# LIBRARY sec new EXCHANGE COMMISSION

Issue 76-234 (SEC Docket, Vol. 10, No. 19 - December 14)

December 3, 1976

## RULES AND RELATED MATTERS

METHOD FOR COMPLIANCE WITH SECTION 206(3) PROPOSED

> The Commission announced that it has under consideration the adoption of Rule 206(3)-2 under the Investment Advisers Act of 1940 to provide a method of compliance with Section 206(3) of the Advisers Act with respect to effecting agency cross transactions for advisory accounts. Comments on the proposal are requested to be submitted on or before January 14, 1977, and should refer to File No. S7-662. (Rel. 34-13029)

AMENDMENTS TO PROXY RULES 14a-6(e) AND 14c-5(c) RELATING TO CONFIDENTIAL TREATMENT OF PRELIMINARY PROXY MATERIAL ADOPTED

> The Commission has announced the adoption of amendments to Rules 14a-6(e) and 14c-5(c) under the Securities Exchange Act of 1934. The amendments were proposed and published for public comment in Securities Exchange Act Release No. 11709. As adopted, the amendments will make all proxy soliciting material and information statements public once the definitive material has been filed with the Commission. Before then, preliminary material would be non-public unless no filing of definitive material is intended. Confidential treatment of information contained in preliminary material after the filing of definitive material may be granted pursuant to Rule 24b-2 under the Securities Exchange Act of 1934. The amendments will become effective immediately upon publication in the Federal Register. (Rel. 34-13030)

## DECISIONS IN ADMINISTRATIVE PROCEEDINGS

DISQUALIFICATION OF PHILLIP SHELBY MERKATZ FROM COMMISSION PRACTICE FINAL

> The suspension of Phillip Shelby Merkatz, also known as Shelby Phillip Merkatz, a Phoenix, Arizona certified public accountant, from appearance or practice before the Commission has become permanent.

In April 1976, the Commission temporarily suspended Merkatz from practice before it on the basis of an injunction entered against him by the U.S. District Court in Dallas, Texas. That injunction, to which Merkatz consented without admitting or denying the Commission's charges against him, permanently enjoined him from violations of the registration and antifraud provisions of the securities laws. Merkatz did not petition to lift the suspension within the required time, and it therefore become permanent. (Rel. 34-13005)

## COMMISSION ANNOUNCEMENTS

COMMISSION WILL PROCEED WITH REPLACEMENT COST REGULATION WITHOUT MODIFICATION

> Over the past several months the Commission has received a number of requests to either delay the effective date of the rules on disclosure of replacement cost or to receive that data confidentially for study and evaluation of the methods used in preparation and of the usefulness of the data. The Commission announced its intention to proceed with its replacement cost regulation without any modification to the regulation's effective data or manner of reporting.

> Because of the concern expressed, the Commission retained three consultants to consider various aspects of the replacement cost regulation. The three consultants recently submitted a joint report which affirmed the Commission's belief that most registrants affected by the replacement cost regulation will be able to meet the regulation's effective date and no delay is necessary.

The Commission also concluded that the various suggestions for confidential filing of the replacement cost data have practical and legal impediments which outweigh any possible benefits. Accordingly the Commission has determined not to adopt a confidential filing program.

#### DELEGATION OF AUTHORITY

The Commission announced that it has delegated authority to the Director of the Division of Market Regulation to notify and consult with the appropriate bank regulatory agencies concerning the feasibility and desirability of coordinating examinations of bank clearing agencies, transfer agents and municipal securities dealers. Section 17(b) of the Securities Exchange Act requires such notification and consultation prior to the examination by Commission representatives of clearing agencies, transfer agents and municipal securities dealers for which the Commission is not the appropriate regulatory agency. (Rel. 34-13028)

PERMANENT SUSPENSION OF REGULATION B EXEMPTION OF CENTURY PETROLEUM CORPORATION

An order has been issued permanently suspending the Regulation B exemption from registration under the Securities Act of 1933, as amended, with respect to the fractional undivided working interests in oil and gas in the offerings of Century Petroleum Corporation. (Rel. 33-5778)

## COURT ENFORCEMENT ACTIONS

#### JAMES G. MCCOLLOM, OTHERS CONVICTED

The Fort Worth Regional Office announced that on November 19 James G. McCollom, Kyle G. Bretz, and James L. Cockrell, all of Dallas, Texas, Troy E. Becker of Seattle, Washington and Theodore M. Dunkle, San Diego, California, were convicted by a federal jury at Dallas of a total of 16 counts each of mail fraud, wire fraud, interstate transportation of stolen property and conspiracy in the offer and sale of purported call options for silver bullion and refining contracts issued by Tex-A-Chief, Inc. and Kyle Bretz Enterprises, Inc., both of Dallas.

