Chapter 3: Performance - Sustained System Performance for HPC Systems - The SSP Method

3.1 Chapter Summary

The class of performance evaluation factors is clearly important as indicated in the analysis described in Chapter 2. This chapter explains the Sustained Systems Performance (SSP) method, which provides a process for evaluating system performance across any timeframe. SSP is a simple but powerful method in the sense that it can be applied to any set of systems, any workload and/or benchmark suite and for any time period. SSP measures time to solution across different application areas and it can be used to evaluate absolute performance and performance relative to cost (in dollars, energy or other value propositions).

While the formula development in this chapter is meant to be complete, it should not be intimidating since the SSP method can be described in a straightforward explanation in Section 3.1.1 below.

3.1.1 The Basic SSP Concept

SSP uses one or more benchmarks to compare the performance of two or more systems using time to solution as the primary performance indicator. Each benchmark has one or more problem sets which, together with the benchmark, determine a unique test. Each test has a total operational count (Floating Point Operations – Flops from a single reference system - are used in this work, but other operations can be

used if appropriate) that can be determined with code profiling or static analysis. For each test on each system, a per processor performance rate is determined by measuring and/or projecting the time to completion of the test on the system. The perprocessor rate of each test is determined by dividing the total operation count by the runtime of the test and then again dividing the number of processors used in the test.

Once the per processor performance rate is determined for each test, a single per processor performance rate can be calculated with a composite function such as an arithmetic or geometric mean. To determine the Sustained System Performance of a system, the composite per processor rate is multiplied by the total number of computational processors in the entire system.

Systems may change in time due to upgrades and/or additions. Comparing two or more systems may also be complicated because technology may be introduced at different times. If one needs to compare systems that are available at different times, or will change over time, the SSP for each phase can be determined for each time period or phase. The SSP for each phase of a solution can be added together, essentially integrating the performance of the system(s) over some time period. This gives the potential of the system to handle the represented by the tests work over its targeted time period. Once the potential of the system is determined, it can be compared to cost functions such as initial hardware costs, total cost of ownership or energy usage, to determine the relative value of one solution for comparison with other solutions.

The end result is a method that assesses any system over any timeframe. The workload representation can be arbitrarily complex or simple and span any number of application domains. The systems can be compared for performance and/or price performance using SSP.

3.2 Introduction

Assessing performance of systems is a well studied field that stems from the earliest days of computers. The use of benchmarks to represent the work a system is expected to support is an accepted approach and the details of the many variants of benchmarking will not be repeated here. Instead the reader is pointed to many of the references listed.

One key feature, that is almost universally agreed upon is that the best way to assess how appropriate a system is at solving a problem is how long the system takes to solve a real problem. SSP is a unique method that uses any set of benchmarks and tests to evaluate performance, taking into account the fact systems and technology evolve over time. As shown in this chapter and the next, SSP can be implemented to measure and compare time to solution across different usage domains.

This chapter uses a running example to illustrate the definitions and formulas discussed below. The data for the example is similar to actual data provided in evaluating systems for purchase, but has been simplified. The next chapter has a more complete, almost real world, example of using the SSP method, with data refined from an actual procurement of systems, to illustrate the method in full.

For this simplified example, consider an evaluation of systems 1, 2, and 3, under consideration for purchase for a fixed amount of money. To understand the performance of these systems, the targeted workload is represented by three benchmarks; A, B, and C. The benchmarks may be industry standard tests, simple kernels, pseudo applications or full applications; it does not matter for the example. Each benchmark has a single problem data set associated with it that runs at a fixed concurrency (e.g. number of MPI tasks), but the concurrencies do not have to be the same across applications. Therefore, this example uses three tests.

3.3 Buying Technology at the Best Moment

Whenever someone buys technology based electronic components, the decision making process is influenced by Moore's Law⁷. This is true whether the technology is consumer electronics, personal computers or a supercomputer. The fundamental question for the purchaser is:

"If I wait a little bit longer, I can get a system with better performance for the same cost. Should I wait?"

This question becomes critical when selecting HPC systems due to high cost and long lead times of these very large systems. Determining the time to purchase a single system from a single vendor may be a simpler question because one only has to assess how long to wait and how much better the later system would be. However, just going to the local computer store shows the simple case never exists because different systems from different vendors with different options are available at different times. How does someone decide what to do?

The primary motivation of this chapter and the following one is to discuss how the Sustained System Performance (SSP) Test⁸ addresses the "when to buy" question as well as "what to buy" question. SSP does this by providing a quantitative assessment of measured performance over time. If the test components are properly chosen, SSP provides a good expectation of the on-going – or sustained – performance the system produces. Furthermore, SSP can be used to represent a complex workload with a metric that is meaningful to the users of the technology. The metric can be made arbitrarily complex or left simple, so it can represent a wide range of usage and circumstances.

While the SSP concept can be applied to almost any technology, we will focus here on how SSP can be used to evaluate HPC Systems. This chapter discusses the SSP approach and the methods used to calculate SSP. The next chapter will investigate the use of SSP in both theoretical analysis and in real world purchases.

3.4 Good Benchmark Tests Should Serve Four Purposes

Benchmark tests are approximations of the real work a computer system can accomplish. In other words, benchmark tests estimate the potential of computer systems to solve a set of problems.

Benchmark tests have four purposes, each one distinct. Benchmark tests are made up of computer programs and the input data sets that state a problem the program to solve. One set of computer code can exhibit different behavior based on the problem being solved and the parameters involved. Each purpose of the benchmark tests

influences the selection and the characteristics of the benchmarks as well. The four purposes of benchmarks are:

- 1. Evaluation and/or selection of a system from among its competitors.
- 2. Validating the selected system actually works the way it is expected to operate once a system is built and/or arrives at a site. This purpose may be more important than the first and is particularly key when systems are specified and selected based on performance projections rather than actual runs on the actual hardware.
- 3. Assuring the system performance stays as expected throughout the systems lifetime (e.g. after upgrades, changes, and regular use.)
- 4. Helping guide future system designs.

The sophistication of the approximation represented by the benchmarks depends on the fidelity needed to represent the true workload. Later in this chapter, there is a more complete discussion of the relationship between benchmark selection and their ability to represent a workload. Comparison measures can range from assessing the peak capability of the hardware to using many full application tests with a range of problem data sets. In between full applications and simple peak rates are simple performance probes (e.g., Linpack and GUPS), micro kernels (ping-ping, stream, Livermore Loops, etc.) and limited and pseudo applications (e.g. NAS Parallel Benchmarks⁹ - also known as the NPBs, SPEC¹⁰, etc).

Most reports in the literature discuss only the first of these four purposes benchmarks play in the life of a system. The majority of tests claim to do well on the

first goal and possibly one other of the goals, but few are effective in all. Take as an example the widely discussed LINPACK benchmark¹¹ that is used to determine in the HPC *Top 500 List*¹². Linpack¹³ is a single test that solves Ax=b with dense linear equations using Gaussian elimination with partial pivoting. For matrix A, that is size M x M, Linpack requires 2/3 M² + 2M² operations. The latest Linpack benchmark implementation, HPL, can run on any number of processors, but in order to provide enough work to each processor, the size of the A matrix has to increase, not only taking more memory, but increasing the wall clock time of the run. This is weak scaling.

Linpack is used to evaluate computer systems, as demonstrated by the Top 500 list, is occasionally used as a specification, thereby serving the first purpose of a benchmark. In a limited way, Linpack is used to validate whether a system meets expectations at time of arrival. The limited use of Linpack for this purpose is due to the fact that Linpack correlates very well with peak performance, but there are many applications whose performance does not correlate with Linpack. Further, running Linpack at scale takes a long time. Linpack also has little to add to future architectural improvements, except possibly as a regression test to insure architectures continue to do well with dense, cache friendly computations. Since Linpack only partially addresses purpose 1 and 2, and does not address 3 or 4, it is a less meaningful indicator of how well as system is able to process work.

3.5 Definitions for SSP

There are a few global definitions to resolve before proceeding.

Definition	Explanation
Definition CPU = core = processor	Explanation For the sake of simplicity, we will use the term <i>processor</i> or <i>CPU</i> as our concurrent element for now, where processor is identical to a single core for multi-core chips. Some processors are created with component "sub processors". For example take the case of the Cray X1/X1e. Most people use it as one high performance vector processor, called a <i>Multi-streaming Processor</i> (MSP) ¹⁴ . However, the MSP is made up of four <i>Single Stream Vector Processors</i> , each identical, that can be used in unison or independently. Hence, for this system, a CPU can be defined as either a Multi-streaming Processor or a Single Stream Vector Processor, as long as the analysis is consistent. Another architectural variation is a standard processor integrated with accelerators. An example of this is the IBM/Sony/Toshiba "Cell" processor introduced in 2005 ^{15,16} . The cell processor consists of a Power PC integrated on chip with eight <i>Synergistic Processing Elements</i> (SPEs). Each SPE can execute an independent instruction stream. Further, a Cell processor may be combined with a commodity processor such in the LANL "Roadrunner" system ¹⁷ which uses one AMD Opteron processor in conjunction with a Cell processor. In this case the integration is not necessarily on-chip. Other systems proposed integrating commodity processors with graphics processing units and/or
	FPGAs. In the cell case, there are several choices regarding the definition of CPU. One is to define the CPU as the integrated unit Power PC and the SPEs (the "cell"). This would be a homogenous unit. Alternatively, one could define multiple CPU types – the PPC, the SPE, and the non-embedded commodity process, providing a heterogeneous set of CPUs. The SSP methodology allows either definition as one CPU or as a
	number of independent CPUs. If the latter, then the system will be treated as a heterogeneous system. Being able to use the appropriate definition for a processing element and to allow a system to have different types of processing elements is important in making the evaluation method apply to a large range of applications.
Heterogeneous	A computing system with more than one type of processor architecture
System	and/or processor speed combinations available for computational work.
Homogeneous	A computing system with only one type of processor architecture/speed available for computational work.
System	Table 3-1: Sustained System Performance Definitions

Table 3-1: Sustained System Performance Definitions

3.6 Constants

The tables below have all the indices for each constant or variable. For the sake of simplicity, one or more indices may be omitted if it does not cause confusion for that part of the analysis.

Definition	Explanation
I	The number of different applications used in the evaluation.
J_{i}	The number of data sets each application executes. The number of problem
	data sets may be different for different applications. So J_i is the number of
	data sets for application i for $1 \le i \le I$. If all applications have the same
	number of data sets, then just J is used.
S	The number of systems in the evaluation.
K _s	The number of evaluation periods/phases for system s, where $1 \le s \le S$. K
	may be different for different systems. k_s is the k th phase of system s. K_s is the
	total number of phases for system s. $1 \le k_s \le K_s$
$A_{s,k}$	The number of different processor types in system s during phase k . An
	example of a system with different processors is the IBM/Sony/Toshiba Cell
	processor which may be considered to have two processors types. Another
	example could be a system with a mix of AMD and Intel processors. Later it
	will be used to index processor types, so $1 \le \alpha \le A_{s,k}$
$L_{s,k}$	The number of cost components for system s during each phase k . Cost
	components are used to develop a cost function that can later be used to
	determine the value of a system. For example, a system may have costs for
	hardware, software, maintenance, electrical power, etc. Not all costs apply to
	every phase, since some phases may involve only software improvements.

