1	the complaint has been lodged. The consumer should be
2	told how to go about doing that.
3	DR. FINDER: Okay.
4	DR. HENDRICKS: Any other comments from
5	panel members before we move into the next section for
6	discussion?
7	DR. FINDER: Okay. The next section we're
8	going to be talking about is basically the facility
9	quality standards. They are 900.12, Sections a, c, f,
10	g, h, i, and j. They are covered under pages 25
11	through 31, 34 through 38, and 46 through 47.
12	The footnotes that we would looking at are
13	54 to 73, 84 to 98, and 129 to 135. As soon as we get
14	up there yeah, there they are. Okay. The first
15	section that we're talking about, 900.12(a), deals
16	with personnel requirements.
17	The first question we have is should a
18	statement be added that facilities are responsible for
19	verifying that all personnel meet all applicable
20	requirements prior to allowing someone to provide
21	mammography services?
22	DR. SANDRIK: The question there is what
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1 is your intent here and what do you mean by verifying? Are you looking for some level of surveillance, 2 а level than is currently used 3 greater now, an 4 enforcement requirement added to the facility of 5 checking out people's credentials, that sort of thing? And, I guess, also has it been an issue of people 6 7 being part of a mammography facility who aren't properly qualified. 8

to your 9 DR. FINDER: The answer last 10 question is while it's infrequent, it has occurred where personnel at the time of the inspection were 11 found have documented their initial 12 not to 13 qualifications at the time they started working there. In other words, there were a few facilities that 14 15 allowed personnel to begin practicing mammography 16 documenting without that they the ever met 17 requirements.

Obviously the issue comes up with the fact that by the time we get to the facility it may be as much as a year before the inspector can look at those personnel requirements. The issue is should the facility bear some responsibility, specifically in the

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regulations, because ultimately they do get cited for this. They are held responsible. It is a question of whether we put this into the regulations telling them, by the way, this is their responsibility specifically in the regulations.

DR. SANDRIK: Just to follow-up a bit on 6 7 that, I mean, I think it has been reported at some of the previous meetings that lot of those 8 а 9 documentation problems have been mainly a matter of 10 not providing the documentation and not necessarily that the person is not qualified. Is that largely 11 what you're talking about? 12

DR. FINDER: Well, some of that is true. Certainly medical licensure. People have been cited for that because a facility didn't bother to get the license or didn't have a current one. Those have not turned out to be real in most cases.

In fact, in all that I can think of, but 18 19 there are other issues of documentation, some of the 20 other initial requirements where there was no documentation submitted at the time that the person 21 started there. 22

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1 The facility allowed them to work and it wasn't until the inspector came in and said, "Where is 2 Then people start scrambling 3 this documentation?" 4 around to get it. In some of those cases it turns out 5 people did those initial that those not meet qualifications. 6 7 DR. MONTICCIOLO: How would it differ from what occurs now if we make this change? Right now the 8 9 facilities are cited so they end up responsible 10 anyway, don't they? I don't understand how it will affect them. 11 FINDER: I think what it basically 12 DR. 13 will help us do is provide us with a mechanism to say, "Yes, it is your responsibility to do this so we don't 14 have the problems when the inspector comes in." 15 16 As the regulations are currently written, measured off 17 everything is when that inspection occurs. True, the facility is cited for these things 18 19 at that time. However, a lot of them don't understand 20 the fact that they are responsible, they should be responsible for ensuring that their personnel meet the 21 requirements before they let them practice. 22

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1 It is both a mechanism to inform them and also to try and reinforce that it's not just the 2 inspector who is there to check these things. 3 They 4 are supposed to be checking them, too. At least that 5 is our belief, or my belief I should say. DR. TIMINS: From time to time one comes 6 7 across a situation where a technologist's license has expired and the technologist forgot to renew 8 or 9 renewal was lost in the mail. This is almost an apple 10 pie and motherhood kind of statement. To me it is kind of obvious facility 11 that the should be responsible for verifying documents. 12 13 DR. FERGUSON: I agree the facility should 14 be responsible. Is there a way for the FDA or accrediting body or someone to say this technologist 15 16 or this radiologist is a certified reader and here is a number. And again, I read for multiple facilities so 17 it is a matter of getting paper to them every time you 18 19 inspector. "Here is number. I'm qet an my 20 qualified." 21 DR. FINDER: That is an issue that continually comes up about shouldn't we, the FDA, be 22 **NEAL R. GROSS** COURT REPORTERS AND TRANSCRIBERS 1323 RHODE ISLAND AVE., N.W. WASHINGTON, D.C. 20005-3701

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keeping personnel databases on all these personnel and certifying whether they meet the qualifications or not. There are a number of problems with that. How do we get the information sent to us? How do we distribute that to the parties?

It's not only the inspectors that need it. 6 7 It would be the facilities. We have looked at this issue many times. Our general belief is that the best 8 9 way to do this is to place the onus on the legally 10 responsible entity which is the facility to have these records available to show that all their personnel do 11 meet these qualifications. At this point we haven't 12 13 been able to come up with a better system to verify 14 that.

I would go back to our 15 DR. FERGUSON: 16 discussion last year about the quy in the field. Ιt would be simple and I would rather the onus be on one 17 central party than ever how many facilities we've got 18 19 in the state or country to say, "Here is a website. 20 Here is a secure way for you to access it and see the credentials of the people at this facility." 21

Let the field guy print it out before he

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ever goes where he's not spending a lot of time looking through documents and seeing if they are all there and then he can spend more time looking at things that are really important that haven't given us a problem.

Right. I would add a couple 6 DR. FINDER: 7 of things. One is that currently these inspectors do not review initial qualifications that don't expire. 8 We'll get into some of those issues in a minute. 9 10 Those come downloaded to the inspector already so they are not looking at that. Basically they are looking 11 at the continuing requirements. 12

13 Some of the questions in the continuing 14 requirement section are designed to lessen the problems that we've been talking about when we get to 15 16 those and that may help reduce the problem but it won't eliminate it. 17

We don't have a system at this point, nor do we think at this point that it is the way to go to develop a national database, personnel database, on everybody who is performing mammography and keep the records for all these people. There are a number of

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1 problems associated with that.

2	Dr. Barr wants to say a few things.
3	DR. BARR: Helen Barr, FDA. One thing you
4	have to keep in mind, and I think we've talked about
5	this before during meetings but if we haven't, when
6	the Government keeps information on individual people
7	it falls into a whole different realm that is covered
8	by the privacy act.
9	Currently our database system is not
10	covered under the privacy act. That would require
11	substantially more funds and people to establish a
12	privacy act system. Also when the Government keeps
13	information, there is all the issues of
14	discoverability of that information.
15	While in theory it would be nice to have
16	one central location, you might not want the
17	Government to be it and certainly at this point in
18	time we would not be capable of being that entity.
19	DR. FINDER: Okay.
20	DR. BYNG: An additional clarification,
21	Dr. Finder. With all those questions I wasn't sure
22	whether there was another question that deals with
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1 maintaining the requirements because this is an initial qualification and allowing the requirements so 2 by extension will this ultimately cover the facility's 3 4 responsibility associated with maintaining the 5 requirements? DR. FINDER: Yes. Okay. So let's go back 6 7 to 54. Do we have a show of hands for yes or no? Yes, we should? No? Okay. It's basically a yes. 8 Should the format for all three 9 No. 55. 10 personnel categories be standardized? Yes? No? 11 Okay. I would just like to say 12 DR. TIMINS: 13 whereas it is reasonable to do that, I don't think 14 it's mandatory. I'll take that as a 15 DR. FINDER: Okay. 16 yes, though. No. 56 is how should we deal with the fact that newly issued board certificates -- and right 17 now we're talking about for physicians -- expire? 18 19 Yes. 20 DR. TIMINS: I think that when somebody qualifications 21 meets the initial that they are 22 qualified, I am not in favor of requiring board **NEAL R. GROSS** COURT REPORTERS AND TRANSCRIBERS 1323 RHODE ISLAND AVE., N.W.

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recertification to qualify as a mammography
 interpreter.

DR. MONTICCIOLO: I agree with that. 3 That 4 is something we addressed last time and currently we 5 allow people to either be board certified or meet educational requirements so it would seem a backward 6 7 step to say you have to recertify when people can recertify without being certified initially. I think 8 that if you pass your initial boards, that should be 9 10 enough.

Okay. Let's see a show of 11 DR. FINDER: about whether there is agreement with that 12 hands 13 concept about allowing board certification to be considered -- about board certification for physicians 14 to be a permanent initial requirement. Yes? No? 15 16 DR. FERGUSON: You're talking -you didn't state it the way they said it, I don't believe. 17 said board certification is initial 18 You а an 19 requirement and we're talking about certificates that 20 are being reissued are good forever.

21 DR. FINDER: Right. What I'm trying to 22 say is once you've been issued a board certificate,

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1	that would be good for life whether it expires or not.
2	DR. FERGUSON: But you still have the
3	other ability to have the educational requirements.
4	DR. FINDER: Oh, sure. This is just one
5	aspect of it. Yes? No? I'll take that as a yes.
6	Okay. Next one is No. 57. In the three months of
7	training that deals with mammography, we say that some
8	of it has to be in radiation physics and the
9	subspecial areas in there. Should we limit the amount
10	of the physics that can be included in that three
11	months?
12	I will say that under guidance since the
13	program has started, we have put a limit on that of 90
14	hours, that no more than 90 hours of the three months
15	could be specifically in physics. This is just a
16	question of whether we incorporate that into
17	regulation here but that has been a policy that has
18	been around for a long time.
19	DR. TIMINS: By no more than 90 hours,
20	what you are actually saying is the rest of the hours
21	should be spent on other training.
22	DR. FINDER: In mammography. In other
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1	words, we wouldn't allow all three months.
2	DR. TIMINS: I mean, you're just defining
3	it the wrong way.
4	DR. FINDER: Oh, okay.
5	DR. TIMINS: It's not there should be a
6	limit on the amount of physics. It's that there
7	should be a minimum on the amount of other training.
8	DR. FINDER: Okay.
9	DR. TIMINS: One could never have enough
10	physics. The physicists know that.
11	DR. FINDER: I think the concept here is
12	we didn't want somebody to come in with three months
13	of physics training and claim that they met the three-
14	month requirement.
15	Yes.
16	DR. MONTICCIOLO: Just as a side, I can't
17	imagine anybody having to much physics. Just so that
18	other people know that are not familiar with residency
19	training, I wish we could get a couple of hours of
20	physics and mammography. It's usually the physics and
21	mammography training in residency programs is very
22	minimal so I don't know if this is it's probably a
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1	good thing to say they can't have it all be physics
2	but I can't imagine they would have what I would
3	consider an acceptable amount.
4	DR. TIMINS: Clean it up. It's not stated
5	the right way.
6	DR. FINDER: Okay. Show of hands. Do we
7	agree with the concept if not the wording? Yes?
8	MS. SEGELKEN: If you say that there is no
9	more than three months, is there a minimum? In other
10	words, does there have to be at least a month or
11	whatever it would be?
12	DR. FINDER: What we're talking about here
13	is three months of mammography training. Your
14	predecessors on the previous committee when we wrote
15	this wanted to have included as part of that three
16	months some physics training. The problem is there
17	was no specification of either a minimum amount or a
18	maximum amount.
19	We have encountered situations in the past
20	where people were short on being able to document
21	mammography training and they were trying to make up
22	the difference in large amounts of physics training
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that they might have had. In order to avoid that we through guidance said that we would accept up to 90 hours but no more.

