



**NOAA Environmental Data:**

# **Foundation for Earth Observations and Data Management System**

**U.S. Department of Commerce**  
**National Oceanic and Atmospheric Administration**  
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# Executive Summary

Observations are intrinsic to the mission of the National Oceanic and Atmospheric Administration (NOAA) and NOAA has a vision to see a truly integrated global observing system that will bring together all aspects of environmental monitoring on common platforms, to ensure data quality, to manage those data efficiently for the long-term, and to make these data easily and readily accessible as needed. This report summarizes plans and accomplishments in integrating data types, across disciplines, across line offices and across observational platforms, to provide capability to serve growing user demand.

NOAA has a core mission to understand and predict changes in the Earth's environment, and conserve and manage coastal and marine resources to meet the Nation's economic, social, and environmental needs. To accomplish this mission, NOAA must first monitor and observe the land, sea, atmosphere, and space through a data collection network. The data and information from this network must then be organized, permanently preserved, then provided to scientists and researchers for study and tracking of the Earth's constantly changing environment.

*NOAA Environmental Data: Foundation for Earth Observations and Data Management System* describes the status at the end of 2002, and future challenges for the management of an ever-expanding environmental database pouring into NOAA from a world-wide data collection network and the progress towards bringing it all together to address the complex issues of today's world. This biennial Report to Congress assesses the adequacy of the environmental data and information systems of NOAA. It updates *The Nation's Environmental Data: Treasures at Risk* provided to Congress, September 2001.<sup>1</sup>

NOAA oversees a spectrum of environmental data management services for diverse purposes: Ecosystem Management; Commerce and Transportation; Hazards; Climate Monitoring; and Ocean Data management. A section of this report is devoted to data management for each of these purposes. There is also a section, called cross-cutting themes, that covers all NOAA-managed environmental data: User Needs; Technology Changes; Increasing Complexities and Volumes of Data; Seamless Uses of Geospatial Data; Scientific Stewardship of Data; and Homeland Security. NOAA is stepping up to the challenges of providing data management and service today. The increasing demands were included in a study by the National Academy of Sciences.<sup>2</sup>

In ecosystem management, data from multiple and diverse research programs impose tremendous data management and access challenges to NOAA in terms of data rescue, formatting, cataloging, geographic information system mapping, and standardized online remote access. However, NOAA stands on the verge of a virtual revolution in its ecosystem data management and access capabilities.

NOAA supports commerce and transportation infrastructure by providing critical information, products, and services essential to the safe and efficient transport of goods and people at sea, in the air, and on land. This infrastructure is key to the well being of the U.S. economy. Real-time, 24x7 quality-controlled information is required, especially high resolution data, e.g., Next Generation Weather Radar (NEXRAD) (which will increase data volume by a factor of almost 30 per year in the next eight years) and side scan sonar and bottom backscatter data in support of nautical charting efforts.

Monitoring and forecasting hazards are key functions of NOAA which also depend on high-resolution, high-volume data management. NOAA is the sole United States official voice for issuing warnings during

life-threatening weather situations and also provides watches and warnings for non-weather, natural and man-made hazards including severe weather, hurricanes, space weather, seismological events, wildland fires, floods, toxin release, and environmental contamination. Creative uses of new technology, combined with NOAA satellite data, on-site observations, and historical records, will continue to lead to breakthroughs in hazards detection and mitigation.

Climate monitoring, evaluation, and forecasting are critical to economic sustainability and environmental stewardship, as well as planning and responding to the quality of life changes that society will encounter in the 21<sup>st</sup> century and beyond. The challenge facing the NOAA National Data Centers is not only ingesting and processing the data, but also the convenient and timely access to the data and information. The Climate Database Modernization Program addresses access and utilization issues with the goal to make paper/film non-digital historical climate data digitally accessible online via the Internet. *World Ocean Database 2001* is a global, comprehensive, integrated, scientifically quality-controlled database, completely documented, available online and on CD-ROM, which includes profiles for over 7 million hydrographic stations.

User requirements are critical to assure that NOAA's environmental data and information can contribute significantly to the Nation's economic well being. Examples are given. NOAA has made a number of its most requested data sets available to users through the Internet to improve customer service and to reduce the costs for servicing user requests.

NOAA has been an early adopter of emerging advanced computing and communications technologies to improve data management and data availability on the Internet and next generation Internet/Internet2. A plan for improving management of NOAA's information technology resources was developed in October 2001. Over the next 15 years, current and planned remote sensing observing systems will produce volumes of environmental data on a scale not seen before. By 2017, plans for NEXRAD, Geostationary Operational Environmental Satellites, Polar-orbiting Operational Environmental Satellites, National Polar-orbiting Operational Environmental Satellite System (NPOESS), NPOESS Preparatory Project and numerous *in-situ* observation programs will increase the total data volume to more than 140 petabytes (140,000,000,000,000 bytes). NOAA's Comprehensive Large Array-data Stewardship System (CLASS) Project is designed to enhance NOAA's capability to predict and assess decadal to centennial climatological changes.

Geospatial data covers information that identifies the geographic location and characteristics of natural or constructed features and boundaries on the Earth. An enterprise-wide geographic information system, the comprehensive NOAA Observing System Architecture system, was developed to enable NOAA to document its multiple observing systems and identify ways in which to evolve them in an integrated management approach. NOAA is a full federal partner in the development of framework data standards associated with the GeoSpatial One-Stop effort as well as the inventorying, documentation, data collection, data discovery, and access to these framework layers.

NOAA recognizes the need for scientific stewardship of data. Effective utilization of climate data and information and long-term stewardship requires the ability to scientifically manage the Nation's climate records and provide relevant utility to a wide range of customers. Scientific stewardship is characterized as maintaining scientific integrity and long-term utility of climate records through monitoring, improving quality, and the extraction of select key parameters from new observations and the historical records.

NOAA faces the challenge of doing business in a world of heightened security concerns and terrorist threats following the horrific events of September 11, 2001. Many of NOAA's data sets are used to support forecasting of and response to natural hazards, as well as their use in climate studies, marine applications, and a variety of industries (e.g., construction), and are essential in support of homeland security activities that include emergency response, monitoring, predicting, and modeling in times of national emergencies. Data once openly available may now be considered sensitive; posing challenges for how NOAA will maintain its "full and open" policy for data and information in a new era of heightened sensitivities. The problems facing NOAA to ensure its data are available when needed are numerous. Certain data streams must be delivered in real-time. Systems need to incorporate knowledge-based expert software. Continued enhancements will be required as new sensor data are added, and back-up delivery and archive sites must be maintained for as needed delivery of critical non-real-time data.

NOAA Administrator Vice Admiral Conrad C. Lautenbacher has a broad vision for global observations and has indicated support for an integrated global observing system, recognizing that NOAA cannot do it alone. Toward this end, NOAA is taking a prominent role, partnering with the National Aeronautics and Space Administration (NASA) and other U.S. agencies to bring this global perspective into achievable reality. The initial effort began with an Earth Observations Summit on July 31, 2003. The need for this was widely recognized and provides the benefit of a sound plan for end-to-end stewardship of environmental data. NOAA is on target to step up to this opportunity.

# Synopsis: Vision for NOAA

*Nobel laureate, Richard Feynman, stated “Observation is the judge.”*

The National Oceanic and Atmospheric Administration (NOAA) has a vision to see a truly integrated global observing system in place that attempts to integrate all aspects of environmental monitoring on common platforms, to ensure data quality, to manage those data efficiently for the long-term, and to make those data easily and readily accessible as needed. Vice Admiral Conrad C. Lautenbacher, Jr., U.S. Navy (Ret.), Undersecretary of Commerce for Oceans and Atmosphere and NOAA Administrator, indicated his belief that NOAA is the right agency to take a leadership role within the United States. This document reports on the status of all efforts across NOAA. It also reports on a significant accomplishment in integrating data types, across disciplines, across line offices, and across observational platforms. The integration provides identification of data for user-specified geographic locations. This capability is described in the section on “Seamless Uses of Geospatial Data” and gives the status on NOAA’s progress in building a comprehensive observing architecture.

*NOAA Environmental Data: Foundation for Earth Observations and Data Management System* describes the current state of, and future challenges for, the management of an ever-expanding environmental database pouring into NOAA from a world-wide data collection network and the progress towards bringing it all together to address the complex issues of today’s world.

This biennial Report required by Congress assesses the adequacy of the environmental data and information systems of NOAA. The Report serves as an update to *The Nation’s Environmental Data: Treasures at Risk*<sup>1</sup> provided to Congress in September 2001.

The strength of NOAA in leading the effort to enhance the utilization and usefulness of data is its unique position among government agencies. NOAA has a core mission to understand and predict changes in the Earth’s environment, and conserve and manage coastal and marine resources to meet the Nation’s economic, social, and environmental needs. To accomplish this mission, NOAA must first monitor and observe the land, sea, atmosphere, and space through a data collection network. The data and information from this network must then be organized, permanently preserved, and then provided to scientists and researchers for study and tracking of the Earth’s constantly changing environment. A study by the National Academy of Sciences also highlights the demands.<sup>2</sup>

In this first decade of the 21<sup>st</sup> century, NOAA oversees a spectrum of environmental data management services that includes:

- Ecosystem Management
- Commerce and Transportation
- Hazards
- Climate Monitoring
- Ocean Data Management

In plans for the second decade of the century, NOAA foresees cross-cutting challenges that apply to all NOAA-managed environmental data. These include:

- User Needs
- Technology Changes
- Increasing Complexities and Volumes of Data
- Seamless Uses of Geospatial Data
- Scientific Stewardship of Data
- Homeland Security

This Report addresses data management services and these challenges throughout NOAA. Success at meeting the ever-increasing data management requirements will determine NOAA's success in meeting its primary mission goals. In addition to monitoring and observing the environment, these services and challenges include:

- Understanding and describing how natural systems work together through investigation and interpretation;
- Assessing and predicting the changes of natural systems, and providing information about the future;
- Engaging, advising, and informing individuals, partners, communities, and industries as required, and facilitating in the exploitation and application of appropriate data;
- Managing coastal and ocean resources to optimize benefits to the environment, the economy, and public safety.

## **Ecosystem Management**

Since its creation in the early 1970s, NOAA has played a leading role in coastal and ocean ecosystem management, primarily through its implementation of the Coastal Zone Management Act (CZMA), the Endangered Species Act, and the Marine Mammal Protection Act. More recently, through revision of the Magnuson-Stevens Fishery Conservation and Management Act (MSFCMA), NOAA ecosystem management has extended explicitly to marine fishery management. Under a variety of NOAA and NOAA-sponsored programs, including federal and state partnerships for coastal zone management, National Estuarine Research Reserves, National Marine Sanctuaries, Marine Protected Areas, marine fishery management, endangered species protection, ocean observing and research, and remote sensing, NOAA collects and maintains vast quantities of marine and coastal ecosystem data. These data and relevant data sets compiled by both NOAA-funded and non-NOAA-funded research programs impose tremendous data management and access challenges to NOAA in terms of data rescue, formatting, cataloging, geographic information system (GIS) mapping, and standardized online remote access. NOAA is addressing these challenges through innovative and cross-cutting initiatives among its National Marine Fisheries Service (NMFS), National Ocean Service (NOS), NOAA National Data Centers (NNDC) [consisting of the National Climatic Data Center (NCDC), National Geophysical Data Center (NGDC), and National Oceanographic Data Center (NODC)], National Coastal Data Development Center (NCDDC), and among numerous coastal states. Through these efforts and tremendous advances in computer technology, NOAA stands on the verge of a virtual revolution in its ecosystem data management and access capabilities.

## **Commerce and Transportation**

NOAA provides critical information, products, and services essential to the safe and efficient transport of goods and people at sea, in the air, and on land. To supply these products and services, NOAA acquires a





Water Level Station at Gray Gables, Massachusetts. The station, part of NOS's National Water Level Observation program, includes a data collection platform, acoustic sensor with a protective tube, satellite antenna, various meteorological sensors and solar panel to keep the battery charged.

wide array of data, ranging from periodic to continuous, from the ocean to the land and the atmosphere, and using both fixed and mobile platforms. NOAA provides real-time observations and analyses of temperature, wind, pressure, clouds, turbulence, and icing to support the Nation's maritime community and general aviation, package, and commercial carriers. Accurate and timely navigational data and information are acquired by NOAA and distributed to mariners navigating our waters and to key players active in port and harbor development, from the ice-covered waters in Alaska to the small estuaries along the southeast coast. NOAA's atmospheric and radar observing systems form the backbone of an expanding public/government partnership for observing the Nation's airports and air corridors that make up the National Aerospace System.

Today, two-thirds of everything purchased by American consumers arrives by ship, and this trade is conservatively expected to double by 2020. In addition, the U.S. coastal recreation and tourism industry, with over 17 million recreational boats, has an annual economic value of about \$24 billion to the country. On land, the infrastructure for transportation contains 3.9 million miles of roads, 120,000 miles of railroad tracks, 200,000 miles of gas and oil pipelines, 5,400 airports, and 6,600 miles of urban transit rail.<sup>3,4,5</sup> This infrastructure is key to the well-being of the U.S. economy.

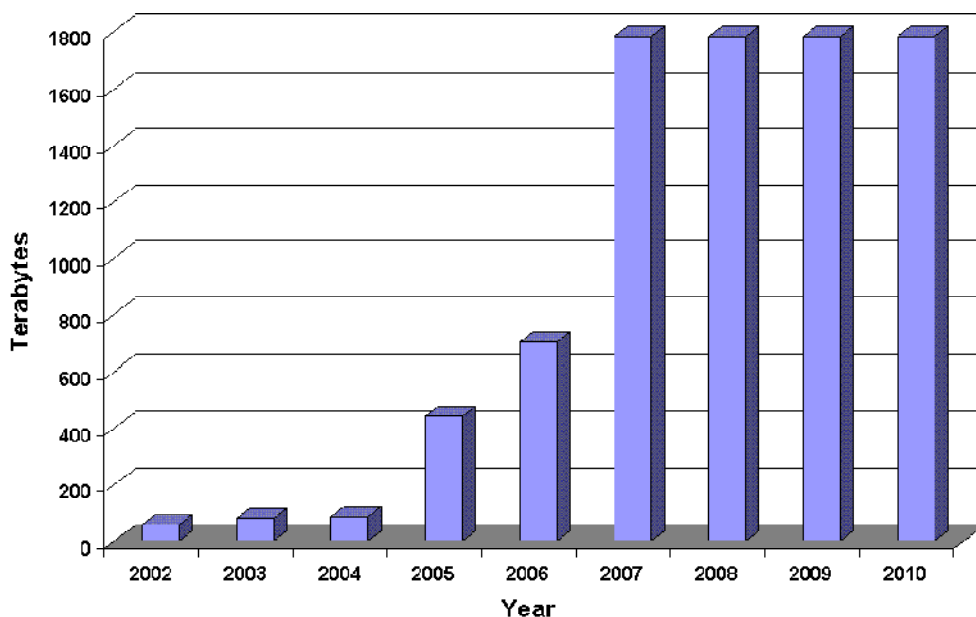
Appropriate technology is needed to maximize both the quality and the efficiency with which NOAA manages these data to ensure they are accurate, reliable, secure, and readily accessible to meet the stringent demands of the transportation sector. Real-time, 24 hours per day, 7 days per week (24x7) quality-controlled information dissemination is rapidly becoming the norm.

With the many recent advances in technology, significantly larger data sets are being acquired using remote sensing techniques. The additional information resulting from the upcoming improved capabilities of Next Generation Weather Radar (NEXRAD) will increase the amount of (uncompressed) data by a factor of almost 30, from 60 terabytes (TB) per year in 2002 to almost 1,800 TB [or 1.8 petabytes (PB)] per year by 2010. Side scan sonar and bottom backscatter data sets acquired in support of nautical charting efforts can result in up to 600 gigabytes (GB) of data per day per vessel. These increases will significantly impact NOAA's data storage, data handling, and communication infrastructure.

## Hazards

Each day in 2003, NOAA ingests approximately 254 GB of new satellite data, compared with 65 GB in 1999. New NOAA and non-NOAA satellite programs will increase data volumes at a staggering rate. By 2017, it is expected that this volume will increase to over 30 TB per day.

**Projected NEXRAD Data Volumes**



Most of these data are used for hazard, weather, and climate monitoring and forecasting applications. Data are also collected from individual locations on the ground, in the ocean, or in the air. On-site observations contribute to the accuracy of satellite data, and add critical information to existing data compilations. NOAA’s historical data collections can be applied to both real-time and long-term aspects of hazards monitoring, such as climate change.

Accurate climate monitoring and forecasting depend on high-resolution, high-volume data management. The exponentially increasing volume of satellite data is the largest challenge associated with NOAA’s hazards mandate.

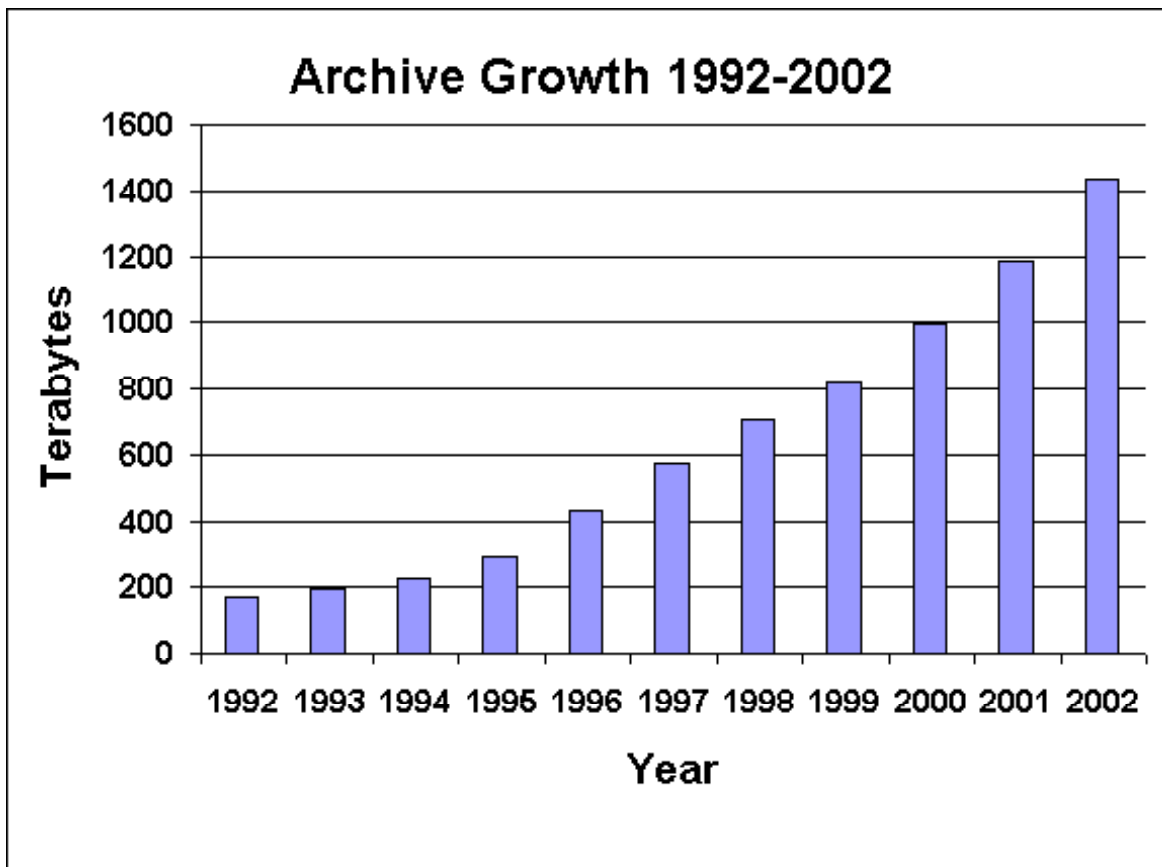
NOAA is the sole United States official “voice” for issuing warnings during life-threatening weather situations. NOAA also provides watches and warnings for non-weather, natural, and man-made hazards. Hazards may include severe weather, hurricanes, space weather, seismological events, wildland fires, floods, toxin release, and environmental contamination.

During the occurrence of an extreme hazards event, NOAA data and information assist first responders in emergency management. In the recovery period after an event, NOAA researchers study the scientific processes that occurred, leading to improved forecasting.

Creative uses of new technology, combined with NOAA satellite data, on-site observations, and historical records, will continue to lead to breakthroughs in hazards detection and mitigation. These scientific advances will save lives, property, and money.

## **Climate Monitoring**

Climate monitoring, evaluation, and forecasting are critical to economic sustainability and environmental stewardship, as well as planning and responding to the quality-of-life changes that society will encounter in the 21<sup>st</sup> century and beyond.



At the heart of today's modern climate monitoring is the need to ingest, process, access, archive, and analyze enormous numbers of observations. In 1996, 400 TB made up the total digital archive volume at NOAA's NCDC. By 1999, volume had doubled. Currently, the total digital archive of the three NNDCs is about 1.4 PB. Over the next ten years, new satellites and improved weather radars will dramatically increase by several orders of magnitude the volume of data and information. Projections through 2010 indicate an increase by a factor of 25, an estimated volume of over 43 PB per year.

The challenge facing the NNDCs is not only the ingest and processing of the data, but also providing convenient and timely access to the data and information. Millions of paper pages and thousands of feet of microfilm/microfiche of recorded instrument measurements and other information dating back hundreds of years currently are under the stewardship of NOAA's NCDC. Much of this historical information has been or is being converted to digital form.

Digital databases, such as wind speed and direction, precipitation, temperature, and pressure are far more useful than paper and microfilm records. These databases support many disciplines, including economic research, engineering, risk management, and passive (i.e., solar, wind) energy enterprises. The Climate Database Modernization Program (CDMP) addresses access and utilization issues. The Program's goal is to make historical paper and film non-digital climate data digitally accessible online via the Internet. Access to historical climate information will require a combination of optically scanned digital images of records and digitized (manually keyed) observations. Thirty million documents have been imaged and many thousands of observations manually keyed or digitized from shipping records, America's military forts, major U.S. cities, lighthouses, weather ships, and other sources.

The deployment of a new generation of satellites over the next ten years, including: the National Aeronautics and Space Administration's (NASA's) Earth Observing System (EOS); Next Generation Geostationary Operational Environmental Satellite (GOES); joint Department of Defense, NASA, and NOAA National Polar-orbiting Operational Environmental Satellite System (NPOESS); and the dipolar and phased array enhancements to the operational NEXRAD present major data management challenges (i.e., stewardship and customer access) for the NNDCs.

### Climate Data Modernization Program (CDMP) goes International.

The Climate Data Modernization Program (CDMP) hosted a workshop, *Digitizing of Analog Charts*, in Asheville, North Carolina July 9-10, 2002. The goal of the workshop was to identify viable low-cost methods of digitizing the large numbers of hourly precipitation and other analog data under the stewardship meteorological communities in many countries. During the months of May and December 2002, NOAA staff visited Vietnam and countries in Africa (Kenya, Malawi, Mozambique, Niger, Senegal, and Zambia) to teach local meteorological services how to image historical weather records using digital cameras.



Today, data and information are not easily accessible or easily integrated to provide a comprehensive and multi-disciplined picture of climate trends and change. In the future, scientists and decision makers will need to rapidly access and utilize climate data and records dating back decades and centuries in order to create a total, inclusive portrait of the Earth's dynamic climate system.

## Ocean Data Management

The oceans are the great regulator of climate on the decadal to centennial time scales. Ocean observations are not as extensive as land observations, nor as well structured and organized as weather observing systems. Therefore, they are more difficult to collect and archive. Ocean data, in many formats, are collected during numerous, usually unrelated, expeditions conducted by many countries and different oceanographic institutions. NOAA's NODC leads several ocean database-building activities. The result of this work has been a substantial increase in the amount of historical oceanographic data available to the ocean and climate research communities. Two projects have added more than three million digitized ocean temperature profiles to the databases, which are being accessed by the international research community studying the role of the ocean in the Earth's climate system.

The Global Oceanographic Data Archaeology and Rescue Project (GODAR), sponsored by the Intergovernmental Oceanographic Commission (IOC), locates and digitizes historical oceanographic data, both coastal and open ocean. Much data exist in manuscript form and/or data in electronic form that are not part of an internationally available database. Under the project, these data are integrated into digitally accessible world ocean databases. NOAA's NODC leads the *World Ocean Database Project*. *World Ocean Database 2001* (WODO1) is a global, comprehensive, integrated, scientifically quality-controlled database that is completely documented and available online and on computer disc - read only memory (CD-ROM). The WODO1 includes profiles for over 7 million hydrographic stations that feature observations such as temperature, salinity, inorganic nutrients (nitrate, nitrite, phosphate, silicate), oxygen, carbon alkalinity, dissolved inorganic carbon, pH, chlorophyll, and biology (zooplankton and phytoplankton).

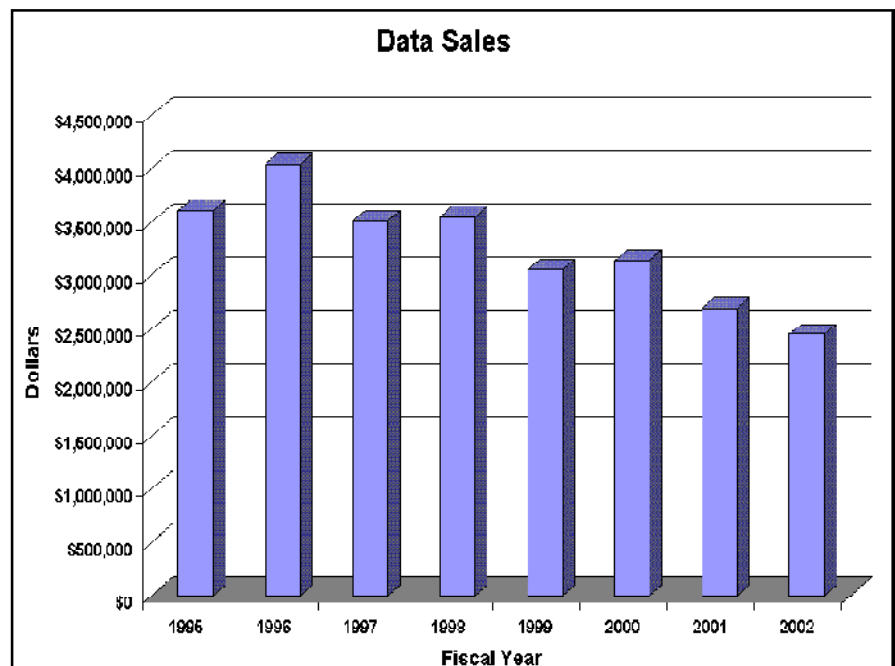
## User Needs

Environmental scientists and advisors have a critical need for a long environmental record of historical and recent global data. These data are used to assess long-term trends, evaluate current status, and predict future conditions and events. This information is necessary to help answer questions from the public, industry, and policy makers such as the following:

- Is global climate change really occurring?
- Are the oceans getting warmer?
- What is the status of the Nation's ecosystems?
- How do El Niño and La Niña affect the weather?
- How long will a drought last?
- How severe will winter be this year?

To fully support its users, NOAA must listen to their needs, partner with the broader research and operational communities, and capitalize on new science and technology that accelerate service improvements customers expect. NOAA must do so in a responsive and cost-effective manner.

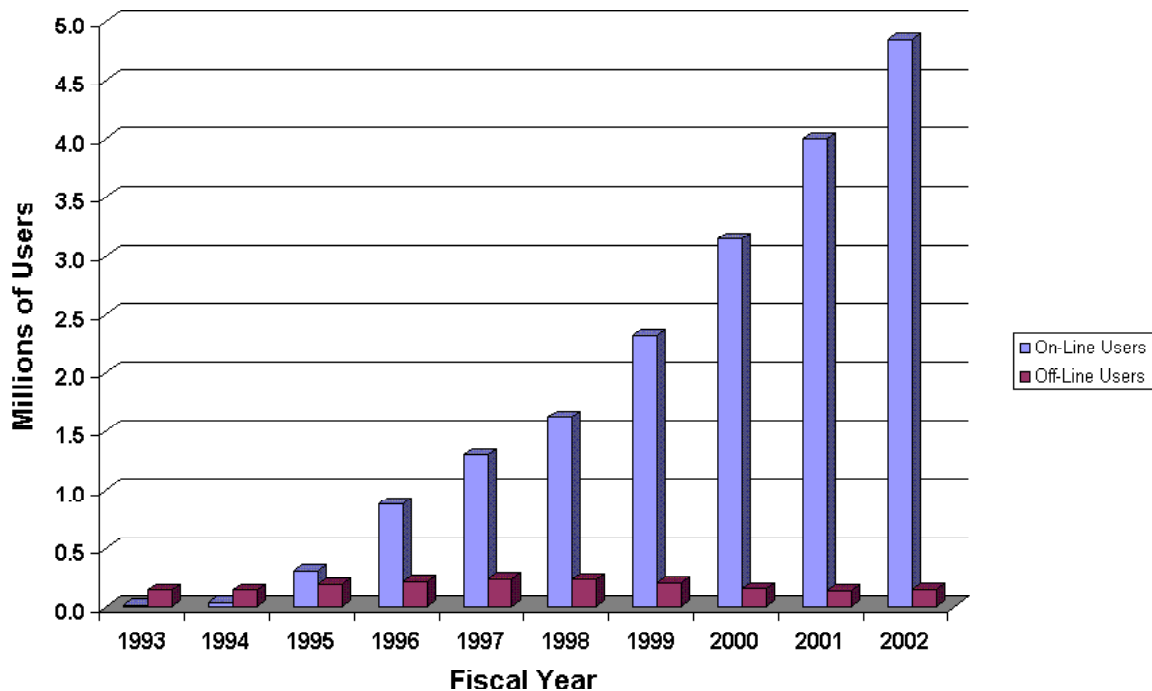
It is clear that use of NOAA's environmental data and information can contribute significantly to the Nation's economic well being. Examples of areas where use of these data may contribute include disaster preparedness, agriculture, and construction. It is estimated that better preparation, response, and mitigation could reduce the average cost of storm-related disasters by 10 percent, or \$700 million savings per year.<sup>6</sup> Economic benefits to U.S. agriculture from improved El Niño forecasts by altering planting decisions could be \$300 million annually.<sup>7</sup> In construction, Air-Freezing Index research has allowed the construction industry to build shallower frost-protected foundations that save \$330 million annually.<sup>8</sup>



The graph depicts total data sales revenues at the three NOAA data centers (NCDC, NGDC, and NODC). Revenues (cost recovery) have exhibited a gradual decline since the mid 1990s. This decline results from a NOAA commitment to e-government business practices. Data access costs via the web are either much lower or free to constituents. This has resulted in lower data sales revenues even as the customer's demand for environmental data has increased.

Over the past 20 years, there has been a tremendous increase in the number of business users who request data and information from NOAA. The initiation of an online store service for ordering data products and the acceptance of credit cards as a payment option have contributed to the changing user profile by

## Requests for Data and Information



simplifying the process of obtaining data and information from NOAA. A small sample of the business uses of NOAA environmental data include attorneys and consulting meteorologists, the insurance industry, utilities, agribusiness, marine transportation, the fishing industry, and financial service institutions.

