

37 **Abstract**

38

39 The recently released Collection 5 MODIS aerosol products provide a consistent record
40 of the Earth's aerosol system. Comparing with ground-based AERONET observations of
41 aerosol optical depth (AOD) we find that Collection 5 MODIS aerosol products estimate
42 AOD to within expected accuracy more than 60% of the time over ocean and more than
43 72% of the time over land. This is similar to previous results for ocean, and better than
44 the previous results for land. However, the new Collection introduces a 0.015 offset
45 between the Terra and Aqua global mean AOD over ocean, where none existed
46 previously. Aqua conforms to previous values and expectations while Terra is higher
47 than what had been expected. The cause of the offset is unknown, but changes to
48 calibration are a possible explanation. Even though Terra's higher ocean AOD is
49 unexpected and unexplained, we present climatological analyses of data from both
50 sensors. We find that the multi-annual global mean AOD at 550 nm over oceans is 0.13
51 for Aqua and 0.14 for Terra, and over land it is 0.19 in both Aqua and Terra. AOD in
52 situations with 80% cloud fraction are twice the global mean values, although such
53 situations occur only 2% of the time over ocean and less than 1% of the time over land.
54 Aerosol particle size associated with these very cloudy situations does not show a drastic
55 change over ocean, but does over land. Regionally, aerosol amounts vary from polluted
56 areas such as East Asia and India, to the cleanest regions such as Australia and the
57 northern continents. As AOD increases over maritime background conditions, fine mode
58 aerosol dominates over dust over all oceans, except over the tropical Atlantic downwind
59 of the Sahara and during some months over the Arabian Sea.

60

61 **1. Introduction**

62

63 The instruments aboard NASA's Terra and Aqua satellites have been observing the Earth
64 since early 2000 and mid-2002, respectively. In the words of Dr. Yoram J. Kaufman,
65 Terra Project Scientist at the time of the Terra launch, the Terra and Aqua missions were
66 "designed for a comprehensive check-up of planet Earth" [Kaufman, 2000
67 <http://terra.nasa.gov/Events/FirstImages/>]. Similar to a check-up at the doctor's office,

68 these missions would characterize the health of the planet. The goal was to use the
69 vantage point of space to view the Earth's interconnected systems of atmosphere, land
70 and ocean, and to characterize the parameters important to the sustainability of the planet
71 and its human population.

72

73 One important feature measured by several instruments aboard Terra and Aqua is
74 atmospheric aerosol. These small solid or liquid particles suspended in the atmosphere
75 play a major role in the energy balance of the Earth, in modifying cloud, precipitation,
76 and atmospheric circulation characteristics, in providing nutrients to nutrient-limited
77 regions of land and oceans, and in affecting air quality and public health. Aerosols are
78 highly inhomogeneous in space, time and composition, and yet, knowing the amount,
79 composition, distribution, size and shape of these particles is necessary for any
80 meaningful estimates of their effect, from estimating anthropogenic climate forcing to
81 forecasting air quality and potential health effects from air pollution.

82

83 One of the instruments aboard both Terra and Aqua used to characterize atmospheric
84 aerosols is the MODerate resolution Imaging Spectroradiometer (MODIS). The aerosol
85 product derived from MODIS observations now includes a seven year record from Terra-
86 MODIS and a five year record from Aqua-MODIS. We are now at a point to use this
87 information in the manner intended, to perform a quantitative "check-up" of Earth's
88 global aerosol system. How are aerosols distributed over the continents and oceans?
89 How are different sizes distributed, and what are the relationships between aerosol
90 loading and aerosol particle size in different regimes? Finally, what are the regional and
91 seasonal characteristics of the aerosols? In this paper we will attempt to answer these
92 questions from the data base of MODIS aerosol products.

93

94 MODIS is not the only satellite instrument used to characterize atmospheric aerosols, nor
95 is it the first. In fact, the first attempts at creating an aerosol climatology from
96 observations did not use satellite instruments at all. In situ measurements on the ground
97 and from aircraft, and ground-based remote sensing observations provided initial
98 characterization of the distribution of aerosol types and loading [d'Almeida et al. 1991;

99 Holben et al. 2001 (and references therein)]. Further compilations extended the primarily
100 land-based climatology to oceans via shipboard observations [Smirnov et al., 2002 (and
101 references therein)], and advances in ground-based remote sensing and inversion methods
102 permitted more detailed characterization of aerosol properties [Dubovik et al., 2002].
103 However satellite retrievals gave us our first global view of the aerosol system.
104 Beginning with the Advanced Very High Resolution Radiometer (AVHRR) retrievals of
105 aerosol optical depth in one wavelength over oceans [Husar et al., 1997] we began to see
106 regional and seasonal distributions of major aerosol systems. The AVHRR picture
107 expanded to include quantitative particle size information [Geogdzhayev et al., 2002,
108 2005], but continued to be limited to oceans. Another early sensor, the Total Ozone
109 Mapping Satellite (TOMS) provided its own global, regional and seasonal portrayal of
110 the aerosol system over land and ocean [Torres et al., 2002], but was limited to aerosol
111 optical depth in the ultraviolet spectral region.

112

113 Modern satellite sensors including POLarization and Directionality of the Earth's
114 Reflectances (POLDER), Multi-angle Imaging Spectro-Radiometer (MISR), Ozone
115 Monitoring Instrument (OMI) and MODIS now have sufficiently long data records to
116 produce their own global, regional and seasonal climatologies [Liu et al. 2006; Yu et al.,
117 2006]. All these data sets produce a *qualitatively* similar view of the Earth's aerosol
118 system. However, *quantitative* analysis reveals significant differences in mean aerosol
119 optical depth and other aerosol parameters retrieved from satellite [Mishchenko et al.,
120 2007]. Resolving quantitative differences between satellite-derived aerosol products is
121 on-going challenge for the research community. One step in meeting this challenge is to
122 provide quantitative analyses of the statistical results of each individual sensor's data
123 record, thereby providing a basis for comparison and evaluation.

124

125 The paper first discusses the MODIS aerosol retrieval and evaluates the recent results
126 derived from the Collection 5 algorithm against ground-based observations. Then, the
127 Collection 5 results are compared with Collection 4 results to show the differences
128 between the Collections and between Terra and Aqua. Once the Collection 5 results are

129 put into context, they are used to portray the global, regional and seasonal distribution of
130 aerosol optical depth and particle size information.

131

132 **2. MODIS aerosol products**

133

134 The aerosol products are derived operationally from spectral radiances measured by
135 MODIS. MODIS has 36 channels spanning the spectral range from 410 to 14400 nm
136 representing three spatial resolutions: 250 m (2 channels), 500 m (5 channels), and 1 km
137 (29 channels). The aerosol retrieval makes use of seven of these channels (470 – 2130
138 nm) to retrieve aerosol characteristics [Remer et al., 2005] and uses additional
139 wavelengths in other parts of the spectrum to identify and mask out clouds and suspended
140 river sediments [Ackerman et al., 1998, Gao et al., 2002; Martins et al., 2002; Li et al.,
141 2003]. The MODIS aerosol algorithm is actually three independent algorithms, two
142 derive aerosol characteristics over land and the other over ocean. The original land
143 algorithm is based on the “dark target” approach [Kaufman and Sendra 1988; Kaufman et
144 al., 1997; Remer et al., 2005] and therefore does not retrieve over bright surfaces
145 including snow, ice and deserts. A more recent MODIS product, labeled “Deep Blue”
146 does retrieve over bright surfaces [Hsu et al., 2004]. However, the climatology presented
147 in this paper does not include the “Deep Blue” results. The ocean algorithm masks out
148 suspended river sediments, clouds and sunglint, then inverts the radiance at 6
149 wavelengths (550 to 2130 nm) to retrieve aerosol optical depth (AOD) and particle size
150 information [Tanré et al., 1996; 1997].

151

152 We will examine two types of aerosol products: aerosol optical depth (AOD) and particle
153 size parameter. AOD (also referred to as aerosol optical thickness, AOT) is a
154 straightforward measure of column integrated extinction. The MODIS product includes
155 retrievals of AOD at seven wavelengths over ocean (470 nm, 550 nm, 660 nm, 870 nm,
156 1240 nm, 1630 nm and 2130 nm) and three wavelengths over land (470 nm, 550 nm, and
157 660 nm). There are several measures of particle size included in the MODIS aerosol
158 product. Angstrom exponent over land is defined as:

159

160
$$AngExp = -\frac{\ln(AOD470/AOD660)}{\ln(470/660)} \quad (1)$$

161

162 There are two Angstrom exponents over ocean, defined as

163

164
$$AngExp1 = -\frac{\ln(AOD550/AOD870)}{\ln(550/870)} \quad (2)$$

165

166 and

167

168
$$AngExp2 = -\frac{\ln(AOD870/AOD2130)}{\ln(870/2130)} \quad (3)$$

169

170 where AOD470, AOD550, AOD660, AOD870 and AOD2130 are the aerosol optical
171 depths at the wavelengths specified, 470, 550, 660, 870 and 2130 nm, respectively.

172 Angstrom exponent is a measure of the spectral dependence of the aerosol optical depth
173 and a proxy for aerosol size. Larger Angstrom exponents indicate the dominance of
174 smaller particles, and vice versa. The MODIS aerosol product defines the Angstrom
175 exponent over land with the 470 nm and 660 nm wavelengths because these represent the
176 spectral range of the AOD retrieval over land, which is limited to only three wavelengths
177 in the visible. The MODIS-retrieved spectral range of AOD over ocean spans seven
178 wavelengths from the visible into the short wave infrared. The product includes two
179 ocean Angstrom exponents across this range in order to detect spectral curvature, and aid
180 in identifying particle sizes and types [Eck et al., 1999].

181

182 There are two other measures of particle size in the MODIS aerosol product, and these
183 are fine aerosol optical depth (Fine AOD) and fine mode fraction (FMF). Fine AOD is
184 the aerosol optical depth attributed to submicron particles. These particles are sometimes
185 described as accumulation mode particles and generally originate from combustion
186 processes. Fine mode fraction is the ratio of Fine AOD to Total AOD, and describes the
187 fraction of the AOD contributed by fine mode sized particles. There are subtle
188 differences in exactly how Fine AOD and FMF are defined in the MODIS algorithm over

189 land and ocean [Levy et al., 2007a; Remer et al., 2006], and these definitions may differ
190 from how other data systems define the same or similar parameters [O'Neill et al., 2003;
191 Kleidman et al., 2005]. However, those details are well-documented in the above cited
192 literature and will not be reiterated here.

193

194 The derived aerosol products undergo rigorous testing and validation. The algorithms
195 were created before Terra launch and tested using data from airborne imagers [Kaufman
196 et al., 1997; Tanré et al. 1997, 1999; Chu et al., 1998]. The results of these field tests
197 coupled with sensitivity studies [Kaufman et al., 1997; Tanré et al. 1997] suggested that 1
198 standard deviation (1σ) of retrievals would fall within $\pm(0.03+0.05\tau)$ over ocean and
199 $\pm(0.05+0.15\tau)$ over land, where τ is AOD. These error bounds, derived pre-launch are
200 referred to as the 'expected error'.

201

202 After Terra launch, the products were validated by comparison with collocated ground-
203 based observations by the Aerosol Robotics NETwork (AERONET). The AERONET
204 network consists of hundreds of automatic instruments that measure aerosol optical depth
205 (AOD) to within 0.01 accuracy [Holben et al., 1998; Eck et al. 1999; Smirnov et al.,
206 2000], and retrieve other aerosol characteristics including particle size information
207 [Dubovik and King, 2000; O'Neill et al., 2003]. Comparison of MODIS-derived AOD
208 with collocated AERONET-measured data evaluated the percentage of MODIS retrievals
209 that fell within the expected error bounds defined above [Ichoku et al, 2002, 2005; Remer
210 et al., 2002; 2005; Levy et al., 2003, 2005]. Depending on wavelength, the number of
211 ocean AOD retrievals confined to expected error bounds ranged from 60% to 70%.

212 Additional validation using the NASA Ames Airborne Tracking Sunphotometer
213 confirmed that more than 1σ of MODIS ocean AOD values were retrieved within
214 expected error bounds [Russell et al. 2007; Livingston et al., 2003; Redemann et al.,
215 2005, 2006]. Over land, the comparison yielded varying results. In some cases the over
216 land AOD retrievals fell within expected uncertainties ($\pm 0.05 \pm 0.15\tau$) [Chu et al., 2002;
217 Ichoku et al., 2002; Remer et al., 2005], but in many situations there appeared to be a
218 strong positive bias at low AOT in the over land retrieval, and a negative bias at high
219 AOT [Ichoku et al., 2003, 2005; Levy et al., 2005; Remer et al., 2005]. The MODIS

220 particle size information over ocean correlated well with AERONET retrievals, but
221 tended to over predict the occurrence of small particles at the expense of large particles
222 [Kleidman et al., 2005].

223

224 To address these lingering problems with the aerosol products, new codes were
225 developed. The land algorithm underwent significant change, while maintaining the
226 basic dark target approach [Levy et al. 2007ab]. The ocean algorithm remained almost
227 the same with changes made only to the assumed characterization of the sea salt particles
228 in the retrieval. These new algorithms were applied operationally to the complete record
229 of calibrated radiances to generate a new “Collection” of aerosol products. These
230 reprocessed data are known as Collection 5, which are available for both the Terra and
231 Aqua records. Collection 5 provides us with a consistent data set created from a single
232 set of algorithms applied identically to an uninterrupted data stream of calibrated
233 radiances.