Table 3-2: SSP Definitions

3.7 Variables

Definition	Explanation	Units Generic [Used in this work]
$\mathbf{f}_{\mathbf{i},\mathbf{j}}$	The total reference operation count of application <i>i</i> executing data set <i>j</i> . The reference operation count is determined once for each application/data set combination. It can be determined by static analysis or by using hardware/software performance counters on a reference system. Examples of tests that have reference operation counts pre-defined are the NAS Parallel Benchmarks, LINPACK and the Livermore Loops. Using a single reference count for all systems tested results in an evaluation of time to solution being compared.	Operations [Flops, MIPs, Ops]

	It is recognized that executing application <i>i</i> with data set <i>j</i> on a given system may actually generate a higher or lower operation count on a given system. It may be appropriate that a specific application be used on one or more data sets in order to fully evaluate a system. The typical HPC measure is Floating Point operations (Flops). Other metrics may be the appropriate work output measure. (e.g. for climate it could be in simulated years).	[Simulated Years]
	The total amount of work operations may change for different concurrencies and on different systems. $f_{i,j}$ is the reference amount of work done on a chosen reference system and thus remains constant for the analysis for every i and j .	
$\mathbf{m}_{a,\mathbf{i},\mathbf{j}}$	The concurrency of application i executing data set j for processor type α . Often, the concurrency of an application/data set is the same as that used to set the reference operation count.	[Processors]
	It is important to note the concurrency element is not fundamental to the evaluation, but, being able to consistently determine the total number of concurrent elements for a test suite is important for overall performance and value assessment.	
	Initially, concurrency can be considered as the number of CPUs an application uses for a given data set <i>j</i> . The concurrency can be allowed to be different if the processors are dramatically different. For example, a Cray system might have both scalar and vector processors with a factor of 4 or difference in performance. It may be appropriate to adjust the concurrency due to the large difference in performance for the same data set.	
	If the same data set is used at different concurrencies across all systems, it is treated as a different data set so there is a one to one mapping of operations counts and concurrency (a new <i>j</i> so to speak). Likewise, if an application is used more than once with two different concurrencies, it can be considered another application.	
	For some analyses, it is possible to allow different concurrencies on each system s for a given <i>i,j</i> . The advantage is providing the opportunity to run an application of optimal scalability. While the SSP method works with this approach since per-processor performance can be calculated for each system, it typically adds complexity to use the same data to	

	understand individual application performance.	
	For systems where there is a choice of the defining CPU in different manners, such as with vector processors or Cell technology, concurrency is defined relative to the choice of the different CPU components.	
$a_{\alpha,i,j}$	The work done in each concurrent unit for application i for data set j on processor type α .	Ops/Concurrent Unit [Flops/Processor]
	Equation 3-1: Work per-processor	
	$\mathbf{a}_{lpha,\mathrm{i},\mathrm{j}} = rac{\mathbf{f}_{\mathrm{i},\mathrm{j}}}{\mathbf{m}_{lpha,\mathrm{i},\mathrm{j}}}$	
	Note that $a_{i,j}$ does not imply what $a'_{i,j}$ would be if the test were run with a different concurrency $m'_{i,j}$	
$t_{s,k,\alpha,i,j}$	The time to completion for application i running data set j on processor type α . There is timing and hence performance for each phase k of each system s for each processor type. Most references recommend wall clock time as the best time with good reasons, but others (user CPU time, overall CPU time) are frequently seen.	Time [seconds]
P s,k,α,i,j	The per processor performance of application i executing data set j on processor type α on system s during phase k .	Ops/(proc*sec) [Flops/sec/ processor]
	Equation 3-2: Per-processor performance $p_{s,k,\alpha,i,j} = \frac{a_{\alpha,i,j}}{t_{s,k,\alpha,i,j}} = \frac{f_{i,j}}{m_{\alpha,i,j} \times t_{s,k,\alpha,i,j}}$	
	Important Note Since $f_{\alpha,i,j}$ is a fixed value based on a reference system, as long as the concurrency $m_{\alpha,i,j}$ is held constant for all systems, the performance per processor for system s , running application i , with test case j , relies solely on the time it takes to solve the problem on system s . Hence, this is a comparison of time to solution for the application.	
Wi	The weight assigned to application i . Weights may be the proportion of time the application used in the past, or the amount of time or resources the corresponding science area is authorized to use. w_i values do not change for a given evaluation. If w_i is the same for all i , then the analysis is unweighted.	
	Later in this work there is a significant discussion on whether and when weights should be used.	

		T
	The SSP methodology accommodates either weighted or unweighted approaches.	
W	The one dimensional array of length I containing the weights w_i	
$P_{s,k,\alpha}$	An array of all $p_{s,k,\alpha,i,j}$ for a given phase k , processor type α and system s	
$P^{*}_{s,k,\alpha}$	$P^*_{s,k,\alpha}$ is a sub-set of $p_{s,k,\alpha,i,j}$ where $p_{s,k,\alpha,i,j}$ are selected by some criteria. For example, the criteria may use only the results from the largest data set runs.	
τ _{s,k}	The starting time of evaluation phase k for system s	Days, months, years, etc. [months]
τ ,	The start of the evaluation period. This can either be arbitrarily set or it can be set to the earliest period for any of the systems being evaluated.	Days, months, years [months]
τ _{eval}	The length of the evaluation period. τ_{eval} is set to be the same for all systems in a given evaluation.	Days, months, years [months]
τ _{max}	The end time for the evaluation period. $\tau_{max} = \tau_o + \tau_{eval}$	Days, months, years [months]
N _{s,k,α}	The number of computational processors of type α in system s during evaluation period k . $N_{s,k,\alpha} \ge m_{\alpha,i,j}$, In other words, there have to be enough processors of type α in the system to run application i executing data set j for processor type α .	
	A system may consist of different types of processors indicated as α . Systems that run parallel applications, frequently have $\alpha=1$ at least for the processors expected to do the computational workload. In this case, the α notation may be omitted. Such a system is called homogeneous. Alternatively, if $\alpha > 1$, the system is called heterogeneous.	
C _{s,k,ℓ}	The cost of factor ℓ for time phase k of system s , where $1 \le \ell \le L_{s,k}$.	Currency [Dollars]
	A cost factor may be the cost of hardware, the cost of software, the on-going costs of operating a system, etc. It is possible to evaluate cost in great detail and therefore have large numbers of cost factors, such as the cost and number of each memory DIMM and each CPU chips making $L_{s,k}$ very large. However, often system costs are presented as aggregated prices for a system. Indeed, most vendors make it difficult to know the true cost factors of a system. Again, SSP can accommodate any degree of detail	
Φ $(W,P_{s,k,\alpha})$	The composite per processor performance function for the set of weights W , and the set of	Ops/(proc*sec) [Flops/s/processor]

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	per processor performance P for performance phase k for processor type α on system s . This will be discussed in detail later.	
SSP _{s,k}	Sustained System Performance for system s for phase k .	Operations/time [Flops/sec]
	Equation 3-3: Sustained System Performance for system s during phase k	
	$SSP_{s,k} = \sum_{\alpha=1}^{A_{s,k}} \left(\Phi \left(W, P_{s,k,\alpha} \right) * N_{s,k,\alpha} \right)$	
Potency _s	The potential of a system <i>s</i> to produce useful work results over a period of time. Potency was chosen for this term since it means "capacity to be, become, or develop;" Equation 3-4: A system's potency is a reflection of its ability to do productive work.	Operations [Flops] Note: it is possible to consider Potency as a rate [Flops/sec]
	$Potency_{s} = \sum_{k=1}^{K_{s}} SSP_{s,k} * \left[min(\tau_{s,k+1}, \tau_{max}) - min(\tau_{s,k}, \tau_{max}) \right] \forall \tau_{s,k} \leq \tau_{max}$	multiplied by a time period [day, months, years].
	There will be more discussion of systems with phases in Chapter 4.	Hence Potency is can also be expressed more as integrated performance over time.
		[e.g. TFlops/sec*Years or GFlops/sec*Month s]
Costs	The cost of system s. Cost is composed of different components $c_{s,k,\ell}$. Equation 3-5: Costs are used for setting value of a solution	Currency [Dollars]
	$Cost_s = \sum_{k=1}^{K_s} \sum_{l=1}^{L_{s,k}} c_{s,k,l}$	
Value _s	The ratio of potency to cost for system <i>s</i> Equation 3-6: Value of a solution is its potency relative to its cost	Operations/Currenc y [Flops/\$]

$Value_s = \frac{Potency_s}{Cost_s}$	
Potency and Cost are influenced by the number of processors, $N_{s,k,\alpha}$, but the degree of influence cannot be assumed to be equivalent for many reasons including economies of scale and business strategies.	

Table 3-3: Formula's for determining the SSP.

3.8 Running Example Part 1 – Applications

Our running example has 3 benchmarks; A, B, and C, each with one problem set. Hence I = 3. Each benchmark uses only MPI so there is a mapping of one MPI task to one CPU. Since each benchmark has only one data set, J = 1, it is omitted for clarity. Three systems are evaluated, each with uniform processors, so S = 3. $\alpha = 1$ and is omitted for clarity.

Table 3-4 below summarizes the benchmarks' characteristics. The operation counts can be determined in a variety of ways, but most systems today have a utility to either count the number of operations for a problem run.

Application	Total	Concurrency,	Work done in
	Operation	m	each task, a.
	Count, f		
	GFlops	Processors	GFlops/processor
Α	549,291	384	1430
В	310,842	256	1214
С	3,143,019	2,048	1535

Table 3-4: This table shows the basic performance characteristics for the three benchmarks in our example

Before examining the proposals for the systems, it is possible to assume these benchmarks were run on a baseline system, such as NERSC's Power 3 system Seaborg. Table 3-5 shows the per processor performance of these runs.

Application	Wall Clock Runtime,	Per Processor
	t	Performance, p
	Seconds	Gflops/sec/processor
A	42,167	0.034
В	9,572	0.127
		`
C	12,697	0.121

Table 3-5: Baseline performance of benchmarks on an existing system.

3.9 Aligning the Timing of the Phases

Evaluations should have the same period of performance for all systems. Since systems have different timings for availability or delivery, aligning these periods is necessary for a fair comparison.

Additionally, for each system, there can be more than one phase of system evolution. A phase is characterized by the system having different components and/or additional components that make the potency different than the previous phase. The cost of each phase, as well as the potency maybe different as well. For the evaluation there is a period set, τ_{eval} to limit the length of the evaluation period. τ_{eval} is often related to how long the technology is to be used. NERSC uses 36 months and DOD-HPC Modernization program uses four years¹⁹.

Systems are unlikely to be delivered at exactly the same time and it is not equitable to use the individual system delivery times as the starting point since the price/performance ratio of a system delivered later is almost certainly less than one delivered earlier – all other things being equal. However, another consequence of later delivery is lost computing opportunities. A simple thought experiment shows why this is important. Suppose an organization has two choices: have a 100 teraflop/s system deployed in January 1, 2007 or a 500 teraflop/s system deployed in January 2, 2012. Assume they have the same real cost and assume sustained performance of the 2012 system is also five times that of the 2007 system. A valid question could be along the lines of "How long is it before the organization has the same potency as it will in April 1, 2013?" The answer is it takes 1.25 years of use of the 2012 system to provide the same potency as the 2007 system. The impact of choosing to wait for the system with the better price/performance ratio is the organization has no computing for 5 years at all, and then takes 1.25 years to make up the lost computing power.

So, are the two systems equal in value to the organization? It depends on the organizational goals and many factors such as whether the same number of people can gain the same insight in 25% of the wall clock time and whether there are opportunities lost by having no computing for 5 years. What is clear is, the phase boundaries have to be adjusted for each system in the evaluation in order to fairly represent the value of different solutions. The adjustments that have to be made are straightforward. Algorithms for the adjustments are shown in the Table 3-3: Formula's for determining the SSP.

First, the system with the earliest delivery date is identified, which sets the starting point, τ_0 to be beginning of the evaluation period. It may be that the organization needs a system by a certain time, so the evaluation period has to start no later than a given deadline. In this case, τ_0 can set to the earliest of the First System Arrival/"No Later Than" deployment time set by the evaluators – whichever is earliest.

Not only can different systems arrive for use at different times, it may be that the best overall solution is to have systems evolve through time, based on optimizing different price points. A way of describing the timing of each phase a system goes through, which is $\tau_{s,k}$ is needed. For each system s, there will be one or more stages, indicated by k.

