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Issue 76-185 (SEC Docket, Vol. 10, No. 10 - October 5)

SEP 24 1976

September 22, 1976

DECISIONS IN ADMINISTRATIVE PROCEEDINGS

U.S. SECURITIES AND EXCHANGE COMMISSION

R. WAYNE EVERETT & ASSOCIATES, INC. AND ROGER WAYNE EVERETT SANCTIONED

The Commission has revoked the broker-dealer registration of R. Wayne Everett & Associates, Inc., of Bettendorf, Iowa, and has barred Roger Wayne Everett, formerly of Bettendorf, Iowa, presently residing in Longwood, Florida, from association with any broker or dealer, investment adviser, investment company or affiliate thereof. These sanctions were based upon an offer of settlement submitted by R. Wayne Everett & Associates, Inc. and Mr. Everett wherein, without admitting or denying the allegations contained in the Commission's order for proceedings, both R. Wayne Everett & Associates, Inc. and Mr. Everett consented to the sanctions and findings that they wilfully violated and wilfully aided and abetted violations of the registration and antifraud provisions of the securities laws, and failed reasonably to supervise employees. Both R. Wayne Everett & Associates, Inc. and Mr. Everett were named as respondents by the Commission in its order for proceedings entitled in the matter of R. Wayne Everett & Associates, Inc., et al. Mr. Everett was president and a director of R. Wayne Everett & Associates, Inc., et al. Mr. Everett was president and a director of R. Wayne Everett & Associates, Inc., et al. Mr. Everett was president and a director of R. Wayne Everett

NEW YORK STOCK EXCHANGE ACTION AGAINST CARL F. CAMPBELL AFFIRMED

The Commission has affirmed a \$1,500 fine imposed by the New York Stock Exchange on Carl F. Campbell, of St. Louis. Campbell is a supervisory analyst with an Exchange member firm. The Commission found, as did the Exchange, that Campbell engaged in conduct "inconsistent with just and equitable principles of trade." He prepared and signed a number of research reports for his firm which recommended three securities without disclosing that the firm was making a market in those securities. (Rel. 34-12793)

COURT ENFORCEMENT ACTIONS

J-TEX PETROLEUM CORPORATION AND DAVID L. JOHNSON, SR. ENJOINED

The Fort Worth Regional Office announced that on September 13 and August 10 Federal District Judge Robert W. Porter at Dallas, Texas entered orders of preliminary injunction against J-Tex Petroleum Corporation and David L. Johnson, Sr., both of Abilene, Texas, formerly of Dallas, preliminarily enjoining them from violations of the registration and antifraud provisions of the securities laws.

Previously, on August 4 Judge Porter entered an order permanently enjoining David L. Johnson, Jr. of San Antonio, Texas, from violations of the registration provisions of the securities laws.

The Commission's complaint alleged violations of the registration and antifraud provisions of the securities laws in the offer and sale of fractional undivided working interests in oil and gas leases located in Shackleford, Stephens and Throckmorton Counties, Texas issued by J-Tex Petroleum Corporation. (SEC v. J-Tex Petroleum Corporation, ND/Tex.). (LR-7575)

COMPLAINT NAMES FIRST OMAHA SECURITIES CORPORATION, OTHERS

The Denver Regional Office announced that on September 8 a complaint was filed in the Federal District Court for the District of Nebraska seeking a temporary restraining order, preliminary injunction, and a permanent injunction enjoining First Omaha Securities Corporation and certain of its principals—Cliff Rue Rahel, Robert Perelman, Ernest Gordon Field, and John Joe Ricketts—from violating certain of the provisions

of the securities laws which require broker-dealers registered with the Commission to make and keep certain records relating to their business to verify the correctness of the records periodically and to notify the Commission whenever such records are not correct or accurate and to determine whether a bank account number for the exclusive benefit of customers has the required balance.

The complaint alleges that First Omaha Securities Corporation, aided and abetted by the individual defendants, who were all officers and directors of the firm, violated the aforementioned requirements.

The Honorable Richard E. Robinson, District Court Judge, on September 9 entered a temporary restraining order prohibiting First Omaha Securities Corporation from violating the above described provisions of laws and also prohibiting First Omaha Securities Corporation from acting as a broker or dealer while it is violating such laws.