234

235

236

237 **3. Data for the Climatology**

238

239 Two types of MODIS data will be used in this paper: Level 2 (L2) and Level 3 (L3).
240 MODIS L2 aerosol data are ungridded 10 km retrievals of various aerosol parameters
241 available at the time of satellite overpass. These data represent the fundamental MODIS
242 aerosol product. The product consists of geophysical parameters such as aerosol optical
243 depth and aerosol particle size information, as well as a quality assurance (QA) flag that
244 indicates the level of reliability of each retrieval. QA flags range from 0 (lowest quality)
245 to 3 (highest quality). Comparison of the L2 data, collocated in time and location with
246 high quality ground measurements provide the ‘validation’ of the basic product.

247

248 MODIS L3 data are an aggregation of the L2 data onto a gridded $1^\circ \times 1^\circ$ global grid and
249 represent the statistics including the mean and weighted means of the L2 product
250 contained within the grid square. L3 data are available on a daily basis. The daily L3

251 data are further aggregated to create L3 monthly means, also on a $1^\circ \times 1^\circ$ global grid.
252 The global gridded data of L3 will provide the basic set of data for the climatology
253 presented here.

254
255 Creating daily L3 from L2, and further processing the data to achieve global and regional
256 monthly means requires decisions as to how to aggregate and average the data at each
257 step. Depending on what processing is chosen, variations in the final values can vary by
258 as much as 20%. In this work we start with high quality daily L3 data, weight by the
259 number of L2 retrievals in the 1 degree grid square and calculate monthly means and
260 other statistics. The reason for this decision is to minimize the contribution of retrievals
261 in cloud fields, where artificially enhanced AOD occurs frequently [Zhang et al., 2006;
262 Wen et al., 2006, 2007; Koren et al., 2007]. We show explicitly in Section 7 the
263 differences in AOD retrievals in highly cloudy situations, and discuss the possible
264 reasons for the enhancement. It is incongruous for the monthly mean of a particular grid
265 square determined by just one 10 km retrieval on one day of the month to count equally
266 with another grid square that consisted of hundreds of 10 km retrievals in that month. On
267 the other hand, we want global representation of the data without contributions from
268 QA=0 data. Note, weighting the quality weighted product in this manner is not the same
269 as making the same calculations directly from the 10 km L2 data.

270

271 **4. Comparison of Collection 5 Against AERONET Observations**

272

273 We evaluate the Collection 5 aerosol products by comparing with collocated AERONET
274 observations. A preliminary evaluation was performed and reported in Levy et al.,
275 [2007b] and Remer et al. [2006], but that evaluation was confined to a test bed of MODIS
276 radiance granules. We note that while the test bed produced a substantial number of
277 collocations, it was still limited in time and space. Furthermore, the test bed consisted of
278 saved Collection 4 radiances. When Collection 5 was processed, not only were the
279 aerosol retrieval algorithms upgraded to Collection 5, but the basic calibration
280 coefficients were changed as well. Thus, the radiances used to create Collection 5
281 aerosols are different than those used for Collection 4. When we compare MODIS

282 aerosol products to AERONET now, we evaluate simultaneously both the changes we
283 made to the aerosol algorithms and the changes made to the calibration that provides the
284 input to the aerosol retrieval.

285

286 Figure 1 shows the results of collocating MODIS aerosol optical depth retrievals with
287 AERONET for the ocean retrieval following the spatio-temporal technique of Ichoku et
288 al. [2002]. This technique subsets a grid of 5 by 5 aerosol retrievals, centered on an
289 AERONET station. Each MODIS aerosol retrieval nominally represents a 10 km area,
290 thus the subsetted area, centered on the AERONET station, includes an area of
291 approximately 50 km by 50 km. The spatial statistics of the MODIS retrievals in the 5 by
292 5 subset are calculated and compared to the temporal statistics of the AERONET
293 observations taken \pm 30 minutes of MODIS overpass. At least 5 of the possible 25
294 MODIS retrievals, and 2 of the possible 4 or 5 AERONET observations are required in
295 order to keep the collocation in the comparison data base. Thus, the collocation may not
296 include the exact 10 km MODIS aerosol retrieval in which the AERONET station
297 resides, but instead include retrievals up to 20 -25 km away from the station. This is
298 especially important in terms of the ocean retrieval because there are no MODIS ocean
299 aerosol retrievals directly over land-based AERONET stations. By comparing spatio-
300 temporal statistics rather than exact match-ups the method relies on the general
301 homogeneity of the aerosol field over 50 km [Anderson et al., 2003] and permits a much
302 larger collocation data base, including ocean retrievals. Using this technique, a coastal
303 AERONET site can be used simultaneously as validation for both land and ocean
304 MODIS aerosol retrievals.

305

306 We use AERONET Version 2.0, Level 2 Quality Assured data for the collocations
307 [http://aeronet.gsfc.nasa.gov/new_web/Documents/version2_table.pdf]. The data base
308 consists of a total of 326 AERONET stations, some permanent and some ephemeral, with
309 205 used exclusively for land, 40 for ocean and 81 contributing to both land and ocean.
310 The time period of collocations spans March 2000 through November 2007 for Terra, and
311 July 2002 through November 2007 for Aqua. Altogether there are over 11,000
312 collocations meeting our criteria for Terra land, over 8,000 for Aqua land, approximately

313 8,000 and 6,500 for Terra and Aqua ocean at 550 nm, respectively, and somewhat more
314 collocations for ocean at 870 nm. The reason for different number of collocations for the
315 different wavelengths has to do with the variety of spectral configurations of the
316 AERONET stations, some of which have a 500 nm channel, and some that do not. We
317 interpolate the AERONET observed AOD to the MODIS channel, but avoid large
318 spectral adjustments. Therefore, if the 500 nm channel is missing from the AERONET
319 station we do not include it in the 550 nm validation.

320

321 Two wavelengths and both Terra and Aqua are shown in figure 1. The ocean
322 comparison is made for any island or coastal AERONET station within 25 km of the
323 ocean. The only station eliminated from this analysis is Mauna Loa because of its high
324 elevation in comparison to the ocean surface. All data with quality greater than 0 are
325 included in these plots. The plots show data that were sorted according to AERONET
326 AOD, grouped into 25 bins of near equal samples whose mean and standard deviation
327 were calculated. The linear regression equations plotted and correlation coefficients
328 indicated were calculated from the full cloud of collocated points before binning and
329 averaging. The data used in this plot spans the length of the mission from March 2000
330 through November 2007 for Terra, and July 2002 through November 2007 for Aqua.

331

332 MODIS aerosol optical depth retrieved over ocean is strongly correlated to the
333 corresponding AERONET values for both wavelengths and both satellites. Expected
334 error for ocean retrievals is $\pm(0.03+0.05\tau)$. AOD retrievals at the 870 nm channel fall
335 within expected error more than 2/3 of the time. Retrieval results for shorter wavelengths
336 are less consistently accurate, falling within expected error only 60% of the time at 550
337 nm. These results for Collection 5 are similar to those reported for Collection 4 [Remer
338 et al., 2005].

339

340 Figure 2 shows the results of comparing Collection 5 retrievals over land with
341 AERONET AOD. Again these are “global” plots making use of all AERONET stations
342 except COVE and Venise, which are both located on stand alone ocean platforms far
343 from shore. For land we use those retrievals with the highest quality labels (QA = 3).

344 Over land, the inclusion of lower quality retrievals will make significant difference in the
345 validation plots, lowering the correlation and decreasing the percentage of retrievals
346 within expected error. We recommend to users to check quality flags over land and to use
347 retrievals with QA < 3 only qualitatively. For ocean, as long as QA>0 we find the
348 retrievals accurate and quantitatively useable [Russell et al., 2007]. The land and ocean
349 retrievals are different algorithms and the QA flags simply have different meanings in the
350 two algorithms. Similar numbers of collocations are available for both land and ocean
351 despite the fact that there are many more AERONET stations over land than near ocean.
352 The requirement on the land quality flag eliminates many collocations from the analysis.
353 Thus, while there are more opportunities to compare with AERONET over land, there are
354 fewer locations where a high quality land retrieval is possible. The plots in Figure 2 are
355 prepared in the same manner as in Figure 1, although only the 550 nm channel is shown
356 because there is no 870 nm retrieval over land.

357

358 MODIS aerosol optical depth over land in Collection 5 is an improvement of the results
359 from Collection 4 [Remer et al., 2005]. More than 72% of retrievals fall within expected
360 error over land at 550 nm. In Collection 4, 68% of retrievals fell within expected error at
361 that wavelength. More importantly there was a 41% overall positive mean bias in
362 Collection 4, meaning that mean MODIS AOD is 41% higher than mean AERONET
363 AOD in the collocation data set. In Collection 5 the bias is almost insignificant, with 0
364 mean bias in Terra and -7% bias in Aqua. Note that the expected error over land
365 $\pm(0.05+0.15 \tau)$ is greater than that over ocean $\pm(0.03+0.05\tau)$.

366

367 The comparison of AOD retrievals over land and ocean show that the Collection 5
368 retrieval is producing results either as accurate as Collection 4 (ocean) or much improved
369 (land), at least in a global sense. There appears to be little difference between Terra and
370 Aqua. Validation efforts beyond the scope of this paper continue. Individual regions will
371 be examined, and we will include ship board measurements as well as AERONET
372 observations as the “ground truth”. Another point not addressed in this paper is the
373 validation of the size parameter products in Collection 5. However, for now, we see that

374 the MODIS Collection 5 aerosol product can be used to examine the state of the aerosol
375 system.

376

377

378 **5. Comparison of Collection 5 with Collection 4**

379

380 By comparing MODIS retrieved AOD with collocated AERONET observations on a day
381 by day basis we established that the Collection 5 retrievals are a fair representation of the
382 Earth's aerosol system, to within specified accuracies. Even if both Collection 5 and
383 Collection 4 [Remer et al., 2005] aerosol optical depth match AERONET observations
384 within MODIS specifications, there could still be systematic offsets. In this section we
385 compare mean results of the two Collections.

386

387 Over ocean, the only difference between Collection 4 and Collection 5 aerosol algorithms
388 is that assumptions about the optical properties of sea salt particles were adjusted to better
389 match more recent observations [Remer et al., 2006]. AERONET retrievals of aerosol
390 optical properties available only after Terra-MODIS launch suggested that the real part of
391 the refractive index for sea salt particles was smaller than the 1.43 used in the original
392 algorithm. The real part refractive index of sea salt particles in the ocean algorithm was
393 changed to 1.35 in accordance with Dubovik et al., [2002 and personal communication].
394 The consequence of this change was tested by applying the altered algorithm to our test
395 bed of saved Collection 4 radiances. The results are shown in Figure 3. The changes
396 reduced the positive bias in the fine mode fraction retrieved by Collection 4 [Kleidman et
397 al., 2005], while not making any significant changes to the AOD retrieval. Both Aqua
398 and Terra data were used during testing. The mean AOD using either software was 0.15,
399 but the mean fine mode fraction changed from 0.47 to 0.39. Thus we did not expect any
400 changes to the AOD from Collection 4 results, but did expect reduced fine mode fraction.

401

402 Figure 4 shows a comparison of monthly global mean AOD over oceans between
403 Collection 4 and Collection 5 for Terra-MODIS and Aqua-MODIS. Unlike Figure 3 the
404 data used to create Figure 4 do not come from our saved test bed of radiances. These

405 data, instead, come directly from the operational data base available to all users. The
406 Collection 4 AOD values were processed with Collection 4 radiances as input, while the
407 Collection 5 AOD values were processed with Collection 5 radiances as input. Note that
408 updates in calibration cause Collection 5 radiances to differ from Collection 4. The data
409 plotted include only the period of overlap of all four data sets, from August 2002 when
410 Aqua began processing data to August 2005 when Collection 4 production ended. In
411 Figure 4 we see that for the Aqua satellite there is only a slight bias between Collections,
412 as expected, but for Terra Collection 5 it is approximately 0.015 higher than Collection 4.
413 Note that 0.015 is well within the expected error of $\pm(0.03+0.05\tau)$. Further analysis
414 shows that Terra Collection 4 matches both Aqua Collections and that Terra Collection 5
415 is an outlier when compared to the other three data sets.

416

417 The 0.015 offset in ocean AOD between Terra Collection 5 and the other three data sets
418 is not yet understood. Algorithm changes were applied equally to the software run for
419 Terra and Aqua. If an AOD offset was introduced by the changes described above, then
420 we would see AOD changes equally in both satellites. Because the offset has been
421 introduced to Terra and not Aqua, we suspect this offset is due to updates to the Terra-
422 MODIS calibration constants that altered the Collection 5 input radiances. We note that
423 Terra's coefficients were adjusted up to 2% depending on wavelength, while adjustments
424 to Aqua's coefficients were less than 0.5% [MODIS Characterization Science Team,
425 personal communication]. The differences between Collection 4 and Collection 5 and
426 between Terra and Aqua retrievals that now exist over ocean illustrate some of the
427 limitations and uncertainties of the product. While these uncertainties should be noted
428 they do not invalidate the agreement seen in comparison to AERONET observations, nor
429 the ability of the MODIS aerosol product to describe the global, regional and seasonal
430 patterns of the ocean aerosol system, to within the stated uncertainties.