If they had more than 90 hours, that's great. We love for people to have more education but they couldn't then use that to say, "Well, I don't have to have more mammography training." That is the idea behind this just to put it into regulation. I would go with a yes on that.

10 No. 58. We've had this question come up fellowship 11 where somebody has had training in mammography or breast imaging. The question comes up 12 13 occasionally, "Well, should we accept that as part of the three months?" 14

Of course, we say yes but, here again, 15 16 it's an issue of trying to clarify in the regulation 17 that fellowship training and mammography would be meeting the 18 acceptable toward three months of 19 training. Yes on that? Any nos? Okay. We'll take 20 that as a yes.

21 MS. VOLPE: Charlie, I have a question. 22 The last line, line 37, on that page mentions a three-

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1	year time period. I was wondering if that should be
2	decreased so that the training is more recent prior to
3	the qualifications.
4	DR. FINDER: Anybody else have any
5	comments about changing that?
6	DR. MONTICCIOLO: Let me understand. You
7	are thinking that this is an initial qualification so
8	the training of residents?
9	MS. VOLPE: Yes.
10	DR. MONTICCIOLO: The reason I think for
11	the three years is most of the residency requirements
12	are three months training in mammography and I prefer
13	it so that they come once in the second year, once in
14	the third year, once in the fourth year so they are
15	continually exposed to mammography over three years.
16	it all at the end, they won't give a wit about it and
17	they will run through it while they are trying to
18	study for the boards. I hate to interject that piece
19	of reality but when they are studying for the boards,
20	they need to kind of be up on almost everything by
21	that time and the mammography will get short-shrift if
22	we do it that way, I think.

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pushing to spread the mammography training out so they are continually exposed to it. I think that is the meaning of that three years. I think that's where it comes from.

On the next page, No. 5 DR. FINDER: Okay. 59. This deals with continuing education 6 and 7 experience requirements and the question is: Should the continuing requirement be measured from a set date 8 rather than from the date of the inspection? 9 This was 10 an issue that has been brought up several times at various committees in the past. Let's just take a 11 show of hands first. The agreement on that, yes? 12 Let 13 me see a show of hands. No? Does somebody have some 14 comments?

I just wanted to come 15 DR. MONTICCIOLO: 16 out strongly in favor of this because, as those of you know that have like 15 physicians at your facility and 17 they all have different dates when they qualify and 18 19 did all these different -- they don't know when the 20 inspection is and they can't -- it would just be so much easier if we just said, "In the calendar year you 21 everybody 22 need this many credits," and could

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1 understand that. Right now that is a terrible point of confusion during an inspection. 2 I have a couple of issues or 3 DR. FINDER: 4 questions. Does everybody like December 31st? April 5 1st? DR. FERGUSON: My hours are in November 6 7 but I think the first of the year is a good time for everybody to remember. 8 9 DR. FINDER: Now, I do want to raise some 10 issues and we can discuss it now or in another The reason that we do it right now from the 11 section. 12 date of the inspection is because that is when the 13 inspector is there. That is when the citation can be done. 14 You have to understand that if this does 15 16 occur, if we make this change, then facilities will be cited if on that date they are not able to document 17 the person met the continuing requirement, 18 that 19 irrespective of the fact that they may have in the 20 meantime by the time the inspector gets there have met that requirement. 21 22 By the time the inspector shows up and now **NEAL R. GROSS** COURT REPORTERS AND TRANSCRIBERS 1323 RHODE ISLAND AVE., N.W.

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they are able to show that they have met the requirement, for example, that they've got 15 CMEs, it's now March, they have their 15 CMEs, but December 31st they did not have their 15 CMEs, they are going to get cited.

Yes.

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7 DR. TIMINS: So many radiologists and technologists have problems with this, but more the 8 radiologists because they are reading from multiple 9 10 facilities. This is a big concern. Once we put in a grace period for coming up-to-date on the CME like 30 11 days or whatever it turns out to be, then I think 12 13 be very little problem meeting there will this 14 requirement. The initial phase-in may be a little problematic but that will be over within three years. 15

16 DR. So, FINDER: Okay. aqain, we 17 basically have a yes vote on that one. Okay. No. 60. Should mammographic modality specific CME be deleted? 18 19 This is again a question that has been dealt with in 20 previous meetings. Show of hands for yes?

21 DR. BYNG: What was the outcome from the 22 previous meeting?

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1	DR. FINDER: Yes. There was a
2	recommendation that this requirement be deleted.
3	DR. BYNG: So what would the alternative
4	be then?
5	DR. FINDER: The alternative basically is
6	that the rest of the requirement stays in place.
7	Personnel are required to have 15 CME of mammography
8	but we would no longer be requiring if this change
9	went into place that six of those 15 be in each
10	specific modality that was being used by that
11	physician.
12	DR. BYNG: So just for clarification there
13	could be a situation where you have a radiologist that
14	would read ultrasound that didn't have modality
15	specific?
16	DR. FINDER: This is mammographic modality
17	specific so we're not talking about other modalities
18	that are not covered under the statute. All we're
19	talking about here are the different mammographic
20	modalities of which we are basically talking about
21	screen film or FFDM. Those are the two, full-field
22	digital.

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1	DR. BARR: So this is just continuing.
2	DR. FINDER: Yes, this is just a
3	continuing requirement.
4	DR. BARR: You would still have to have
5	the initial training in that modality.
6	DR. FINDER: Right. As Dr. Barr said,
7	this is a continuing requirement. There is another
8	requirement for initial training of eight hours in
9	each mammographic modality prior to use. That is not
10	being touched in this. That is not being changed.
11	This is a question of for the continuing requirements
12	do you have to have CME in each mammographic modality
13	used.
14	DR. MONTICCIOLO: If I could just make a
15	comment because it might be addressing a concern that
16	I think you are raising, Jeff. This says we still
17	have to have our mammo CMEs but they are not going to
18	restrict this, you have so many of this, this, and
19	this.
20	I think this is important for physicians
21	because we have physicians who are very, very good at
22	film screen and ultrasound but they say they just want
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more on stereotactic or something. The way it's written now it limits them because they have to still get this much ultrasound. Instead they could go to a digital conference and get a whole bunch of digital credits.

Maybe they want to get themselves more 6 7 acquainted with that and want more CMEs in one area than another and this gives the physician 8 more 9 flexibility to meet their own educational requirement. 10 That's how I see it. I would be in favor of deleting that and just say get -- I still want them to get the 11 mammo education but get the mammo education that they 12 13 need.

This 14 DR. TIMINS: was also а recommendation of the Institute of Medicine Report 15 16 where they suggested deleting the modality specific CME requirement so that other educational requirements 17 could be pursued such as development of interpretive 18 19 skills.

DR. FINDER: If we could just have again a quick show of hands for deleting this. And no? Okay. That would be a yes for that.

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Next one on the next page. This is for reestablishing qualifications. This addresses one of the issues that was brought up earlier. Should -- and we are putting in quotes here -- before resuming independent interpretation that mammograms be deleted and replaced with a grace period.

7 In other words, if you fail to meet one of 8 these continuing requirements, should we institute a 9 grace period to allow you to continue to practice 10 while you make up for whatever it is, either the 11 continuing experience or continuing education deficit. 12 Yes? No? Okay. So it's a yes basically.

13 Should we include a statement about 14 requalification for a lapsed state license in here? 15 Yes? No?

16 DR. TIMINS: What would the statement be? 17 DR. FINDER: Basically as envisioned it would be a statement that you have to get your 18 19 That is what it would basically say. license. It is 20 very similar to the fact that how we address some of these other requirements. Basically you have to meet 21 The initial requirement is that you 22 the requirement.

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1 be licensed by a state to practice medicine. The 2 requalification would be to do exactly that. DR. FERGUSON: I would say in our state we 3 4 did have a problem with statements from the State Medical Board going out to licensees. 5 There were a number of people who were tardy in paying their state 6 7 license and, therefore, didn't have a current license for two or three months. I just want to make sure you 8 9 didn't have to go through the whole ball of wax again 10 on a technical aspect. If you lose your license, we 11 need to nix you. Actually, the situation you 12 DR. FINDER: 13 have described has occurred several times in various jurisdictions in the local area. 14 In those cases what we have basically done is we recognize the fact that 15 16 people can't get licenses because the licensing board hasn't issued them. 17 They've been late. We have not cited 18 19 those people. We are talking about a situation where 20 somebody has let their license lapse for some reason. additional requirement 21 It is not an from our standpoint. 22

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1	They would have to meet whatever the state
2	requires for them to get their license back. Once
3	they have it and can show they have a valid license,
4	all they have to do is show that documentation to the
5	facility and the inspector and that's all it would be.
6	DR. TIMINS: I wonder if this isn't
7	already being handled adequately by the states.
8	DR. FINDER: Again, this is more just a
9	clarification in the regulations for us to deal with
10	this. We have in here what you need to do to
11	requalify for all these other issues, all these other
12	continuing requirements, except this one which is a
13	continuing requirement because we do enforce that you
14	not only have to have a license to practice medicine
15	but it has to be a valid one. This is one of the ones
16	that we're not treating as an initial requirement.
17	When we go back to the other section we
18	want to clarify which ones are true initial
19	requirements that persist forever versus ones that
20	continue on and have to be renewed and this is one of
21	them. We are trying to clean up the regs to be
22	consistent about this type of thing.

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1	DR. HENDRICKS: Public comment?
2	MS. WILCOX: Pam Wilcox, ACR. I would
3	
	just like to put a little caution on this. While I
4	think it's important for the regs to be clear, I'm a
5	little concerned because you said there have been
6	multiple jurisdictions where there had been a problem
7	with the State Licensing Board not issuing the
8	licenses in a timely fashion.
9	If this becomes a reg that doesn't give
10	that exception, the inspectors may be citing people
11	when it's not you have a good process now to handle
12	it so I just would caution.
13	DR. FINDER: Let me address that. What
14	we're talking about here is a requalification
15	regulation. The initial requirement is that you must
16	be licensed, have a medical license. We are not even
17	talking about that. Again, we handle those situations
18	as they come up but that is not the regulation we're
19	talking about modifying anyhow.
20	DR. FERGUSON: I'm getting more concerned
21	about it, to be honest with you. Of course, if the
22	doctor doesn't have a license to practice in the
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state, they are going to be -- you know, he's not going to practice medicine in that state, mammography or otherwise.

I think we might be setting up a situation where somebody on a technicality could be cited and I would think this would be a major violation if you have an interpreting physician that didn't have a license. You could go down a path that really is not necessary.

10 DR. FINDER: Let me try and clarify. We requalification talking right 11 are about now а requirement adding one. The one that you're talking 12 13 about actually is two pages prior to this. It 14 basically says you must be licensed to practice 15 medicine in a state. We are not talking about 16 modifying that at this point.

