sec news digest

Issue 92-11

January 16, 1992

COMMISSION ANNOUNCEMENTS

ENFORCEMENT PROCEEDINGS

Today the Commission, in conjunction with the Office of the Comptroller of the Currency (OCC) and the Board of Governors of the Federal Reserve System (Board), announced the institution of enforcement proceedings against 98 registered brokerdealers, registered government securities brokers and/or dealers and banks. These proceedings arise out of the investigation of the widespread practice of submitting false reports of customer orders and sales by the participants in the market for the debt securities of government sponsored enterprises (the Federal Home Loan Banks, the Federal Farm Credit Banks Funding Corporation, the Federal National Mortgage Association, the Federal Home Loan Mortgage Corporation and the Student Loan Marketing Association). (Press Rel. 92-05)

ADMINISTRATIVE PROCEEDINGS

PROCEEDINGS AGAINST FRED ENGELBRECHTEN

The Commission announced today that it issued a default order pursuant to Section 21C of the Securities Exchange Act of 1934 (Exchange Act) against Fred Engelbrechten (Engelbrechten), formerly an independent manufacturer's representative for the Datamag Canada Project, a majority held subsidiary of Datamag, Inc. (Datamag). Under the order, Engelbrechten must permanently cease and desist from aiding and abetting any violation, and aiding and abetting any future violation, of Section 13(a) of the Exchange Act and Rules 12b-20, 13a-1 and 13b2-2 promulgated thereunder.

The order states that in connection with the audit of Datamag's financial statements for its fiscal year ended September 30, 1987, Engelbrechten knowingly furnished a false confirmation letter to Datamag's auditors, thereby substantially assisting Datamag in filing false financial statements and assisting certain Datamag officers and directors in making materially false and misleading statements and omissions of material facts to Datamag's auditors. (Rel. 34-30173)

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U.S. SECURITIES EXCHANGE COMMISSION

HABERSHEIR SECURITIES, INC. AND PRINCIPALS SANCTIONED

On January 9, the Commission issued Findings and an Order Imposing Remedial Sanctions by Default (Default Order) against Habersheir Securities, Inc. (Habersheir), a registered broker-dealer formerly operating in Atlanta, Georgia, Sharrieff Mustakeem (Mustakeem), its president and Raymond Khalif (Khalif), its financial and operations principal, which revokes Habersheir's registration with the Commission as a brokerdealer, permanently bars Mustakeem and Khalif from association with any broker or dealer, and orders Mustakeem and Khalif to cease and desist from committing or causing a violation and any future violations of Sections 15(c)(3) and 17(a) of the Securities Exchange Act of 1934 (Exchange Act) and Rules 15c3-1, 17a-3 and 17a-4 thereunder. The Respondents failed to Answer the Order Instituting Proceedings and therefore were found to be in default.

The Default Order states that from July 1988 through February 1989 Habersheir operated a general securities business while it failed to maintain the requisite minimum net capital, and it failed to make and keep current, and preserve, such records as prescribed by Commission rules, in violation of Sections 15(c)3 and 17(a) of the Exchange Act and Rules 15c3-1, 17a-3 and 17a-4 thereunder and that Mustakeem and Khalif willfully aided and abetted such violations. (Rel. 34-30174)

PROCEEDINGS IN THE MATTER OF THE DISTRIBUTION OF SECURITIES ISSUED BY CERTAIN GOVERNMENT SPONSORED ENTERPRISES

The Commission today announced administrative proceedings instituted jointly by the Commission, the Office of the Comptroller of the Currency (OCC) and the Board of Governors of the Federal Reserve System (FRB) against 98 registered broker-dealers, registered government securities brokers and/or dealers and banks (respondents); the issuance of Commission, OCC and FRB orders imposing sanctions, which include provisions directing the respondents to cease and desist from committing future violations and requiring the payment of civil money penalties to the United States Treasury in the total amount of \$5,165,000; and the Commission's issuance of a Report Pursuant to Section 21(a) of the Securities Exchange Act of 1934 Regarding the Distribution of Certain Debt Securities Issued by Government-Sponsored Enterprises.