Such order is to remain in effect until, in substance, further action is taken by the Court in the suit. The defendant, First Omaha Securities Corporation, consented to the entry of the temporary restraining order. (SEC v. First Omaha Securities Corporation, USDC, Nebr., 76-0-343). (LR-7576)

FRANK SALGAN ENJOINED

The Chicago Regional Office announced that on August 17 the Honorable George Leighton, U.S. District Court Judge for the Northern District of Illinois, Eastern Division, entered a final judgment permanently enjoining Frank Salgan from violations of the antifraud provisions of the securities laws. Salgan consented to the issuance of the order, without admitting or denying the allegations of the Commission's complaint.

The complaint, which was filed on June 16 alleged that Salgan violated the aforesaid antifraud provisions by engaging in a scheme to defraud individuals of approximately \$600,000 by means of utilizing forged letters purportedly written by officers of 2 corporations which falsely represented that these companies had securities in registration with the Commission and that Salgan was authorized to offer these securities to investors and that investors would make substantial profits when they sold these securities since they could purchase them at a substantial discount. (SEC v. Frank Salgan, ND/II1., 76-C-2239). (LR-7577)

COMPLAINT NAMES BEE-LGEE INVESTMENT FUND, INC., OTHERS

The Chicago Regional Office announced that on August 26 a civil injunctive complaint was filed in the U.S. District Court for the Norhtern District of Illinois, seeking to enjoin BEE-LGEE Investment Fund, Inc., VIP Investment Corporation and Benjamin L. Grab from violating the registration and antifraud provisions of the securities laws in the offer and sale of promissory note and limited partnership interests. (SEC v. BEE-LGEE Investment Fund, Inc., et al., Northern District of Illinois, Eastern Division, Civil Action No. 76-C-3189). (LR-7578)

INVESTMENT COMPANY ACT RELEASES

SMITH, BARNEY EQUITY FUND

An order has been issued on an application by Smith, Barney Equity Fund, Inc. and Smith, Barney Income and Growth Fund, Inc. (the Funds) exempting the Funds from Section 22(d) of the Act in connection with a proposal of the Funds, which presently sell their shares at net asset value without a sales charge, to implement a schedule of sales charges which would not apply to certain classes of persons. (Rel. IC-9452-Sept. 21)

HOLDING COMPANY ACT RELEASES

SOUTHWESTERN ELECTRIC POWER COMPANY

A supplemental notice has been issued giving interested persons until October 5 to request a hearing on a proposal of Southwestern Electric Power Company, subsidiary of Central and South West Corporation, that it issue and sell first mortgage bonds to a municipality in connection with the financing of pollution control facilities. (Rel. 35-19687 - Sept. 21)

LISTING, DELISTING AND UNLISTED TRADING ACTIONS

LISTING ACTIONS

Orders have been issued granting the requests of the following companies to list the specified securities on the New York Stock Exchange, Inc.: Anchor Hocking Corp., 8-5/8% sinking fund debentures, due July 1, 2006; Fruehauf Corp., 9.70% sinking fund debentures, due August 1, 1996; and The Western Union Telegraph Company, 10% notes, due August 1, 1986. These listings all become effective as of September 15, 1976. (Rel. 34-12824)

SELF-REGULATORY ORGANIZATIONS

NOTICE OF PROPOSED RULE CHANGE

The American Stock Exchange, Inc. (Amex) has filed a proposed rule change under Rule 19b-4 (SR-Amex-76-21) to amend Amex Rules 915 and 916 relating to the approval and withdrawal of approval of stocks underlying exchange traded options. Publication of the proposal is expected to be made in the Federal Register during the week of September 20. (Rel. 34-12819)

SECURITIES ACT REGISTRATIONS

STOCK PLANS FILED

The following have filed Form S-8 registration statements with the SEC seeking registration of securities to be offered pursuant to employee stock and related plans:

Tampa Electric Co., Tampa, Fla. (File 2-57191 - Sept. 15) - 115,000 shares

Keene Corp., New York, N.Y. (File 2-57192 - Sept. 14) - 100,000 shares

Westvaco Corp., New York, N.Y. (File 2-57193 - Sept. 15) - 36,199 shares

Talcott National Corp., New York, N.Y. (File 2-57203 - Sept. 16) - 136,200 shares

Electrographic Corp., Chicago, Ill. (File 2-57204 - Sept. 14) - 90,485 shares

Whirlpool Corp., Benton Harbor, Mich. (File 2-57205 - Sept. 14) - 300,000 shares

Sportscoach Corp., Chatsworth, Cal. (File 2-57206 - Sept. 17) - 300,000 shares

Ply*Gem Industries, Inc., New York, N.Y. (File 2-57210 - Sept. 17) - 75,000 shares

Commonwealth Edison Co., Chicago, Ill. (File 2-57211 - Sept. 17) - 400,000 shares