The cases that we're talking about, as I've said, in those situations where we know that the state hasn't issued licenses for some reason we have dealt with that and not cited the facilities. That regulation hasn't changed. It still says you must be licensed but we realize what is going on.

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This is a situation where we are talking 1 about somebody who either has allowed their license to 2 lapse and they have to regualify, whatever the state 3 4 regualification is. This is not a new requirement, 5 additional requirement set down by FDA. It would just be you must go get your license fixed. You know, 6 7 regualified, show it to us and then you're fine. If you have an issue with the fact that we 8 require that you be licensed to practice medicine in a 9 10 state and put some qualifiers on that to say only if the state is actually is issuing the licenses at the 11 time, we can look at that wording but I will tell you 12 that has not been a problem in the 12 years we have 13 14 been in the program. DR. MONTICCIOLO: I think the issue is 15 16 if the state is delinquent in issuing that what licenses for people who are renewing them or, as you 17 said, people are -- maybe they have missed the piece 18 19 of paper that comes to send their money in and 20 something happens like that. How do you get around that with the inspector because the inspector will 21 feel they have to cite you for that. 22

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1 DR. FINDER: If it's a fact that you do not have a valid license at the time of the inspection 2 or, in this case, it would probably be the date of 3 4 December 31st or January 1st or whatever, if you don't 5 have a valid license, they can't cite you based on that. 6 7 Now have told them when it's we а situation that the state is at fault for not issuing 8 9 licenses, let's say, to everybody from A to K or 10 something like that, don't cite. But if it's a fact somebody forgotten 11 that has to send in their application or anything like that, then it's a valid 12 13 citation. It's a valid citation. Now, this has occurred occasionally and I 14 15 will tell you that none of these, as far as I am aware 16 of, have ever turned out to be real. Most of the time 17 it's the fact that they even have a valid license. They just don't have the documentation at the time of 18 19 the inspection. 20 They just don't have it there. So we are talking about a minor thing and our thought about this 21 to kind of clarify things and, 22 was just aqain, **NEAL R. GROSS** COURT REPORTERS AND TRANSCRIBERS 1323 RHODE ISLAND AVE., N.W.

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1 standardize the situation here. We're not trying to If 2 requirements. that needs further create new clarification, we can look at it. 3 4 DR. FERGUSON: But right now you would say we have not had a problem with this? 5 DR. FINDER: Correct. Okay. Let's take a 6 7 look again for the show of hands. Yes, should we included it? No? Okay. 8 Next is No. 63. How should we be handling 9 10 renewing of certification? That is a repeat of the question that we asked before except that this one 11 deals with the technologist instead of the physician. 12 13 DR. TIMINS: My understanding, and I could be wrong, do all states require licensure for artiste? 14 DR. FINDER: 15 No. DR. TIMINS: Then how does one deal with 16 certification for mammography in a state that doesn't 17 license artiste? 18 19 DR. talking about FINDER: We are а 20 different thing here. This requirement is actually a The page before it talks about be 21 two-fold one. to perform radiographic procedures 22 licensed in a **NEAL R. GROSS** COURT REPORTERS AND TRANSCRIBERS 1323 RHODE ISLAND AVE., N.W.

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state, or have general certification.

2	The general certification we're talking
3	about is from the AART. It's not given by the state.
4	The difference is when the program started the
5	radiologist board certificates were issued for life.
6	They do not renew. For the technologists those
7	certificates have always been renewing. They have to
8	renew those every few years.
9	My question basically here is if we are
10	changing the status of the board certificate to say
11	their certificates renew but we are going to accept
12	them as an initial requirement that never has to be
13	renewed again or up-to-date, should we handle the
14	technologist differently because we have in the past?
15	We have been requiring that they submit a valid up-
16	to-date certificate for this.
17	DR. MONTICCIOLO: I have a question about
18	that. What does it require to renew that certificate?
19	Is it simply like our license renewal where it is
20	just financial or are they retested?
21	MS. MOUNT: To renew you send in a few but
22	you also have to prove so many credits for
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1 mammography.

2	DR. MONTICCIOLO: That's a bit different
3	than having to be reboarded, I think, with the
4	positions we were talking about being reboard
5	certified but this is just renewal.
6	MS. MOUNT: And I would highly be in favor
7	of keeping it the way it is.
8	DR. FINDER: So unless there are more
9	comments, I guess the question that's here is do we
10	keep the general certification for technologist the
11	same or do we modify it? For the same, yes? Modify
12	it, no? Okay.
13	MS. VOLPE: I have a question. What about
14	the technologist who moves from one state to another?
15	Does the certification travel with her or him?
16	DR. FINDER: Yes, the certification is not
17	state bound. State licensure is issued by each
18	individual well, not all the states issue licensure
19	but we would accept a license from any state actually,
20	but the state itself might not and that is, again, one
21	of those issues where you could be compliant with MQSA
22	requirements, and yet be in trouble with the state
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where they would have a problem with you being licensed out of state and not having one of their own licenses. There are some states that require that you be licensed within their state with their state licensure.

DR. MONTICCIOLO: An RT moving from a state that doesn't have the license but they have the certification, they move to a state that has the license requirement and they can't work until they get the state license?

DR. FINDER: That would all depend on what the state licensure requirements are within the state but that is not an MQSA issue. That would be a state issue. I'll give you an example that is more clear cut, I think, medical license.

We would accept a valid medical license in any state, but I can assure you that most states would not accept a medical license from a different state unless they have reciprocity or some agreement. You could be totally compliant with MQSA and still end up in big trouble with the state. That's the issue about the more stringent requirements. One of the issues.

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1 DR. BYNG: So just to clarify the previous point, the discussion was to keep it the same as it is 2 now which is that certification must be current where 3 4 it's necessary. 5 DR. FINDER: Right. Okay. No. 64. Should a minimum number of hours of training in each 6 7 of the areas specified in the requirement be given? We are talking here about training in breast anatomy, 8 9 physiology, position and compression, quality assurance, and one of the issues that was brought up a 10 few imaging patients 11 minutes aqo, with breast Let's start with a show of hands and then 12 implants. 13 we can have some comments. Should we include a 14 minimum number of hours, yes or no? Yes? No? Okay. Let's have some comments. 15 16 DR. TIMINS: I sit on my state radiologic technologist board of examiners. 17 I think that it would be burdensome and unnecessary for this language, 18 19 these specifications to be legislated. I think there 20 should be а certain amount of freedom in the technologist training programs to deal with this. 21 DR. MONTICCIOLO: I have to agree with Dr. 22 **NEAL R. GROSS** COURT REPORTERS AND TRANSCRIBERS

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Timins. I think we need to allow them flexibility to
 train the technologists.

MS. MOUNT: I also agree. I know there 3 training programs 4 lot of out there that are а 5 technologists are going to for their 40 hours and I think they generally spread the training 6 very 7 appropriately. I don't think it needs to be mandated. DR. FINDER: Let's see another show of 8 Yes, we include the minimum number of hours? 9 hands. 10 And no, we don't? Okay. Should time spent be doing the 25 exams 11 count toward the 40 hours of training? If so, how 12 13 It's kind of a trick question. much? Through 14 quidance we have actually established what we thought We do allow the 15 was reasonable here. 25 exams 16 currently and about half hour per exam so it's 12.5 hours at maximum for those exams toward the 40. 17 DR. MONTICCIOLO: I would be interested in 18 19 what Carol has to say about it. My first impression is that the 40 hours of education is 40 hours of 20

21 education and it should be just that and the exam 22 should be separate.

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1	MS. MOUNT: Currently we usually use the
2	12.5 as part of the 40. A lot of the training
3	programs also will offer you a 40-hour training. If
4	you want hands on, that 40 hours is complete. If you
5	don't want hands on, they cut it off so that you get
6	your 12.5 at your facility doing the mammograms at
7	your facility. I think doing it that way is quite
8	appropriate. I do think it needs to be counted as a
9	training because it's one of the more important parts
10	of the training.
11	DR. FINDER: Right. Go ahead. I'm sorry.
12	DR. FERGUSON: I was going to say I think
13	that doing those examinations with supervision would
14	probably be the most valuable stuff they get in the
15	training. I think it ought to count.
16	DR. MONTICCIOLO: I wouldn't not do the
17	25. The question is should it take up part of that
18	educational requirement. If you feel they get it in
19	the other 37.5 okay, thanks, 27.5. So I can't
20	count. That's not part of the requirements, is it?
21	If you think that's enough for the technologist. I
22	would just be concerned they get enough classroom time
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1	and other education to help them get started.
2	DR. TIMINS: I would also tend to support
3	whatever Ms. Mount specifies being a radiologic
4	technologist.
5	DR. FINDER: Okay. So let's see a show of
6	hands for allowing it to count for 12.5 hours. No? So
7	that's a yes.
8	Next is a similar question to what we had
9	for the interpreting physicians. It is for continuing
10	requirements for technologists. Again, what we would
11	be talking about here is should we establish a set
12	date for measuring back for these continuing
13	requirements. Show of hands for yes? No? That's a
14	yes.
15	The next one, No. 67 for requalification,
16	replacing may not resume performing unsupervised exams
17	with a grace period similar to what we discussed for
18	the interpreting physicians. Yes? No? Okay. That's
19	a yes.
20	Just as a matter of course, what kind of
21	time frame would you think as a grace period? 30
22	days? 60 days? 15 days?
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1 DR. TIMINS: I have a question now. There lot of physician education that is readily 2 is а available so it's very easy for a physician to get 3 4 educational credit in 30 days. Is the same true for technologist education? 5 I would say it's there but you 6 MS. MOUNT: 7 usually have to travel to it. There is not a lot offered a lot of times locally. Our facility we are 8 lucky enough to offer it all in-house if we want. 9 Ι 10 would say that in some of the rural communities it may be difficult. 11 So considering the potential 12 DR. TIMINS: 13 hardship maybe we should give 60 days instead of 30 days for the technologist? 14 DR. FINDER: Okay. So what I'm hearing is 15 16 for the technologist, 30 for 60 days days the interpreting physician, or 17 should we do 60 for everybody and make it even? 18 19 DR. MONTICCIOLO: Sixty for everybody. 20 MS. MOUNT: Sixty for everybody. I think it should be uniform. 21 22 Sixty for everybody. DR. FINDER: Okay. **NEAL R. GROSS** COURT REPORTERS AND TRANSCRIBERS 1323 RHODE ISLAND AVE., N.W. (202) 234-4433 WASHINGTON, D.C. 20005-3701

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We'll see what we come up with on the physicist. Five
 days for the physicist, right? Okay.

