

Food and Drug Administration Washington, DC 20204

JUL | 6 2001

8524 W AUG-8 P2:21

Robert J. Colclough, III, Esq. 7120 Hayvenhurst Avenue Suite 206
Van Nuys, California 91406

Dear Mr. Colclough:

This is in response to your letter to the Food and Drug Administration (FDA) dated June 1, 2001, on behalf of NICO International, LLC. Your letter was submitted to FDA pursuant to 21 U.S.C. 343(r)(6) (section 403(r)(6) of the Federal Food, Drug, and Cosmetic Act (the Act)). Your submission states that NICO International, LLC. is making the following claims, among others, for the product Nico Sparkling Water:

"Quit smoking"

"You can't afford to smoke"

"Now you can afford to Quit"

"Use when you want to smoke less"

21 U.S.C. 343(r)(6) makes clear that a statement included in labeling under the authority of that section may not claim to diagnose, mitigate, treat, cure, or prevent a specific disease or class of diseases. The statements that you are making for this product suggests that it is intended to treat, prevent, or mitigate disease, namely smoking (i.e., an addiction to nicotine). These claims do not meet the requirements of 21 U.S.C. 343(r)(6). These claims suggest that this product is intended for use as a drug within the meaning of 21 U.S.C. 321(g)(1)(B), and that it is subject to regulation under the drug provisions of the Act. If you intend to make claims of this nature, you should contact FDA's Center for Drug Evaluation and Research (CDER), Office of Compliance, HFD-310, 7520 Standish Place, Rockville, Maryland 20855.

The product that is the subject of the notification is named "NICO Sparkling Water." The label of the product also describes the product using the phrase "Just the Taste of Sparkling Water." While the product label bears the statement "A Dietary Supplement" and contains a statement that appears to be intended as the disclaimer required by 21 U.S.C. 343(r)(6) for claims made in labeling of a dietary supplement pursuant to that section (e.g., "This product is not intended to diagnose Treat, Cure or otherwise prevent disease"), this product is not a dietary supplement under the Act because it does not meet the statutory definition of a dietary supplement contained in 21 U.S.C. 321(ff). Therefore, it can not be marketed as a dietary supplement but must instead be marketed as a conventional food. We explain the basis for our conclusion below.

975-0163

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The term "dietary supplement" is defined in 21 U.S.C. 321(ff). 21 U.S.C. 321(ff) provides that the term means a product (other than tobacco) intended to supplement the diet that bears or contains a vitamin, a mineral, an herb or other botanical, an amino acid, a dietary substance for use by man to supplement the diet by increasing the total dietary intake, or a concentrate, metabolite, constituent, extract, or combination of any of the above ingredients. 21 U.S.C. 321(ff) further states that dietary supplements are intended for ingestion in a form described in 21 U.S.C. 350(c)(1)(B)(i) or in compliance with 21 U.S.C. 350(c)(1)(B)(ii), are not represented as conventional food or as a sole item of a meal or the dietary, and are labeled as a dietary supplement.

Your client's product is represented for use as a conventional food in several ways. First, the product's statement of identity describes the product as water. The product bears nutrition facts labeling. The statement of identity does not identify the product as a "dietary supplement." Therefore, the product is a bottled or drinking water, which is a term that identifies a food that is a standardized food defined in 21 CFR 165.110(a). Therefore, any product represented as such is a conventional food and is not a dietary supplement pursuant to 21 U.S.C. 321(ff)(2)(B) because it is a product being "represented for use as a conventional food." The mere declaration in labeling that a product is a "dietary supplement" does not make the product a "dietary supplement" under 21 U.S.C. 321(ff). Because the product is not a dietary supplement, it must bear nutrition labeling in accordance with 21 CFR 101.9, must comply with the requirements for nutrient content claims (the statement "Contains water and nicotine 4 Mgs." is an unauthorized nutrient content claim) and health claims (if applicable), and may contain only ingredients that are used in accordance with a food additive regulation unless the ingredient are the subject of a prior sanction or are generally recognized as safe (GRAS) among qualified experts for its intended use in foods. We are unaware of a basis to conclude that nicotine is prior sanctioned or GRAS for use in food and it is not an approved food additive.

Please contact us if you have further questions on this matter.