The indictment alleged that the defendants conspired to sell and sold call options for silver bullion and refining contracts to investors based on the defendants' ability, through secret processes, to extract silver from low-grade, graphitic schist ore located on property near Llano, Texas. The indictment further alleged that no such secret processes exist and that the ore in question did not contain silver in commercial quantities. (U.S. v. James G. McCollom, et al., CR-3-76-132, N.D. Tex.). (LR-7671)

#### RANDY COPELAND INDICTED

The SEC announced that on November 9 a federal grand jury at Dallas, Texas returned a seven-count indictment against Randy Copeland, Dallas. The indictment charges Copeland with three counts of mail fraud, one count of securities fraud, two counts of registration violations, and one count of criminal contempt of a preliminary injunction entered against Copeland by the U.S. District Court at Dallas on September 8, 1971. The indictment relates to the offer and sale by Cope-Land Oil and Gas Company of fractional undivided working interests in an oil and gas lease located in Schleicher County, Texas. (U.S. v. Copeland, N.D. Tex., 3-76-307). (LR-7672)

#### DUNCAN A. BRUCE ENJOINED

The Fort Worth Regional Office announced that on November 15 Federal District Court at Wichita, Kansas entered an order permanently enjoining Duncan A. Bruce, New York City, from violations of the registration and antifraud provisions of the securities laws in the offer and sale of program units and common stock of Clinton Oil Company. (SEC v. Clinton Oil Company, District of Kansas, W-5020). (LR-7673)

## NFO MEMBERS' CUSTODIAL ACCOUNTS, OTHERS ENJOINED

The Chicago Regional Office announced that on November 19 the Honorable William C. Stuart, U.S. District Court Judge for the Southern District of Iowa, signed an order permanently enjoining NFO Members' Custodial Account, an express trust, and Robert Shoup, Willis Rowell and Robert Kessler as trustees of NOF Members' Custodial Account, from violations of the antifraud provisions of the securities laws in the offer and sale of securities of NFO Members' Custodial Account or any other issuer. The defendants consented to the entry of the permanent injunction without admitting or denying the allegations of the complaint. (SEC v. National Farmers Organization, et al., S.D. Ia., Cen. Diy., consolidated actions, Civil Action Nos. 74-162-1 and 74-241-1). (LR-7674)

#### MARTIN KINGSHILL III, OTHERS INDICTED

The Fort Worth Regional Office announced that on November 18 a federal grand jury at New Orleans, Louisiana, returned a 45-count indictment against Martin Kingshill III, Metairie, Louisiana; John Earnest Faletti, Irving, Texas; William Brewer, Dallas; Gerald Dickson Fletcher; Kenneth Raymond Barnes; and Southern Crude Oil & Gas Co., Inc., Metairie, charging them with violations of the conspiracy, wire fraud and securities fraud statutes in the offer and sale of fractional undivided working interests in oil and gas leases issued by Southern Crude Oil and Gas Co., Inc. (U.S. v. Martin Kingshill III, et al., E.D. La., Criminal Docket No. 76-654). (LR-7675)

TRANS WORLD OIL & GAS CORPORATION, OTHERS ENJOINED

The Fort Worth Regional Office announced that on November 19 an order of permanent injunction by consent was entered by Federal District Judge Carl O. Bue at Houston, Texas, against Trans World Oil & Gas Corporation and Ralph B. Schoter, doing business as United States Oil & Gas Company, a partnership, both of Houston. The order permanently enjoins the defendants from violations of the registration and antifraud provisions of the securities laws in the offer and sale of fractional undivided working interests in oil and gas leases in Duval County, Texas, issued by Trans World Oil & Gas Corporation. (SEC v. Trans World Oil & Gas Corp., et al., S/D Tex.). (LR-7676)

#### COMPLAINT NAMES BRAD RAGAN, INC., OTHERS

The SEC announced the filing of a complaint in the U.S. District Court for the Western District of North Carolina against Brad Ragan, Inc. (BRI), Bradley E. Ragan, chief executive officer and chairman of the board of directors of BRI; Robert H. Buchanan, formerly secretary, chief financial officer and director of BRI; Homer L. Huskins, a vice president and director of BRI; Fred R. Johnson, controller of BRI; and Melvin I. Shumate, a former manager and supervisor of BRI.

