



DHS Exhibit 300 Public Release BY09 (Form) / CBP - SAP (2009) (Item)

Form Report, printed by: Administrator, System, Feb 6, 2008

OVERVIEW

General Information

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|--------------------------------|--|
| 1. Date of Submission: | Sep 6, 2007 |
| 2. Agency: | Department of Homeland Security |
| 3. Bureau: | Security, Enforcement and Investigations (SEI) |
| 4. Name of this Capital Asset: | CBP - SAP (2009) |
| 5. Unique ID: | 024-50-01-01-01-5056-00 |

(For IT investments only, see section 53. For all other, use agency ID system.)

All investments

6. What kind of investment will this be in FY2009?

(Please NOTE: Investments moving to O&M ONLY in FY2009, with Planning/Acquisition activities prior to FY2009 should not select O&M. These investments should indicate their current status.)

Operations and Maintenance

7. What was the first budget year this investment was submitted to OMB?

FY2001 or earlier

8. Provide a brief summary and justification for this investment, including a brief description of how this closes in part or in whole an identified agency performance gap. [LIMIT: 2500 char]

In October 2004, CBP completed implementation of SAP, an Enterprise Resource Planning (ERP) system. This effort was undertaken as a result of an analysis of legacy Customs Asset Management Processes and replaced numerous legacy financial, procurement, and property systems with a single, fully integrated solution. SAP permits CBP to accomplish its mission with more efficiency than ever before as well as reduce functional gaps identified during past audits. The SAP Project is in a steady - state mode under the Investment Management Process. CBP is now state-of-the-art and has been identified as a leader in Financial Management by the Department of Homeland Security (DHS). It is critical that the SAP system be maintained and kept up to date enabling CBP to provide a high level of service in little time with utmost efficiency. Additionally, this will help CBP to continue to receive unqualified audit opinions. SAP provides benefits to CBP including: complete integration of business processes within one system, improved financial statement reporting and internal controls, reduced cycle-time, elimination of duplicate data entry, improved data accuracy, single system reporting, and enhanced analytical reporting. Compliance with Federal Government standards and regulations for financial management systems is also achieved supporting Initiative 3 of the Presidents Management Agenda related to Financial Performance, CBP's Strategic Goal of Modernization and Management, and objectives listed under DHS's goal for Organizational Excellence.

9. Did the Agency's Executive/Investment Committee approve this request?

Yes

9.a. If "yes," what was the date of this approval?

Sep 12, 2006

10. Did the Project Manager review this Exhibit?

Yes

12. Has the agency developed and/or promoted cost effective, energy-efficient and environmentally sustainable techniques or practices for this project?

No

12.a. Will this investment include electronic assets (including computers)?

Yes

12.b. Is this investment for new construction or major retrofit of a Federal building or facility? (answer applicable to non-IT assets only)

12.b.1. If "yes," is an ESPC or UESC being used to help fund this investment?

12.b.2. If "yes," will this investment meet sustainable design principles?

12.b.3. If "yes," is it designed to be 30% more energy efficient than relevant code?

13. Does this investment support one of the PMA initiatives?

Yes

If "yes," select the initiatives that apply:

| | |
|---|-----|
| Human Capital | |
| Budget Performance Integration | Yes |
| Financial Performance | Yes |
| Expanded E-Government | |
| Competitive Sourcing | |
| Faith Based and Community | |
| Real Property Asset Management | |
| Eliminating Improper Payments | |
| Privatization of Military Housing | |
| R and D Investment Criteria | |
| Housing and Urban Development Management and Performance | |
| Broadening Health Insurance Coverage through State Initiatives | |
| Right Sized Overseas Presence | |
| Coordination of VA and DoD Programs and Systems | |

13.a. Briefly and specifically describe for each selected how this asset directly supports the identified initiative(s)? (e.g. If E-Gov is selected, is it an approved shared service provider or the managing partner?)

Budget Performance Integration: SAP integrates performance and budget by enhancing analysis of funds by budget activity letting CBP management analyze and monitor resources, exercise control, perform allocations or reallocations, and evaluate impacts.

Financial Performance: With SAP, CBP can provide financial transactions and reporting that ensure compliance with laws and regulations, address material weaknesses from audits, and provides for integrated, timely, and accurate financial data.

