sec news digest

Issue 76-202 (SEC Docket, Vol. 10, No. 14 - November 2)

OCT 20 1976

October 18, 1976

DECISIONS IN ADMINISTRATIVE PROCEEDINGS

U.S. SECURITIES AND EXCHANGE COMMISSION

JOHN H. WEBER

The Commission has instituted administrative proceedings against and simultaneously accepted the offer of settlement of John H. Weber, Kalispell, Montana, in which Weber, without admitting or denying the allegations of the order, consented to findings that he wilfully violated the registration provisions of the securities laws in connection with the sale of securities of The Major Oil Corporation and consented to the entry of permanent injunction from engaging in such conduct. On the basis of the offer of settlement, Weber was suspended from association with any broker or dealer for a period of thirty days, with the proviso that during the first fifteen days of said suspension he may execute unsolicited liquidating transactions for existing customers and during the second fifteen days of said suspension he may execute any unsolicited brokerage transactions. Weber agreed not to receive compensation for transactions effected during the suspension period. (Rel. 34-12868)

ORDERS FOR PUBLIC PROCEEDINGS

DAYCON INVESTORS ASSOCIATES, INC. JOSEPH P. D'ANGELO

The Commission has announced the institution of public administrative proceedings to determine whether the application of Daycon Investors Associates, Inc. (Applicant), Buffalo, N.Y., for registration as an investment adviser should be denied and to determine what, if any, remedial action against Joseph P. D'Angelo (D'Angelo), is appropriate in the public interest.

The Commission's order is based upon staff allegations that D'Angelo, president and treasurer of and a person associated with Applicant, previously had been enjoined by the U.S. District Court for the Western District of New York against violations of the registration and antifraud provisions of the securities laws in the offer and sale of the securities of Tycodyne Industries Corp. and that Applicant's application for registration as an investment adviser contained omissions and misstatements with regard to disclosure of that information.

A hearing will be held before an Administrative Law Judge to take evidence on the staff's allegations, to afford respondents an opportunity to offer any defenses thereto and to determine what action of a remedial nature should be ordered by the Commission. (Rel. IA-544)

COMMISSION ANNOUNCEMENTS

NEW SEC CONSUMER-EDUCATION BROCHURE

The SEC has released a new consumer-education brochure, "HOW TO AVOID PONZI & PYRAMID SCHEMES," it was announced today. The new brochure is the third such publication developed as part of the Commission's program to assist investors in identifying and avoiding fraudulent investment schemes. The booklet, which is designed to help the consumer in a cautious approach to certain investments, is available without charge from the Consumer Information Center, Dept. 70, Pueblo, Colorado 81009 (refer to Stock No. 600E when ordering). Requests for multiple copies should be directed to the Office of Public Affairs, Securities and Exchange Commission, 500 North Capitol Street, Washington, D.C. 20549.

The booklet explains that the Ponzi scheme, named for Charles A. Ponzi, who defrauded hundreds of investors in the 1920s, pays off old "investors" with money coming in from new "investors." The "pyramid" scheme is essentially a business variation of the familiar "chain letter." In brief, these schemes may be characterized by the following: Reliance of funds from new investors to pay returns, commissions or bonuses to old investors; Need for an inexhaustible supply of new investors; and Absence of a profitable product or of efforts to make profits through productive work.

INVESTMENT COMPANY ACT RELEASES

SCUDDER MANAGED MUNICIPAL BONDS

A notice has been issued giving interested persons until November 9 to request a hearing on an application of Scudder Managed Municipal Bonds for an order exempting it from Section 10(b)(2) of the Act. (Rel. IC-9478 - Oct. 15)

BROAD STREET INVESTING CORPORATION

A notice has been issued giving interested persons until November 8 to request a hearing on an application filed by the following persons: Broad Street Investing Corporation, National Investment Corporation, Union Capital Fund, Inc. and Union Income Fund, Inc., registered open-end management investment companies; Tri-Continental Corporation, a registered closed-end management investment company; Union Cash Management Fund, Inc., a newly organized open-end management investment company; Union Service Corporation; Union Service Distributors, Inc.; and Union Data Service Center. The application requests certain orders of the Commission that would permit Union Cash Management Fund to participate in the mutual service and mutual service distribution arrangements between the existing investment companies and the service companies. (Rel. IC-9479 - Oct. 15)

HOLDING COMPANY ACT RELEASES

AMERICAN ELECTRIC POWER COMPANY

An order has been issued approving a proposal by the American Electric Power Company (AEP), a registered holding company and the Kentucky Power Company, subsidiary thereof, to allow Kentucky to issue and sell at competitive bidding, up to \$30 million of first mortgage bonds and for AEP to make a \$10 million capital contribution to Kentucky. (Rel. 35-19714 - Oct. 15)