The Commission also announced that the Court entered judgments of permanent injunction and ancillary relief restraining and enjoining BRI, Ragan, Buchanan, Huskins and Johnson from further violations of the antifraud, reporting, proxy provisions of the securities laws and enjoining Ragan, Buchanan and Johnson from further violations of the securities ownership reporting provision of the securities laws and ordering certain other relief. BRI, Ragan, Buchanan, Huskins and Johnson consented to the entry of the Court's final judgment and order without admitting or denying the allegations in the Commission's complaint. Additionally, the court ordered certain additional ancillary relief.

The Commission's complaint alleges various violations of the securities laws in connection with, among other matters: (1) substantial commercial bribes paid to purchasing agents and other employees of approximately 280 of BRI's customers, of which approximately 45 were public companies, in order to obtain business from such customers; (2) the fraudulent billing of customers for merchandise and/or services which were not delivered or performed to offset the cost of these bribes and, in most instances, adding a mark-up which represented a profit -- such bribes plus mark-ups totaling in excess of \$550,000; (3) the payment to Ragan by BRI of approximately \$53,000 for approximately \$5000 worth of assets which he had purchased; (4) the realization of improper profits by Buchanan as a result of the purchase and sale of BRI stock purchased within a period of less than six months; and (5) the undisclosed control which Ragan exercised over certain shares of BRI stock. (SEC v. Brad Ragan, Inc., et al. U.S.D.C., W.D. of North Carolina). (LR-7681)

## FINAL SETTLEMENTS ENTERED IN NATIONAL PACIFIC CORP., OTHERS

The Commission announced today that U.S. District Judge Charles Richey (D.C.) has signed two orders and a stipulation effecting final settlement, by consent, of SEC v. National Pacific Corp., et al. A final judgment of permanent injunction was entered as to National American Life Insurance Co. (NALIC), whereby, in addition to the entry of an antifraud injunction, a receiver over NALIC was appointed. In addition, a final judgment of permanent injunction was entered as to Joseph Hauser, Melvin Wyman, George Herrera, National Pacific Corp., Great Pacific Corp., Family Provider Life Insurance Co., and Pacific Southwest Insurance Agency, Inc., whereby, in addition to the entry of an antifraud injunction, certain ancillary relief was ordered, including an order that the \$1.1 million remitted to a newly-formed Swiss company in August of 1976 be returned to NALIC. The judgments were entered as to the various defendants without their admitting or denying the Commission's allegations. Finally, a stipulation among NALIC, the receiver, and the Insurance Commissioner of the State of Louisiana was approved by Judge Richey. (SEC v. National Pacific Corp., et al., Civil Action No. 76-1784, D.D.C.). (LR-7682)

## INVESTMENT COMPANY ACT RELEASES

#### G. T. PACIFIC FUND

A notice has been issued giving interested persons until December 27 to request a hearing on an application of G. T. Pacific Fund, Inc. (Fund), a registered, open-end diversified management investment company, for a temporary order of exemption from Sections 15(a) and 16(a) of the Act to the extent necessary to permit the Fund's investment adviser and directors to serve in such capacities without prior shareholder approval until a meeting of shareholders to be held within 180 days after the effective ness of the Fund's registration statement. (Rel. IC-9551 - Dec. 2)

#### WELLINGTON FUND

An order has been issued pursuant to Section 6(c) of the Act exempting Wellington Fund, Inc. from Sections 22(c), 22(d) and 22(f) of the Act regarding an exchange of its shares for substantially all the assets of Ajax Investments, Inc. (Rel. IC-9552-Dec. 2)

## HOLDING COMPANY ACT RELEASES

#### MIDDLE SOUTH UTILITIES

A notice has been issued giving interested persons until December 27 to request a hearing on a proposal of Middle South Utilities, Inc., a registered holding company, to issue and sell at competitive bidding 9,000,000 shares of common stock, par value \$5 per share, which Middle South estimates will result in cash proceeds of \$135 million. The proceeds of the sale will be used to repay Middle South's outstanding bank loans. (Rel. 35-19781 - Dec. 2)