Because solutions cannot wait indefinitely for the ending time τ_{max} , the evaluation must be set by the evaluators, and is specified as $\tau_{max} = \tau_o + \tau_{eval}$. Once τ_o and τ_{max} are determined, all the systems solutions being evaluated need to be adjusted to that interval. This is done by adjusting the starting period of all the systems to τ_o , and assigning the performance and cost for a system during this period to be 0. Likewise, for the systems whose delivery would take them past τ_{max} , no performance or cost is counted for that system.

Figure 3-1 and Figure 3-2 show the impact of these adjustments. Figure 3-1 shows two systems being evaluated. System 1 arrives and is deployable before System 2 and has a single phase. System 2 arrives and is deployed after System 1 and has an improvement part way through the evaluation process, triggering the second phase.

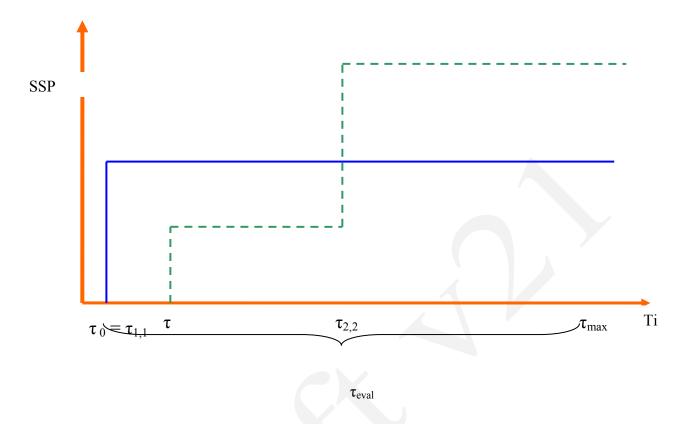


Figure 3-1: The proposed deployment time and SSP of two systems.

Assuming System 1 is deployed before any time limitation such as τ_{NLT} , the deployment of System 1 defines τ_o for both systems. Since System 2 arrives after τ_o , the performance and cost for System 2 is set to 0 until it arrives. The end of the evaluation period is also set based on System 1 arrival/deployment time. After these adjustments are used the evaluation periods are shown in Figure 3-2.

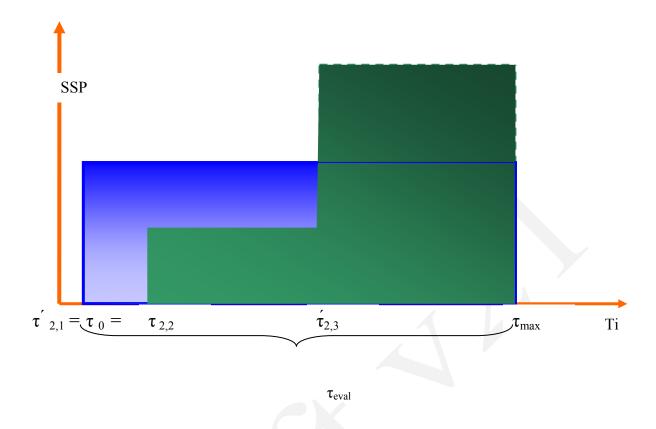


Figure 3-2: SSP performance chart after periods are aligned. For clarity τ2,k replaces τ2,k

3.10 Running Example Part 2 – Systems

Our running example assumes three different systems are being evaluated. System 1 has a high per processor performance, but each processor is relatively expensive. Because it is under development, it can only be delivered 9 months after System 2. System 2 is a system that is currently available for immediate delivery and consists of processors that are modest in performance, but are also relatively inexpensive. System 3 is a system that has per processor performance that is close to System 2. While part of the system can be delivered immediately, ¾ of the system is delayed by 4 months due to manufacturing backlog. Furthermore, the first ¼ of System 3 will perform 20% slower until the rest of system is delivered. System 3's per processor cost is lower than either System 1 or System 2.

For simplicity, assume other aspects of the systems are identical except for the cost. Note the "cost" can be any calculation – from only initial hardware cost to complete total cost of ownership. The costs in the example approximate 6 years Total Cost of Ownership for this scale system.

Table 3-6 indicates the system parameters for the running example. The time period of the evaluation is set at 36 months – a typical time period during which large systems have the most impact.

System	Delivery	Number of	Cost
	Delay Months	Compute Processors	Dollars
System 1	9	9,000	\$59,000,000
System 2	0	10,000	\$68,000,000
System 3			\$57,000,000
- Phase 1	0	3,500	
- Phase 2	6	14,000	

Table 3-6: Specifications of solutions being considered

From this information one cannot determine the solution that is the best value. The benchmark runtimes for the three systems is shown in Table 3-7, and the resulting per processor performance in Table 3-8.

Runtimes in seconds of	A	В	С
Benchmarks on each			
System			

System 1	3810	1795	2303
System 2	3598	2010	3170
System 3			
- Phase 1	4930	2622	2869
- Phase 2	4103	2185	2391

Table 3-7: Benchmark Runtimes in Seconds for Three Systems

Per Processor Performance in	A	В	С
GFlops/s of Benchmarks on			
each System	CX		
System 1	.375	.676	.666
System 2	.398	.604	.484
System 3			
- Phase 1	.290	.463	.535
- Phase 2	.348	.556	.642

Table 3-8: Per processor performance of three benchmarks

3.11 The Composite Performance Function $\Phi(W,P)$

The composite performance function can be chosen in different ways. There are many possible functions, depending on the data and goals. Several may be appropriate for a given evaluation. Which functions are appropriate for different

performance measures is an on-going discussion and is covered in [Bucher and Martin87]²⁰, [Flemming and Wallace86]²¹, [Smith88]²², [Lilja2000]²³, [Hennessey and Patterson]²⁴, [John and Eeckhout]²⁵, [Helin and Kaski]²⁶, and [Mashey2004]²⁷. The SSP method can use any appropriate composite. Hence, this section does not try to do an exhaustive study of all possible functions, but rather is a general discussion of several likely functions and how to implement them.

Recall w_i and $P_{s,k,\alpha}$ as defined above. Some of the more typical composite functions are Arithmetic Mean, Harmonic Mean and Geometric Mean – all of which can use either weighted or unweighted data. More advanced statistical functions could be used such as the t test or an Analysis of Variance²⁸.

Equation 3-7, Equation 3-8, and Equation 3-9 show the implementation of the three more common means. If $w_i = 1$ for all i, then the means are unweighted.

$$\Phi_{wAM} = \frac{\sum_{i=1}^{I} w_i \sum_{j=1}^{J_i} p_{i,j}}{\sum_{i=1}^{I} w_i}$$

Equation 3-7: Weighted Arithmetic Mean

$$\Phi_{wHM} = \frac{\sum_{i=1}^{I} \sum_{j=1}^{J_i} w_i}{\sum_{i=1}^{I} \sum_{j=1}^{J_i} \frac{w_i}{p_{i,j}}}$$

Equation 3-8: Weighted Harmonic Mean

$$\Phi_{wGM} = \left(\prod_{i=1}^{I} \prod_{j=1}^{J_i} \left(p_{i,j}^{w_i}\right)\right)^{\left(\frac{1}{\sum_{i=1}^{I} \sum_{j=1}^{J_i} w_i}\right)}$$

Equation 3-9: Weighted Geometric Mean

3.12 The Only Real Metric – How Long Does a System Take to Solve a Problem

The number of operations a computer uses to solve a given problem varies dramatically based on the computer architecture, its implementation, and the algorithm used to solve the problem. While this has been the case from the dawn of computing, the problem of deciphering how much work is done by different systems has gotten harder with time. Early performance results on distributed memory computers were so notorious for providing misleading information that it prompted Dr. David Bailey to publish his *Twelve Ways to Fool the Masses When Giving Performance Results on Parallel Computers*²⁹ paper in 1994. In this paper, 7 of the 12 ways (ways 2, 3, 4, 6, 8, 9, and 10) relate to using measurements that are misleading for comparisons that vary depending on the computer system being used or doing a subset of the problem. New processors and increasing scale compound this problem by causing more and more basic computer operations to be done for a given amount of usable work. Different algorithms, programming languages and compilers all influence performance in addition to the computer architecture and implementation.³⁰

Many performance evaluators recognize that Time To Solution is the best – maybe only – meaningful measure of the potential a computer provides to address a problem. If the system is to be used for a single application, assessing time to solution

is relatively straight forward. One takes an application, tests the systems with one or more problem sets and compares the time it takes for the application to solve the problem. An example of this approach is a metric commonly used by the climate modeling community which is the number of simulated years per unit of wall clock time. Weather forecasting has similar metrics – the wall clock time it takes to produce a given forecast. Chemical and materials simulations could have a similar measure – the time it takes to simulate a compound with a fixed number of atoms, for example. In these cases, as long as the algorithm and problem (the same resolution, the same precision of modeling physical processes, etc.) remains unchanged, there is a fair comparison based on time to solutions.

A more challenging, but more commonly occurring situation is when computer systems are evaluated for use with different applications and/or domains because there is no common unit of work that can be used for comparison. That is, it is not meaningful, for example to compare the number of years a climate simulation produces in a given wall clock time to the size of a protein that is folded in a chemical application. Similarly, if the problems or physics the algorithms represent change within an application area, the comparison of the amount work done is not clear cut. Finally, if the implementation of an application has to fundamentally change for a computer architecture the number of operations may dramatically different.

It is common, therefore, for performance evaluators to use the number of instructions executed per second (also known as operations per second) or other less

meaningful measures methods (e.g. peak performance, Top-500 lists, etc.), This approach leads to easily misunderstanding comparative results.

SSP solves this problem since the operation count used in the calculation of SSP is fixed once for the benchmark test and is based on the problem solution executing on a reference (presumably efficient) system. If the problem is also fixed, the only invariant is the time the test takes to run to solution on each system.

3.12.1 Comparison Based on Time to Solution

To show SSP is a measure of time to solution if the operation count is based on a reference count, consider the following. For each combination of an application and problem set, i,j, the number of operations $f_{i,j}$ is fixed as is the concurrency, $m_{i,j}$. As shown above,

$$p_{s,i,j} = \frac{f_{i,j}}{m_{i,j} * t_{s,i,j}} = \frac{f_{i,j}}{m_{i,j}} * \frac{1}{t_{s,i,j}}$$

Equation 3-10: The per processor performance for a test depends on the time to complete that test

For simplicity, but without loss of generality, assume an unweighted composite function. Again for simplicity, use the standard mean and assume all the processors in a system are homogeneous and there is a single phase. The per processor performance can be expressed as

$$P_{s} = \frac{(\sum_{i=1}^{I} \sum_{j=1}^{J} \frac{f_{i,j}}{m_{i,j}} * \frac{1}{t_{i,j}})}{I} = \frac{(\sum_{i=1}^{I} \sum_{j=1}^{J} \frac{f_{i,j}}{m_{i,j}})}{I} * \frac{(\sum_{i=1}^{I} \sum_{j=1}^{J} \frac{1}{t_{i,j}})}{I}$$

Equation 3-11: Per processor performance for a system depends on time to solution

The equation of SSP performance between two system, *s* and *s'* with the same number of computer processors, N, can be expressed as follows:

$$\frac{SSP_{s}}{SSP_{s'}} = \frac{N*\sum \frac{f_{i,j}}{m_{i,j}}*\frac{1}{t_{s,i,j}})/I}{N*\sum \frac{f_{i,j}}{m_{i,j}}*\frac{1}{t_{s',i,j}})/I} = \frac{\left(\sum \frac{f_{i,j}}{m_{i,j}}/I}{\left(\sum \frac{f_{i,j}}{m_{i,j}}/I}*\frac{\left(\sum \frac{1}{t_{s,i,j}}\right)/I}{\left(\sum \frac{1}{t_{s',i,j}}\right)/I} = N*\sum \frac{t_{s',i,j}}{t_{s',i,j}}$$

Equation 3-12: Comparing SSP values is equivalent to comparing time to solution

Hence, SSP compares the sum of the time to solution for the tests. From this, it is clear that if the number of processors is different for the two systems, then the SSP is a function of the times to solution and the number of processors. It the systems have multiple phases, the SSP comparison is dependent on the time to solutions for the test, the number of processors for each phase and the start time and duration for each phase. This can be further extended for heterogeneous processors and/or for different composite functions without perturbing the important underlying fact the SSP compares time to solution.