Chilton Corp., Dallas, Tex. (File 2-57212 - Sept. 17) - 40,000 shares

Southwest Bancshares, Inc., Houston, Tex. (File 2-57215 - Sept. 17) - 399,500

shares

Frontier Airlines, Inc., Denver, Colo. (File 2-57223 - Sept. 20) - 172,000 shares

REGISTRATIONS EFFECTIVE

Sept. 16: Braun Engineering Co., 2-56947; Century Properties Fund XI, 2-52089; Chestnut Street Exchange Fund, 2-55797; The Corporate Bond Trust, Series 9, 2-56432; Consolidated Fibres Inc., 2-56696 (90 days); Insured Municipals Income Trust, Series 8, 2-57841; Loeb Rhoades & Co., 2-56899; Midlantic Banks Inc., 2-57068; Municipal Investment Trust Fund, Thirty-Ninth Monthly Payment Series, 2-53177; Portec Inc., 2-56958; United Nuclear Corp., 2-54530; Zapata Corp., 2-56934.

NOTE TO DEALERS. When applicable the 90-day period of time dealers are required to use the prospectus is noted above in parentheses after the name of the issuer. As to the other issuers, there may be no such requirement to use a prospectus, or the requirement may be for a period of only 40 days; see Section 4(3) of the Securities Act of 1933 and Rule 174 (17 CFR 230.174) thereunder.

RECENT 8K FILINGS

Form 8K is a report which must be filed with the SEC by the 10th of the month after any of the following important events or changes: changes in control of the registrant; acquisition or disposition of assets; legal proceedings; changes in securities (i.e., collateral for registered securities); defaults upon senior securities; increase or decrease in the amount of securities outstanding; options to purchase securities; revaluation of assets; submission of matters to a vote of security holders.

The companies listed below have filed Form 8-K reports for the month indicated, responding to the item of the form specified. Photocopies may be purchased from the Commission's Public Reference Section (in ordering, please give month and year of report). An index of the captions of the items of the form was included in Monday's News Digest.

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OAKMONT MARINE CORP	2,4,6,7,11,14	08/76
OCEANEERING INTERNATIONAL INC	7	08/76
OGDEN CORP	3	08/76
OLD STONE CORP	13	08/76
OLSON LABORATORIES INC	12,14	08/76
ORION CAPITAL CORP	8	08/76
OWENS CORNING FIBERGLAS CORP	3	08/76
PACESETTER INDUSTRIES INC	3,14	08/76
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PLACER DEVELOPMENT LTD	10,14	08/76
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POLY INDUSTRIES INC	11	08/76
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PROVIDENCE & WORCESTER CO	13	08/76
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RAI RESEARCH CORP	2,7,14	08/76
RAINIER COMPANIES INC	3	08/76
RCA CORP	10	08/76
READING & BATES OFFSHORE DRILLING CO	8	08/76
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REPUBLIC NATIONAL LIFE INSURANCE CO	3,10,13,14	08/76
RESERVE PETROLEUM CO	7,14	08/76
REXHAM CORP	3	08/76
REYNOLDS R J INDUSTRIES INC	13	09/76
RILEY CO	13	09/76
RITE AID CORP	13	08/76
ROUSE CO	7,8,10,14	08/76
SCA SERVICES INC	3,13	08/76
SCHERING PLOUGH CORP	3	08/76
SCOTT PAPER CO	3,7	08/76
SENECA FOODS CORP	7,8	08/76
SERVO CORP OF AMERICA	7,8	08/76
SERVOTRONICS INC	3	08/76
SHELL PIPE LINE CORP	3	08/76
SHELTER RESOURCES CORP	3	08/76
SHOP & GO INC	13,14	08/76
SIGMOR CORP	7,14	08/76
SIGNAL FINANCE CORP	7,14	08/76
SIKES CORP	1,10	08/76
SINGER CO	7,8,14	08/76
SINGER CREDIT CORP	8	08/76
SMD INDUSTRIES INC	4,7,14	08/76
SONIC DEVELOPMENT CORP	11	08/76
SOUTHERN PACIFIC CO	3,13	08/76
SOUTHERN RAILWAY CO	7,14	08/76
SQUIBB CORP	3	08/76
STANADYNE INC	4,7	08/76
STANDARD DIL CO OF CALIFORNIA	3	08/76
STANGE CO	13,14	08/76
STERLING ELECTRONICS CORP	13	08/76
STERNDENT CORP	10,14	08/76
STUDEBAKER WORTHINGTON INC	3,4,7,10,11,13,14	
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SUPERMARKETS GENERAL CORP	3,8	08/76
TEJON AGRICULTURAL PARTNERS	13,14	08/76
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TEMCO SERVICE INDUSTRIES INC	13	08/76
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TENNECO CORP	3	08/76
TENNECO INC	3	08/76
TENNESSEE NATIONAL BANCSHARES INC	13,14	08/76
TESORO PETROLEUM CORP	7	08/76