#### OHIO POWER COMPANY

A notice has been issued giving interested persons until December 27 to request a hearing on a proposal of Ohio Power Company, subsidiary of American Electric Power, to sell 5,230 telephone poles to the United Telephone Company of Ohio, an unaffiliated company. (Rel. 35-19782 - Dec. 2)

#### GENERAL PUBLIC UTILITIES CORPORATION

A supplemental order has been issued authorizing General Public Utilities Corporation, a registered holding company, to increase to \$70,180,000 of the amount of cash capital contributions it may make to several of its subsidiaries. (Rel. 35-19783 - Dec. 2)

## **MISCELLANEOUS**

#### MONSANTO INTERNATIONAL FINANCE COMPANY

A notice has been issued giving interested persons until December 17 to request a hearing on an application of Monsanto International Finance Company (Applicant), a wholly-owned subsidiary of Monsanto Company, pursuant to Section 12(h) of the Securities Exchange Act of 1934, for an order exempting Applicant from the reporting requirements of Section 13 of that Act. The Applicant's 4-1/2% guaranteed sinking fund debentures, due 1985, are listed on the New York Stock Exchange, where there has been only limited trading activity. The debentures are unconditionally guaranteed by Monsanto Company and none of the securities of Applicant (other than debt securities) are presently held by any person other than Monsanto Company. (Rel. 34-13037)

#### SCM OVERSEAS CAPITAL CORPORATION

An order has been issued granting the application of SCM Overseas Capital Corporation (Applicant), a wholly-owned subsidiary of SCM Corporation (SCM), pursuant to Section 12(h) of the Securities Exchange Act of 1934, for an order exempting Applicant from the reporting requirements of Section 13 of that Act. It appeared to the Commission that the granting of the requested exemption would not be inconsistent with the public interest or the protection of investors. (Rel. 34-13038)

## **SECURITIES ACT REGISTRATIONS**

#### (S-7) WISCONSIN POWER AND LIGHT COMPANY

222 West Washington Ave., Madison, Wis. 53703 - 300,000 shares of common stock, to be offered pursuant to the company's Automatic Dividend Reinvestment and Stock Purchase Plan. The company is principally an electric and gas utility. (File 2-57775 - Nov. 30)

#### REGISTRATIONS EFFECTIVE

Nov. 29: Gulf Life Holding Co., 2-57675.

Nov. 30: Centex Corp., 2-57638; Cinema Products Corp., 2-57082; Fluor Corp., 2-57562; Southern California Edison Co., 2-57546; Transamerica Corp., 2-57537.

NOTE TO DEALERS. When applicable the 90-day period of time dealers are required to use the prospectus is noted above in parentheses after the name of the issuer. As to the other issuers, there may be no such requirement to use a prospectus, or the requirement may be for a period of only 40 days; see Section 4(3) of the Securities Act of 1933 and Rule 174 (17 CFR 230.174) thereunder.

## **RECENT 8K FILINGS**

Form 8K is a report which must be filed with the SEC by the 10th of the month after any of the following important events or changes: changes in control of the registrant; acquisition or disposition of assets; legal proceedings; changes in securities (i.e., collateral for registered securities); defaults upon senior securities; increase or decrease in the amount of securities outstanding; options to purchase securities; revaluation of assets; submission of matters to a vote of security holders.

The companies listed below have filed Form 8-K reports for the month indicated, responding to the item of the form specified. Photocopies may be purchased from the Commission's Public Reference Section (in ordering, please give month and year of report). An index of the captions of the items of the form was included in Monday's News Digest.