3.13 Attributes of Good Metrics

There are benefits of using different composite methods, based on the data. The approach of using the harmonic mean was outlined in a 1982 Los Alamos technical W. Kramer Draft Dissertation

report³¹ [Bucher and Martin1982]. It should be noted that at the time, the workload under study was a classified workload with a limited number of applications. In fact, the authors dealt with "five large codes". The paper outlines the following process.

- Workload Characterization: Conduct a workload characterization study using accounting records to understand the type and distribution of jobs run on your systems.
- 2. Representative Subset: Select a subset of programs in the total workload that represent classes of applications fairly and understand their characteristics. This included the CPU time used, the amount of vectorization, the rate of Floating Point Operation execution and I/O requirements.
- 3. Weighing the influence of the Applications: Assign weights according to usage of CPU time of those programs on the system.
- 4. Application Kernels: Designate portions (kernels) of the selected programs to represent them. These kernels should represent key critical areas of the applications that dominate the runtime of the applications.
- 5. Collect Timing: Time the kernels on the system under test using wall clock time.
- 6. Compute a Metric: Compute the weighted harmonic mean of kernel execution rates to normalize the theoretical performance of the system to a performance that would likely be delivered in practice on the computing center's mix of applications.

Bucher and Martin were focused on the evaluation of single processors – which was the norm at the time. As stated, the implementation of this methodology suffers from some pragmatic problems:

- 1. It is difficult to collect an accurate workload characterization given that many tools for collecting such information can affect code performance and even the names of the codes can provide little insight into their function or construction (the most popular code, for instance, is 'a.out').
- 2. Most HPC Centers support a broad spectrum of users and applications. The resulting workload is too diverse to be represented by a small subset of simplified kernels. For example, at NERSC, there are on the order of 500 different applications used by the 300-350 projects every year.
- 3. The complexity of many supercomputing codes has increased dramatically over the years. The result is that extracting a kernel is an enormous software engineering effort and maybe enormously difficult. Furthermore, most HPC codes are made up of combinations of fundamental algorithms rather than a single algorithm.
- 4. The weighted harmonic mean of execution presumes the applications are either serial (as was the case when the report was first written) or they are run in parallel at same level of concurrency. However, applications are typically executed at different scales on the system and the scale is primarily governed by the science requirements of the code and the problem data set.
- 5. This metric does not take into account other issues that play an equally important role in decisions such as the effectiveness of the system resource

management, consistency of service, or the reliability/fault-tolerance of the system. The metric also is not accurate in judging heterogeneous processing power within the same system – something that may be very important in the future.

John and Eeckhout³² indicate the overall computational rate of a system can be represented as the arithmetic mean of the computational rates of individual benchmarks if the benchmarks do not have an equal number of operations. Nevertheless, there are attributes of making a good choice of a composite function. Combining the criteria from [Smith1988]³³ and [Lilja2000]³⁴ provides the following list of good attributes.

- <u>Proportionality</u> a linear relationship between the metric used to estimate performance and the actual performance. In other words, if the metric increases by 20%, then the real performance of the system should be expected to increase by a similar proportion.
 - A scalar performance measure for a set of benchmarks expressed in units of time should be directly proportional to the total time consumed by the benchmarks.
 - A scalar performance measure for a set of benchmarks expressed as a rate should be inversely proportional to the total time consumed by the benchmarks.

- Reliability means if the metric shows System A is faster than System B, it
 would be expected that System A outperforms System B in a real workload
 represented by the metric.
- <u>Consistency</u> so that the definition of the metric is the same across all systems and configurations.
- <u>Independence</u> so the metric is not influenced by outside factors such as a vendor putting in special instructions that just impact the metric and not the workload.
- <u>Ease of use</u> so the metric can be used by more people.
- Repeatability meaning that running the test for the metric multiple times should produce close to the same result.

SSP reflects these attributes. There has been a series of papers debating which mean is most appropriate for performance evaluations for at least 16 years. In fact there have been disagreements in the literature about the use of the geometric mean as an appropriate measure. Note that the SSP method allows any mean, or other composite function, to be used equally well in the calculation and different means are appropriate for different studies. Hence, this section discusses the attributes of different means and the summary of the papers, but does not draw a single recommendation. That depends on the data and the goal of the study.

The arithmetic mean is best used when performance is expressed in units of time such as seconds and is not recommended when performance is expressed as performance ratios, speedups [Smith1988] or normalized values [Flemming and Wallace1986]. The arithmetic mean alone may be inaccurate if the performance data W. Kramer Draft Dissertation

has one or more values that are far from the mean (outlier). In that case, the arithmetic mean together with the standard deviation or a confidence interval is necessary to accurately represent the best metric. [John2004]³⁵ concludes the weighted arithmetic mean is appropriate for comparing execution time expressed as speedups for individual benchmarks, with the weights being the execution times.

The harmonic mean is less susceptible to large outliers and is appropriate when the performance data is represented as rates. The unweighted harmonic mean for a system phase can be expressed as total operation count for all benchmarks divided by the total time of all benchmarks as shown in Equation 3-8.

Use of geometric means as a metric is not quite as settled. [Smith1988] says it should never be used a metric, while [Flemming and Wallace1986] indicates it is the appropriate mean for normalized numbers regardless of how they were normalized. They also note it addresses the issue of data that has a small number of large outliers. This paper also points out the geometric means can be used for numbers that are not normalized initially, but when the resulting means are then normalized to draw further insight.

[Mashey2004] examines the dispute and identifies that there are reasons to use all three means in different circumstances. Much of the previous work assumed some type of random sampling from the workload in selecting the benchmarks. This paper notes that geometric means have been used in many common benchmark suites such as the Livermore FORTRAN Kernels³⁶ and the Digital Review CPU 2³⁷ benchmarks. This paper organizes benchmark studies into three categories, and each has its

appropriate methods and metrics. The first and most formal category is WCA (Workload Characterization Analysis), which is a statistical study of all the applications in a workload, including their frequency of invocation and their performance. WCA is equivalent to the methodology outlined in Bucher and Martin. This type of analysis provides a statistically valid random sampling of the workload. Of course, WCA takes a lot of effort and is rarely done for complex workloads. WCA also cannot be done with standard benchmark suites such as NPB or SPEC. While such suites may be related to a particular workload, by their definition, they cannot be random samples of a workload.

The Workload Analysis with Weights (WAW) is possible after extensive WCA because it requires knowledge of the workload population. It can predict workload behavior under varying circumstances.

The other type of analysis in [Mashey2004] is the SERPOP (Sample Estimation of Relative Performance of Programs) method. In this category, a sample of a workload is selected to represent a workload. However, the sample is not random and cannot be considered a statistical sample. SERPOP methods occur frequently in performance analysis, and many common benchmark suites including SPEC, NPB as well as many acquisition test suites fit this classification. In SERPOP analysis, the workload should be related to SERPOP tests, but SERPOP does not indicate at all the frequency of usage or other characteristics of the workload.

The impact of the assumptions in early papers (fixed time periods, random samples, etc.) that discuss the types of means are not valid for SERPOP analysis.

Because of this, the geometric mean has several attributes that are appropriate for SERPOP analysis. In particular, [Mashey2004] concludes geometric means are appropriate to use for ratios since taking ratios converts unknown runtime distributions to log-normal distributions. Furthermore, geometric means are the appropriate mean for SERPOP analysis without ratios when there are many reasons the distribution of a workload population is better modeled by a log-normal distribution.

3.13.1 Running Example Part 3 – Holistic Analysis

For our running example, the arithmetic mean will be used to calculate the SSP and Solution Potential.

System	Average Per	System SSP	Solution	Solution
Evaluation	Processor	using the	Potential	Value
using SSP	Performance GFlops/s	mean of the three benchmarks GFlops/sec * Months	GFlops/s * Months	GFlops/s- Months/ Million \$
System 1	.573	5,155	139,180	2,359
System 2	.495	4,953	178,294	2,622
System 3			225,426	3,955

- Phase 1	.429	1,503	9,017	
- Phase 2	.515	7,214	216,426	

Table 3-9: Per processor performance of three benchmarks

While System 1 has the highest per processor performance, because it is delivered quite a bit later than the other systems, it has the lowest potential and value. System 2, even though it is delivered at full scale earliest, has the middle value. System 3, with two phases clearly has the highest value for the evaluation using these benchmarks.

3.14 Chapter Conclusion

The SSP method is flexible and comprehensive, yet is an easy concept to understand. It uses the most meaningful measure for computer users to make its comparisons – time to solution. The method works with any set of performance tests and for both homogeneous and heterogeneous systems. The benchmarks can have as many data sets as needed and be scaled to the desired degree of precision and effort.

Chapter 4: Practical Use of Sustained System Performance for HPC Systems - How SPP works in Evaluation and Selection

4.1 Chapter Summary

This chapter provides a number of examples using the SSP method to evaluate and vet large systems. It traces the evolution of the SSP method over a 10 year effort as it became more sophisticated and effective. Large HPC systems are complex and evaluated/purchased only once every three to five years. Hence 10 years gave the opportunity to have and assess four generations of SSP. As part of the observations of SSP, it can be seen that the SSP method gives both the purchaser and the supplier of systems protection. The supplier has freedom to adjust the schedule of deliverables and the purchaser is protected by a guarantee of a fixed amount of performance delivered in a certain time period. The degree of adjustments can be constrained as well, so it is possible to arrange incentives for early delivery or delivery of more effective systems.

4.2 Review of Chapter 3

Chapter 3 discusses the SSP method for overall performance assessment that is one method to evaluate the Performance of a system. While SSP is not the only measure used to assess a system's potential to solve a set of problems, it is one of the few that, if properly constructed, can be used for all four purposes of benchmarks. Section 3.8 discussed a simplified example of a problem. This chapter takes the SSP approach from the previous chapter and examines the use of SSP in different real world evaluations and selection issues in a variety of circumstances.

4.3 A Real World Problem, Once Removed

It is not possible to disclose the details of actual procurement submissions or evaluations since the information is provided by vendors to the requesting organization for evaluation and selection and is considered proprietary. However, it is possible to craft a summary that is based on real world information from such a procurement that is sufficient to properly illustrate the use of SSP.

Imagine an organization evaluating large scale systems for a science or engineering workload. The organization determines functional and performance requirements and creates a benchmark suite of applications and other tests. It then solicits and receives information from potential vendors as to how well their technology meets the requirements and performs according to the benchmarks. In many cases the response is a combination of actual measurements and projections. For simplicity, assume the only distinguishing characteristics under evaluation are the specified benchmark performance on a per processor basis shown in Table 4-1. They are the set of $p_{s,s,k,\alpha,i,j}$ that was defined in Table 3-2: SSP Definitions

There are five proposed systems (S= 5). Five application benchmarks are used to calculate the SSP, so I=5. While the applications run at medium to high concurrency, they operate at different concurrencies. Each application has been profiled on a reference system so its operation count is known for particular problem sets. In this case, each application has one problem, so $J_i=1$ for this discussion. Further, assume these systems are composed of homogeneous processors so $\alpha=1$, so it, too, is set to 1.

As defined in Table 3-3, in order to calculate the per processor rate of the applications, $p_{s,k,1,i,1}$, the total operation count of the benchmark is divided by the concurrency of the benchmark to give the average per processor operation count and then divided again by the wall-clock runtime of the benchmark. Four of the five systems proposed had phased technology introduction, with each of these having K_s =2.