SELF-REGULATORY ORGANIZATIONS

NOTICE OF PROPOSED RULE CHANGE

The New York Stock Exchange, Inc. has filed a proposed rule change under Rule 19b-4 (SR-NYSE-76-52) to amend its billing procedures for SEC transaction fees. Publication of the proposal is expected to be made in the <u>Federal Register</u> during the week of October 18. (Rel. 34-12895)

The Municipal Securities Rulemaking Board has filed an amended proposed rule change under Rule 19b-4 (SR-MSRB-76-9) to require brokers, dealers, and municipal securities dealers to send written confirmation of municipal securities transactions to their customers. Publication of the proposal is expected to be made in the Federal Register during the week of October 18. (Rel. 34-12897)

APPROVAL OF PROPOSED RULE CHANGE

The Commission has approved a proposed rule change filed by the Midwest Stock Exchange, Inc. The rule change (SR-MSE-76-15) changes the manner in which a fee for transactions effected on the floor of the exchange is charged to members. (Rel. 34-12894)

MISCELLANEOUS

SCM OVERSEAS CAPITAL CORPORATION

A notice has been issued giving interested persons until November 1 to request a hearing on an application by SCM Overseas Capital Corporation (Applicant), a wholly-owned subsidiary of SCM Corporation, pursuant to Section 12(h) of the Securities Exchange Act of 1934 for an order exempting Applicant from the reporting requirements of Section 13 of the Act. (Rel. 34-12901)

SECURITIES ACT REGISTRATIONS

(S-16) WARNER-LAMBERT COMPANY

201 Tabor Rd., Morris Plains, N.J. 07950 - 20,000 shares of common stock, which are to be exchanged by a stockholder of Warner-Lambert for units of limited partnership interests in American General Exchange Fund, a California limited partnership which is an open-end diversified management investment company. Warner-Lambert manufactures and markets a broad line of professional and consumer products, including ethical and proprietary pharmaceuticals, medical and dental products, ophthalmic products, scientific instruments, chewing gums, mints and shaving products. (File 2-57393 - Oct. 12)

(S-16) NORTON SIMON, INC.

277 Park Ave., New York, N.Y. 10017 - 21,397 shares of common stock, of which 18,597 shares may be offered for sale from time to time at prevailing market prices on the New York Stock Exchange or otherwise by a selling stockholder and 2,800 shares may be offered to two exchange funds. Norton Simon, Inc. is one of the nation's leading manufacturers and marketers of quality products in the food and food service, cosmetics and fashion, home sewing, soft drinks, distilled spirits, and packaging fields. (File 2-57405 - Oct. 12)

(S-5) NUVEEN MUNICIPAL BOND FUND, INC.

230 West Monroe St., Chicago, Ill. 60606 - 2,500,000 shares of common stock, to be offered during the initial public offering period at a price of \$10 per share, from which will be deducted a sales charge in an amount varying from 4-1/2% to 2-1/2% of such price, depending upon the amount purchased. Following termination of the initial public offering period, the shares of common stock will be continuously offered at net asset value plus a sales charge varying from 4-1/2% to 2-1/2% of such net asset value, depending upon the amount purchased. The minimum initial investment is \$1,000. Subsequent investments for the account of a shareholder must be in amounts of at least \$100. John Nuveen & Co. Inc.- 209 South LaSalle St., Chicago, Ill. 60604 and 61 Broadway, New York, N.Y. 10006 will serve as principal underwriter for the Fund. The Fund is an open-end, diversified management investment company formed for the purpose of investing in and managing a portfolio of municipal bonds. (File 2-57408 - Oct. 13)

(S-1) CENTURA INCORPORATED

4440 IDS Center, Minneapolis, Minn. 55402 - 2000 units of preformation limited partner-ship interests in Centura Petroleum Fund 1977, to be offered for sale at a subscription price of \$5,000 per unit through a best efforts underwriting by Centura Securities Corp., 4440 IDS Center, Minneapolis, Minn. 55402. The limited partnership interests are in three limited partnerships to be formed during 1977, to be designated Centura Petroleum Fund 1977-B, and Centura Petroleum Fund 1977-C. The limited partnerships will engage in a program for the exploration and development of oil and gas properties. (File 2-57411 - Oct. 13)

(S-6) AMERICAN TAX-EXEMPT BOND TRUST, SERIES 14

215 North Main St., West Bend, Wis. 53095 - \$6,300,000 of units, to be offered for sale at net asset value (\$1050 maximum). The Trust is the fourteenth of a series of unit investment trusts proposed to be created under a trust indenture among B. C. Ziegler and Company as sponsor, United States Trust Company of New York, as trustee, and Standard & Poor's Corporation, as evaluator. It consists of a portfolio of interest-bearing obligations issued by or on behalf of states, counties, municipalities and territories of the United States and authorities and political subdivisions thereof, the interest on which is, in the opinion of bond counsel, exempt from all Federal income tax under existing law. (File 2-57414 - Oct. 13)