With the growth of the Internet, users have to come to expect online ordering and online search and browse capabilities, with electronic file transfers for data delivery. Users are no longer content to wait days or weeks for their data or information requests to arrive at NOAA, be subsequently processed, and mailed back to the user.

In response to user demand, NOAA has made a number of its most requested data sets available to users through the Internet to improve customer service and to reduce the costs for servicing user requests. By the end of 2001, many customers were both using new NOAA online ordering systems and receiving environmental data from online NOAA sources.

As online access to NOAA's data expands, the user's average level of technical sophistication and scientific expertise is changing. Online users are searching for information and answers to specific questions rather than access to data. The needs of the business community and industry are becoming more complex, seeking interrelated data and supporting information and documentation, rather than just seeking one particular type of data.

### Technology Changes

NOAA has been an early adopter of emerging advanced computing and communications technologies to improve data management and data availability on the Internet and next generation Internet/Internet2.

In the mid 1990s, it became apparent that the lack of adequate Internet connectivity would be a major limiting factor in NOAA's ability to continue its delivery of data and information products to the public. Stretched network resources would dictate which new technologies would be incorporated into NOAA's operational systems and which would not.

In order that NOAA could continue to meet the expectations of the ever-growing user community, and to support its in-house network application requirements, a plan for improving management of NOAA's information technology resources was developed in October 2001, and specific objectives were identified.<sup>9</sup> These included:

- Developing a robust, common network environment with adequate bandwidth;
- Adopting technologies to improve customer service;
- Providing improved information technology security;
- Improving management of information technology resources, including maintaining a baseline of NOAA web servers; and
- Using high performance computing resources to run high-end, environmental system modeling applications with the rapidly increasing amounts of newly available data.

Operating at speeds about 1,000 times faster than the typical dial-up connection, or 100 times faster than the typical home digital subscriber line, NOAA's second generation network connections support the development of advanced applications that cannot be implemented using the current Internet. NOAA must address the challenge of transferring massive amounts of data in real-time.

One example of a NOAA Internet2 application currently is under development by NOAA's National Severe Storms Laboratory (NSSL). In collaboration with other NOAA, university, and private industry

Status of NOAA Environmental Data Management									
Data Sets and Observations		End-to-End Environmental Data Management Functions							
		Planning	Collect or Rescue	Ingest	Metadata & Cataloging	Calibrate & Validate	Store	Access	Migrate
On-going	In Situ - Centers of Data	✓	✗	✓	✗	✗	⚠	⚠	⚠
	In Situ - NOAA National Data Centers	✓	✓	✓	✓	✓	⚠	⚠	⚠
	COOP/USIICN	✓	✓	✓	✓	✓	⚠	⚠	⚠
	GHCN	✓	✓	✓	✓	⚠	⚠	⚠	⚠
	CARDS/COADS	✓	✓	✓	✓	⚠	⚠	⚠	⚠
	DMSP	✓	✓	✓	✓	⚠	⚠	⚠	✗
	POES	✓	✓	✓	⚠	⚠	⚠	✗	✗
	ASOS	✓	⚠	⚠	⚠	⚠	⚠	✗	✗
	NEXRAD	✓	⚠	⚠	⚠	✗	✗	✗	✗
	GOES	✓	⚠	⚠	⚠	✗	✗	✗	✗
Future	New In Situ Land & Ocean Observing Systems	✓	✗	✗	✗	✗	✗	✗	✗
	NPP	⚠	✗	✗	✗	✗	✗	✗	✗
	NPOESS	⚠	✗	✗	✗	✗	✗	✗	✗
	EOS	⚠	✗	✗	✗	✗	✗	✗	✗

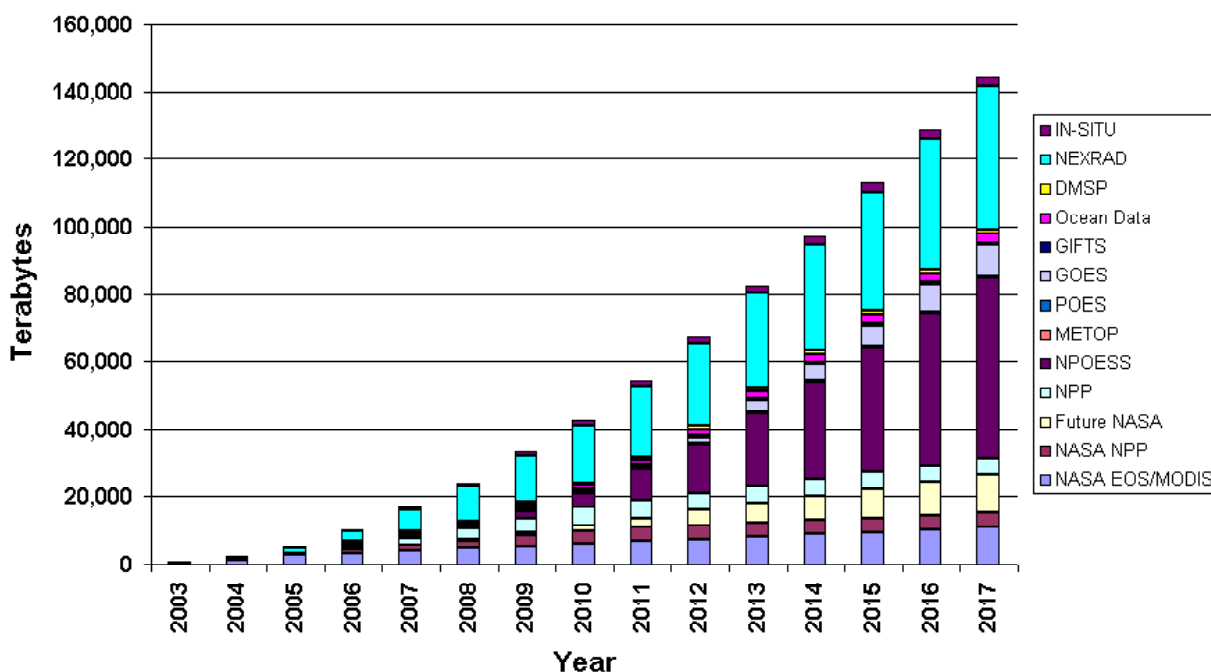
✓ = Can Do With Current Resources  
 ⚠ = Need Incremental Resources  
 ✗ = Requires Substantial Additional Resources

Valid as of December 31, 2002

partners, NSSL’s experimental Collaborative Radar Acquisition Field Test (CRAFT) project provides real-time compression and real-time delivery of NEXRAD data from multiple radars to users including NOAA’s NCDC. This automation has dramatically increased the ability for NOAA to successfully archive as much as 30 percent more NEXRAD data than was previously possible (65 percent to 95 percent). NOAA’s National Weather Service (NWS) has decided to adopt a CRAFT-like approach for all the radars in the continental United States. NSSL is helping to transfer this technology to the NWS.

Another prototyping effort to use large-scale networking within NOAA has been undertaken by NOAA’s NGDC. In partnership with NASA, the NGDC has been testing and prototyping the operations involved in transferring and ingesting hundreds of gigabytes of satellite data per day.

### 15 Year Projected Archive Growth Including Mirror Site Under CLASS



### Increasing Complexities and Volumes of Data

NOAA must ensure and maintain a healthy infrastructure that is capable of the ingest, archive, curation, access, and dissemination of its data sets. Under NOAA’s archive and access architecture, its data management strategy is to accomplish two goals: (1) replace the assortment of aging and inefficient systems it currently operates; and (2) incorporate all of the data management functions shown in the accompanying “Status of NOAA Environmental Data Management” table. The table contents are based upon the status as of December 31, 2002. (See Appendix C for definitions of data sets.)

Over the next 15 years, current and planned remote sensing observing systems will produce volumes of environmental data on a scale that has not been seen before. Data from these systems will be preserved



and made available by NOAA to support a myriad of users. By the year 2017, plans for the current NEXRAD, GOES, Polar-orbiting Operational Environmental Satellite (POES) for NOAA, including the Defense Meteorological Satellite Program (DMSP), and EOS/Moderate Resolution Imaging Spectrometer (MODIS) campaigns, future European Meteorological Operational Satellite (METOP), NPOESS, and NPOESS Preparatory Program (NPP) campaigns and numerous *in-situ* observation programs will increase the total data volume (primary and backup copies) to more than 140 PB.

NOAA's Comprehensive Large Array-data Stewardship System (CLASS) Project is designed to enhance NOAA's capability to predict and assess decadal to centennial climatological changes. It will provide various environmental data and information archive and access services to the Nation through the effective application of modern, proven techniques and technology.

A large portion of the Nation's current archive of environmental data is stored and maintained by NOAA's NNDCs and Satellite Active Archive (SAA). To prepare for the large increases in data volumes over the next 15 years, NOAA must increase the data-handling capacity and capabilities of its Data Centers. The CLASS project will afford efficient management of high volumes (petabytes) of data critical to the Climate Change Science Program, United States Global Change Research Program, and scientific community. It includes the development and implementation of standardized archive methods, which will be integrated with a robust, large-volume, rapid-access storage and retrieval system that is capable of receiving a user's online data request, automatically processing that request, and providing the requested data via the most appropriate medium. This system will provide standardization in security, media, interfaces, timeliness, formats, and processes for the very large data sets produced by satellites and radars.

Effective systems must be in place to support scientific research in the government, commercial, educational, and private sector communities, as well as address data management issues that are associated with massive volumes of data. NOAA will implement an architecture for an integrated, national environmental data access and archive system to support the ingest, archive, access, and distribution of its environmental data and information. The size, number, and frequency of data sets to be stored and distributed will require significant expansion of capacity for moving, storing, processing, and distributing the data.

Another area of major emphasis is the Nation's marine sanctuaries. Both the volume and the complexity of the data being gathered from these areas will increase, and management of these new data sets will require additional investments that are presently unidentified. In addition, NOAA is involved in characterizing and mapping freshwater, estuarine, and marine species communities and their habitats on local, regional, and national scales to meet mandated responsibilities for Essential Fish Habitat regulations. This effort is growing rapidly and requires significant collection and management of spatial data in GIS-compatible formats.

Less than 50 percent of the hydrographic surveys are available in digital format for use in GIS applications. Paper chart and source data archives need to be scanned, geographically rectified, cataloged, supplemented by metadata, and made accessible to users to facilitate charting efforts, environmental research, and environmental resource management. Although a great deal of historical tide, water-level gauge height, and water current data have been the subject of previous data rescue efforts, many more data remain in need of rescue.

Rescue efforts are underway to convert more than 10,000 historical shoreline maps into digital format. However, the rescue of 600,000 aerial photographic images of coastal areas, airports, and some sites of natural disasters has not yet been addressed. Much historical data of importance to understanding fisheries stocks (e.g., commercial vessel logbooks and old data sheets from fisheries research vessels) are in danger of being lost due to deteriorating physical media.

The increasing volumes of incoming data are outgrowing NOAA's storage capabilities and technologies, and they are affecting NOAA's data-rescue capabilities as well. With new media and mass storage technologies being introduced at an ever-accelerating pace, NOAA's ingest and storage capabilities – as well as its media migration capabilities – are falling farther and farther behind modern technology. There is an urgent need to migrate much of our archived data to new media before these data are unrecoverable.

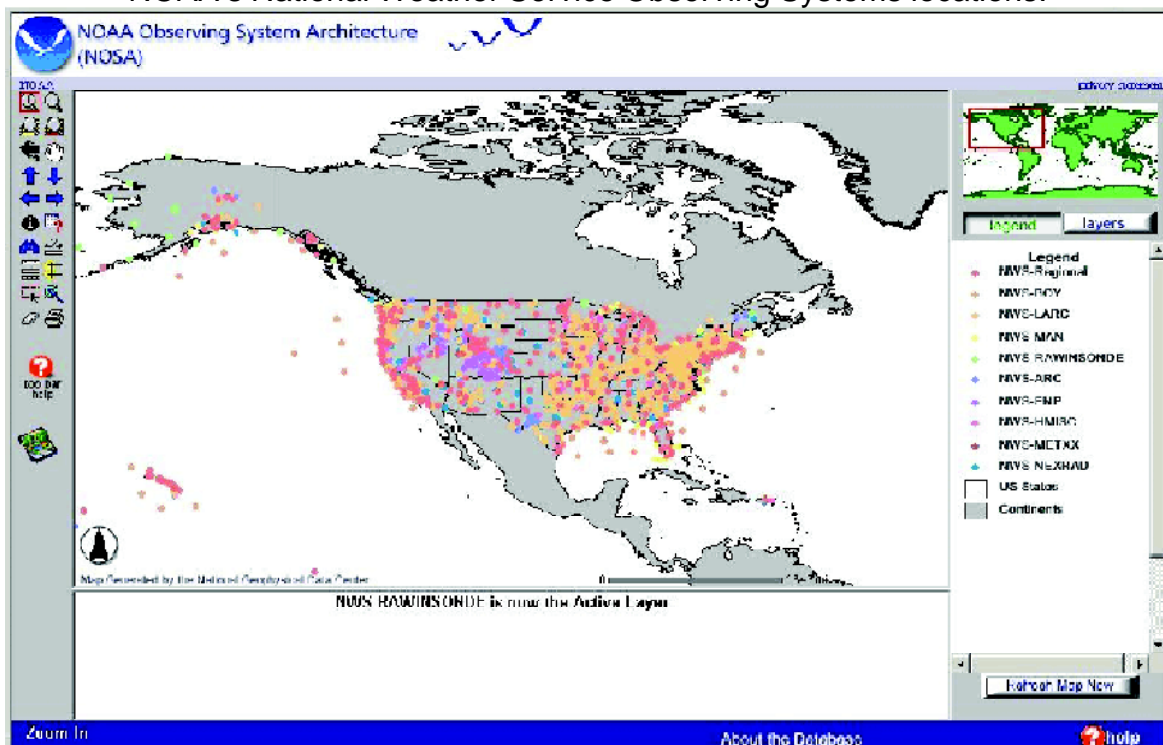
## Seamless Uses of Geospatial Data

Geospatial data covers information that identifies the geographic location and characteristics of natural or constructed features and boundaries on the Earth. This information may be derived from, among other things, remote sensing, mapping, and surveying technologies.

To group spatially-enabled data from a variety of environmental observations into a seamless database requires common horizontal and vertical geographic references. Unfortunately, the variety of geodetic and tidal vertical datums in use around the Nation has severely limited the usefulness of bathymetric, topographic, and shoreline data.

Such data can only be integrated if they are referenced to the same geodetic datum. Thus, for NOAA to integrate bathymetric and topographic data sets with those data sets from other mapping agencies, the data must be easily and accurately transformed to a common vertical datum. Important applications, such

NOAA's National Weather Service Observing Systems locations.



as storm surge modeling, hurricane evacuation planning, and the permitting activities of coastal resource managers depend upon integration in a standardized GIS. This capability does not presently exist in NOAA, and must be developed.

One impetus for the need for an enterprise-wide GIS in NOAA has been the development of the comprehensive NOAA Observing System Architecture (NOSA). NOSA was developed to enable NOAA to document its multiple observing systems and identify ways in which to evolve them in an integrated management approach. A key component of NOSA is a GIS capability that allows users to pose complex queries of a geospatially-enabled database of observing systems, resulting in easily interpretable maps of information.

The core principles for a NOAA Enterprise GIS will build upon existing standards and efforts, most importantly the Office of Management and Budget's (OMB's) E-Government Geospatial One-Stop portal, and the interoperability standards and protocols developed in consultation with the OpenGIS Consortium. Geospatial One-Stop is a part of the new OMB E-Government initiative to improve effectiveness, efficiency, and customer service throughout the Federal Government. The strategy, adopted by the President's Management Council in October 2001 implements the "Expanding Electronic Government" reform outlined in the President's Management Agenda.

NOAA is a full federal partner in the development of framework data standards associated with the GeoSpatial One-Stop effort as well as the inventorying, documentation, data collection, data discovery, and access to these framework layers. The Geospatial Information One-Stop Project will enable citizens and government to go to a single location to access Federal Government and other geospatial data assets. There are a number of challenges relating to Geospatial One-Stop that include:

- Better involvement of state and local governments and the private sector in an effective Geospatial One-Stop data standards development, data collaboration, and portal design process while maintaining traceability to business requirements;
- Better facilitation of improved geospatial data access and collaboration via the Geospatial One-Stop portal and other mechanisms;
- The development of policies regarding appropriate private sector use of the Geospatial One-Stop portal, for example, those dealing with the licensing of data to vendors;
- The development of interoperable web GIS interfaces and services, such as mapping and analysis, for the portal; and
- Anticipation of user demands for geospatial data access through the portal.

The success of Geospatial One-Stop will be dependent upon cooperation and collaboration between different agencies of the Federal Government; and between the federal, state, and local governments, and the private sector (e.g., utilities).

### **Scientific Stewardship of Data**

Effective utilization of climate data and information and long-term stewardship requires the ability to manage the Nation's climate records scientifically and provide relevant utility to a wide range of customers. Scientific stewardship can be characterized as maintaining scientific integrity and long-term utility of climate records through monitoring, improving quality, and the extraction of select key parameters from new observations and the historical records. Scientific stewardship is principally a data

management discipline designed to maximize the benefit of data beyond the initial and immediate short term uses. It is an important process encompassing the transformation of data to meaningful information, information to knowledge, and, ultimately, knowledge to understanding. Knowledge and understanding enhance the formulation of sound economic and environmental policies and decisions. The concept of scientific stewardship within NOAA means providing environmental data and information services necessary to answer global change science questions of the highest priority, both now and in the future. The practice of scientific stewardship is essential for maximum utility and long-term preservation of data records, and will lead to increased levels of confidence in climate model projections.

Scientific stewardship, with an emphasis upon satellite and radar data and information, is a new era in data management consisting of an integrated suite of functions to preserve and exploit the full scientific value of environmental data and information entrusted to NOAA. These functions provide effective observing system design, careful monitoring of observing systems performance for use in long-term applications, improved quality control, generation of authoritative long-term quality data and records, assessments on the state of the environment, and archive and access to data, metadata, products, and services. Successful implementation of scientific stewardship will ensure maximum use and public benefit of environmental data and information, now and in the future.

Maximizing the public benefit of the Nation's operational satellites, radars, and past proxy data sets requires implementing the scientific stewardship program. The involvement and consensus of the research community are essential to accomplish these objectives. Implementation of stewardship of environmental data from the NOAA observing systems will ensure the quality, usefulness, and accessibility of this national information resource treasure for current and future generations.

## **Homeland Security**

NOAA, as with many federal, state, and local agencies, as well as private industry, faces the challenge of doing business in a world of heightened security concerns and terrorist threats following the horrific events of September 11, 2001. Clearly, NOAA found itself in a new situation regarding data and information needs, customers, and procedures. Many of NOAA's data sets are used to support forecasting of and response to natural hazards, as well as their use in climate studies, marine applications, and a variety of industries (e.g., construction), and are essential in support of homeland security activities that include emergency response, monitoring, predicting, and modeling in times of national emergencies.

For example, as gateways to our largest cities and industries, U.S. seaports are vulnerable choke points and strategic targets for attack. The U.S. economy is dependent upon the uninterrupted and efficient flow of goods and services. Commercial ports also serve as logistical centers for rapid deployment of U.S. military forces and material, and must therefore remain open and protected. NOAA can offer assistance that is of great benefit to the U.S. Coast Guard, the U.S. Navy, and port authorities through its mapping and charting products.

NOAA's models of atmospheric, oceanographic, and water quality conditions provide crucial advance data for re-routing of vessel traffic, port condition forecasts, and low visibility navigation to keep traffic moving. They also are critical to air and water dispersion efforts. As an example, NOAA works closely with first responders by providing modeling information for incidents such as oil spills, toxic atmospheric conditions, and smoke plume trajectory so that decisions related to population and environmental safety can be made. Data once openly available may now be considered sensitive; posing challenges for how

NOAA will maintain its “full and open” policy for data and information in a new era of heightened sensitivities.

NOAA is taking these initial steps:

- The agency has implemented interdiction software as a way of trying to limit selling data and information to known terrorists;
- It is reviewing its Internet sites for sensitive information (launch schedules, modeling programs, etc.) which might be used adversely. This type of information is being removed or put in protected areas and customers are being dealt with off-line;
- It is improving protections for Internet sites through information technology methods that provide mission partners with their products and services while ensuring security of the information being delivered.

The problems NOAA faces to ensure its data are available when needed are numerous. Certain data streams must be delivered in real-time and, therefore, clean and uninterrupted communications are essential. Robust and redundant lines of communication must be established in advance of any emergency and tested on a regular basis. Systems must be put in place for 24x7 quality assurance of real-time data, based upon defined parameters, with the ability to discontinue data dissemination if quality or accuracy are in question. Systems need to incorporate knowledge-based expert software. Continued enhancements will be required as new sensor data are added. Also, back-up delivery and archive sites must be maintained to deliver the critical non-real-time data in a timely manner.

## **Conclusion: Vision for Earth**

The vision NOAA Administrator Vice Admiral Lautenbacher stated in the introduction is part of his broader vision for global observations. He indicated his support for an integrated global observing system in separate speeches delivered to the Intergovernmental Ocean Commission and the World Meteorological Organization in June 2002; a key excerpt from those speeches follows:

**I strongly believe that NOAA is the right agency to take a leadership role within the United States, but we know full well that we cannot do this alone. The global observation effort for climate is far too enormous for one organization, or even one country, to undertake alone. We must work together. Perhaps the greatest challenge is to develop one integrated observation plan for the atmosphere, ocean, and land which everyone can support. The Global Climate Observing System and Global Ocean Observing System, working with the Integrated Global Observing Strategy Partners and others, have developed international consensus on overall needs. There is, however, much work still to be done. This challenge lies in our ability to provide one coherent plan which integrates space and *in-situ* observations across those three elements.**

Toward this end, NOAA is taking a prominent role, partnering with NASA and other U.S. agencies to bring this global perspective into achievable reality. The initial effort began with an Earth Observations Summit on July 31, 2003. The need for this was widely recognized and provides the benefit of a sound plan for end-to-end stewardship of environmental data. This is a challenge and NOAA is on target to step up to this opportunity.

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# Introduction

The National Oceanic and Atmospheric Administration (NOAA) has a core mission to understand and predict changes in the Earth's environment and to conserve and manage coastal and marine resources to meet the Nation's economic, social, and environmental needs. The data and information from this network must then be organized, permanently preserved, then provided to scientists and researchers for study and tracking of the Earth's constantly changing environment.

*NOAA Environmental Data: Foundation for Earth Observations and Data Management System* describes the current state of, and future challenges for, the management of an ever-expanding environmental database pouring into NOAA from a world-wide data collection network and the progress towards bringing it all together to address the complex issues of today's world.

As required biennially by Congress, this Report assesses the adequacy of the environmental data and information systems of NOAA. The Report serves as an update to *The Nation's Environmental Data: Treasures at Risk*<sup>1</sup> provided to Congress in September 2001.

In this first decade of the 21<sup>st</sup> century, NOAA oversees a spectrum of environmental data management services that includes:

- Ecosystem Management
- Commerce and Transportation
- Hazards
- Climate Monitoring
- Ocean Data Management

As we plan for the second decade of the century, NOAA foresees cross-cutting challenges that apply to all NOAA-managed environmental data. These include:

- User Needs
- Technology Changes
- Increasing Complexities and Volumes of Data
- Seamless Uses of Geospatial Data
- Scientific Stewardship of Data
- Homeland Security

This Report addresses these data management services and challenges throughout NOAA. Our success at meeting the ever-increasing data management requirements will determine NOAA's success in meeting its primary mission goals. In addition to monitoring and observing the environment through investigation and interpretation, these services and challenges include understanding and describing how natural systems work together; assessing and predicting the changes of natural systems, and providing information about the future; engaging, advising and informing individuals, partners, communities, and industries as required and facilitating in the exploitation and application of appropriate data; managing coastal and ocean resources to optimize benefits to the environment, the economy, and public safety.

## II. Spectrum of NOAA Environmental Data Services

### A. Ecosystem Management

#### A New Era

NOAA has long played a leading role in marine, coastal, and estuarine ecosystem management. Explicitly through amendments to the Magnuson-Stevens Fishery Conservation and Management Act (MSFCMA) and implicitly in other stewardship programs, NOAA develops stewardship, research, monitoring, and coastal management programs based upon coastal and near-shore ecosystems and their plethora of associated habitats. In addition, NOAA is mandated to provide stewardship and management of coastal biological resources based upon the best available scientific information. In its purest form, scientific information derives from research studies and monitoring programs that use data at their core. Therefore, data management forms the foundation upon which accurate translation and dissemination of this information must be built. And, one of the foundation blocks is metadata or “data about data” that describe the content, quality, condition, and other characteristics of data. With new and rapidly developing capabilities to provide online access to standardized metadata, detailed data search, raw data, and geographic information system (GIS) mapping utilities, NOAA now enters a new era of ecosystem stewardship and management. In the near future, it will be possible for researchers, federal and state agencies, coastal decision-makers, and the public to access the coastal data record with a comprehensiveness and ease that was not available a decade ago. This access will provide tremendous benefit to society by maximizing the utility of the existing data record, while clarifying where the focus should be for future coastal ecosystem research and monitoring programs.

#### NOAA’s Stewardship History

Since its creation through President Richard M. Nixon’s Reorganization Plan Number 4 of July 9, 1970, NOAA has been the primary agency responsible for stewardship of the Nation’s coastal and marine natural resources. Of particular importance to that responsibility were the following: incorporation into NOAA of the National Marine Fisheries Service (NMFS), which formerly had been in the Bureau of Commercial Fisheries within the U.S. Fish and Wildlife Service; passage of the Coastal Zone Management Act of 1972 (CZMA) (now entitled The Coastal Zone Protection Act of 1996); passage of the National Marine Sanctuaries Act of 1972; and passage of the Magnuson Fishery Conservation and Management Act of 1976 (now the Magnuson-Stevens Act or MSFCMA). In addition, numerous important environmental laws fall within the scope of NOAA, NMFS, and National Ocean Service (NOS) programs, including but not limited to: the Endangered Species Act, Marine Mammal Protection Act, Clean Water Act, National Environmental Policy Act, and Oil Pollution Act and the Fish and Wildlife Coordination Act. Through the CZMA and the Marine Protection Research and Sanctuaries Act, NOAA has long been a leader in coastal and estuarine ecosystem management. More recently, this role has expanded explicitly to NOAA fishery management through requirements that NMFS apply the ecosystem approach to fishery research, monitoring, and management programs. The U.S. Environmental Protection Agency plays a significant role for endangered species activity in fresh water. The U.S. Fish and Wildlife Service coordinates with NMFS on marine species.



Under CZMA, each coastal and Great Lakes state with a federally approved (NOAA) coastal zone management plan (CZMP) is eligible for substantial financial assistance to implement the plan, and is given consistency review of federal permits and activities that affect the state's coastal zone. Through its CZMP, a state may determine coastal zone boundaries, define and manage land and water uses within the coastal zone, designate areas and/or habitats of particular concern, establish a planning process for energy facility development, establish a planning process to control and decrease shoreline erosion, and facilitate effective coordination and consultation between regional, state, and local agencies. Activities within the coastal zone may be subject to state CZMP permits, regulations, or other CZMP-enforceable policies. In addition, permits from other federal agencies that may affect a state's coastal zone usually may be granted only following that state's determination that the permit is consistent with the provisions of its CZMP. This gives coastal states substantial ability to influence federal activities through their coastal zones. Consequently, through CZMA, NOAA has become directly involved in the coastal ecosystem management of all but one coastal and Great Lakes state.

Another important provision of CZMA is the National Estuarine Research Reserve System (NERRS). The NERRS is designed to address the long-term goals of CZMA by conserving regionally characteristic estuaries around the Nation as ecological reference sites, and by improving coastal management through application of research and monitoring programs to state coastal zone management programs. Each of the 25 research reserves nationwide also conducts innovative public education and outreach programs that are designed to enhance public perception of coastal and estuarine resources. Subject to NOAA approval of a reserve management plan, each NERR is run by its host state, and is urged to work in close cooperation with NOAA and other reserves in the system. Close cooperation among the research reserves make the NERRS a valuable national coastal knowledge base, with a large potential for providing system-wide standardized research and monitoring data.

Similar to NERRS, the National Marine Sanctuaries Program (NMSPP) was implemented under the Marine Protection, Research and Sanctuaries Act of 1972 to protect and manage areas of the marine environment with distinctive national significance due to their conservation, recreational, ecological, historical, research, educational, or aesthetic qualities. Because of their emphasis upon stewardship, research, and monitoring, the 11 National Marine Sanctuaries also operate from an ecosystem management perspective, coordinate among themselves, and exhibit great potential for cross-cutting research and monitoring data with other NOAA programs.

## **Mandated Requirements**

Passage of the Sustainable Fisheries Act of 1996, which amended the MSFCMA, set forth a number of new requirements for fishery management, including increases in the detail and number of stock assessments that are to be conducted, consideration of ecosystem management in the management planning process, and determination of essential fish habitat for current and future federally managed species. These requirements significantly add to the data management needs imposed by the Act. For example, essential fish habitat delineation and critical habitat delineation (required under the Endangered Species Act and the Marine Mammal Protection Act) require data sets that cross-cut numerous fields. Fishery, oceanographic, and coastal science information are involved. These include foundation data layers such as bathymetry, temperature, salinity, hydrology, and other physical, chemical, and geological variables; habitat data layers such as emergent and submerged aquatic vegetation, sediment-type, bottom structure, floating algae such as *Sargassum spp.*, intermittently flooded marsh vegetation, and other estuarine ecological features such as bays, bayous (or sloughs in some regions), and coastal forests; and

life history data layers for both species of concern and other species that interact with these species (including humans). NOAA data management needs are similar for other important coastal issues such as marine alien species, habitat restoration, coastal change, ocean and coastal observing systems, harmful algal bloom observing systems, hypoxia, coastal risk, and homeland security related to ports.

## **Current Ecosystem Data Management Activities**

Much of current ecosystem data management within NOAA is composed of separate efforts located at the regional and national levels and among line offices. Currently, NOAA is developing several initiatives to integrate data management efforts for data located both within NOAA and throughout the research and management community. Included with this are: standardized metadata tools, enterprise GIS mapping capabilities, and data portals and middleware that allow remote access to data in standardized formats.

For example, NOAA employs a regional approach for fishery resources management in response to its mandate under the MSFCMA. Eight Fishery Management Councils, all serving separate regions of the United States, often require a unique mix of data to meet their needs. The Councils, composed of resource managers and regional members appointed by the Secretary of Commerce, are major clients of NOAA. NOAA must anticipate the Councils' needs for data and data syntheses that provide the background for management decisions and further direction for research, or to advocate for clear research direction.

While the Management Councils make recommendations on management regimes and regulatory measures, NMFS implements regulations and issues permits. Supporting these activities requires sophisticated data management and infrastructure. For example, there are currently about 24,000 permits managed by the Atlantic Tuna Fisheries Permit System, 14,000 permits issued by the Southeast Regional Office, and more than 15,000 permits issued by the Alaska Regional Office. When other smaller permit programs are counted, the total number of permits exceeds 90,000. This permitting system requires integrated data management, and continually improving metadata and access capabilities.