| COMPANY                         | ITEM NO.     | MONTH |
|---------------------------------|--------------|-------|
| TABULATING STOCK FORMS INC      | 12,14        | 10/76 |
| TEC INC                         | 4,7,13,14    | 09/76 |
| TELEFILE COMPUTER CORP          | 7            | 10/76 |
| TWAIN MARK MARINE INC           | 4,7          | 08/76 |
| UNITED BRANDS CO                | 3,6          | 10/76 |
| VALLEY INDUSTRIES INC           | 13,14        | 10/76 |
| WEINGARTEN J INC                | 13.14        | 10/76 |
| WESLEY JOHN CORP                | 7            | 10/76 |
| WESTERN GEOTHERMAL & POWER CORP | 1,2,7        | 10/76 |
| WIENER CORP                     | 7            | 10/76 |
| WYLY CORP                       | 7,8,13,14    | 10/76 |
| SERVOTRONICS INC                | 3            | 10/76 |
| SILCG INC                       | 4,10,11,14   | 10/76 |
| SOLAR ENERGY RESEARCH CORP      | 13           | 10/76 |
| SONICS INTERNATIONAL INC        | 4,14         | 10/76 |
| SOUTHDOWN INC                   | 1,3,10,11,14 |       |
| SOUTHERN CO                     | 3,13         | 10/76 |
| SOUTHLAND CAPITAL INVESTORS INC | 7            | 10/76 |
| SOUTHWESTERN INVESTMENT CO      | 7,13         | 10/76 |
| SPRAGUE ELECTRIC CC             | 8            | 10/76 |
| STA KLEEN BAKERY INC            | 11           | 10/76 |
| STANDARD DIL CO OF OHIC         | 3            | 10/76 |
| STANDARD RESOURCES INC          | 6,13         | 10/76 |
| STARR BROADCASTING GROUP INC    | 6,10         | 10/76 |
| STEBAR NATIONAL CORP            | 13           | 10/76 |
| STRATFORD OF TEXAS INC (DEL)    | 2,7,8,10,14  |       |
| STURM RUGER & CO INC            | 3            | 10/76 |
| SUPRONICS CORP                  | 3            | 10/76 |
| TALCOTT NATIONAL CORP           | 13           | 10/76 |
| TEXAS AMERICAN DIL CORP         | 2,13,14      | 10/76 |
| TEXAS INDUSTRIES INC            | 11           | 10/76 |
| • =                             |              |       |