(S-7) TUCSON GAS & ELECTRIC COMPANY

220 West Sixth St., Tucson, Ariz. 85702 - 3,000,000 shares of common stock, to be offered for sale through a negotiated sale to underwriters managed by Merrill Lynch, Pierce, Fenner & Smith Inc., One Liberty Plaza, 165 Broadway, New York, N.Y. 10006 and Blyth Eastman Dillon & Co. Inc., One Chase Manhattan Plaza, New York, N.Y. 10005. The company is an operating public utility engaged in supplying electric and gas service. (File 2-57415 - Oct. 14)

(S-7) PUBLIC SERVICE COMPANY OF NEW MEXICO

414 Silver Ave., S.W., Albuquerque, N.M. 87102 - 1,200,000 shares of common stock, to be offered for sale through underwriters headed by Kidder, Peabody & Co. Inc.,

RECENT 8K FILINGS

Form 8-K is used by companies to file current reports on the following events:

Item 1. Changes in Control of Registrant

Item 2. Acquisition or Disposition of Assets

Item 3. Legal Proceedings

Item 4. Changes in Securities

Item 5. Changes in Security for Registered Securities

Item 6. Defaults upon Senior Securities

Item 7. Increase in Amount of Securities Outstanding

Item 8. Decrease in Amount of Securities Outstanding

Item 9. Options to Purchase Securities

Item 10. Extraordinary items, other material charges and credits and capital restatements

Item 11. Submission of Matters to a Vote of Security Holders

Item 12. Changes in Registrant's Certifying Accountant

Item 13. Other Materially Important Events

Item 14. Financial Statements and Exhibits

The companies listed below have filed 8-K reports for the month indicated and/or amendments to 8-K reports previously filed, responding to the item(s) of the form specified. Copies of the reports may be purchased from the Commission's Public Reference Section (in ordering, please give month and year of report). An invoice will be included with the requested material when mailed.

