

Comments on Chapter 12

1 **Written Public Comments on the**
2 ***Strategic Plan for the U.S. Climate Change Science Program***
3 **Chapter 12: Grand Challenges in Modeling, Observations, and**
4 **Information Systems (p 131-147)**
5 **Comments Submitted 11 November 2002 through 18 January 2003**
6 **Collation dated 21 January 2003**

7
8 Pages 131-143: Chapter 12 does include priorities for the U.S. Global Change Research
9 Program (USGCRP) research elements. However, the priorities are described without
10 any sort of ranking, making the prioritization little more than a summary. As stated
11 earlier, the President wants the U.S. Climate Change Research Initiative (CCRI) to “study
12 areas of scientific uncertainty and identify priority areas of scientific uncertainty and
13 identify priority areas where investments can make a difference.”

14
15 Establishing real priorities for the separate research elements, as well as for the
16 linkage between those elements, could save time and resources. However, flexibility
17 must also be built into the priorities to allow for new information to shape possible
18 changes in the prioritization.

19
20 **Second Overview Comment (pp. 131- 37):** The draft frequently refers to “the next
21 decade” as though the time frame for all the “Products and Payoffs” for the research
22 elements will be completed within that time frame. However, “Human Contributions and
23 Responses to Environmental Change” does not include any time frames for its “Products
24 and Payoffs,” while other elements, like “Water Cycle,” have time frames for its
25 “Products and Payoffs” that can range as high as 15 years.

26
27 While the next decade will be an important time for many of the research
28 elements, not all of the expected products are anticipated to be finished in that time
29 frame. However, by focusing on that time frame, the draft raises expectations that the
30 research elements will be completed within that period. Again, time and resources can be
31 saved by establishing appropriate timetables for all work and then including that
32 information when prioritizing the work.

33 **FANG/HOLDSWORTH-EDISON ELECTRIC INSTITUTE.**

34
35 Page 131, Chapter 12: First Overview Comment: The term uncertainty is utilized without
36 any clear definition of the term. As this is the main theme of much of the report, it
37 portrays an incorrect image of climate science that everything is uncertain and that no one
38 can or should act until the uncertainty levels are diminished. It then goes on to lay out a
39 high risk strategy of waiting until an unknown day for uncertainties to be reduced before
40 any action can be taken. The risks are high as the lifetime of greenhouse gases in the
41 atmosphere is long and mitigation efforts will not take immediate effect, unlike some
42 other pollutants. This also ignores decades of research by US institutions and others that
43 have reduced uncertainty levels on a wide range of climate issues. A guide to the
44 uncertainty levels is clearly included in the IPCC’s Third Assessment Report.
45 We would therefore strongly recommend that the report and the research efforts around it
46 not revolve around reducing uncertainties per se, but rather provide new and useful

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1 information for policymakers. Finally, to infer that policymakers must have 100%
2 certainty before taking any decisions is not consistent with the current situation. As the
3 report notes, there are many uncertainties surrounding terrorism, but the government is
4 not waiting for 100% certainty before taking preventative measures such as increasing
5 security in airports.

6 **JENNIFER MORGAN, WORLD WILDLIFE FUND**

7
8 Page 131, Chapter 12: **First Overview Comment:** General comment that may apply to
9 this chapter and the rest of the document. There seemed to be a disconnect between
10 Climatological data requirements and the requirements that the next generation sensors
11 and system of operational polar orbiting spacecraft (i.e., NPOESS) will be able to meet.

12 One participant's question about this in the Grand Challenges breakout group and
13 suggestion that a more detailed table in the appendix of the specific requirements needed
14 for climatological research and development might be needed, seemed to go unanswered.

15 I just wanted to make sure this potential addition was captured and looked into in the
16 event there is an appropriate place for that clarification in the document.

17
18 **Second Overview Comment:** General question for this observations section as well.