Sincerely,

John B. Foret

Director

Division of Compliance and Enforcement Office of Nutritional Products, Labeling and Dietary Supplements Center for Food Safety and Applied Nutrition

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Copies:

FDA, Center for Drug Evaluation and Research, Office of Compliance, HFD-300 FDA, Office of the Associate Commissioner for Regulatory Affairs, Office of Enforcement, HFC-200

FDA, Los Angeles District Office, Compliance Branch, HFR-PA140

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cc:

HFA-224 (w/incoming)

HFA-305 (docket 97S-0163)

HFS-22 (CCO)

HFS-800 (file, r/f)

HFS-810 (Foret)

HFS-811 (file)

HFD-40 (Behrman)

HFD-310

HFD-314 (Aronson)

HFS-607 (Bayne-Lisby)

HFV-228 (Benz)

GCF-1 (Nickerson)

f/t:HFS-811:76502.adv:disc59

The Law Offices of Robert J. Colclough, III

7120 Hayvenhurst Avenue, Suite 206 Van Nuys, California 91406

Telephone: (818) 906-0120 Facsimile: (818) 933-3387 RECEIVED
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BY:

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June 1, 2001

VIA U.S. POSTAL SERVICE:

John B. Foret, Director
Food and Drug Administration
Division of Compliance and Enforcement
Office of Nutritional Products, Labeling, and Dietary Supplements
Center for Food Safety and Applied Nutrition
Washington, D.C. 20204

Re: Submission of labels and other signage for FDA approval pursuant to the Federal Food, Drug and Cosmetic Act

Dear Mr. Foret:

Be advised that this office represents NICO International, LLC in regard to its product Nico Sparkling Water. I am writing this letter pursuant to 21 U.S.C. 343(r)(6) (section 403 (r)(6) of the Federal Food, Drug and Cosmetic Act. The purpose of the correspondence, and the information contained herein and attached hereto, is to inform your office of the truthful, non-misleading nutrient content claims made on the labels placed on each bottle of Nico Sparkling Water.

Nico International, LLC makes no claim that Nico Sparkling Water will diagnose, mitigate, treat, cure or prevent a specific disease or class of diseases. Rather, Nico Sparkling Water is simply water with nicotine added at dosages well within regulatory and health guidelines. After reviewing the materials contained herein, it will be shown that no claims are made that suggest that Nico Sparkling Water is intended for drug usage within the meaning of 21 U.S.C. 321(g)(1)(B).

Further, Nico Sparkling Water falls within the definition of a "dietary food supplement" as defined in 21 U.S.C. 321(ff). Nico Sparkling Water simply takes nicotine, a natural extraction from a botanical, and combines it with water. Naturally this product is intended for ingestion as defined in the case <u>United States v. Ten Cartons, et. al.</u>, 888 F.Supp.381. This product is designed, intended for and is ingested directly into the gastrointestinal tract thus conforming to the definition set forth by the Court.

Nico Sparkling Water FDA Compliance Correspondence June 1, 2001 Page 2

This correspondence and the attached materials are intended to put the Food and Drug Administration on actual notice of Nico International, LLC's intentions to go to market with Nico Sparkling Water, marketed as a dietary food supplement. If your office has any comments or questions in regard to anything contained in this letter or the attached materials, please contact this office.

Be advised that Nico International, LLC has several FDA compliant laboratories that have expressed an interest in supplying the nicotine, several more FDA compliant bottlers who will bottle Nico Sparkling Water and a distribution network that is already in place. This is a good faith effort by my client to comply with the Federal Food, Drug and Cosmetic Act. Their intentions are to go to market forty-five (45) days from the date of this correspondence.

Sincerely,

Robert J. Colclough, III

Copies:

FDA: Center for Drug Evaluation and Research, Office of Compliance, HFD-300 FDA, Office of Associate Commissioner for Regulatory Affairs, Office of Enforcement, HFC-200

Enclosures Mb;hb

Distributed under contract for NICO

Agoura Hills, CA

International, LLC

Quit Smoking Quit Smoking

Quit Smoking Quit Smoking Quit Smoking

Quit Smoking

CO Sparkling Mark SHOKING

> from nutripure

- You can't afford to smoke
- Now you can afford to Quit
- Use where you can't smoke
- Use where you shouldn't so Use when you want to smoke less
 - "Just the Taste of Sparkling Water"