| LINGS CONT.   |                      |                |
|---|----------------------|----------------|
| TISHMAN REALTY & CONSTRUCTION CO INC                          | 13,14                | 10/76          |
| TMC MORTGAGE INVESTORS  | 13,14                | 10/76          |
| TRANSCANADA PIPELINES LTD                                     | 3,7,8                | 10/76          |
| TRANSJERSEY BANCORP   | 13                   | 10/76          |
| TRIANGLE PACIFIC CORP TUCKER DRILLING CO INC                  | 2,10,14<br>2,14      | 10/76<br>10/76 |
| UMF SYSTEMS INC   | 7.14                 | 10/76          |
| UNION INVESTMENT CC   | 13                   | 10/76          |
| UNITED AMERICAN LIFE INSURANCE CO                             | 3,12,13              | 10/76          |
| UNITED FINANCIAL CORP OF CALIFORNIA                           | 13                   | 10/76          |
| UNITED FINANCING CORP   | 3,13,14              | 08/76          |
| UNIVERSITY KEAL ESTATE TRUST                                  | 7,14                 | 10/76          |
| UPJOHN CO   | 3                    | 10/76          |
| USP REAL ESTATE INVESTMENT TRUST                              | 7                    | 10/76          |
| VAIL ASSOCIATES INC<br>VALMENT INDUSTRIES INC                 | 3<br>13,14           | 10/76<br>10/76 |
| WAGNER ELECTRIC CORP  | 8                    | 10/76          |
| WALLICHS MUSIC & ENTERTAINMENT CO INC                         | 9,13,14              | 10/76          |
| WARNER NATIONAL CORP  | 8                    | 11/76          |
| WEINGARTEN REALTY INC   | 7,8                  | 10/76          |
| WELDED TUBE CO OF AMERICA                                     | 8,14                 | 09/76          |
| WELDED TUBE CO OF AMERICA                                     | 8,14                 | 10/76          |
| WERNER CONTINENTAL INC  | 3,8,14               | 10/76          |
| WESPAC INVESTORS TRUST WESTERN DECALTA PETROLEUM LTD          | 7<br>1,14            | 10/76<br>10/76 |
| WESTERN FINANCIAL CORP ARIZONA                                | 10,14                | 10/76          |
| WESTVACO CORP   | 3                    | 10/76          |
| WILSON FOODS CORP   | 14                   | 10/76          |
| ATLANTIC AMERICAN CORP  | 7,14                 | 10/76          |
| BETHLEHEM CORP  | 2,9                  | 10/76          |
| CANADIAN MERRILL LTD  | 7,12,14              | 10/76          |
| CONGALEUM CORP  | 3,14                 | 10/76          |
| EXCEPTION INC   | 11,14                | 11/76          |
| FPA CORP<br>GATEWAY TRANSPORTATION CO INC                     | 7,14                 | 08/76          |
| GENERAL INTERNATIONAL CORP                                    | 13<br>9,14           | 11/76<br>08/76 |
| HOOVER BALL & BEARING CO                                      | 11                   | 11/76          |
| LEGNARD SILVER INTERNATIONAL INC                              | 7,13,14              | 10/76          |
| MERCANTILE INDUSTRIES INC                                     | 2,6                  | 10/76          |
| MULTIVEST REAL ESTATE FUND LTD SERIES                         | 2,7                  | 09/76          |
| OPTEL CORP  | 3                    | 09/76          |
| PALOMAR FINANCIAL   | 10,13,14             | 11/76          |
| RAINVILLE CO INC<br>ROYAL RESOURCES EXPLORATION INC           | 13,14                | 10/76          |
| STANLEY WORKS   | 13,14<br>13          | 09/76<br>11/76 |
| VARIAN ASSOCIATIES INC  | 7,13                 | 10/76          |
| ALUMINUM CO OF AMERICA  | 13,14                | 11/76          |
| BAKER INTERNATIONAL CORP                                      | 7,14                 | 11/76          |
| CAMPGROUNDS INTERNATIONAL INC                                 | 3,13                 | 10/76          |
| CENTURY PROPERTIES FUNC XI                                    | 2,7,14               | 10/76          |
| CONCOLIDATED AIDRODNE SYSTEMS THIS                            | 11,14                | 10/76          |
| CONSOLIDATED AIRBORNE SYSTEMS INC<br>FEDERATED DEVELOPMENT CO | 13,14<br>1,2,8,13,14 | 10/76          |
| FRIGITRONICS INC  | 112,0,13,14          | 10/76<br>10/76 |
| HARTFORD NATIONAL CORP  | 13                   | 10/76          |
| MACROSE INDUSTRIES CORP                                       | 13                   | 11/76          |
| MARK SYSTEMS INC  | 10,14                | 10/76          |
| MEGO INTERNATIONAL INC  | 3                    | 10/76          |
| PHILADELPHIA GERMANTOWN & NORRISTOWN RAI                      | 11,13,14             | 10/76          |
| REGAL BELOIT CORP<br>SCI SYSTEMS INC                          | 13                   | 10/76          |
| SOUTHERN CONTAINER CORP                                       | 1<br>13              | 10/76          |
| SULLIVAN COUNTY HARNESS RACING ASSOCIATI                      | 13                   | 10/76<br>10/76 |
| TRANSCO COMPANIES INC   | 7.8.14               | 10/76          |
| TRANSIDYNE GENERAL CORP                                       | 3                    | 11/76          |
| UNIFLITE INC  | 13                   | 10/76          |
| UNITED DIVERSIFIED CORP                                       | 13,14                | 10/76          |
| VAHLSING INC  | 2,3,7,14             | 09/76          |
| ALDON INDUSTRIES INC  | 10,14                | 11/76          |
| AMERICAN WESTERN CORP   | 2,13                 | 10/76          |
| AMINEX RESOURCES CORP ASG INDUSTRIES INC                      | 13                   | 10/76          |
| ATLANTA GAS LIGHT CO  | 7,13,14<br>7,14      | 11/76<br>11/76 |
|   | 1174                 | 11/10          |

