



DHS Exhibit 300 Public Release BY09 (Form) / USCIS - Transformation (2009) (Item)

Form Report, printed by: Administrator, System, Mar 17, 2008

OVERVIEW

General Information

1. Date of Submission:	Sep 9, 2007
2. Agency:	Department of Homeland Security
3. Bureau:	Citizenship and Immigration Services (CIS)
4. Name of this Capital Asset:	USCIS - Transformation (2009)
5. Unique ID:	024-30-01-02-01-3015-00
(For IT investments only, see section 53. For all other, use agency ID system.)	

All investments

6. What kind of investment will this be in FY2009? (Please NOTE: Investments moving to O&M ONLY in FY2009, with Planning/Acquisition activities prior to FY2009 should not select O&M. These investments should indicate their current status.)
Mixed Life Cycle
7. What was the first budget year this investment was submitted to OMB?
FY2006
8. Provide a brief summary and justification for this investment, including a brief description of how this closes in part or in whole an identified agency performance gap. [LIMIT: 2500 char]
USCIS is undergoing a broad restructuring of its business processes and related IT systems. The Transformation Program is a multi-year effort and represents philosophical and substantive changes from current processes. The new business model will mark the inception of an account-based, customer-centric, processing system and the gradual retirement of the traditional application-centric, or case-based processing systems in use today. The customer-centric system will allow all new immigration benefit applications/petitions to be filed electronically through a USCIS Internet web-based portal. Applicants will submit only information relevant to the new benefit being sought, as information specific to the applicant and/or beneficiary will be stored in the customer account. The case file will become an electronic file, and the file and portal will be accessible by all USCIS offices for streamlined processing. USCIS will have a more comprehensive view of the customer and any potentially fraudulent transactions; improved audit functionality and record management; better resource management; and increased sharing of information with our DHS and other agency partners such as Justice and State. The transformed business processes will address gaps in the security and integrity of the immigration system by effectively collecting, analyzing, and sharing information used to verify identity, eligibility, and status; will address gaps in customer service by providing timely and accurate information on line to customers 24 hours a day, 365 days a year; and will address gaps in efficiency by decreasing costs by replacing and consolidating legacy systems and by reducing mailing, storage, and data entry costs through electronic submission and storage. Currently, the program is in the planning phase.
9. Did the Agency's Executive/Investment Committee approve this request?
Yes
9.a. If "yes," what was the date of this approval?
Apr 30, 2007
10. Did the Project Manager review this Exhibit?
Yes
12. Has the agency developed and/or promoted cost effective, energy-efficient and environmentally sustainable techniques or practices for this project?
Yes
12.a. Will this investment include electronic assets (including computers)?
Yes
12.b. Is this investment for new construction or major retrofit of a Federal building or facility? (answer applicable to non-IT assets only)
No
12.b.1. If "yes," is an ESPC or UESC being used to help fund this investment?
12.b.2. If "yes," will this investment meet sustainable design principles?

12.b.3. If "yes," is it designed to be 30% more energy efficient than relevant code?

13. Does this investment support one of the PMA initiatives?

Yes

If "yes," select the initiatives that apply:

Human Capital	
Budget Performance Integration	
Financial Performance	
Expanded E-Government	Yes
Competitive Sourcing	
Faith Based and Community	
Real Property Asset Management	
Eliminating Improper Payments	
Privatization of Military Housing	
R and D Investment Criteria	
Housing and Urban Development Management and Performance	
Broadening Health Insurance Coverage through State Initiatives	
Right Sized Overseas Presence	
Coordination of VA and DoD Programs and Systems	

13.a. Briefly and specifically describe for each selected how this asset directly supports the identified initiative(s)? (e.g. If E-Gov is selected, is it an approved shared service provider or the managing partner?)

The Transformation Program supports the E-Government PMA initiative and the USCIS objectives of National Security, Customer Service, and Operational Efficiency through a person-centric system built upon unique identity, e-filing, digitized case files, and a single case management system. These tools are enabling USCIS to move from paper-based to electronic processing and are enabling it to share files and data with other agencies electronically.