19 There does not seem to be a lot of attention given to solar physics and measurements
20 other than a mention in the very beginning of the document referencing the influence of
21 the radiative output of the sun on the biosphere and weather/climate patterns. While the
22 variations in the 11-year cycle of the sun may not be great, there are some definite long-
23 term trends that cycle through and change in absolute amplitude and these may need to
24 be more thoroughly addressed in this document (though the data may already be fed into
25 the long-term models currently being worked).

26 **N. MICHAEL SIMPSON, NOAA/NESDIS/OSO**

27
28 Page 131, Chapter 12: We support the plan's strategy of assigning responsibility and
29 adequate resources for the IPCC assessments to GFDL and NCAR. But climate research
30 and prediction involve much more than IPCC assessments, and the nation's climate
31 research program demands more than a "two-center" strategy. The USGCRP part of the
32 document needs its own research plan and its own modeling strategy. A more
33 comprehensive national modeling strategy should be laid out in Chapter 12, replacing the
34 woefully inadequate discussion in the second section of that chapter.

35
36 Modeling is critical to the goals of Chapter 6, and is virtually ignored in Chapter 12.
37 Chapter 4 alludes to some work needed to improve models for CCRI activities, but no
38 such plan is advanced in Chapter 12.

39
40 The overall picture presented in the CCSP draft plan is one in which models are
41 acknowledged to be the key tools for predicting the future, and for asking "If...then.."
42 policy questions. This is a remarkable change in the attitude of the scientific community
43 towards models. Not so very long ago, models were abstract tools which were tested
44 against known theoretical questions, and from which little was expected or believed. I
45 am not convinced that we are as mature as the report seems to indicate, and I believe that

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1 a significant investment in continued development and improvement in models will
2 continue for quite some time.

3 The USGCRP plans essentially neglect the need to strengthen US climate modeling
4 capability. The USGCRP focus appears to focus on combining more and more
5 component models (p 139, lines 4-19) into a more comprehensive system model. This
6 reflects an attitude that a) the component models are in fine shape and b) that coupling
7 them is a relatively simple matter.

8 The next paragraph discusses some research activities in climate modeling, with "areas of
9 research emphasis would include model development, computational science, and data
10 assimilation." (p 139, lines 28-29)

11 While it is good to see the specific call for a common modeling infrastructure in the
12 CCRI plan, it should be as much a part of USGCRP as CCRI. More particularly, the
13 ESMF effort underway should be mentioned. As an *Earth System* framework, ESMF
14 embraces the needs of a wide variety of modeling groups, including weather prediction,
15 climate modeling, climate forecasting, hydrological modeling and more. It will be
16 particularly helpful for modelers throughout the US to have a common framework on
17 which to base their own efforts.

18 **U.S. CLIVAR SCIENTIFIC STEERING COMMITTEE**

19
20 Page 131, Chapter 12: First Overview Comment: Transport of long-lived radiatively
21 active trace gases, photochemically active trace gases, and aerosols remains a key
22 uncertainties in determining the time and space scales by which changes in atmospheric
23 composition, and the carbon cycle impact the climate system. The impact of these
24 interactions will differ significantly depending on the global location. For example,
25 surface emissions of aerosols, NO_x, and VOCs have been shown to have a much greater
26 impact on ozone formation when emitted in tropical regions. The vertical distribution
27 together with aerosol composition determines the radiative impact. Nor is upper
28 troposphere/lower stratosphere exchange well characterized. Because it is a sub-grid
29 scale process, vertical transport is poorly represented in one of the key tools we use to
30 assess climate change, climate system models and GCMs. Experimental campaigns are
31 needed to develop, test and refine transport parameterizations~particularly aircraft studies
32 of compounds that can be used as tracers of surface emissions, and their behavior in deep
33 convective cells. Studies at both temperate and tropical latitudes are needed to improve
34 our analytical framework for estimating the sources and sinks of CO₂, N₂O, CH₄, O₃,
35 aerosols, NO_x, CO and VOCs. Such a series of studies will require considerable
36 resources and cooperation amongst several communities. By focusing on transport rather
37 than aerosols,Chapter 12, the issue could be one that cuts across chapters, and comes
38 closer to evaluating air pollution as a climate forcing. If not included in Chapter 2, then it
39 should be included in Chapter 12 as a cross-cutting issue