431

432 Over land, in contrast to ocean, substantial differences exist between the Collection 4 and
433 5 algorithms [Levy et al., 2007ab]. All assumptions about aerosol optical properties were
434 modified, as were surface assumptions and snow masking. Small negative AOD
435 retrievals were permitted in recognition that the MODIS land aerosol algorithm is

436 insensitive to AOD less than 0.05 and that arbitrarily excluding negative retrievals
437 artificially introduces a positive bias in nearly clean conditions. A vector radiative
438 transfer code replaced the scalar code used in Collection 4, and the overall inversion
439 scheme was changed. Because of these changes we expect Collection 5 to have
440 substantially different AOD values than Collection 4, and they do. The changes made to
441 the aerosol land algorithm resulted in the improved comparison plots against AERONET
442 for Collection 5. (See Figure 2). Overall mean AOD over land has decreased from 0.28
443 in Collection 4 to 0.19 in Collection 5. The land Collection 5 algorithm and comparison
444 with Collection 4 is satisfactorily documented in the recent papers Levy et al. [2007a]
445 and [2007b], and will not be further discussed here.

446
447 The Collection 5 aerosol product that includes AOD over land and ocean, as well as
448 indicators of aerosol particle size over land and ocean will be used to describe the global
449 aerosol climatology. In Section 4 and in the paragraphs above we have examined the
450 validity of the aerosol optical depth (AOD) over land and ocean, and found the Collection
451 5 products accurate to within certain specified uncertainties. We have not examined the
452 size parameters in the same manner. However, over ocean, we find the Collection 4 size
453 parameters including fine mode fraction (FMF) to be well-correlated with AERONET
454 retrievals [Kleidman et al., 2005]. Preliminary tests of Collection 5 particle size products
455 over ocean demonstrate continued good correlation to AERONET values, with improved
456 accuracy for coarse mode aerosols. The ocean FMF is a tested, well-understood product
457 that delivers a quantitative measure of aerosol particle size and can be used with
458 confidence for a variety of physical interpretations. In contrast, the land size parameter
459 products are less certain. At best there is sufficient information in the land FMF for
460 qualitative analysis on a global mean basis, and we do present such analysis in
461 subsequent sections. However, we refrain from extending the analysis from global means
462 to regional means, because while in some regions the FMF responds as expected, in other
463 regions we are already aware that the land FMF is not properly representing seasonal
464 transitions from one aerosol type to another [Jethva et al., 2007]. Thus, we recommend
465 to the community to freely use the FMF fraction over ocean, but to first evaluate the FMF
466 over land for their particular application before including it in their analysis.

467

468 **6. Global mean aerosol optical depth over ocean and land**

469

470 Proceeding with Collection 5 we will now investigate the emerging global aerosol
471 climatology as viewed by MODIS. Figure 5 shows the time series of monthly and global
472 mean AOD through the MODIS record, which is of different length for Terra and Aqua.
473 The data are separated by ocean and land retrievals, and by satellite. Over ocean the
474 global mean AOD at 550 nm is 0.13 in Aqua and 0.14 in Terra, 10% of all ocean
475 retrievals are below 0.041 in both satellites, but 10% are above 0.235 in Aqua while 10%
476 are above 0.245 in Terra. The mean ocean AOD is close to the 66th percentile value,
477 showing that the distribution is skewed towards lower values. The fine mode AOD, also
478 plotted in Figure 5 follows the month by month variations of the total AOD. Mean fine
479 mode AOD is approximately 0.06 in Aqua and 0.07 in Terra. Note that fine mode AOD
480 contains fine mode contributions from marine aerosol and transported dust and pollution,
481 and is thus not the same as the anthropogenic component.

482

483 Over land the global mean AOD at 550 nm is 0.19, 10% of all land retrievals are negative
484 and 10% are above 0.44, in both satellites. Note that the land retrieval permits negative
485 AOD retrievals in order to avoid positive bias in the large-scale statistics [Levy et al.,
486 2007b]. The negative retrievals are confined to values $-0.05 < \text{AOD} < 0$ and are a
487 recognition of the limited sensitivity of the algorithm to quantify the aerosol loading over
488 land in very clean conditions. The meaning of the negative values is that there is no
489 difference between small negative values, zero AOD or small positive values.

490 Approximately 20% of the AOD retrievals over land are of values too small for the
491 instrument and algorithm to properly quantify. Over ocean the retrieval has greater
492 sensitivity to small values of AOD and thus there are fewer (less than 2%) negative
493 retrievals. The mean land AOD is also close to the 66th percentile showing the same
494 skewed distribution as over ocean. The mean fine mode AOD is 0.10 in Aqua and 0.09 in
495 Terra, which is larger than over ocean. Furthermore, over ocean we saw that fine mode
496 AOD tracked with the total AOD month by month. Peaks in total AOD corresponded to
497 peaks in fine mode AOD. Over land total AOD peaks in early Spring, while fine mode

498 AOD peaks in late Summer and Fall, during which fine mode AOD can account for
499 almost the total mean land AOD in that season. The seasonal cycles suggest a Spring
500 maximum due to dust transport and a Fall maximum due to southern hemisphere biomass
501 burning. However, there is a limit to the retrieval accuracy of aerosol size parameter over
502 land. The fine mode AOD shown in the land plot of Figure 5 should be considered more
503 of a qualitative indicator, rather than a validated quantitative product.

504

505 Global mean values are strongly dependent on the way the data are aggregated averaged
506 and weighted, and can vary by 20% or more. The statistics plotted in Fig. 5 are calculated
507 from QA-weighted L3 daily data weighted by the number of L2 retrievals, and are biased
508 towards cloud free conditions. Although we acknowledge that aerosol in the vicinity of
509 clouds may be different than far from clouds, aerosol "retrieval" near clouds may be
510 contaminated in a number of ways by the clouds themselves (3D effects, subpixel cloud,
511 etc). Thus, our choice of weighting by the number of L2 retrievals minimizes cloud
512 effects on the aerosol statistics. Over ocean, this weighting leads to the lowest values of
513 AOD global mean, whereas over land, the clear sky bias provides little difference.

514

515 **7. Global AOD statistics in the vicinity of clouds**

516

517 Figures 6 and 7 show the global mean statistics calculated from the L3 daily data directly
518 without first creating monthly means, for the Aqua and Terra results, respectively. The
519 global mean AOD values calculated from the histograms are the same as those calculated
520 from the monthly means of Figure 5. Evident are the same skewed nature of the AOD
521 distributions, and the broader range and the negative values of the land histograms. In a
522 global sense the fine mode fraction over ocean remains fairly constant over the range of
523 ocean AOD values. Over land, however, the fine fraction suggests that coarse aerosol
524 dominates at low AOD, transitioning to more equal partitioning at moderate AOD.

525

526 The histogram analysis of Figures 6 and 7 permits examination of the effect of cloud
527 fields on the aerosol statistics. The bottom panels of Figures 6 and 7 plot the AOD
528 distributions for those grid squares in which the cloud fraction exceeds 80%. In these

529 cloudy situations there is a drastic shift of AOD to higher values, both over ocean and
530 land. The mean AOD for these cloudy situations approximately doubles to 0.28 over
531 ocean and to 0.44 – 0.46 over land. We expect this increase in AOD to be in part caused
532 by cloud contamination [Zhang et al., 2006]. The aerosol retrieval would interpret cloud
533 droplets in the field of view as being coarse mode particles. If subpixel clouds and other
534 contaminants were the cause of the drastic increase in AOD in cloudy situations we
535 would expect a strong decrease in fine mode fraction. There is some decrease in fine
536 mode fraction at moderate AOD over ocean, but not as much as would be expected from
537 cloud contamination alone. Other factors including 3D effects [Wen et al., 2006; 2007]
538 and increase of AOD from increased humidity around clouds [Koren et al., 2007] are
539 also possible explanations of the AOD increases. Such factors could help to explain the
540 ocean results.

541

542 Over land there is a sharp increase in fine mode fraction (FMF) at low to moderate
543 values of AOD and a smaller decrease at high values of AOD. While cloud
544 contamination is consistent for the AOD values over 0.5, the sharp increase in FMF at
545 lower AOD may be explained by either 3D effects or increases of humidity fields around
546 clouds in these cloudy land scenes. However, we cannot rule out a sampling artifact in
547 which 80% cloud fraction situations may be associated with meteorology that has higher
548 concentrations of a fine mode aerosol type. For example, in the eastern U.S., high
549 pollution episodes in the summer are associated with stagnant meteorological conditions
550 and boundary Cumulus cloud fields [Kaufman et al., 2002]. Also the small number of
551 statistics in the >80% plots can be easily influenced by sampling biases. Note that the
552 cloudy situations in Figures 6 and 7 represent only 2% of the total number of grid squares
553 included in the overall statistics over ocean and less than 1% over land.

554

555 **8. Regional and seasonal distribution of aerosol optical depth**

556

557 Up to this point we have analyzed the global aerosol system in terms of its global mean
558 statistics. The aerosol system is far from being well mixed and homogenous. The
559 aerosol story is very much linked to geography and season. Figure 8 shows four months

560 of aerosol optical depth observed from Aqua MODIS. The four months were chosen to
561 represent seasonal changes, and each month is the mean of that month over the five years
562 of the Aqua mission. In Figure 8 we see the strong aerosol loading over eastern China,
563 the Indo-Gangetic Plain of India and in the eastern tropical north Atlantic during all
564 seasons. We see the aerosol from biomass burning in Africa in January north of the
565 equator shift southward during the course of the year until it is joined by tropical biomass
566 burning in the Amazon and Indonesia during northern Autumn. There is wide spread
567 elevated AOD over the oceans during the Spring of each hemisphere, April in the north
568 and October in the south. During northern Summer the Arabian Sea and India exhibit
569 unusually high AOD values, while North America, Europe and northern Asia have their
570 highest, though moderate, aerosol loading during the same season.

571

572 Figure 8 also shows the limits of the MODIS aerosol products to represent the global
573 aerosol system. Large expanses of the globe are left blank during various seasons due to
574 polar night or surfaces unsuitable for making a dark-target retrieval. The new Deep Blue
575 product will fill in some of these spaces when combined with the standard aerosol
576 products although that prospect is outside the scope of this study. Because of these
577 missing regions, the global mean aerosol values described here may not be truly
578 representative of the entire globe, particularly over land. Other sampling considerations
579 including biases to cloud free conditions and no ocean retrievals over sun glint affect the
580 ability of a satellite monthly mean to represent the entire month at any particular grid
581 square. Still, a comprehensive picture does emerge from the statistics of satellite data
582 sets.

583

584

585 **9. Aerosol optical depth of individual regions**

586

587 We define 13 regions over ocean (following Remer and Kaufman 2006) and 14 regions
588 over land to examine MODIS-derived aerosol characteristics in greater detail. Figures 9
589 and 10 define these regions. Seasonal and annual mean AOD for each region are plotted
590 in the figures, and the seasonal and annual mean fine mode fraction (FMF) is also plotted

591 for each region in Figure 9. While biases between Terra and Aqua AOD were noted
592 above, the aerosol products from the two satellites exhibit nearly identical seasonal and
593 regional patterns. Thus, for brevity only values from Aqua are plotted in Figure 9. Table
594 1 gives the numerical values for ocean regions and Table 2 for land, for both satellites.
595 The heaviest aerosol loading can be found over India and the surrounding oceans during
596 northern summer (JJA). East Asia also exhibits heavy aerosol loading, but during
597 northern spring (MAM). The southern tropical Pacific shows the lowest oceanic AOD,
598 but MODIS-observed AOD over the Australian continent is even lower, although the
599 Australian values fall within the land algorithm's noise level.

600

601 Because the seasonal cycle is most pronounced near the aerosol source regions over land
602 we concentrate our seasonal analysis on the land regions. Figure 11 shows the AOD time
603 series from Aqua for four categories of regions: northern industrial economies, southern
604 biomass burning regions, dust dominated and Asia. The four regions grouped as northern
605 industrial economies are west and east North America, north Europe and the
606 Mediterranean Basin. These four regions track together exhibiting increased AOD in the
607 Spring and Summer, but only to moderate levels as compared to other regions of the
608 globe. The Fall and Winter seasons have very low AOD with eastern North America
609 surprisingly showing the lowest values of AOD during the winter. The Mediterranean
610 region, which includes parts of North Africa and the Middle East as well as southern
611 Europe has a longer aerosol season with higher AOD values both in summer and in
612 winter than the other three regions.

613

614 The three southern biomass burning regions, South America, southern Africa and
615 Indonesia, show very similar seasonal patterns, despite their widely varying locations.
616 The biomass burning season in the southern hemisphere occurs during southern Spring
617 (SON) on all three continents. There is a high degree of interannual variability in the
618 AOD values at each location. The AOD during the biomass burning season is roughly
619 twice the AOD values of the northern industrial economies, excluding the Mediterranean.
620 However, during the $\frac{3}{4}$ of the year with no burning, South America and southern Africa
621 have low AOD comparable with values in North America and northern Europe.

622

623 Northern Africa and India, grouped together because both are affected by dust
624 transported from the Sahara and Arabia, have overall higher AOD than any of the
625 previous regions. North Africa exhibits an irregular seasonal cycle with the highest
626 values reported in later winter (February and March) at the peak of the northern
627 hemisphere biomass burning season, but there is an irregular extension of the high AOD
628 season that extends into late summer when dust is dominant. India's seasonal cycle is
629 more regular with a broad aerosol season spanning the period March to July. In 2006
630 only, we see a suggestion of a second aerosol season occurring that winter.