14. Does this investment support a program assessed using Program Assessment Rating Tool (PART)?

Yes

14.a. If "yes," does this investment address a weakness found during the PART review?

Yes

14.b. If "yes," what is the name of the PART program assessed by OMB's Program Assessment Rating Tool?

USCIS - Immigration Services

14.c. If "yes," what rating did the PART receive?

Moderately Effective

15. Is this investment for information technology (See section 53 for definition)?

Yes

For information technology investments only:

16. What is the level of the IT Project (per CIO Council's PM Guidance)?

Level 1

17. What project management qualifications does the Project Manager have? (per CIO Council's PM Guidance)

(4) Project manager assigned but qualification status review has not yet started

18. Is this investment identified as "high risk" on the Q4-FY 2007 agency high risk report (per OMB Memorandum M-05-23)

No

19. Is this a financial management system?

No


19.a. If "yes," does this investment address a FFMIA compliance area?

19.a.1. If "yes," which compliance area: [LIMIT: 250 char]

19.a.2. If "no," what does it address? [LIMIT: 500 char]

19.b. If "yes," please identify the system name(s) and system acronym(s) as reported in the most recent financial systems inventory update required by Circular A-11 section 52. [LIMIT: 2500 char]

20. What is the percentage breakout for the total FY2009 funding request for the following? (This should total 100%)

Area	Percentage	
Hardware	4.65	
Software	7.61	
Services	67.32	
Other	20.42	
Total	100.00	

21. If this project produces information dissemination products for the public, are these products published to the Internet in conformance with OMB Memorandum 05-04 and included in your agency inventory, schedules and priorities?

Yes

23. Are the records produced by this investment appropriately scheduled with the National Archives and Records Administration's approval?

Yes

Question 24 must be answered by all Investments:

24. Does this investment directly support one of the GAO High Risk Areas?

No

SUMMARY OF SPENDING

SUMMARY OF SPENDING FOR PROJECT PHASES (In Millions)

1. Provide the total estimated life-cycle cost for this investment by completing the following table. All amounts represent budget authority in millions, and are rounded to three decimal places. Federal personnel costs should be included only in the row designated "Government FTE Cost," and should be excluded from the amounts shown for "Planning," "Full Acquisition," and "Operation/Maintenance." The total estimated annual cost of the investment is the sum of costs for "Planning," "Full Acquisition," and "Operation/Maintenance." For Federal buildings and facilities, life-cycle costs should include long term energy, environmental, decommissioning, and/or restoration costs. The costs associated with the entire life-cycle of the investment should be included in this report.

All amounts represent Budget Authority

	PY-1 & Earlier	PY	CY	BY
	-2006	2007	2008	2009
Planning:				
Budgetary Resources	34.650	23.000	23.900	9.100
Acquisition:				
Budgetary Resources	0.000	57.700	78.600	11.200
TOTAL, sum of stages:				
Budgetary Resources	34.650	80.700	102.500	20.300
Maintenance:				
Budgetary Resources	0.000	19.300	36.700	48.500
TOTAL, All Stages				
Budgetary Resources	34.650	100.000	139.200	68.800
Government FTE Costs	0.680	2.900	2.900	2.200
# of FTEs	5.00	20.00	20.00	0.00
Total, BR + FTE Cost	35.330	102.900	142.100	71.000

Note: For the multi-agency investments, this table should include all funding (both managing partner and partner agencies).

Government FTE Costs should not be included as part of the TOTAL represented.

2. Will this project require the agency to hire additional FTE's?

Yes

2.a. If "yes," how many and in what year? [LIMIT: 500 char]

20 additional FTE will be hired during FY2007 and FY2008

3. If the summary of spending has changed from the FY2008 President's budget request, briefly explain those changes. [LIMIT: 2500 char]

The summary of spending has been modified to correspond with changes to acquisition decisions to develop contracts which will allow USCIS and the TPO to leverage innovative financing approaches when procuring services and equipment, so the alignment of investment costs to capabilities may allow the government to pay for investment when delivered to customers rather than in advance.