Other important information required by both the Councils and NMFS are socio-economic and socio-cultural data inputs. As a reflection of the size and scope of the task at hand, a recent NOAA workshop<sup>10</sup> estimated that there are up to 3,000 communities and ports in the United States and its territories involved in some aspect of fishing or fish processing. Furthermore, this workshop made an approximate estimation on the distribution of these communities and ports: Northeast, 500-600; Mid-Atlantic, 400; South Atlantic, 400; Gulf, 500-600; Caribbean, 120; Alaska, 350; Northwest, 50; Southwest, 100; Hawaii and Western Pacific, 94. This distribution represents a mix of all the kinds of communities engaged in fishing, both large and small. These communities benefit from the decisions made on resources by the Councils. In addition to marine resources data collection, recent laws in support of fisheries management require NOAA to collect socio-cultural information on all these communities. Standard databases are being created that will enable systematic access of community-based data, both within regions and across regions to build accurate, scientifically based analyses of the impact of fishery management actions.

NMFS maintains large ecosystem and fisheries management data holdings in relational databases at data centers located in Silver Spring, Maryland, and at regional offices in the northeast, southeast, southwest, northwest and Alaska. Data are managed by regional database administrators through the regional science centers and offices, and are coordinated by the NMFS headquarters offices of Science and Technology and the Chief Information Officer.



## Developing Programs and Future Needs

NOAA overall data management needs are extensive and cut across many disciplines. Numerous ongoing and developing programs in NMFS, NOS, and the NOAA National Environmental Satellite, Data, and Information Service (NESDIS) exemplify major steps NOAA continues to take to develop integrated programs for data management of ecosystem issues. Ongoing programs include the Coral Reef Information System (CoRIS), developed through a partnership between NMFS and NOAA's National Coastal Data Development Center (NCDDC); the national Harmful Algal Blooms Observing System (HABSOS); and the Integrated, sustained Ocean Observing System being developed in response to a Congressional request to the National Oceanographic Partnership Program.

The commercial fishing community in the Northwest is a direct user of the highly successful ATLAS program. ATLAS enables the at-sea observers to enter catch data into an authorized system via the Internet as required by the Northwest Fishery Management Council for several managed species. Not only does the system allow for vessel owners to manage more efficiently the way in which their boats fish target species, it also allows them to reduce their take of non-target species (termed bycatch).

Important developing programs that utilize improved data access, data management, and accompanying GIS mapping include:

- The national ecosystem data management program that focuses data access and management activities on habitat delineation for essential fish habitat and protected species;
- A data management, access, and GIS mapping and analysis initiative on-going in the Gulf of Mexico region and preliminary to national expansion for use in definition and management of essential fish habitat and protected species habitat (cross-cutting program partnership between NCDDC and NMFS);
- A marine alien species pilot project in Hawaii that will be expanded nationally to provide data management for invasive species programs;
- Estuarine habitat restoration science and management programs; and,
- Data management pertaining to large marine ecosystems.

With improved computer technology allowing for online access to data, the advent of integrative and standardized metadata development and management, and development of highly useful GIS mapping and analytical tools, all of these programs will grow and evolve in the coming years to encompass an ever-widening array of data access, products, and information offerings.

NOAA environmental data requirements for ecosystem management are based upon dozens of stewardship and management mandates that span the Nation's coasts from the coastal zone and related watersheds through the 200-nautical mile U.S. Exclusive Economic Zone. These mandates address ecosystems and associated habitats for managed fishery species, listed and protected species, estuarine and marine ecosystems, sea level rise, coastal change, coastal risk, and even homeland security. Research and monitoring programs resulting from these mandates generate vast data sets that require sophisticated management capabilities. With online metadata, data access, and GIS mapping tools, NOAA will have the capability to provide access to the coastal data record to a degree that had heretofore been impossible. However, much still needs to be done to standardize data sets and metadata, to manage research, monitoring, and data management programs in a coordinated way, and to improve overall

consolidation of data throughout the NOAA line offices. As NOAA incorporates an ecosystem-based model into fisheries and coastal zone management, efficient access to and effective use of habitat data will become critical components of planning and management. These data are integral to important activities such as defining the geographic extent of ecosystems, characterizing food webs and the associated habitats, describing the habitat needs of all managed species in food webs, developing indices of ecosystem health, and long-term monitoring of the chemical, physical, and biological characteristics of marine ecosystems.

The relatively recent push toward ecosystem-based approaches and the increasing demand for information to allow finer scales of resource management spatially (geographic distribution) and temporally (seasonally, monthly, and even weekly) calls for diverse data needs. In many cases, these needs are immediate, as coastal resource managers attempt to do their jobs on a day-to-day basis. However the task of providing accurate, timely, accessible data remains problematic, as much of the available coastal data record is scattered among various entities within local, state, and federal agencies; public and private regional organizations; universities; and the private sector, including industry and other interest groups.

### **Future NOAA Ecosystem Management Strategy, Goals, and Challenges**

Current data management efforts recognize similarities and differences among NOAA mandates, programs, and products. Within NMFS, several habitat pilot projects are being coordinated to develop a single architecture and nomenclature that will eventually be applied throughout the national habitat programs, including protection, habitat restoration, coral reef restoration, and other areas. Environmental data sets will be useful across programs working on protected resources, habitat, and fisheries; especially as the agency incorporates ecosystem-based approaches, regional applications, and other resource management mandates. Despite such planned and structured efforts to standardize the integration of data sets, some projects will still require special planning, involve different variables, and employ unique qualitative data models that may not be supported by existing databases. Management of NOAA environmental programs requiring cross-program cooperation to ensure design of an environmental data management system that is based upon national standard operating procedures, while maintaining the flexibility needed for individual programs.

NOAA is working to provide more innovative access to the entire coastal environmental data record and continually evaluates its needs for both environmental data and management of these data holdings. Through several interdisciplinary cross-cutting efforts among line offices, protocols are being developed that promise to enhance efficiency and consistency in data management, access, and GIS mapping. Traditional metrics, such as wetland acreage, pounds harvested, and oceanographic parameters, will be integrated with other data layers to give coastal researchers and decision-makers a measurable and consistently improving capability to access and use the coastal data record to address existing and emerging issues of local, national, and international importance.

New tools will be applied to NOAA data holdings to expand access to distributed data sets used to improve observing/monitoring protocols, understand relationships, and develop predictive tools. NOAA environmental and data management programs will include an inventory of both short- and long-term time series, review mechanisms for data set quality assurance/quality control, standardized metadata development and management, assimilation of cross-cutting baseline databases, and design research efforts to integrate comprehensive data sets. NOAA continues to strive for enhanced temporal and spatial scale environmental influences that overlay seasonal and site-specific biological complexes.

NOAA continues to aggressively address and pursue:

- Comprehensive inventories of internal and external data holdings to identify knowledge gaps;
- Research programs to fill vital gaps, including new procedures to ensure that data collections are spatially defined;
- Creating standard databases that will enable systematic access of community based data, both within regions and across regions to build accurate, scientifically based analyses of fishery management actions and their subsequent impacts to the environment;
- Standard protocols for systems architecture, project design, and related reports;
- Improved technology and training so that employees are prepared to employ the most effective available software and hardware to address management issues; and
- Partnerships to benefit from external data holdings.

Many management mandates require a long-term assessment because natural variability and the influence of other factors are only evident over the span of decades or longer. Thus, NOAA environmental programs will locate data sets and work with data providers to rescue data on deteriorating media, to digitize data, to develop standardized and federally compliant metadata, and to develop appropriate access to the data for use in research, monitoring, and management programs. NOAA will endeavor to compile the entire coastal data record, and make it available for use in addressing current and future resource management and research issues. By achieving this ambitious goal, the agency will have, in effect, “activated” the long-term coastal data record by making available to resource managers and researchers all the coastal data that has been collected over the last two centuries.

In addition, despite the intrinsic value of historical data, (e.g., estimating historic population size, reconstructing habitat maps, estimating the pristine state of coastal ecosystems, developing long-term time-lines), many databases lack a spatial component and consistent sampling methods, so that linkages to other data sets are difficult. Developing standards for linking data sets thus becomes an obvious priority. Similarly, standards of quality assurance will be implemented and maintained to provide data that meet critical definitions of scientific integrity. Finally, as the data become increasingly accessible, controls must be implemented and maintained to mitigate the potential for misuse of these data.

## **B. Commerce and Transportation**

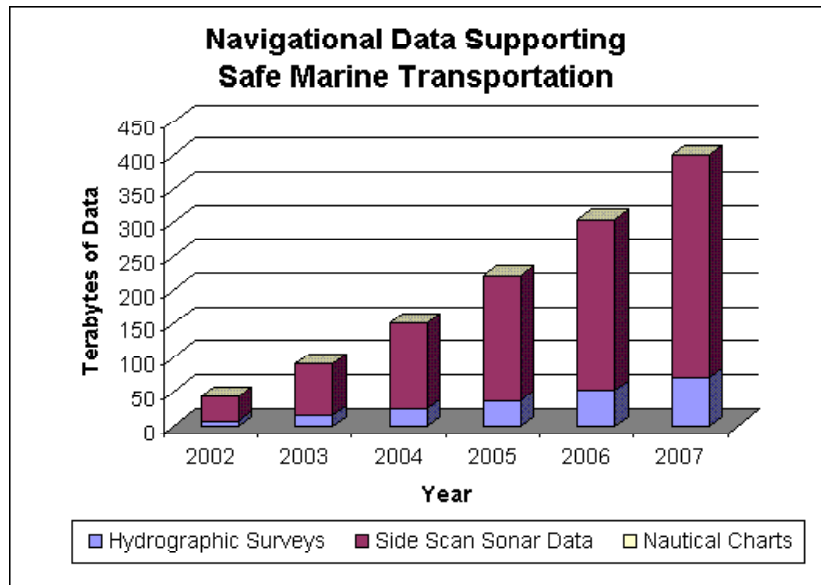
### **NOAA’s Critical Data and Information for Sea, Land, and Air Commerce**

NOAA provides critical information, products, and services essential to the safe and efficient transport of goods and people at sea, in the air, and on land. A wide array of data are acquired, ranging from periodic to continuous, ocean to land and the atmosphere, and utilizing both fixed and mobile platforms. Appropriate technology is needed to maximize both the quality and the efficiency with which it manages its data to ensure data are accurate, reliable, and readily accessible to meet the stringent demands of the transportation sector.

### **Marine Commerce and Transportation: History and NOAA’s Vital Role**

Maritime trade is vital to the Nation’s economic prosperity. Today, more than 95 percent of non-North American Free Trade Agreement United States foreign trade moves by sea. Two-thirds of everything purchased by American consumers arrives by ship, about 2.4 billion tons of cargo annually, and this trade

is conservatively expected to double by 2020.<sup>11</sup> Billions of barrels of oil and millions of tons of coal, as well as a steadily increasing supply of liquified natural gas, are shipped through the Nation's ports every year to consumers across the Nation and around the world. Vessels have also grown in size dramatically; over the last 50 years, the length, width, and draft of commercial vessels have doubled, posing significant safety concerns. In addition, the U.S. coastal recreation and tourism industry with over 17 million recreational boats has an annual economic value of over \$30 billion to the country.<sup>12</sup>



NOAA's mandated role is to assure the safe passage of vessels carrying these energy and commercial goods, passengers, and recreational boaters by providing accurate and timely navigational data and information. This critical information is acquired and distributed to mariners navigating our waters and to key players active in port and harbor development, from the ice covered waters in Alaska to the small estuaries along our coasts. The use of NOAA's data increases commercial efficiency, safety, and environmental responsibility in the Nation's ports and on the waterways.

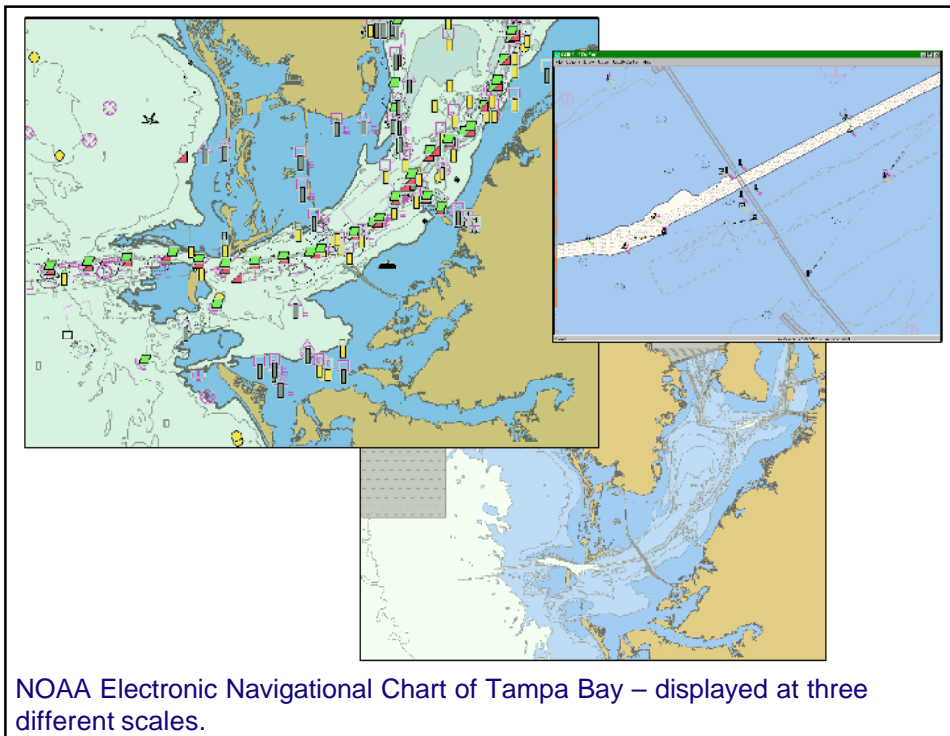
### NOAA's Navigational Data: Nautical Charts

NOAA's Nautical Charting Program is responsible for providing up-to-date charting information to support safe navigation in the waters of the



Example of NOAA's shoreline mapping activity for New Orleans, Louisiana. The image on the left is an aerial photograph. The image on right displays the shoreline derived from the photograph that is used on NOAA's nautical charts. Stereo photogrammetry with tide-coordinated, GPS-positioned aerial photography is used by NOAA to accurately delineate the shoreline.

United States and its territories. A nautical chart enables the mariner to fix positions and plot an efficient course while avoiding rocks, wrecks, shoals, reefs, tide rips, and other known hazards. Nautical charts and their source data, such as hydrographic surveys and supporting documentation, are legal documents that are often referenced in court cases dealing with vessel groundings or other mishaps at sea. As such, all chart editions, hydrographic surveys, and documents must be preserved, archived, and made readily retrievable.



NOAA produces and maintains a suite of approximately 1,000 nautical charts in paper and raster formats. A raster chart is an electronic “picture” of the paper chart that is not interactive. NOAA is in the process of building a complementary database of Electronic Navigational Charts (ENC’s) in vector format. A vector format allows the user to interact with the chart via software programs in many ways, such as choosing depths or objects and getting detailed descriptions of them, getting

a position of a navigational aid, displaying dredged channel depths, or setting safety zones based upon depth of water. It brings together many in-house and external data sources into a single, compiled, geographically referenced product that is provided in a standardized international format.

With ENC’s, mariners have taken a major step into the interactive (GIS) world. The NOAA ENC data product has the potential to be a coherent, up-to-date, best-scale representation of the coastal United States in vector form. The database being built by NOAA to support ENC production will be used to modernize all of NOAA’s navigational products. It is also being designed for direct access by non-navigational users who want to access specific data themes in the coastal U.S. and to download data in the format of their choice. This database is an important part of the U.S. geospatial data holdings. It will represent the only geospatial database of coastal information (shoreline, bathymetry, navigational features, etc.) that is kept up-to-date for changes to these features.

Building a system to maintain this database and loading it with the best available navigational data has been a difficult and resource-intensive task. Extensive use of contractors has helped with the loading process. Software companies have advanced the state-of-the-art in geospatial databases and product generation technology to the point that a system capable of meeting all requirements is now feasible. The next steps will be accomplished as a partnership between industry and NOAA. However, such a partnership will put an even greater demand on our resources. The challenge ahead is to build a system that will streamline production and ultimately improve productivity and product quality while continuing to produce the products upon which mariners, boaters, and other users depend.

### NOAA’s Ice Coverage Charts and Analyses

Sea and lake ice pose a serious hazard to shipping and other maritime activities in the Alaskan region, Great Lakes, and polar regions. Accurate ice analyses and forecasts are essential for ensuring safety of navigation and protection of life at sea year-round in Alaska and for the 6-month winter season in the



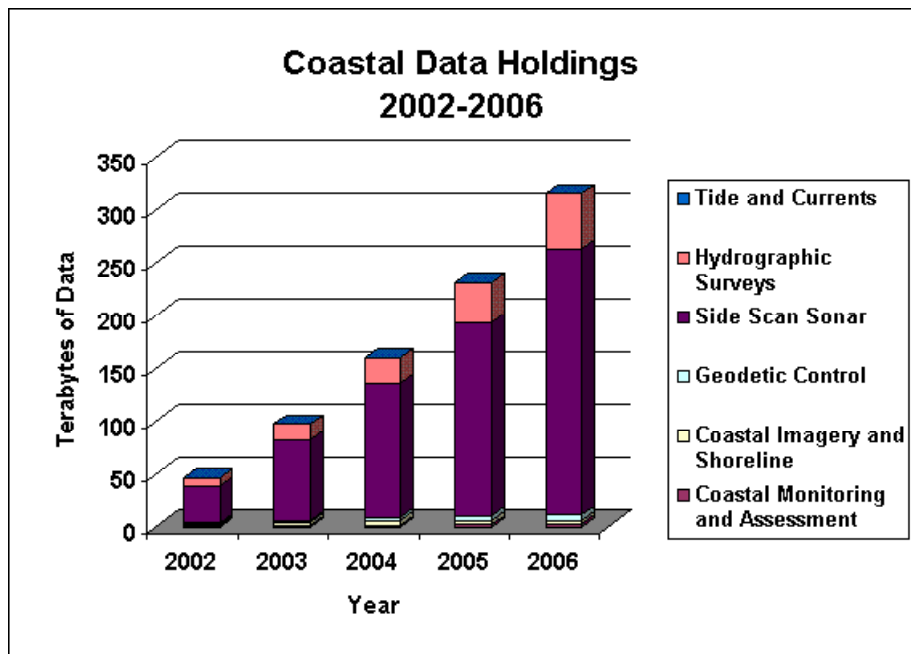
Great Lakes. Economic benefits include the prevention of marine vessel damage or loss, as well as significant fuel savings for the marine transportation industry when vessels are able to take the most direct path through the ice.

The National Ice Center, a partnership between NOAA, the U.S. Navy, and the U.S. Coast Guard, is an operational sea ice analysis and forecasting center that produces ice products designed to support the safety of U.S. shipping and marine transportation interests in the Great Lakes and Alaskan regions. In Alaska, the commercial fishing industry uses ice information on a daily basis to protect and ensure the safety of fishing vessels operating near and within the Bering Sea ice pack. The oil industry in Alaska is also critically dependent upon ice data during the annual maritime re-supply of Prudhoe Bay. In addition, the U.S. Coast Guard routinely uses detailed ice analyses to escort transport vessels and commercial carriers transiting the ice-covered Great Lakes. Mineral, natural gas, and petroleum extraction are performed adjacent to or in ice-covered waters in both the Northern and Southern hemispheres. Eco-tourism in the form of skiing explorers and cruise ships has become increasingly popular in both the Northern and Southern hemispheres.

The National Ice Center has produced weekly charts depicting U.S. territorial, Arctic, and Antarctic sea ice conditions since 1972. These analyses are produced using all available *in-situ* and remotely sensed data and prediction models. The National Ice Center operates on a 24x7 basis.

Recently, both observational and modeling studies have shown that sea ice concentration, thickness, and extent are important indicators of the state of the climate system. Due to intense interest in these data by the scientific community, the National Ice Center, in cooperation with the U.S./Russia Environmental Working Group of the U.S.-Russian Bi-national Commission on Economic and Technological Cooperation, released 1972-1994 Arctic ice analyses in digital format in October 2000. However, the format of this product suite cannot be transformed easily into one compatible with the GIS environment or scientific analysis software.

NOAA also recently released a 1973-2000 compendium of ice charts of the Great Lakes region. This data set has already proven invaluable for route and mission planning for safety of navigation in the region, as well as for scientific studies of change in the ice cover. However, resource levels at this time do not permit the addition of weekly lake ice charts produced after 2000. In addition to the weekly lake ice charts, complete, semi-automated, weekly quality-controlled, hemispheric coverages need to be developed with the data disseminated in user-friendly formats, and archived for future analysis.

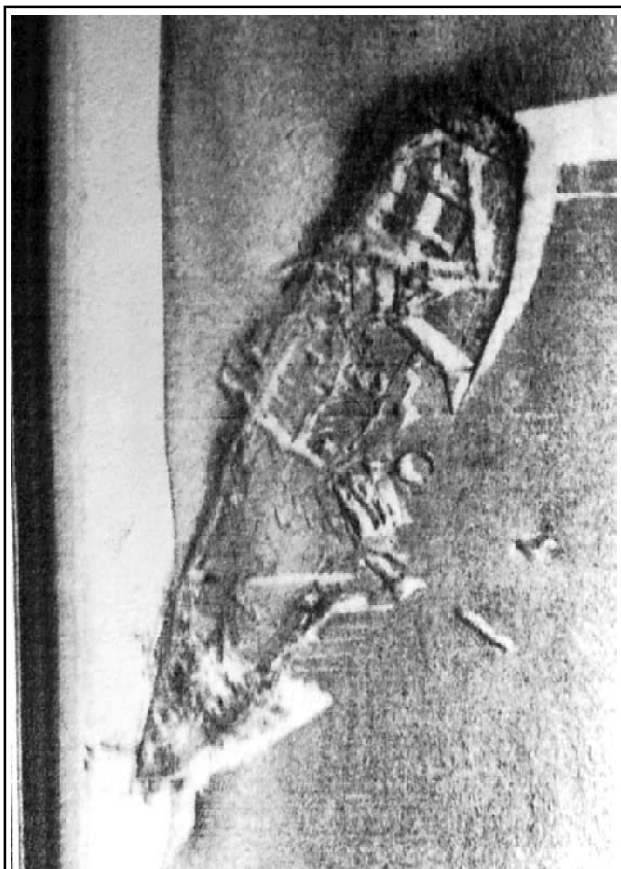


## NOAA's Hydrographic Surveys

NOAA and its predecessor agencies have been performing hydrographic surveys of U.S. coastal waters for more than 160 years. Hydrographic surveys measure and define the configuration of the sea floor because the precise locations of depths significant to surface navigation are critical on nautical chart displays. Hydrographic survey data also support port and harbor maintenance (dredging), coastal engineering (beach erosion and replenishment studies), coastal zone management, and offshore resource development activities. NOAA has an inventory of approximately 11,000 hydrographic surveys, of which only 5,100 are in digital form. The remainder are on paper or linen. These original documents, regardless of age, continue to be used for historical research and change analyses. It is critical that these surveys be digitized to support the development of ENC's and to supply information for GIS applications for users such as coastal zone managers.

With the many recent advances in technology, significantly larger data sets are being acquired through hydrographic surveying. Currently, cartographers are coping with large-volume, multibeam sonar data sets of up to 15 megabytes of data per day per vessel. Data handling, processing, quality control, and significant depth sounding selection issues are of major concern. Also, investigation is underway to

determine the best methods to distribute and archive the digital side scan sonar and bottom backscatter data sets acquired in support of nautical charting efforts [up to 600 gigabytes (GB) of data per day per vessel], which are of interest to the Department of Defense, the many entities studying fisheries, geologists, and a myriad of other users.



Side scan sonar picture of the Vineyard Lightship which sunk in 1944 during a storm. Side scan sonar is an invaluable tool for identifying potential hazards on the seafloor. Digital side scan sonars have been in use by NOAA for hydrographic surveying since 1997. As of 2002, 36 terabytes of data have been acquired and archived. By 2006, side scan sonar data are expected to increase sevenfold.

## NOAA's National Spatial Reference System

The health of the Nation's economy is directly dependent upon its ability to accurately reference its property and infrastructure. The NOAA-managed National Spatial Reference System (NSRS) provides a common geographic framework and is the fundamental infrastructure for all commerce, inland or marine. In conjunction with water level datum determination, NSRS is the basis for mapping, charting, navigation, boundary determination, property delineation, construction, resource evaluation surveys, and scientific applications. The accurate and consistent spatial reference provided by NSRS is critical to energy resource exploration and extraction as well helping to safeguard delivery, including import and export, of energy products. NSRS enables reliable land tenure and title systems. This system facilitates the efficient exchange and development of real estate, critical to the credit and mortgage industries.

NOAA validates, archives, and distributes data from the following components of the NSRS:

- A consistent, accurate, and up-to-date national shoreline;
- The National Continuously Operating Reference Stations (CORS), which are a set of Global Positioning System (GPS) stations meeting NOAA geodetic standards for installation, operation, and data distribution;
- A network of permanently marked points; and
- A set of accurate models describing dynamic geophysical processes affecting spatial measurements.

A major NOAA mission is to survey the United States' 95,000 miles of coastline and provide the Nation with an accurate, consistent, up-to-date national shoreline. The shoreline depicted on NOAA's nautical charts approximates the line where the average high tide, known as Mean High Water, intersects the coast. NOAA's shoreline mapping also provides the line where Mean Lower Low Water – or the average of the lowest tide levels – intersects the coast.

The method used today by NOAA to delineate the shoreline is stereo photogrammetry using tide-coordinated aerial photography controlled by kinematic GPS techniques. This process produces a seamless, digital database of the national shoreline and a database of aerial photography. NOAA is currently undergoing a transition from an analog-based shoreline compilation process to a digital-based process. When completed, this transition will not only enable NOAA to compile shoreline more quickly, but will also more efficiently populate the NOAA digital shoreline database and facilitate dissemination of requested shoreline data to the many users. These modernization efforts are anticipated to yield increased efficiencies, which will help NOAA move toward attaining an adequate mapping cycle.

Another aspect of NSRS is the national CORS system. CORS is comprised of some 300 ground-based stations that record GPS data on a continuous basis. Through NOAA's leadership, these GPS data are made freely available to the public via the Internet for applications that include 3-dimensional positioning, navigation, geophysics, and meteorology. The CORS system benefits from a multi-purpose cooperative endeavor involving more than 55 government, academic, commercial, and private organizations — each of which operates at least one CORS.

A sampling of specific geodetic products provided via CD-ROM and online through the Internet includes:

- Geodetic “data sheets” for the 750,000 permanently marked geodetic control points;
- GPS data;
- Aerial photography used in shoreline mapping activities, and aeronautical data used to develop airport runway approach procedures and airport



GPS Continuously Operating Reference Station (CORS) located near the Chatham Lighthouse, Massachusetts. This CORS station is one of 650 stations in the national CORS network managed by NOAA in cooperation with numerous partners. NOAA makes data from CORS publicly available to provide users with 3-dimensional positioning with an accuracy of a few centimeters.

obstruction charts;

- Online User Positioning Service allows users, including surveyors across the U.S., to input their own observations to NOAA over the Internet and to receive accurate coordinates (latitude, longitude, and height) based upon these observations and CORS data.

## NOAA's Coastal & Ocean Observing Systems: Marine Observation Network

NOAA's Marine Observation Network (MON) is another "backbone" of NOAA's coastal and deep ocean observation systems. The MON supplies 24x7 real-time quality-controlled measurements and products that support NOAA's missions of protecting life and property, promoting safe navigation, and husbanding our Nation's protected species and natural resources. The MON serves as one of NOAA's fundamental observing systems, providing measurements that include *in-situ* wind speed, direction, and gusts; barometric pressure; air and sea surface temperature; relative humidity; wave height, period, steepness, power spectral density, and direction; surface currents; ocean currents and temperature profiles; and salinity. The network provides observations from a variety of data acquisition platforms that include moored buoys, drifting buoys and floats; over 800 vessels in the Voluntary Observing Ship program that transmit data periodically; and Coastal Marine Automated Network (C-MAN) stations.

NOAA maintains approximately 60 moored buoys and 47 C-MAN stations to acquire marine atmospheric and oceanographic data in support of NOAA's National Weather Service (NWS) warning and forecast program. The buoys and C-MAN stations are located around the United States in the deep ocean and coastal zones. The data acquired include wind speed and direction, peak wind gusts, air temperature, sea surface temperature, barometric pressure, and wave height. They are transmitted hourly via the Geostationary Operational Environmental Satellites (GOES) and are available to marine weather forecasters within 30 minutes. The data are available on the Internet and are accessed easily.

The current spatial distribution of MON stations has created significant observational gaps in the MON framework. Significant improvements in service could be achieved by improving the NOAA Observing System Architecture (NOSA). Upgrades to current technology in NOAA's data management information systems, coupled with refinements in the NOSA, could lead to more cost-effective observation systems and allow all NOAA observations to be more accessible to NOAA's customers when needed. Common interface standards could improve communications and data availability to sister agencies, such as the Environmental Protection Agency, Department of Interior's Minerals Management Service, U. S. Army Corps of Engineers, U.S. Coast Guard, and other parts of the Office of Homeland Security. All have a need for real-time, quality-controlled information.

## NOAA's Coastal & Ocean Observing Systems: Water Level

For over a millennium, knowing the tidal water level has been essential for a mariner seeking to bring goods safely in and out of ports. In the last decade, ships have often moved mere inches from the bottom of narrow shipping channels. Knowing the



In 2002, a ship carrying cranes for a new port facility came under the San Francisco Bay Bridge with just a few feet of clearance. Accurate water level information was critical in ensuring safe passage under the bridge.

water level very precisely relative to a known datum is the difference between a safe passage and running aground with the potential for disastrous and costly oil spills. Confirmation of exact water depths can also allow a ship to load hundreds of thousands of dollars worth of extra cargo, or to better time arrival or departure to avoid waiting for the next tide. Just one inch of additional draft, the amount a ship settles into the water, can yield increased revenues of up to \$3 million. Having accurate tidal predictions or knowing actual (real-time), accurate water levels improves the efficiency of port operations while reducing the risks of groundings and collisions with overhead obstructions such as bridges.

NOAA's National Water Level Observation Network (NWLON), one of NOAA's coastal ocean observing systems, supplies long-term, sustained measurements of tides and water levels from 175 stations around the coast, including the Great Lakes, ocean island possessions, and territories. Some of the records contain data spanning more than 150 years, making them some of the longest geophysical records in the United States. In addition to supporting safe navigation by supplying critical water level information to mariners, NWLON serves as one of the fundamental observing system platforms for the NOAA tsunami warning system and provides the NWS with predicted and observed water level information needed for storm surge monitoring. Furthermore, it provides reference data for nautical chart products and the national shoreline; all marine boundary applications; and coastal construction of bridges, docks, and deep-water channels, dredging, surveying and mapping, and habitat restoration. Water level information and the associated tidal currents are used to help predict the behavior of hazardous spills. The data also are necessary in planning underwater demolition activities and other military engineering uses.