631

632 The fourth grouping of regions in Figure 11 are the Asian regions, excluding India and
633 Indonesia, which were previously discussed. The Asian regions include Siberia, East
634 Asia, which is mainly China, and Southeast Asia. The AOD values in Siberia are low,
635 especially in autumn and winter. However, snow covers much of the region in winter
636 and therefore, MODIS does not sample much of this region in that season. Summer
637 AOD values in Siberia are comparable to summer values in North America and northern
638 Europe. Note that Siberia seems to track with the Asian regions to the south, although at
639 much lower aerosol loading. This suggests some commonality in aerosol transport or
640 similarity of sources. East Asia and Southeast Asia track together showing an extended
641 aerosol season that spans the spring and summer seasons. The AOD during the aerosol
642 season shows interannual variability for both regions that can exceed values from the dust
643 regions of northern Africa, India or the southern hemisphere biomass burning regions.
644 AOD values remain moderately high even for the autumn and winter months.

645

646 **10. Aerosol size characteristics of individual regions**

647

648 Aerosol particle size can be described by a variety of parameters in the MODIS aerosol
649 data product including fine mode AOD, fine mode fraction and various Angstrom
650 Exponents. These parameters provide subtle differences, but are more or less correlated
651 with each other. The ocean algorithm uses 6 wavelengths and benefits from a fairly
652 homogenous background surface. Therefore, the ocean product contains inherently

653 greater information content than the land product, which uses only three wavelengths and
654 is sensitive to the assumptions made about the spectral surface reflectance. In essence,
655 the size parameters from the ocean algorithm are more reliable than the land. We are
656 already aware of specific regions where the land size parameter is systematically wrong
657 [Jethva et al., 2007] and prefer to wait until full characterization of the land size
658 parameter is available before calculating regional climatological statistics. In the regional
659 analysis we focus the size parameter analysis solely on the ocean retrievals.

660

661 Table 1 shows the seasonal and annual mean fine mode fraction (FMF) for the 13 ocean
662 regions. Values range from 0.28 – 0.35 in pristine southern hemisphere regions to 0.60 –
663 0.65 in the northern midlatitudes. These seasonal mean numbers conform to our
664 expectations that pristine oceanic regions would be dominated by sea salt, a coarse mode
665 aerosol, and therefore have smaller FMF, while northern midlatitudes would have a
666 greater fine mode contribution from aerosol transported from land sources.

667

668 We obtain greater physical interpretation by plotting monthly mean aerosol size
669 parameter against monthly mean total AOD, following Kaufman et al., [2005]. For this
670 exercise we chose to use the Fine AOD rather than FMF because it produces higher
671 correlations and a clearer picture. At low AOD, FMF, which is a ratio of two small
672 numbers can be noisy. On the other hand, Fine AOD becomes smaller as Total AOD
673 becomes smaller, and is less noisy. Figure 12 shows the results for five regions using
674 Aqua data. The results fall into two classes. Regions 2, 4, and 13 fall into the first class.
675 In this situation, as aerosol optical depth is added to a baseline background value, AOD
676 of the fine mode increases as well. The slopes of the linear regression fits are
677 approximately in the range of 0.7 – 0.8. Region 6 represents the second class. Here
678 AOD fine also increases as total AOD increases, but at a much slower rate. The slope of
679 the class 2 regression is approximately 0.3. We interpret these two classes as the
680 difference between adding smoke/pollution to a background marine aerosol in which the
681 slope is the higher value, and adding dust, which results in the smaller slope.

682

683 We expect elevated AOD in Region 2 to be pollution from North America and Europe.
684 Likewise we expect elevated AOD in Region 6 to be dust from the Sahara. However, it
685 is somewhat surprising that the elevated aerosol in Region 13 follows the
686 smoke/pollution curve so tightly. This suggests that elevated aerosol in the southern
687 circumpolar ocean has a strong biomass burning component, and indeed the seasonal
688 means in Table 1 shows that elevated AOD and FMF occur during the southern
689 hemisphere biomass burning season. We also expected that some of the elevated aerosol
690 in Region 4 would have a dust component from transported Asian dust. Instead we see a
691 tight correlation following the smoke/pollution curve. Figure 12 also plots Region 7, the
692 northern Indian Ocean, which splits its monthly means to follow both curves. This
693 suggests that in some months the aerosol is dust and other months it is smoke/pollution.
694 The results from Terra are similar to Aqua, and thus Figure 12 shows only Aqua to avoid
695 redundancy.

696

697 Table 3 gives several annual mean aerosol size parameters, and the regression slope and
698 correlation coefficients following Figure 12 for each ocean region, for both satellites.
699 Note that Regions 3, 7, and 9 have small slopes and relatively low R^2 values. A low R^2
700 gives indication that the region follows neither class. In some cases this is because some
701 months follow the smoke/pollution curve and other months the dust curve (Regions 3 and
702 7), but in other cases the region remains pristine through all months and there is no
703 elevated aerosol (Region 9).

704

705

706 **11. Discussion and Conclusions**

707

708 The MODIS aerosol product derived from 7 years of Terra data and 5 years of Aqua data
709 has recently undergone reprocessing using a new algorithm labeled Collection 5.

710 Collection 5 represents both new aerosol algorithm and new calibration coefficients,
711 applied consistently through the entire data records of each MODIS sensor. Comparison
712 of Collection 5 MODIS aerosol optical depth (AOD) retrievals over ocean and land with
713 high quality AERONET observations shows agreement as good as Collection 4 for ocean

714 and much improved for land. In fact, in Collection 5 the land algorithm is retrieving
715 AOD at midvisible wavelengths as accurately as the ocean algorithm, with similar or
716 smaller offsets, regression slopes close to 1.0 and similar or better correlation.
717 Comparison with collocated AERONET products requires both MODIS and AERONET
718 to report cloud free conditions. Situations where MODIS retrieves but AERONET does
719 not were not included in the analysis. Validation efforts continue, and a more
720 comprehensive validation study is in preparation.

721

722 The differences we expected to find between Collection 4 and Collection 5 included a
723 shift to larger particle sizes over ocean but no change to ocean AOD. In the Aqua record,
724 indeed that is exactly what we find. However, something else has occurred in the Terra
725 record, as not only did the Terra ocean particle size shift, but its global mean ocean AOD
726 was larger by 0.015. The MODIS aerosol software is applied equally to Terra and Aqua.
727 To apply the same algorithm and have Terra oceanic AOD shift by 0.015, while Aqua
728 AOD remain the same is impossible. The only logical answer is that MODIS calibration
729 constants also changed between Collections. Indeed adjustments were made to the
730 calibration coefficients of the seven MODIS wavelengths used by the aerosol algorithm
731 during the Collection 5 reprocessing. Terra's coefficients were adjusted up to 2%
732 depending on wavelength, while adjustments to Aqua's coefficients were less than 0.5%
733 [MODIS Characterization Science Team, personal communication].

734

735 We have presented an analysis of MODIS aerosol optical depth and particle size
736 information, over ocean and land, globally and regionally. We have shown time series
737 and histograms. From this analysis we conclude:

738

- 739 - Global mean AOD is 0.13 to 0.14 over ocean and 0.19 over land. The range over
740 ocean reflects the differences between Terra and Aqua AOD statistics.
- 741 - Terra and Aqua, despite the offset in ocean AOD statistics, show similar regional
742 and seasonal variation, and similar mean values over land. Real diurnal aerosol
743 differences cannot be discerned above the products' uncertainties at this time.

- 744 - At every decision point in the processing we have taken the road leading to lower
745 values of global mean AOD. In particular by weighting each grid square in the
746 aggregation by the number of L2 retrievals in that square, the ocean global mean
747 AOD is lower by 0.03 than if calculated without this weighting.
- 748 - We feel that the higher range of values that would be achieved without L2
749 weighting contain cloud artifacts. Therefore we decided to produce values that
750 are least affected by clouds and are at the lower range of the envelope.
- 751 - Land shows a broader distribution of AOD than ocean. Roughly 28% of land
752 retrievals are extremely clean and within ± 0.05 of AOD = 0. Only 15% of ocean
753 retrievals are that low.
- 754 - Global mean values are limited by sampling issues. No retrievals are made during
755 polar night, snow, ice or bright land surfaces, or when clouds cover the scene.
- 756 - Global mean values can vary by as much as 20% depending on how the data is
757 aggregated, weighted and averaged. The results here are L2 weighted. Thus, they
758 are biased to clear skies and the reported AOD may be low.
- 759 - AOD in situations with 80% cloud fraction are twice the global mean values,
760 although such situations occur only 2% of the time over ocean and less than 1%
761 of the time over land.
- 762 - There is no drastic change in aerosol particle size associated with these very
763 cloudy situations over ocean, but there appears to be a large shift over land.
- 764 - The heaviest aerosol regions are North Africa, India, East and Southeast Asia.
765 Each has its own seasonal cycle and interannual variability.
- 766 - The northern industrial economies (North America and Europe), Siberia and
767 especially Australia have the lowest average AODs.
- 768 - The three southern hemisphere biomass burning regions (South America, southern
769 Africa and Indonesia) exhibit very similar seasonal behavior.
- 770 - We find that in most oceanic regions elevated aerosol over background conditions
771 is dominated by fine mode aerosol and not dust. This includes the Mediterranean,
772 the north Pacific downwind of Asia and even the southern oceans. Only the
773 Saharan outflow region in the Atlantic and the Arabian Sea area have certain
774 months dominated by dust.

775

776 We demonstrate in this work an emerging climatology of aerosol characteristics using the
777 satellite view from MODIS. Longer records are necessary to fully characterize trends
778 and further analysis with multiple data sets is necessary to better unravel the signatures of
779 aerosols and clouds. However, this view from space and “check-up” of the aerosol
780 system provides valuable information for understanding the planet now and estimating
781 the potential consequences of global change.

782

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784

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792

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1025 **Figure Captions**

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1027 Figure 1. MODIS aerosol optical depth (AOD) over oceans plotted against collocated
1028 AERONET observations. Top: AOD at 550 nm. Bottom: AOD at 870 nm. Left:
1029 Collocations with the Terra satellite. Right: Collocations with the Aqua satellite. The
1030 data were sorted according to AERONET AOD, divided into 25 bins of equal
1031 observations, and statistics calculated. Points represent the means of each bin. Error bars
1032 represent the standard deviation of MODIS AOD within those bins. Highest AOD bin
1033 typically represents the mean of fewer observations than the other bins. AERONET
1034 AOD at 550 nm was interpolated on a log-log plot between observations at 500 nm and
1035 675 nm. Stations with no 500 nm channel were not included in the upper plots, but were
1036 included in the lower plots where no interpolation was necessary. The regression line,
1037 regression equation and correlation were calculated from the full cloud of points before

1038 binning. Expected error is $\pm(0.03+0.05*AOD)$, and is shown in the plots by the dashed
1039 lines.

1040

1041 Figure 2 Similar to Figure 1, but for collocations over land. The land product does not
1042 include a retrieval at 870 nm. Expected error over land is $\pm(0.05+0.15*AOD)$.

1043

1044 Figure 3. Histogram of aerosol optical depth at 550 nm (AOD) over ocean and fine mode
1045 fraction (FMF) derived from MODIS aerosol algorithms applied to a test bed of saved
1046 Collection 4 radiances. The test bed consisted of 35 granules of various oceanic aerosol
1047 scenes spread throughout 2001. Over 400,000 retrievals were used to construct the
1048 histograms. The Collection 4 results are shown in blue. Results of applying Collection 5
1049 software to Collection 4 radiances are shown in black. Solid curves denote AOD, and
1050 dotted curves denote FMF.

1051

1052 Figure 4. Global and monthly mean aerosol optical depth (AOD) at 550 nm over the
1053 global oceans from operational Collection 5 processing plotted against similar produced
1054 from old Collection 4 processing. Collection 5 processing includes both updates to the
1055 aerosol algorithm and also updates to the calibration. Terra and Aqua are plotted
1056 separately. Terra Collection 5 is higher than Terra Collection 4, and also higher than
1057 both Aquas.

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1059 Figure 5 Time series of MODIS global aerosol optical depth at 550 nm over ocean (left)
1060 and over land (right), for Aqua (top) and Terra (bottom). Monthly mean total AOD is
1061 plotted with a heavy black line. Contribution to the AOD from submicron particles is
1062 plotted in a heavy gray line. The percentile AODs are plotted by various dotted and
1063 dashed thin black lines. The mean AOD roughly corresponds to the 66% percentile over
1064 both ocean and land, showing that 66% of the monthly mean AOD values are less than
1065 the mean. Note that the vertical axes are different in the land and ocean plots.

1066

1067 Figure 6. Aqua global aerosol optical depth histograms (AOD) over ocean (top) and land
1068 (bottom) constructed from daily $1^\circ \times 1^\circ$ latitude-longitude MODIS aerosol products,

1069 weighted by the number of 10 km retrievals in each 1 degree square. Left: Calculated
1070 from all available data. right: Calculated only for those grid squares with greater than
1071 80% cloud cover. Line with solid circles shows mean Fine AOD in each total AOD bin.
1072 Line with open circles shows mean fine mode fraction (FMF) in each AOD bin. FMF is
1073 the fine AOD divided by the total AOD.

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1075 Figure 7. Same as figure 6, but for Terra retrievals.

1076

1077 Figure 8. Five year mean global distribution of aerosol optical depth (AOD) at 550 nm
1078 for four selected months: January, April, July and October. The averages were calculated
1079 from daily $1^\circ \times 1^\circ$ latitude-longitude MODIS aerosol products, weighted by the number
1080 of L2 retrievals in the grid square. Negative values in purple identify where AOD is so
1081 low that it cannot be distinguished from zero, Black indicates fill value where no retrieval
1082 was attempted. Retrievals are not attempted over snow, during polar night or over bright
1083 deserts.