The cost data includes basic hardware and software system costs and the operating and maintenance costs for three years from the delivery of the earliest system. In order to protect the proprietary information provided by vendors, the cost data is expressed relative to the lowest cost proposed. Delivery times all are relative to the earliest system delivery and set to the number of months after the system with the earliest delivery time

		System 1	System 2	System 3	System 4	System 5
Phase 1						
Application Benchmark 1	GFlops/sec per Processor	0.31	0.20	0.74	N/A	0.22
Application Benchmark 2	GFlops/sec per Processor	0.40	0.30	1.31	N/A	0.06
Application Benchmark 3	GFlops/sec per Processor	1.35	0.17	0.64	N/A	1.19
Application Benchmark 4	GFlops/sec per Processor	1.00	2.34	5.99	N/A	1.12
Application Benchmark 5	GFlops/sec per Processor	0.49	0.51	1.02	N/A	0.45
Delivery	Months after earliest delivery	3	0	6	N/A	0
Number of Processors		768	512	512	N/A	512
Phase 2						
Application Benchmark 1	GFlops/sec per Processor	0.31	0.19	0.74	0.10	0.22
Application Benchmark 2	GFlops/sec per Processor	0.40	0.34	1.31	0.30	0.06
Application Benchmark 3	GFlops/sec per Processor	1.35	0.16	0.64	0.39	1.19
Application Benchmark 4	GFlops/sec per Processor	1.00	1.54	5.99	0.92	1.12
Application Benchmark 5	GFlops/sec per Processor	0.49	0.26	1.02	0.14	0.45
Delivery	Months after earliest delivery	12	22	18	3	6
Number of Processors		1536	1024	1408	5120	2048
Cost Factor	Relative cost among proposals	1.65	1.27	1.27	1.16	1.00

Table 4-1: Per processor performance, p, for each system, phase and benchmark for a hypothetical system purchase. These responses are anonymized and adjusted from actual vendor responses for major procurements. Systems 1, 2, 3, and 5 are proposed to be delivered in two phases. System 4 is a single delivery. The per-processor performance of five application benchmarks is shown. The systems would be delivered at different times. The table shows the delivery date relative to the earliest system.

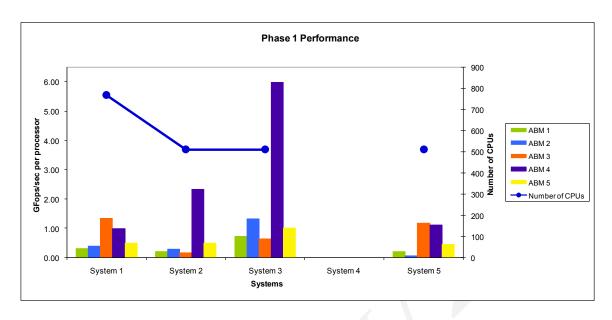


Figure 4-1: System parameters for Phases 1. Note System 4 is a single phase and it shown in the Phase 2 chart.

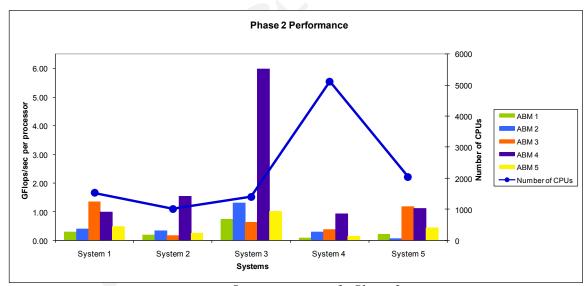


Figure 4-2: System parameters for Phases 2.

Figure 4-1 and Figure 4-2 show the same data as in Table 4-1, but in graphical form. The challenge of an organization is to use this data to decide which system is the best value for the organization's mission and workload. As can be seen in Figure 4-1 and Figure 4-2, the answer of which option provides the system with the best W. Kramer Draft Dissertation 68

value is not obvious from the benchmark performance alone. Without understanding the number of CPUs in different systems, the timing of different phases and the cost of the different systems, an evaluation runs the risk of not picking best value system.

4.4 Different Composite Functions

As discussed in Chapter 3, different composite functions can be used for SSP calculations – including all three means. The best composite function to use depends on the data and the evaluation goals. Table 4-2 shows using geometric and arithmetic means and the impact they have on SSP Potency and value. Notice that the ordering of the system value is the same regardless of whether the arithmetic or geometric mean is used. For the rest of this example case, the unweighted geometric mean will be used for the composite function since the applications were selected are a non-random sample, so this is a SERPOP analysis as discussed in Section 3.13 above.

		System 1	System 2	System 3	System 4	System 5
Potency _s - Geometric Mean	GFlops GFlops/sec*Mont hs	26,486	9,474	41,074	45,959	24,587
Value _s - Geometric Mean	Normalized (GFlops/sec*Mon ths)/\$	564	271	1450	1201	781
Potency _s - Arithmetic Mean	GFlops GFlops/sec*Mont hs	31,037	15,081	61,077	62,570	39,236
Value _s - Arithmetic Mean	Normalized (GFlops/sec*Mon ths)/\$	661	403	2,156	1,635	1,246
Ratio of Arithmetic vs. Geometric		1.17	1.49	1.49	1.36	1.60

Table 4-2: SSP Performance results using geometric and arithmetic means, and the impact on SSP Potency and Value.

4.5 Impact of Different Means

The relationship of means is $HM \le GM \le AM^{38}$. Comparing the results of arithmetic mean and geometric mean show there are differences in the expected performance of the system. Note the ratio of performance between systems is not equal between means but in every case, the geometric mean is lower than the arithmetic. Furthermore, using the arithmetic mean, the order of best to worst price performance is Systems 3, 4, 5, 1 and 2. Using the geometric mean, the order is 3, 4, 5, 1 and 2. So the ordering of the system is preserved regardless of the mean used in this situation. In another example, running the SSP-4 test suite (discussed in detail later in this chapter) on different technology systems at Lawrence Berkeley National Laboratory (LBNL) (systems named *Seaborg*, *Bassi* and *Jacquard*) and Lawrence Livermore National Laboratory (LLNL) (system name *Thunder*) using the arithmetic, harmonic and geometric means changes the absolute value of the SSP, but does not change the order of performance estimates.

	Seaborg	Bassi	Jacquard	Thunder
	(LBNL)	(LBNL)	(LBNL)	Cluster
				(LLNL)
Computational	6224	888	4096	640
Processors				
Arithmetic	1,445	1,374	689	2,270
SSP-4				
Geometric	902	835	471	1,637
SSP-4				
Harmonic	579	570	318	1,183
SSP-4				

Table 4-3: Another example of using different means that do not change the ordering of system performance

Since the ordering of the means is consistent, and the harmonic mean is less appropriate as a composite function for benchmarks that change their concurrency, the arithmetic or geometric means are used at NERSC and their affects are discussed in Sections 3.11 and 4.4. For the examples in Section 3.2, the arithmetic mean is used.

4.6 System Potency

Delivery of each system as in our example would occur at different times. Graphing the monthly values of the SSP for each system over time as shown in Figure 4-3 differentiates some of the systems. For example, System 2, despite having good per processor performance, had a low Potency since it has relatively few CPUs. To be the best value it would have to be 5 or 6 times less expensive than other alternatives. At the end of the evaluation period, System 3 provided the best sustained performance, followed by system 4. Yet, System 3 was upgraded after System 2 and 5, and System 4 had only a single phase so it is not clear from this graph which system has the most potency, let alone the best value.

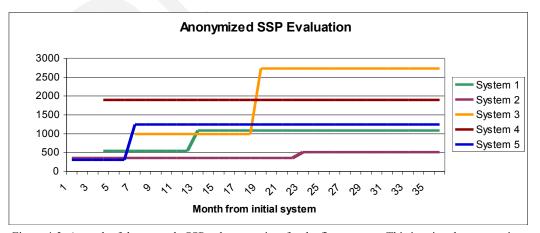


Figure 4-3: A graph of the example SSP value over time for the five systems. This is using the geometric mean as the composite function.

As a thought experiment, think about the situation where there are two systems, and System 1 is twice as fast as System 2. In other words, it has an $SSP_{1,k} = 2$ * $SSP_{2,k}$. Assume further, System 1 is also twice as expensive System 2. The first thing to recall is having twice the $SSP_{s,k}$, does not mean the system has twice the Potency. In order to have twice the Potency, the two systems have to be available at the exact same time. This may be case with a commodity such as at a local computer store but is highly unlikely for HPC systems. Nonetheless, if the two systems arrived at identical times, and the Potency of System 1 was twice that of System 2, and the cost of System 1 was twice that System 2, they would have the same value with regard to the SSP. Further, in most major system evaluations, there are multiple cost functions evaluated – for example the Initial System Cost and the Total Cost of Ownership. Having all the cost functions identical is also unlikely.

But even if the Value of the two systems is exactly identical, that only reflects the performance component based on the selected benchmark tests. The overall value of the systems will almost certainly be different if the other aspects of PERCU are added to the evaluation. Or evaluators may choose to add second order benchmark tests, possibly to reflect use cases that are less important but to increase the accuracy of the SSP for the real workload as the "tie breaker".

4.7 Using Time to Solution in SSP

The way to calculate an SSP value using time to solution for the tests is straightforward and illustrated below.

Tests	(a)	(b)	(c)	(d)
	Tasks	Reference	Measured Wall	Processing
		GFlop	Clock Time to	rate per
		Count	Solution (Sec) for	core
			the Evaluated	(GFlops/s)
			System	
CAM	240	57,669	408	0.589
GAMESS	1024	1,655,871	2811	0.575
GTC	2048	3,639,479	1492	1.190
IMPACT-T	1024	416,200	652	0.623
MAESTRO	2048	1,122,394	2570	0.213
MILC	8192	7,337,756	1269	0.706
PARATEC	1024	1,206,376	540	2.182
Geometric				0.7
Mean				
(GFlops/s)		,		
Number of				N
Compute				
Cores				
SSP				.7*N

Table 4-4: Example calculation of a system's SSP value

Table 4-4 shows this calculation for the SSP-5 suite with a typical runtime for the tests. As discussed above, the GFlop reference count (column c) is created on a

reference system and does not change. In this case the reference system was the NERSC Cray XT-4 with dual core processors. For each test, the rate per core (column e) is the GFlop count divided by the number of tasks (column b) and divided by the time to solution (column d). Test rates per core are then composited, in this example with the geometric mean, determining the overall per core processing rate.

The per core processing rate is then multiplied by the number of compute cores in the system. In this case, if the system were to have 100,000 cores, the SSP value would be 70 TFlops/s. The SSP suites can have more or less tests and can be scaled to any degree.

4.8 The Evolution of the NERSC SSP - 1998-2006

The SSP concept evolved at NERSC through four generations of system evaluations dating back to 1998. It serves as a composite performance measurement of codes from scientific applications in the NERSC workload including fusion energy, material sciences, life sciences, fluid dynamics, cosmology, climate modeling, and quantum chromodynamics. For NERSC, the SSP method encompasses a range of algorithms and computational techniques and manages to quantify system performance in a way that is relevant to scientific computing problems using the systems that are selected.

The effectiveness of a metric for predicting delivered performance is founded on its accurate mapping to the target workload. A static benchmark suite will eventually fail to provide an accurate means for assessing systems. Several examples, including LINPACK, show that over time, fixed benchmarks become less of a discriminating W. Kramer Draft Dissertation 74

factor in predicting application workload performance. This is because once a simple benchmark gains traction in the community, system designers customize their designs to do well on that benchmark. The Livermore Loops, SPEC, LINPACK, NAS Parallel Benchmarks (NPB), etc. all have this issue. It is clear LINPACK now tracks peak performance in the large majority of cases. Simon and Strohmaier³⁹ showed, through statistical correlation analysis that within two Moore's Law generations of technology and despite the expansion of problem sizes, only three of the eight NPBs remained statistically significant distinguishers of system performance. This was due to system designers making systems that responded more favorably to the widely used benchmark tests with hardware and software improvements.

