMM MORT PAS THR			X	x	06/25/92	
RESOLUTION TRUST CORP COMM MORT PAS THR			x	x	06/25/92	
REXON INC	DE		x	x	06/19/92	
RHODES INC	GA	x	^	x	06/18/92	
RIGGS NATIONAL CORP	DE	NO ITE	19	~	06/30/92	
RIO GRANDE INC /DE/	DE	X	14		09/16/88	
ROBERTSON CECO CORP	DE	^	v	v	06/30/92	
ROCKY MOUNTAIN BEVERAGE CO		v	X X	X	06/16/92	
ROOSEVELT FINANCIAL GROUP INC	CO DE	X		v		
	DE		X	X	06/30/92 07/01/92	
RURAL HOUSING TRUST 1987-1	DE	v	X	v		
RX MEDICAL SERVICES CORP	NV	X	X	X	06/19/92	
RYLAND MORTGAGE SECURITIES CORPORATION T	VA		X	X	06/25/92	
SAGE ALERTING SYSTEMS INC	DE		X		06/10/92	

8K REPORTS CONT.

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NAME OF ISSUER	STATE CODE	8K IT 123		678	DATE	COMMENT
SALVATORI OPHTHALMICS INC	 FL		 Х	••••	06/25/92	
SAME DAY EXPRESS INC	FL		x		04/15/92	AMEND
SANFILIPPO JOHN B & SON INC	DE		X		06/17/92	
SCHWARTZ BROTHERS INC	DE	x			07/02/92	
SECURITY CAPITAL BANCORP	NC		X	х	06/30/92	
SECURITY PACIFIC ACCEPTANCE CORP	DE			X	06/29/92	
SHOWBOAT INC	NV		X		10/01/91	
SIGNET CREDIT CARD TRUST 1990-1	NY		Х	x	06/26/92	
SILICON GRAPHICS INC /CA/	DE		X	х	06/22/92	
SOURCE ONE MORTGAGE SERVICES CORP	DE		X	x	06/25/92	
SOUTHEAST BANK CREDIT CARD TRUST 1990 A				x	06/15/92	
SOUTHSIDE BANCSHARES CORP	MO		x		06/15/92	
SPORTS LEISURE INC /DE	DE			х	03/18/92	AMEND
STANLEY INTERIORS CORP	DE		x		06/26/92	
STAR BANC CORP /OH/	OH	х	X	x	06/19/92	
STERLING OPTICAL CORP	NY		x	x	04/30/92	
SUMMAGRAPHICS CORP	DE		X		06/17/92	
SUNLITE INC	DE		X		03/24/92	AMEND
SURETY FINANCE CORPORATION I	DE		X		06/18/92	
TANDY BRANDS ACCESSORIES INC	DE			x	04/30/92	
TECHNOLOGY SOLUTIONS COMPANY	DE		X		06/29/92	
TEJAS GAS CORP	DE		х	х	06/17/92	
TELE COMMUNICATIONS INC	DE		X		06/30/92	
TELLABS INC	IL		X		06/26/92	
TESORO PETROLEUM CORP /NEW/	DE	х		x	06/15/92	
TEXACO INC	DE		x	x	07/01/92	
TEXAS INSTRUMENTS INC	DE		X	x	06/29/92	
TEXAS SECURITIES INC	UT		x		06/22/92	
TEXTRON INC	DE		X	х	07/06/92	
TOTAL PHARMACEUTICAL CARE INC	CA	х		х	06/16/92	
TRANSCO EXPLORATION PARTNERS LTD	ТХ		X		06/30/92	
TREE TECHNOLOGY INTERNATIONAL INC	DE	x		x	06/23/92	
TSI CORP /DE/	DE		X		04/22/92	
TSI CORP /DE/	DE		X		05/22/92	AMEND
TSI CORP /DE/	DE	х		x	06/29/92	
T2 MEDICAL INC	DE		X	x	06/26/92	
U S ALCOHOL TESTING OF AMERICA INC	DE		X		06/29/92	
UNILAB CORP	DE		X	x	05/22/92	
UNITED GAMING INC	NV		X		06/29/92	
UNITED INCOME INC	OH	х		х	06/16/92	
UNITED STATES LEASING INTERNATIONAL INC	DE		х	x	06/15/92	
UNITED TRUST INC /IL/	IL	x		x	06/16/92	
UNIVERSAL GUARANTY INVESTMENT CO	DE	X	x	x	06/16/92	
US WEST INC	co		x		07/02/92	
VAC TEC SYSTEMS INC	co	x			06/22/92	
VEHICLE EQUIPMENT LEASING COMPANY INC	~~		x	x	05/15/92	
VEHICLE EQUIPMENT LEASING COMPANY INC			x	x	06/15/92	
VEHICLE EQUIPMENT LEASING COMPANY INC			x	x	06/15/92	
WASTE MANAGEMENT INC	DE		x	x	06/29/92	
WEDGESTONE FINANCIAL	MA	x	X	X	06/15/92	
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	STATE	8K ITEM NO.			
NAME OF ISSUER	CODE	123456	78	DATE	COMMENT
•••••					
WESTERN FEDERAL SAVINGS & LOAN ASSOCIATI			X	06/25/92	
WESTERN FINANCIAL CORP /KS	KS	x	X	06/29/92	
WESTINGHOUSE ELECTRIC CORP	PA		X	06/18/92	
WETTERAU INC	NO	X	X	06/30/92	
WHEELABRATOR TECHNOLOGIES INC /DE/	DE	X	X	06/29/92	
WISCONSIN POWER & LIGHT CO	WI	X	X	06/29/92	
WORDSTAR INTERNATIONAL INC	DE	x	X	06/16/92	

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