Some of the products and services resulting from NWLON include:

- Internet access, including telnet and File Transfer Protocol, to a standard suite of products that include data observations, predictions, inventories, historical data, tidal data, and benchmark information;
- Graphical representations of all observations and tidal predictions;
- Special hazards and event home pages providing observational data for the Federal Emergency Management Agency, NWS, and state and local agency emergency response teams;
- Special sea level variations and trends home page providing the latest sea level trends and monthly mean sea level anomalies; and,
- Historical records of the monthly and yearly highest and lowest observed water levels that provide



The NOAA tide station at Vaca Key, Florida Bay, supplies water level and storm surge data, wind speed, and air and water temperature to the community. This station is part of NOAA's National Water Level Observation Network (NWLON) comprised of 175 stations around the U.S. coast, including the Great Lakes and ocean island possessions and territories. Station data are transmitted ever 6 minutes to NOAA and made available over the Web to the public.

climatological information on the frequency and strength of coastal storms and hurricanes.

NWLON also serves as the infrastructure for implementation of NOAA's Physical Oceanographic Real-Time System® (PORTS®), a decision-support tool that allows the marine community to immediately access critical data needed for safe navigation. In partnership with local port authorities, pilot associations, the U.S. Coast Guard, the U.S. Army Corps of Engineers, the U.S. Navy, academia, and others, PORTS® has been implemented in various bays and harbors in the United States to measure and disseminate water levels, currents, salinity, air and water temperature, winds, and atmospheric pressure in real-time. Nowcast/forecast models also are being incorporated into several of the PORTS® data suites to provide mariners with short-term water level and current forecast guidance for 24 to 36 hours into the future. Given this information, U.S. port authorities and maritime shippers can more effectively determine in advance when a vessel can safely enter or leave a port and how much cargo the vessel can carry before it is constrained by channel depths. PORTS® provides data dissemination to local users via voice, text, and the Internet. New technologies and ways for disseminating the data (such as cell phones) are being pursued.



Ships are getting larger and drafts are getting deeper. Accurate navigational tools, such as PORTS®, are required.

The Continuous Operational Real-Time Monitoring System (CORMS) is a 24x7 system to quality control both PORTS® and NWLON data and, based upon set parameters, has the ability to discontinue data dissemination if quality or accuracy are in question. For example, if a salinity sensor became clogged with marine growth, the values could fall outside of expected parameters. Personnel monitoring CORMS would be notified by an alarm, would analyze the data, and decide to stop dissemination until the sensor was cleaned. This system is presently being upgraded to use knowledge-based expert software that will “learn” new data quality concerns and incorporate them into the monitoring. Continued enhancements are required as new sensor technology and new PORTS® sites are added. CORMS also monitors the operational nowcast/forecast model activity.

An improved quality-control system for the meteorological sensor data acquired at various NWLON sites is needed, along with the availability of user-driven products. Also, funding efforts are underway to both fully configure the NWLON for real-time data acquisition and dissemination, and to completely digitize the historical data series and make them available over the Internet along with their supporting metadata. As the number of PORTS® sites increases, accessing data via the telephone may prove to be inadequate; capturing the data via satellite is being investigated.

### **NOAA's Surface Transportation Partners and Support to Decision Makers**

NOAA provides real-time observations and analyses of temperature, wind, pressure, precipitation, and visibility to support the Nation's diverse land-based economy. Its core expertise and long history of documenting environmental conditions in the United States position it as an authority in siting, reporting, and data management practices for the Nation's environmental data.

NOAA provides support to its numerous federal, state, and private partners, including: Departments of Defense, Transportation (Federal Highway Administration (FHWA)), Interior (U.S. Geological Survey and

Bureau of Land Management), Energy, Agriculture, and Homeland Security (Transportation Security Administration and Federal Emergency Management Agency), Environmental Protection Agency, Nuclear Regulatory Commission, and state and local agencies.

NOAA's contribution to the surface observing system impacts the following parts of the U.S. economy: 3.9 million miles of roads, 120,000 miles of railroad tracks, 200,000 miles of gas and oil pipelines, 5,400 airports, and 6,600 miles of urban transit rail.<sup>3,4,5</sup> About 41,000 people die on U.S. roads every year in 3 million crashes. Seven thousand (17 percent) of these deaths and 800,000 crashes (27 percent) are weather-related with an economic impact from injuries, deaths, and crashes estimated to be \$42 billion annually.<sup>13</sup>

While not all weather-related accidents can be avoided, a significant number of deaths and injuries, and their associated costs, can be avoided through improved observations, communications, and forecasts. One study showed that 83 percent of accidents and 62 percent of road maintenance labor can be avoided with an accurate forecast that could trigger anti-icing pre-treatment.<sup>14</sup> NOAA's nationwide surface and weather radar observing network, coupled with state Department of Transportation Road Weather Information Systems (RWIS), provides the foundation for this capability.

NOAA is an integral component in supporting the following partners' surface transportation decision support systems -- *FORETELL* and the *Advanced Transportation Weather Information System (ATWIS)*:

*FORETELL* is a multi-state initiative covering the Upper Mississippi Valley region and funded in part by the FHWA. The goal of the *FORETELL* program is an RWIS integrated with a wider set of Intelligent Transportation System services to enhance safety and facilitate travel. *FORETELL* provides detailed weather forecasts, generated four times per day for the next 24 hours, with hourly updates, available to users via the public Internet. Spatial resolution is on a 10-km grid, and the forecasts are mapped to interstates and highways to predict pavement conditions. It also collects atmospheric and road condition observations from roadside sensors and mobile platforms, processing them into "plain English" descriptions for users.

*ATWIS* provides in-vehicle information on road conditions as well as weather forecasts. *ATWIS* began as a means of providing route-specific road condition reports and nowcasts (forecasts from the current time through the next six hours) by cellular telephone to rural travelers in the northern Great Plains. The weather forecasts, which are updated hourly, provide information from a traveler's present location (specified by saying the interstate highway mile marker and direction of travel) to approximately 60 miles down the traveler's route. Road weather observations, including road surface conditions, are acquired through coordination with the state departments of transportation.<sup>15</sup> *ATWIS* currently is available in three states (North and South Dakota and Minnesota), covering 96,000 miles of highway. Surveys conducted by an independent evaluator found that 94.3 percent of travelers who knew of the service believed it would benefit their safety in the future.

## **NOAA's Weather Observing Systems**

NOAA sponsors and operates a variety of observing systems on land, in the oceans, from aircraft, and from satellites in space. In addition, there are more observing systems operated by other federal, state, and local agencies, the private sector, and foreign countries.

NOAA operates a suite of satellites and *in-situ* climate observing systems, which support the monitoring

of the Earth's climate and weather systems. The NOAA National Data Centers (NNDCs) (comprised of the National Climatic Data Center (NCDC) in Asheville, North Carolina; National Geophysical Data Center (NGDC), Boulder, Colorado; and National Oceanographic Data Center (NODC), Silver Spring, Maryland) are responsible for the long-term stewardship of the significant amount of environmental data and information collected from the *in-situ* (land and ocean), airborne, and space-based NOAA and non-NOAA sources. These NNDCs are also part of a global network of designated World Data Centers, which manage and share the data from international partners who operate observing systems.

The data from the satellites and climate observing systems are ingested, inventoried, documented, quality controlled, analyzed, archived, and made accessible. Access to global observations provide researchers, businesses, and decision-makers the global perspective required to be economically competitive, conduct research, and formulate policies and decisions. A broad and diverse worldwide clientele have unprecedented access to a wide variety of observations that provide the basis for informed decisions by policy makers, climate researchers, the public, and small and large businesses in every economic sector (e.g., energy, agriculture, water resources, transportation, engineering, health, recreation, etc.). The array of these observational data sets ranges from tree rings and ice cores, to hand-written daily weather observations, to billions of bits of remotely measured data from weather radars and satellites. Managing and extracting useful information from these ever increasing and complex data sets are on-going challenges for the NNDCs.

### **Automated Surface Observing System (ASOS)**

The Automated Surface Observing System (ASOS) is the Nation's primary surface weather observing network. ASOS provides reliable, 24-hour per day, continuous surface weather observations at approximately 1,000 airports nationwide. NOAA's NWS owns and operates 330 of the stations and operates the remaining stations under reimbursable agreement with the Federal Aviation Administration (FAA). ASOS observation parameters include wind, temperature/dew point, pressure, cloud height/coverage, rain/snow/freezing rain discrimination, visibility, rain accumulation, fog, haze, and thunderstorm potential.

Once ASOS data are collected from their source, they are initially quality controlled at the sensor using internal gross error checking and system alarms. This is followed by more rigorous quality control by both software and humans. The data are stored at the local NOAA NWS Weather Forecast Offices (WFOs) for a period ranging from one to five days to support NOAA's protection of life and property mission, and then the data are transferred to the NOAA NCDC for long-term storage.

### **Cooperative Observer (COOP) Network**

Today, the Cooperative Observer (COOP) network forms the only comprehensive, nationwide, unbiased source of weather and climate observations in the United States. The network is the Nation's largest and oldest climate/weather network. The observations provide the bulk of historical climate data for the United States. The network was established in 1890. Observers submit monthly summaries of their 24-hour manual observations to the NOAA NCDC for quality control, archiving, and publication. Currently, the network consists of 11,700 volunteer-operated surface observing sites which record precipitation on a daily basis, with approximately 5,500 of these sites also recording daily high and low temperatures.

The modernization of the COOP network entails the addition of automated weather sensors and real-time

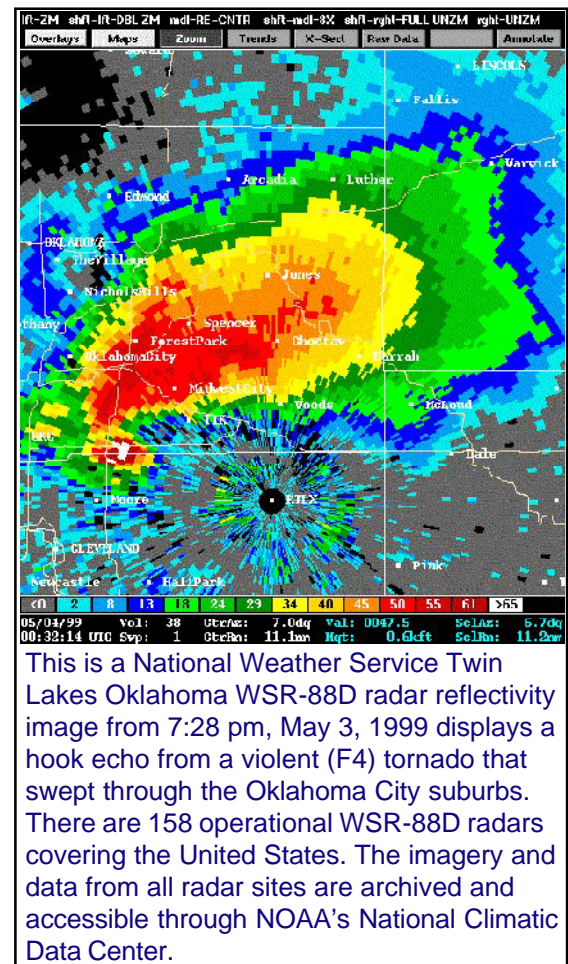


communications and processing capability. This initiative will provide the Nation with an adequate network of accurate surface data obtained with state-of-the-art measurement, monitoring, and communication equipment, universally available via the Internet. The modernized network will ensure the continuity of the Nation's climate record, meet the exploding demand for higher density, real-time surface data by weather-sensitive industries (e.g., energy producers and the weather risk industry), along with private and public weather services, and will provide a new comprehensive data source for improving local forecasts and longer term climate forecasts.

## Next Generation Weather Radar (NEXRAD)

NOAA's NWS operates and maintains a network of 121 Doppler weather radars used for severe weather detection and local forecasting (i.e., rainfall amounts). The NWS radars are a substantial component of the total 158 NWS, FAA, and Department of Defense (DoD) NEXRAD radars. The NEXRAD network is the primary means for detecting, forecasting, and warning for severe local weather events such as thunderstorms, tornados, and flash floods. Doppler radars also provide area precipitation measurements, important in forecasting potential flooding. The NEXRAD network since its deployment has contributed to a dramatic increase in average tornado warning lead times. In the pre-NEXRAD era, lead times were five minutes or less. Now, the average lead time for such warnings has doubled to 11 minutes. Improved lead times for just one event may have dramatic effects. For example, warning lead times issued well in advance of the May 3, 1999, Oklahoma City barrage of tornados were estimated to have saved 684 lives. In particular, the NOAA NWS WFO in Norman, Oklahoma, issued the first tornado warning for the tornado that struck Oklahoma City approximately one hour before it hit.

Current NEXRAD product improvement program activities underway include the Open Radar Data Acquisition (ORDA) effort to replace the current signal processing equipment with improved commercial-off-the-shelf units and the Dual Polarization program, which includes replacement of the radar signal generator with improved signal technology. The Fiscal Year 2004 President's budget accelerates the deployment of the ORDA, and provides for some Dual Polarization improvements. The acceleration of ORDA may enable the NWS to improve tornado warning lead times from 11 minutes to 15 minutes by 2007 and save \$2.4 million from the total cost of the NEXRAD Product Improvement Program.



The ORDA systems will double the range for detection of small tornados from 120km to 240km, increase coverage area for small tornado detection by 80 percent, and decrease volume scan time from 5 minutes to 2.5 minutes. Dual Polarization information will enable NWS forecasters to provide better rainfall estimates and precipitation identification, as well as provide information on aircraft icing potential.

The data will allow forecasters to discriminate between rain, snow, and ice pellets, thus providing much improved snow accumulation estimates. The ability to filter out what weather forecasters call “clutter” (technical name “anomalous propagation”), which can significantly degrade precipitation amount and wind estimates, will be improved. Clutter is caused by fixed obstacles, such as trees, buildings, and hills near the radar, as well as transient objects such as birds and insects. Dual Polarization data will allow the NEXRAD to remove these “clutter” errors and significantly improve the accuracy of the wind and precipitation observations.

These improved capabilities of NEXRAD also pose data management challenges. The additional information resulting from the deployment of the ORDA and Dual Polarization capabilities will increase the amount of (uncompressed) data by a factor of almost 30 by 2010. This increase will significantly impact NOAA’s communication and data storage infrastructure. NOAA also will be challenged to maintain continuity of precipitation, wind velocity, and derived product algorithms as the NEXRAD system is upgraded.

### NOAA’s Aviation Data and Partners

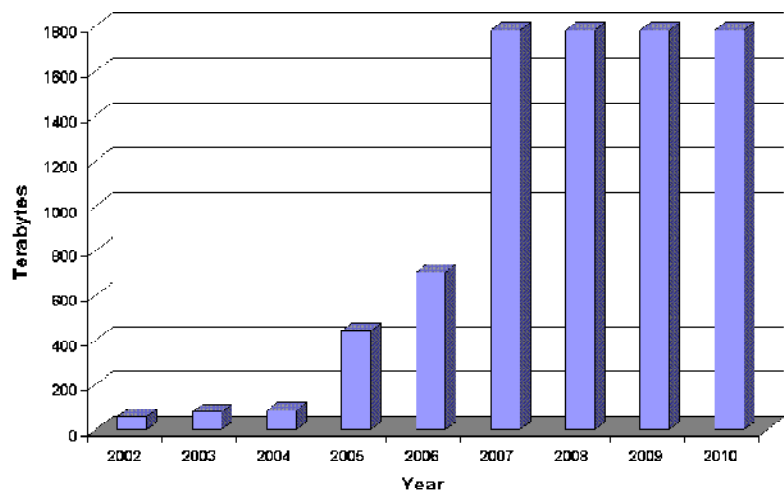
NOAA provides real-time observations and analyses of temperature, wind, pressure, clouds, turbulence, volcanic ash, and icing to support the Nation’s general aviation, package, and commercial carriers. NOAA’s atmospheric and radar observing systems form the backbone of an expanding public/government partnership for observing the Nation’s airports and air corridors that make up the National Aerospace System.

It is estimated that air traffic delays result in an annual \$6 billion loss to the economy, of which 70 percent of the delays are attributed to weather.<sup>16</sup> NOAA works with its partners in the FAA, research associates, and private industry to minimize impact of weather on aviation interests.



"An alert theater manager in Van Wert, Ohio, was listening to early warnings on his weather radio on Sunday, Nov. 10, and cleared an auditorium of matinee movegoers in time to avoid disaster. A tornado hurled these cars onto the seats. Paul Van Dyke is a volunteer ham radio spotter . He took the photo while accompanying NOAA staff on a storm survey."

Projected NEXRAD Data Volumes



NOAA observing systems are an integral component of the larger U.S. transportation economy as well as the following partners' (FAA, airport authorities, and air carriers) aviation decision support systems:

- Corridor Integrated Weather System
- Integrated Terminal Weather System

NOAA data used by these systems includes NEXRAD, radiosonde, wind profilers, and the ASOS.

### **NOAA's Upper Air Observing System: The Radiosonde and Profiler Network**

The NWS Radiosonde network provides upper-air weather observations consisting of temperature, pressure, humidity, and wind speed/direction. Measurements are taken twice a day at 102 locations nationwide.

Radiosondes are the primary source of *in-situ* data required by NWS numerical weather prediction models that form the basis of all NWS forecasts for day two and beyond. While satellite data comprise the majority of all data used in Numerical Weather Prediction models, actual meteorological measurements taken of the atmosphere from radiosondes are critical to “initialize” the models and validate satellite measurements.

NOAA operates the NOAA Profiler Network (NPN) which contains 35 stations providing a vertical wind profile from the surface to approximately 53,000 feet, every hour. The NPN stations are primarily distributed throughout the central United States.

NPN data are used to monitor the atmosphere to determine the potential for severe thunderstorms, trajectory of heavy snowfall areas, possibility of low stratus and surface visibilities, as well as for real-time flight level winds for aviation route and fuel planning.

## **C. Hazards**

### **NOAA's Geostationary and Polar Satellite Systems: Hazard Sentinels**

Today, satellites provide continuous global surveillance to allow determination of the location, size, and intensity of developing storms. They detect wildland fires and provide airline and utility companies information about impending solar activity.

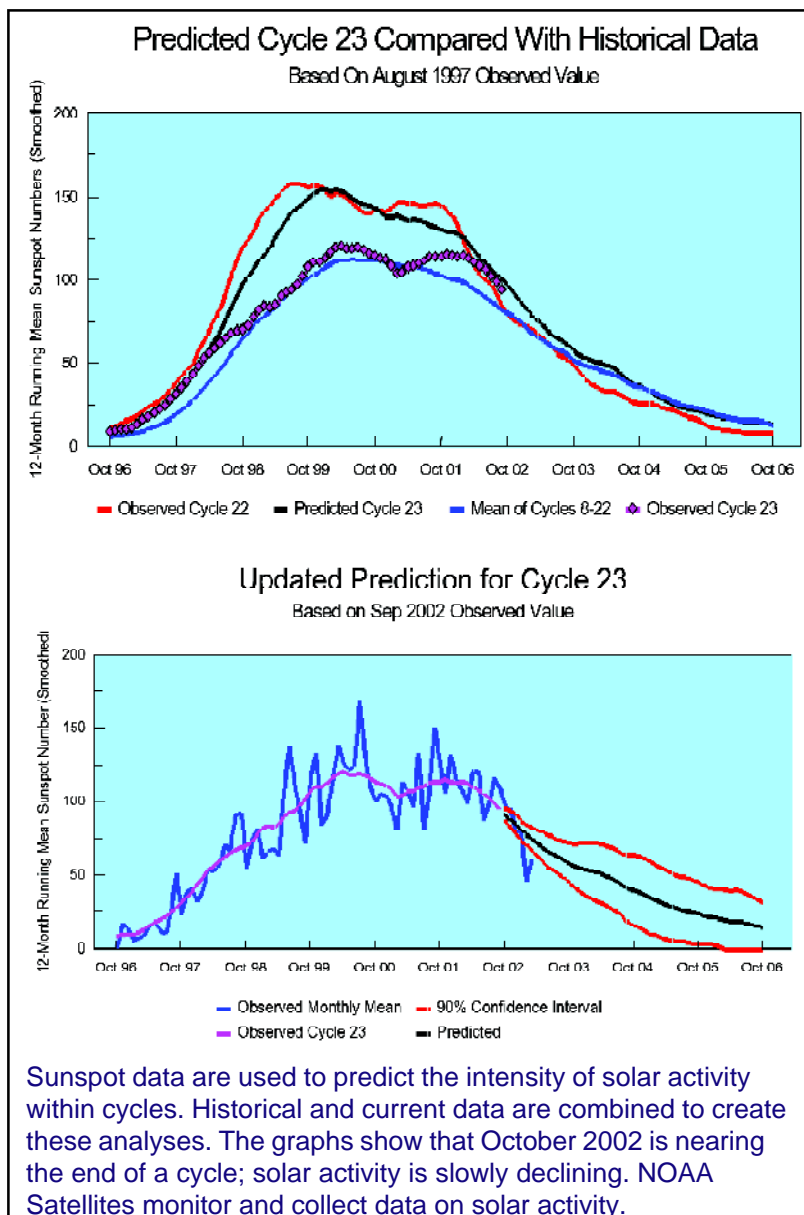
NOAA operates the Nation's civil geostationary and polar-orbiting environmental satellites, and manages the largest collection of atmospheric, geophysical, and oceanographic data in the world. These activities are critical to monitoring of the environment, and analysis of hazards potential.

GOES satellites provide national and regional short-range warnings and nowcasting capability. Positioned over the Equator at approximately 22,300 miles above Earth, two operational satellites provide continuous monitoring of the Earth's atmosphere and surface over the Western Hemisphere. Imagery from these satellites is used to monitor potentially severe weather conditions. Infrared channels can help to detect forest fires, sea surface temperature, fog formation, and volcanic plumes.

Polar-orbiting operational environmental satellites (POES) provide global long-term forecasting and environmental monitoring. These satellites are north-south orbiting at 500 miles above the Earth, two operational satellites viewing the entire planet approximately every 6 hours. Data from POES support a broad range of applications, including climate research, ocean dynamics studies, drought and forest fire detection, and vegetation analyses.

Data from the U.S. Air Force's Defense Meteorological Satellite Program (DMSP) are archived by NOAA and are used to monitor cloud density, city lights, fires, and energetic particles from the Sun (which cause aurora and other upper atmospheric phenomena).

Currently, on a daily basis, NOAA ingests and manages approximately 51.5 GB of GOES data, 6.3 GB of POES data, and 5.5 GB of DMSP data, most of which are used for hazard, weather, and climate monitoring applications.



## NOAA's In-situ Observations for Hazard Monitoring

*In-situ* observations are those collected from individual locations on the ground, in the ocean, or in the air. A rain gauge at a commercial airport, a ship report of wave height, measurements from a geomagnetic observatory, or a ship's survey path measuring ocean bathymetry are examples of *in-situ* environmental monitoring.

Such on-site observations are necessary for the calibration of satellite sensors, filtering of space-borne data contaminants, and to aid in satellite drift adjustments. Satellite instruments observe Earth's surface and atmosphere through the entire depth of the atmosphere. Any atmospheric contamination (such as clouds or airborne particles) limits the instruments' ability to detect the atmospheric conditions near Earth's surface.

*In-situ* data platforms are often used in tandem with satellites, providing geographic referencing. The GOES Data Collection System can receive transmissions such as those from buoys, sensors onboard aircraft, and river gauges, and relay the information back to the owner of the platform. This allows for

near-real-time use of data.

There has been a tremendous growth in using NOAA satellite and *in-situ* data with geographic information systems, global positioning systems, and computer modeling. Each application adds significant value in monitoring and assessing potential natural and man-made disasters. This is essential to rapidly deploying resources in both pre- and post-disaster situations.

## NOAA Hazard Data Observations: Hurricanes & Severe Weather

Each year, on average, 10 tropical storms (of which six become hurricanes) develop over the Atlantic Ocean, Caribbean Sea, or Gulf of Mexico. An average of five hurricanes strike the U.S. coastline every three years, of which two will be major hurricanes (with wind speeds exceeding 111 miles per hour). More dangerous than the high winds is the accompanying storm surge, which can devastate coastal communities as it sweeps ashore. This is what destroyed Galveston, Texas, in 1900, causing 6,000 deaths. In recent years, fatalities associated with storm surges have been greatly reduced as a result of better warnings and enhanced preparedness within coastal communities. On an average annual basis, \$2.5 billion in damage costs are *not* incurred as a result of more accurate hurricane watches and warnings. The economic impact of lives saved accounts for \$1.45 billion of the \$2.5 billion, and \$1 billion is due to spared property.<sup>17</sup>



Each year NOAA's National Weather Service (NWS) issues more than 734,000 fire weather, public, aviation, and marine forecasts; 850,000 river and flood forecasts; and nearly 30,000 potentially life-saving severe weather warnings from 122 forecast offices.

Complex numerical weather forecast models that form the basis for the forecasts and warnings require a supercomputer that handles over 3 trillion calculations per second. It would take a hand-held calculator 5,000 years, working 24 hours a day, 7 days a week, to perform the calculations that the supercomputer accomplishes in one second.

Instruments on GOES and NOAA and U.S. Air Force aircraft that fly into hurricanes determine hurricane location, size and intensity. As hurricanes approach land, forecasters along the U.S. coasts monitor storm movement through the use of the NEXRAD radar network. NOAA's National Hurricane Center provides detailed media information and forecasts to predict where and when the hurricane will hit land, aiding in determining if, when, and where to evacuate. The data are archived and accessible at the NNDCs.

NOAA began to issue five-day hurricane forecasts when the 2003 hurricane season began on June 1st. Data from the 2001 and 2002 testing periods indicate that the five-day track forecast will be as accurate as the three-day forecast was 15 years ago. Earlier awareness will increase public safety, allowing for longer preparation time.

NOAA issues about 26,900 warnings, advisories, and statements annually from 122 NWS WFOs for the Nation's 3,066 counties, parishes, and boroughs. Thirteen NWS River Forecast Centers issue hundreds of river stage and flood statements for 792 river basins.

Severe storms can cause death and extreme destruction and can have a major impact on the economy. A major winter storm can last for several days and be accompanied by high winds, freezing rain or sleet, heavy snowfall, and cold temperatures. Extremely cold temperatures, snow accumulation, and sometimes coastal flooding, can cause long-term hazardous conditions.

More than 10 percent of the estimated 100,000 thunderstorms per year are classified as severe. The term is applied to storms with wind gusts greater than 58 miles per hour or hail 3/4 inch diameter or larger.

Although violent tornadoes comprise only two percent of all tornadoes, they are responsible for 70 percent of tornado-related fatalities. There are no areas immune to tornadoes. More than 1,000 tornadoes are reported annually nationwide, and as tornado detection systems improve, more are being reported each year.

Extreme heat accompanied by high humidity and sometimes accompanied by stagnant atmospheric conditions creates dangerous stresses in humans. NOAA provides a “Heat Index” and excessive heat guidelines tailored for major metropolitan centers based upon city-specific meteorological conditions. NOAA monitors drought conditions throughout the year, issuing short term and long-term forecasts.

A prototype of the North American Drought Monitor, based upon the United States Drought Monitor, has been developed by representatives from meteorological, hydrological, climatological, and agricultural agencies from Canada, Mexico, and the United States. The United States agencies participating in this effort include NOAA, the Joint Agricultural Weather Facility (Department of Agriculture), and the National Drought Mitigation Center. Once the peer review is completed and approved by Canadian, Mexican, and United States Government officials, the North American Drought Monitor will be introduced to the public sometime during 2003.

### **NOAA Hazard Data Observations: Space Weather**

Space weather has a significant effect upon energy providers, communication, transportation, and space exploration interests. The Sun periodically generates geomagnetic solar storms that have caused massive power outages. The economic value of an operational geomagnetic storm forecasting system in the North American electricity industry is estimated at about \$450 million over three years.<sup>18</sup>

Instruments aboard NOAA’s polar-orbiting satellites are used to provide a space weather storm warning system. The space environment monitor aboard NOAA’s most recent geostationary satellite mission (GOES-12) is designed to provide real-time measurement of space weather, flares, solar radiation storms, radio blackouts, and geomagnetic storms. Researchers and data modelers work together to improve information analyses using the data collected from these satellites.

### **NOAA Hazard Data Observations: Seismological Hazards**

Tsunamis are ocean waves produced by earthquakes or underwater landslides. As the waves approach the coast, their speed decreases and their amplitude increases. The waves can be very destructive and cause many deaths or injuries. Earthquake-induced movements of the ocean floor most often generate tsunamis. Landslides and volcanic eruptions can also generate a tsunami. If a major earthquake is felt, a tsunami could reach the beach in a few minutes, even before a warning is issued.

The United States is the third in the world, after Japan and Indonesia, for the number of active volcanoes. Most of the Nation's volcanoes are in Alaska. However, the United States may experience effects from volcanoes that erupt in other geographic regions. Volcanic ash consists of fine, glassy rock fragments that can affect people and equipment hundreds of miles away from an eruption. It poses an ever-increasing threat to aviation safety as transportation expands throughout the Pacific Rim. Airborne ash can diminish visibility, damage flight control systems, and cause jet engines to fail. NOAA meteorologists track volcanic eruptions throughout the world and monitor all available satellite images for ash plumes. In collaboration with the FAA, the International Civil Aviation Organization, and other ash advisory centers worldwide, NOAA develops volcanic ash advisory statements. Pilots are warned to avoid routes with a high probability of danger. NOAA currently archives two gigabytes of tsunami-related seismology and volcano data.

### **NOAA's Hazard Data Management Responsibilities**

During the occurrence of an extreme event or disaster, NOAA collects and manages real-time data and communicates hazard information and warnings. This information assists first responders in their task of emergency management. In the recovery period after an event, NOAA documents what happened with the goal of understanding physical processes and improving modeling, forecasting, and warning.

NOAA provides weather, water, and climate forecasts and warnings for the United States, its territories, adjacent waters, and ocean areas. NOAA is the sole United States official voice for issuing warnings during life-threatening weather situations, and also provides watches and warnings for non-weather hazards.

NOAA's flood forecasting function relies almost entirely upon automated data as opposed to manual observations. Other federal agencies, including the U.S. Geological Survey, contribute to these flood forecasting services. Real-time data are transmitted from field sites using a variety of techniques that include line-of-sight radio, microwave, and satellite.

The data are screened for quality before being placed into computer models. Each geographic region has models configured to its own parameters. Flood forecasting involves a skilled balance of experience, analyses of current conditions, and analyses of model results. This leads to site-specific warnings, watches, and advisories.

NOAA issues daily forecasts, alerts, warnings, and watches about disturbances in the solar-terrestrial environment. At times of high solar activity, NOAA's space environment Internet pages record more than one million queries per day.