TEXACO INC	3	08/76
TEXAS GAS TRANSMISSION CORP	13	08/76
TEXAS OIL & GAS CORP	7	08/76
THIOKOL CORP THRIFTIMART INC	2,14 3	08/76 08/76
TIDELANDS CAPITAL CORP	2,14	08/76
TIDEWATER MARINE SERVICE INC	3,11,13	08/76
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TRANSCO COMPANIES INC	7,13,14	08/76
TRANSCONTINENTAL GAS PIPE LINE CORP	7,8,13,14	08/76
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UNION DIL CO OF CALIFORNIA	3,14	08/76
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UNITED ARTISTS THEATRE CIRCUIT INC	7	08/76
UNITED STATES INDUSTRIES INC	4,13,14	08/76
UNITED STATES STEEL CORP	3	08/76
UNIVERSAL CONTAINER CORP	2,14	07/76
VALHI INC	4,13	08/76
VALLEY METALLURGICAL PROCESSING CO INC	3	08/76
VALSPAR CORP	2,14	08/76
VAPORTECH CORP	3	08/76
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VOLUNTEER CAPITAL CORP	13,14	08/76 08/76
WARNACO INC	10,13,14	08/76
WARNER NATIONAL CORP	8	08/76
WEINGARTEN REALTY INC	7,14	08/76
WELLS FARGO & CO	3	08/76
WEST CHEMICAL PRODUCTS INC	10,14	08/76
WESTINGHOUSE CREDIT CORP	3,14	08/76
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WILLIAMS INDUSTRIES INC	2,9,13,14	08/76
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WITTER DEAN ORGANIZATION INC	3,13	08/76
YARDNEY ELECTRIC CORP	7,8,14	08/76
YELLOW FREIGHT SYSTEM INC	13,14	08/76
ZENITH RADIO CORP	3	08/76
ABERCROMBIE & FITCH CD AEGIS CORP	3,6,14	08/76 08/76
AIR CALIFORNIA	2,14 8,10	08/76
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ALBA WALDENSIAN INC	3	08/76
ALLEGHANY CORP	3	08/76
ALLERGAN PHARMACEUTICALS	8	08/76
ALTIUS CORP	13	08/76
AMAREX INC	3,11	08/76
AMERICAN BAKERIES CO	.7	08/76
AMERICAN CHAIN & CABLE CO INC AMERICAN CONSUMERS INC	12 2,8,14	06/76
AMERICAN DISTILLING CO	3	08/76 08/76
AMERICAN ELECTRONIC LABORATORIES INC	7,14	08/76
AMERICAN EXPORT INDUSTRIES INC	3	08/76
AMERICAN GARDEN PRODUCTS INC	10	08/76
AMERICAN NATURAL RESOURCES CO	7,14	08/76
AMERICAN PETROFINA INC	2	08/76
AMERICAN SCIENCE & ENGINEERING INC	2	08/76
AMREP CORP	3	08/76
ARISTAR INC ARLEN REALTY & DEVELOPMENT CORP	11,13 3,11	08/76 08/76
ATCHISON TOPEKA & SANTA FE RAILWAY CO	3	08/76
AUTHENTICOLOR INC	11	08/76
AYDIN CORP	8,14	08/76
BANCORPORATION OF MONTANA	7.14	08/76
BAUSCH & LOMB INC	3	08/76
BEKINS CO	10	08/76
BELCO PETROLEUM CORP	13	08/76
BESSEMER & LAKE ERIE RAILROAD CO BETHLEHEM CORP	13 2	08/76
DETREEHEN CORF	4	08/76