Nutrition Facts Serving Size 900 mL (16 1) oz). Amount per serving Calories 0 0%

% Daily Value "N Daily Value
fotal Fat Bg 925
Sodium Dmg 97%
Total Carth 1g 97%
Sugars bg 97%
Protein D g
Ingredients: Phyfiled Water
& Micotine
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ricent Dairy Values are al on a 2,000 calone diet.

patents pending

Quit Smoking Quit Smoking Quit Smoking

- Must be 18 years or older to purchase Do not use with other Nicotine replacement
- DO NOT USE WITH CURRENT THROUGH STATE OF THE PRODUCT STATE OF THE PRODUC
- content provided on every six pack
 A Dietary Supplement. This product is not
 intended to diagnose Treat, Gure or otherwise prevent disease

(Contains Water and Nicotine 4 Mgs.) 16 Fl.Oz.

Quit Smoking Quit

Distributed under contract for NICO International, LLC Agoura Hills, CA



Quit Smoking Quit Smoking Quit Smoking

Nutrition Facts

Quit Smoking

- CO Sparkling Make SMOKING
 - from nutripure
- You can't afford to smoke · Now you can afford to Quit
- Use where you can't smoke
- Use where you shouldn't smoke
- Use when you want to smoke less

"Just the Taste of Sparkling Water"

- Serving Size 500 mt. (8. fl. oz) Amount per serving Calories 8. g/s % Daily Yalus Total Fat 0g Sodium Ome Total Cark Og
- Sugars Og Protein Og Ingredients: Parified Wate * Percent Daily Values are based on a 2 000 calorie diet
 - patents pending

- Must be 18 years or older to purchase Do not use with other Nicotine replacement products
 Drink no more than 2 bottles per hour Before using, check with your Medical Practitioner, if you have High Blood Pressure, Heart Condition, Pregnant or Lactating For more information read thoroughly the content provided on every six pack. A Dietary Supplement. This product is not intended to diagnose Treat, Cure or otherwise prevent disease.

(Contains Water and Nicotine 2 Mgs.) 8 Ft.Oz.

Quit Smoking Quit





Quit Smoking Quit Smoking Quit Smoking CO Sparkling Wale

SMOKING

From

NICO International

You can't afford to smoke Now you can afford to Quit Use where you can't sn

Use where you shouldn't smake Use when you want to smoke less

> "Just the Taste of Sparkling Water

Nutrition Facts Serving Size 500 ml. (15-fl az) Amount per serving

Quit Smoking Quit Smoking

Calories 0 % Daily Value

Total Fat Og Sodium Ongs Total Carb Og

Supers 6g

Protein 0 g
Ingredients: Purified Water
& Wicoline

* Protein Daily Values are
based on a 2,000 catorie glet

patents pending

Ouit Smoking

- Must be 18 years or older to purchase Do not use with other Nicotine replacement products
- Drink no more than 2 bottles per hour Before using, check with your Medical Practitioner, if you have High Blood Pressure, Heart Condition, Pregnant or Lactating
- For more information read thoroughly the content provided on every six pack
 A Dietary Supplement. This product is not intended to diagnose Treat, Cure or otherwise

(Contains Water and Nicotine 4 Mgs.) 16 Fl.Oz.

Quit Smoking Quit





Quit Smoking Quit Smoking Quit Smoking ICO Sparkling Water

Quit Smoking Quit Smoking Quit Smoking

Quit Smoking

From **NICO International**

CUIT

SMOKING

You can't afford to smoke

Now you can afford to Quit Use where you can't smoke

Use where you shouldn't smoke Use when you want to smoke less

"Just the Taste of Sparkling Water

Nutrition Facts Serving Size 500 mit (6 fl oz) Amount per serving Calories D 9%

9% % Daily Value

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Solitym Dung D'y.
Lotal Cark Dig P'y.
Solitym Dy
Protein 0 g
Protein 0 g
Ingradients Purified Water
4 Percent Daily Values are tasser on a 2,000 calorie diel

patents pending

- Must be 18 years or older to purchase Do not use with other Nicotine replacement
- Do not use with other Nicotine replacement products Drink no more than 2 bottles per hour Before using, check with your Medical Practitioner, if you have High Blood Pressure, Heart Condition, Pregnant or Lactating For more information read thoroughly the content provided on every six pack. A Dietary Supplement. This product is not intended to diagnose Treat, Cure or otherwise prevent disease.