1084

1085 Figure 9. Seasonal and annual mean AOD at 550 nm and fine mode fraction (FMF) for
1086 13 ocean regions for the Aqua satellite. Seasonal mean AOD is shown by black columns,
1087 annual mean by gray columns and FNF by gray dots. The 13 bar graphs are positioned
1088 onto a map of the globe, corresponding to the area used in defining that region. Data for
1089 the bar graphs are given explicitly in Table 1.

1090

1091 Figure 10. Seasonal and annual mean AOD for 14 land regions defined at bottom right.
1092 Terra AOD shown by black columns and Aqua AOD is shown with gray columns. The
1093 column in the far right for each regional bar graph denotes the annual mean. The
1094 seasonal means from left to right in each regional bar graph are MAM, JJA, SON and
1095 DJF. Date for the bar graphs are given explicitly in Table 2.

1096

1097 Figure 11. Time series of Aqua regional monthly mean aerosol optical depth (AOD) at
1098 550 nm calculated from daily $1^\circ \times 1^\circ$ latitude-longitude MODIS aerosol products
1099 weighted by the number of L2 retrievals in the grid square. Regions are defined in Figure

1100 10. Terra regional monthly mean AOD follow similar seasonal patterns as Aqua and are
1101 not shown.

1102

1103 Figure 12. Aqua monthly and regional mean Fine AOD over ocean plotted against
1104 monthly and regional mean Total AOD for five selected ocean regions. Regression lines
1105 and correlations are calculated and displayed. Regions fall into two classes defined by
1106 the slope of this regression. Most regions have slopes in the 0.7 to 0.8 range, as
1107 demonstrated by Region 4 (NW Pacific) and denoted by the green line. However, Region
1108 6 (N. Tropical Atlantic) has a slope of 0.32 and is denoted by the blue line. Region 7
1109 (North Indian Ocean) has a seasonal shift with the months of October through March
1110 following the green line and months April through September following the blue line.

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Table 1 Seasonal and annual aerosol optical depth at 550 nm (AOD) and fine mode fraction (FMF) for each ocean region of Figure 9. Top panel is Aqua. Bottom panel is Terra

Aqua	MAM		JJA		SON		DJF		annual	
	AOT	FMF	AOT	FMF	AOT	FMF	AOT	FMF	AOT	FMF
1 NE Pacific	0.20	0.53	0.13	0.62	0.11	0.44	0.13	0.33	0.14	0.49
2 N Atlantic	0.17	0.52	0.15	0.62	0.11	0.44	0.12	0.36	0.14	0.49
3 Mediterranean	0.20	0.60	0.19	0.65	0.15	0.58	0.15	0.48	0.17	0.58
4 NW Pacific	0.32	0.60	0.22	0.65	0.16	0.58	0.18	0.50	0.22	0.59
5 Trop. NE Pacif.	0.14	0.45	0.11	0.42	0.10	0.47	0.11	0.46	0.12	0.45
6 Trop. N. Atlan.	0.23	0.40	0.26	0.39	0.16	0.45	0.17	0.44	0.20	0.42
7 N. Indian	0.26	0.44	0.43	0.38	0.22	0.53	0.23	0.59	0.28	0.47
8 Trop. NW Pacif	0.18	0.48	0.12	0.47	0.12	0.54	0.15	0.50	0.14	0.50
9 Trop. SE Pacif	0.09	0.40	0.09	0.39	0.10	0.35	0.10	0.33	0.10	0.37
10 Trop S Atlan	0.11	0.46	0.12	0.47	0.13	0.49	0.12	0.42	0.12	0.46
11 S. Indian	0.10	0.46	0.14	0.48	0.14	0.48	0.11	0.37	0.12	0.44
12 Trop SW Pacif	0.09	0.45	0.10	0.43	0.14	0.51	0.11	0.37	0.11	0.44
13 S. Circumpol	0.10	0.30	0.09	0.28	0.13	0.42	0.13	0.47	0.11	0.39

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Terra	MAM		JJA		SON		DJF		annual	
	AOT	FMF	AOT	FMF	AOT	FMF	AOT	FMF	AOT	FMF
1 NE Pacific	0.21	0.50	0.15	0.63	0.12	0.41	0.13	0.28	0.15	0.45
2 N Atlantic	0.18	0.51	0.16	0.63	0.12	0.42	0.13	0.34	0.15	0.47
3 Mediterranean	0.21	0.58	0.20	0.67	0.17	0.57	0.14	0.48	0.18	0.57
4 NW Pacific	0.34	0.56	0.23	0.67	0.16	0.56	0.19	0.46	0.23	0.56
5 Trop. NE Pacif.	0.16	0.53	0.12	0.49	0.11	0.51	0.12	0.48	0.13	0.50
6 Trop. N. Atlan.	0.23	0.44	0.26	0.40	0.17	0.47	0.18	0.43	0.21	0.44
7 N. Indian	0.27	0.49	0.43	0.37	0.24	0.57	0.24	0.61	0.29	0.51
8 Trop. NW Pacif	0.18	0.53	0.10	0.52	0.13	0.56	0.15	0.51	0.15	0.53
9 Trop. SE Pacif	0.10	0.46	0.09	0.40	0.11	0.40	0.11	0.42	0.10	0.42
10 Trop S Atlan	0.11	0.50	0.12	0.47	0.15	0.51	0.14	0.46	0.13	0.49
11 S. Indian	0.11	0.50	0.14	0.47	0.15	0.52	0.12	0.46	0.13	0.49
12 Trop SW Pacif	0.10	0.50	0.11	0.45	0.16	0.54	0.12	0.45	0.12	0.49
13 S. Circumpol	0.11	0.29	0.10	0.24	0.15	0.38	0.14	0.47	0.12	0.35

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Table 2. Seasonal and annual aerosol optical depth at 550 nm for each land region of Figure 10. Panel A are 5 year means from Aqua. Panel B are 7 year means from Terra.

A. Aqua	MAM	JJA	SON	DJF	annual
1 West N. Am.	0.17	0.16	0.09	0.10	0.13
2 East N. Am.	0.13	0.17	0.06	0.05	0.10
3 Central Am.	0.25	0.15	0.12	0.10	0.15
4 S. Amer.	0.07	0.11	0.22	0.12	0.13
5 N. Europe	0.18	0.15	0.10	0.10	0.13
6 Mediter. Basin	0.22	0.25	0.16	0.13	0.19
7. N. Africa	0.38	0.34	0.24	0.29	0.31
8. S. Africa	0.11	0.21	0.21	0.14	0.17
9. Siberia	0.22	0.15	0.08	0.08	0.13
10. India	0.36	0.42	0.29	0.29	0.34
11. East Asia	0.46	0.35	0.24	0.27	0.33
12. SE Asia	0.39	0.28	0.24	0.21	0.28
13. Indonesia	0.17	0.19	0.28	0.19	0.21
14. Australia	0.03	0.01	0.07	0.07	0.04

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B. Terra	MAM	JJA	SON	DJF	annual
1 West N. Am.	0.19	0.17	0.09	0.11	0.14
2 East N. Am.	0.15	0.18	0.07	0.06	0.12
3 Central Am.	0.26	0.15	0.12	0.09	0.16
4 S. Amer.	0.06	0.11	0.24	0.12	0.13
5 N. Europe	0.19	0.16	0.11	0.11	0.14
6 Mediter. Basin	0.23	0.26	0.17	0.13	0.20
7. N. Africa	0.36	0.33	0.25	0.30	0.31
8. S. Africa	0.11	0.21	0.22	0.15	0.17
9. Siberia	0.22	0.14	0.09	0.09	0.13
10. India	0.37	0.42	0.30	0.30	0.35
11. East Asia	0.43	0.34	0.24	0.26	0.32
12. SE Asia	0.39	0.27	0.26	0.22	0.28
13. Indonesia	0.15	0.18	0.26	0.17	0.19
14. Australia	0.03	0.02	0.08	0.07	0.05

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1133 Table 3. Annual mean aerosol optical depth at 550 nm (AOD), fine mode AOD, fine
 1134 mode fraction (FMF), Angstrom Exponent defined by 550 nm and 870 nm, slope of the
 1135 regression between AOD fine and AOD, and correlation of the regression. Top panel is
 1136 for Aqua, and bottom panel for Terra.

Region	AOD	AOD fine	FMF	Angl	slope	R ²
1 NE Pacific	0.14	0.07	0.49	0.65	0.72	0.79
2 N Atlantic	0.14	0.07	0.49	0.66	0.81	0.80
3 Mediterranean	0.17	0.1	0.58	0.87	0.69	0.77
4 NW Pacific	0.22	0.13	0.59	0.84	0.71	0.94
5 Trop. NE Pacif.	0.12	0.05	0.45	0.60	0.49	0.83
6 Trop. N. Atlan.	0.20	0.09	0.42	0.52	0.32	0.90
7 N. Indian	0.28	0.13	0.47	0.65	0.22	0.58
8 Trop. NW Pacif	0.14	0.07	0.50	0.67	0.57	0.84
9 Trop. SE Pacif	0.10	0.04	0.37	0.45	0.30	0.40
10 Trop S Atlan	0.12	0.06	0.46	0.60	0.64	0.81
11 S. Indian	0.12	0.06	0.44	0.59	0.70	0.88
12 Trop SW Pacif	0.11	0.05	0.44	0.59	0.65	0.83
13 S. Circumpol	0.11	0.04	0.39	0.44	0.76	0.91

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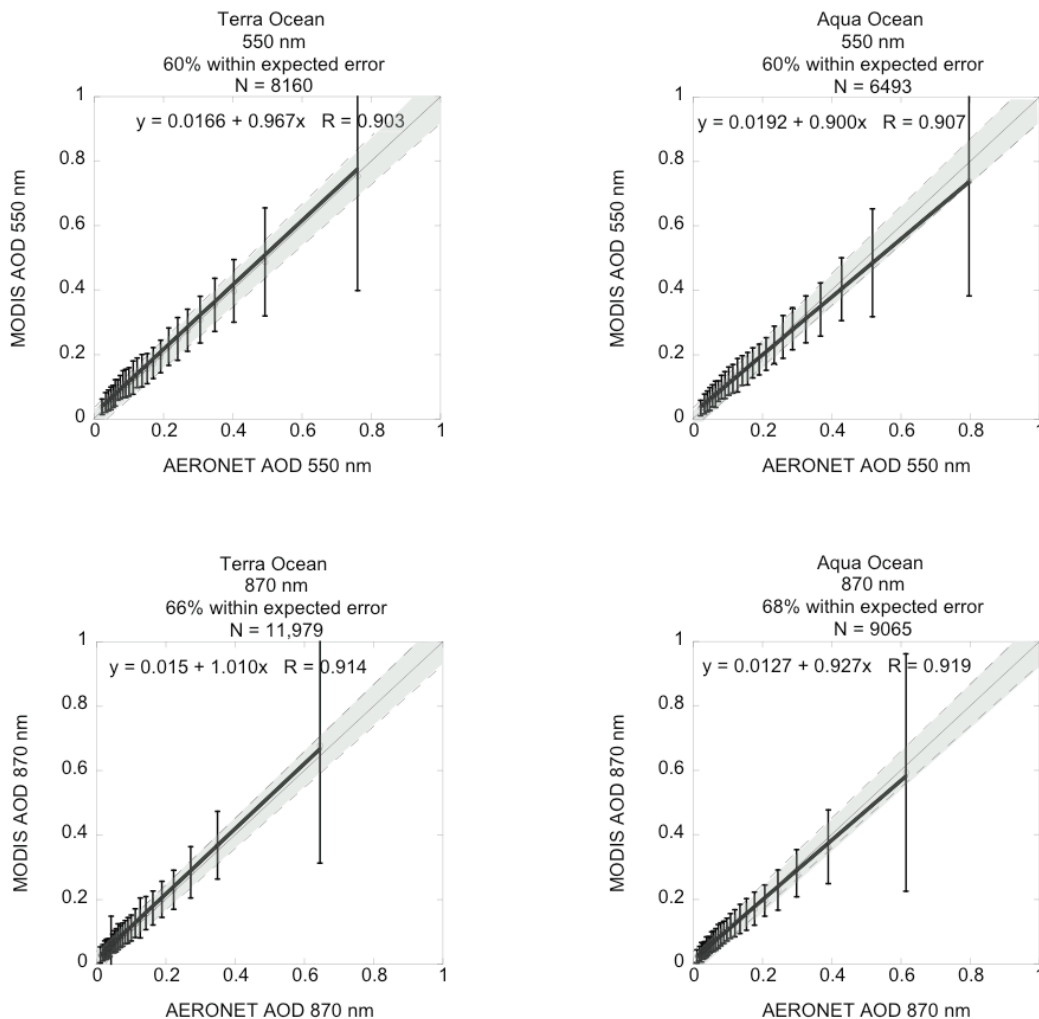
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Region	AOD	AOD fine	FMF	Angl	slope	R ²
1 NE Pacific	0.15	0.07	0.45	0.58	0.70	0.73
2 N Atlantic	0.15	0.07	0.47	0.61	0.85	0.77
3 Mediterranean	0.18	0.10	0.57	0.81	0.76	0.79
4 NW Pacific	0.23	0.13	0.56	0.76	0.67	0.88
5 Trop. NE Pacif.	0.13	0.06	0.50	0.62	0.63	0.90
6 Trop. N. Atlan.	0.21	0.09	0.44	0.50	0.34	0.88
7 N. Indian	0.29	0.14	0.51	0.62	0.14	0.36
8 Trop. NW Pacif	0.15	0.08	0.53	0.67	0.63	0.87
9 Trop. SE Pacif	0.10	0.04	0.42	0.48	0.41	0.59
10 Trop S Atlan	0.13	0.06	0.49	0.60	0.59	0.81
11 S. Indian	0.13	0.06	0.49	0.60	0.62	0.89
12 Trop SW Pacif	0.12	0.06	0.49	0.62	0.68	0.92
13 S. Circumpol	0.12	0.04	0.35	0.37	0.71	0.84