Again, this is just again because 3 No. 68. 4 we have differences in the way the regulations are 5 laid out. The first one was for continuing education. This one is continuing experience. I assume we would 6 7 be talking about the same issue about allowing the grace period 60 days, or is that too much? 8 Sixty 9 days? Everybody agrees? Show of hands? Okay. Nos? 10 Okay. 11

Okay. No. 69 deals with regualification. It talks about that if a technologist fails to meet 12 13 the 200 that is required for continuing experience 14 that they must perform 25 exams under direct supervision. 15

16 are two questions actually here. There 17 Should we place a time limit on this? How much time those under direct 18 do you have to do 25 exams 19 supervision? do place a requirement We on the 20 physicians when they are doing their 240 of six months. 21

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We don't want them to stretch it out

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1 forever and do two a day or two a month and do this. It hasn't been a real big issue but, again, 2 for consistency should we place some kind of time limit on 3 4 these number of exams. Yes? MS. VOLPE: I have a comment to make. 5 You can put a time limit on someone who is doing it in an 6 7 urban area that is much shorter than someone who is in a rural area. Then you also have to consider someone 8 9 who may be working part-time. 10 DR. MONTICCIOLO: I was just going to say that I would be interested in what Carol has to say 11 about the time limit. I think it would be hard, 12 13 though, to enforce different time limits for different -- I think maybe we could come up with something that 14 is reasonable and in the middle. 15 16 MS. MOUNT: I think six months is generous 17 but then at a high-volume institution you don't need six months but it probably is reasonable. I think we 18 19 should set it the same as we did the physicians. 20 DR. FINDER: Okay, six months to do 25 21 exams? 22 DR. MONTICCIOLO: That's not very many **NEAL R. GROSS** COURT REPORTERS AND TRANSCRIBERS 1323 RHODE ISLAND AVE., N.W. WASHINGTON, D.C. 20005-3701

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exams per week. The physicians have more mammograms
to read so maybe we could shorten a little bit for the
technologist because I don't think they get enough
experience if they only did -- even if they did one a
day would be less than six months.
MS. MOUNT: Right. I would like to say a

week but I didn't know if that was going to be too short everybody.

9 DR. BYNG: A clarification on this. This 10 says they didn't do their 224 months so they are going 11 to have to do 25 under supervision so it's not just 12 doing 25 exams. It's doing 25 under supervision.

DR. FINDER: Correct.

MS. MOUNT: And they can't do any withoutsupervision until they have been requalified?

DR. BYNG: So there's already motivation for them to try to do that quicker.

DR. MONTICCIOLO: Yes, there 18 is а 19 motivation but I think they probably do need a time Three months would be reasonable. 20 requirement. Don't Because anybody doing mammography should 21 you think? be able to get that in three months. 22

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1 MS. MOUNT: But if they didn't do 200 in 2 two years, maybe there aren't very many mammograms in that area to do. 3 4 DR. FINDER: Okay. I do want to bring up 5 one point which I think we need to address. If we grant a grace period, what are we talking about here? 6 7 The person has been cited. We've just told them now they've got X number of months. We've given them a 8 9 grace period. 10 Presumably those mammograms would not be done under direct supervision because that 11 is the requalification process right now is they go directly 12 13 under direct supervision. We are talking about giving 14 them a grace period. What happens to those mammograms that they do during that grace period? Wouldn't they 15 16 count toward these 25 and aren't we changing the 17 requirement here? I think I misunderstood DR. MONTICCIOLO: 18 19 I understood this to mean that if somebody you then. 20 failed to meet it, they have to do 25 under 21 supervision and the question was only what's the time limit for that. I thought we were trying to limit it. 22 **NEAL R. GROSS**

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1	DR. FINDER: That is correct in the small
2	narrow sense, but I'm now bringing us back to the
3	issue of the grace period that we talked about a few
4	minutes ago.
5	DR. BYNG: But the grace period was for
6	CME.
7	DR. FINDER: And for the continuing
8	experience requirement. Both of them we were talking
9	about the grace period. What does it mean to have a
10	grace period in this type of situation and how does
11	that deal with this requalification requirement?
12	DR. MONTICCIOLO: So I guess you're asking
13	that if we give them a grace period they can maybe get
14	up to their 200 in that time and then it counts?
15	DR. FINDER: I'm asking you what does it
16	mean to give a grace period.
17	MS. MOUNT: I agree. I wouldn't tell my
18	techs there's a grace period.
19	DR. FINDER: That's the problem with
20	writing a regulation. Everybody knows about it. What
21	does it mean to have a grace period under these
22	circumstances?
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1	DR. SANDRIK: I guess one thing that
2	bothered me about the grace period is that what it
3	says is that for some length of time the regulations
4	aren't necessary. The longer the grace period is, the
5	more unnecessary the regulation is until you get to
6	the point saying why do you have the regulation in the
7	first place if you will allow practice without meeting
8	the requirements.
9	DR. FINDER: These are some of the exact
10	same discussions we had when the regs were originally
11	written. That argument was brought up exactly. It
12	also demonstrates how difficult it can be to write
13	regulations. You want to do something that sounds
14	reasonable and then it starts to impinge on another
15	area so let's kind of think what happens here and do
16	we want to revisit some of those grace periods that we
17	just said we think are so good.
18	MS. MOUNT: I'd just like to comment. In
19	our facility if a technologist file is found to not be
20	up-to-date when the inspector comes, they leave and go
21	home without pay until it is up-to-date because we
22	have a regulation for it. I wouldn't allow mine to
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have a grace period so I think it is very dangerous to
 put that in there.

3 DR. TIMINS: When I first saw grace period 4 for the continuing experience requirement, I put down 5 a 30-day grace period. There are times when people go 6 on vacation and they take medical leave. You have 7 staff reorganizations.

8 We just went through a 20 percent staff 9 cut throughout the hospital including radiologic 10 technologist. Then people who used to do a limited 11 amount of something all of a sudden they are doing a 12 lot more of it.

I can see where there are circumstances where a short grace period, 30 days, is plenty of time to do however many mammograms you should need to catch up because you have to do a certain quantity to be proficient. I could see having a grace period but a relatively short one.

DR. FINDER: Let me try and take you through the scenario and maybe this will help think about it. We come into a situation where -- let's go with what we have right now, not with the December

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31st date because that creates its own problems dealing with the situation. Or maybe we should look at that example.

4 Have a situation whereas of December 31st a technologist had 150 exams done. 5 The inspector comes in six months later and checks that person and 6 7 finds that they failed that requirement as of December In the ensuing six months that person has been 8 31st. doing mammograms and now has instead of 200 exams done 9 10 has 400 exams done so now they meet the requirement.

Does that person have to requalify? 11 happens? Were those mammograms that were done when they were out of 12 13 regulation still count? Do they have to go under 14 direct supervision at some point? These are the types 15 of questions that got us to the issue of setting the 16 evaluation date the date of the inspection because of 17 some of these things.

DR. MONTICCIOLO: Yes, I'm just starting to comprehend that even more. As you said, if you do it by date, which everyone is in favor of because it's just easier, you have to deal with the fact that some people like physicians, for example, they graduate

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1 from residency in June so they usually start their 2 real job in July and that is going to force them if 3 they have to have everything by December 31st you have 4 to account for the person who started their practice 5 only half the year into it. Is that going to be the 6 first full year of practice? How is that going to 7 work?

DR. FINDER: Normally what we've done is 8 9 the requirement doesn't kick in for two years anyhow. 10 We have said that under the current regulations that the date of the inspection two years past the date 11 that they first met their initial qualification so it 12 In effect, they might have a 13 would be after that. little bit more time to get that reading up. 14

Again, the time period under which you're 15 16 looking at that they have the 960 exams, let's say, is 17 still two years. It doesn't expand out to 27 months or 28 months. It's still 24 months. This issue of 18 19 what do you do with a person who hasn't met the 20 qualifications as of a certain date in the past brought us to the point of selecting the date of the 21 inspection. 22

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1 DR. TIMINS: You have to keep in mind what the purpose of the regulation is and the purpose of 2 the regulation is to protect the public. If you have 3 4 somebody who is a little short at one point and then 5 exceeds it another point, you're not harming the public by allowing the accreditation organization to 6 7 make this decision. I think the first thing is you're assuring 8 quality. If somebody exceeds the requirement more 9 10 recently, I think that certainly is an important I don't know. I had some other thoughts. 11 factor. Basically you have to look at the overall scheme and 12 13 average it out. Also you could have a physician who 14 reads 800 mammograms one year. If you exceed in the first -- take a four-15 16 year stint and you exceed numbers the first year and then you are lower on the second and third year and 17 then you're up again on the fourth year, you could say 18 19 years two and three you are under numbers. But if you 20 take years one and two together and years three and four together, you're fine and you haven't harmed 21 22 anyone.

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1	DR. FINDER: This is one of the problems
2	and one of the hard things to deal with anytime you
3	set a standard based on numbers. I mean, you try and
4	get a reasonable estimate. The discussions about
5	averaging obviously have been important and that is
6	one of the reasons that we don't do an annual
7	requirement.
8	We do a two-year requirement allowing
9	people to average numbers and to deal with sabbaticals
10	to deal with health problems so that at the time they
11	have enough time to make up for problems that may
12	occur during the two years.
13	However, once you set a requirement, once
14	you set a number, a date or whatever, once somebody
15	misses that, they are in violation. They haven't met
16	the standard. Now, the question here is if we set a
17	
	certain date and allow a grace period, what does that
18	certain date and allow a grace period, what does that mean and what does that do to the concept of
18 19	
	mean and what does that do to the concept of
19	mean and what does that do to the concept of requalification?
19 20	mean and what does that do to the concept of requalification? DR. SANDRIK: A comment. I think maybe
19 20 21	mean and what does that do to the concept of requalification? DR. SANDRIK: A comment. I think maybe rather than a grace period what you need is a

requirements each time the inspection comes up, they can probably shift from the inspection date to a fixed date of December 31st.

Maybe it will take two or three years for them to make that transition but if you made the rule effective as of a certain time and then it switches to the December 31st date, people will have the time to transition from one to the other and try to get the education or whatever at the appropriate time frame to meet the new requirement.

Okay. I don't want to get 11 DR. FINDER: too far into the details of this but I'm beginning to 12 13 hear maybe something that we should look at the issue of saying you can meet the requirement either 14 on December 31st or the date of the inspection. 15 If you 16 do on either one of those, you are okay.

DR. FERGUSON: I like that and it also protects the public. I mean, that is the bottom line of what we are trying to do and I think that accomplishes that.

DR. TIMINS: The wisdom of Solomon. DR. FINDER: We'll think about it and then

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I'm sure when we get back in the office we'll find all the problems why that won't work either but it's something to think about and we'll look at that as an option.

5 DR. BYNG: Dr. Finder, the issue about the 6 qualification and the convenience of the December 31st 7 date was already discussed and the benefits of that 8 understood, but if we go back to the particular 9 scenario you described, you rolled into that scenario 10 the assumption of moving to December 31st.

The question was really about the grace period. I think the gap that you identified is that the inspection occurs after the infraction has already taken place. How do you deal with that on moving forward situation?

I was wondering about your scenario if you take that part of the December 31st inspection date out and just look at it, what do we really want to achieve for the people who have fallen short of the regulation as they move forward?

DR. FINDER: That's a good question.
Unfortunately it's different for different facilities.

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If you've got a facility where you have multiple people who can take up the slack, it is a different situation than if you are dealing with a single small facility in which the technologist or radiologist is the only person there and you are basically shutting down the facility.