| CIP CORP   | 10,14       | 10/76 |
|--|-------------|-------|
| ELLIS BANKING CORP   | 13          | 11/76 |
| FINANCIERA METROPOLITANA S A   | 7.13        |       |
| GENERAL ELECTRONICS INC  | . ,         | 10/76 |
| GETTY FINANCIAL CORP   | 3           | 11/76 |
| II INC   | 6           | 02/75 |
| JACO ELECTRONICS INC   | 1,13        | 10/76 |
|  | 7           | 09/76 |
| LIQUIDONICS INDUSTRIES INC   | 1,4,7,8,14  | 10/76 |
| MACRGDATA CORP   | 13.14       | 10/76 |
| MARIFARMS INC  | 13          | 11/76 |
| MONOGRAM INDUSTRIES INC  | 4,7,8,13,14 | 11/76 |
| MOUNTAIN VIEW FUTURES FUND   | 7,14        |       |
| NORWESCO INC   |             | 09/76 |
| PERKINS FOODS INC  | 2           | 09/76 |
| PRECISION PLASTICS CORP  | 11          | 10/76 |
| the state of the s | 1,11        | 11/76 |
| QUAKER DATS CO   | 11,14       | 11/76 |
| ROYAL INDUSTRIES INC   | 3,14        | 11/76 |
| SCOTTISH INNS OF AMERICA INC   | 3,6,13      | 11/76 |
| SECURITY BANCORP INC   | 7           | 11/76 |
| SIPPICAN CORP  | 4           |       |
| TECKLA INC   |             | 10/76 |
| TRANSNET CORP  | 1,2,14      | 04/76 |
|  | 1,7,9       | 09/76 |
| UNITED FINANCING CORP  | 13,14       | 10/76 |
| UNITED REALTY TRUST  | 7           | 10/76 |
| VACCO INDUSTRIES   | 11          | 09/76 |
| VALLEY METALLURGICAL PROCESSING CO INC   | 11          | 11/76 |
| VANCE SANDERS & CO INC   | ii          | 11/76 |
| VIKING GENERAL CORP  | 3           | 11/76 |
| WESTATES PETROLEUM CO  | 11          |       |
| XACRON CORP  | -           | 11/76 |
| · · · · · · · · · · · · · · · · · · ·  | 3,14        | 08/76 |
| BARTELL MEDIA CURP   | 3,13,14     | 10/76 |
| EASTERN YORK INC   | 13,14       | 10/76 |
| ELECTRO NITE CO  | 13          | 11/76 |
| ELIZABETHTUWN GAS CO   | 3,14        | 10/76 |
| FEDERAL MOGUL CORP   | 13,14       | 11/76 |
| HELEN OF TROY CORP   | 13          | 10/76 |
| ORIOLE HOMES CORP  | 12,14       |       |
| OSTERLOH & DURHAM INSURANCE BRCKERS OF N   |             | 10/76 |
|  | 12          | 13/76 |
| SANITAS SERVICE CORP   | 2,3,13,14   | 10/76 |
| SWEDLOW INC  | 13          | 11/76 |
| ALLIED CHEMICAL CORP   | 13          | 10/76 |
| DIAGNOSTIC INC   | 12          | 11/76 |
| NATIONAL AMERICAN CORP   | 14          | 08/76 |
| RAYMOND INTERNATIONAL INC  | 14          | 07/76 |
| SOUTHWEST LEASING CORP   | 7           | 10/76 |
| SUNAMERICA CORP  | 3           | 10/76 |
|  |             |       |
| AVCO FINANCIAL SERVICES INC  | 2,7,14      | 09/76 |
| WESLEY JOHN CORP   | 7,13        | 11/76 |
|  |             |       |
| AMENDMENTS TO REPORTS ON FORM 8  | 3-K         |       |
| INTERNATIONAL RECTIFIER CORP   | 2,14        | 08/76 |
| APCO OIL CORP  | 3           | 04/76 |
| FRANKLIN DISCOUNT CO   | 10,12,13,14 | 09/76 |
| SEATRAIN LINES INC   | 14          | 10/76 |
| WENDYS INTERNATIONAL INC   | 7           | 09/76 |
|  |             |       |
| WILLIAMS COMPANIES   | 7,14        | 10/76 |
| ALANTHUS CORP  | 14          | 10/76 |
| DICTAPHONE CORP  | 7           | 10/76 |
| GREAT LAKES FINANCIAL CORP   | 10          | 05/76 |
| INTERPUBLIC GROUP OF COMPANIES INC   | 7           | 10/76 |
| NORTHWESTERN FINANCIAL CORP  | 13          | 09/76 |
| POPE & TALBOT INC  | 10,14       | 09/76 |
| SERVICE CORP INTERNATIONAL   | 3,14        | 10/76 |
|  |             |       |
| GREEN POND MOUNTAIN CORP   | 3,7         | 07/76 |
| PHILLIPS PETROLEUM CO  | 3           | 10/76 |
| ACTEN CORP   | 14          | U7/76 |
| BOWMAR INSTRUMENT CORP   | 1,10        | 09/76 |
| COMET COALITION MINES CO   | 14          | 09/76 |
| INLAND WESTERN CORP  | 10,14       | 06/76 |
| JOY MANUFACTURING CO   | 13          | 09/76 |
|  | 8,14        | 09/76 |
| MGF OIL CORP   | 13          | 10/76 |
| MISSISSIPPI POWER & LIGHT CO   | _           |       |
| MOBIL OIL CORP   | 3           | 07/76 |
| MOBIL OIL CORP   | 3           | 08/76 |
| December 3, 1976   |             |       |