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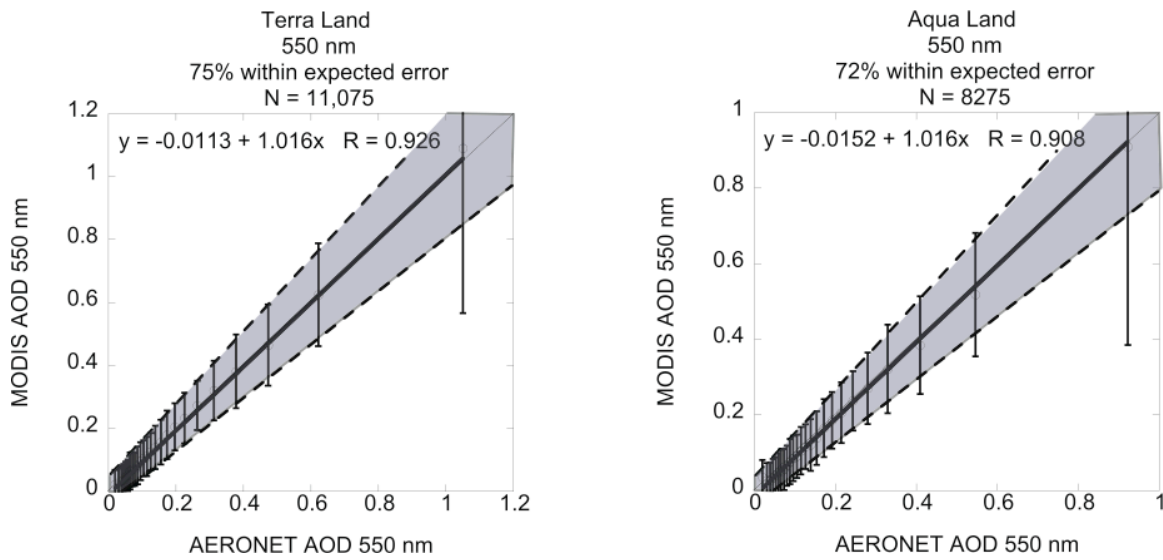


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1144 Figure 1. MODIS aerosol optical depth (AOD) over oceans plotted against collocated
 1145 AERONET observations. Top: AOD at 550 nm. Bottom: AOD at 870 nm. Left:
 1146 Collocations with the Terra satellite. Right: Collocations with the Aqua satellite. The
 1147 data were sorted according to AERONET AOD, divided into 25 bins of equal
 1148 observations, and statistics calculated. Points represent the means of each bin. Error bars
 1149 represent the standard deviation of MODIS AOD within those bins. Highest AOD bin
 1150 typically represents the mean of fewer observations than the other bins. AERONET
 1151 AOD at 550 nm was interpolated on a log-log plot between observations at 500 nm and
 1152 675 nm. Stations with no 500 nm channel were not included in the upper plots, but were
 1153 included in the lower plots where no interpolation was necessary. The regression line,
 1154 regression equation and correlation were calculated from the full cloud of points before
 1155 binning. Expected error is $\pm(0.03+0.05 \cdot \text{AOD})$, and is shown in the plots by the dashed
 1156 lines.
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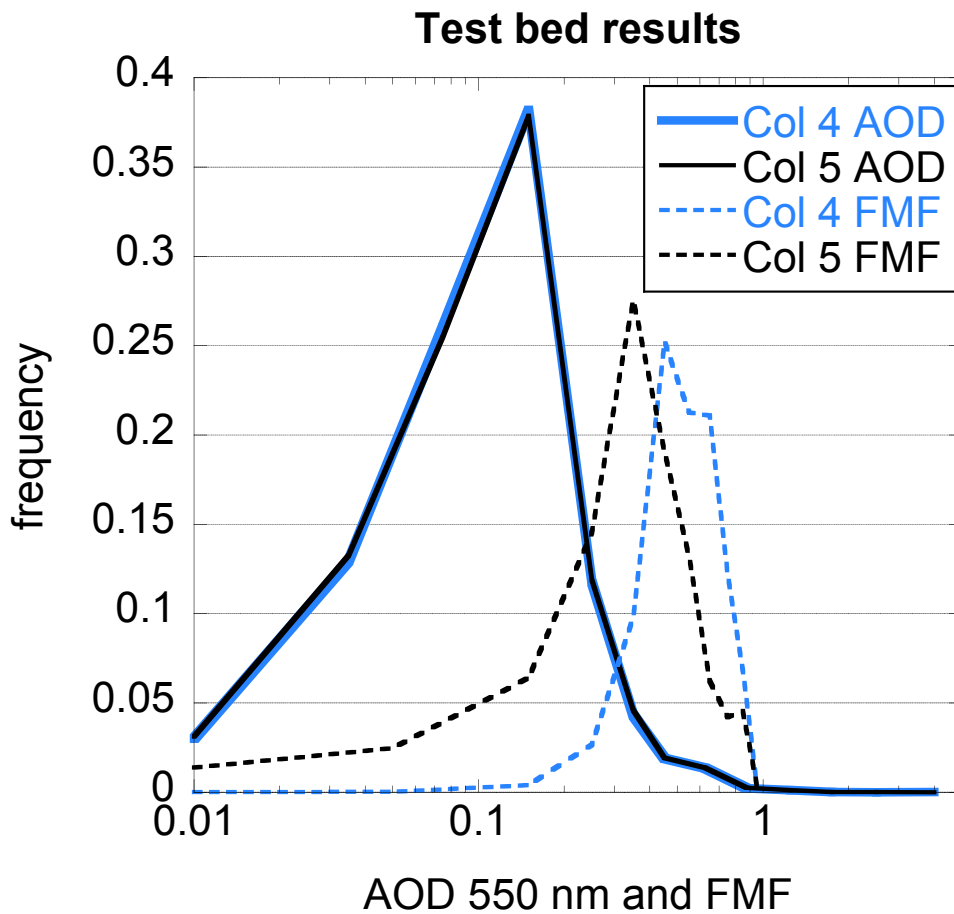
1160 Figure 2 Similar to Figure 1, but for collocations over land. The land product does not

1161 include a retrieval at 870 nm. Expected error over land is $\pm(0.05+0.15*AOD)$.

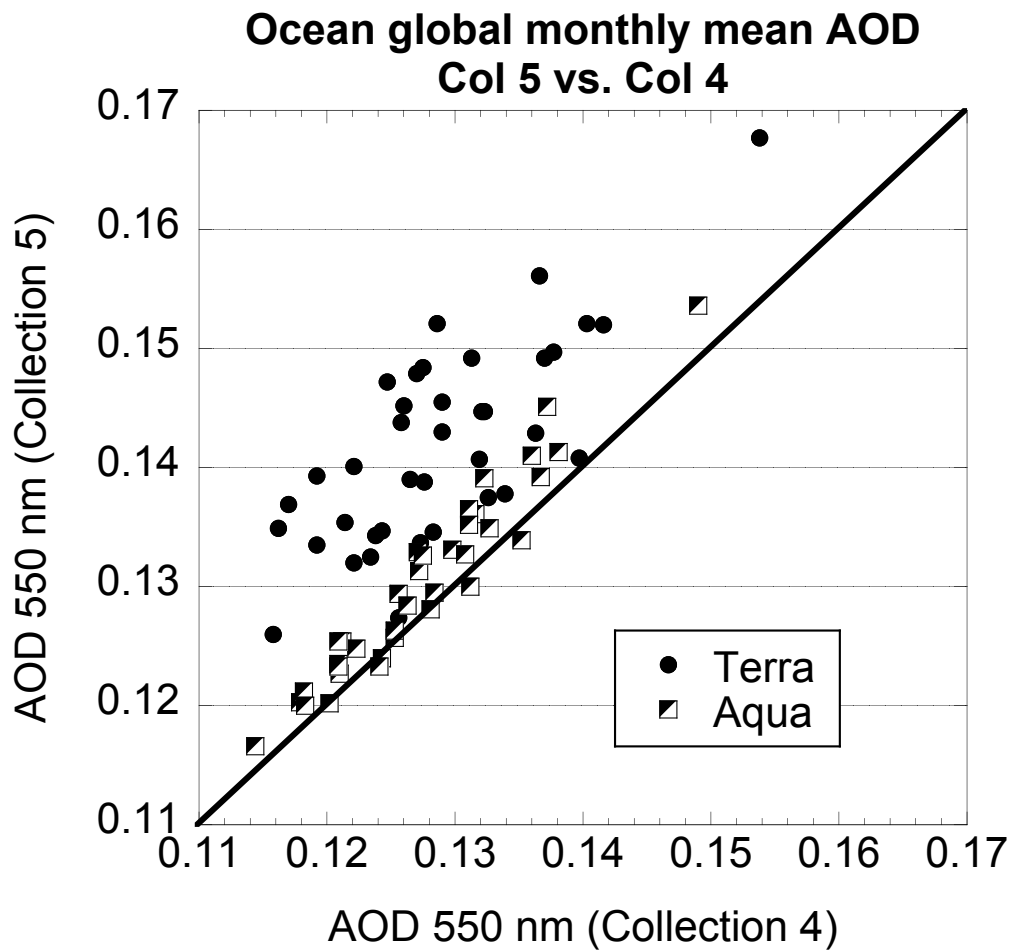
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1165 Figure 3. Histogram of aerosol optical depth at 550 nm (AOD) over ocean and fine mode
 1166 fraction (FMF) derived from MODIS aerosol algorithms applied to a test bed of saved
 1167 Collection 4 radiances. The test bed consisted of 35 granules of various oceanic aerosol
 1168 scenes spread throughout 2001. Over 400,000 retrievals were used to construct the
 1169 histograms. The Collection 4 results are shown in blue. Results of applying Collection 5
 1170 software to Collection 4 radiances are shown in black. Solid curves denote AOD, and
 1171 dotted curves denote FMF.
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1176 Figure 4. Global and monthly mean aerosol optical depth (AOD) at 550 nm over the
1177 global oceans from operational Collection 5 processing, plotted against similar produced
1178 from old Collection 4 processing. Collection 5 processing includes both updates to the
1179 aerosol algorithm and also updates to the calibration. Terra and Aqua are plotted
1180 separately. Terra Collection 5 is higher than Terra Collection 4, and also higher than
1181 both Aquas.