As part of an international cooperative effort to save lives and protect property, NOAA operates two tsunami warning centers. The Alaska Tsunami Warning Center in Palmer, Alaska, serves as the regional Center for Alaska, British Columbia, Washington, Oregon, and California. The Pacific Tsunami Warning Center in Ewa Beach, Hawaii, serves as the regional Center for Hawaii, and is an international warning center for tsunamis that pose a Pacific-wide threat.

Tsunami watch, warning, and information bulletins are disseminated on a geographical basis. In addition to regular media coverage, NOAA Weather Radio also provides direct warning information to the public. The U.S. Coast Guard broadcasts this information via medium- and high-frequency marine radios.

## **NOAA's Data and Services for Hazardous Incidents: Fires and Drought**

Each year more than 100,000 wildland fires occur in the United States. Lightning starts about 10 percent of these fires, while humans start the remaining 90 percent. NOAA and non-NOAA satellite data are used to identify hot spots and track smoke plumes. Computer models determine air quality forecasts, important for the medical community's response to fire-related symptoms. NOAA also integrates hot spots and smoke plumes with the goal of integrating other fire information such as forecasts of smoke plumes, wind, and air quality into a GIS fire hazard mapping system. This system gives wildland fire managers the ability to make informed decisions about deploying assets and enhancing firefighter safety. For example, wildland fire managers can use the system to display and zoom in on areas of interest, and to look at selected hazard parameters of interest, such as fires and smoke. A map underlying these parameters provides selected information (state and county boundaries, rivers, lakes, and interstate highways) that can help fire managers determine where the fire is, how close heavy equipment can be brought, an evacuation route for firefighters in danger, and if local water sources are available to help put out the fire. Wildfires can strip steep hillsides of all vegetation, increasing the likelihood of flash flooding and mud slides until vegetation returns. They can also lead to destructive debris-flow activity. In July 1994, a severe wildfire swept Storm King Mountain in Colorado, denuding the slopes of vegetation. Heavy rains two months later resulted in numerous debris flows, one of which blocked Interstate 70 and threatened to dam the Colorado River.

## **NOAA's Data and Services for Hazardous Incidents: Floods**

Floods are among the most frequent and costly natural disasters in terms of human hardship and economic loss. As much as 90 percent of the damage related to all natural disasters (excluding droughts) is caused by floods and associated debris flows. Most communities in the U.S. can experience some kind of flooding. Melting snow can combine with rain in the winter and early spring; severe thunderstorms can bring heavy rain in the spring and summer; or tropical cyclones can bring intense rainfall to the coastal and inland states in the summer and fall.

Flash floods typically occur within six hours of a rain event, after a dam or levee failure, or following a sudden release of water held by an ice or debris jam. Urbanization increases runoff two to six times over what would occur on natural terrain. Streets can become swift-moving rivers, while basements and viaducts can become death traps.

Landslides and debris flow are typically associated with periods of heavy rainfall or rapid snow melt, and tend to worsen the effects of flooding that often accompanies these events. They may also be associated with volcanic events. Pyroclastic flows, hot ash, fallen trees, ground water, and rainwater create destructive mudflows and flooding.

The Advanced Hydrologic Prediction Service is based upon computer models of rainfall, water runoff, and river channel flow data, plus the historical record of these parameters for given forecast points and small watersheds. These models extend the current one to three-day river stage forecast lead times to weeks or even months in advance. These types of data are essential for risk-based decision making in the local response community. National implementation of the Advanced Hydrologic Prediction Service will save lives and an estimated \$240 million per year in flood losses, and will contribute an additional \$520 million per year in economic benefits to water resources users.<sup>19</sup>



## **NOAA's Data and Services for Hazardous Incidents: Environmental Spills and Long-Term Contamination**

NOAA is the focal point for spill preparedness and response, hazardous waste site investigation, and environmental damage assessment activities. During a spill, NOS utilizes NOAA's resources, including charting capabilities, accurate weather information, and hydrologic data, to provide the best recommendations for responding to the spill in the most environmentally friendly manner. This information is used within modeling programs and given directly to the person in charge of the response. NOAA also provides rapid assessment of injuries to marine life and other NOAA trustee resources at oil spills. For many Superfund sites, NOAA provides damage assessment and guidance to the Environmental Protection Agency to create environmentally sensitive remedies to long-term pollution and works with local agencies to achieve environmental restoration when sudden or long-term hazardous material pollution occurs.

NOAA's software, databases, and other tools help emergency organizations respond to hazardous materials accidents and resolve contamination problems. NOAA computer models analyze oil spill trajectory and air dispersion of chemicals. An incident news web site provides timely information to the public about oil and chemical response operations during large incidents. Environmental Sensitivity Index Atlases provide standardized information on coastal habitat and human uses along the coast. These are used by spill responders and contingency planners to identify sensitive habitats, priority protection areas, and human uses of the coast, and to determine best protection strategies in case of and during a spill response. For long-term contamination, watershed database and mapping projects, developed within NOS, are used to pull together many different environmental contamination data sets for easy cross-reference and viewing within geographic information systems for decontamination planning, restoration, and damage assessment liability.

### **NOAA's Data Supporting Hazards: Dual Use**

Satellite and *in-situ* instruments originally designed for real and near-real-time use; such as, weather forecasting, warnings, and monitoring have been used for many other environmental purposes. For example, instruments on polar satellites monitor the entire Earth several times per day and have provided a consistent set of data for more than two decades. Therefore, their information can be applied to both real-time and long-term aspects of disaster monitoring such as climate change.

In particular, the Advanced Very High Resolution Radiometer (AVHRR) instrument, which resides on POES, works in concert with other satellite and *in-situ* data, and is used to detect and monitor fires. It is the only high-density Earth observing instrument boasting a global history of more than two decades. This same fire information is also a key component of climate change monitoring and the carbon cycle affecting global warming. The Integrated Global Observing Strategy Carbon Cycle Theme has a team of scientists who study AVHRR data from around the world. They are working together to create a consolidated analysis in order to help determine if gases from fires contribute to global warming.

### **NOAA Historical Data Used in Hazard Support**

Preparedness means that one anticipates the hazardous effects and takes appropriate countermeasures in advance, such as issuing warnings, stockpiling supplies, or establishing evacuation routes. Mitigation involves long-term actions taken to prevent or reduce a hazardous effect from occurring, such as building

structures that can withstand the force of winds or earthquakes.

Traditionally, local governments have emergency response teams or committees which draft hazard response plans after considering data, statistics, or environmental indicators. NOAA data and analyses are used in these planning efforts.

NOAA historical data collections are broad in scope and critical to assessment. Long-term data can be used to establish the past record of natural hazard event occurrences, and contribute to awareness of future risk.

NOAA maintains environmental records from a myriad of sources. Weather observations have been manually recorded at hundreds of U.S. locations since the 1700s, providing over a 200-year record of the Nation's environment. Hydrographic and fish surveys provide a history from the mid-1800s. Data collected by remote sensing systems, such as radar and satellites, have been providing records for the past 40 years.

Proxy data gathered from the natural record come from sources such as ice core samples, ocean sediments, fossil pollen, coral skeletons, and tree rings. Proxy data extend the understanding of the climate far beyond the instrumental record and enable reconstruction of past climate conditions.

### **NOAA Data for Hazards Management: Current and Future Challenges**

Today, NOAA provides long-term stewardship for environmental data, including improved data access and accuracy. NOAA also provides value-added data services to those who deploy resources before, during, and after disasters occur. NOAA's research has led to more timely warnings for extreme weather events and scenarios such as dispersion of hazardous materials, all the more critical since the attacks of September 11, 2001. NOAA is also able to generate long-term predictions of climate shifts. Improved warnings, forecasts, and predictions have been proven to save money, property and lives.

NOAA provides vital input to current and future disaster management planning and response. In the aftermath of the attacks of September 11, 2001, and continued terrorist threats, interagency and public-private partnerships have increased. These partnerships continue to strengthen national security. These also enhance the efficiency of response and recovery already in place for natural and man-made disasters. At the heart of improving disaster response is information coordination among the key players.

Information technology continues to transform the data distribution process, allowing quicker access to data essential for natural hazards research and mitigation. In the future, NOAA products and systems will continue to enhance data utilization at several levels – from the data manager who organizes data to the end user who views the data. Creative uses of new technology, combined with NOAA satellite data and historical records, will continue to lead to breakthroughs in hazards detection, assessment, and management.

Computer speed and functions will continue to increase with a corresponding decrease in cost. This will enhance the capabilities of forecasters to extract critical historical information and predict natural hazards probabilities. Advances in satellites, radar, and super-speed computers are the foundation of tomorrow's warnings and forecasts. However, tried and true methods such as radiosondes (balloon-launched weather monitoring units), moored buoys, and other *in-situ* instruments will continue to be used.

Challenges for the future include the integration of satellite and non-satellite information and model forecast output into geospatial, temporal information systems. Traditional GIS's tend to focus on layers of information in the two-dimensional geography of the Earth's surface. Many hazards require information layers that are updated frequently (e.g., minutes or hours) for satellite precipitation estimates or river gauge river stages in support of flash flood forecasting. They also have a vertical component, i.e., upward through the atmosphere for satellite monitoring and dispersion model output for volcanic ash clouds in support of aviation safety.

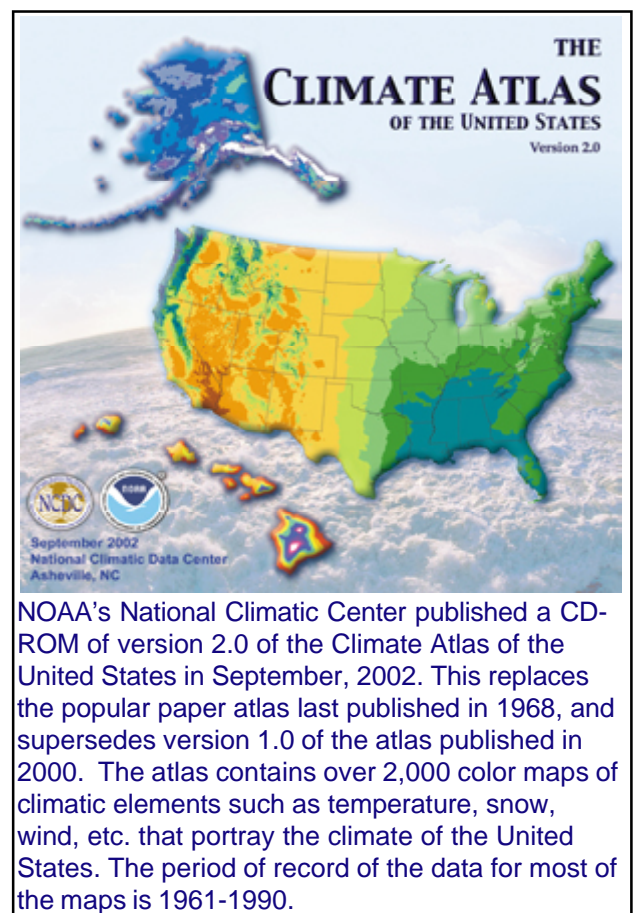
The challenges of managing data for disasters are similar to those of other data uses. However, they are exacerbated by often near-real-time requirements and dependency upon high-resolution, high-volume data. For example, adding fire detections as determined from the Moderate Resolution Imaging Spectrometer (MODIS) instrument on the National Aeronautics and Space Administration (NASA) Aqua and Terra satellites has improved the quality of the fire hazard mapping system. MODIS data is being used increasingly for hazard and other NOAA products. The data volume from MODIS ingested and managed by NOAA is approximately 175 GB, three times that from NOAA's own GOES and POES satellites.

## D. Climate Monitoring

### Why should NOAA Monitor?

Evaluating the past, monitoring and evaluating the present, and making future projections of climate trends, variations, and change are critical scientific pursuits. Humankind must understand the factors and processes influencing climate change, establish strategies to minimize human induced influences, and prepare society to respond to the potential impacts on the quality of life on Earth. Humankind's efforts to model and understand how the environment is changing will continue for many decades. However, the first step toward providing high confidence information to decision-makers is to ensure the observations used to monitor, evaluate, and predict climate trends and changes are of the highest climate quality possible.

The President's Climate Change Research Initiative (CCRI) and the emerging Climate Change Science Program (CCSP) underscore the need for improved climate quality observations, improved monitoring and evaluation of climate trends, variations, and change as well as development of improved forecast models, and relevant and informative decision support products and services. Improved climate monitoring will lead to a better understanding of the causes and potential impacts of climate trends and change on the environment and human society, thus providing the opportunity to plan and respond accordingly. Climate quality observations and monitoring, in conjunction with increased confidence in reliable research, will enhance environmental literacy and education.



The U.S. Global Change Research Program Report<sup>20</sup> addresses a range of climate change issues. The report presents a credible scientific case for climate monitoring, evaluation, and assessing climate change. The potential impacts upon the environment and human activities provide the basis for establishing activities that monitor, evaluate, and predict climate trends, variability, and change.

The climate record to be used by researchers is based upon both current and past observation records. Knowledge of the local climate has always been a valued commodity. Those who could make sense of such information and forecast events have long been in demand by society. Monitoring, evaluating, and understanding changes to our environment in a modern, densely populated, and globally interrelated economic and cultural systems are now more important than in the past.

The record of life on Earth is clear. Climate change, both gradual and rapid (in terms of geological time), is a recurring, dynamic, and constant theme inevitably leading to the demise of species, the rise of new species, and adaptation by other species. Climate observations by humans trace back thousands of years. Farmers and mariners kept records of the annual cycle – the march of the different seasons through the year. Farmers used this knowledge to plan their plantings and harvests. Mariners adjusted shipping routes according to the seasonal winds and storms. In early American society, several of the founding fathers were avid keepers of climate records. Most prominent was Benjamin Franklin whose detailed logs of daily temperature, precipitation, and other weather notes are among the first climate records of the United States. In the late 1800s, the U.S. implemented a more organized national weather observation program, principally to support agricultural purposes. Those most involved with weather sensitive activities noted unusual alterations in the typical weather patterns, but could not explain these. Longer term trends often exceed the average life span of one or two generations. Humankind did not then have the perspective or information to understand the causes for unusual variations, trends, and long-term changes. However, humans and other species had to adapt to these changes or perish.

Today, more than 200 years of “modern” records provide the core of the most recent climate history of the Nation. Educational and technological advances of the 20<sup>th</sup> century have, for the first time, provided the means to frequently and simultaneously measure, record, and report many different climate parameters over large areas. Very long time periods (hundreds of thousands of years) of the Earth’s climate record are being cataloged through the interpretation of tree rings, ice cores, and ocean sediments. Techniques are used to merge the historical and the more recent records to begin to draw a connected picture of how the climate has changed over centuries and millennia.

During the most recent 20 years, scientific knowledge and observation systems have provided us the first opportunity to monitor, evaluate, and recognize climate trends, variations and change on a variety of time scales, such as seasonal to interannual, decadal to centennial, and longer, as well as over large regions and globally. Modern land, ocean, airborne, and space-based observing systems can provide the quality climate records and observations needed to monitor today’s local, regional, national, and global weather to the degree of precision and accuracy required to instill confidence in the models that will evaluate and forecast future climate trends and changes.

### **Current NOAA Climate Monitoring Efforts**

Climate researchers worldwide are actively working to better understand and answer the question, “Why and how does climate change”? Mechanisms that cause the climate to change are referred to as

“forcings.” Physical processes that accelerate or decelerate changes produced by a given forcing mechanism are called “feedbacks.” Intensive research into models and simulations that can accurately describe forcing and feedback mechanisms is being conducted to help answer the “Why and How.” These models require a large volume of current and historical climate quality observations and information.

The mechanisms and the interrelationship between the atmosphere, oceans, and land processes are not well understood. However, in large part due to in-ocean and satellite monitoring systems over the past 20 years, observations, monitoring, and analysis have revealed the link between what occurs in the Pacific (El Niño and La Niña), Atlantic (North Atlantic Oscillation), and the Arctic (Arctic Oscillation) Oceans and the weather patterns over the United States. Models are being developed to forecast the impact of these distant phenomena on regions in the United States. Researchers use field experiments with a complex suite of observing systems (e.g., ships, buoys, aircraft, satellites, etc.) to achieve saturation coverage of a limited geographical area in order to intensively study a particular forcing or feedback mechanism. For example, the Tropical Ocean Global Atmospheres/Coupled Ocean Atmosphere Response Experiment (TOGA/COARE), started in the early 1990s in the “warm pool” of the equatorial western Pacific Ocean to examine the role of the El Niño-Southern Oscillation phenomena. During an intense and concentrated four month observation period, ships, aircraft, and satellites collected thousands of observations, that have been analyzed and studied by more than 100 scientists from over two dozen countries. Analyses of these observations have led to a better understanding of the ocean-atmosphere coupling in the western Pacific. This research has improved predictions of El Niño events and associated regional and global weather and economic impacts far from the equatorial western Pacific region.

Another example of large scale monitoring is the Global Water and Energy Cycle Experiment (GEWEX) involving many thousands of observations around the world and across the United States. GEWEX is an on-going program with three areas of emphasis: 1) generation and exploration of global water and energy data sets using polar and geostationary satellite data, 2) regional hydrometeorological experiments in a variety of continental river basins, and 3) numerical modeling, particularly at very high resolution. Application of observations and the associated research in these areas have led to a reduction of uncertainty in water vapor and cloud feedback processes, improved regional modeling of precipitation and land surface feedback processes, and improved cloud resolving models. The NNDCs are charged with the stewardship of the TOGA/COARE and GEWEX data, ensuring data availability and access by all users in perpetuity.

### **Climate Observations: Yesterday, Today and Tomorrow**

The 20<sup>th</sup> century demonstrated the growing need for and utility of observations, particularly in support of economic activities. New observing systems were deployed to support specific objectives, such as the arrival of the era of aviation, and more recently the management of finite energy and water resources. Technology provided the means to use satellites, ocean buoys, and Doppler weather radars. The use of the information from these observing systems now support every sector of the economy, help to monitor the status of environmentally sensitive and damaged areas, such as estuaries, rivers, and deserts. They also contribute to evaluating and monitoring health risks. During the last 100 years, the use of fossil fuels has dramatically increased, a consequence of the industrial revolution. There has also been an unprecedented worldwide harvesting (without replacement) of large tracts of forests. We are now conscious of the potential impacts of humankind’s activities on climate change.

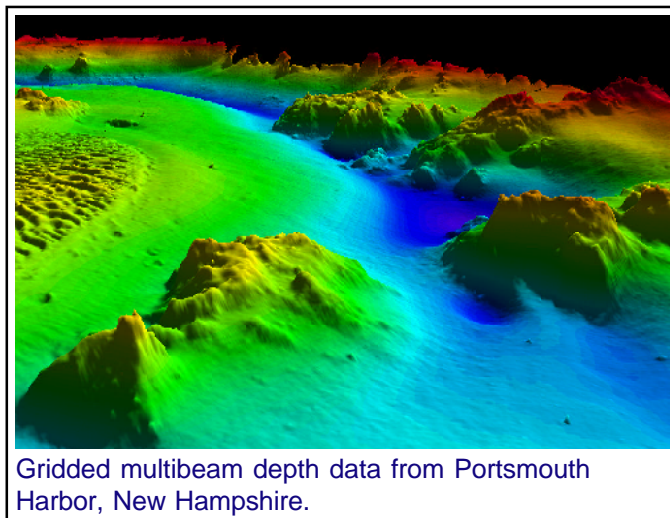
The 21<sup>st</sup> century will demand even more climate-related information and analyses, now that we possess the technologies to collect and process large volumes of data and information. The challenge will be

implementing and maintaining an integrated climate observing system nationally and globally, including the long-term preservation of those data collections. Climate-driven observing systems will provide the level of climate quality observations and information leading to increased knowledge and understanding of climate trends, variations, and change. These data and improved models directly support the President's CCRI and the CCSP. United States observing systems are an integral component of the Global Climate Observing System (GCOS), which is an international effort to coordinate, integrate, and use climate-related observations to monitor, evaluate, and forecast climate trends and change.

A key element to successful climate monitoring starts with quality climate databases, documentation of the observing system and data, and access to the observations and information. The challenge facing the NNDCs is not only ingesting and processing the data, but the convenient and timely access to data and information. Millions of paper pages and thousands of feet of microfilm/microfiche of recorded instrument measurements and other information dating back hundreds of years are currently under the stewardship of the NOAA NCDC. Prior to the invention of instruments and written records, the climate history of the world (referred to as paleoclimatology) was captured by nature's recorders, such as tree rings, ice cores, coral skeletons, lake and sea floor sediments, and other non-written sources. Over the past 50+ years many observations have been stored in digital form. Today, the three NNDCs manage over 1.4 petabytes (PB) of digital data, as well as millions of paper and microfilm records. Over the next ten years new satellites and improved weather radars will dramatically increase by several orders of magnitude the volume of data and information. Meeting the challenges presented by the "proliferation and complexity" of data and information and the associated issue of scientific data stewardship are further discussed in Section III, subsections C and E of this report.

Digital databases, such as wind speed and direction, precipitation, temperature, and pressure are far more useful than paper and microfilm records to support many disciplines beyond the research community, such as engineering, construction, economic interests, insurance industry, passive energy (solar, wind) enterprises, and many others.

The Climate Database Modernization Program (CDMP) addresses access and utilization issues. The CDMP goal is to make paper/film non-digital historical climate data digitally accessible online via the Internet. Access to historical climate information will be a combination of optically scanned digital images of records and digitized (manually keyed) observations. Thirty million documents have been imaged and many thousands of observations manually keyed or digitized from shipping records, America's military forts, major U.S. cities, lighthouses, weather ships, and other sources. One major data set nearing completion is the daily climate observations from over 5,000 stations for the period 1895-1948 (obtained from nearly 50,000 microfiche and requiring about two billion keystrokes). Some of these climate data now available online include: hourly surface observations (from 1900 to the present); sea level; marine observations (late 1800s); and daily observations (1820s to the present). Station history and information on the observing systems cover a period back to the 1800s when the first observing networks were established. Five major



serial climate publications documenting the climate of America from the 1870s to the present have been imaged and made available online.

### **Climate Monitoring: NOAA's Ocean Data**

The oceans are the great regulator of climate on the decadal- to centennial-time scales. Ocean observations are not as extensive as land observations, nor as well structured and organized as weather observing systems. Therefore, they are more difficult to collect and archive. Ocean data, in many formats, are collected during numerous, often unrelated, expeditions conducted by many countries and different oceanographic institutions. NOAA NODC has oversight for two closely related projects that exemplify the capabilities described in the previous section: the Global Oceanographic Data Archaeology and Rescue (GODAR) Project, which is sponsored by the Intergovernmental Oceanographic Commission (IOC), and the World Ocean Database Project. These two projects have added more than three million digitized ocean temperature profiles to the databases, which are being accessed by the international research community studying the role of the ocean in the Earth's climate system.

The goal of GODAR is to integrate the world coastal and marine data record into computer-accessible, standardized world ocean databases. Since much of the world oceanographic data record exists either in written manuscript form, or in non-standardized computerized (digital) format, the GODAR process involves data searches (data mining) to locate relevant data sets, data rescue to salvage data from deteriorating media, digitizing data into standardized world ocean databases, and transformation of extant digitized data into standardized world ocean databases. The result of this work has been a substantial increase in the amount of historical oceanographic data available to the ocean and climate research communities.

NOAA's NODC leads the World Ocean Database Project. *World Ocean Database 2001* (WOD01) is a global, comprehensive, integrated, scientifically quality-controlled database that is completely documented and available online and on CD-ROM. Temperature, historical and modern salinity, nutrient, chlorophyll, and plankton data are among the observations included in this database. Chemical and biological data allow researchers to study the Earth's carbon cycle, as well as ecosystem response to climate change. WOD01 is used by researchers throughout the world for climate system diagnostic studies and in numerical simulation studies of the Earth's climate system. The complexity of sampling and monitoring the ocean increases as scientists seek to better understand and evaluate the ocean's role in influencing climate, particularly the part it plays in the global carbon cycle.

### **Climate Monitoring: NOAA's Geophysical Data**

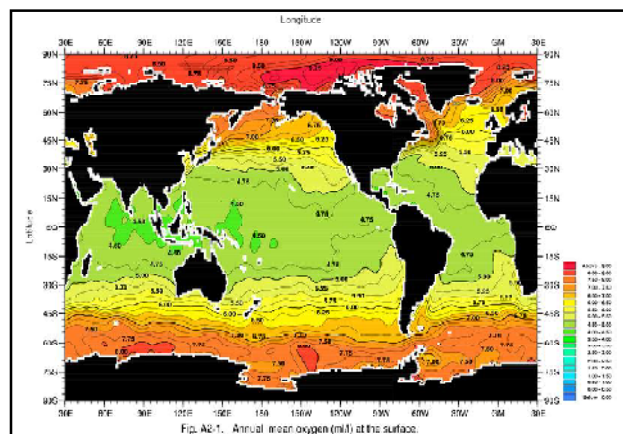
The NOAA NGDC manages land and marine geology, geophysics, and solar-terrestrial data. Discipline-specific areas of collection include bathymetry, topography, geomagnetism, snow and ice, ecosystems, space environment, and solar and interplanetary phenomena. The quality data, in both analog and digital format, are used regularly in climate monitoring and research. The NGDC provides a comprehensive set of global ocean base-data, such as coastal relief grids, hydrographic surveys, and track line/multi-beam bathymetric data that are essential to studying the ocean-climate interface. The NGDC archives and disseminates multi-sensor, remote sensing data from the DMSP platforms. Like the NODC and the NCDC, more of the digital data are becoming available online in easily accessible formats.

## NOAA's Climate Monitoring Challenges

Several challenges must be addressed in the pursuit and achievement of climate monitoring goals and objectives. Intergovernmental Panel on Climate Change (IPCC) Reports, National Research Council (NRC) Report (1999)<sup>21</sup> and NRC Report (2001)<sup>22</sup>, NOAA Science Advisory Board Report<sup>23</sup>, and other studies clearly identify deficiencies and recommendations for meeting the challenges of climate monitoring and assessments. A few of the most pressing issues include: implementing climate quality observing systems; the ability to digitally ingest in real-time the current and proposed wide array and volume of observations from *in-situ* (land and ocean), airborne, and satellite observing systems; quality control processing; network monitoring in real-time; “data mining” of key parameters; providing immediate availability (access), “data fusion” (merging) of key parameters from different systems (e.g., satellites, radars, ocean, and land surface); rehabilitating historical databases and data sets; and improved modeling techniques. Part of this effort to create a more comprehensive historical climate perspective includes an active paleoclimatology program. This program will incorporate extremely long periods of record dating back hundreds of millennia. Major progress has been made but much remains to be done.

The Comprehensive Large Array-data Storage System (CLASS) project is providing an integrated approach to designing and implementing the data management system (ingest, processing, access, and archive) required to meet the new observing systems planned for deployment early in the 21<sup>st</sup> century. e-commerce and e-business techniques and technologies will be employed to meet the demand for rapid and convenient access to past, present, and future observations, data, and information.

The ten climate monitoring principles described by the NRC<sup>21</sup> are being used to guide the design, deployment, and life cycle management of the NOAA-managed U.S. Climate Reference Network (USCRN). The USCRN is the first U.S. observing system being built with the primary purpose of providing climate quality measurements. The fully deployed network of USCRN stations will be able to explain at least to the 95 percent level the variance in surface temperature and precipitation on the national and regional scales. The USCRN climate quality observations avoid the time dependent biases typically experienced with other surface observing networks. The USCRN will be the Nation's benchmark network, providing a standard to which satellite, weather radar, and other surface systems (e.g., ASOS and COOP) observations can be validated and verified. In essence, the USCRN will provide the means to enhance the quality and confidence in other observations, as well as contribute to rehabilitating existing historical databases and data sets. This will produce a significant increase in the volume of climate quality data and information that can be used in assessing past climate trends and change, as well as contribute to the present and future climate monitoring, evaluation, and forecast tasks.



This map shows the distribution of the world's oceans' dissolved oxygen concentration at 500 meters depth. It is based on historical and modern oceanographic data collected from ships from many countries, and appears in NOAA's World Ocean Atlas 2001. Understanding short and long-term oxygen changes and their relation to other parameters in the ocean such as temperature, salinity, carbon, nutrients, and plankton provide an insight into the oceanic response to global climate changes.



Real-time network monitoring of observing systems is fundamental to ensuring the quality of observations and the subsequent databases and data sets. One of the shortcomings of past and current observing systems is that typically errors in the data are not caught until well after the data have been archived. Periodic re-analysis of historical files routinely identify time dependent and other quality data issues. During the past two years, the NOAA NCDC has systematically developed network monitoring procedures for some observing networks. When data quality issues are discovered, the system manager is notified. A key performance measure regarding the quality of the USCRN data is the use of sophisticated, automated software that monitors each sensor, station, and the entire network on a daily basis.

## **NOAA Climate Monitoring Data Management: Next Steps**

Climate monitoring, evaluation, and forecasting are critical to economic sustainability and environmental stewardship, as well as planning and responding to the quality of life changes that society will encounter in the 21<sup>st</sup> century and beyond.

The deployment of a new generation of satellites over the next ten years [NASA's Earth Observing System (EOS), Next Generation GOES, joint (DoD/NASA/NOAA) National Polar-orbiting Operational Environmental Satellite System (NPOESS)] and the enhancement of the operational NEXRAD (dual polarization and phased array) present major data management challenges to NOAA.

Today, data and information are not easily accessible or easily integrated to provide a comprehensive and multi-disciplined picture of climate trends and change. Scientists and decision-makers will need to rapidly access and use climate data and records dating back decades and centuries in order to create a total, inclusive portrait of the Earth's dynamic climate system. If the Nation continues to promote the programs described above and embraces new programs and emerging technologies, the requisite knowledge and understanding will emerge from the research community. This work will then produce useful and informative products and services that leaders and decision makers can use with a high degree of confidence when formulating and implementing policies and business decisions.

The Scientific Data Stewardship (SDS) approach to data management can be applied to climate monitoring. Studies can be undertaken to document and understand climate trends, variability, and change. A fuller understanding of past droughts, floods, heat waves, and cold spells, to mention a few issues impacting humans, will lead to better predictions to allow better management of their impacts.

There is increased demand for finer resolution spatial coverage of environmental observations and for parameters not feasible in the past, such as from remote sensing systems. Increased demand is coincident with more data available online for users. Experience shows that when data can be accessed online by users via the Internet, the amount of data provided to users increases by several orders of magnitude of the data ingested. For small data streams, such as hourly weather observations, the amount of data serviced from the archives exceed by 10,000 times the amount ingested per month. Data serviced from the POES exceed by as much as 100 times the volume of POES data ingested daily.

The NRC Report<sup>22</sup> on climate services underscores the matters at hand: "The major challenges involve data management; the storage, indexing, referencing, and retrieval of data; and the ability to combine, dissect, and query information." Fundamental to success are improvements in data management techniques, implementation of advanced information technology, development of automated network

monitoring and quality control processing systems, and the utilization and training of employees with new skills.