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|--|--------------|----------------|
| MOR FLC INDUSTRIES INC                 | 14           | 08/76          |
| PRF CORP                               | 2            | 09/76          |
| ROYAL INGUSTRIES INC                   | 3,7,8,10     | 10/76          |
| AMERICAN AIR FILTER CO INC             | 13,14        | 10/76          |
| ANTA CORP                              | 14           | 09/76          |
| DIVERSIFIED INDUSTRIES INC             | 2,3,10,11,14 | 04/75          |
| FAMILIAN CORP                          | 14           | 08/76          |
| GREAT SOUTHWEST CORP                   | 10.14        | 09/76          |
| INANCO CORP                            | 10.14        | 07/76          |
| JONES R B CORP                         | 3            | 10/76          |
| NELSCN L & CORP                        | 14           | 09/76          |
| PECKHAM INDUSTRIES INC                 | 2            | 09/76          |
| PLASTICS DEVELOPMENT CORP OF AMERICA   | 3,14         | 09/76          |
| PROVIDENT NATIONAL CORP                | 13,14        | 10/76          |
| RISDEN MANUFACTURING CC                | 3            | 03/76          |
| SCOTTISH INNS OF AMERICA INC           | 13           | 10/76          |
| WHITE MOTOR CORP                       | 10,14        | 09/76          |
|  | 13           | 05/76          |
| ATLANTIC RICHFIELD CO                  | ==           | 09/76          |
| URS CORP                               | 1,2,7        | 10/76          |
| WILLIAMS COMPANIES                     | 14           | 09/76          |
| HOLOBEAM INC                           | 14           | 09/76          |
| LISTEAX CORP                           |              |                |
| GLYMPIA BREWING CC                     | 3            | 10/76          |
| PACIFIC AMERICAN REAL ESTATE FUND 1972 | 2,14         | 09/76          |
| HANSBURG CURP                          | 3<br>7       | 10/76<br>10/76 |
| ACTION INDUSTRIES INC                  | 8,13         | 09/76          |
| AIKEN INDUSTRIES INC                   | 3,6,7,13     | 05/76          |
| ALRAC CORP                             | 2            | 09/76          |
| BRISTOL PRODUCTS INC                   |              | 09/76          |
| BURTON HAWKS INC                       | 2,14         | 10/76          |
| CENTRAL & SOUTH WEST CORP              | 3            | 10/76          |
| CLARY CORP                             | 12           |                |
| EXPLOSIVE FABRICATORS INC              | 14           | 10/76          |
| FORUM COMPANIES INC                    | 12,14        | 10/76          |
| FREEPORT MINERALS CO                   | 3            | 08/76          |
| GAMBLE SKOGMO INC                      | 7            | 10/76          |
| HYDRO NUCLEAR CORP                     | 14           | 09/76          |
| INDIANA NATIGNAL CORP                  | 10,14        | 09/76          |
| IRVIN INDUSTRIES INC                   | 4,7,8,13     | 09/76          |
| KAY CORP                               | 2            | 08/76          |
| LEHIGH PORTLAND CEMENT CO              | 3            | 10/76          |
| LION COUNTRY SAFARI INC                | 13           | 10/76          |
| MCCURMICK 1973 UIL & GAS PROGRAM       | 2,10,14      | 10/76          |
| MULTIMEDIA INC                         | 14           | 03/76          |
| PLANNING RESEARCH CORP                 | 14           | 09/76          |
| PRECISION POLYMERS INC                 | 13           | 10/76          |
| PUBLIC SERVICE CO OF OKLAHOMA          | 3            | 10/76          |
| REM METALS CORP                        | 14           | 08/76          |
| REPUBLIC AUTOMOTIVE PARTS INC          | 14           | 10/76          |
| SOUTHERN BELL TELEPHONE & TELEGRAPH CO | 13           | 10/76          |
| TUCKER DRILLING CO INC                 | 14           | 10/76          |
| , out and the area                     |              |                |

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