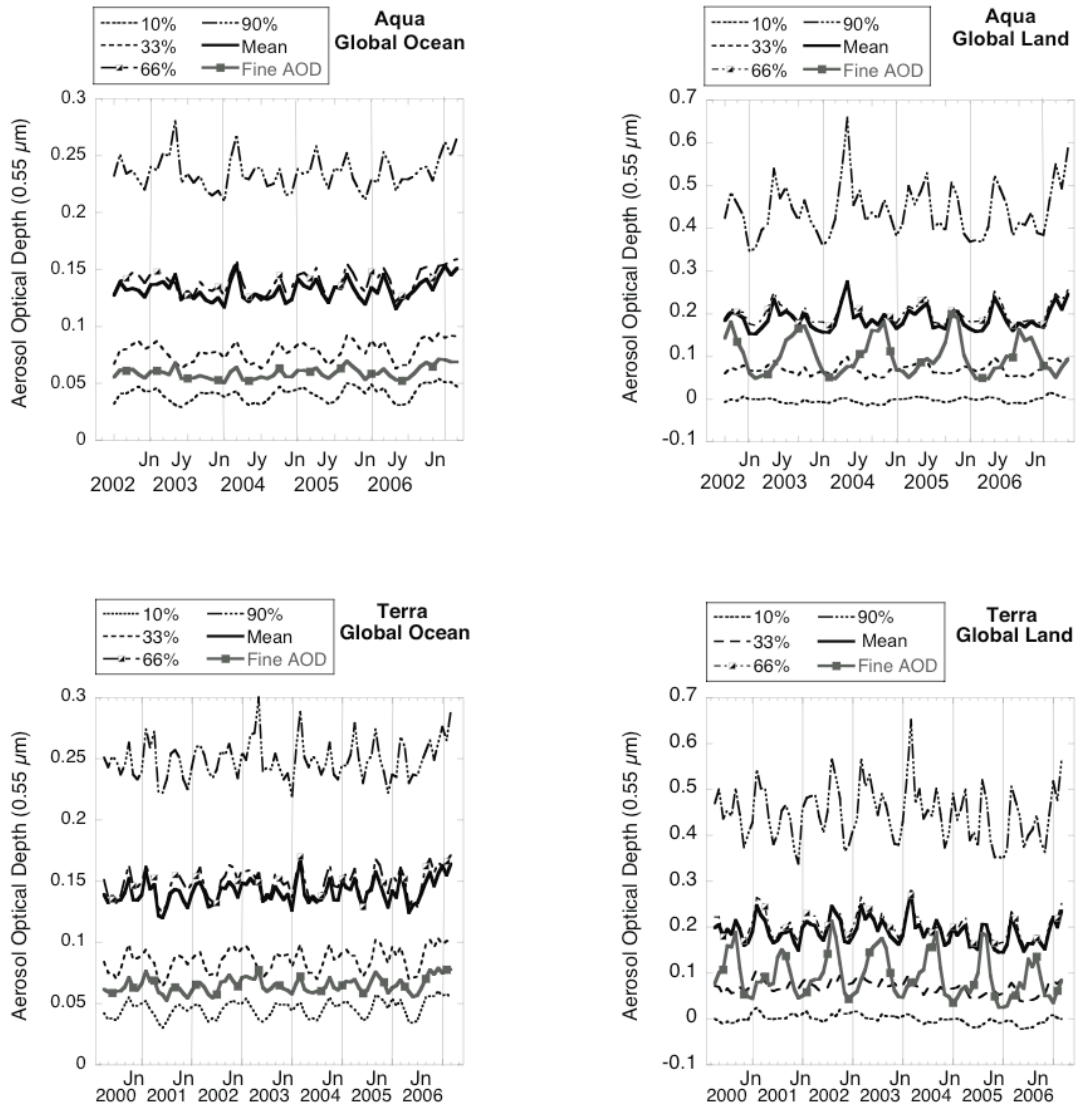
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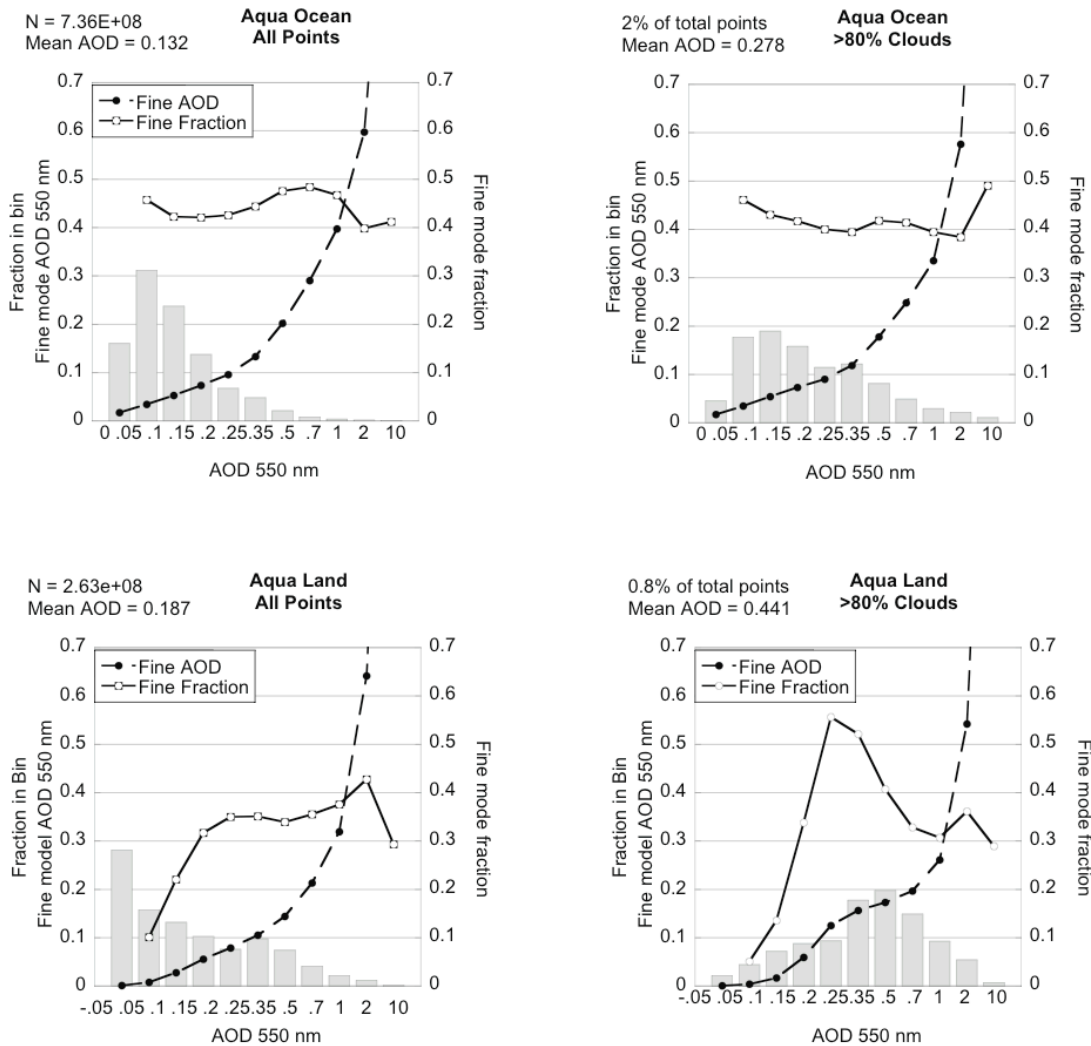
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Figure 5 Time series of MODIS global aerosol optical depth at 550 nm over ocean (left) and over land (right), for Aqua (top) and Terra (bottom). Monthly mean total AOD is plotted with a heavy black line. Contribution to the AOD from submicron particles is plotted in a heavy gray line. The percentile AODs are plotted by various dotted and dashed thin black lines. The mean AOD roughly corresponds to the 66% percentile over both ocean and land, showing that 66% of the monthly mean AOD values are less than the mean. Note that the vertical axes are different in the land and ocean plots.



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1201 Figure 6. Aqua global aerosol optical depth histograms (AOD) over ocean (top) and land

1202 (bottom) constructed from daily 1° x 1° latitude-longitude MODIS aerosol products,

1203 weighted by the number of 10 km retrievals in each 1 degree square. Left: Calculated

1204 from all available data. right: Calculated only for those grid squares with greater than

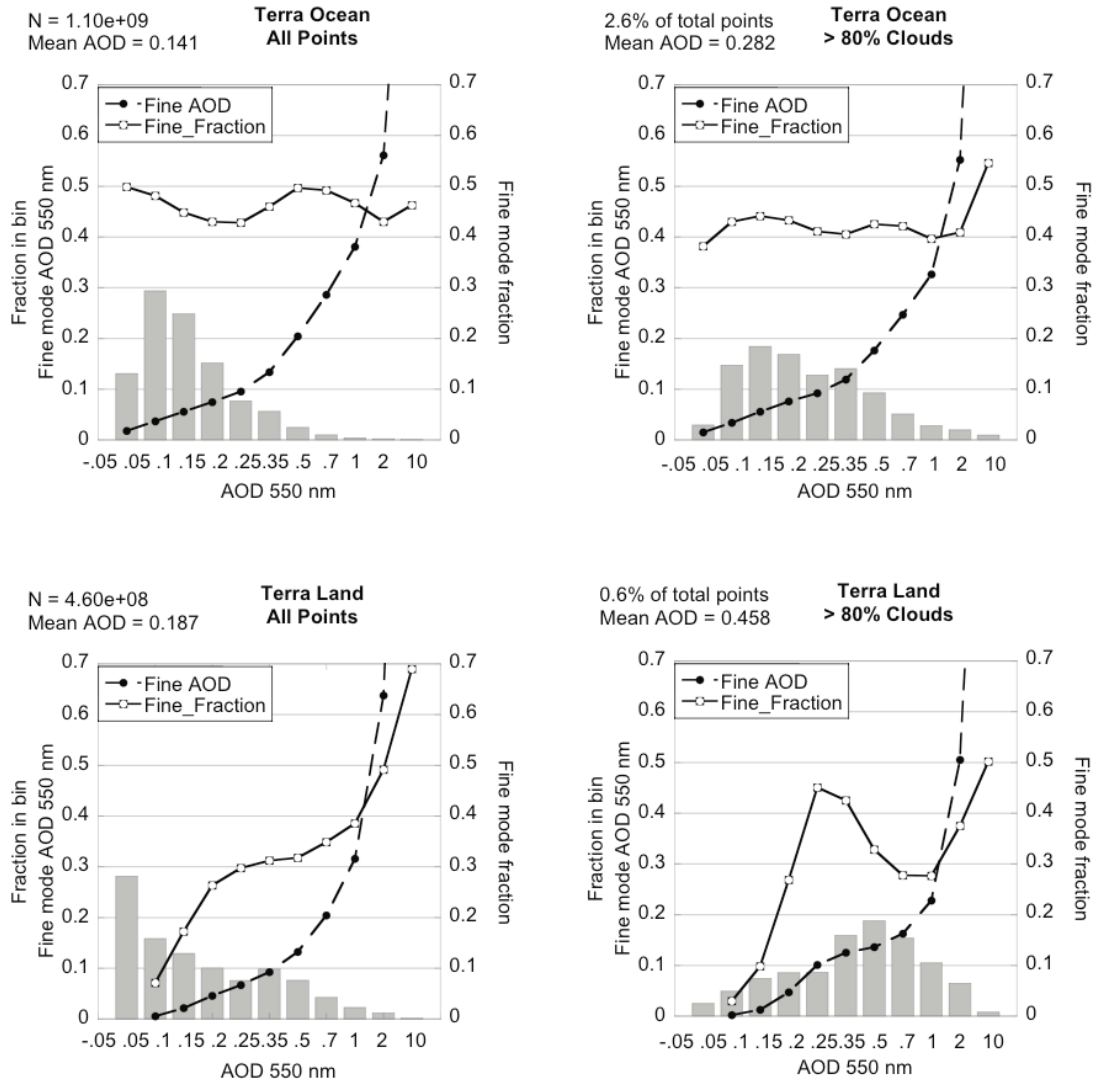
1205 80% cloud cover. Line with solid circles shows mean Fine AOD in each total AOD bin.

1206 Line with open circles shows mean fine mode fraction (FMF) in each AOD bin. FMF is

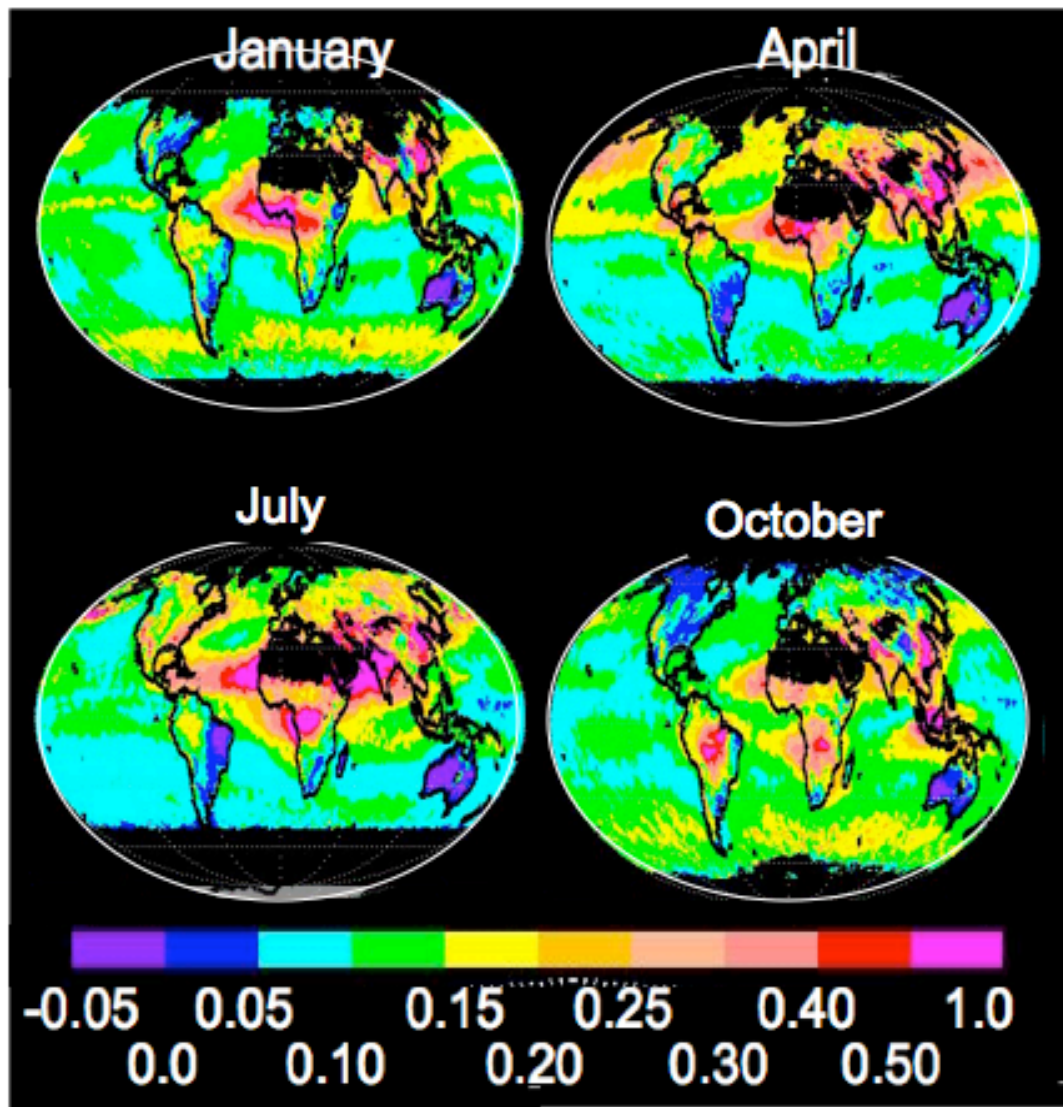
1207 the fine AOD divided by the total AOD.