The administrative proceedings result from the respondents' alleged violations of certain broker-dealer and government securities broker-dealer record-keeping provisions of the Securities Exchange Act of 1934 in connection with the respondents' participation in certain primary distributions of unsecured debt securities issued by one or more of the following government-sponsored enterprises: the Federal Home Loan Banks, the Federal Farm Credit Banks Funding Corporation, the Federal National Mortgage Association, the Federal Home Loan Mortgage Corporation and the Student Loan Marketing Association. (Rels. 34-30192 - 34-30251)

INVESTMENT COMPANY ACT RELEASES

CORTLAND TRUST, INC., ET AL.

A notice has been issued giving interested persons until February 10 to request a hearing on an application filed by Cortland Trust, Inc., Reich & Tang L.P. and Reich & Tang Distributors L.P. for a conditional order under Section 11(a) of the Investment Company Act. The conditional order would approve offers of exchange among investment companies that are not all within one "group of investment companies," as defined in Rule 11a-3 under the Act. (Rel. IC-18487 - January 15)

OPPENHEIMER FUND, ET AL.

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f d ie il A conditional order has been issued on an application filed by Oppenheimer Fund, et al. under Section 6(c) of the Investment Company Act exempting the applicants from the provisions of Sections 2(a)(32), 2(a)(35), 22(c) and 22(d) of the Act and Rule 22c-l thereunder. The conditional order permits the applicants to impose a contingent deferred sales charge (CDSC) on redemptions of its shares and to waive the CDSC under certain circumstances. (Rel. IC-18488 - January 15)

SIGNIFICANT NO-ACTION AND INTERPRETATIVE LETTERS

INTERPRETATION OF NEW RULES UNDER SECTION 16 OF THE EXCHANGE ACT

The Division of Corporation Finance has announced the publication of significant staff correspondence interpreting the new Section 16 rules. Copies of the letters may be obtained by writing to, or by making a request in person at, the Public Reference Room, Securities and Exchange Commission, 450 5th Street, N.W., Room 1024, Washington, D.C. 20549. Each request must state the name of the subject company, the Act and the Section of the Act to which it relates, and the public availability date.

Letter	Availability Date	Subject
C S Holding	January 16, 1992	Rules 16a-1(a)(l) and 13d-1(b)(l)(ii)(G)
Merck & Co., Inc.	January 16, 1992	Rules 16b-3(c)(2)(i) and 16b-3(c)(2)(ii)

SECURITIES ACT REGISTRATIONS

The following registration statements have been filed with the SEC under the Securities Act of 1933. The reported information appears as follows: Form, Name, Address and Phone Number (if available) of the issuer of the security; Title and the number and/or face amount of the securities being offered; Name of the managing underwriter or depositor (if applicable); File number and date filed; Assigned Branch; and a designation if the statement is a New Issue.