| COMPANY NORTH CAROLINA TELEPHONE CO NORTHEAST BANCORP INC CONNECTICUT NORTHEAST BANCORP INC CONNECTICUT NORTHERN INDIANA PUBLIC SERVICE CO NORTON SIMON INC OCCIDENTAL PETROLEUM CORP GCEANEERING INTERNATIONAL INC OKLAHDMA GAS & ELECTRIC CO OUTBOARD MARINE CORP PAINE WEBBER INC PAN AMERICAN WORLD AIRWAYS INC PENNEY J C CO INC PENNEY J C FINANCIAL CORP PENNSYLVANIA POWER & LIGHT CO PENNZOIL CO PITNEY BOWES INC PORTLAND GENERAL ELECTRIC CO PROGRESSIVE CORP OF OHID PROVIDENT NATIONAL CORP PUEBLO INTERNATIONAL INC QUAKER STATE OIL REFINING CORP RANSEURG CORP READE WALTER JRGANIZATION INC KEADING & BATES OFFSHORE DRILLING CO RED FOOD STORES INC REPUBLIC NATIONAL LIFE INSURANCE CO | ITEM NO. | MONTH |
|--|----------------------------|------------------|
| NORTH CAROLINA TELEPHONE CO | 7 | 09/76 |
| NURTHEAST BANCORP INC CONNECTICUT | 13.14 | 09/76 |
| NORTHERN INDIANA PUBLIC SERVICE CO | 3.7.13.14 | 09/76 |
| NORTON SIMON INC | 3 | 09/16 |
| OCCIDENTAL PETROLEUM CORP | 2 | 00/76 |
| DOCEANER ING INTERNATIONAL INC | 7 14 | 09/16 |
| OKTAHUMA CAZ Z EFECTDIC CO | 7 1 14 | 09/76 |
| BUTHDARD MARINE CORD | 4,14 | 09/16 |
| DAINE WEDDED INC | 13 | 09/ 16 |
| PAN AMERICAN MODED ATCHAVE THE | 7+14 | 097 76 |
| DENNEY I C CO THE | 2,13,14 | 09/76 |
| DENNEY I C EINANCIAL CODD | 13 | 09/76 |
| DENNICYLMANIA DOMER COLICUT CO | 7,14 | 09/76 |
| PENNSTLVANIA PUWER & LIGHT CU | 3,13 | 09/ 76 |
| PENNZUIL CU | 3,7,14 | 09/76 |
| PRINCE BUNES INC | 3,10,14 | 09/76 |
| PURILAND GENERAL ELECTRIC CO | 7 | 09/76 |
| PRUGRESSIVE CURP OF OHIO | 7,14 | 09/76 |
| PROVIDENT NATIONAL CORP | 13,14 | 09/76 |
| PUEBLU INTERNATIONAL INC | 3,14 | 09/76 |
| QUAKER STATE OIL REFINING CORP | 7 | 09/76 |
| RANSEURG CORP | 3 | 09/76 |
| READE WALTER ORGANIZATION INC | 6 | 09/76 |
| READING & BATES OFFSHORE DRILLING CO | 4,7,8,14 | 09/76 |
| RED FOOD STORES INC | 7 | 09/76 |
| REPUBLIC NATIONAL LIFE INSURANCE CO | 3,14 | 09/76 |
| RESERS FINE FOODS INC | 9,11 | 09/76 |
| RESTAURANT ASSOCIATES INDUSTRIES INC | 13 | 09/76 |
| REUTER INC | 2,7,14 | 09/76 |
| RIEGEL TEXTILE CORP | 13,14 | 09/76 |
| ROBERTSHAW CONTROLS CO | 13 | 09/76 |
| ROCKWELL INTERNATIONAL CORP | 3.8.13 | 09/76 |
| ROHR INDUSTRIES INC | 2.14 | 09/76 |
| ROYAL CROWN COLA CO | 2.14 | 09/76 |
| RUCKER CO | 13.14 | 09/76 |
| SAN DIEGO GAS & ELECTRIC CO | 3 | 09/76 |
| SANDERS ASSOCIATES INC | 13.14 | 09/76 |
| SAVANNAH FOODS & INDUSTRIES INC | 3 | 09/76 |
| SAVIN BUSINESS MACHINES CORP | 13 | 09/76 |
| SCA SERVICES INC | 7.14 | 09/76 |
| SENSORMATIC ELECTRONICS CORP | 4.7.13.14 | 09/76 |
| SERVICE CORP INTERNATIONAL | 7.14 | 09/76 |
| SERVO CORP OF AMERICA | 7.0 | 09/16 |
| SHENANDOAH DIL CORP | 11 | 09/76 |
| READING & BATES OFFSHORE DRILLING CO RED FOOD STORES INC REPUBLIC NATIONAL LIFE INSURANCE CO RESERS FINE FOODS INC RESTAURANT ASSOCIATES INDUSTRIES INC REUTER INC RIEGEL TEXTILE CORP ROBERTSHAW CONTROLS CO ROCKWELL INTERNATIONAL CORP ROTAL CROWN COLA CO RUCKER CO SAN DIEGO GAS & ELECTRIC CO SANDERS ASSOCIATES INC SAVANNAH FOODS & INDUSTRIES INC SAVIN BUSINESS MACHINES CORP SCA SERVICES INC SENSORMATIC ELECTRONICS CORP SERVICE CORP INTERNATIONAL SERVO CORP OF AMERICA SHENANDOAH JIL CORP SHERWIN WILLIAMS CO SIMPSON INDUSTRIES INC SMC INDUSTRIES INC SMC INDUSTRIES INC SMC INDUSTRIES INC SOUTHERN UNION CO SPECTRA STRIP CORP | 13 | 09/76 |