Thus, long-lived benchmarks should not be a goal – except possibly as regression tests to make sure improvements they generate stay in the design scope. There must be a constant introduction/validation of the "primary" tests that will drive the features for the future, and a constant "retirement" of the benchmarks that are no longer strong discriminators. On the other hand, there needs to be consistency of methodology and overlapping of benchmark generations so there can be comparison across generations of systems. Consequently, the SSP metric continues to evolve to stay current with current workloads and future trends by changing both the application mix and the problem sets. It is possible to compare the different measures as well so long running trends can be tracked.

SSP is designed to evolve as both the systems and the application workload does.

Appendix C shows the codes that make up the SSP versions over time. Appendix B shows the SSP version performance results on NERSC production systems.

Next is a description and evaluation of the four generations of the SSP metric.

4.8.1 SSP-1 (1998) - The First SSP Suite

The first deployment of the SSP method, designated SSP-1, was used to evaluate and determine the potency for the system called NERSC-3. This system was evaluated and selected in a fully open competition. SSP-1 used the unweighted arithmetic mean performance of the six floating point NAS parallel benchmarks⁴⁰, in particular, the NAS Version 2.3 Class C benchmarks running at 256 MPI tasks. Additionally, the NERSC-3 acquisition used 7 full application benchmarks to evaluate systems but these applications were not part of the SSP-1 calculation. The NPB Benchmarks were used for the first SSP because they were well known to the vendor community, so the addition of the SSP method was less threatening to vendors, thereby encouraging participation. Further, analysis showed the NPBs had a relationship to a range of the applications of the time period. The applications benchmarks discussed in this section are shown in Appendix C.

The NPBs were selected for SSP-1 for several reasons.

1. The procurement benchmark suite was developed using a 696 processor Cray T3E-900. Some applications selected from the workload were too large to get accurate reference instruction counts using the tools existing at the time. So an

accurate reference flop count could not be established for several application/data set combinations that were used for the application benchmarks. Thus $f_{i,j}$ could not be accurately established for some values of i and j. On the other hand, the flop count for each NPB was analytically defined and validated by running on single processor systems for each problem size so $f_{i,j}$ was well known.

- 2. The NERSC-3 application benchmarks were a fixed problem size and vendors were allowed to choose the best concurrency for problem scaling in an attempt to determine the strong scaling characteristics of the proposed systems. The added complexity of each system using different concurrency was judged too risky for the first implementation of the SSP method. The fixed concurrency of the NPB codes was easier to implement for vendors for the new SSP method.
- 3. The NPB suite represented many fundamental algorithms used in the NERSC workload. For example, the NPB CG (Conjugate Gradient) test was similar to the 2D sparse matrix calculations in SuperLU⁴¹ library test code and Quantum Chromo Dynamics (QCD) application. The Fourier Transform (FT) test related to Paratec⁴², which uses a global Fast Fourier Transform (FFT).
- 4. NERSC staff were familiar with the NPBs and could accurately interpret their implications for NERSC applications. Likewise the NPBs were well understood by the vendor community and had proven easily portable to the potential systems, making their use less effort for vendors.

4.8.1.1 Assessment of SSP-1

The use of the NPBs as the SSP-1 metric was successful in several ways.

- 1. Vendors provided benchmark data for almost all the configurations proposed in part because the NPBs were well understood, easily portable and tested. The benchmarks had been ported to almost all the architectures and vendors were familiar with the implementation of the codes.
- 2. Feedback from the vendor community indicated* preference towards composite metrics such as SSP rather than a series of individual tests each with a performance requirement. This is because vendors were concerned about the number of individual benchmarks that represented many individual metrics each with a risk of failure. Further, vendors indicated a willingness to agree to more aggressive composite goals since they had less risk than agreeing to perform for multiple discrete tests.
- 3. As shown in Section 4.8.5 below, the SSP-1 addressed a number of issues in making the system fully productive throughout its life time.

4.8.2 SSP-2 (2002) - The First Application Based SSP Suite

For SSP-2, performance profiling tools had advanced sufficiently to obtain accurate floating point and other operation counts of the application/problem set combinations at the scale needed. The SSP-2 metric used internal timing values of five application benchmarks: GTC^{43} , $MADCAP^{44}$, $milc^{45}$, $Paratec^{46}$, and $SEAM^{47}$. SSP-2 was based on a fixed reference operation count of all floating point operations in 5 benchmarks. All system had homogeneous processors, so $\alpha = 1$. In calculating

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^{*} Private communication from vendors during RFP debriefing. W. Kramer Draft Dissertation

SSP-2, one problem set was used for each application. All applications used the same concurrency and had to be run on the different systems at the specified concurrency.

The composite function for SSP-2 was the unweighted harmonic mean, expressed as the total operation count of all benchmark/problem set combinations divided by the total time of all the application runs. All the operation counts $f_{i,j}$ for each application was summed. The per processor operation counts, $a_{\alpha,i,j}$, of each application i summed to 1,014 GFlops per processor.

4.8.2.1 Assessment of SSP-2

The use of application codes was successful and resulted in the user community having more confidence that the SSP-2 metric represented the true potential of the system to perform their applications. As indicated in Section 4.6, the evaluation of the system for which SSP-1 was developed also required a separate set of application test codes be run. SSP-2, because it used full application tests, meant vendors did not have to run a set of special codes for SSP-2 and a different set of codes for application testing. The fixed concurrency of the five codes made the SSP calculation simpler, but also led to some vendors failing to provide all the required data in their proposal because of issues of getting large benchmarking resources.

The biggest issue identified in the second generation of SSP was using the harmonic mean as the composite function. The harmonic mean resulted in essentially a weighted average, with the weight being the relative computational intensity of the applications. Computational intensity is the ratio of memory operations to arithmetic operations, with higher numbers indicating a code does more arithmetic operations W. Kramer Draft Dissertation

per memory reference.⁴⁸ Paratec was the most computationally intensive code in the SSP-2 test with a computational intensity almost three times that of the next code. Using an unweighted harmonic mean meant Paratec had more influence in the final SSP value than the other benchmarks, even though the material science area represented about 1/10th of the overall NERSC workload. Fortunately, this imbalance did not have significant detrimental impact on user satisfaction with the selected system since Paratec was both computationally and communication intensive as it did significant communication with global Message Passing Interface (MPI) library calls and a global FFT as well as significant dense linear algebra. However, further analysis showed that if a different application code had been chosen that was not both computational and communication intensive, the potential existed to have a significant bias in the SSP-2 metric that was not intended. Hence, the SSP-3 version moved to the geometric mean to reduce this potential issue.

4.8.3 SSP-3 (2003) – Balancing Complexity and Cost

SSP-3 was intentionally scaled down in order to select a modest size system. Selecting a benchmark suite has to take into account both the size of the target system and the expected amount of resources system vendors will be willing to use to provide results. The evaluators must balance these issues because the resources vendors invest to do benchmarking depends, in large part, on the eventual purchase price of the system. Since NCSb was a system about 1/5th the dollar value of NERSC-3, the benchmark suite had to be correspondingly simpler and smaller – both in the number of codes and the concurrency of the codes.

SSP-3 consisted of three applications and three NPB codes. The applications were CAM3⁴⁹, GTC and Paratec with a problem size that ran efficiently on 64 processors. SSP-3 had three NAS Parallel benchmarks: FT, Multi-Grid (MG) and Block Tridiagonal (BT) from the NPB version 2.4 release using the Class D problem size running with a concurrency of 64 tasks.

4.8.3.1 Assessment of SSP-3

The SSP-3 codes were used to validate the delivered system and to assess sustained performance and consistency in performance. There was a very good response to the RFP in the number of proposals submitted. Also, all vendors provided all benchmark results. In some ways, the simplification in concurrency made SSP-3 too easy and too low in terms of concurrency to stress test the entire system when it was delivered. This meant other tests had to be used to detect deficiencies, which actually did exist and were rectified. Hence, simplifying the SSP codes to have vendors expend less up front effort made diagnosis of the system problems less efficient causing longer time between system delivery and full operation. This also added back end risk to NERSC of having less confidence the problems were identified before production. One example of these other tests that needed to be added is discussed in detail in Chapter 7 on consistency.

4.8.4 SSP-4 (2006) - SSP at Larger Scale

SSP-4 consisted of the geometric mean of seven full application benchmarks: Madbench⁵⁰, Paratec, CAM 3.0, GAMESS⁵¹, SuperLU, PMEMD⁵² each with one large problem data set as the test problem. For SSP-4, the each benchmark ran at W. Kramer Draft Dissertation

differing concurrency, ranging from 240 tasks to 2,048 tasks. SSP-4 was used for the NERSC-5 procurement. The goal of the average SSP performance for the first 36 months was 7.5 to 10 TFlops/s. SSP-4 was the first SSP to allow heterogeneous processors within a system to be considered.

4.8.4.1 Assessment of SSP-4

The SSP-4 used more application codes than any SSP to date, including one with a concurrency of 2,048. This seemed to have struck a good balance between the number and size of the benchmarks because all vendors provided complete data for the SSP applications – while several did not provide data for non-SSP applications.

4.8.4.2 SSP Results for NERSC-5

SSP-4 was used in the selection and acceptance testing of NERSC-5, which turned out to be a Cray XT-4 system. The first observation is that all bidders provided data for all SSP-4 applications, not just at the required concurrency for the SSP-4 calculation, but at the other concurrencies as well. This may indicate that the mix of codes and concurrencies were a reasonable compromise between the needs of the facility and that of the vendors who offered systems.

SSP-4 was also the first time a Department of Energy's Office of Science site and the Department of Defense sponsored HPC Modernization Program coordinated the use of the same application benchmark, GAMESS with same problem sets. This cooperation was intended to reduce the effort for bidders to provide data and to be responsive the High End Computing Revitalization Task Force (HECRTF) Workshop report, which urged government agencies to coordinate benchmark requirements. 82

SSP-4 not only evaluated the systems offered and was used to validate the XT-4 during acceptance testing, but it also was used to evaluate two different Light Weight Operating System (LWOS) implementations*, at scale, on the same hardware. This was the first such study at extreme scale of 19,320 cores.

Initially the NERSC XT-4 was delivered with the Cray Virtual Node (CVN)⁵³ light weight operating system (also known as a microkernel) operating system and SSP and other evaluation tests (ESP, full configuration tests, micro kernels, consistency, etc.) were used to assess it. After several months, the first release of the Cray Linux Environment (CLE)⁵⁴ light weight operation system emerged from the development process. The NERSC XT-4 was the first platform to move fully to CLE and remains the largest platform running CLE today.

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^{*} On the XT-4 hardware, Cray offered two Light Weight Operating Systems (LWOS) – the Catamount Virtual Node (CVN) and the Cray Linux Environment (CLE)* for the compute nodes. CVN* is an extension of the Catamount kernel developed at Sandia National Laboratory for the XT family, originally created for the single core per node XT-3. It uses a master-slave implementation for the dual core XT-4. CVN provides minimal functionality, being able to load an application into memory and start execution, and manage communication over the Seastar Interconnect. Among many things, CVN does not support demand paging or user memory sharing, but does use the memory protection aspects of virtual memory for security and robustness, the latter to a limited extent. CVN does not support multiple processes per core and only has one file system interface.

The CLE (also commonly known as the Compute Node Linux kernel, which was Cray's pre-announcement designation)* system, based on SUSE 9.2 during this comparison, separates, as much as practicable, computation from service. The dominant components of CLE are the compute nodes that run application processes. Service nodes provide all system services and are scaled to the level required to support computational activities with I/O or other services. The High Speed Network (HSN) provides communication for user processes and user related I/O and services.