- S-1 ISP CHEMICALS INC, RT 95 INDUSTRIAL AREA P O BOX 37, CALVERT CITY, KY 42029
 (302) 429-8554 150,000,000 (\$150,000,000) STRAIGHT BONDS. (FILE 33-44862 JAN. 08)
 (BR. 2 NEW ISSUE)
- S-8 CHASE MANHATTAN CORP, 1 CHASE MANHATTAN PLZ, NEW YORK, NY 10081 (212) 552-2222 -8,500,000 (\$154,062,500) COMMON STOCK. 8,500,000 PREFERRED STOCK. (FILE 33-44863 -JAN. 08) (BR. 1)
- S-3 ACCLAIM ENTERTAINMENT INC, 71 AUDREY AVE, OYSTER BAY, NY 11771 (516) 922-2400 823,750 (\$4,144,492.18) COMMON STOCK. (FILE 33-44864 JAN. 08) (BR. 10)
- S-8 RENTRAK CORP, 7227 N E 55TH AVE, PORTLAND, OR 97218 (503) 284-7581 675,000 (\$3,692,250) COMMON STOCK. (FILE 33-44865 JAN. 08) (BR. 12)
- S-3 ENERGEN CORP, 2101 SIXTH AVE N, BIRMINGHAM, AL 35203 (205) 326-2700 20,000,000 (\$20,000,000) STRAIGHT BONDS. (FILE 33-44936 JAN. 08) (BR. 7)
- SPS TRANSACTION SERVICES INC, 2500 LAKE COOK RD, RIVERWOODS, IL 60015 (708) 405-0900
 3,450,000 (\$60,375,000) COMMON STOCK. UNDERWRITER: BROWN ALEX & SONS INC, DEAN WITTER REYNOLDS INC. (FILE 33-44937 - JAN. 08) (BR. 6 - NEW ISSUE)
- S-8 DAMON CORP, 115 FOURTH AVE, NEEDHAM HEIGHTS, MA 02194 (617) 449-0800 836,634 (\$5,772,394) COMMON STOCK. (FILE 33-44938 JAN. 08) (BR. 6)
- S-8 GILBERT ASSOCIATES INC/NEW, P O BOX 1498, READING, PA 19603 (215) 775-5900 50,000 (\$975,000) COMMON STOCK. (FILE 33-44939 JAN. 08) (BR. 10)
- S-8 HELIX BIOCORE INC, 3905 ANNAPOLIS LA, PLYMOUTH, MN 55447 (612) 553-7736 1,590,379 (\$11,927,842.50) COMMON STOCK. (FILE 33-44940 JAN. 08) (BR. 4)
- S-8 ANERGEN INC, 301 PENOBSCOT DR, REDWOOD CITY, CA 94063 (415) 361-8901 1,139,244 (\$6,300,019.32) COMMON STOCK. (FILE 33-44941 JAN. 08) (BR. 4)
- S-8 COMARCO INC, 160 S OLD SPRINGS RD, ANAHEIM, CA 92808 (714) 282-3800 600,000 (\$3,225,000) COMMON STOCK. (FILE 33-44943 JAN. 09) (BR. 10)
- S-4 NEWELL CO /DE/, 29 EAST STEPHENSON ST, NEWELL CENTER, FREEPORT, IL 61032 (815) 235-4171 - 17,000,000 (\$613,403,626.87) COMMON STOCK. (FILE 33-44957 - JAN. 08) (BR. 6 - NEW ISSUE)
- S-2 HERLEY INDUSTRIES INC /NEW, 10 INDUSTRY DR, LANCASTER, PA 17603 (717) 397-2777 -150,000 (\$1,500,000) COMMON STOCK. 1,345,000 (\$13,450,000) COMMON STOCK. (FILE 33-44959 - JAN. 09) (BR. 3)
- S-3 GENZYME CORP, ONE KENDALL SQUARE, CAMBRIDGE, MA 02139 (617) 252-7500 1,891,252 (\$99,999,949.50) COMMON STOCK. (FILE 33-44960 - JAN. 09) (BR. 4)