| SIMPSON INDUSTRIES INC | 2.14 | 09/10 |
| SMC INDUSTRIES INC | 4117 7 | 07/10 |
| SOUTHERN UNION CO | 7 | 07/10 |
| SPECTRA STRIP CORP | 2 11 14 | U7/ 10 |
| STEETING STRIP CORP | A 9 11 9 14 NEWS DICESE | 07/10 |
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| IINGS CONT. | | |
|---|-------------|-------|
| SRC LABORATORIES INC | 13,14 | 09/76 |
| STANCARD BRANDS INC | 13 | 09/76 |
| STANDARD METALS CORP | 12,13 | 09/76 |
| STANDARD PACIFIC CORP | 7 | |
| STANWICK CORP | 13 | 09/76 |
| STEVENS J P & CO INC | | 09/76 |
| STORAGE TECHNOLOGY CORP | 7,14 | 09/76 |
| SUMMIT ENERGY INC | 12,14 | 09/76 |
| SUN BANKS OF FLORIDA INC | 11,14 | 09/76 |
| TEKTRONIX INC | 13 | 09/76 |
| | 11,14 | 09/76 |
| TELCO MARKETING SERVICES INC | 13,14 | 09/76 |
| TEXACO INC | 3 | 09/76 |
| TEXAS ELECTRIC SERVICE CO | 3 | 09/76 |
| TOSCO CORP | 3,8 | 09/76 |
| TOTH ALUMINUM CORP | 12 | 09/76 |
| TRANS SERVICES CORP | 13,14 | 09/76 |
| TWENTIETH CENTURY CORP | 2,7,14 | 09/76 |
| TWIN CITY BARGE & TOWING CO | 3,13,14 | 09/76 |
| UNARCO INDUSTRIES INC | 3 | 09/76 |
| UNICARE SERVICES INC | 8 | 09/76 |
| UNION BANCORP INC | 3 | 09/76 |
| UNITED CAROLINA BANCSHARES CORP | 7 | 09/76 |
| UNITED ENERGY RESOURCES INC | 13,14 | 09/76 |
| UNITED GAS PIPE LINE CC | 7,8,13,14 | 09/76 |
| UNITED SCIENTIFIC CORP | 11,14 | |
| UNITED STATES INDUSTRIES INC | 8 | 09/76 |
| UNITED STATES LEASING CORP | | 09/76 |
| | 7,14 | 09/76 |
| UNITED STATES LEASING INTERNATIONAL INC | 7,14 | 09/76 |
| UNITOG CO | 7,14 | 09/76 |
| UNITRODE CORP | 13.14 | 09/76 |
| UNIVERSAL SECURITY INSTRUMENTS INC | 11,14 | 09/76 |
| VALERON CORP | 4,7,14 | 09/76 |
| VICTORIA STATION INC | 7 | 09/76 |
| VIRGINIA & SOUTHWESTERN RAILWAY CO | 11 | 09/76 |
| VIRGINIA INTERNATIONAL CO | 7,13,14 | 09/76 |
| WALTER JIM CORP | 3 | 09/76 |
| WESTATES PETROLEUM CO | 2,14 | 09/76 |
| WESTERN CORP | 1,2,3,13,14 | 09/76 |
| WESTERN PREFERRED CORP | 2,4,7,11,14 | 09/76 |
| WESTINGHOUSE CREDIT CORP | 7,14 | 09/76 |
| WHEELING PITTSBURGH STEEL CORP | 3,13 | 09/76 |
| WINCGRP | 13,14 | 09/76 |
| WINKLER SCHEID VINEYARDS INC | 2,13,14 | 09/76 |
| WISCONSIN ELECTRIC POWER CO | 3,13 | 09/76 |
| WISCONSIN PUBLIC SERVICE CORP | 3,13 | 09/76 |
| | | |
| WRATHER CORP | 2,14 | 09/76 |
| ZIEGLER CO INC | 4,7,8,10,14 | 09/76 |
| ADDRESSOGRAPH MULTIGRAPH CORP | 13 | 09/76 |
| AGM INDUSTRIES INC | 13,14 | 09/76 |
| AKZCNA INC | 4,7,14 | 09/76 |
| ALBERTSONS INC | 2,3,7 | 09/76 |
| ALCCN LABORATORIES INC | 13 | 09/76 |
| ALISON MORTGAGE INVESTMENT TRUST | 11,13,14 | 09/76 |
| ALLEGHANY CORP | 3,13,14 | 09/76 |
| ALLEN GROUP INC | 13,14 | 09/76 |
| ALLIED PRODUCTS CORP | 2,14 | 09/76 |
| ALLIED SUPERMARKETS INC | 2,4,8,10,14 | 09/76 |
| ALLIS CHALMERS CORP | 3 | 09/76 |
| ALTON BOX BOARD CO | 3,7,14 | 09/76 |
| ALUMINUM CO OF AMERICA | 3 | 09/76 |
| AMAREX INC | 3,11 | 09/76 |
| AMCO ENERGY CORP | 2,7,13,14 | 09/76 |
| AMCOMP INC | 8 | 09/76 |
| AMERICAN AGRONOMICS CORP | 8 | 09/76 |
| AMERICAN HOSPITAL SUPPLY CORP | 10,13,14 | 09/76 |
| AMERICAN MOTORS CORP | 3 | 09/76 |
| | 13 | |
| AMERITEL ENTERPRISES INC | | 09/76 |
| ANCHER HOCKING CORP | 3 | 09/76 |
| ANGELES CORP | 8,11,13,14 | 09/76 |
| APACHE CORP | 7,13,14 | 09/76 |
| APEXCO INC | 8,13,14 | 09/76 |
| ARA SERVICES INC | 8 | 09/76 |
| ARGENT CORP | 3,13,14 | 09/76 |