(Contains Water and Nicotine 2 Mgs.) 8 Fl.Oz.



FROM NICO International

YOU MUST BE 18 YEARS OF AGE TO PURCHASE

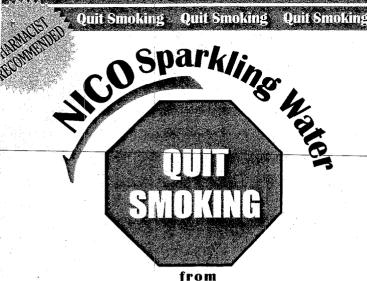
PLEASE READ IMPORTENT INFORMATION ON THE BACK OF THIS PAGE REGARDING THE USE OF THIS PRODUCT

Nicotine/Water

You can't afford to smoke
Now you can afford to Quit
Use where you can't smoke
Use where you shouldn't smoke
Use when you want to smoke less

"JUST THE TASTE OF REFREACHING WATER"

A copyright, trade marked, patent pending product



"Just the taste of Refreaching water"

You can't afford to smoke

Ouit Smoking

- Now you can afford to Quit
- Use where you can't smoke
- Use where you shouldn't smoke
- Use when you want to smoke less

nutripure

Dietary Supplement 16.7 FL Oz. (Contains Water and Nicotine (4Mgs)

prevent disease.

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Quit Smoking

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Distributed under

contract for NICO

International, LLC Agoura Hills, CA

Quit Smoking

Quit Smoking

Out Smoking Quit Smoking Quit Smoking Out Snoking **Quit Smoking** Co Sparklings Hele Must be 18 years or older to purchase Do not use with other Nicotine replacement products Drink no more than 2 bottles per hour Before using, check with your Medical Practitioner, if you have High Blood Pressure, "Just the taste of Refreaching water" Heart Condition, Pregnant or Lactating For more information read thoroughly the from content provided on every six pack A Dietary Supplement. This product is not intended to diagnose Treat, Cure or otherwise nutripure

Quit Smoking Quit Smoking Ouit Smoking Ouit Smoking

FRONT & SIDE PANCIS FOR 6 BEK



From

NICO Intern

"Just the taste of Refreaching water"

- You can't afford to smoke
- Now you can afford to Quit
- Use where you can't smoke
- Use where you shouldn't smoke Use when you want to smoke less

Must be 18 years or older to purchase
 Do not use with other Nicotine replacement

Do not use with other Nicotine replacement products brink no more than 2 bottles per hour before using, check with your Medical Practitioner, it you have High Blood Pressure, Heart Condition, Preparat or Lactating For more information read thoroughly the content provided on every six pack. A Dietary Supplement. This product is not intended to diagnose treat, Cure or otherwise prevent disease.

(Contains Water and Nicotine 2 Mgs.) 8 Fl.Oz.

"JUST THE TASTE OF REFRESHING WATER" Quit Smoking Quit Smoking Quit Smoking Quit Smoking Quit Smoking CO Sparkling Walt SMOKING

Must be 18 years or older to purch: Do not use with other Nicotine replacemen

Quit Smoking Quit Smoking Quit Smoking Quit Smoking Quit Smoking Quit Smoking



Must be 18 years or older to purchase Do not use with other Nicotine replacement

Do not use with other Nicotine replacement products brink no more than 2 bottles per hour Before using, check with your Medical Practitioner, if you have High Blood Pressure, Heart Condition, Pregnant or Leating For more information read throughly the content provided on every six pack Dielary Supplement. This product is not intended to diagnose Treat, Cure or otherwise prevent disease.

(Contains Water and Nicotine 2 Mgs.) 8 Fl.Oz.

"JUST THE TASTE OF REFRESHING WATER CO Sparkling Wife "Just the taste of Refreaching water"

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- You can't afford to smoke
- Now you can afford to Quit
- Use where you can't smoke
- Use where you shouldn't smoke
- Use when you want to smoke less



From NICO Internati

SHOKING

ung Quit Smoking Quit Smoking Quit Smoking Quit Smoking Quit Smoking Quit Smoki

SIDE PANELS

(Tray 24 pack)

Nicotine/Water From NICO Internati Quit Smoking Quit Smoking Quit Smoking Quit Smoking Quit Smoking