40 **BETH HOLLAND, NCAR**

41
42 Page 131, Chapter 12: he three elements of, observation, modeling and data management
43 are not sufficient. The program must draw on and hopefully contribute to the store of
44 fundamental knowledge. Experimental research leading to hypothesis testing needs to be
45 included in this grand synthesis.

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1 **JOE BERRY, CARNEGIE INSTITUTION.**

2
3 Page 131, Chapter 12: We have a number of concerns with this chapter. The chapter
4 relies heavily on satellite observations, but we should be realistic about what satellites
5 can and cannot do. Improvements in some areas go far beyond the 2-4 year horizon we
6 supposedly are to focus upon. For example, in the second bullet on p. 133 (lines 3-5), the
7 emphasis should be upon discovery and improving understanding rather than
8 “validat(ing) the new space-borne measurement capabilities” with aircraft and balloon
9 observations. [*Tans 303-497-6678 – Butler, Dutton, Hofmann, Ogren, Schnell;*
10 *NOAA/CMDL*]

11 **NOAA/CMDL**

12
13 Page 131, Chapter 12: "The approaches outlined in chapter 12 provide a reasonable
14 framework for the collection and interpretation of observational data gathering and
15 storage within information systems, such as the modeling of carbon and water cycles.
16 However, more specifically-targeted modeling efforts to include the costs of global
17 climate change are needed. As is done in Environmental Protection Agency risk
18 assessments, the value of a human life, as well as the values of animal species should be
19 quantified in a series of alternative action plans, ranging from no action to reduce
20 greenhouse gas emissions to action beyond the requirements of the Kyoto protocol. The
21 economic costs and benefits for the implementation of each alternative plan should be
22 determined within the bounds of uncertainty; Monte Carlo simulation of model parameter
23 uncertainty has been shown to be valuable in this regard.

24
25 "To be an effective tool in guiding research and action, the Climate Change Research
26 Initiative must not seek to resolve all uncertainties in the myriad geologic, atmospheric,
27 hydrologic, and biologic factors that are causative and reflective of climate change.
28 Instead, reasonable estimates of these factors should be used within a cost-
29 benefit modeling framework to evaluate alternative plans to moderate the effects of
30 global warming. The best means to reduce uncertainty in these factors should also be
31 defined. As uncertainty is reduced, the cost-benefit model will provide improved
32 guidance for action alternatives."

33 **CRISPIN PIERCE, UNIVERSITY OF WASHINGTON**

34
35 Page 131, Chapter 12: First Overview Comment: I believe that something should be said
36 in the required observations set about mapping the ocean floor. These data are required
37 to make accurate forecasts of global climate change, because seafloor topography and
38 roughness control the circulation and mixing of heat through the ocean, and only 0.1% of
39 the deep ocean has been mapped in enough detail so far. There are satellite methods
40 through which the sea floor can be mapped, and far faster and cheaper than can be done
41 by ships.

42 **STEVEN JAYNE, WOODS HOLE OCEANOGRAPHIC INSTITUTE**

43
44 Page 131, Chapter 12: The chapter spells out a strong observational/modeling effort for
45 or climate system. However, new modeling efforts should approach questions on a
46 regional scale to create more usable models, which is not discussed in the chapter.

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1 In addition, the chapter doesn't include discussion of modeling changes in human drivers,
2 such as modeling energy demand and supply or changes in land use. These models are a
3 key part of the predictive power of climate models and must be strengthened to improve
4 the certainty of climate modeling. Incorporating modeling of human drivers may require
5 an additional section in this chapter.