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BID MEDICAL SCIENCES INC	13	08/76
BONANZA INTERNATIONAL INC	13	08/76
BROWN TOM INC	11	08/76
BURLINGTON NORTHERN INC	3,7,8,14	08/76
BURNUP & SIMS INC	11	08/76
CADENCE INDUSTRIES CORP	8,13,14	08/76
CAMPBELL CHAIN CO	3	08/76
CAMPBELL INDUSTRIES	10	08/76
CAMPBELL SOUP CO	3	08/76
CAPITAL RESOURCES REAL ESTATE PARTNERSHI	13,14	08/76
CAPITOL INDUSTRIES EMI INC	3	08/76
CAROLINA POWER & LIGHT CO	3	08/76
CINEMA FIVE LTD	13	08/76
CLARKE GRAVELY CORP	4,8,11,13,14	08/76
CLARKE GRAVELY CORP	4,8,11,13,14	08/76
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COASTAL INDUSTRIES INC	13,14	08/76
COLLEGE PLUMBING SUPPLIES LTD	3,13	08/76
COMPUTER SERVICES CORP	2,14	08/76
CONDECOR INC	2,14	08/76
CONTRAN CORP	3,13,14	08/76
COSCO INC	2,14	08/76
CREST ULTRASONICS CORP	1	08/76
CUMIS INSURANCE SOCIETY INC	7,8,11	08/76
DATUM INC	13,14	08/76
DEL MONTE CORP	3,13	08/76
DENNISON MANUFACTURING CO	3	08/76
DEVCON INTERNATIONAL CORP DIAMOND COAL CO INC	3,13,14	08/76
DIAMOND SHAMROCK CORP	3	08/76
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DIGIORGIO CORP DISSTON INC	10.14	08/76
	2,3,11,14	08/76
DIVERSIFIED MORTGAGE INVESTORS	3,10	08/76
DPF INC	7,8,14	08/76
DUPONT E I DENEMOURS & CO	3	08/76
DYNALAB CORP DYNAMIC SECURITY CORP	13	08/76
DYNELL ELECTRONICS CORP	3,14 7	08/76
ELECTRO PROTECTIVE CORP OF AMERICA	ıí	07/76
ENERGY SOURCES INC	13	08/76 08/76
ENTWISTLE CO	13	08/76
ERICKSON CORP	13,14	08/76
EVEREST & JENNINGS INTERNATIONAL	13,14	08/76
EXTRACORPOREAL MEDICAL SPECIALTIES INC	10	08/76
EXXON CORP	3	08/76
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FASHION TWO TWENTY INC	3	08/76
FEDERATED COMMUNICATIONS CORP	1,13	07/76
FINANCIAL RESOURCES GROUP	3	08/76
FIRST GENERAL RESOURCES CO	3	09/76
FIRST GREATWEST CORP	3	08/76
FIRST SEL SHARES INC	10,13,14	08/76
FLINTKOTE CO	3	08/76
FMC CORP	3	09/76
GAY GIBSON INC	3,14	06/76
GENERAL TELEPHONE CO OF WISCONSIN	13	08/76
GOULD INVESTORS TRUST	10	08/76
GREYHOUND COMPUTER CORP	2,3,4,8,11,14	08/76
GROW CHEMICAL CORP	13,14	08/76
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HAMMERMILL PAPER CO	13.14	08/76
HART SCHAFFNER & MARX	7	08/76
HARVARD INDUSTRIES INC	13,14	08/76
HATHAWAY INSTRUMENTS INC	2,14	08/76
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IDAHO POWER CO	3,13	08/76
IDEAL BASIC INDUSTRIES INC	3,7,8,13,14	08/76
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IMOCO GATEWAY CORP	10,14	08/76
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INDIANA FLORIDA REALTY TRUST	2	08/76
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INVESTORS DIVERSIFIED SERVICES INC	3	08/76
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LAWN A MAT CHEMICAL & EQUIPMENT CORP	13	03/76
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MADISON GAS & ELECTRIC CO	13	08/76
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MARION LABORATORIES INC	3	08/76
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MAULE INDUSTRIES INC	3,6,13	08/76
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MCCULLOCH OIL CORP	13,14	08/76
MIDWESTERN FIDELITY CORP OF OHIO	2	08/76
MILLER OIL CO	Ž	08/76
MISSOURI PACIFIC RAILRCAD CO	3,7,8,14	08/76
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NBS FINANCIAL CORP	13	08/76
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NEW HAMPSHIRE BALL BEARINGS INC	4,7,14	08/76
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NICOLET INSTRUMENT CORP	7,11,14	08/76
NI INDUSTRIES INC	13	08/76
NORTON SIMON INC	3	08/76
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ORIGINIT FABRICS INC	11	09/76
DRMONT DRUG & CHEMICAL CO INC	7,14	08/76
DSHMANS SPORTING GOODS INC	3	08/76
OWENS MINOR & BODEKER INC	7,13	08/76
PANHANOLE EASTERN PIPE LINE CO	2.3.7.14	08/76
PENN CENTRAL CO	3	08/76
PENTAIR INDUSTRIES INC	2,13	08/76
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