| ARGONAUT ENERGY CORP | 2 | 09/76 |
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| ARIZONA PUBLIC SERVICE CO | 3,13 | 09/76 |
|--|----------------|---------------|
| ARVIN INDUSTRIES INC | 7 | 09/76 |
| ASI COMMUNICATIONS INC | 2,14 | 09/76 |
| ATHLONE INDUSTRIES INC | | 09/76 |
| | 8 | |
| AVCO CURP | 4,13,14 | 09/76 |
| AVM CURP | 3,4,14 | 09/76 |
| BAKER INTERNATIONAL CORP | 7,14 | 09/7 6 |
| BALTIMORE & OHIO RAILRCAD CO | 3 | 09/76 |
| BANGUR PUNTA CORP | 3,14 | 09/76 |
| BARNES GROUP INC | 7,11,14 | 09/76 |
| | | |
| BARUCH FOSTER CORP | 10,13 | 09/76 |
| BARWICK E T INDUSTRIES INC | 14 | 09/76 |
| BAY STATE GAS CO | 13,14 | 09/76 |
| BEARD DIL CU | 7,13,14 | 09/76 |
| BEKER INCUSTRIES CORP | 7,14 | 09/76 |
| BELCC PETROLEUM CORP | 13 | 09/76 |
| | | |
| BELL & HOWELL CO | 4,7,14 | 09/76 |
| BELL TELEPHONE CO OF PENNSYLVANIA | 7 | 09/76 |
| BEVIS INDUSTRIES INC | 13,14 | 09/76 |
| BIG THREE INDUSTRIES INC | 13 | 09/76 |
| BURG WARNER CORP | 3 | 09/76 |
| BRISTOL PRODUCTS INC | 2,14 | 09/76 |
| BROCKS INTERNATIONAL INC | | |
| | 3 | 09/76 |
| BROWNING FERRIS INDUSTRIES INC | 2,3,8,14 | 09/76 |
| BURTON HAWKS INC | 11 | 09/76 |
| CAESARS WORLD INC | 10 | 09/76 |
| CALIFCRNIA PORTLANC CEMENT CO | 3 | 09/76 |
| CARLYLE REAL ESTATE LTD PARTNERSHIP 71 | | |
| | 2,14 | 09/76 |
| CARTERFONE COMMUNICATIONS CORP | 12,14 | 09/76 |
| CENCO INC | 2,8,11,14 | 09/76 |
| CENTRAL BANKING SYSTEM INC | 13 | 09/76 |
| CENTRAL ILLINOIS LIGHT CO | 3 | 09/76 |
| CENTRAL MINNESOTA TELEVISION CO | 8 | 09/76 |
| CENTRAL WISCONSIN BANKSHARES INC | | |
| | 7,13 | 09/76 |
| CHESAPEAKE & OHIO RAILWAY CO | 3 | 09/76 |
| CHESAPEAKE & POTOMAC TELEPHONE CO OF WES | 13 | 09/76 |
| CHESSIE SYSTEM INC | 3 | 09/76 |
| CHICAGO HELICOPTER INDUSTRIES INC | 3,13 | 09/76 |
| CITIZENS MORTGAGE INVESTMENT TRUST | 3 | 09/76 |
| COASTAL STATES GAS PRODUCING CO | | 09/76 |
| | 13 | • |
| COMMERCIAL ALLIANCE CORP | 4,7,14 | 09/76 |
| COMMONWEALTH EDISON CO | 3 | 09/76 |
| COMMUNITY PUBLIC SERVICE CO | 7,13 | 09/76 |
| COMPUTER COMMUNICATIONS INC | 7,14 | 09/76 |
| CONNECTICUT BANCFEDERATION INC | 3,10,13 | 09/76 |
| CONSOLIDATED ICISON CO OF NEW YORK INC | 8 | 09/76 |
| COOK INDUSTRIES INC | | |
| | 13 | 09/76 |
| COSCO INC | 13 | 09/76 |
| COUSINS MORTGAGE & EQUITY INVESTMENTS | 3 | 09/76 |
| COUSINS PROPERTIES INC | 7,8,14 | 09/76 |
| CPC INTERNATIONAL INC | 3 | 09/76 |
| CRUMPTON CO INC | 4,13 | 09/76 |
| CROUSE HINDS CO | 10,14 | 09/76 |
| CROWN ZELLERBACH CORP | | |
| | 3 | 09/76 |
| CURTICE BURNS INC | 4,11,14 | 09/76 |
| CURTISS WRIGHT CORP | 3,14 | 09/76 |
| DANA ELECTRONICS INC | 13 | 09/76 |
| DATA CARD CORP | 2,14 | 09/76 |
| DATA TECHNOLOGY CORP | 11 | 09/76 |
| DAYTON POWER & LIGHT CC | 13 | |
| | | 09/76 |
| DEL MONTE CORP | 3 | 09/76 |
| DELTA AIR LINES INC | 8 | 09/76 |
| DELTA CALIFORNIA INDUSTRIES | 2,14 | 09/76 |
| DENNYS INC | 7,14 | 09/76 |
| DIAMOND INTERNATIONAL CORP | 3,7,14 | 09/76 |
| DOMINION HOLDINGS INC | 3,6 | 09/76 |
| DOVER CORP | | |
| | 7 | 09/76 |
| DURIRON CO INC | 7 | 09/76 |
| DUVAL CORP | 3 | 09/76 |
| E SYSTEMS INC | 4+14 | 09/76 |
| ECONOMY BOOKBINDING CORP | 2 | 09/76 |
| ELDORADO INTERNATIONAL INC | 2 | 09/76 |
| EVANS PRODUCTS CO | 4,7,8,10,13,14 | 09/76 |
| FAIRCHILD INDUSTRIES INC | | |
| EMINOUISED IMPOSENTED INC | 4,14 | 09/76 |
| | | |