6 **DEPARTMENT OF TRANSPORTATION, LAWSON**

7
8 Page 131, Chapter 12: Under observational priorities, water cycle, four bullets outline
9 excellent priorities for long-term measurements. One important gap that should be made
10 explicitly is the synergy between satellite and ground-based observations, and between
11 observations and modeling. Consider the following for the second through fourth bullets.

12 - Develop and implement more-accurate global measurements of precipitation,
13 continental soil moisture, soil freezing/thawing and snow accumulation by integrating
14 space-based measurements with existing ground-based networks; and where possible,
15 implement the new ground-based networks that are needed to augment/compliment the
16 space-based measurements. - Maintain and expand surface-based operational
17 measurements of precipitation, continental soil moisture, soil freezing/thawing, snow
18 accumulation, river discharge, groundwater levels, water chemistry, land-atmosphere
19 exchanges and other hydrologic variables. Develop and maintain: i) accurate, regionally
20 specific models to spatially interpolate these measurements, and ii) the data and
21 information systems needed to make this information widely and conveniently available.
22 - Develop and implement systematic regional hydrologic, climate and radiation test beds,
23 and advanced technologies involving ground-based remote sensing. Colocate and
24 integrate these long-term test beds with complimentary ecosystem, carbon cycling and
25 other climatic research.

26 **ROGER C. BALES, UNIVERSITY OF ARIZONA**

27
28 Page 131, Chapter 12: Many of the comments made regarding earlier chapters are
29 relevant here and I will not repeat them. However, much is made of the "research to
30 operations" transition. I think there is some confusion here. Operations refers to specific,
31 well-defined products and services that must be delivered within a constrained budget
32 and fixed schedule. However, to achieve these goals, much infrastructure must be
33 developed such as stable observation systems, continuous data acquisition, production,
34 and access, as well as other "operational" services. Many of these services are also
35 necessary for climate and long-term systematic measurements. However, this does not
36 mean that climate products are operational. There remains a strong component of
37 research in climate data products. Note that weather products are produced in an
38 environment where there are many value-added service providers such as the Weather
39 Channel, Accu Weather, etc. This is not the case in climate. Rather the science
40 community is the dominant value-added service provider. Careful reprocessing, data set
41 characterization, fusion of multiple products, etc. is a science task, and adds value to the
42 system.

43
44 If we begin to focus on services rather than just data products, then it becomes clear that
45 there is not a transition from research to operations. Instead there is an integration and

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1 coordination of these two elements to deliver a service. Moreover, the requirement for
2 technology infusion can also be considered in this context.

3
4 As data sets become massive, the value of data becomes essentially zero. What is
5 important are the metadata that describe the data, algorithms, etc. If one cannot locate the
6 granule of interest or understand how the product was made, then it is of no value. This is
7 recognized in the information technology community: data are useless, metadata are
8 priceless. There is little discussion relative to this concept. For example, metadata will
9 require an understanding of the users (especially of the services, not just the data), and we
10 need to start now with quantitative user modeling.

11 **MARK R. ABBOTT, OREGON STATE UNIVERSITY**

12
13 Page 131, Chapter 12: The term “grand challenges” is used here to attempt to group
14 observations, modeling and information systems needs, which presumably overlap for
15 each science area. In my opinion, the term “grand challenges” is somewhat inappropriate,
16 and should be reserved for climate change science issues that are currently intractable and
17 must be solved in order to address the key science and applications goals of the CCSP.
18 An example of what might be a true “grand challenge” in this sense is the prediction of
19 subsurface water fluxes and pathways, given that subsurface parameters and fluxes are
20 not readily observable, and knowledge of the pathway is critical for questions such as
21 carbon fluxes and storages as well as nutrient cycles. A problem of a similar magnitude
22 would be that of predicting clouds, and all their interactions with aerosols, radiation, etc.