RE

REGISTRATIONS CONTINUED

- S-3 AMR CORP, P 0 BOX 619616, DALLAS FT WORTH AIRPORT, TX 75261 (817) 963-1234 5,750,000 (\$398,906,250) COMMON STOCK. (FILE 33-44961 JAN. 09) (BR. 3)
- S-8 AMERICAN SUPERCONDUCTOR CORP /DE/, 149 GROVE ST, WTERTOWN, MA 02172 (617) 923-1122 - 682,700 (\$15,787,437.50) COMMON STOCK. (FILE 33-44962 - JAN. 09) (BR. 3)
- S-8 AMERICAN SUPERCONDUCTOR CORP /DE/, 149 GROVE ST, WTERTOWN, MA 02172 (617) 923-1122 - 100,000 (\$2,312,500) COMMON STOCK. (FILE 33-44963 - JAN. 09) (BR. 3)
- N-1A COVENTRY GROUP, 1900 EAST DUBLIN GRANVILLE RD, COLUMBUS, OH 43229 (800) 752-1823 - INDEFINITE SHARES. UNDERWRITER: WINSBURY CO. (FILE 33-44964 - JAN. 08) (BR. 18 - NEW ISSUE)
- S-1 APPLIED IMMUNESCIENCES INC /DE/, 200 CONSTITUTION DR, MENLO PARK, CA 94025 (415) 326-7302 - 2,300,000 (\$54,349,000) COMMON STOCK. (FILE 33-44967 - JAN. 09) (BR. 4)
- N-1A CALVERT MUNICIPAL BOND FUND, 4550 MONTGOMERY AVE STE 1000N, BETHESDA, MD 20814 (301) 951-4881 - INDEFINITE SHARES. UNDERWRITER: CALVERT SECURITIES CORP. (FILE 33-44968 - JAN. 07) (BR. 17 - NEW ISSUE)
- S-6 DEFINED ASSET FUNDS INTL BD FD CANADIAN DOL BDS SER 13, DAVIS POLK & WARDWELL, ONE CHASE MANHATTAN PLAZA, NEW YORK, NY 10005 (212) 530-4540 (FILE 33-44971 - JAN. 08) (BR. 22 - NEW ISSUE)
- S-6 DEFINED ASSET FUNDS INTERNATIONAL BD FD MULTICURRENCY SER 25, NY 10005 (212) 530-4540 (FILE 33-44972 JAN. 08) (BR. 22 NEW ISSUE)
- S-6 DEFINED ASSET FUNDS INTL BD FD AUSTRALIAN DOL BDS SER 12, ONE LIBERTY PLZ - 13TH FLR, C/O MERRILL LYNCH PIERCE FENNER & SMITH, NEW YORK, NY 10080 (FILE 33-44973 - JAN. 08) (BR. 22)
- S-6 DEFINED ASSET FUNDS MUNICIPAL INVT TR FD MULTISTATE SER 9U, ONE CHASE MANHATTAN PLZ, C/O DAVIS POLK & WARDWELL, NEW YORK, NY 10005 (FILE 33-44974 - JAN. 08) (NEW ISSUE)
- S-8 CALDOR CORP, 20 GLOVER AVE, NORWALK, CT 06856 (203) 849-2000 500,000 (\$8,470,000) COMMON STOCK. (FILE 33-44996 - JAN. 09) (BR. 1)
- S-8 HATHAWAY CORP, 350 INTERLOCKEN BLVD, STE 200, BROOMFIELD, CO 80021 (303) 460-1500 400,000 (\$1,250,000) COMMON STOCK. (FILE 33-44997 JAN. 09) (BR. 9)
- S-8 HATHAWAY CORP, 350 INTERLOCKEN BLVD, STE 200, BROOMFIELD, CO 80021 (303) 460-1500 -500,000 (\$1,562,500) COMMON STOCK. (FILE 33-44998 - JAN. 09) (BR. 9)
- S-8 NORTH CANADIAN OILS LTD, 700 SUNLIFE PLZ III, 112 4TH AVE SW, CALGARY ALBERTA CANADA T2P 4B2, A0 (403) 261-3100 - 129,350 (\$1,196,487.50) FOREIGN COMMON STOCK. (FILE 33-44999 - JAN. 09) (BR. 4)

ACQUISITION OF SECURITIES

Companies and individuals must report to the Commission within ten days on Schedule 13D if after the acquisition of equity securities of a public company their beneficial interest therein exceeds five percent. Persons eligible to use the short form (Schedule 13G) may in lieu of filing a Schedule 13D file a Schedule 13G within 45 days after the end of the calendar year in which the person became subject to Section 13(d)(1). Companies and individuals making a tender offer must have on file at the time the tender offer commences a Schedule 14D-1.

Below is a list of recent filings of Schedules 13D and 14D, which includes the following information: Column 1 - the company purchased (top), and the name of the purchaser; Column 2 - the type of security purchased; Column - 3 - the type of form filed; Column 4 - the date the transaction occurred; Column 5 - the current number of shares (in 000's) owned (top) and the current percent owned; Column 6 - the CUSIP number (top) and the percent owned; and Column 7 - the status of the filing, i.e., new, update or revision.

NAME AND CLASS OF STOCK/OWNER		FORM		SHRS(000)/ %OWNED	-	
ADDINGTON RES INC STATE OF WISCONSIN INVEST			11/29/91		00651610 7.1	
ADVEST GROUP INC KELLOGG PETER R	COM	13D	1/ 3/92		00756610 0.0	
ALLIANT TECHSYSTEM HLDGS INC FIDELITY INTL LTD	COM	13D	12/30/91	804 8.4		
AMERICAN RLTY TR FRIEDMAN WILLIAM S ET AL	COM	PAR \$0.01 13D		•	02917740 52.9	
AMERICAN STORES CO NEW SKAGGS LENNIE SAM ET AL	COM		1/ 3/92		03009610 0.0	
AMVESTORS FINL CORP FINANCIAL SECS FUND L P E	COM T AL	13D	12/31/91	1,433 10.2	03234310 0.0	
AMERIWOOD INDS INTL CORP VALLEY BANK/NEVADA	COM	13D	1/ 8/92		03299910 9.2	
ANDROS INC WALSH GREENWOOD & CO ET AL	COM -	13D	1/ 6/92		03452810 5.2	
APPLIED PWR INC STATE OF WISCONSIN INVEST			11/25/91	•	03822510	
ASSOCIATED COMMUNICATIONS CO GAMCO INVESTORS INC ET AL	CŁ A	13D	1/ 9/92	•	04554110 0.0	
BE AVIONICS INC STATE OF WISCONSIN INVEST	COM BD	13D	12/ 3/91		07330410 8.2	