| INOD CONT. | | |
|--|-------------|----------------|
| FALCON PRODUCTS INC | 3 | 09/76 |
| FANSTEEL INC | 13,14 | 09/76 |
| FASHION FABRICS INC | 11 | 09/76 |
| FEDERATED COMMUNICATIONS CORP | 1.13 | 09/76 |
| FEDERATED DEVELOPMENT CO | 3,8 | 09/76 |
| FIDELITY CORP | 2,10,14 | 09/76 |
| FILICE WINERY INC | 3 | 09/76 |
| FINANCIAL INDUSTRIES CORP | 2.14 | 09/76 |
| FIRST SURETY CORP | 13 | 09/76 |
| FIRST UNION REAL ESTATE EQUITY & MORTGAG | 4,7 | 09/76 |
| FIRST WISCONSIN MORTGAGE TRUST | 3,13,14 | |
| FIRSTMARK CORP | 7 | 09/76 09/76 |
| FITCHBURG GAS & ELECTRIC LIGHT CO | 7,14 | 09/76 |
| FLORIDA GAS TRANSMISSION CO | 3 | 09/76 |
| FLYING TIGER LINE INC | 7,13,14 | 09/76 |
| FLYNN ENERGY CORP | 7.14 | 09/76 |
| FMC CORP | 3,10,14 | |
| FOREMOST MCKESSON INC | | 09/76 |
| | 7,13 | 09/76 |
| FORESTVILLE KEYSTONE WCODS ASSOCIATES GAF CORP | 11,14 | 09/76 |
| GATEWAY SPORTING GOODS CO | 13 | 09/76 |
| GAYNOR STAFFORD INDUSTRIES INC | 9,13 | 09/76 |
| | 3 | 09/76 |
| GENERAL INSTRUMENT CORP | 3,8 | 09/76 |
| GENERAL MILLS INC | 11 | 09/76 |
| GETTY OIL CO | 3 | 09/76 |
| GIBSCN HCMANS CO | 1,14 | 09/76 |
| GIFFEN INDUSTRIES INC | 13.14 | 09/76 |
| GILCO INVESTMENT CORP | 2,14 | 09/76 |
| GLOBE UNION INC | 4,7 | 09/76 |
| GOODALL RUBBER CO | 10 | 09/76 |
| GREAT AMERICAN MANAGEMENT & INVESTMENT | 2,8,14 | 09/76 |
| GREAT WESTERN UNITED CCRP | 3 | 09/76 |
| GREYHOUNE CORP | 3 | 09/76 |
| GROLIER INC | 13 | 09/76 |
| GRUW CHEMICAL CORP | 13,14 | 09/76 |
| GKT CORP | 3,8 | 09/76 |
| GULF OIL CORP | 3,13,14 | 09/76 |
| HALL W F PRINTING CO | 3 | 09/76 |
| HALLCRAFT HOMES INC | 6 | 09/76 |
| HANGVER PETROLEUM CORP 1972 DRILLING PRO | 7,8,14 | 09/76 |
| HARDEES FOOD SYSTEMS INC | 13 | 09/76 |
| HARMAN INTERNATIONAL INDUSTRIES INC | 2,14 | 09/76 |
| HEALTH INDUSTRIES INC | 13 | 09/76 |
| HELLER WALTER E & CO | 13 | 09/76 |
| HELLER WALTER E INTERNATIONAL CORP | 13 | 09/76 |
| HER MAJESTY INDUSTRIES INC | ĺ | 09/76 |
| HICKORY FARMS OF OHIO INC | 2,3,14 | 09/76 |
| HOLLY CORP | 3,13 | 09/76 |
| HOLOBEAM INC | 13 | 09/76 |
| HOMESTEAD MORTGAGE CC | - | 09/76 |
| | 13,14 | 09/76 |
| HOOKER CHEMICAL & PLASTICS CCRP | 3 | |
| HORIZON BANCORP | 7 | 09/76 09/76 |
| HOSPITAL CORP OF AMERICA | 7,8 | 09/76 |
| HOUSTON LIGHTING & POWER CO | 13 | |
| HOWELL CORP | 9,13 | 09/76 |
| IDEAL BASIC INDUSTRIES INC | 3,7,8,13,14 | 09/76 |
| INDIANAPOLIS WATER CO | 3 | 09/76 |
| INSTRUMENTATION LABORATORY INC | 4,14 | 09/76 |
| INTERISLAND RESORTS LTC | 3,13 | 09/76 |
| INTERNATIONAL SEAWAY TRADING CORP | 4,13,14 | 09/76 |