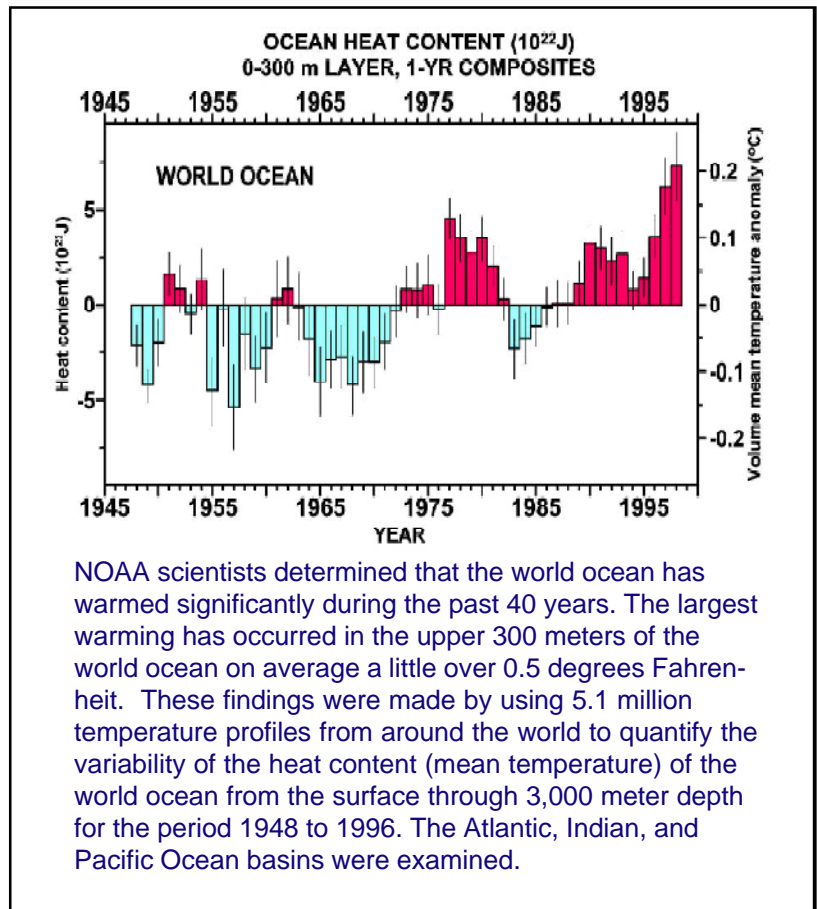
NOAA has responded with a variety of programs in an attempt to meet the Nation's climate monitoring and assessment demands of the 21<sup>st</sup> century. A revised NOAA Strategic Plan has been developed in response to the Nation's need for environmental and economic support and information.<sup>24</sup> This strategic plan will provide the guidance to the NOAA organization regarding formulation and execution of plans and activities to provide results for the American taxpayer. It will be regularly reviewed and updated. In partnership with continued support from the Administration and Congress, NOAA will be able to address these climate monitoring and other data management challenges and deliver meaningful and informative supporting products and services to the Nation.

## E. Ocean Data Management

### NOAA's Ocean Data Legacy

Because the Earth is roughly spherical and is heated by hydrothermal energy released by the Sun, the equatorial regions closest to the Sun are warmer than the polar regions located farthest from the Sun. This simple fact sets the stage for many of the tremendous physical oceanographic processes that create both surface and submerged large-scale ocean currents, drive atmospheric weather conditions, support biological oceanographic processes, play a role in long-term climate and sea-level change, and profoundly affect human society. The world oceanographic research community has studied these processes intensively for over a century, and has collected a vast data

record to support their research. Since NOAA's founding in 1970, it has played a major role both in ongoing oceanographic research, and in the stewardship of data generated throughout the history of oceanographic research. NOAA's NESDIS is responsible for the stewardship of oceanographic data. Its mission is "to provide and ensure timely access to global environmental data from satellites and other sources to promote, protect, and enhance the Nation's economy, security, environment, and quality of life." To fulfill its responsibility for oceanographic data, NESDIS operates the NNDCs and the new NCDDC. Each center plays a leading role in the rescue, archival, stewardship, and accessibility of the historical oceanographic data record.



## Key NOAA Services supporting Ocean Data: Data Access

Access to data is an important part of all data management and is being addressed within NOAA by use of both traditional and progressive data management techniques that allow for the discovery, access and retrieval of ocean data. These traditional capabilities include the ability to order both online and off-line NOAA data via the Internet in the traditional storage and delivery formats. Progressive data management techniques are being employed to enable a virtual portal from where ocean data, including coastal data, may be discovered, accessed and retrieved from distributed sources including non-NOAA repositories. This architecture provides users with capabilities not traditionally available to allow format, unit, projection, and datum translations.

Data discovery is an important part of data access. Within ocean data management this is being addressed by providing a catalog service that is dependent on metadata. Search capabilities then use this catalog and can be implemented to search on any portion of the metadata record including full text searches.

## II. Spectrum of NOAA Environmental Data Services: Internet Sites

### **National Oceanic and Atmospheric Administration (NOAA)**

<http://www.noaa.gov>

### **Commerce and Transportation:**

### **NOS Office of Coastal Survey Nautical Charting Program**

<http://www.nauticalcharts.noaa.gov>

### **NOAA/Navy National Ice Center (NIC) Coverage Charts and Analyses**

<http://www.natice.noaa.gov/>

### **Hydrographic Surveys - National Survey Plan**

<http://chartmaker.ncd.noaa.gov/staff/nsp/NSPFinal.pdf>

### **NOS National Spatial Reference System**

[http://www.geodesy.noaa.gov/INFO/OnePagers/One-Pager\\_NSRS.pdf](http://www.geodesy.noaa.gov/INFO/OnePagers/One-Pager_NSRS.pdf)

### **National Geodetic Survey (NGS) - Continuously Operating Reference Stations (CORS):**

<http://www.geodesy.noaa.gov/CORS/cors-data.html>

### **NOS Physical Oceanographic Real-Time System® (PORTS®)**

[http://www.tidesandcurrents.noaa.gov/d\\_ports.shtml](http://www.tidesandcurrents.noaa.gov/d_ports.shtml)

### **NWS Marine Observation Network (MON)**

<http://www.ndbc.noaa.gov/index.shtml>

### **National Water Level Observing Network (NWLON)**

[http://www.tidesandcurrents.noaa.gov/data\\_res.html](http://www.tidesandcurrents.noaa.gov/data_res.html)

### **NWS Automated Surface Observing System (ASOS)**

<http://www.nws.noaa.gov/asos/index.html>

<http://www.srh.weather.gov/jetstream/remote/asos.htm>

**NWS Cooperative Observer Network (COOP)**

<http://www.nws.noaa.gov/om/coop/>

**NWS Next Generation Weather Radar (NEXRAD)**

<http://www.nssl.noaa.gov/researchitems/radar.shtml>

<http://weather.noaa.gov/radar/national.html>

<http://www.srh.weather.gov/jetstream/remote/doppler.htm>

**NWS Radiosonde Network**

<http://www.ua.nws.noaa.gov/>

[http://www.publicaffairs.noaa.gov/budget02/nws\\_sonde.html](http://www.publicaffairs.noaa.gov/budget02/nws_sonde.html)

<http://www.srh.weather.gov/jetstream/remote/ua.htm>

**NOAA Profiler Network (NPN)**

<http://www.profiler.noaa.gov/jsp/index.jsp>

**Department of Transportation FHWA FORETELL program**

<http://ops.fhwa.dot.gov/Weather/FORETELL/Foretell.pdf>

**Nautical charts and hydrographic surveys**

<http://www.nauticalcharts.noaa.gov>

<http://www.ngdc.noaa.gov>

**Hazards:****Environmental Sensitivity Indexes**

<http://response.restoration.noaa.gov>

**Oil spill responder information**

<http://response.restoration.noaa.gov>

# III. Challenges for the Future

## A. User Needs

Environmental scientists and advisors have a critical need for a long environmental record of historical and recent global data. These data are used to assess long-term trends, evaluate current status, and predict future conditions and events. This information is necessary to help answer questions from the public, industry, and policy makers such as the following:

- Is global climate change really occurring?
- Are the oceans getting warmer?
- What is happening to the Nation's ecosystems?
- How do El Niño and La Niña affect the weather?
- How long will the drought last?
- How severe will winter be this year?

To fully support its users, NOAA must 1) listen to their needs, 2) partner with the broader research and operational communities and 3) capitalize on new science and technology that accelerate service improvements our customers expect — all in a responsive and cost-effective manner.

NOAA must solicit users' requirements for environmental data and information products and services and use this information to help improve our services. NOAA must continue to strive to provide the Nation's environmental data to its customers in a secure and easily accessible manner. NOAA must work with its customers to ascertain how they are using the data and information so that the search, browse, and other discovery tools most beneficial to them can be provided.

Universities are important links in the environmental research and information dissemination network for the United States. University researchers develop knowledge about climate and ocean dynamics and other physical processes essential to improving global models. University researchers are also important for bringing output from such models to the regional scale in order to attain better resolution about how global changes will impact regions and relevant sectors. Network-building efforts underway in the form of regional integrated sciences and assessments will eventually include all regions of the United States.

NOAA/private industry partnerships hold tremendous promise for innovative application of environmental information. NOAA seeks to enhance our national competitiveness through optimized use of information. Industries such as weather derivatives, electricity production, agriculture and aquaculture, ranching, and fisheries could all achieve economic benefits through the improved use of environmental information.

For example, the array of climate services providers already in place, such as the Regional Climate Centers and State Climatologists, is essential to the delivery and use of climate information products. These centers provide operational information products used by public and private decision-makers. As models for transforming data into information and educating the public about the utility of climate information, these organizations are invaluable in the broad endeavor of transforming research into operations.

Climate observations and services will draw upon the knowledge generated, and experience gathered, from association with other U.S. agencies. Research sponsored by the National Science Foundation and research satellites flown by NASA are central to developing and improving environmental services. Mission-driven research from the Department of Energy, such as its efforts on atmospheric radiation and carbon, is contributing important advances as well. Climate services to particular sectors such as agriculture, forestry, and water resources would be impossible without the participation of mission agencies like the Department of Agriculture, Department of the Interior, and Environmental Protection Agency.

Global data sets require cooperation and partnerships with other nations. This is particularly true for environmental data sets derived from satellite observations. Geostationary satellites are operated by the United States, Japan, India, and the European Community, as well as polar orbiting satellites operated by some of those nations. Geostationary satellites also are planned for China. As in the United States, some of the satellite programs are experimental and some are operational; however, both provide important observations necessary for environmental studies.

International partnerships are also critical to land and ocean observing programs. The United States has been a central participant in the GCOS. For the newly deployed Argo ocean profiling system, international partners supply two-thirds of the instruments.

It is clear that use of NOAA's environmental data and information can contribute significantly to our Nation's economic well being. Several examples of how use of these data may contribute are described below:

*Disaster Preparedness:* Better preparation, response, and mitigation could reduce the average cost (approximately \$500 million per event) of storm-related disasters by 10 percent (approximately \$50 million per event). A 10 percent reduction in the cost of storm-related disasters means \$700 million savings per year.<sup>25</sup>

*Agriculture:* Authors of a 1997 NOAA report<sup>7</sup> estimated that economic benefits to U.S. agriculture from improved El Niño forecasts by altering planting decisions could be \$265 – 300 million annually, throughout El Niño, normal, and La Niña years. Similarly, benefits to Mexican agriculture range from \$10 million to \$25 million annually. United States corn storage savings could approach \$200 million annually.

*Tourism:* What's the weather like in Western Europe in May? Is there generally more snow in Colorado in November or February? Increasingly, companies reach consumers directly through the Internet, which can provide specialized seasonal weather information for sports and recreation enthusiasts and vacationers. A 2001 NOAA report<sup>26</sup> states that "travel and tourism is the Nation's second largest contributor to the Gross Domestic Product, generating over \$700 billion annually."

*Construction:* According to NOAA<sup>8</sup>, Air-Freezing Index research has allowed "the construction industry to build shallower frost-protected foundations that save \$330 million annually."

*Weather Derivatives:* There is an increasing amount of emphasis on weather throughout many commercial endeavors, leading to the use of increased financial weather derivatives to protect commercial efforts affected by weather. The growth in the use of weather-related "volumetric risk" hedging

instruments has been tremendous during the past few years. NOAA's degree-day information is one of a number of inputs to the weather derivatives industry, which began in late 1996 and has issued over \$7 billion in contracts in the four year period of 1997-2000.<sup>27</sup>

Weather risk is the uncertainty in cash flow and earnings caused by weather volatility. Colder than normal summers reduce electric power sales for residential and commercial space cooling. These cooler temperatures idle capacity, raise the average cost of power production, and reduce demand for natural gas and coal energy stocks. Above average winter temperatures reduce natural gas and electric power sales for space heating, while lower than normal precipitation upstream of hydropower facilities reduces power production. This reduces revenues to the facility and diverts buyers of hydropower to higher cost power alternatives.

Both the development and settlement of these financial instruments are based upon access to accurate, objective, and very timely national and international data from a source that is considered to be accurate and unbiased. NOAA operates the networks that collect these data, and NOAA archives these data; therefore, NOAA is the only source for the data that are the basis for this new industry.

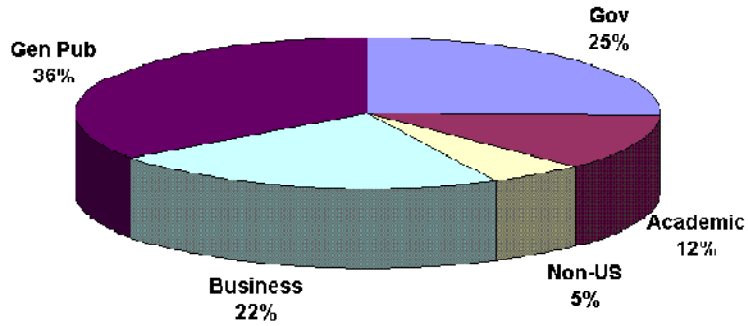
Evaluation of long-range historical environmental data is the basis for the terms of these financial instruments that are traded on the financial markets. The evaluation of the actual environmental conditions during the agreement's period of performance is the basis for the settlement. This requires accurate and complete environmental data currently provided by NOAA for any specified geographic area on Earth, for the dates specified – all within days of the actual environmental observations being recorded. This is placing a very high demand upon NOAA to deliver quality data, with almost immediate turnaround, and on a continual basis.

## **Increasing Numbers of Requests**

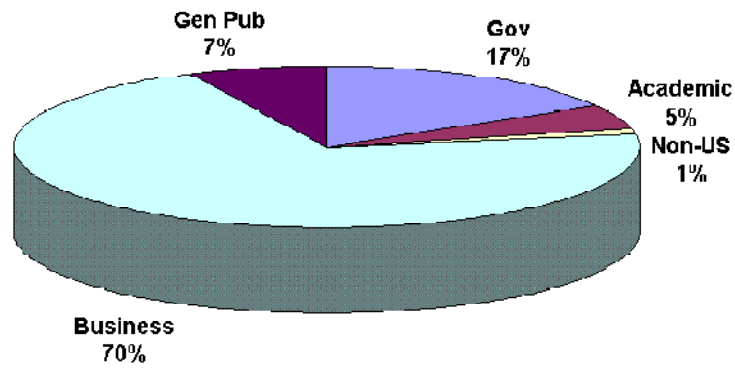
Over the past 20 years, there has been a tremendous increase in the number of business users who request data and information from NOAA. The initiation of an on-line store service for ordering data products and the acceptance of credit cards as a payment option have also contributed to the changing user profile by simplifying the process of obtaining data and information from NOAA. A small sample of the business uses of NOAA environmental data include the following:

- Attorneys and consulting meteorologists: Evidence and expert testimony in court cases.
- Insurance industry: rate determination and claims settlement.
- Engineering, marine, architectural, and construction industries: Design and construction guidelines, site selection, environmental impacts, construction deadline penalties and extensions.
- Utilities: Projections of demand, computation of rate adjustments, air pollution studies.
- Agribusiness: Determine optimal geographic locations by crop type, plan the application of herbicides and pesticides, study effects of climate variation on crop yield.
- Navigation: Nautical charts, the coast pilot, and other marine products are used by commercial and recreational users of the Nation's waterways.
- Fishing industry: Locating prime locations for fishing (through ocean temperature data).
- Financial services: Use temperature, precipitation, drought, and flood data to reduce risk and minimize losses.

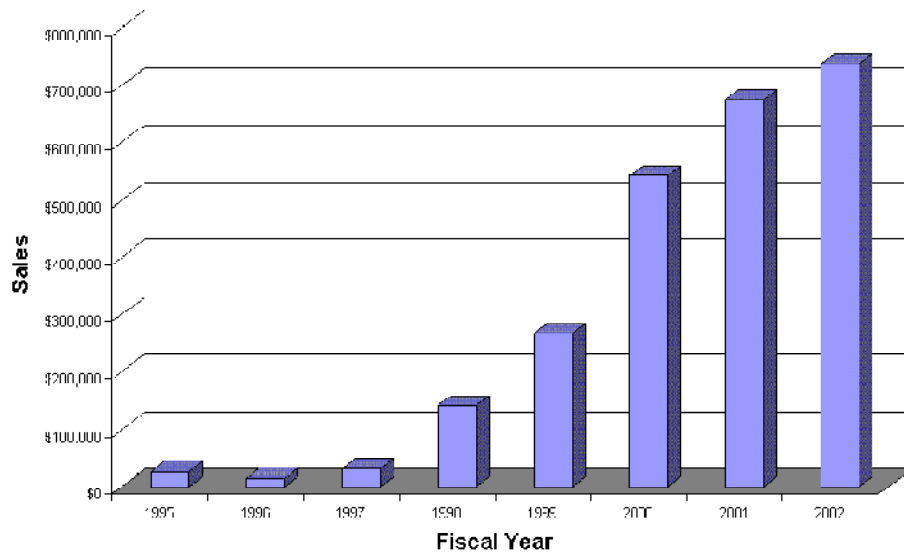
### Off-Line Requests in 1982



### Off-Line Requests in 2002



### NNDC On-Line Store-Data Sales





## On-Line Ordering and Data Delivery

Historically, NOAA received requests for data via telephone, fax, or mail. The requested data were usually supplied to the user on paper (printouts, copies, maps, publications, etc.). In the case of digital data, magnetic tapes were supplied. Over the past few years, compact discs have been used to provide many data sets to users.

With the growth of the Internet, users are expecting on-line ordering and on-line search and browse capabilities, with electronic file transfers for data delivery.

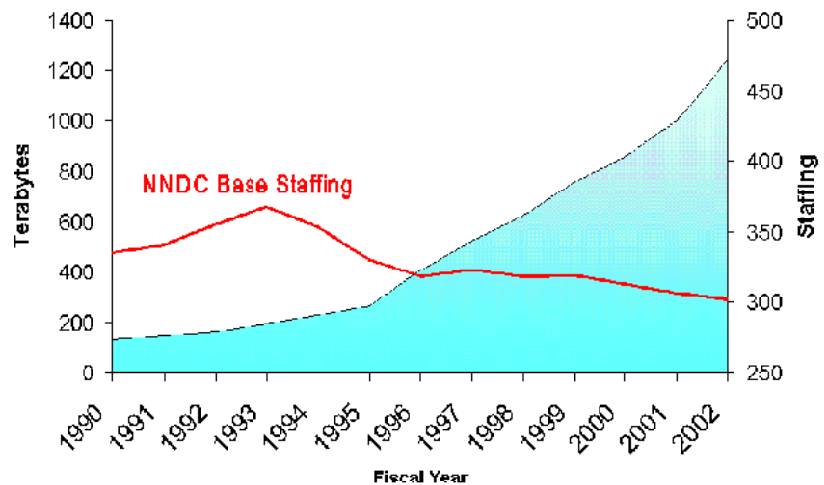
Users are no longer content to wait days or weeks for their data or information requests to arrive at NOAA, be subsequently processed, and mailed back to the user.

In response to user demand, NOAA has made a number of its most requested data sets available to users through the Internet to improve customer service and to reduce the costs for servicing user requests. By the end of 2001, many customers were both using new NOAA on-line ordering systems and receiving environmental data from on-line NOAA sources.

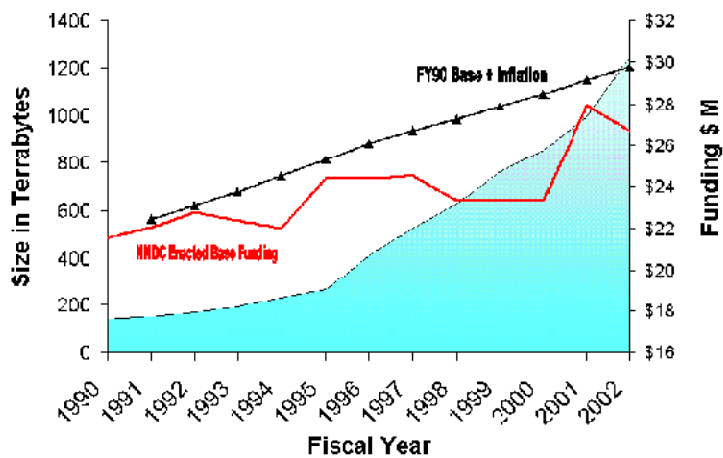
An additional challenge to data accessibility is to allow users ready access to NOAA data – regardless of its actual geographic or organizational location. For example, a user investigating the relationship among weather, oceanography, and the abundance of fish stocks should be able to locate and download the necessary data without being concerned that the various data sources may actually reside in several different locations.

On-line data delivery typically provides benefits to both the user and NOAA by providing easier and faster turnaround for the user, while requiring less NOAA staff time to service the more common, high-frequency requests. On-line data delivery also eliminates media and shipping costs. Benefits increase when large numbers of these requests can be filled without direct staff intervention, by providing the user with on-line browse and search capabilities via the Internet.

**Archives vs. NNDC Base Staffing**



**Archives vs. NNDC Base Funding**



## Increasing Requests for Information

As on-line access to NOAA's data expands, the user's average level of technical sophistication and scientific expertise is changing. On-line users are searching for information and answers to specific questions rather than access to data.

## B. Technology Changes

NOAA has been an early adopter of emerging advanced computing and communications technologies to improve data management and data availability on the Internet and next generation Internet/Internet2.

### The Internet

In the early 1990s, NOAA discovered the utility of the Internet and began developing a handful of organizational home pages. While first begun as a way to introduce the public to the agency mission, NOAA soon found that the network held great potential as a radically new way to deliver data products to its constituents. What was first perceived as a "fad" in those early years, the Internet would, over the next decade, prove to be one of the most important communication technologies ever adopted by the agency. The Internet has had a substantial impact on the day-to-day business operation of NOAA and has enabled it to become a scientific and world leader in the dissemination of environmental information.

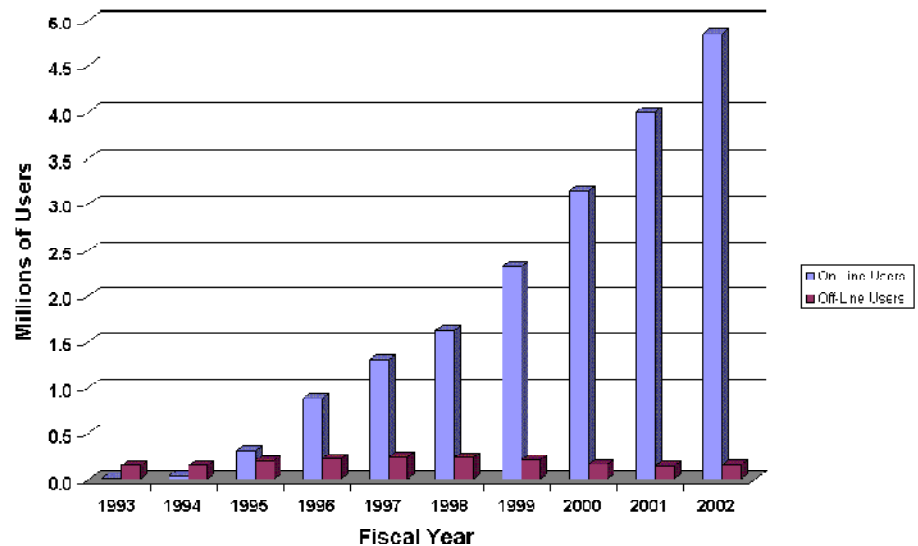
Over the years, as NOAA's Internet popularity grew, the number of NOAA Internet sites and their visitors continued to rise at a dramatic rate. Some sites, such as NOAA's weather page and the NOAA home page, have seen their community of users grow from the thousands to millions per day. Likewise, NOAA internal use of the Internet has escalated as NOAA developers began incorporating the "web" as a key element in data management operations for acquiring, processing, transmitting, and sharing data and information.

Early on, it became apparent that the lack of adequate Internet connectivity would be a major limiting factor in NOAA's ability to continue its delivery of data and information products to the public and others. Stretched network resources would dictate which new technologies would be incorporated into NOAA's operational systems and which would not.

In order that NOAA could continue to meet the expectations of the ever-growing user community and to support its in-house network application requirements, a plan for improving management of NOAA's information technology resources was developed in October 2001, and specific objectives were identified<sup>29</sup>. These included:

- Developing a robust, common network environment with adequate bandwidth;

Requests for Data and Information



- Adopting technologies to improve customer service;
- Providing improved information technology (IT) security;
- Improving management of IT resources, including maintaining a baseline of NOAA web servers; and,
- Using high performance computing resources to run high-end, environmental system modeling applications with the rapidly increasing amounts of newly available data.

## Next Generation Internet/Internet2

NOAA has been an active participant in the Next Generation Internet (NGI) planning and the Joint Engineering Team for implementation of the NGI from its inception. Building on that foundation, NOAA began establishing links to the NGI through Internet2 (Abilene) at strategic NOAA locations. Today, NOAA's major research and data center campuses located in Boulder, Colorado; Norman, Oklahoma; Seattle, Washington; Silver Spring, Maryland; and Miami, Florida, are all connected to high-performance networks.

Operating at speeds about 1,000 times faster than the typical dial-up connection or 100 times faster than the typical home digital subscriber line, NOAA's second generation network connections support the development of advanced applications that cannot be implemented using the current Internet. An example of this would be the challenge of transferring massive amounts of data in real-time.

The transition to direct electronic delivery of digital data to the data centers and to the customer has proven to be extremely effective and crucial in meeting timeliness demands of customers. One example of a NOAA Internet2 application is currently under development by the NOAA National Severe Storms Laboratory (NSSL). In collaboration with other NOAA, university, and private-industry partners, NSSL's experimental Collaborative Radar Acquisition Field Test (CRAFT) project provides real-time compression and real-time delivery of NEXRAD data from multiple radars to users including NOAA's NCDC. This has dramatically increased the ability for NOAA to successfully archive as much as 30 percent more NEXRAD data than was previously possible (60 percent to 95 percent). NOAA's NWS has decided to adopt a CRAFT-like approach for all the radars in the continental United States. NSSL is helping to transfer this technology to NWS.

Another prototyping effort to use large scale networking within NOAA has been undertaken by NOAA's NGDC. In partnership with NASA, NGDC has been testing and prototyping the operations involved in transferring and ingesting hundreds of gigabytes of satellite data per day.

## C. Increasing Complexities and Volumes of Data

NOAA's vast data observations and measurements are collected and stored in many different distributed facilities across the country, some of which are responsible for the perpetual stewardship, archiving, and dissemination of environmental data.

NOAA must ensure and maintain a healthy infrastructure that is capable of the ingest, archive, curation, access, and dissemination of its data sets. Under NOAA's archive and access architecture, its data management strategy is to accomplish two goals: (1) replace the assortment of aging and inefficient systems it currently operates, and (2) incorporate all of the data management functions shown in the accompanying "Status of NOAA Environmental Data Management" table. (See Appendix C for

definitions of data sets.)

The NNDCs have the unique responsibility for the long-term management and stewardship of the bulk of NOAA's data – in addition to environmental data collected by other federal agencies, countries, and research programs – for use in resolving today's and tomorrow's environmental issues. Also, there are numerous distributed Centers of Data with data collections located throughout NOAA's line offices, and programs that are responsible for the management of data sets developed in the process of fulfilling their particular environmental missions and operational responsibilities.

Over the next 15 years, current and planned remote sensing observing systems will produce volumes of environmental data on a scale that has not been seen before. Data from these systems will be preserved and made available by NOAA to support a myriad of users. By the year 2017, plans for the current NEXRAD, GOES, POES for NOAA, including the DMSP, and EOS/MODIS campaigns, future European Meteorological Operational Satellite (METOP), NPOESS, and NPOESS Preparatory Project (NPP) campaigns and numerous *in-situ* observation programs will increase the total data volume (primary and backup copies) to more than 140 PB.

NOAA's CLASS Project is designed to enhance NOAA's capability to predict and assess decadal to centennial climatological changes. It will provide various environmental data and information archive and access services to the Nation through the effective application of modern, proven techniques and technology.

A large portion of the Nation's current archive of environmental data is stored and maintained by NOAA's NCDC, NODC, NGDC, and Satellite Active Archive (SAA). To prepare for the large increases in data volumes over the next 15 years, NOAA must increase the data-handling capacity and capabilities of its Data Centers. The CLASS project will afford efficient management of high volumes (petabytes) of data critical to the United States Global Change Research Program and scientific community. It includes the development and implementation of standardized archive methods, which will be integrated with a robust, large-volume, rapid-access storage and retrieval system that is capable of receiving a user's on-line data request, automatically processing that request, and providing the requested data via the most appropriate medium. This system will provide standardization in security, media, interfaces, timeliness, formats, and processes for the very large data sets produced by satellites and radars.

Effective systems must be in place to support scientific research in the government, commercial, educational and private sector communities, as well as address data management issues that are associated with massive volumes of data. NOAA will implement an architecture for an integrated, national environmental data access and archive system to support the ingest, archive, access, and distribution of its environmental data and information. The size, number, and frequency of data sets to be stored and distributed will require significant expansion of capacity for moving, storing, processing, and distributing the data.

NOAA will adopt a comprehensive data management strategy to accomplish two goals: (1) to capitalize on efficiencies and economies afforded by implementation of current technologies, and (2) incorporate end-to-end environmental data management functions. These functions include: planning, ingesting, metadata, cataloging, calibrating, validating, storing, accessing, distributing, and migrating. The NOAA architecture will be compliant with the appropriate policies, standards, and procedures in effect at the time to ensure a common framework and to minimize duplication. A goal will be to focus on re-

engineering rather than designing completely new systems. In addition, user access will include an Internet-based virtual “gateway” to access data, regardless of geographical storage location.