23
24 I would like to see the Water Cycle-related observation and modeling priorities map more
25 explicitly to the questions in Chapter 7, as well as to the key areas in Chapter 2. In
26 particular, under Observational Priorities, the regional testbeds should be able to support
27 analyses of linked water, energy, carbon, and nutrient budgets as well as interactions
28 between cloud processes and aerosols from the water table to the tropopause. Similarly,
29 under the modeling priorities, as stated above, a true “grand challenge” is explicitly
30 predicting the subsurface pathway of water, which has consequences for the linkages
31 between the water, carbon and nutrient budgets as well as itself being a fundamental
32 predictability problem in water cycle science. What seems to be missing as a “grand
33 challenge” is the issue of integrating observations and modeling (i.e. data assimilation),
34 including quantifying the uncertainties (errors) in both observations and modeling. This
35 must be a major emphasis of the CCSP given the key role current and future observations
36 (such as NASA’s EOS missions) will have for monitoring and modeling the climate
37 system.

38 **CHRISTA PETERS-LIDARD, USGCRP GLOBAL WATER CYCLE**
39 **SCIENCE STEERING GROUP**

40
41 Page 131, Chapter 12: The plan would benefit from a consolidated table of observational
42 and product requirements, with links to chapters. There are many products which have
43 multiple uses within the CCSP. For instance, satellite observations of fires can be used
44 to:
45

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1 Model trace gas and aerosol emissions (Chapter 5 Atmospheric Composition and Chapter
2 9 Carbon Cycle).

3 Identify areas undergoing rapid land cover change (Chapter 8 Land Use/Land Cover
4 Change).

5 Assess climate change impacts on the frequency of extreme events (Chapter 6 Climate
6 Variability and Change).

7 Represent a major feedback process with climate in terrestrial ecosystems (Chapter 10
8 Ecosystems).

9 **NOAA-NESDIS, ELVIDGE**

10
11 Page 131, Chapter 12: The establishment of a global climate and ocean observation
12 system should be pursued as a top priority.

13 **GEORGE WOLFF, PH.D., GENERAL MOTORS**

14
15 Page 131, Chapter 12: First Overview Comment: The first overview comment on
16 Chapter 6 applies equally here. The chapter does not recognize that the system of interest
17 (i.e., the climate system) is itself undergoing change and acquiring momentum and that
18 time matters if we are going to apply our research results to the system of interest to alter
19 it in desirable ways.

20
21 Second Overview Comment: The second overview comment on Chapter 6 applies
22 equally here. For instance, the text on page 138, lines 20–25 assumes that deterministic
23 models produce orderly results, so testing models against past climate data should be easy
24 and straight-forward. Not necessarily so. Some deterministic models, especially those
25 with feedbacks, yield wildly different results for small changes in model parameters and
26 initial conditions, and robust values for model parameters and initial conditions that avoid
27 this sensitive condition may not exist (and if they do, they may not properly characterize
28 the climate system).

29 **DAVID L. WAGGER, PH.D., SELF**

30
31 Page 131 et seq: The treatment of grand challenges seems overly technocratic and naïve
32 to me, because we know all too painfully that even simple and uncontested knowledge
33 about things like flood danger and coastal hazards is not being put to use. Spending vast
34 efforts on refining the estimate of the speed of the run-away car is not a good idea if it
35 prevents the occupants from working on finding the emergency brake. Ever more refined
36 knowledge without prospects for application is an uncomfortable prospect. Yet, the
37 grand challenge of working place by place and program by program, subsidy and
38 investment by subsidy and investment, is the most important one. (See IPCC Working
39 Group II, chapter on water resources, in regard to the quality of current adaptations to
40 current conditions, let alone future or changed conditions; also, see Liverman, D., et al.,
41 1998, People and Pixels: Linking Remote Sensing and Social Science, National
42 Academy Press.)