IAME AND CLASS OF STOCK/OWNER		FORM	EVENT DATE	SHRS(000)/ %OWNED		
BIG B INC Bruno Ann	COM	13D	12/19/91	545 7.3	08889110 0.0	NEW
BIG B INC BRUNO RONALD C	COM	13D	12/19/91		08889110 0.0	
			12, 17, 71			
BIG B INC BRUNO VINCENT J	COM	13D	12/19/91		08889110 5.3	UPDATE
			,			
BRAZILIAN INVMNT FUND DART WILLIAM A ET AL	COM	13D	1/ 3/92	183 19.1	10581099	
		100	1, 0, ,2		0.0	
BRUNOS INC	COM				11688110	
BRUNO ANN		13D	12/19/91	9.9	0.0	NEW
BRUNOS INC	COM			7.444	11688110	
BRUNO RONALD G		13D	12/19/91	•	0.0	NEW
BRUNOS INC	COM			7 780	11688110	
BRUNO VINCENT J	004	13D	12/26/91	-	0.0	NEW
	6.014			(057	11/00110	
BRUNOS INC RUMORE CAROL ANN	COM	13D	12/26/91	•	11688110 0.0	NEW
CPT HLDGS INC PRECISE PLASTIC PRODS ET	COM AL		1/ 3/92	•	12616020 0.0	NEW
				705	40/50/00	
C TEC CORP GAMCO INVESTORS INC ET AL	CL B		1/ 9/92	785 8.5	12650420 7.5	UPDATE
				7/5	170/0/10	
CAMBRIDGE NEUROSCIENCE INC STATE OF WISCONSIN INVEST	COM BD	13D	11/20/91	745 8.9	13242610 6.5	UPDATE
	0.014			205	1700/010	
CITADEL HLDG CORP CRAIG CORP	COM	13D	1/ 7/92	295 8.9	17286210	UPDATE
			., .,			
CLINICAL TECHNOLOGIES INC	COM				18726710	
STATE OF WISCONSIN INVEST	BD	13D	12/ 6/91	6.7	8.4	UPDATE
COMBINED ENTERTAINMENT ORG	COM			18,160	20013099	
COOPER JAMES H INC		13D	1/ 3/92	63.9		UPDATE
COMMERCIAL FEDERAL CORP	сом			1,095	20164710	
EQUITABLE OF IOWA COS		13D	1/ 6/92	•		UPDATE
CONTROL DATA CORP DEL	COM			2 148	21236310	
STATE OF WISCONSIN INVEST		13D	11/19/91	5.1	0.0	NEW
CORCOM INC	COM			ररर	21835610	
OMICRON CAPITAL PRINRS	LOM	13D	1/ 3/92		0.0	NEW
	~		VIC DCD	/ 76 4	22020520	
CRYSTAL OIL BAIRD BRIDGET B ET AL	.06	SEN CONV 13D	12/17/91	4,751 26.2	25.1	UPDATE
STIND DRIVEL D EL RE			,,			-