Each CLE compute node is booted with a version of Linux and a small RAM root file system that contains the minimum set of commands, libraries and utilities to support the compute node's operating environment. A compute node's version of Linux has almost all of the services and demons found on a standard server disabled or removed in order to reduce the interference with the application. The actual demons running vary from system to system but include init, file system client(s), and/or application support servers. CLE had specific goals to control OS jitter while maintaining application performance. CLE uses a user space implementation of the Sandia National Laboratory developed Portals interconnect driver that is multithreaded and optimized for Linux memory management. CLE also addressed I/O reliability and metadata performance

The evaluation period for CVN and CLE each lasted six to eight weeks between the late spring and early fall of 2007. During this time, the LWOSs were progressively presented with more challenging tests and tasks, in all the areas of PERCU. The evaluation period can be considered as evolving through three phases that each has a different focus – albeit still approaching the system holistically. The first was a test of all functionality. Did the systems have all the features that were required and did they produce the expected (correct) results? The second phase was performance assessment when the systems were tested to determine how fast and how consistently they processed work. The third phase was an availability and performance assessment of the system's ability to run a progressively more complex workload while at the same time determining the general ability to meet the on-going system metrics. By the end of the third phase, a large part of the entire NERSC workload ran on the system, although with some limitations and a different distribution of jobs than is seen in production.

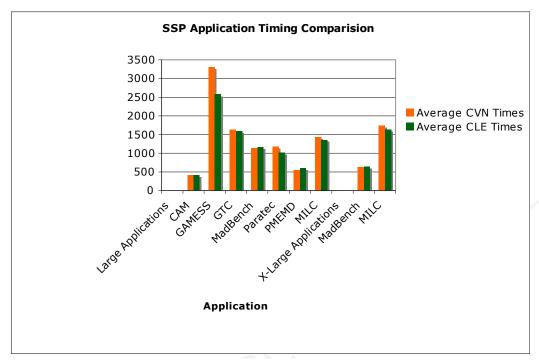


Figure 4-4: The SSP-4 application runtimes for two Light Weight Operating Systems running on the same XT-4 hardware. Note that most of the runtimes for CNL are lower than for CVN.

Figure 4-4 shows the SSP-4 application runtimes for both CVN and CLE running on the system hardware. The seven contributing applications to the SSP-4 metric are five large applications (CAM, GAMESS, GTC, Paratec and PMEMD) and two X-large applications (MadBench and MILC). The runtimes for five of the seven SSP-4 applications are lower on CLE than on CVN. GAMESS shows the most improvement, 22%, followed by Paratec at almost 14%. The GAMESS' CLE runtime resulted from combining MPI and shared memory (SHMEM) communications in different sections of the code since MPI-alone or SHMEM-alone implementations ran longer on CLE than on CVN. Because GAMESS already supported MPI and SHMEM methods, it was not tremendously hard, albeit somewhat tricky to combine the two. The need to mix communication libraries resulted from different

implementations of the Portals low-level communication library on CLE and CVN that changed the relative performance advantages between using the MPI and SHMEM Application Programming Interfaces (APIs). The improved Paratec timing was due in part to optimizing message aggregation in one part of the code. Two codes, PMEMD and showed better runtimes on CVN by 10% and 1% respectively.

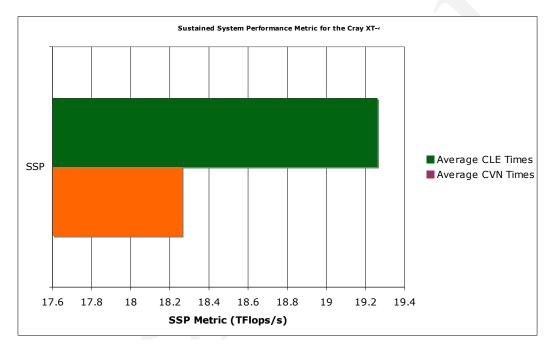


Figure 4-5: The SSP-4 metric for the same XT-4 hardware running two different microkernels - the Catamount Virtual Node (CVN) and Cray's Linux Environment (CLE). It was a surprise that CLE outperformed CVN.

Figure 4-5 shows the SSP composite performance for CLE is 5.5% better than CVN, which was surprising. CVN was in operation on multiple systems for several years before the introduction of CLE, and the expectation set by the Cray and others was using Linux as a base for a LWOS would introduce performance degradation while providing increased functionality and flexibility. The fact CLE out performs CVN, both on the majority of the codes and in the composite SSP was a pleasant surprise and helped convince NERSC and other sites to quickly adopt CLE.

4.8.4.3 Comparing Dual and Quad Core Implementations

SSP-4 was used to assess the change in system potency from using dual core processors to using quad core processors instead, with most of the rest of the system remaining intact. The dual core processors were AMD running at 2.6 GHz, each with 2 operations per clock. The quad core implementation used AMD running at 2.3 GHz and with 4 operations per clock. The other change was to change the memory from 667 MHz DDR-2 memory (2 GB per core) to 800 MHz DDR-2.

Comparing the SSP-4 results, the dual core ran at .99 MFlops/s per core (NERSC-5-Dual Core, with 19,320 compute processors, which has a system potency of 19.2 TFLops/s) and the quad core .98 MFlops/s per core (NERSC-5 Quad Core, 38,640 compute processors, which has a system potency of 37.98 TFLops/s). No special quad core optimizations were done on the codes other than to exploit standard compiler switches. The fact the performance was almost double, despite having a 10% lower clock, was the result of faster memory and the compiler's ability to use the two extra operations per clock.

4.8.5 SSP-5 (2008) – An Sharable SSP Suite

In 2008, the SSP-5 suite was released and became the first suite with complete access to all the tests. Compared to SSP-4, the SSP-5 suite changed two applications, updated versions of other applications, increased problem sizes, and increased application scale. SSP-5 adds emphasis on strong scaling applications because of the increase of multi-core CPUs.

The other major change for SSP-5 is the concept of <u>base</u> and <u>fully optimized</u> cases. Since the same applications and problem cases were used in both, they reflected a general scientific workload.

- The base case can be considered as the way users will initially migrate to a new system. The existing applications base case is designed to represent a system's Potency with a modest effort of porting. In most cases, such porting to move an application, recompile with a reasonable selection of options, and to link in the appropriate system-specific libraries takes a couple of days.
- The fully optimized case, using the same applications and problem cases, was designed to reflect the sustained performance "best possible" case for the application. The fully optimized case can be considered a user spending significant time to restructure algorithms, redistribute the problems, and reprogram the applications for special architectural features. It also allows for code tuning and optimization.

These changes allow SSP-5 to determine how much added potency does a system have, if one were to fully exploit all architectural features to the maximum amount possible. Most users will take the easiest path – reflected in the base case, but some may spend the effort to better optimize their application. The base and fully optimized cases give the range of expectations for systems.

4.8.5.1 The Base Case

The base case limits the scope of optimization and allowable concurrency to prescribed values. It also limits the parallel programming model to MPI only implementations of the tests. Modifications to the applications are permissible only for limited purposes listed below:

- To enable porting and correct execution on the target platform but changes related to optimization are not permissible.
- There are certain minimal exceptions to using the prescribed base concurrency
 - o a) Systems with hardware multithreading
 - o b) If there is insufficient memory per node to execute the application. In this case, the applications must still solve the same global problem, using the same input files as for the target concurrency when the MPI concurrency is higher than the original target and using the same input files as for the target concurrency when the MPI concurrency is higher than the original target.
- To use library routines as long as they currently exist in a supported set of general or scientific libraries.
- Using source preprocessors, execution profile feedback optimizers, etc. which
 are allowed as long as they are, or will be, available and supported as part of
 the compilation system for the full-scale systems.
- Use of only publicly available and documented compiler switches shall be used.

4.8.5.2 The Fully Optimized Case

In the fully optimized case, it is possible to optimize the source code for data layout and alignment or to enable specific hardware or software features. Some of the features the fully optimized case anticipated include:

- Using Hybrid OpenMP+MPI for concurrency.
- Using vendor-specific hardware features to accelerate code.
- Running the benchmarks at a higher or lower concurrency than the targets.
- Running at the same concurrency as the targets but in an "unpacked" mode of not using every processor in a node.
 - When running in an unpacked mode, the number of tasks used in the SSP calculation for that application must be calculated using the total number of processors blocked from other use.

In the fully optimized case, changes to the parallel algorithms are also permitted as long as the full capabilities of the code are maintained; the code can still pass validation tests; and the underlying purpose of the benchmark is not compromised. Any changes to the code may be made so long as the following conditions are met:

- The simulation parameters such as grid size, number of particles, etc., should not be changed.
- The optimized code execution still results in correct numerical results.

- Any code optimizations must be available to the general user community, either through a system library or a well-documented explanation of code improvements.
- Any library routines used must currently exist in a supported set of general
 or scientific libraries, or must be in such a set when the system is
 delivered, and must not specialize or limit the applicability of the
 benchmark code nor violate the measurement goals of the particular
 benchmark code.
- Source preprocessors, execution profile feedback optimizers, etc. are allowed as long as they are, available and supported as part of the compilation system for the full-scale systems.
- Only publicly available and documented compiler switches shall be used.

The same code optimizations must be made for all runs of a benchmark at different scales. For example, one set of code optimizations may not be made for the smaller concurrency while a different set of optimizations are made for the larger concurrency. Any specific code changes and the runtime configurations used must be clearly documented with a complete audit trail and supporting documentation identified.

4.8.5.3 Assessment of SSP-5

SSP-5 was released in August 2008. As of this writing, it is too early assess its effectiveness. It was created based on the experiences with SSP-4 and recognizing the W. Kramer Draft Dissertation

increase in the potential for system to have accelerators, multi-cores and special architectural features that will not be exploited without code modification. Preliminary results include runs on several architectures including the Cray XT-4, the IBM Power-5 and IBM Blue Gene and several commodity clusters.

4.8.5.4 SSP Results for NERSC-5

The first system to use the SSP-5 is NERSC's Cray XT-4. On that system, the base case provided 13.5 TFlops/s on the dual core system, and 26 TFlops/s on the quad core system.

The code and benchmark rules can be downloaded from the current NERSC-6 web site, http://www.nersc.gov/projects/procurements/NERSC6.

4.9 Experiences and Impact of SSP

The impact of the SSP methodologies can be seen in a number of ways as described in the following examples.

4.9.1 Revisiting the Real World, Once Removed Example

In the example from Section 3-2, determining the system with the best value, from the individual data was not clear. Using Equation 3-5, a single overall system wide potency measure was obtained for each system. The potency measure was compared with price cost to yield an overall price performance measure – as shown in Equation 3-6. The assessment period was 36 months and $\Phi(\mathbf{W}, \mathbf{P})$ continued to use the arithmetic mean.

Table 4-4 shows the integrated system-wide potency for all the systems in the example, and the relative price performance. Recall the price of each system is proprietary as well as the specific details of the configuration. Hence the cost data is relative to the lowest cost system. Assuming all other factors (effectiveness, reliability, consistency, and utility) are equivalent, System 3 has the best overall price performance, followed by System 4.

		System 1	System 2	System 3	System 4	System 5
Phase 1 System SSP - Arithmetic Mean	GFlops/sec	544.5	360.5	993.1		311.4
Phase 2 System SSP - Arithmetic Mean	GFlops/sec	1,089	511	2,731	1,896	1,246
Potency - Arithmetic Mean	GFlops/sec*Months*	31,037	15,081	61,077	62,570	39,236
	Pflops [†]	80,448	39,090	158,312	162,648	101,699
Average Potency- Arithmetic Mean	GFlops/sec*Months	862	419	1,697	1,738	1,090
	PFlops	2,235	1,085	4,398	4,518	2,825
Potency for relative cost - Arithmetic Mean using normalized cost	GFlops/sec*Months per cost unit	661	403	2,156	1,635	1,246

Table 4-4: The Potency and average SSP over time, using the arithmetic mean as $\Phi(W,P)$ and 36 months as the performance period.

^{*} Assumes all months are 30 days
† Assumes a month has 2,592,000 seconds.