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 1211 Figure 7. Same as figure 6, but for Terra retrievals.
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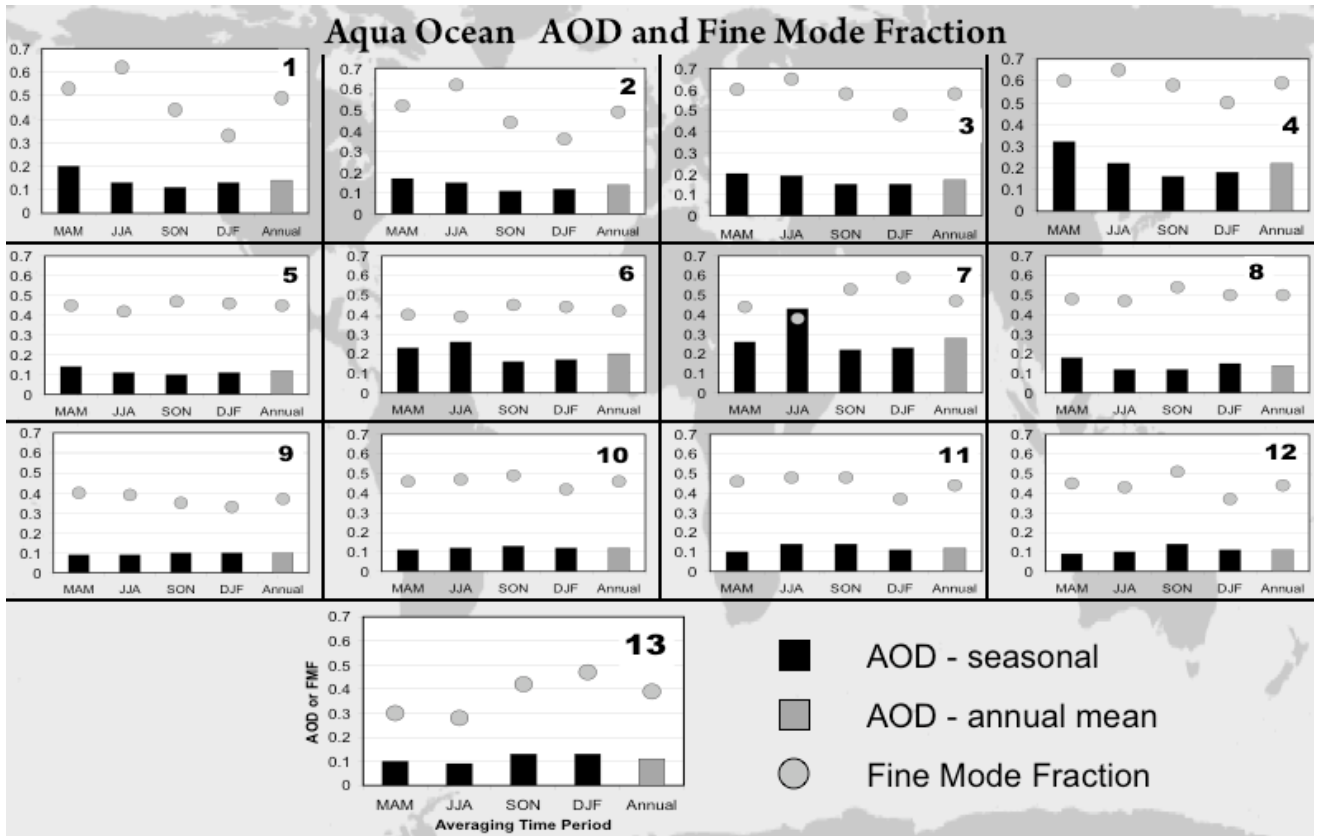
1216 Figure 8. Five year mean global distribution of aerosol optical depth (AOD) at 550 nm
 1217 for four selected months: January, April, July and October. The averages were calculated
 1218 from daily $1^\circ \times 1^\circ$ latitude-longitude MODIS aerosol products weighted by the number of
 1219 1 km retrievals in each 1 degree box. Negative values in purple identify where AOD is
 1220 so low that it cannot be distinguished from zero, Black indicates fill value where no
 1221 retrieval was attempted. Retrievals are not attempted over snow, during polar night or
 1222 over bright deserts.

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1228 Figure 9. Seasonal and annual mean AOD at 550 nm and fine mode fraction (FMF) for
1229 13 ocean regions for the Aqua satellite. Seasonal mean AOD is shown by black columns,
1230 annual mean by gray columns and FNF by gray dots. The 13 bar graphs are positioned
1231 onto a map of the globe, corresponding to the area used in defining that region.

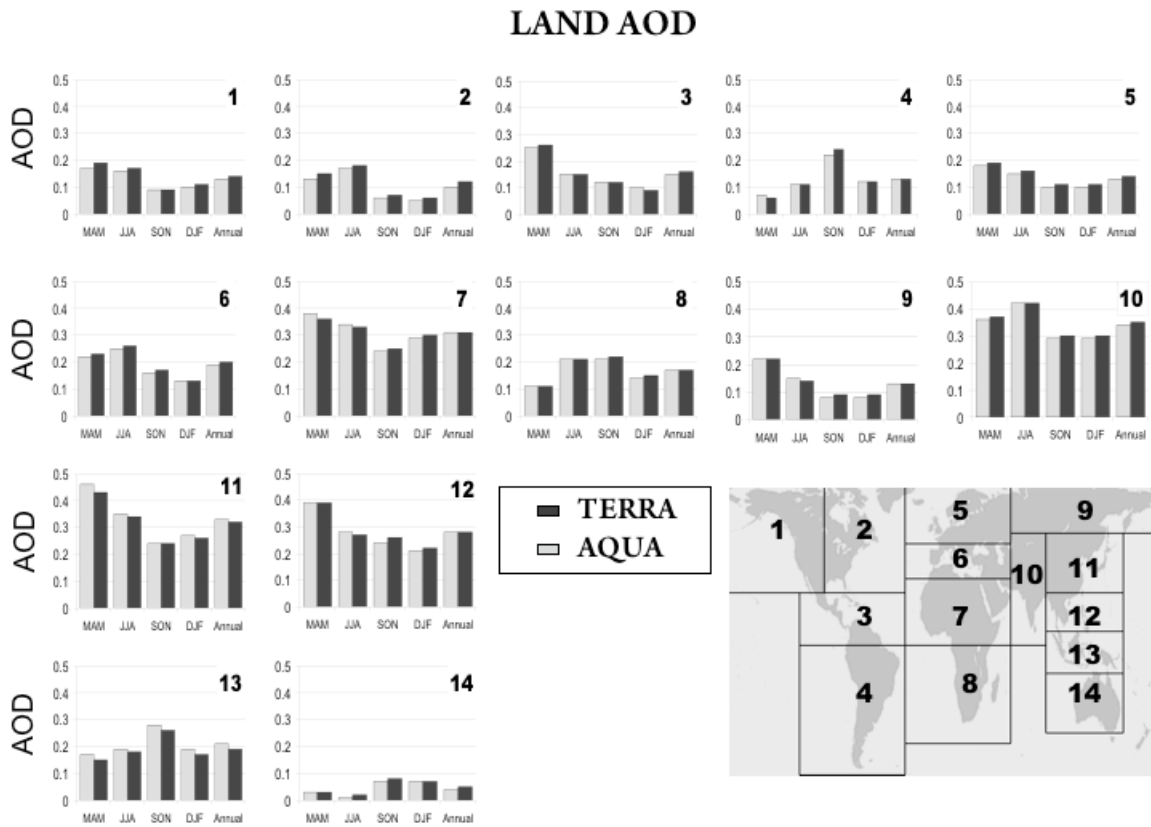
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Figure 10. Seasonal and annual mean AOD for 14 land regions defined at bottom right.

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Terra AOD shown by black columns and Aqua AOD is shown with gray columns. The

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column in the far right for each regional bar graph denotes the annual mean. The

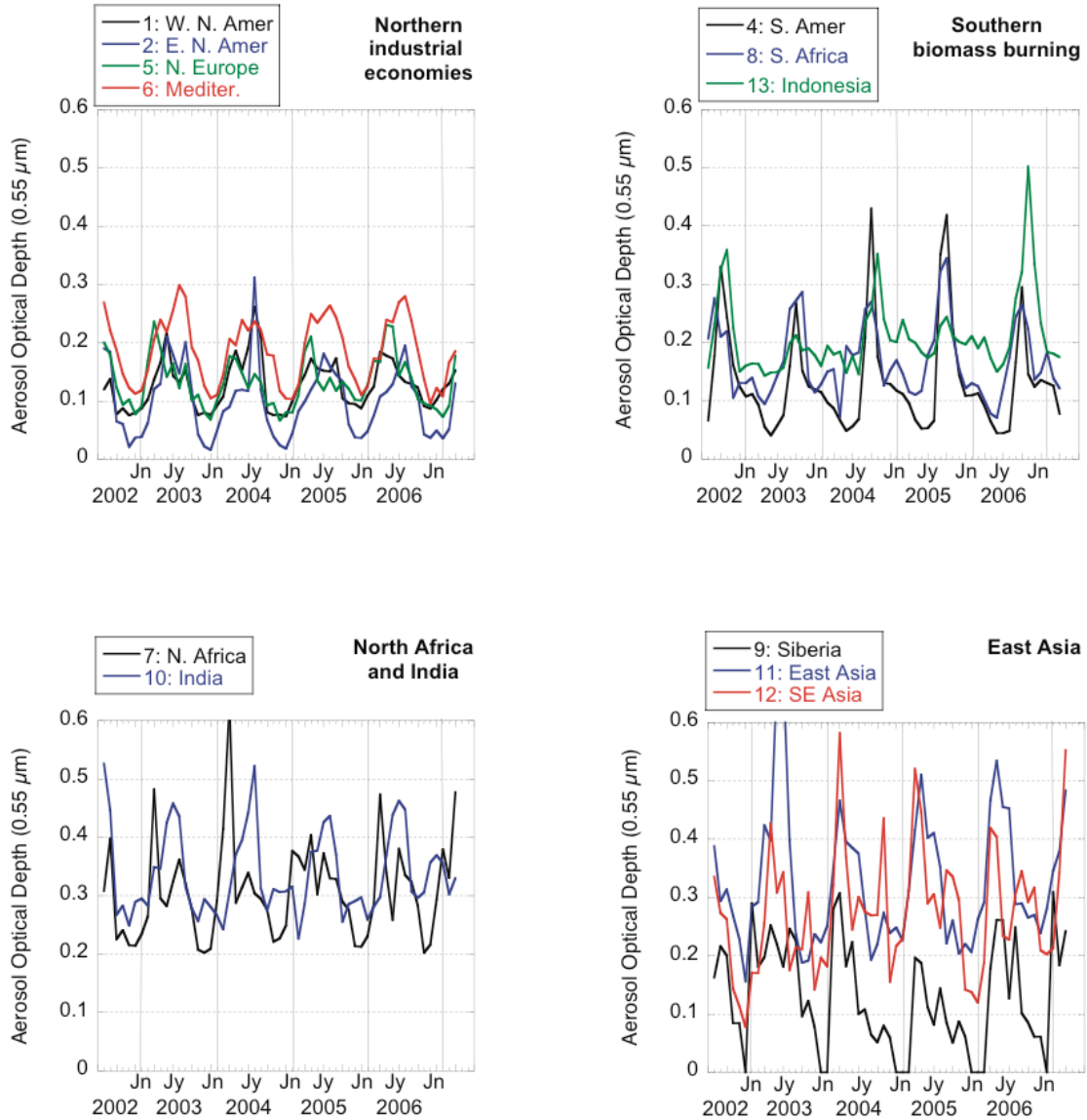
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seasonal means from left to right are MAM, JJA, SON and DJF.

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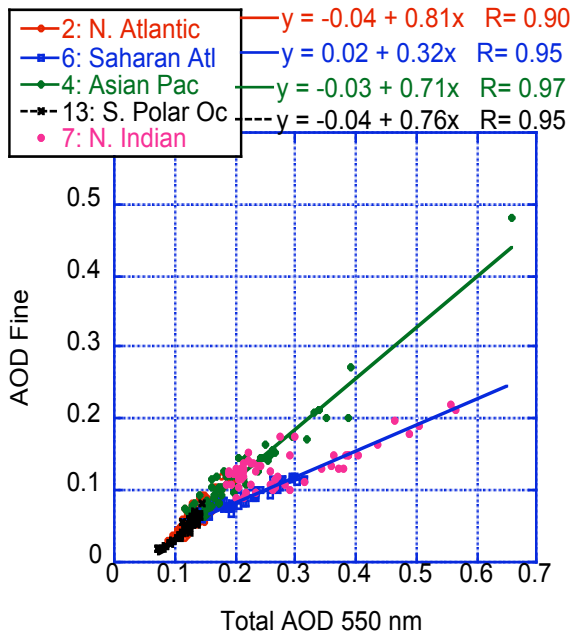
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Figure 11. Time series of Aqua regional monthly mean aerosol optical depth (AOD) at 550 nm calculated from daily 1° x 1° latitude-longitude MODIS aerosol products weighted by the number of L2 retrievals in the grid square. Regions are defined in Figure 10. Terra regional monthly mean AOD follow similar seasonal patterns as Aqua and are not shown.

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Figure 12. Aqua monthly and regional mean Fine AOD over ocean plotted against monthly and regional mean Total AOD for five selected ocean regions. Regression lines and correlations are calculated and displayed. Regions fall into two classes defined by the slope of this regression. Most regions have slopes in the 0.7 to 0.8 range, as demonstrated by Region 4 (NW Pacific) and denoted by the green line. However, Region 6 (N. Tropical Atlantic) has a slope of 0.32 and is denoted by the blue line. Region 7 (North Indian Ocean) has a seasonal shift with the months of October through March following the green line and months April through September following the blue line.