7 I will tell you that when we were working under the interim regs we did have a grace period in 8 there for these types of situations. 9 Under the final 10 reqs we got rid of it. I would say that both systems "worked." Each one had it own problems. You allow a 11 grace period. People don't take you seriously and 12 they will go into grace period and get rid of their 13 14 problems at that time.

If you enforce the requirement strictly, 15 16 you'll have facilities shutting down. You'll have the situation where, for example, an inspector goes in and 17 qualify 18 finds а person doesn't а continuing 19 requirement.

20 We're not talking about initial now, 21 continuing requirement. Do they tell all the patients 22 in that waiting room to go home at that point? Do

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1 they finish up the day? Do they do their scheduled 2 patients?

These are all issues that are being dealt with and there is no simple answer. Welcome to my world. You have joined this world because I will write down who said that we should put this in here. When they call me up and start yelling, I'll mention names.

9 DR. FERGUSON: Then I want to say we need 10 a 30-day grace period.

Finder, 11 DR. HENDRICKS: Dr. when the citations are made at the time of the inspection, 12 13 isn't there a mechanism whereby there could be a 14 citation that existed and then was corrected or rectified could included 15 and that be in the 16 I understand there are some of these inspection? 17 citations which occur and then if there's a gap and then the citation has been corrected couldn't that be 18 19 indicated in the inspection?

DR. FINDER: We actually have a policy to deal with that. Certain citations that have been identified during the inspection but have already been

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1 corrected we have a term called corrected before 2 inspection and they don't get cited for that. However, we're not talking about that 3 4 situation here. Here we are talking about a true 5 situation doesn't where the person meet the They haven't corrected it before the 6 requirement. inspection so do we issue a grace period or not? 7 Ι think I've heard enough. Let's go on because, as I 8 said, we don't want to do wordsmithing here. 9 10 Next -- let's see. Oh, now we're talking about the physicist on page 30, No. 71. Wait, did I 11 miss one? 70, I'm sorry. We're talking about medical 12 13 The question here is same comments as physicists. physicians and technologists. 14 I will leave it at We will work on whatever we come up with there 15 that. 16 and try and standardize it for the medical physicist. 17 Next page, No. 71. Should complete mammography equipment evaluations be added here and to 18 19 other sections of the regulations? Okay. The way the 20 current requlation is written deals with the continuing experience. 21 22 It talks about doing surveys of units and **NEAL R. GROSS** COURT REPORTERS AND TRANSCRIBERS

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facilities. However, there is another component, a very important one, that the medical physicist does which is a mammography equipment evaluation which is where they do specific tests on the equipment usually either when it's first gotten initially or if there has been a major repair or problem with the unit.

7 What we are saying here is should we include that as something that can be counted as the 8 9 equivalent of survey of mammography unit. а а 10 Basically they are fairly the same. In fact, in a lot of ways there's more testing done on a mammography 11 equipment evaluation than on a unit survey. 12 Let me ask the question should we include that. 13 Yes?

DR. BYNG: If you don't include that, have you created a situation where they may not have conducted such a survey?

FINDER: 17 DR. No. This is aqain, а personnel requirement has nothing to do with when the 18 19 equipment evaluations need to be done. It's just the mechanism so that we would allow them to count it 20 toward the continuing requirement. 21

DR. SANDRIK: But I think on the other

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1 side of it a mammography equipment evaluation can be far less than what might be included in a survey as 2 I can see on initial installation it may be far well. 3 4 more but if there was a one component change, it may Being able to account either one as a 5 be far less. full survey I don't think is reasonable. 6 7 DR. FINDER: I agree with you and well understood. That is why we used the term complete 8 9 mammography. 10 DR. SANDRIK: Was that intended as a verb or an adjective? 11 I didn't do that well FINDER: in 12 DR. 13 This is one of the terms that needs to be English. put in the definition section as to what it means but 14 are basically -- I would be talking about 15 we an 16 initial mammography equipment evaluation because you 17 are totally correct. If you are talking about a unit that has 18 19 had, let's say, a problem, a specific problem, and you 20 do a mammography equipment evaluation after that, you would be focusing in on just those aspects and not 21 necessarily on the entire unit and that was what is 22 **NEAL R. GROSS**

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1	meant by the idea of a complete MEE.
2	DR. SANDRIK: By complete you mean a
3	broad-based one more like acceptance testing might
4	involve.
5	DR. FINDER: Correct. With that would we
6	see a show of hands for yes?
7	DR. BYNG: I still need to check on one
8	thing here. You are talking about the initial
9	qualifications?
10	DR. FINDER: I'm sorry. You're correct.
11	Yes.
12	DR. BYNG: So if you put that item in, how
13	do you envision changing the wording here because I
14	think the important thing is they need to complete an
15	entire survey at some point. If you add this I just
16	want to make sure that you are not creating a
17	situation where they haven't conducted a complete and
18	entire survey.
19	DR. FINDER: Again, we don't want to get
20	into wordsmithing but I believe that the idea of the
21	survey of at least one mammography facility would stay
22	the same. It would be the issue of the 10 units and
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we could probably change that to surveys and/or complete MEEs of 10 units. Okay. So I'll take that as a yes. Next page, No. 72. Should the limitations

on which type of medical physicist can provide direct supervision for continuing experience be eliminated? Under the initial requirements there are two pathways to becoming a qualified medical physicist.

In this requirement only certain of those 9 10 physicists are allowed to perform the direct What we are asking for is if we can 11 supervision. eliminate that stipulation and just say any qualified 12 13 medical physicist can provide that direct supervision.

DR. WILLIAMS: And what are the -- just remind us what the two types are.

DR. FINDER: One is going through the Bachelor approach and the other is going through a Master or higher approach. At the time that these regs were written it was felt that only those who had been Masters or better were prepared or capable of providing the direct supervision.

The question now is 12 years later, 10

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years later are those people who have been doing the surveys for all those numbers of years, are they now qualified to provide direct supervision for other people.

Yes, I think the idea is --DR. SANDRIK: 5 here you at least identified that certain 6 I mean, 7 qualifications have to be met. You would still have to maybe write other qualifications for these other 8 9 supervising physicists. I don't think it should be 10 left that any physicist could be a supervisory one by removing meeting these qualifications. We would have 11 to identify some qualifications. 12

13 DR. FINDER: Right. I think we could just 14 change it to a qualified medical physicist providing 15 direct supervision. The same way we do for the 16 for physicians and the techs. Aqain, that is wordsmithing but if we could have a show of hands on 17 that whether this should be changed. I'11 18 Yes? No? 19 take that as a yes.

20 Should we include a requirement that 21 facilities must release personnel records to the 22 individual if requested. Show of hands for yes?

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1 DR. TIMINS: I have a question. Isn't 2 this dealt with by state law? All I can tell you is we 3 DR. FINDER: 4 probably maybe once a year get a frantic call from 5 some technologist, interpreting physician, not usually the physicist, where the facility is holding their 6 7 records hostage and will not release their own personal records to them so that they can go to 8 9 another facility. 10 Yes, it is a problem. It is an issue and they come to us because without those records, they 11 job in another facility, 12 can't qet а or it's 13 difficult. Usually it is a problem of the facility is 14 not willing to document their continuing experience at the facility. Some issue has come up and they are no 15 16 longer on speaking terms so we would want to at least 17 clarify this in the regs. DR. BYNG: But if you do clarify it, what 18 19 kind of enforcement can you have for that? 20 DR. FINDER: That's a very good question. 21 I will tell you, however, that sometimes a call for saying, 22 the FDA "You are not following this **NEAL R. GROSS** COURT REPORTERS AND TRANSCRIBERS 1323 RHODE ISLAND AVE., N.W. (202) 234-4433 WASHINGTON, D.C. 20005-3701 www.nealrgross.com

requirement," and we can point to it in regulation and 1 show it to them, it's amazing how that works without 2 having to go and talk to them about, "We might suspend 3 4 your certificate or do other things to you." They 5 would only be personal records referable to MQSA. Okay. Show of hands yes? No? Looks like a yes. 6 7 Okay.

Let's just make sure. Okay. 8 The next 9 section we are going to be dealing with starts on page 10 34 through 38 and footnotes 84 through 98. Does anybody think we should take a break? Can I vote? 11 HENDRICKS: Yes, what's your vote? 12 DR. 13 We'll take a 15-minute break.

14 (Whereupon, at 2:35 p.m. the above-15 entitled matter went off the record and resumed at 16 2:51 p.m.)

DR. HENDRICKS: We're going to begin the afternoon session by asking members of the Committee again if they have additional comments about the topics that have been covered this afternoon related to personnel issues. Okay. Barring none we'll get started again with Dr. Finder.

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1	DR. FINDER: At this point we are
2	basically talking about the requirements under
3	900.12(c). It should be on page 34 starting with
4	footnote No. 84. It doesn't seem to make sense,
5	though. It actually ends up as page 35 but, again,
6	it's the section dealing with medical records and
7	mammography reports.
8	No. 84 deals with the written report and
9	what information is required within the written
10	report. One of the questions here is should the
11	facility name and location be added to the mammography
12	report.
13	DR. TIMINS: I would like to speak in
14	favor of that. It greatly facilitates getting
15	previous records.
16	MS. MOUNT: I have a question. Is that in
17	addition to what is like flashed on the films? You're
18	talking actually dictated in the report?
19	DR. FINDER: Yes, there is a requirement
20	that the facility name and address be on the film
21	itself but there is no requirement that it actually be
22	in the medical report so it wouldn't have to be
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1 dictated. It could be part of the letterhead but it would have to appear in the medical report. A show of 2 3 hands yes? No? Yes. 4 Should the name of the referring physician 5 be added? And a yes, let's see a show of hands? No? said DR. FERGUSON: You referring 6 7 physician? DR. FINDER: Correct. 8 What if they don't have a 9 DR. FERGUSON: 10 referring physician and what if there are multiple? Ι mean, on our reports we do but I can see sometimes 11 you've got somebody who comes in that says, "I want 12 13 these five doctors to get a copy of my report." Or they are referred on their own. 14 I feel it's important from a 15 DR. TIMINS: 16 medical malpractice and liability point of view if a self-referred, 17 patient is then that could be documented on there but when you give a report, 18 19 especially if it's an abnormal report, someone has to follow-up on it and this helps define the locust of 20 responsibility. 21 22 is a significant Of course, if there **NEAL R. GROSS** COURT REPORTERS AND TRANSCRIBERS

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abnormality, then you have to confirm -- you have to document transmission of that information either to the patient or the referring physician. I think it is important to have the referring physician named on the report.

DR. MONTICCIOLO: While I agree with that in principle, I think that in practice, at least in some situations, if it were federally regulated we would create a difficulty. I'll just give you an example.

In our practice we have a free clinic in our area that serves women who can't afford to pay. It is staffed rotating through our family practice and internal medicine individuals.

The report goes to the free clinic and the nurse practitioner there does the follow-up so we wouldn't be able to if we had to separately have a physician required by law, it would make treating the women more difficult.

20 DR. TIMINS: I'm sorry. I should have 21 said healthcare provider because certainly there are 22 times we deal with clinics as well and I will document

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1 transmission of a report to a nurse or a nurse 2 practitioner.