14. Does this investment support a program assessed using Program Assessment Rating Tool (PART)?

Yes

14.a. If "yes," does this investment address a weakness found during the PART review?

No

14.b. If "yes," what is the name of the PART program assessed by OMB's Program Assessment Rating Tool?

CBP - Border Security Inspections and Trade Facilitation at POE's Program

14.c. If "yes," what rating did the PART receive?

Effective

15. Is this investment for information technology (See section 53 for definition)?

Yes

For information technology investments only:

16. What is the level of the IT Project (per CIO Council's PM Guidance)?

Level 1

17. What project management qualifications does the Project Manager have? (per CIO Council's PM Guidance)

(2) Project manager qualification is under review for this investment

18. Is this investment identified as "high risk" on the Q4-FY 2007 agency high risk report (per OMB Memorandum M-05-23)

No

19. Is this a financial management system?

Yes

19.a. If "yes," does this investment address a FFMIA compliance area?

Yes

19.a.1. If "yes," which compliance area: [LIMIT: 250 char]

Federal Financial Systems

19.a.2. If "no," what does it address? [LIMIT: 500 char]

19.b. If "yes," please identify the system name(s) and system acronym(s) as reported in the most recent financial systems inventory update required by Circular A-11 section 52. [LIMIT: 2500 char]

System name: SAP R/3

System acronym: SAP

20. What is the percentage breakout for the total FY2009 funding request for the following? (This should total 100%)

| Area | Percentage |
|----------|------------|
| Hardware | 0.00 |
| Software | 0.00 |
| Services | 82.81 |

| | | |
|--------------|--------|---|
| Other | 17.19 | |
| Total | 100.00 | ★ |

21. If this project produces information dissemination products for the public, are these products published to the Internet in conformance with OMB Memorandum 05-04 and included in your agency inventory, schedules and priorities?

N/A

23. Are the records produced by this investment appropriately scheduled with the National Archives and Records Administration's approval?

No

Question 24 must be answered by all Investments:

24. Does this investment directly support one of the GAO High Risk Areas?

No

| |
|----------------------------|
| SUMMARY OF SPENDING |
|----------------------------|

| |
|---|
| SUMMARY OF SPENDING FOR PROJECT PHASES (In Millions) |
|---|

1. Provide the total estimated life-cycle cost for this investment by completing the following table. All amounts represent budget authority in millions, and are rounded to three decimal places. Federal personnel costs should be included only in the row designated "Government FTE Cost," and should be excluded from the amounts shown for "Planning," "Full Acquisition," and "Operation/Maintenance." The total estimated annual cost of the investment is the sum of costs for "Planning," "Full Acquisition," and "Operation/Maintenance." For Federal buildings and facilities, life-cycle costs should include long term energy, environmental, decommissioning, and/or restoration costs. The costs associated with the entire life-cycle of the investment should be included in this report.

All amounts represent Budget Authority

| | PY-1 & Earlier | PY | CY | BY |
|------------------------------|----------------|--------|--------|--------|
| | -2006 | 2007 | 2008 | 2009 |
| Planning: | | | | |
| Budgetary Resources | 0.000 | 0.000 | 0.000 | 0.000 |
| Acquisition: | | | | |
| Budgetary Resources | 51.200 | 0.000 | 0.000 | 0.000 |
| TOTAL, sum of stages: | | | | |
| Budgetary Resources | 51.200 | 0.000 | 0.000 | 0.000 |
| Maintenance: | | | | |
| Budgetary Resources | 51.721 | 15.109 | 15.109 | 15.109 |
| TOTAL, All Stages | | | | |
| Budgetary Resources | 102.921 | 15.109 | 15.109 | 15.109 |
| Government FTE Costs | 13.544 | 2.957 | 3.046 | 3.137 |
| # of FTEs | 81.00 | 26.00 | 26.00 | 26.00 |
| Total, BR + FTE Cost | 116.465 | 18.066 | 18.155 | 18.246 |

Note: For the multi-agency investments, this table should include all funding (both managing partner and partner agencies).

Government FTE Costs should not be included as part of the TOTAL represented.

2. Will this project require the agency to hire additional FTE's?

No

2.a. If "yes," how many and in what year? [LIMIT: 500 char]

3. If the summary of spending has changed from the FY2008 President's budget request, briefly explain those changes. [LIMIT: 2500 char]