NAME AND CLASS OF STOCK/OWNER	F	ORM	EVENT DATE	SHRS(000)/ XOWNED	CUSIP/ PRIOR% S	
	CL A	13D	12/19/91	218 2.3	24713110 0.0	NEW
ELECTROMAGNETIC OIL - LANDRY THOMAS & JR E TL	COM	13D	12/31/91		28539210 27.2	UPDATE
ENVIRONMENTAL MONITOR & TEST FLORIDA DEPT OF INS	COM	13D	10/ 3/91		29406210 0.0	NEW
FIRST FINL BANCORP/IA FEDDERSEN ANN MERCER	COM	13D	5/28/91	45 3.9	32021210 5.9	UPDATE
FIRST UTD INC FARM & HOME LIFE INS CO	COM	13D	12/ 2/91	0 0.0	33741510 0.0	NEW
FOOTHILL GROUP INC NETWORK CO LTD	CL A	13D	1/ 3/92		34510920 0.0	NEW
FORTUNE PETE CORP FAIRBANKS & HAAS INC ET A	COM	13D	12/31/91		34968710 12.0	UPDATE
GOODMARK FOODS INC STATE OF WISCONSIN INVEST	COM BD	1 3 D	11/27/91	295 6.9		UPDATE
HS RES INC PRICE T ROWE ASSOC INC ET	COM AL	13D	12/30/91		40499510 0.0	NEW
HANNA M A CO WACHOVIA BK/N C TRUSTEE	COM	13D	1/ 2/92		41052210 17.8	UPDATE
HEALTHVEST TORRAY ROBERT E	SH BEN	INT 13d	1/ 8/92	589 5.5		UPDATE
HEXCEL CORP STATE OF WISCONSIN INVEST	COM BD	13D	11/22/91		42829010 6.0	UPDATE
HOLOGIC INC STATE OF WISCONSIN INVEST	COM BD	13D	11/22/91		43644010 5.2	UPDATE
HOMASOTE CO CARYE RAYMOND A ET AL	COM	13D	12/26/91	0 1 0.0		UPDATE
HOME PORT BANCORP INC CENTENNIAL ASSOC	COM	13D	1/ 9/92	+	43730410 10.0	UPDATE
IMMUCOR INC DIAGNOSTICS TRANSFUSION	COM	13D	1/ 9/9	737 2 9.6		UPDATE

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NAME AND CLASS OF STOCK/OWNER	•	FORM			CUSIP/ FILING PRIOR% STATUS
KV PHARMACEUTICAL B HERMELIN MARC S ET AL	СОМ	130	10/17/91	•	48274010 19.2 UPDATE
KULICKE & SOFFA INDS INC STATE OF WISCONSIN INVEST	COM BD	13D	12/ 4/91	691 8.8	50124210 7.8 UPDATE
LAWSON MARDON GROUP LTD CAISSE DE DEPOT DU QUEBEC	CL A	LUC VTG 13D	12/12/91	•	52099010 6.6 UPDATE
LEISURE CONCEPTS INC RISSMAN RANDY O ET AL	СОМ	13D	11/12/91	875 26.9	52539710 25.4 UPDATE
LONGWOOD GROUP LID BASTIAN HLDGS INC ET AL	COM	130	1/ 2/92	•	54336710 0.0 NEW
LONGWOOD GROUP LTD HANOVER INVESTMENT GRP	COM	13D	1/ 2/92		54336710 N/A UPDATE
MGI PHARMA INC STATE OF WISCONSIN INVEST	COM BD	130	12/ 6/91		55288010 6.6 UPDATE
MATTHEWS STUDIO EQUIP GROUP DE MATTOS CARLOS D	COM	13D	9/10/91		57714010 0.0 NEW
MATTHEWS STUDIO EQUIP GROUP PHILLIPS EDWARD III	COM	13D	9/10/91	•	57714010 0.0 NEW
MERISEL INC STATE OF WISCONSIN INVEST	COM BD	130	11/22/91		58984910 6.5 UPDATE
MOBILE TELECON TECH CORP STATE OF WISCONSIN INVEST	COM BD	130	12/ 2/91	•	60740610 7.2 UPDATE
NATIONAL INCOME RLTY TR FRIEDMAN WILLIAM S ET AL	SH BE				63699220 25.7 UPDATE
NATIONAL RLTY L P FRIEDMAN WILLIAM S ET AL	UNIT		1/ 3/92		63735330 30.5 UPDATE
PIONEER GROUP INC MUTUAL LIFE ASSUR/CANADA		130	12/ 5/91		72368410 0.0 NEW
PORTA SYS CORP Rocker David A	СОМ	130	12/18/91		73564710 4.1 UPDATE
PRIDE PETE SVCS INC Pegasus Hldg et al	COM	13D	1/ 9/92	_	74154110 5.6 UPDATE
QMC TECH INC BERGQUIST JON R	СОМ	130	12/30/91		74799610 0.0 NEW