3 DR. MONTICCIOLO: In this case it's a 4 group so it's not an individual that we send it to. 5 That's why I'm not in favor of designating there has 6 to be a single individual it goes to.

7 DR. FERGUSON: I have a similar experience with a sliding scale clinic. You don't know who is 8 9 going to be in there but we make certain. Certainly 10 if there is an abnormal mammogram, we might direct contact both with the patient and with the facility. 11 We put the referring physician's name on the report 12 13 but I don't know as far as putting that in regulation 14 whether that's a good idea.

DR. FINDER: Well, let me ask a question. For the clinic situation, what do you put on the report? Who do you send the report to?

DR. MONTICCIOLO: 18 In our case we put 19 Temple Free Clinic because it is a free clinic. We individuals there and we have a contact 20 know the person and our nurse interacts with them. If we had a 21 federal regulation that said we had to have a doctor's 22

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1 name, we would have a lot of difficulty with that. I think the point of this 2 DR. FINDER: comment was not to classify it just as a physician, 3 4 healthcare provider. It was supposed to be more 5 expansive and I think we need to look at again the wordsmithing on this. This problem that generated 6 7 this was that reports didn't have anybody listed so I wasn't exactly sure how they knew where to send it in 8 the first place. 9 10 MS. HOLLAND: Couldn't you just use something like referral source? 11 That sounds 12 DR. FINDER: reasonable. 13 have to define that Aqain, we miqht somewhere. Probably in the definition section. 14 Again, I 15 DR. BYNG: want to clarify 16 something here because you are talking about sending a 17 report and I read this as just whether it's included on the report. 18 19 DR. FINDER: That is correct. It would 20 just be included on the report. There is a separate

21 section dealing with provision of the reports and how 22 those get handled. Right now we are just talking

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1 about the makeup of the report.

2	DR. BYNG: But not how it's handled. You
3	will discuss that separately?
4	DR. FINDER: Correct. Next one, No. 86.
5	This goes into the final assessment. Should we allow
6	reporting by individual breast or by individual
7	lesion? Let me give you a little bit of history on
8	this. The way the reg is currently written there has
9	to be one overall assessment finding for the entire
10	exam.
11	We have already approved an alternative
12	standard under certain conditions where we allow an
13	assessment category for each breast to be given. This
14	question kind of asks that same question again. Also
15	should we even allow an assessment category to given
16	for each lesion or item identified? Let's go with the
17	first one. A show of hands for by individual breast.
18	DR. BYNG: Sorry. Question here. Are you
19	talking about having both an overall assessment and an
20	individual assessment?
21	DR. FINDER: No. Before we approve the
22	alternative standard we did allow facilities to have
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1 individual assessments for each breast as long as they included an overall assessment. 2 That was accepted. When we approved the alternative standard it allowed a 3 4 facility to report on each breast separately given its assessment category. 5 are questioning own Now we should we continue that process and put this into the 6 7 regulation.

8 DR. BYNG: Additional clarification 9 perhaps for the radiologist. How does that deal with 10 or impact or the labor part when you have findings for 11 breast and findings overall?

MONTICCIOLO: assuming 12 DR. I'm you 13 wouldn't be required to have both. This is allowing 14 you to assess both. I think it's a good idea to allow 15 this because let's say you have a lesion that you want 16 to follow-up in six months in the right breast but you 17 want a biopsy on the left.

In the past you would have to just make it a four or five and biopsy the left but the right breast where you had the six-month follow-up if the clinician didn't read in the report that there was also something there, they would miss that six-month

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1 follow-up.

2	DR. FINDER: That's part of it. Another
3	is the reporting requirements, the way the software
4	gets set up and also some facilities out there have
5	systems where they follow-up as you specify.
6	That, however, raises the next question of
7	should we allow it to be broken down by individual
8	lesion because you sometimes have two lesions in the
9	same breast and you want to have the same issues dealt
10	with there so do we want to go to that level also, or
11	at least the allowing of that.
12	Let's go with the right and left breast individual
13	assessment category. Yes? No? That's a yes.
14	Now for individual lesion. Yes? No?
15	That looks like a yes also. I will say we do have to
16	be very careful when we talk about changing assessment
17	categories and how we deal with them for the following
18	reasons.
19	One is it has taken a long time to get
20	people used to what we have already established and
21	accepted that. Two, a lot of software systems are out
22	there and they have been designed for the last 10
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years to meet our requirements. 1

2	When we start changing these things,
3	sometimes we have unintended consequences so I just
4	wanted to state that up front that we have to look at
5	all these issues before we actually change anything.
6	DR. TIMINS: I personally have not I
7	have used individual assessments right versus left
8	breast but I haven't used individual assessments in
9	the BI-RADS statement for individual lesions of the
10	breast.
11	I will describe things that I think can be
12	followed and things that need biopsy and just to some
13	degree feel when it comes to dealing with a patient
14	with a little complexity that the referring physician
15	or practitioner can read. Have you ever issued a
16	report that says statements for different lesions in
17	one breast?
18	DR. MONTICCIOLO: No, and I'm going to
19	shoot myself the day that happens because it's already
20	complex enough. I don't mind allowing it. I think
21	the left and right is a good idea. When you get down
22	to individual lesions it's just going to get so
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complicated, I think. If your patient is that complicated, I would just directly interact with the surgeon and take care of it. Probably we need that

4 leeway. 5 Right. Again, we've gotten DR. FINDER: it basically comes back from those 6 comments and 7 facilities that use computerized systems to monitor the patients and follow up on them. At least they 8 have said this gives them more flexibility to be able 9 10 to biopsy or do a follow-up on one lesion and still keep track of another lesion. I believe ACR wants to 11 12 make a comment about BI-RADS.

13 I do want to point out one thing. These 14 assessment categories are not the same as BI-RADS. BI-RADS is a related system but when we talk about the 15 16 requirements here, they are not necessarily attached 17 to BI-RADS. We have the wording that we use. They have numbers. There are differences and sometimes 18 19 they get confused.

20 MS. BUTLER: Penny Butler, ACR. I brought 21 some BI-RADS here as far as how they deal with these 22 different assessments. There is a statement in here

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that reports will be -- information will be included 1 in the same report with separate paragraphs detailing 2 each finding and one integrated final assessment that 3 4 takes into consideration all breast imaging findings. The guidance in BI-RADS said to give one 5 final assessment category but the content of the 6 7 report should detail the individual findings within It goes on to say the overall final 8 the breast. 9 assessment should, of course, be based on the most 10 worrisome finding present. For example, if probably benign findings 11 are noted in one breast and suspicious abnormalities 12 13 in the opposite breast, the overall report should be coded BI-RADS 4, suspicious abnormalities. 14 immediate 15 Similarly, if additional 16 evaluation is still needed for one breast, as an example, the patient could not wait for an ultrasound 17 exam at the time and the opposite breast had probably 18 19 benign findings, the overall code would be BI-RADS 20 Category 0. That's the BI-RADS guidance. 21 DR. FINDER: Okay. So let me try and For the individual breast we had votes of clarify. 22

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172 1 ves. For individual lesion let's just see another show of hands yes, allowing it? 2 No? It's kind of 3 split. The key word, I think, is 4 DR. FERGUSON: 5 allowing it, not requiring it. DR. FINDER: Right. 6 7 DR. FERGUSON: You know, because things do get transmitted to the people in the field and they 8 say, "This is the way it is," like we initially had 9 10 with the words. You couldn't say negative. You had to say a specific word or they cited you and I would 11 hate to get back into that situation. 12 13 DR. FINDER: Right. Right now we are just 14 talking about allowing, not requiring. Of course, that could change. 15 16 Next is --Why would -- if you put allow 17 DR. BYNG: in it is it not allowed to do it today? 18 19 DR. FINDER: It's only allowed if you then 20 go on to give a final overall assessment. They can do that right now but they would not be able to, let's 21 say, have a report that had three different assessment 22 **NEAL R. GROSS** COURT REPORTERS AND TRANSCRIBERS 1323 RHODE ISLAND AVE., N.W. (202) 234-4433 WASHINGTON, D.C. 20005-3701 www.nealrgross.com

1 categories on it.

Even if it has had the final 2 DR. BYNG: assessment of this one? 3 4 DR. FINDER: No. If they said there was a 5 final of whatever, the final assessment overall assessment, that would be acceptable but they could 6 7 not do a report without that overall final assessment. Next in terms of footnote 87 where we 8 9 talked about the benign final assessment category 10 asking whether this should be clarified to avoid confusion with the negative assessment category. 11 What we would basically be talking about here is getting 12 13 rid of the words that also say "also a negative 14 assessment." I cannot tell you now many times we have 15 16 had people point to that and say, "Well, it's okay for me to say benign/negative, negative/benign. 17 I think part of it comes from the wording that we have here. 18 19 Negative is supposed to mean there is nothing 20 worthwhile commenting upon. Beniqn is supposed to 21 mean there is something that looks benign and you want to describe 22 **NEAL R. GROSS** COURT REPORTERS AND TRANSCRIBERS

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1 it. You should call it benign. It is not a major 2 whether it's negative or benign issue but you shouldn't use both those things because one means one 3 4 thing and one means something else and we try and use 5 clarify and standardize these systems to the reporting. 6

7 If you mean it's negative, you should use If it's benign, it means there should be a 8 that. benign finding that you have described. 9 I think our 10 goal here to kind of define what benign means and get rid of this "also negative assessment" category. 11 Ιf people want to give a show of hands on that. 12 Yes? 13 I'll take that as a yes. No?

14 Okay. Next one is should the suspicious 15 category be subdivided into low, intermediate, and 16 moderate? Show of hands yes? Does anybody want to 17 comment?

MS. BUTLER: This is a recommendation out of the BI-RADS committee in the 4th edition of the atlas to have low, medium category 4, 4(a), 4(b), 4(c) as subdivisions but also there would be a final assessment category that would be an overall 4.

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1 DR. MONTICCIOLO: Up to this point this 2 optional because radiologist has been some are interested in separating out the things that they 3 4 categorized as 4, but I think it's an unnecessary 5 complication to require it. I think 4 should be good enough. 6 7 DR. TIMINS: I agree with that. On a rare occasion I'll use those designations, maybe 4(a). 8 Ι wouldn't bother with the 4(c) but I don't think it 9 10 should be required. DR. FINDER: Well, I think the other issue 11 12 is one, should it be required and, the other, should 13 it be allowed. If you say it would be 14 DR. FERGUSON: allowed, it would still be a category 4, right? 15 16 DR. Well, again, FINDER: we're not 17 talking about the numbers. That's part of the Right require that 18 problem. now we the word 19 suspicious be used and part of the issues that come up 20 people start putting qualifiers on it and they mean different things. Some say it's mildly suspicious, 21 moderately suspicious, somewhat suspicious. 22 Then they **NEAL R. GROSS**

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into, "Well, it's 1 start qoinq somewhat highly suggestive versus highly suspicious versus..." 2 They start using the words and you start 3 4 losing the distinction between the two categories. 5 That's why sometimes we get fairly dogmatic about requiring that the wording be there. Aqain, it's a 6 7 question of can we allow people to use these terms instead of just the overall suspicious category. 8 9 MS. HOLLAND: From a consumer standpoint 10 suspicious is suspicious and needs follow-up period. I mean, as an advanced practice nurse I used to go 11 through that with Pap smears, you know, high, low, 12 13 this, that, and the other. The bottom line is it 14 needs to be followed up so I don't see any reason to break it down. 15 16 I don't want to speak too DR. FINDER: 17 much for people who aren't in the room but I think part of this goes back to the medical audit. 18 They 19 want to be able to classify these lesions so that when 20 they do an audit they -- there are a lot of lesions that they really don't think are highly suspicious but 21 they have to biopsy and they want to somehow make a 22

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differentiation from those that they really thought would turn out to be cancer so they fill better about it.