4.9.2 Risks of Using Peak Performance as A Selection Criteria

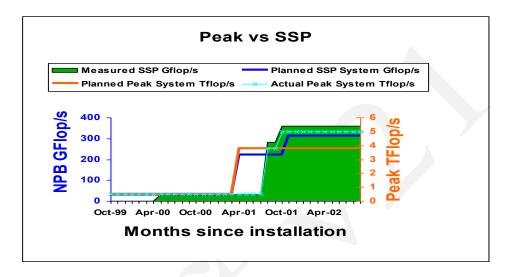


Figure 4-6: Peak vs. Measured SSP-1 performance

There are many reasons why decisions should not be made based on peak performance, or even benchmarks that closely correlate with peak performance. SSP-1 was used to evaluate and manage the NERSC-3 system. Watching the system evolve is an excellent example of SSP being used not only to evaluate and select systems but also to assess on-going performance, the third purpose of benchmarking.

The NERSC-3 system was delivered in two major phases – with the first phase consisting of IBM Power 3⁵⁵ ("winterhawk") CPUs and a TBMX⁵⁶ interconnect originally planned from Oct 1999 to April 2001. The second phase, planned to start in April 2001, was one of the first systems with the Power 3+ ("nighthawk") CPU⁵⁷s connected with the IBM "colony" High Performance Switch⁵⁸. The schedule and performance levels were agreed upon so the average SSP performance was 155

GFlops/sec over the first 36 months of the contract. In Figure 4-6, the expected SSP of the two phases is shown as the dark blue line. The Phase 1 system was to start production service in Oct 1999 with an SSP of more than 40 GFlops/sec, and it would be replaced with a Phase 2 system in April 2001 at 238 GFlops/sec. Phase 2 actually had two sub phases, *a* and *b*. The difference between the two sub phases was strictly software improvements. All the phase 2 hardware was deployed at the beginning of Phase 2a so the Phase 2a and 2b systems had the same peak performance of 3.5 TFlops/s.

The full potency of performance could not be realized at initial Phase 2 delivery. The hardware configuration of Phase 2 was a number of 16 CPU SMP nodes, each with two Colony interconnect adaptors. The Colony HPS switch was the first IBM interconnect that allowed multiple adaptors in a node. The software to use more than one adaptor was only planned to be available eight months after the hardware delivery. The system performance of Phase 2a was limited by the interconnect bandwidth. NERSC-3 showed significantly increased performance once the second adaptor was usable, eventually reaching 365 GFlops/sec as the overall SSP. Clearly, the peak performance parameters of the system did not reflect the actually performance potency of the system until the software allowed full use of the interconnect performance.

Figure 4-6 shows another valuable aspect of SSP. Contractually, the Potency starts accumulating only after system acceptance, which occurred later than expected for Phase 1 and Phase 2. This was due to various issues, including delays in

manufacturing, parts availability and software problems that prevented reliable use of the system. The acceptance of the Phase 1 system occurred in April 2000 rather than October 1999 and the acceptance of the Phase 2a system was July 2001 rather than April 2001. On the other hand, the software to exploit the second adapter was delivered earlier than the eight-month delay originally expected, arriving in October 2001. The early delivery of the dual plane software provided a measured performance improvement earlier than planned and partially offset the other delays. The system configuration was adjusted after Phase 2b acceptance so the average of the SSP did meet the required 36-month average.

The SSP method gives both the purchaser and the supplier protection. The supplier has the freedom to adjust the schedule of deliverables and the purchaser is protected by a guarantee of a agreed upon amount of performance delivered in a certain time period. The degree of adjustments can be constrained as well. For example, a purchaser probably does not want all the performance delivered in the last month of the 3-year period, so there may be limits on when the phases are delivered.

4.10 SSP as an On-Going Measure

As mentioned above, benchmarks can be on-going assessments of systems to help assure systems continue to operate as expected. In order to be effective as an ongoing performance assessment, benchmarks must be run often enough to provide enough samples for trend analysis in an on-going assessment*. Running the benchmarks as a regression test after system changes is one approach – but is limited

^{*} In fact, they need to run often to provide enough samples for variation analysis as discussed in Chapter 8.

in the number of observations that can be obtained. This also means there is little context to judge significant changes versus random errors. An improvement is running benchmarks regularly – at least weekly to have an understanding that the system performs properly. Further, the benchmarks should run alongside the regular workload as a production job rather than in special circumstances such as on a dedicated system. This allows a better assessment of what the user community sees for performance, especially if the benchmark suite is drawn from the application workload itself. In order to be effective in assessing on-going performance, the benchmark suite should:

- 1. Be reflective of the workload itself
- 2. Be able to run within the normal scheduling parameters of the system
- 3. Run while the normal workload is running
- 4. Balance running long enough to assess system performance but short enough not to consume undue amounts of system resource

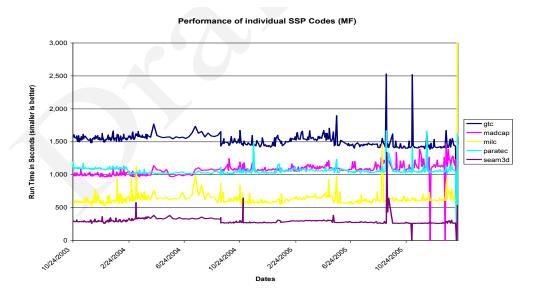


Figure 4-7: Runtimes of the SSP-2 component benchmarks over an extended time.

Figure 4-7 shows the runtimes of the SSP-2 components over two years on the NERSC-3/3E. The runs were done several times a week and ran as standard production jobs. Several insights are notable. First, the runtimes are consistent, with a few exceptions. Note the large spike in runtime. For the most part, the runtimes are within the expected variation of non-dedicated systems. At several points, several of the codes take longer to run, indicating something on the system may be had a detrimental impact on performance, particularly since they appear to be clustered in approximately the same time period. The large spikes are an indicator possibly something is amiss. Once the trend is noticed, further investigation is probably needed to determine whether there is a system problem. This data can also be used to judge the consistency of the system that is discussed in detail in Chapter 7.

Figure 4-8 indicates the composite SSP-2 values over time. The green line is the required contractual performance negotiated. The graph shows that several times the actual performance was less than expected. This indicated system issues that were then corrected to return the system to its expected performance levels.

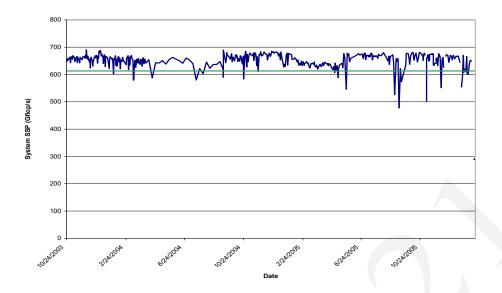


Figure 4-8: SSP validated performance on-going performance of the IBM Power 3 system using SSP-2. The line slight above 600 is the contract required metric.

4.10.1 Guarding Against Performance Degradation – NERSC-3

The NERSC-3, Phase 1 system had a persistent degradation of performance, measured both by SSP-1 and user applications. The system slowed down by approximately 5% every month until it was fully rebooted, a process that took close to 3 hours. A reboot would return the system to the expected performance level. This improper behavior was only detected because of proactively running the SSP-1 benchmarks. Since the system was in place less than 18 months and it took time to detect the pattern of gradual loss in performance, it was not possible to definitively determine the cause of the slowdown before the system was replaced with the Phase 2 system. However, recognizing the degradation meant a work around of rebooting the system every month was worthwhile.

4.11 Validating SSP-4 With a Real Workload

One important question is, "Does the SSP metric reflect the actual workload that runs on a given system?" This is determined by the careful selection of the component codes that make up the SPP. Once a year, all the projects at NERSC submit a proposal for computer time allocation. Since 2004, every project was required to provide performance data on the application codes they use. The performance data from running jobs is at scale. A NERSC staff member, David Skinner, implemented the Integrated Performance Monitor (IPM) tool, to assist users profiling their applications and collecting the required data. IPM⁵⁹ provides an integrated method to collect data from hardware performance counters. The 2006 proposal submissions for NERSC allocations were reviewed to determine the characteristics of the workload, showing how well the applications ran during 2004/2005.

There were 813 separate performance submissions from 316 different project submissions that had used NERSC systems in the previous period. A performance submission is considered unique if the combined project ID, code name, and concurrency are unique. There were 279 unique submissions reported. NERSC supports a wide range of science disciplines, and the code submissions reflect that as well. Table 4-5 compares the amount of time used and the number of performance data submissions of performance data for applications, both by science discipline. The percentages are aligned, but not exactly because each science area has different numbers of applications and projects. The main point of the comparison is that the performance data covers roughly the same areas as the usage profiles. Since the SSP W. Kramer Draft Dissertation

approximates the actual application performance, even with somewhat of an underestimation, it is reasonable to assume the SSP reflects the workload.

Science Area	Percent of	Percent of
	Computational	Performance
	Usage in	Submissions
	Allocation Year	based on Data
	(AY) 2005	from AY 2005
Accelerator Physics	5%	7%
Applied Mathematics, Mathematics	4%	4%
and Computer Science		
Astrophysics	12 %	7%
Chemistry	13%	12%
Climate and Environmental Science	8%	7%
Engineering	5%	1%
Fusion Energy	29%	20%
Geosciences	2 %	2%
Life Science	8%	4%
Material Science	9%	30%
Quantum ChrymoDynamics (QCD)	8%	3%

Table 4-5: The table comparing the amount of time used by science discipline and the number of performance data submissions of performance data for applications. The percentages are aligned but not exactly because each science area has different numbers of applications and projects. The main point of the comparison is that the performance date covers roughly the same areas as the usage profiles.

The performance data submissions and the amount of time used by science disciplines are consistent. The science areas with the larger usage are also the science areas with more performance data. Therefore, it is reasonable to use the performance submissions to make general observations of the overall workload, as is done in Table 4-6.

Table 4-6 compares the SSP and measured performance for the applications on NERSC's most parallel system, Seaborg, which is a 6,756 processor SP-3. The result of using SSP-1 is an average SSP of 115 MFlop/s per processor on Seaborg and SSP-2 is 214 MFlop/s per processor. The average actual performance reported by the user community was 191 MFlop/s per processor. Figure 4-9 shows the number of W. Kramer Draft Dissertation

performance profile submission by discipline area, along with average per processors performance that were reported.

Data Characteristic	Amount
Number of Different Projects	316
Number of Different Submissions	813
Number of Different Submissions based on	720
Seaborg runs	
Unique Codes	279
Minimum Concurrency	1
Maximum Concurrency	32,768
Seaborg average reported per processor	191
performance	MFlops/s
SSP-1 per CPU performance	115
	MFlops/s
SSP-2 per CPU performance	214
	MFlops/s

Table 4-6: Summary of performance data reported by science projects running at NERSC.

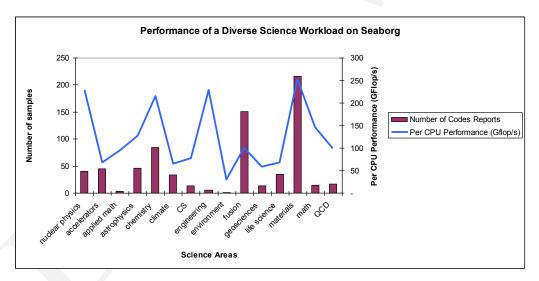


Figure 4-9: Collected hardware performance data for science discipline areas and the CPU Performance data measured using IPM for over 270 applications.

Comparing the profile data from the NERSC user community with the SSP-1 and SSP-2 measures indicated the SSP method is a valid estimate of a systems performance for at least the NERSC workload.

4.12 Chapter Conclusion

This chapter demonstrates different ways the SSP method can be used to assess and evaluate systems. This method provides the ability to define sustained performance estimates based on time to solution that are correlated with the actual workload on the system, yet use much fewer applications. The SSP method has been refined several times, and with each refinement, the version of the SSP is explained and assessed. The SSP method is shown to be usable to assure continued system performance.

The SSP method provides a good overall expectation of potency and value for a system. It also is attractive to vendors who prefer composite tests instead of discrete tests. SSP provides realistic assessments of the potency and value of HPC systems.