43 **WIENER, INDIVIDUAL COMMENTATOR**

44
45 Page 131, Chapter 12: A cross-cutting issue not mentioned in this chapter is the effort
46 required to combine the best observational data sets into gridded data sets for use in

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1 initializing and forcing climate models. This includes identifying and removing biases in
2 reanalysis datasets, alternative model-independent methods for filling in sparse data and
3 rapid inclusion of new and improved data into these datasets. Extending such data sets to
4 other diagnostic fields for model/data comparison would also be useful.

5 **PHILIP JONES, LOS ALAMOS NATIONAL LABORATORY**

6
7 Page 131, Chapter 12: The presentation of requirements and unmet needs for climate data
8 management (split between Chapter 3 and Chapter 12) contains most of the important
9 thoughts and conclusions somewhere. In particular, Chapter 12 makes the important
10 point that "Much of the technology required to make this vision a reality exists already" -
11 - i.e. that the inadequacies of data accessibility today are not a result of inadequate
12 technology. However (owing to the nature of the document) the solutions to the data
13 accessibility problems are cast as "Research needs". In casting them thusly the Strategic
14 Climate Science Plan has to a large extent missed the mark with respect to data
15 accessibility concerns. The Plan's recommendations run the risk of perpetuating the
16 causes of the community's current frustrations with data management, rather than solving
17 them.

18
19 The current lack of integrated data and information management infrastructure for
20 climate science is chiefly a challenge for community building and cooperation, rather
21 than for research and new technology development. Most of the pieces needed to build
22 an effective, integrated data distribution service exist today, though they are not used
23 broadly or consistently enough to fulfill their potential. The solution to this problem lies
24 in three areas:

- 25
26 1. broad usage of interoperability frameworks. This class of solution allows the
27 community to rise above many of the historical issues of data location, data set size, and
28 file format incompatibility. A prominent example today is the OPeNDAP framework;
29 2. the need for the community to agreed upon a standards process. The standards
30 process is a step removed from the standard, itself. It refers to the formalized steps that
31 need to be taken to ensure that a standard has been carefully crafted and publicly
32 reviewed, and that awareness of the standard is broad. Our community does not suffer
33 from a lack of standards, it suffers from i) a lack of agreement upon which of many
34 standards to use and ii) an overly narrow focus in the crafting of the standards. Both of
35 these problems can be addressed by the creation of a suitable community standards
36 process; and
37 3. adoption of and adherence to broad community policies regarding responsible
38 data stewardship. The most powerful tool to address this problem is the purse. Groups
39 that receive funding to create data sets need to be held accountable by the funding source
40 for i) timely accessibility of the data, either through interoperability frameworks, or
41 through submission of data to a data-serving organization in a recognized standard
42 format; and ii) completeness of metadata -- as well (of course) as the scientifically
43 essential issue of quality control.

44
45 None of the preceding is intended to suggest that there is a paucity of genuine
46 information technology research topics that would benefit climate research. That is

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1 certainly not the case and some discussion of topics such as scientific data mining and
2 advanced scientific visualization do appropriately belong under Grand Challenges.
3 However, the advances in data management that will most profoundly benefit climate
4 research are in the area of infrastructure building, rather than information technology
5 research..

6 **STEVE HANKIN, CHAIRMAN, DATA MANAGEMENT AND**
7 **COMMUNICATIONS STEERING COMMITTEE, US INTEGRATED**
8 **OCEAN OBSERVING SYSTEM**

9
10 Page 131, Chapter 12: As presented, the CCSP is a sprawling research program plan that
11 accurately reflects the divergent range of R&D required to adequately understand future
12 climate change assumes. Such a presentation is unusual although, in that all research
13 components are provided the same level of priority

14
15 The chapter is well intended and serves as a valuable integration exercise to crosscut
16 research agendas of the preceding chapters on the major science elements of the CCSP. I
17 foresee clerical issues that will require considerable efforts to track and incorporate
18 changes from the science chapters resulting from the comment process and workshop.

