

In the Matter of:

JOHN HOLLINGSHEAD,

**ARB CASE NO. 05-046** 

COMPLAINANT,

**ALJ CASE NO. 2004-STA-57** 

v. DATE: August 30, 2005

CONWAY SOUTHERN EXPRESS,

RESPONDENT.

**BEFORE: THE ADMINISTRATIVE REVIEW BOARD** 

## FINAL DECISION AND ORDER APPROVING SETTLEMENT AND DISMISSING COMPLAINT WITH PREJUDICE

This case arises under the employee protection provisions of the Surface Transportation Assistance Act (STAA) of 1982, as amended. On January 6, 2005, John Hollingshead, the complainant, and Conway Southern Express, the respondent, submitted Complainant's Unopposed Motion to Approve Settlement and Dismiss Proceeding with Prejudice to a Department of Labor Administrative Law Judge (ALJ). Under the regulations implementing the STAA, the parties may settle a case at any time after the filing of objections to the Assistant Secretary's preliminary findings "if the participating parties agree to a settlement and such settlement is approved by the Administrative Review Board . . . or the ALJ." The regulations direct the parties to file a copy of the settlement "with the ALJ or the Administrative Review Board, United States Department of Labor, as the case may be."

In this case, when the parties reached a settlement, the case was pending before the ALJ. Therefore, the ALJ appropriately reviewed the settlement agreement. On

USDOL/OALJ REPORTER PAGE 1

<sup>&</sup>lt;sup>1</sup> 49 U.S.C.A. § 31105 (West 1997).

<sup>&</sup>lt;sup>2</sup> 29 C.F.R. § 1978.111(d)(2) (2004).

<sup>&</sup>lt;sup>3</sup> *Id.* 

January 13, 2005, the ALJ issued a [Recommended] Order – Approval of Settlement & Dismissal of Complaint. According to the STAA's implementing regulations, the Administrative Review Board issues the final decision and order in this case.<sup>4</sup>

The Board issued a Notice of Review and Briefing apprising the parties of their right to submit briefs supporting or opposing the ALJ's decision.<sup>5</sup> Neither party responded to the Board's notice.

Review of the agreement reveals that it may encompass the settlement of matters under laws other than the STAA.<sup>6</sup> The Board's authority over settlement agreements is limited to the statutes that are within the Board's jurisdiction as defined by the applicable statute. Therefore, we **APPROVE** the terms of the agreement pertaining to Hollingshead's STAA claim<sup>7</sup> and **DISMISS** the complaint with prejudice.

SO ORDERED.

M. CYNTHIA DOUGLASS Chief Administrative Appeals Judge

WAYNE C. BEYER Administrative Appeals Judge

USDOL/OALJ REPORTER PAGE 2

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<sup>&</sup>lt;sup>4</sup> 29 C.F.R. § 1978.109(c)(2); *Monroe v. Cumberland Transp. Corp.*, ARB No. 01-101, ALJ No. 00-STA-50 (ARB Sept. 26, 2001); *Cook v. Shaffer Trucking Inc.*, ARB No. 01-051, ALJ No. 00-STA-17 (ARB May 30, 2001).

<sup>&</sup>lt;sup>5</sup> 29 C.F.R. § 1978.109(c)(2).

<sup>&</sup>lt;sup>6</sup> Settlement Agreement ¶ 2 B.

<sup>&</sup>lt;sup>7</sup> Fish v. H and R Transfer, ARB No. 01-071, ALJ No. 00-STA-56, slip op. at 2 (ARB Apr. 30, 2003).