But in terms of what the clinician has to 4 deal with and what they should do, I don't think --5 well, I hope that the fact that somebody gets a low 6 7 suspicion doesn't necessarily mean that the referring physician will not biopsy a lesion. That is one of 8 9 the concerns that we have about even allowing it 10 versus having an overall assessment of just plain Those are the issues again to think 11 suspicious. 12 about.

13 MS. SEGELKEN: I just want to say that what Jackie said is true, suspicious is suspicious. 14 15 If the consumer gets the report that says low 16 suspicious, it could for somebody who is less informed give a false sense of hope. 17 I think just saying suspicious is fine. 18

DR. MONTICCIOLO: I agree with that. I also just wanted to add that I understand these audit issues as a radiologist but I don't think our goal is to make their audit numbers make them look better or

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1 whatever. I think it's an unnecessary complication that could have bad consequences for patients. 2 So a show of hands whether we DR. FINDER: 3 4 should allow this. Yes? No? No. Okav. 5 is Next should known biopsy proven malignancy be added of the 6 as one assessment 7 categories? Just to let you know, we have already approved an alternative standard to allow this but you 8 9 certainly can give your opinion. Yes include? No? 10 That's a yes. Should post-procedure mammogram for marker 11 placement be added? Again, I don't want to bias you 12 13 but yes, we did approve an alternative standard. Show 14 of hands yes? No? I'll take that as a yes. 15 Another change that has been suggested. the 16 Should word "incomplete" in the incomplete assessment be changed to inconclusive or allowed to be 17 used as inconclusive? We've had a number of people 18 19 who have said that they are giving out the wrong 20 impression when they say it's incomplete. The study is not incomplete. The study is 21 complete. It's just that other studies have to be 22 **NEAL R. GROSS** COURT REPORTERS AND TRANSCRIBERS 1323 RHODE ISLAND AVE., N.W. WASHINGTON, D.C. 20005-3701

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done or other work-up has to be done and they would 1 prefer the word "inconclusive." 2 That has to be weighed against the idea of changing the assessment 3 4 categories on all those issues it might bring. 5 DR. TIMINS: Inconclusive could be referred to as a lot of mammograms. I don't like the 6 7 term. It seems to relate more to the interpretation rather than the clinical condition. I would rather 8 9 "Incomplete. Need additional imaging say, 10 evaluation." DR. FERGUSON: I would agree with that. 11 Should incomplete be changed 12 DR. FINDER: 13 to inconclusive? 14 DR. FERGUSON: No. Hands for yes? 15 DR. FINDER: No? I'11 16 take that as a no. Should a separate category for "need prior 17 mammograms for comparison" be added? Show of hands 18 19 yes for that? No? Kind of half-hearted. 20 DR. FERGUSON: I don't like a separate category for it. I mean, I think there is a place for 21 "need prior mammograms" and we put that 22 in our **NEAL R. GROSS** COURT REPORTERS AND TRANSCRIBERS 1323 RHODE ISLAND AVE., N.W.

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impression or conclusion now. But to give a category
 to that I think would be cumbersome.

Let me give you a little bit DR. FINDER: 3 4 more background on this. One of the thoughts behind this by having a separate category is the fact that 5 right now there is no requirement that forces somebody 6 7 who gives an incomplete assessment category to go back at some later date after either the films have been 8 obtained or something else has happened to issue 9 10 another report.

The idea behind this would be if you had it as a separate category, you said, "Incomplete. Need prior mammograms for comparison," the idea would be that there would be another requirement that if you used that assessment category, within some period of time you would have to issue another report.

Either you got the old films and you were able to make an assessment, or you didn't get the old films and you have to make an assessment on what you've got. Right now you can issue an incomplete so you want to get the old films for comparison. Never get the old films and never issue another report under

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the regulations. This is an attempt to try and
 address that issue.

Right now if I DR. MONTICCIOLO: 3 4 _ _ I know it's different than BI-RADS zero. If we a patient back for ultrasound or additional 5 call views, what is the federal requirement? There is none 6 7 so there isn't for that so why would we need it for It would be more important if I saw abnormal 8 this? calcifications and wanted magnification images to get 9 10 the patient back for that but we don't require --

The problem with this 11 DR. FINDER: is right now, as I said, you can issue a report. 12 In the 13 other cases the patient is going for some type of other study and we'll get another report based on that 14 examination, or should get one. Of course, it may be 15 16 outside of MOSA.

The problem here is that if you ask for comparison films, the way the reg is written there is no final assessment that ends up getting issued so if the comparison films never become available, there is no requirement that you re-review that case and give an assessment based on what you have.

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1	Yes.
2	DR. TIMINS: In my practice if we don't
3	get previous films within let's say 10 days, we will
4	issue a final report. We won't give it a BI-RADS
5	zero. However, I think it's important for the patient
6	to be involved in their care and I don't object to
7	others using the term BI-RADS zero when they feel they
8	need the prior films. I feel that there is a
9	responsibility with the patient to be part of the
10	process.
11	DR. FERGUSON: My practice doesn't work
12	like this. I guess maybe I'm doing it wrong but if I
13	get a mammogram, I read it. I say I need previous
14	mammograms and give it a BI-RADS and we follow it up
15	
	and get the mammograms. I could see nine out of 10
16	screening mammograms getting this category saying we
17	need previous mammograms. That would be a default.
18	DR. BYNG: Is it a possibility to include
19	that with the previous assessment where you are saying
20	it's incomplete? That one specifically is need
21	additional imaging evaluation but if you are waiting
22	for your prior images to complete your report.
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1 DR. FERGUSON: Usually I use incomplete when we are wanting to do additional studies and when 2 using -- need an ultrasound or 3 we need we are 4 magnification views, not for previous mammograms. 5 DR. FINDER: However, that is one of the uses for that category, so this is actually happening 6 7 right now. This is being used right now. this is an attempt for those facilities that have been using the 8 9 incomplete as a means to not issue a report or 10 forgetting about it in some manner to force them at some point in the process if they don't get the old 11 actually issue 12 films to an assessment category. 13 That's the purpose of this. Okay. Let's see a show 14 of hands. Yes, we should do this, no we shouldn't. Yes? No? 15 16 Next, this deals with the procedure --17 yes, go ahead. DR. FERGUSON: Before we leave that, could 18 19 you explain to me? I know we've got BI-RADS which is 20 a number thing and we've got assessments. Is there not a way that we could combine those or is BI-RADS a 21 proprietary or private system? Is that the problem? 22 **NEAL R. GROSS** COURT REPORTERS AND TRANSCRIBERS

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1 DR. FINDER: Yes. I mean, we don't care if in the short-hand people refer to the numbers. 2 Ι 3 think it's pretty well established that the 4 concordance between the words and the numbers. We try and make the two systems as similar as possible to 5 avoid confusion but BI-RADS is a proprietary system. 6 7 It's not - In fact, you can get your BI-RADS manual and I would suggest people consider that. 8 9 We have to have an open system and ours uses just the 10 words. We don't use numbers and we discussed that We force people to write the words down. 11 before. Ιf they want to add numbers also, that's fine but the 12 13 words are the important thing. Pam Wilcox, ACR. 14 MS. WILCOX: The ACR BI-RADS may be proprietary and copyrighted but we share 15 16 it universally and we would have no objection to 17 changing the letters to numbers but the words are important. 18 19 FERGUSON: And Ι would agree DR. 100 20 percent that the words are important and I wondered while we are changing these words and things up could 21 if 22 we attached some numbers to them that was NEAL R. GROSS COURT REPORTERS AND TRANSCRIBERS 1323 RHODE ISLAND AVE., N.W. WASHINGTON, D.C. 20005-3701

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permissible. 1

2	DR. FINDER: I think we have a problem
3	with the numbers. right now, or at least in the
4	initial one, the higher the number the more suspicious
5	the lesion was so BI-RADS 5 obviously was a lot more
6	suspicious than a BI-RADS 1.
7	Now that we've started adding some of
8	these other categories that are not really related to
9	necessarily the change of malignancy, and the one I'm
10	thinking about is the post-procedure mammogram which
11	is not actually part of BI-RADS. It's one that we got
12	and we included separately from BI-RADS.
13	We don't give it a number. If you give it
14	a number, it would probably be No. 7 but that's not
15	more malignant than anything else. There is a problem
16	with the numbers. We had discussed this with other
17	committee members and their consensus was it is the
18	words that are more important than the number itself
19	and it carries better.
20	Certainly with the referring physicians by
21	now they should understand that this was more of a
22	problem when things first got started because they
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weren't all used to receiving these things. Ιt is probably less of a problem now. However, if we start adding new categories, that will engender even more confusion. At least in the beginning we would have to start off requiring, I think, the words in there.

I guess that was my point. DR. FERGUSON: Could we put numbers to these words? I don't think you can do away with the words but when you talk to somebody, I'm sure you say it's a category 4. I mean, that's common.

DR. FINDER: We certainly allow it. We do not say that a facility can't put the numbers there 12 13 but we don't force them to do it either so it's their choice. 14

15 Next deals with of the process 16 communicating these results to patients and their 17 referring physicians. It's page 36, No. 93. Should time frames in this section be modified to take into 18 19 account the fact that there is no requirement as to 20 when the mammogram is interpreted or how to deal with the situation where the facility is waiting for prior 21 films before issuing a report. 22

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1 Again, this deals with the situation that there is a requirement in here that says that the 2 reports have to go out within 30 days unless they are 3 4 felt to be suspicious. How do you know what is 5 suspicious until you have read the report -- excuse me, until you've read the films? There is no time 6 7 frame for saying when you have to read the films. There certainly is no time frame dealing 8 with the situation where somebody has looked at the 9 10 mammogram and decided he or she needs the old films for comparison. They are waiting on a report before -11 - they are waiting on that evaluation before issuing a 12 13 report. 14 We are questioning here this business about are the times frame appropriate and how do we 15 16 take into account those time frames? Should we have a time frame for when those films need to be read 17 initially interpreted? Do we get into all that kind 18 19 of detail and how do we do that? Not a simple 20 question. DR. MONTICCIOLO: Well, I don't think it's 21 simple but we already have a 30-day limit in the regs 22 **NEAL R. GROSS** COURT REPORTERS AND TRANSCRIBERS 1323 RHODE ISLAND AVE., N.W. (202) 234-4433 WASHINGTON, D.C. 20005-3701 www.nealrgross.com

1 so I would leave it as is.