| INTERSTATE BRANDS CORP | 7,14 | 09/76 |
| IOWA SOUTHERN UTILITIES CO | 7.14 | 09/76 |
| IRVIN INDUSTRIES INC | 7.14 | 09/76 |
| JASON EMPIRE INC | 4,7,8,14 | 09/76 |
| JERSEY CENTRAL POWER & LIGHT CO | 3,13 | 09/76 |
| JET AIR FREIGHT | 3,14 | 09/76 |
| JMB INCOME PROPERTIES LTD IV | 2,14 | 09/76 |
| KANSAS GAS & ELECTRIC CO | 7,14 | 09/76 |
| KAWECKI BERYLCO INDUSTRIES INC | 1 | 09/76 |
| KEANE ASSOCIATES INC | 2,13,14 | 09/76 |
| KENNEDY S F INDUSTRIES INC | 7 | 09/76 |
| KENTUCKY UTILITIES CO | 3 | 09/76 |
| KIN ARK CORP | 2 | 09/76 |
| KING JAMES EXTENDED CARE INC | 13,14 | 09/76 |
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| NGS CONT. | | |
|---|-----------------|----------------|
| KLEINERTS INC | 12,13,14 | 09/76 |
| KNOTT HOTELS CORP | 2,10,13,14 | 09/76 |
| KROEHLER MANUFACTURING CO | 7,10,14 | 09/76 |
| LACLEDE STEEL CO | 13.14 | 09/76 |
| LANDMARK BANKING CORP OF FLORIDA | 13,14 | 09/76 |
| LEASEPAC CURP | 13,14 | 09/76 |
| LEHIGH VALLEY INDUSTRIES INC | 13,14 | 09/76 |
| LIBERTY HOMES INC | 8 | 09/76 |
| LIMITED STORES INC | 4,14 | 09/76 |
| LITCE CORP OF NEW YORK | 13 | 09/76 |
| LMF CORP | 2,7,14 | 09/76 |
| LUNG ISLAND LIGHTING CC | 3,7,13 | 09/76 |
| LOKAL CORP | 10,14 | 09/76 |
| MACMILLAN INC | 7,14 | 09/76 |
| MACRODATA CORP | 2,14 | 09/76 |
| MARINE MIDLAND BANKS INC | 3 | 09/76 |
| MARLEY CO | 4,7,8,14 | 09/76 |
| MARQUETTE CU | 11 | 09/76 |
| MASSACHUSETTS GENERAL LIFE INSURANCE CO | 13,14 | 09/76 |
| MAY DEPARTMENT STORES CREDIT CC | 7,14 | 09/76 |
| MCCURMICK & CO INC | 13 | 09/76 |
| MCCRORY CORP | 3,6,7,13,14 | 09/76 |
| MCD FOLDINGS INC | 13 | 09/76 |
| MCNEIL REAL ESTATE FUND FOUR LTD | 7 | 09/76 |
| MEAC CORP | 3 • 8 | 09/76 |
| MEDUSA CORP | 13 | 09/76 |
| MESABI TRUST CO | 13,14 | 09/76 |
| METROPULITAN ECISON CO | 3 | 09/76 |
| MICROTRON INDUSTRIES INC | 3 | 09/76 |
| MID CONTINENT INDUSTRIES INC | 8,14 | 09/76 |
| MISSOURI PACIFIC RAILREAD CO | 7,8 | 09/76 |
| MISTER STEAK INC | 12 | 09/76 |
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| MURTGAGE TRUST OF AMERICA | 10,14 | 09/76 |
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| MUSIC FAIR ENTERPRISES INC | 2,7 <u>,</u> 14 | 09/76 |
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| NATIONAL MERIDIAN SERVICES INC | 13,14 | 09/76 |
| NATIONAL SERVICE INDUSTRIES INC | 3 | 09/76 |
| NATIONWICE REAL ESTATE INVESTORS | 3,13,14 | 09/76 |
| NATUMAS CU | 8,14 | 09/76 |
| NELSON L B CORP | 8,10,14 | 09/76 |
| NEUNEX INTERNATIONAL LTD | 2 7 | 09/76 09/76 |
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