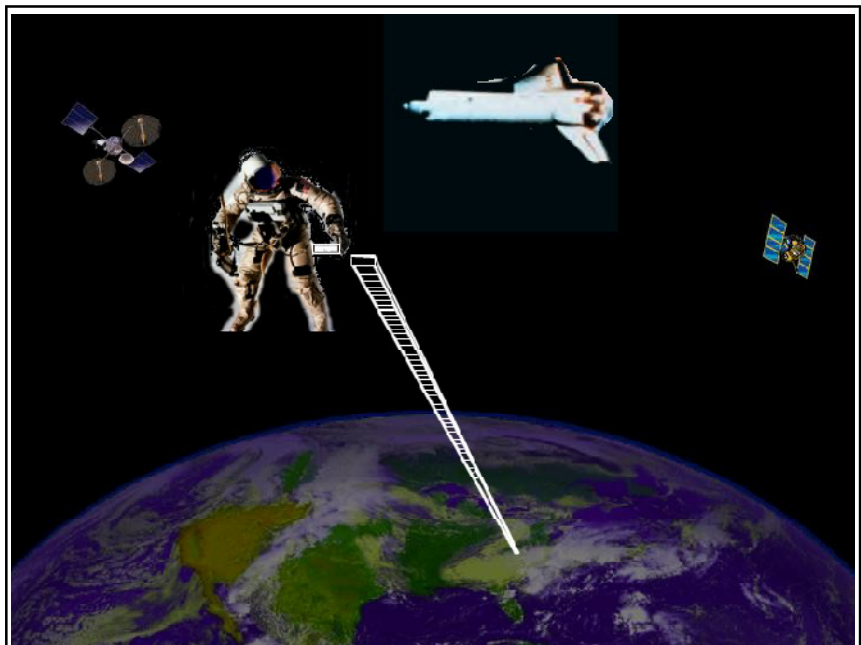
Preservation of environmental data is an important mission of NOAA. NOAA must ensure that the data are exercised and migrated to avoid media obsolescence. These data sets must be preserved due to their importance in research related to such tasks as monitoring the frequency and severity of tornadoes, floods, hurricanes, and droughts; managing our coastal zones; and managing and conserving stocks of fish and other living marine resources.

Data do not become archived and available for dissemination to users simply because they are delivered to NOAA. Data ingest, the process by which data are received, processed, and prepared for archive, may be relatively straightforward or complex and time-consuming, depending upon the characteristics of the data and the delivery medium used. Today, the vast majority of environmental data are provided in digital form. Even this, however, does not mean that these data are readily usable.

Agencies and researchers who develop observing systems and instruments are primarily concerned with obtaining observation data for their mission -- they may have little interest in facilitating the archive and re-use of their data because that is not their mission. Therefore, the data formats they design tend to be optimized for the program’s use, and the archive delivery system and media chosen by the program are the most cost-effective from the standpoint of the program. This usually results in inflated archive and dissemination costs over the full life cycle of the data. For example:

- Most NEXRAD Doppler radar data are received in digital form on 8mm tapes. Each tape contains approximately 2 to 3 days of data for one station, and data are reported for approximately 164 stations. Due to the extremely slow tape speed, reading or copying just one tape takes at least 4 hours. The labor-intensive nature of this process limits the distribution of this data set (to other users) to small amounts of data.

- NOAA has more than 38,000 U-matic tapes containing the GOES data collected over more than 20 years. Use of this antiquated, 25-year-old tape technology for data storage means that the data



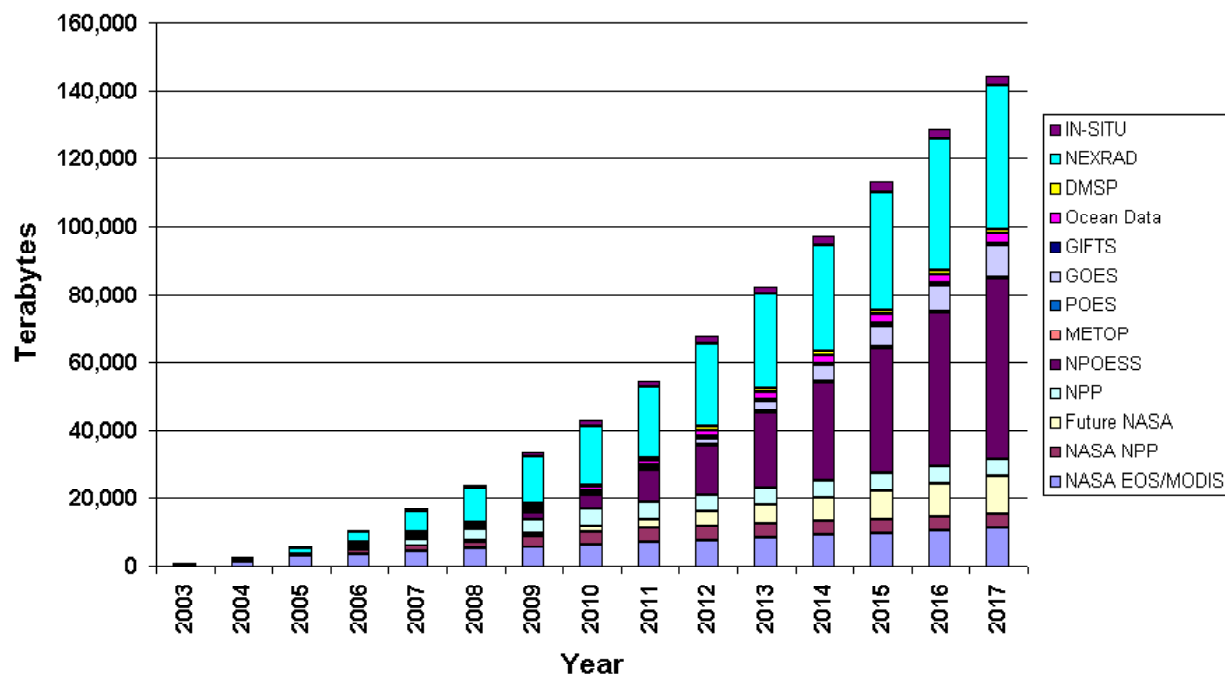
The standard hard disc drive in one of today's personal home computers typically can hold 40 GB of data. The 15 year projected NOAA archive growth (including backup) is anticipated to exceed 140 PB, which is equal to 140,000 terabytes (TB) or 140,000,000 GB. That equates to the storage capacity of over 3,500,000 home computers. Assuming a 2-inch height for a 40 GB hard disc drive, that would be a stack of drives over 583,000 feet (177,699 meters) high. That's over 110 miles (177 kilometers)!

are practically inaccessible for today's researchers.

The actual data provided for archive are changing, requiring more human resources to make the data useful and accessible to users. The content of the data to be archived is becoming more complex, with new types of measurements being introduced. Increased data complexity brings with it a requirement for more human resources – and in some cases, new or different skills – to manage the data than was previously required.

Another area of major emphasis is the Nation's marine sanctuaries. Both the volume and the complexity of the data being gathered from these areas will increase, and management of these new data sets will require additional investments that are presently unidentified. In addition, NOAA is involved in characterizing and mapping freshwater, estuarine, and marine species communities and their habitats on local, regional, and national scales to meet mandated responsibilities for Essential Fish Habitat regulations. This effort is growing rapidly and requires significant collection and management of spatial data in GIS-compatible formats.

### 15 Year Projected Archive Growth Including Mirror Site Under CLASS



Access to some of NOAA's data sets must be restricted or they may only be distributed in aggregate form due to confidentiality or legal requirements. For example, the locations of cultural resources such as shipwrecks within National Marine Sanctuaries, catch information voluntarily provided by commercial fishers and the fishing industry, and information related to on-going legal cases cannot be freely disseminated. In such instances, special data security measures must be employed to ensure adherence to the appropriate confidentiality or proprietary restrictions for each data set.

New emphasis is being placed upon the standardization of data set structure and data accessibility so that relevant data sets from diverse sources can be readily identified, accessed, and analyzed together to solve pressing environmental issues. The use of GIS's will increase dramatically as NOAA strives toward a holistic approach in analyzing spatial data from an ecosystem perspective.

Because data sets are becoming more complex, there is a growing amount of information that must accompany the new data to make them useful. Both the metadata and the data themselves must be managed in a way that ensures not only their preservation and availability, but also ensures that they are related to each other and that the relationship is helpful to users. This activity requires on-going human resources to maintain the metadata and to manage the data for active data sets.

## **Rescuing Data and Information**

NOAA conducts many environmental data rescue activities to preserve historical data before they are lost or have become unrecoverable, thereby preserving these data to assist in finding solutions to today's – and tomorrow's – environmental problems. This involves rescuing data from around the world, and converting data from deteriorating media to modern media.

Less than 50 percent of the hydrographic surveys are available in digital format for use in GIS applications. Paper chart and source data archives need to be scanned, geographically rectified, cataloged, supplemented by metadata, and made accessible to users to facilitate charting efforts, environmental research, and environmental resource management. Although much historical tide, water-level gauge height, and water current data have been the subject of previous data rescue efforts, much data remains in need of rescue.

Rescue efforts are underway to convert more than 10,000 historical shoreline maps into digital format. However, the rescue of the 600,000 aerial photographic images of coastal areas, airports, and some sites of natural disasters has not yet been addressed. Much historical data of importance to understanding fisheries stocks, such as commercial vessel logbooks and old data sheets from fisheries research vessels, are in danger of being lost due to deteriorating physical media.

NOAA also conducts environmental data rescue activities to preserve historical data from countries around the world. At the end of the Cold War, millions of extremely valuable, historical climate records became available to scientists around the world. NOAA utilizes international organizations, such as the United Nations, and bilateral agreements between nations to collect and preserve data from many of these countries. It is imperative that these data be rescued before they are lost forever due to physical deterioration, and to make the data available for use.

Russia has a wealth of historical environmental records, mostly in manuscript form, dating back 100 years. With as many oceanographic stations as the rest of the world combined, these data can fill many of the gaps in NOAA data sets, enabling improved understanding and prediction of both oceanic and atmospheric change. NOAA has begun to digitize some of these records to preserve the data and to make the data available to researchers and industry. However, other valuable data sets have yet to be rescued from many countries – as well as from the United States.

The increasing volumes of incoming data are outgrowing NOAA's storage capabilities and technologies, and they are affecting NOAA's data-rescue capabilities as well. With new media and mass storage technologies being introduced at an ever-accelerating pace, NOAA's ingest and storage capabilities – as

well as its media migration capabilities – are falling farther and farther behind modern technology. There is an urgent need to migrate much of our archived data to new media before these data are unrecoverable.

## **D. Seamless Uses of Geospatial Data**

“Geospatial data” means information that identifies the geographic location of natural or constructed features and boundaries on the Earth. As a simple example, a hiker with a portable GPS receiver has information about the latitude, longitude, and elevation above sea level of his/her location. Recording this information as the hiker walks along the trail creates a series of data points that are geospatially referenced.

To group spatially enabled data from a variety of environmental observations into a seamless database requires common horizontal and vertical geographic references. Unfortunately, the variety of geodetic and tidal vertical datums in use around the Nation has severely limited the usefulness of bathymetric, topographic, and shoreline data. Important applications, such as storm surge modeling, hurricane evacuation planning, and the permitting activities of coastal resource managers depend upon integration in a standardized geographic information system. This capability does not presently exist in NOAA, and must be developed.

Such data can only be integrated if they are referenced to the same geodetic datum. (A geodetic datum defines the size and shape of the Earth and the origin and orientation of the coordinate systems used to map the Earth.) Thus, for NOAA to integrate bathymetric and topographic data sets with those data sets from other mapping agencies, the data must be easily and accurately transformed to a common vertical datum. Once datum transformation tools become available, data sharing and cost efficiencies can be realized with agencies such as the U.S. Geological Survey, Federal Emergency Management Agency, and the National Imagery and Mapping Agency, as well as numerous coastal state agencies.

Recently, discussions have begun on the development of a NOAA-wide enterprise-wide GIS to address such issues. This discussion has been prompted by the growing awareness by NOAA senior management that a variety of activities either currently underway or being proposed throughout NOAA involve integrated delivery systems (e.g., Mapfinder for spatial data, traditional map and boundary data, and observing and monitoring system data). One impetus for the need for an enterprise-wide GIS in NOAA has been the development of the comprehensive NOSA system. NOSA was developed to enable NOAA to document its multiple observing systems and identify ways in which to evolve them in an integrated management approach. A key component of NOSA is a GIS capability that allows users to pose complex queries of a geospatially enabled database of observing systems, resulting in easily interpretable maps of information.

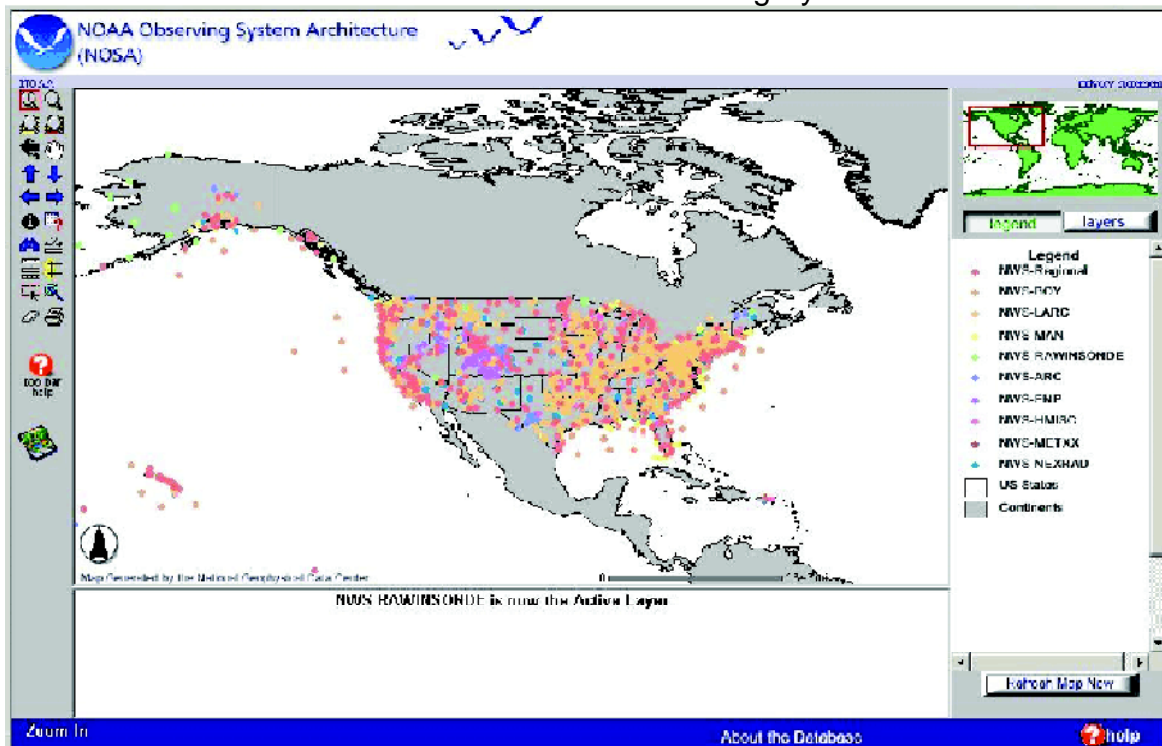
The core principles for a NOAA Enterprise GIS will build upon existing standards and efforts, most importantly the Office of Management and Budget’s (OMB) E-Government Geospatial One-Stop portal, and the interoperability standards and protocols developed in consultation with the OpenGIS Consortium (OGC). NOAA is a key player in the one-stop portal activity. NOAA is also a charter member of the OGC’s Technical Committee, where open-architecture industry standards for GIS and web mapping applications are vetted and published. An approach using OGC, Federal Geographic Data Committee, and International Standards Organization standards is a key element in the creation of an enterprise-wide GIS.



The core principles for an enterprise-wide GIS for NOAA include:

- Accelerating development and implementation of the National Spatial Data Infrastructure (NSDI);
- Ensuring that existing geospatial data has been documented and published (the documentation is referred to as metadata);
- Establishing interoperable data access and visualization services based upon OGC web mapping and web feature service standards and protocols;
- Providing an on-line geospatial data portal for geospatial information discovery, access, and mapping.

NOAA's National Weather Service Observing Systems locations.



Geospatial One-Stop is a part of the new OMB e-government initiative to improve effectiveness, efficiency, and customer service throughout the Federal Government. The strategy, adopted by the President's Management Council in October 2001 implements the "Expanding Electronic Government" reform outlined in the President's Management Agenda. Geospatial One-Stop will revolutionize e-Government by providing a geographic component for use in all Internet based e-government activities across local, state, tribal and Federal Government. The implementation of the Geospatial One-Stop will: (1) provide standards and models for the geospatial framework data content; (2) provide an interactive index to geospatial data holdings at the federal and non-federal levels; (3) initiate interaction between federal, state, and local agencies about existing and planned spatial data collections; and (4) provide an online access point to geospatial data. Geospatial data covers information that identifies the geographic location and characteristics of natural or constructed features and boundaries on the Earth. This

information may be derived from, among other things, remote sensing, mapping, and surveying technologies.

NOAA is a full federal partner in the development of framework data standards associated with the Geospatial One-Stop effort as well as the inventorying, documentation, data collection, data discovery, and access to these framework layers. The effort includes other non-framework yet geospatially enabled and/or associated environmentally related data layers at three levels: (1) overall coordination activities; (2) actual framework development work on the geodetic control level of which NOAA is the primary lead agency; and (3) the sub-framework layer development work in three areas involving: (1) shoreline data (as part of the hydrography framework layer); (2) bathymetry (as part of the elevation framework layer); and (3) marine boundaries, as part of the cadastral framework layer.

The Geospatial Information One-Stop Project will enable citizens and government to only need to go to a single location to access Federal Government and other geospatial data assets. The project will accelerate the development and implementation of the NSDI. Participants include state, local, and tribal governments along with the private sector and academia. By making current and accurate place-specific information readily accessible locally, nationally, and globally, NSDI will provide the groundwork for a geographic information component for e-government. The initiative supports a variety of efforts, ranging from economic development, environmental quality and stability, and social progress. The Goals of Geospatial One-Stop are to: (1) provide fast, low cost, reliable access to geospatial data needed for government operations; (2) facilitate government-to-government interactions needed for vertical missions such as homeland security; (3) facilitate alignment of roles, responsibilities and resources; and (4) establish a methodology for obtaining multi-sector input for coordinating, developing and implementing geographic data and service standards.

This initiative will have substantial involvement of state, local, and tribal governments; the private sector; academia; and citizens in the implementation of the Project. In addition to NOAA, other federal agencies involved are the:

- U.S. Geological Survey
- U.S. Bureau of Land Management
- Bureau of the Census
- Department of Transportation
- National Aeronautics and Space Administration
- U.S. Environmental Protection Agency
- Federal Emergency Management Agency
- Department of Agriculture
- Department of Defense

The prime objective of the Geospatial One-Stop initiative is to develop and implement data standards for the seven NSDI Framework Data. These seven framework layers are: (1) administrative boundaries; (2) cadastral data; (3) elevation; (4) geodetic control; (5) hydrography; (6) digital orthoimagery; and (7) transportation. The other objectives of the initiative are to then: (a) fulfill and maintain an operational inventory of NSDI data and publish the metadata records in the NSDI Clearinghouse Network; (b) publish metadata for planned data acquisition and update activities; (c) develop and deploy prototypes for enhanced data access and web mapping services for geospatial data; and (d) establish a comprehensive electronic “portal” as a logical extension to the NSDI Clearinghouse Network.

There are a number of challenges relating to Geospatial One-Stop that include:

- Better involvement of state and local governments and the private sector in an effective Geospatial One-Stop data standards development, data collaboration, and portal design process while maintaining traceability to business requirements;
- Better facilitation of improved geospatial data access and collaboration, via the Geospatial One-Stop portal and other mechanisms;
- The development of policies regarding appropriate private sector use of the Geospatial One-Stop portal, for example, those dealing with the licensing of data to vendors;
- The development of interoperable web GIS interfaces and services (such as mapping and analysis) for the portal; and
- Anticipation of user demands for geospatial data access through the portal.

The success of Geospatial One-Stop will be dependent upon cooperation and collaboration between different agencies of the Federal Government; and between the federal, state, and local governments, and the private sector (e.g., utilities). Shared effort needs to occur on a number of levels including data standards development, geospatial database construction, development of geospatial data archives, and development of an interoperable geospatial data portal. That portal will provide access to data in archives maintained by various entities. This standards based, collaborative, interoperable Geospatial One-Stop portal and related data archives also need to be sized and managed to support the performance requirements of its intended user organizations. Governance of the initiative is an issue because of the large number of involved organizations at various levels of the government and in the private sector.

## **E. Scientific Stewardship**

### **The Need for Climate Monitoring and Evaluation**

The President's CCSP, NOAA's Council on Long-term Climate Monitoring, and the IPCC 2001 Third Assessment Report identify the need for improved climate monitoring and evaluation using a suite of measured climate observations that are critical to describing climate variations and changes.

Effective utilization of climate data and information and long-term stewardship requires the ability to scientifically manage the Nation's climate records and provide relevant utility to a wide range of customers. Scientific stewardship can be characterized as maintaining scientific integrity and long-term utility of climate records through monitoring, improving quality, and the extraction of select key parameters from new observations and the historical records. Scientific stewardship is principally a data management discipline designed to maximize the benefit of data beyond the initial and immediate short term uses. It is an important process encompassing the transformation of data to meaningful information; information to knowledge; and ultimately knowledge to understanding. Knowledge and understanding enhance the formulation of sound economic and environmental policies and decisions.

### **Why Scientific Stewardship?**

The practice of scientific stewardship is essential for maximum utility and long-term preservation of data records leading to increased levels of confidence in climate model projections. To date, too little

attention has been given to data mining long-term satellite and radar data and information. In part, limited use of these observations has been the consequence of technologies lacking the capabilities of adequately processing these very large volume producing observing systems. Until recently, long-term stewardship has not been able to meet the challenge of capitalizing on the true potential value and use of information and knowledge derived from new measurements and the historical climate data records, i.e., data mining and fusion described below. Scientific stewardship, practiced by scientists and data managers knowledgeable of different types of data, will provide effective data management where data are: 1) initially processed, reprocessed, and reapplied for purposes both intended and newly discovered; 2) improved through repeated analysis and evaluation; and 3) made more accessible with new technologies and innovative structures.

A new and evolving approach to data management is Scientific Data Stewardship (SDS), which encompasses the end-to-end management of data and information designed to address the need to effectively utilize past, present, and future data and information. One aspect being developed under the SDS concept is data mining. Essentially, scientists require the means to extract specific measurements and information that will contribute to climate monitoring and evaluation and other searches that will lead to the production of informative products and information supporting economic, environmental, and business activities. Techniques are being developed to capitalize on the benefits of data mining as current observations arrive in real-time and to rapidly data mine through the historical records. Another aspect of SDS is the ability, both in real-time and using historical data, to merge or fuse data from a wide range of data and information sources. This will provide a holistic view of climate at a given place and period of time. The NOAA NCDC has established a new activity that will specifically develop techniques to perform data fusion, leading to informative products for real-time business uses, as well as climate related monitoring and evaluation activities. Some of these environmental indices and products and reports are being introduced to support businesses and policy planners. Examples include: the monthly and annual State of the Climate Reports, the North American Drought Monitoring Reports, the monthly and seasonal Residential Energy Demand Temperature Index, the Moisture Stress Indexes for Corn and Soy Bean, and in Fiscal Year 2003, the prototype Air Quality Index for the western United States, and Airborne Disease Vector Predictions. Also planned is the development of a new generation of dynamic Climate Normals, which can be used by planners and decision makers in many sectors of the economy that are dependent on current conditions and trends.

Access to complex physical computer simulation models of the Earth's systems requires an infrastructure for collaboration and systematic evaluation of models across multiple institutions. The NOAA Operational Model Archive and Distribution System (NOMADS) is a demonstration network of data servers using established and emerging technologies to access and integrate model and other data stored in heterogeneous formats and at geographically distributed archives. Developed by computer and research scientists, NOMADS enables the sharing and inter-comparing of model results and the comparing of model results with observations. It is a major collaborative effort spanning multiple government agencies and academic institutions. The NOMADS, combined with the increased computational power and improved models at the NOAA Geophysical Fluid Dynamics Laboratory, will provide the tools required to greatly improve the performance of future climate projections.

The goal for a unified climate model community is to reduce uncertainties and increase the level of confidence in the support provided to planners responsible for developing long-term strategies for viable environmental and economic policies. In line with NOMADS work, at its meeting in May 2002, the Committee on Earth Observing Satellites' (CEOS) Working Group in Information Systems and Services

(WGISS) established a Grid Task Team. Grids are persistent computing environments that enable software applications to integrate instruments, displays, computational and information resources that are managed by diverse organizations in widespread locations. While this in many cases is associated with high-end super computing, it is probably the next step in the evolution of the Internet for the dissemination of information in a more seamless manner.

This technology forms the infrastructure for the 21<sup>st</sup> century and will essentially facilitate collaboration in a more seamless distributed processing environment with uniform data access. The ultimate goal for WGISS is to have all the CEOS Data Centers on an interconnected Grid with seamless and consistent authentication by 2010. NOAA has been involved with the CEOS Grid team from its inception, due to the work of NOMADS, and NOMADS is in fact one of the first four systems that comprise the CEOS Grid test bed. This joint venture between NOAA and CEOS will reap major benefits for NOAA and the Nation regarding better, more seamless access to the expected petabytes of new environmental data expected over the next 10-15 years.

## Scientific Stewardship

The concept of scientific stewardship within NOAA means providing the data and information services necessary to answer global change science questions of the highest priority, both now and in the future. High quality, long-term records are needed to address important monitoring and prediction issues. The NOAA *in-situ* observations, such as surface temperature and precipitation, have long been subjected to extensive scrutiny, quality control, adjustment, and analysis. These steps created the confidence in the quality of these data used by decision makers. Similar stewardship functions are required for all the NOAA observations. Then, long-term records from all the NOAA observing systems will reveal their respective maximum potential usefulness regarding a range of critical environmental monitoring and prediction issues, such as atmospheric and oceanic climate change, terrestrial change detection in response to climate changes, space and solar variability, and ecosystem and coastal management.

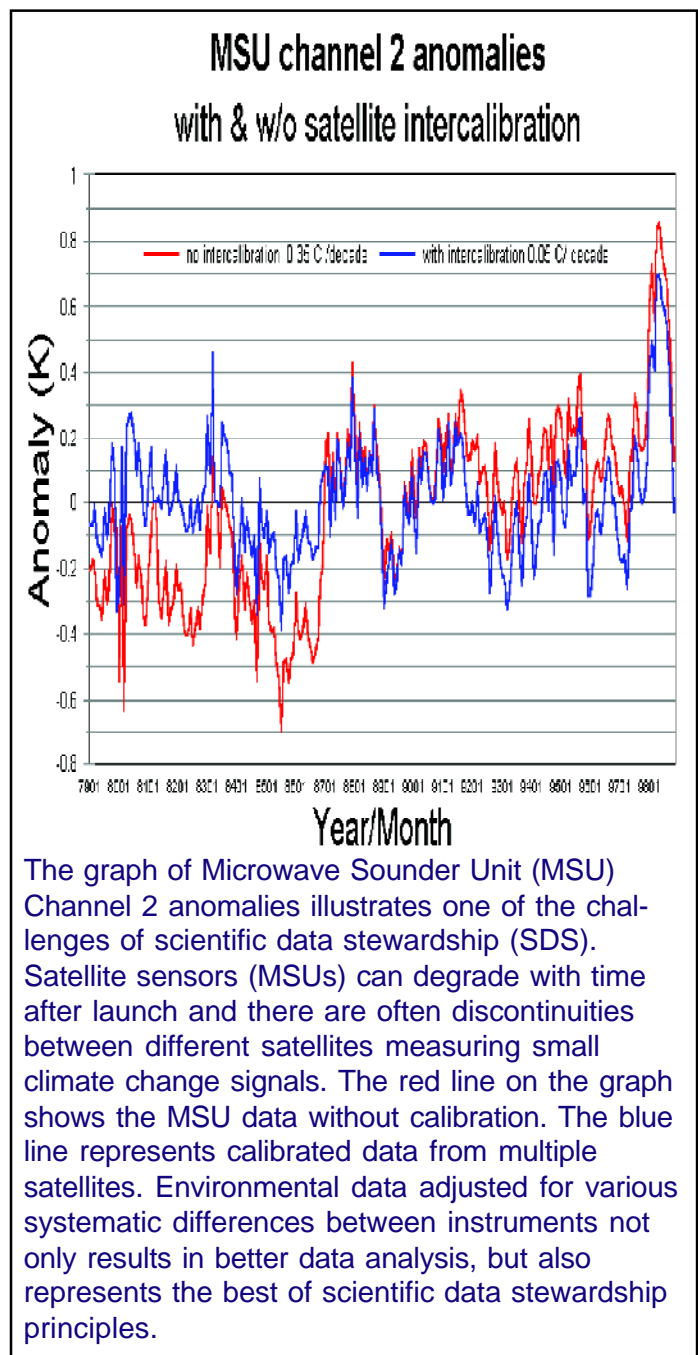
There are five fundamental principles associated with the NOAA Scientific Stewardship Program:

- ***Ensure Observing System Quality.*** Provide real-time monitoring of climate-scale biases for the global suite of satellite, airborne, and *in-situ* (atmospheric and ocean) observing systems by monitoring the observing system's performance. Subtle spatial and temporal biases can contribute to serious data quality problems. Automated Network Monitoring to discover these biases as soon as possible are the first line of defense, before the data becomes part of the long-term historical records. Programs to seek out existing biases in the historical records provide the second level of monitoring necessary for improving the climate data records. Time dependent and other biases can be minimized or eliminated through efficient and effective early processing and periodic reprocessing leading to improving and maintaining the quality of the observing systems.
- ***Develop a Climate Processing System.*** Provide the necessary algorithms to ensure that understanding of key climate processes can be derived from space-based systems and the fusion of space-based and *in-situ* systems. The best possible scientific understanding of critical climate and global change issues can only be reached when many opinions and perspectives are explored. Essential to this end is an active program that engages the research community, establishes partnerships with industry, and facilitates interactions with local, regional, and national governments, agencies, and institutions.

- Provide Basic IT Support.** Technology now provides the capabilities to implement, maintain, and access the most comprehensive and highest possible quality historical data and information records, critical to the support of effective analysis and prediction activities. A dynamic and flexible strategic plan for the efficient use of IT resources will support rapid adaptation to evolutionary and revolutionary IT changes (e.g., new sensors, telecommunications, storage, commercial-off-the-shelf software, and interoperable hardware). The creation of long-term, contiguous, and quality data records requires the commitment of resources to accomplish these tasks.

- Document Earth System Variability.** Documenting the Earth’s integrated climate system, variability, and change on global, regional, and local scales depends on creating, using, and maintaining the highest quality climate databases and deriving the best historical perspective from these records. This will optimize data and information services in order to make research easier and more effective by ensuring that those services are simple, straightforward, direct, and responsive. Success will be achieved by establishing end-to-end accountability for establishing long-term, scientifically valid, and consistent records for global change studies. This will ensure that our data and information are available to the maximum number of users.