2	DR. FINDER: Okay. The 30-days is in
3	there for the average report. If it is suspicious or
4	highly suggestive, it's "as soon as possible." We've
5	had problems with that over we have issued guidance
6	what we think is "as soon as possible" is reasonable.
7	Even that takes into account the fact that
8	it is "as soon as possible" once presumably the
9	diagnosis, the assessment has been given to that film.
10	Should we leave it the way it is or should we start
11	changing it?
12	DR. BYNG: What is the guidance that you
13	clarified?
14	DR. FINDER: The guidance that we've
15	issued is "as soon as possible" means three days to
16	get the report out to the referring physician, five
17	days out to the patient to get the lay summary. That
18	is the guidance.
19	Again, that is assuming that we are
20	talking about from the date that an assessment has
21	been given to that report to that case, not when it
22	was done necessarily because you've got situations
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there you've got mobile facilities that are out there and the films don't come back for interpretation for a couple of days.

4 Remote reading where it takes some days to mail things out. Then you've got the situation where 5 somebody has seen the case, looked at it and says, "I 6 7 want to wait before I issue a report until I get the old films to compare because it is either going to be 8 take me out lesion or it's been there for five years 9 10 and leave it alone. There can be a big difference 11 between the assessment that is given to that so we deal be careful about with 12 have to how we the 13 situation.

DR. TIMINS: You also have the situation where the referring physician is not available, on vacation for a week or two. I would leave it as is.

MS. VOLPE: From a patient perspective, 30 days is way too long to wait because we are anxious to get the results. Every woman is scared to death when she goes to get a mammogram and I would say that the requirement should be set that the mammogram should be read within 10 days and it's imperative to let the

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1 patient know why there is a delay.

2	Fifteen days should be the maximum time
3	the patient should have to wait. They should require
4	a letter to the patient explaining why the delay if
5	the delay is over 15 days. The delay should be
6	allowed only when waiting on prior films from another
7	location.
8	Furthermore, the facility should ensure
9	that the results are communicated to the patient as
10	soon as the mammogram is read if it's suspicious or
11	abnormal. I recognize vacations are a problem and
12	everything but some sort of arrangement should be made
13	for that.
14	DR. BARR: Helen Barr, FDA. I think
15	well, we are sort of mixing apples and oranges here.
16	The first situation we're dealing with is, for
17	example, there is a facility that has a number of
18	unread mammograms. The person left who is reading
19	them. They haven't got anybody else to read them.
20	Right now we don't know if they are
21	suspicious or they are benign. Once they get read,
22	whenever that is, then the clock starts ticking that
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1 the patient has to be notified within a certain amount 2 of time.

Right now there is nothing we can do to 3 4 this facility because there is no up front clock from 5 taken when the mammogram was to when it qets I mean, right now it could sit around 6 interpreted. 7 for a year and there is nothing we can do. The clock doesn't start ticking right now until the mammogram is 8 read. 9 10 DR. FINDER: I would just clarify that. There is one clock and that's 30 days. It has to be 11 read and the report go out in 30 days if it's normal. 12 13 The thing is that --PARTICIPANT: You don't know it's normal 14 until you read it. 15 16 DR. FINDER: Right. That is the issue. 17 There is no requirement on when you have to read it The "as soon as possible" 18 and give an assessment. 19 basically for the suspicious and highly suggestive 20 only kicks in once you've made that assessment because if you've got a mammogram in front of you and you 21 haven't determined what it is yet, you can treat that 22 **NEAL R. GROSS** COURT REPORTERS AND TRANSCRIBERS

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1 as a benign situation and you have 30 days to get 2 those reports out. If it's benign but you're only DR. BARR: 3 4 assuming it. There really is no specific clock from 5 when the mammogram is taken. DR. FINDER: Right. 6 7 DR. BARR: We are making up this clock because we say you have to have results in 30 days and 8 a normal mammogram but you don't know if it's normal. 9 10 DR. FINDER: Yes. So are we looking at then 11 MS. SEGELKEN: issuing a time that the mammogram has to be read by? 12 13 Well, that is one of the DR. FINDER: 14 considerations that we would be asking you to consider 15 here. 16 MS. SEGELKEN: I mean, under Dr. Barr's 17 scenario, if it takes a year to read a mammogram --It can't take a year. 18 DR. FINDER: All 19 mammograms have to be read and a report issued within 20 30 days. 21 MS. SEGELKEN: But even 30 days. If I found out 30 days after I had my mammogram taken that 22 **NEAL R. GROSS** COURT REPORTERS AND TRANSCRIBERS 1323 RHODE ISLAND AVE., N.W. (202) 234-4433 WASHINGTON, D.C. 20005-3701 www.nealrgross.com

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exam was taken because I'm not going to be there for 10 days. I guess the alternative is I can hire somebody to come in and read them if you could find somebody who reads mammograms but I read the mammograms for about a five-county area.

There's not anybody to read them. 6 There 7 are technical difficulties. I think all of us that are doing the business now are trying to communicate 8 9 the best we can with patients and get reports out as 10 timely as we can. Ι think that 30 days is а reasonable period to make certain that everything is 11 12 out.

13 Certainly when is abnormal there an mammogram we are all doing our best to talk to the 14 patient directly and say, "You know, you've got to get 15 16 care and we're tracking where you go and your biopsy 17 results and talking to your doctor and saying we had this abnormal mammogram." I think we're all trying to 18 19 do the right thing. I don't know if there are 20 examples out there that people just don't do the work. Unfortunately we wouldn't have 21 DR. BARR: jobs if everybody operated the way you all do. 22 That's

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2	DR. MONTICCIOLO: I agree with Dr.
3	Ferguson. I mean, we are concerned about the
4	patients. In my practice we let everybody know we
5	read the mammograms right away. I'll give you a
6	scenario where the 30 days is helpful. We'll read a
7	mammogram and it will just be, I think what Dr. Finder
8	was talking about, we'll see a solid mass.
9	If it's been there five years, it's benign
10	but we don't know without the prior films. What we do
11	is we call the patient and we say, "We really need
12	these prior films because otherwise you are going to
13	get a biopsy recommendation and we don't want a biopsy
14	if it's not needed so it helps us avoid unnecessary
15	biopsy to wait.
16	The reason I would rather not be forced
17	into issuing a report is because there are all those
18	things that go with that; auditing, letters that have
19	to be sent that take manpower and time.
20	It is expensive for us to have a nurse
21	that makes all these phone calls actually which is why
22	some people don't do it and just wait for the films to
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1 come and make a final decision. I see a fair number with significant findings that would be 2 of films considered suspicious but they don't look like cancer. 3 4 If I could just get the prior films I can help avoid unnecessary concern and unnecessary biopsy. 5 That 30-day window gives us flexibility to deal with 6 7 We are courteous to our patients and we call all it. of them. I don't know if everybody has that ability, 8 9 those resources. 10 I am sure in your practice, Dr. Ferguson, I'm sure your techs let them know you're not there and 11 it's going to be a week before you get a reading so 12 13 the patient has the option of coming back in two weeks 14 and having their mammogram done. I think it is a reasonable time period. 15 16 It wouldn't change, I don't think, the treatment of their disease should they have it. I think that is 17 why 30 days was chosen. 18 19 Okay, just so we DR. BARR: Dr. Barr. 20 realize then that "as soon as possible" is very squishy because on day 30 you could be sending out a 21 report 30 days later that says there is a malignancy. 22 **NEAL R. GROSS** COURT REPORTERS AND TRANSCRIBERS 1323 RHODE ISLAND AVE., N.W.

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I think we are just looking at it from a different
 aspect.

think MS. SEGELKEN: Ι there is 3 а 4 difference between something that is a BI-RADS 5 and 5 is so highly suspicious of malignancy. We don't wait at all on those. We just bring them in. There is 6 7 such an overlap between benign and malignant disease and things that are very slow growing and there's lots 8 of benign findings. 9

There's just way more benign findings than there are malignancies even though that's the thing I'm sure is scariest for patients. We don't want to biopsy everybody. We would just be generating all kinds of paperwork for very little gain.

Exactly. 15 DR. BARR: We get a lot of 16 consumer complaints that say, "Why didn't I know that I had a suspicious lesion two days after my mammogram? 17 Why was it a month later when somebody told me?" 18 The 19 problem is because you don't know until you read it. I think it comes down to 20 MS. SEGELKEN: I think what you all are talking about 21 communication.

22 is you have great practices and I wish where I lived

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we had practices like yours where you communicate with your patient.

You tell them, "The physician isn't here 3 4 but your film will be ready within two days." Or you call up and say we are waiting for whatever it is. 5 That is not the case everywhere. I don't know how to 6 7 address it here but saying "as soon as possible" means one thing for me and one thing for you and it's too 8 Somehow the communication issue has to be ambiquous. 9 10 addressed.

There is also 11 MS. HOLLAND: I agree. something that I think we should remember. 12 In a 13 perfect world everybody would be practicing the way 14 the people are on this panel. I live in a huge city and work for a major university and medical center and 15 16 I can tell you I work in the community seven days a 17 week and there are many people, especially poor and under-served people, who are suffering because of the 18 19 lack of communication so it needs to be addressed.

DR. FINDER: Okay. So let's have a show of hands. How many people think that the regulation should stay as written. Yes? How many people think

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1 that there should be some attempt at modification to address some of the issues that we've heard? Okay. 2 3 Let's move on to the next one, 94. Right 4 it says maintain a system for referring such now 5 patients to a healthcare provider when clinically indicated. There has been a suggestion to add "when 6 7 mammographically indicated." Either add it to clinically or replace clinically with mammographically 8 What do we think about that? 9 indicated. Show of 10 hands. Could it MS. VOLPE: be read 11 "when clinically or mammographically indicated?" 12 13 DR. FINDER: Yes, it could. Let's go with the vote on that one first. Show of hands. 14 No? Yes on that one. 15 16 DR. BYNG: But is there in terms of standardized handling of that if there is no clinical 17 information available, then you can't obviously say 18 19 clinically. 20 DR. FINDER: That's why the "or." We take histories. 21 DR. TIMINS: Either technologist 22 the helps the patient fill out an **NEAL R. GROSS** COURT REPORTERS AND TRANSCRIBERS 1323 RHODE ISLAND AVE., N.W. (202) 234-4433 WASHINGTON, D.C. 20005-3701 www.nealrgross.com

1 information form so there may be information like 2 bloody nipple discharge where you would say, "The 3 mammogram looks all riqht but this should be 4 correlated clinically." 5 But what if you just said DR. BYNG: indicated instead of either clinically 6 or 7 mammographically? DR. TIMINS: If you see something on a 8 9 screening mammogram, then you are going to refer the 10 patient as well, especially if it's a self-referred patient. 11 Again, I don't want to go 12 DR. FINDER: 13 into wordsmithing but I think I get the general consensus of where we should go on that. 14 Next one is basically the 15 same as the 16 earlier question about time frames so let's not qo 17 into that one again. No. 96. Should there be a time frame for 18 19 release of records? This is an issue that comes up 20 not infrequently where somebody requests old mammogram reports and they call us up because they haven't 21 gotten it and we have a question. 22 **NEAL R. GROSS** COURT REPORTERS AND TRANSCRIBERS

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