NEWS DIGEST, January 16, 1992

NAME AND CLASS OF STOCK/OWNER		FORM	EVENT DATE	SHRS(000)/	CUSIP/ PRIOR%	
OMC TECH INC REESE BRETT D	COM	13D	12/30/91	5,772 30.4	74799610 0.0	NEW
QMC TECH INC	сом			984	74799610	
REESE HILBERT N		13D	12/30/91	5.2	0.0	NEW
OMC TECH INC Reese Robert A	COM	13D	12/30/91	14.8	74799610 0.0	NEW
RAILROAD FINL CORP	СОМ				75075910	
DEVLIN THOMAS R		13D	12/31/91	8.4	9.7	UPDATE
SAATCHI & SAATCHI ORD	ORD			44,773	78514310	
STATE OF WISCONSIN INVEST	BD	13D	12/20/91	7.8	0.0	NEW
SCOTSMAN INDS INC	COM			1,243	80934010	
GAMCO INVESTORS INC ET AL		13D	1/ 9/92	17.5	18.7	UPDATE
SIMTEK CORP	COM			•	82920410	
PETRITZ RICHARD LOUIS		13D	1/ 7/92	19.2	19.2	UPDATE
SIZZLER INTL INC	COM			1,854	83013910	
STATE OF WISCONSIN INVEST	BD	1 3 D	11/25/91	6.3	0.0	NEW
SOFTWARE TOOLWORKS INC	СОМ	PAR \$0.01		1,365	83404420	
STATE OF WISCONSIN INVEST	BD	13D	11/20/91	6.0	6.9	UPDATE
SOUTHEASTERN THRIFT & BK FD	COM				84190110	
UNIVERSITY/RICHMOND ENDOW	FD	13D	12/31/91	6.7	0.0	NEW
SUMMAGRAPHICS CORP	COM			193	86590610	
STATE OF WISCONSIN INVEST	BD	13D	11/18/91	5.0	0.0	NEW
SYMIX SYS INC	сон			131	87153510	
STATE OF WISCONSIN INVEST	BD	13D	11/18/91	5.1	0.0	NEW
SYSTEM SOFTWARE ASSOC INC	COM			•	87183910	
STATE OF WISCONSIN INVEST	BD	13D	11/21/91	7.7	9.2	UPDATE
TERADATA CORP	COM			N/A	88076910	
STATE OF WISCONSIN INVEST	BD	13D	12/ 3/91	N/A	5.1	UPDATE
UNITED NATL FINANCIAL CORP	COM			333	91112210	
SHEA GERALD W		13D	12/31/91	45.6	0.0	NEW
URCARCO INC	COM			1,750	91799210	
RAINWATER INC		13D	1/ 1/92		0.0	NEW
WESTERN CO NORTH AMER	COM	PAR 0.10		1,330	95804340	
FIDELITY INTL LTD		13D	12/30/91	7.5		UPDATE

NAME AND CLASS OF STOCK/OWNER		FORM	EVENT DATE	SHRS(000)/ %OWNED	CUSIP/ FILING PRIOR% STATUS
WESTERN CO NORTH AMER	сом	PAR 0.10		1,330	95804340
FMR CORP		13D	12/19/91	7.5	9.3 UPDATE
WESTERN PUBG GROUP INC	СОМ			5,092	95926310
GAMCO INVESTORS INC ET AL		13D	1/ 9/92	24.4	25.7 UPDATE
ZENITH NATL INS CORP	сом			712	98939010
SCHWARTZ DANIEL		13D	1/ 6/92	3.8	5.4 UPDATE
ZIONS BANCORP	COM			824	98970110
SIMMONS ROY W ET AL		13D	12/31/91	13.6	13.3 RVSION

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SEC PUBLIC INFORMATION NUMBERS

Members of the public seeking information and/or material from the Commission continue to complain of being incorrectly referred to the wrong telephone number by Commission staff. The following information is furnished to assist you in directly calling the appropriate office:

<u>Consumer Affairs</u> (202-272-7440): Investor inquiries and complaint processing information.

<u>Freedom of Information Branch</u> (202-272-7420): Requests concerning FOIA, Privacy Act, Sunshine Act, confidential treatment matters, etc.

<u>Personnel Locator</u> (202-272-2550): Requests for names and phone numbers of Commission personnel.

<u>Public Affairs</u> (202-272-2650): Information about matters in the SEC News Digest, the Commission's operations, and calls from the press not directed to particular individuals, and other related matters.

<u>Public Reference</u> (202-272-7450): Requests for information on whether or not a document has been filed, etc.

Publications Unit (202-272-7460/7461): Requests for forms, studies, directories, etc.

Office of the Secretary (202-272-2600): Requests for information on the Commission calendar.

<u>SEC Information Line</u> (202-272-3100/5624): General Information about SEC operations and activities through a series of recorded messages.