- Enable and Facilitate Future Research.** Climate and global change is a long-term societal imperative. Questions and answers often take many years into focus due to the nature of the “feedback” and “forcing” systems influencing the global and regional climate systems. This aspect of stewardship encompasses providing the quality climate observations over years and decades, recurring basic information technology hardware and software improvements, and improved models, that can guarantee the preservation of and access to the data, information, and gained knowledge for future generations of scientists and policy makers. Today the Internet, NGI, and the emerging grid technology will provide the access for today and the near future. Newly



The graph of Microwave Sounder Unit (MSU) Channel 2 anomalies illustrates one of the challenges of scientific data stewardship (SDS). Satellite sensors (MSUs) can degrade with time after launch and there are often discontinuities between different satellites measuring small climate change signals. The red line on the graph shows the MSU data without calibration. The blue line represents calibrated data from multiple satellites. Environmental data adjusted for various systematic differences between instruments not only results in better data analysis, but also represents the best of scientific data stewardship principles.

developed data sets will be used to update scenarios and assessments, and identify and respond to emerging questions that the scientific community is expected to answer.

## Scientific Stewardship Functions

The five fundamental principles provide the framework for the NOAA Scientific Stewardship Program. However, full exploitation of the scientific value of observations requires implementing five Scientific Stewardship functions, each with several constituent components. The first function provides real-time monitoring for long-term applications of the observing systems' performance. Such monitoring requires the implementation of automated processes that will detect and notify when unusual changes occur in the performance of the observing system and the sensor measurements. One example is the immediate detection of small biases in the reported instrument observed values. These "errors" can be minimized or eliminated before the problem becomes larger in the archived records.

The second function addresses data quality and product generation. Environmental, economic, and social issues need an authoritative source of information to establish long-term trends and to detect significant changes in the environmental conditions. Authoritative long-term records provide that information by fusing the information content derived from high quality satellite measurements with ground based measurements and merging these with data from the irreplaceable historical records. Rigorous analysis of historical and current records using advanced physics based methods is essential. The authoritative nature and vitality of these data and products require periodic reviews by the scientific community, which may recommend reprocessing of the original record and generation of new products.

The third function, the use of authoritative records, assesses the current state of the environment and puts the assessments into a historical perspective. Long-term trends on local, regional, national, and global scales can be determined and used to estimate likely scenarios for the future. Authoritative records can detect significant changes in environmental conditions and regimes. These first three functions taken together are known as SDS.

The fourth function is concerned with documenting the history of the observing system and the data processing and storage methods and procedures. Ensuring long-term data archival and access capabilities require that metadata records, direct observations, and fundamental measurements from satellite, airborne, and *in-situ* platforms are comprehensive, complete, and preserved in perpetuity. Open, efficient access to the metadata records, products, and data streams must be guaranteed and data made available in useful formats. These functions and their components will at all times maintain a strong emphasis on the physics of the instrument, as well as the parameter measured. This group of constituent components form the fourth stewardship function known as Information Stewardship.

The fifth and last function addresses "data archeology" activities that are designed to rescue the information content in the historical records archived in an analog (non-digital) format. The full scientific value of these archives can only be achieved if the data are digitized and used in data assimilation schemes with complementary data sets. The CDMP is a critical contributor to the data archeology activities. This fifth function is known as Data Rescue Stewardship.

The implementation of scientific stewardship has begun and covers not only the archiving plans for all the various satellite and *in-situ* data sources, but it also involves applications with a number of groups and activities as follows: (1) data character; (2) mission groups; (3) interdisciplinary groups; and (4) external

grants. The data character group has the mandate for long-term calibration, inter-calibration, and validation of all sensors; collaborates with existing national and international observing system groups; and assures that customers get the highest quality basic data while also responding to data quality questions. The mission groups are specific to each observing platform (e.g., NPOESS), ramp up during the implementation of the observing platform, and then transition to the data character group when stable operations are achieved. These first two groups possess the competencies in the specifics of each mission and produce the documented metadata. The interdisciplinary groups address major theme areas (e.g., water and energy cycles), use all the instruments, and blend (fuse) data from multiple sources to address climate and global change science questions in order to help provide data and information assessments and options. Finally, the external grants program uses expertise from existing NOAA grants and contracts to assure the involvement of academia and industry. Grant program members work with other scientific stewardship groups to take advantage of directed research with cooperative institutes.

## Challenges and Recommendations

Scientific stewardship, with an emphasis upon satellite and radar data and information, is a new era in data management consisting of an integrated suite of functions to preserve and exploit the full scientific value of environmental data and information entrusted to NOAA. These functions provide effective observing system design, careful monitoring of observing systems performance for use in long-term applications, improved quality control, generation of authoritative long-term quality data and records, assessments on the state of the environment, and archive and access to data, metadata, products, and services. Successful implementation of scientific stewardship will ensure maximum use and public benefit of environmental data and information, now and in the future.

Careful thought and support is required to achieve the desired end-to-end observation data management process (i.e., collecting, monitoring, processing, product development, access/distribution, archiving, assessing, etc.). An integrated suite of functions to preserve and exploit the full scientific value of the environmental data and information under the stewardship of the NOAA will evolve provided the basic resources are committed to the goals and objectives. The two primary goals are: 1) the careful monitoring of observing system performance for long-term applications, and 2) the generation of authoritative long-term records. A third and new component, the effective integration of observations from modern satellite and radar systems with targeted *in-situ* and long-term historical data, is ambitious, yet achievable. High quality, long-term records and targeted products relevant to society's needs today and tomorrow will address important environmental monitoring and prediction issues. Scientific stewardship will provide the means to raise the level of confidence in the quality of the data and associated forecasts to be used by decision makers.

Maximizing the public benefit of the Nation's operational satellites, radars, and past proxy data sets requires implementing the scientific stewardship program. The involvement and consensus of the research community are essential to accomplish these objectives. Implementation of stewardship of environmental data from the NOAA observing systems will ensure the quality, usefulness, and accessibility of this national information resource treasure for current and future generations.

## F. Homeland Security

NOAA, as with many federal, state, and local agencies, as well as private industry, faces the challenge of doing business in a world of heightened security concerns and terrorist threats following the horrific



events of September 11, 2001. Clearly, NOAA as an environmental agency, has found itself in a situation of new data and information needs, customers, and procedures. Many of NOAA's data sets are used to support forecasting of and response to natural hazards, as well as their use in climate studies, marine applications, and a variety of industries (e.g., construction), and are essential in support of homeland security activities that include emergency response, monitoring, predicting, and modeling in times of national emergencies.

For example, as gateways to our largest cities and industries, U.S. seaports are vulnerable choke points and strategic targets for attack. The U.S. economy is dependent upon the uninterrupted and efficient flow of goods and services. Commercial ports also serve as logistical centers for rapid deployment of U.S. military forces and material, and must, therefore, remain open and protected. NOAA can offer assistance that is of great benefit to the U.S. Coast Guard, the Navy, and port authorities through its mapping and charting products.

Modern electronic information systems will be key to maritime security, port safety and uninterrupted maritime commerce. Mariners need accurate, real-time information displays such as NOAA's ENC's integrated with differential GPS positioning, weather conditions, forecasts, and water level and current data in order to make informed and safe decisions. When integrated with GPS technology and accurate charting information, these data can be used to calculate underkeel clearances for a vessel's transit, thereby reducing the possibility of ships going aground, blocking other vessels and channels, spilling contaminants, or becoming additional targets.

NOAA's models of atmospheric, oceanographic, and water-quality conditions provide crucial advance data for re-routing of vessel traffic, port condition forecasts, and low visibility navigation to keep traffic moving. They also are critical to air and water dispersion efforts. As an example, NOAA works closely with first responders by providing modeling information for incidents such as oil spills, toxic atmospheric conditions, and smoke plume trajectory so that decisions related to population and environmental safety can be made.

Another NOAA responsibility is fishery management and enforcement of commercial fishing regulations, as well as seafood inspections. NOAA works closely with the U.S. Coast Guard to ensure vessel traffic is kept in appropriate waterways and fishing grounds. Currently, seafood inspectors monitor the fishing industry to ensure the safety of their product upon entering the United States. This monitoring aspect also is valuable to homeland security since NOAA personnel may be some of the first to notice any unusual activity in unauthorized coastal areas.

With a general presentation of just a few of the activities NOAA does routinely, it is easy to understand how many of them are related to security and well-being of the Nation. But, open policies for data sharing can provide challenges to assuring that environmental information and NOAA's other products are not used to create harm. Therefore some of the things that must be considered in the new security environment are:

- Who are NOAA's customers? Are there additional agencies that now have new missions for homeland security, including intelligence agencies that now need to share information more broadly without compromising sources; state and local emergency responders who need good information that is unclassified; private industry trying to develop products and services to work within this new area of concern?

- How does NOAA work with the new Department of Homeland Security?
- What is no longer benign information, or an accepted way of doing business? How are data handled differently (mapping, port information, dispersion models for example)?
- How does NOAA provide adequate security without seriously impacting open data sharing policies?
- NOAA must now deal with its own homeland security concerns and responsibilities. How does its environmental data assist with this? The agency must try and understand that there may be potentially new, harm-inflicting applications for standard data. There may be hostile “customers” who are not friendly toward U.S. policies or interests. As a result, they may be trying to use the data to threaten U.S. interests.
- However, others may be using the information (for detection, monitoring, response to an environmentally based terrorist threat), to protect the Nation. Or, traditional customers may be using NOAA environmental data in new ways to meet their new homeland security requirements.

Today the new threats can create uncertainties about current policies for data distribution. Are they still valid or should they be rethought? What scrutiny needs to apply to NOAA customers? Data once openly available may now be considered sensitive; posing challenges for how NOAA will maintain its “full and open” policy for data and information in a new era of heightened sensitivities.

NOAA is taking initial steps:

- The agency has implemented interdiction software as a way of trying to limit selling data and information to known terrorists;
- It is reviewing its Internet sites for sensitive information (launch schedules, modeling programs, etc.,) which might be used adversely. This type of information is being removed or put in protected areas and customers are being dealt with off-line;
- It is improving protections for Internet sites through information technology methods. This includes methods for providing mission partners with their products and services while ensuring security of information being delivered.

## Availability of Data

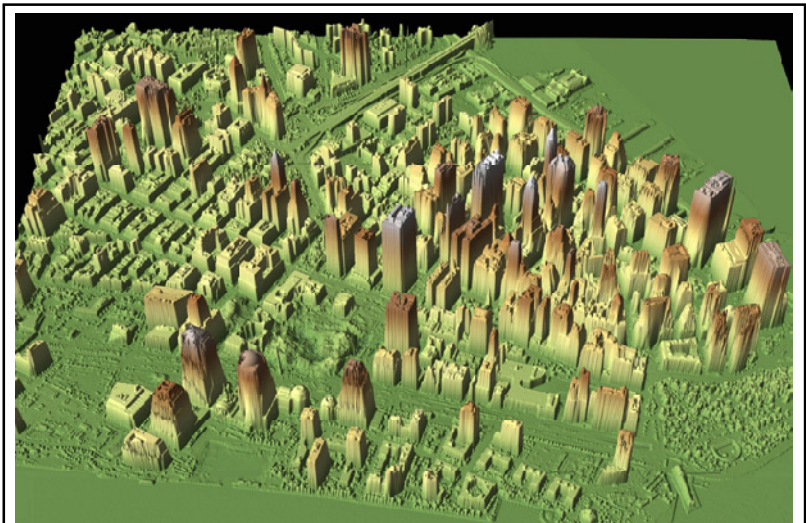
The problems facing NOAA to ensure its data are available when needed are numerous. Certain data streams must be delivered in real-time and, therefore, clean and uninterrupted communications are essential. For instance, the New York/New Jersey PORTS® system used to rely on data lines that ran through the World Trade Center. When the buildings were destroyed, access to those data were interrupted. Robust and redundant lines of communication must be established in advance of any emergency and tested on a regular basis. Systems must be put in place for 24x7 quality assurance of real-time data, based upon defined parameters, with the ability to discontinue data dissemination if quality or accuracy are in question. Systems need to incorporate knowledge-based expert software. Continued enhancements will be required as new sensor data are added. Also, back-up delivery and archive sites must be maintained to deliver the critical non-real-time data in a timely manner.

Considerable resources are required to manage the archive, perform archive preservation, and to access and distribute the vast array of environmental observations that are currently available to NOAA. As new observing systems become operational, particularly new environmental satellite systems producing large volumes of data, there will be increasing demands placed on NNDC resources for archive management and access operations.

It is essential that an orderly review and approval process be in place to provide guidance and oversight for archive management activities. Given the challenge of managing increasing volumes of data, coordination among the NNDCs is necessary to meet data archive management requirements. This review and approval process will support decision making to establish priorities and address the science community requirements for environmental data sets managed by the NNDCs.

A Data Archive Management Board has been formed to address those issues and opportunities that require coordination among the NNDCs to implement NOAA archive management responsibilities. The Board's objective is to provide clear guidance for managing the NOAA NNDC data archives. Its function is to review, coordinate, and determine data archive management requirements and priorities for the NNDCs. Specifically, the Board:

- Establishes data archive management and distribution policies;
- Reviews and approves science requirements;
- Sets priorities for determining which data are to be included and preserved in the archive;
- Coordinates system architectural implementation; and
- Addresses legal requirements and best practices.



NOAA aircraft mapped the entire area of lower Manhattan, New York using aerial photography and Light Detection and Ranging (LIDAR) technology after the events of September 11, 2001. The data collected by the LIDAR equipment helped produce 3-D images of the World Trade Center site to identify the height of rubble so that the appropriate cranes could be used to remove it. This image was rendered September 27, 2001, by the U.S. Army Joint Precision Strike Demonstration from data collected by NOAA. (Photo credit: NOAA/U.S. Army JPSD)

### III. Challenges for the Future: Internet Sites

**American Association of State Climatologists**

<http://www.ncdc.noaa.gov/oa/climate/aasc.html>

**Next Generation Internet (NGI) Initiative**

<http://www.ngi.gov/pubs/>

**NOAA Comprehensive Large Array-data Stewardship System Demonstration Site**

<http://www.saa.noaa.gov/cocoon/nsaa/products/welcome>

**NEXRAD Radar Archive & Access**

<http://www.ncdc.noaa.gov/oa/radar/radarresources.html#ABOUT>

**NOAA Fisheries Statistics and Economics Division**

<http://www.st.nmfs.gov/st1/>

**National Spatial Data Infrastructure- Geospatial One-Stop**

<http://www.geo-one-stop.gov/>

**Federal Geographic Data Committee**

<http://www.fgdc.gov/>

**North American Drought Monitor**

<http://lwf.ncdc.noaa.gov/oa/climate/monitoring/drought/nadm/>

**National Climate Impact Indicators**

<http://lwf.ncdc.noaa.gov/oa/climate/research/cie/cie.html>

**NOAA Operational Model Archive and Distribution System (NOMADS)**

<http://lwf.ncdc.noaa.gov/oa/ams/NOMADS-ams02.pdf>

**Committee on Earth Observation Satellites- Working Group on Information Systems and Services**

<http://www.ngdc.noaa.gov/seg/tools/gis/gldatatthome.shtml>

**Physical Oceanographic Real Time System (PORTS)**

[http://co-ops.nos.noaa.gov/d\\_ports.html](http://co-ops.nos.noaa.gov/d_ports.html)

## IV. Conclusion: Vision for Earth

The vision of NOAA Administrator Vice Admiral Lautenbacher stated in the introduction is part of his broader vision for global observations. He indicated his support for an integrated global observing system in separate speeches delivered to the Intergovernmental Ocean Commission and the World Meteorological Organization in June 2002; a key excerpt from those speeches follows:

**I strongly believe that NOAA is the right agency to take a leadership role within the United States, but we know full well that we cannot do this alone. The global observation effort for climate is far too enormous for one organization, or even one country, to undertake alone. We must work together. Perhaps the greatest challenge is to develop one integrated observation plan for the atmosphere, ocean, and land which everyone can support. The Global Climate Observing System and Global Ocean Observing System, working with the Integrated Global Observing Strategy Partners and others, have developed international consensus on overall needs. There is, however, much work still to be done. This challenge lies in our ability to provide one coherent plan which integrates space and *in-situ* observations across those three elements.**

Toward this end, NOAA is taking a prominent role, partnering with NASA and other U.S. agencies to bring this global perspective into achievable reality. The initial effort began with an Earth Observations Summit on July 31, 2003. The need for this was widely recognized and provides the benefit of a sound plan for end-to-end stewardship of environmental data. This is a challenge and NOAA is on target to step up to this opportunity.

# V. Appendices

## Appendix A. Acronym List

ASOS	Automated Surface Observing System
ATWIS	Advanced Transportation Weather Information System
AVHRR	Advanced Very High Resolution Radiometer
CCRI	Climate Change Research Initiative
CCSP	Climate Change Science Program
CDMP	Climate Database Modernization Program
CD-ROM	Compact Disc Read-Only Memory
CEOS	Committee on Earth Observation Satellites
CLASS	Comprehensive Large-Array Data Stewardship System
C-MAN	Coastal-Marine Automated Network
COARE	Coupled Ocean Atmosphere Response Experiment
COOP	NWS Cooperative Observer Program
CoRIS	Coral Reef Information System
CORMS	Continuous Operational Real-Time Monitoring System
CORS	Continuously Operating Reference Stations
CRAFT	Collaborative Radar Acquisition Field Test
CZMA	Coastal Zone Management Act
CZMP	Coastal Zone Management Plan
DMSP	Defense Meteorological Satellite Program
DoD	U.S. Department of Defense
ENC	Electronic Navigational Charts
EOS	Earth Observing System
FAA	Federal Aviation Administration
FHWA	Federal Highway Administration
GB	Gigabyte (1,000,000,000 bytes)
GCOS	Global Climate Observing System
GEWEX	Global Water and Energy Cycle Experiment
GIS	Geographic Information System
GODAR	Global Ocean Data Archaeology and Rescue
GOES	Geostationary Operational Environmental Satellite
GPS	Global Positioning System
HABSOS	Harmful Algal Blooms Observing System
IOC	Intergovernmental Oceanographic Commission
IPCC	Intergovernmental Panel on Climate Change
IT	Information Technology
METOP	European Meteorological Operational Satellite
MODIS	Moderate Resolution Imaging Spectrometer
MON	Marine Observation Network
MSFCMA	Magnuson-Stevens Fishery Conservation and Management Act
NASA	National Aeronautics and Space Administration
NCDC	National Climatic Data Center
NCDDC	National Coastal Data Development Center

NERRS	National Estuarine Research Reserve System
NESDIS	National Environmental Satellite, Data, and Information Service
NEXRAD	Next Generation Weather Radar
NGDC	National Geophysical Data Center
NGI	Next Generation Internet
NMFS	National Marine Fisheries Service
NMSP	National Marine Sanctuaries Program
NNDC	NOAA National Data Centers
NOAA	National Oceanic and Atmospheric Administration
NODC	National Oceanographic Data Center
NOMADS	NOAA Operational Model Archive and Distribution System
NOS	National Ocean Service
NOSA	NOAA Observing System Architecture
NPN	NOAA Profiler Network
NPOESS	National Polar-orbiting Operational Environmental Satellite System
NPP	NPOESS Preparatory Program
NRC	National Research Council
NSDI	National Spatial Data Infrastructure
NSRS	National Spatial Reference System
NSSL	National Severe Storms Laboratory
NWLON	National Water-Level Observation Network
NWS	National Weather Service
OGC	Open GIS Consortium
OMB	Office of Management and Budget
ORDA	Open Radar Data Acquisition
PB	Petabyte (1,000,000,000,000,000 bytes)
POES	Polar-Orbiting Operational Environmental Satellite
PORTS®	Physical Oceanographic Real-Time System
RWIS	Road Weather Information Systems
SAA	Satellite Active Archive
SDS	Scientific Data Stewardship
TB	Terabyte (1,000,000,000,000 bytes)
TOGA	Tropical Ocean Global Atmosphere (Program)
USCRN	U.S. Climate Reference Network
WFO	Weather Forecast Office
WGISS	Working Group on Information Systems and Services
WOD01	World Ocean Database 2001

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## Appendix C. Congressional Request Language for Data Management Report

### U.S. Code Title 15, Section 1537 (1) and Section 1537 (2)

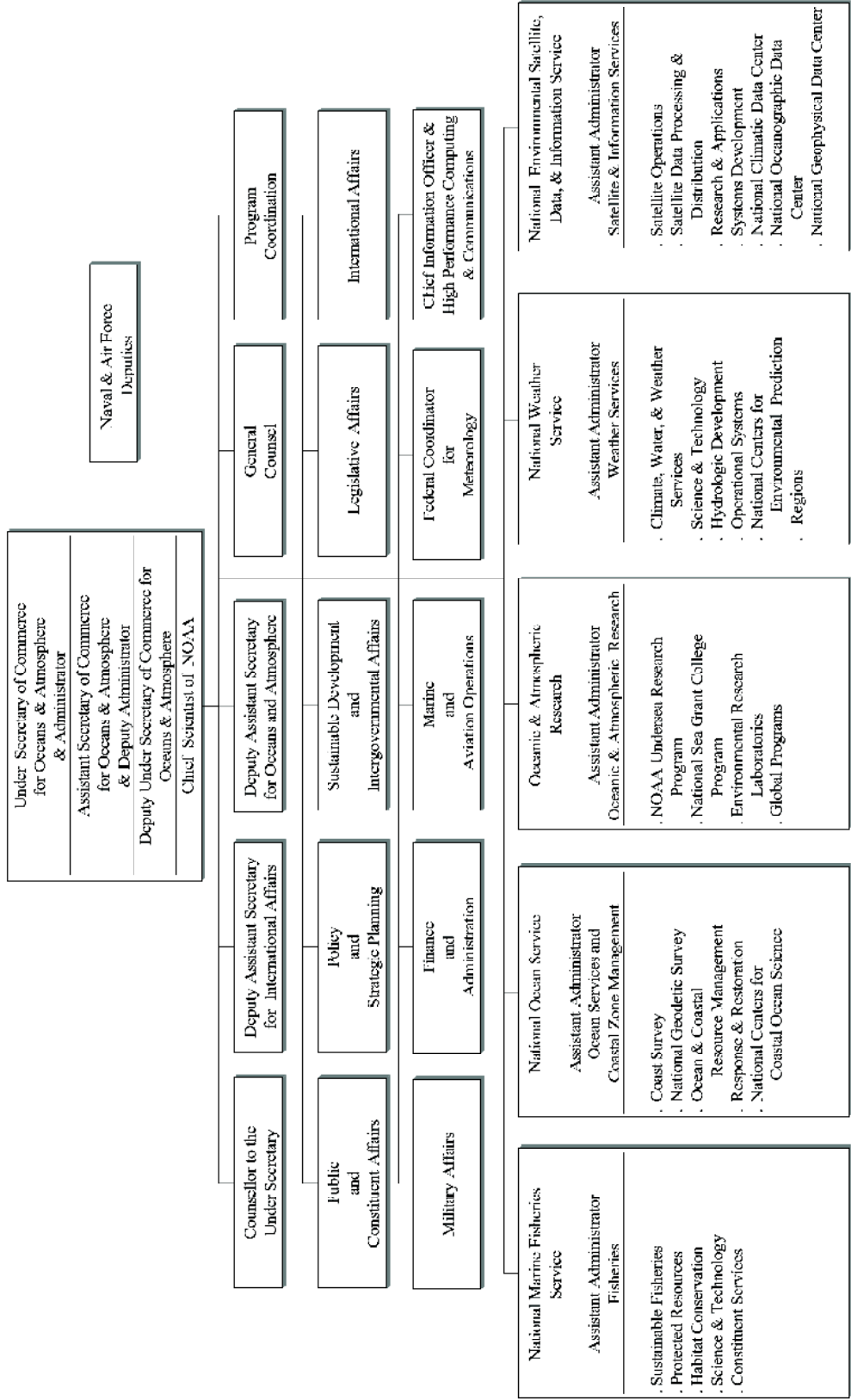
#### Needs Assessment for Data Management, Archival, and Distribution

1. Not later than 12 months after the date of enactment of this Act and at least biennially thereafter, the Secretary of Commerce shall complete an assessment of the adequacy of the environmental data and information systems of the National Oceanic and Atmospheric Administration. In conducting such an assessment, the Secretary shall take into consideration the need to:
  - A. provide adequate capacity to manage, archive, and disseminate environmental data and information collected and processed, or expected to be collected and processed, by the National Oceanic and Atmospheric Administration and other appropriate departments and agencies;
  - B. establish, develop and maintain information bases, including necessary management systems, which will promote consistent, efficient, and compatible transfer and use of data;
  - C. develop effective interfaces among the environmental data and information systems of the National Oceanic and Atmospheric Administration and other appropriate departments and agencies;
  - D. develop and use nationally accepted formats and standards for data collected by various national and international sources; and,
  - E. integrate and interpret data from different sources to produce information that can be used by decision makers in developing policies that effectively respond to national and global environmental concerns.
  
2. Not later than 12 months after the date of enactment of this Act and biennially thereafter, the Secretary of Commerce shall develop and submit to the Committee on Commerce, Science, and Transportation of the Senate, and the Committee on Science, Space, and Technology of the House of Representatives a comprehensive plan, based on the assessment under paragraph (1) to modernize and improve the environmental data and information systems of the National Oceanic and Atmospheric Administration. The report shall:
  - A. set forth modernization and improvement objectives for the 10 year period beginning with the year in which the plan is submitted, including facility requirements and critical new technological components that would be necessary to meet the objectives set forth;
  - B. propose specific agency programs and activities for implementing the plan;
  - C. identify the data and information management, archival, and distribution responsibilities of the National Oceanic and Atmospheric Administration with respect to other Federal departments and agencies and international organizations, including the role of the National Oceanic and Atmospheric Administration with respect to large data systems like

- D. the Earth Observing System Data and Information System; and, provide an implementation schedule and estimate funding levels necessary to achieve modernization and improvement objectives.

## Appendix D. NOAA Organizational Chart

## U. S. DEPARTMENT OF COMMERCE NATIONAL OCEANIC & ATMOSPHERIC ADMINISTRATION



## Appendix E. Major Data Sets and Observations

### E.1 Major Data Sets and Observations Managed by the NNDCs

ASOS	Automated Surface Observing System. Meteorological data and observations from approximately 900 NWS and FAA weather observing sites employing the ASOS.
CARDS	Comprehensive Aerological Reference Data Set. A data set of global upper-air data from radiosonde observations.
COADS	Comprehensive Ocean Atmosphere Data Set. A data set of global marine surface meteorological observations from the global oceans taken by observers aboard U.S. and foreign vessels.
COOP	Cooperative Observer Program Network. Data set containing the daily maximum and minimum temperatures and precipitation from approximately 8,000 sites of the U.S. volunteer observing network operated by the NWS.
DMSP	Defense Meteorological Satellite Program. Environmental data collected by DMSP satellites to monitor meteorological, oceanographic, and space weather conditions in support of operational requirements of the DoD, as well as other sectors of the Federal government.
EOS	Earth Observing System. Environmental data from the NASA Earth Observing Satellite system.
GHCN	Global Historical Climatology Network. A data set of daily temperature, pressure, and precipitation data from a network of global stations with long-series data for the purpose of monitoring global change.
GOES	Geostationary Operational Environmental Satellite. Environmental data and derived imager/sounder products from GOES satellites that orbit in the geosynchronous plane of about 22,300 miles (35,800 kilometers).
NEXRAD	Next Generation Weather Radar. Data from the Next Generation Weather Radar system, which comprises approximately 180 Weather Surveillance Radar-1988 Doppler (WSR-88D) sites throughout the United States and selected overseas locations. This system is a joint effort of the U.S. Department of Commerce, DoD, and Department of Transportation. The controlling agencies are the NWS, the Air Weather Service, and FAA.
NPOESS	National Polar-Orbiting Operational Environmental Satellite System. Environmental data collected by the single, national program that will result from the merging of the military and civilian operational meteorological satellite systems. The NPOESS is designed to employ three or more satellites to integrate remote sensing, surface data collection, and search and rescue payloads. This system will eventually replace both the POES and

NPP	DMSF systems. NPOESS Preparatory Program. Environmental data collected by NASA satellites that will be prototyping the instrumentation expected to be aboard the converged NOAA/DoD NPOESS satellites.
POES	Polar-orbiting Operational Environmental Satellites. Level 1b environmental data and derived products from NOAA's polar-orbiting satellites that orbit the Earth. Polar-orbiters generally orbit at 517 miles (833 kilometers) or 540 miles (870 kilometers).
USHCN	U.S. Historical Climatology Network. Contains a subset of COOP data from a network of sites with long-series observations—some beginning in the 19th Century—and data that have been validated and corrected for biases to monitor climate change.

## **E.2 Representative Environmental Stewardship Data Sets and Observations Managed by NOAA Centers of Data**

CALCOFI	California Cooperative Oceanic Fisheries Investigations. Long-term California Current pelagic ecology time-series data from more than 30 research-vessel cruises by a consortium of marine research institutions since 1951. Includes approximately 50,000 plankton samples and 20,000 hydro casts.
CORS	The National Continuously Operating Reference Station Network. The CORS Network collects and distributes GPS observational data sets to support 3-dimensional positioning. These data are made available around-the-clock on the Internet.
EFH	Essential Fisheries Habitat Consultation Tracking System. A database of NMFS consultations and recommendations regarding EFH permit requests, as required by Congressional mandate.
ELMR	Estuarine Living Marine Resources Data Base on the distribution, relative abundance, and life history characteristics of 153 fish and invertebrate species in 122 estuaries. Relative abundance is ranked by month for each life stage, each species, in each salinity zone of each estuary.
MORATORIUM	
PERMITS	Vessel Moratorium Permits. Permits for Gulf of Alaska and the Bering Sea and Aleutian Islands under a program to place a moratorium on new entries into the fisheries for 3 years.
MRFSS	Marine Recreational Fisheries Statistics System. Recreational fishing catch-effort data used to estimate the impact of marine-recreational fishing on the Nation's marine resources.
NOSHDB	NOS Hydrographic DataBase (HDB). The HDB contains the entire NOS digital hydrographic archive, covering approximately 5,000 surveys. It is available to the public on CD-ROM.

NPAC	North Pacific Commercial Fisheries Data. Confidential commercial catch-effort data collected at sea by observers aboard vessels fishing on the Northwest and Alaska fishing grounds.
NWLON	National Water-Level Observation Network. This network is presently composed of 175 water-level stations, including 36 stations in the Great Lakes. More than 80 of the stations have been in continuous operation for more than 50 years, including nine stations in operation for more than 100 years.
NS&T	National Status and Trends data base contains 4,000 records of chemical concentrations of 80 chemicals in mussels, oysters, finfish, and sediments collected annually since 1985 at 300 fixed sites in the coastal and estuarine U.S.
PORTS®	Physical Oceanographic Real-Time Systems®. A network of real-time reporting water-level and current stations in major U.S. harbors. Each of the six PORTS® has from one to four current meters in operation at any one time. Long-term ancillary data sets being collected include water temperature and density, wind speed and direction, barometric pressure, and air temperature.
PPS	Processed Products Database. Information from fishery product processors and distributors on products, plants, and employment.
SVDBS	Research Surveys DataBase System. A time series of fish and invertebrate ecology abundance and distribution data collected by historical research vessel trawl surveys in the Northeast region.
WPLLOD	Western Pacific Long-line Observer Data. Commercial catch and sea turtle, seabird, and marine mammal interaction data collected by observers at sea in the western Pacific.