19
20 I find the vagueness of many of the ideas presented in the text to be disturbing. It implies
21 that the scientific community knows little about how to resolve many of these issues.
22 Nothing could be further from the truth. Most, if not all, of these challenges have been
23 identified and documented in national reports. This information needs to be included in
24 this chapter. For example, the presentation should include specific task (parallel to other
25 chapters) about how linkages will be achieved; it should take advance of the various NRC
26 reports and USGCRP reports on data and information systems. Current discussions in
27 this chapter on known scientific challenges and how technology can and will provide
28 solutions is unnecessarily vague.

29
30 Overview Comment 3: Section 3 on Data and Information Management is particularly
31 troublesome. Over the next five years, the CCSP will focus on standards and formatting.
32 What is new? These are necessary tasks that have been on the table for the last 5-10
33 years. What promise is the CCSP making on the next 5 years? How will things need to
34 change to make this happen?

35
36 Overview Comment 4: Section 3 on Data and Information Management. The CCSP states
37 that tailored portals will be developed that will be affected “as funding is available...”
38 This is not the place or time to imply that such activities will be undertaken if and only if
39 there is available funds. One could imply that data management is a second-class effort
40 in the CCSP. These activities need to be made requirements of the CCSP not
41 discretionary elements that most likely will not be funded. Data management and
42 assimilation are urgent needs of the CCSRI and should be given priority status along
43 with the other research topics.

44
45 Overview Comment 5: It is critically important that the topics of modeling, observations,
46 and information systems be given a highly visible level of treatment in the CCSP. The

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1 existing chapter could be a major step toward addressing these issues. The section on
2 observations nicely meets this goal and adequately addresses and integrates topics
3 described in other sections of the CCSP on observations. However, the sections on
4 modeling and data and information management are largely superficial and do not make a
5 convincing case for goals adequately described in other chapters in the CCSP. In
6 particular, the section on data management needs a major overall and needs to put forth a
7 viable program plan that is consistent with advanced technology capabilities and the
8 objectives and requirements of the CCSP. What is presented now is not particularly
9 convincing nor is it an accurate reflection of where we are today. Recent and planned
10 advances in data and information technologies could go a long way in providing near-
11 term assistance in implementing the CCSP.

12 **MIKE FARRELL, OAK RIDGE NATIONAL LABORATORY**

13
14 Page 131, Chapter 12: While there is nothing objectionable in this chapter regarding
15 modeling, it does not contain the overview or summary of the needed modeling research
16 that I had expected to find. In reading other chapters of the draft, however, I found the
17 expected material on modeling research and improvement. It would seem appropriate to
18 at least summarize these research needs in chapter 12, along with the recommendations
19 regarding modeling made in connection with “applied climate modeling” in Chapter 4
20 and under “climate variability and change” in Chapter 6.

21
22 I believe Chapter 12 should address the need for a model-neutral facility or group to
23 carry out sustained and comprehensive testing, diagnosis and validation of global climate
24 models.

25 **W. L. GATES, LLNL**

26
27 Page 131, Chapter 12: The primary focus of my review is on CHAPTER 12 dealing with
28 the Grand Challenges in Modeling, Observations and Information Systems. The plan is
29 consistent with past programmatic direction in that it responds to and adopts the
30 objectives of the 1990 Global Change Research Act. It has a sustained commitment to
31 modeling and the gathering and use of observations (p. 131). It discusses some of the
32 modeling grand challenges and many of the infrastructure challenges for information
33 management of climate observational and model data. Infrastructure issues for high-end
34 computing in the support of model development activities are not discussed at all. To
35 carry out the development of climate models and to assimilate new climate data from
36 satellites and other sources to produce refinements on the models and improved
37 understanding of climate processes and interactions requires a serious commitment to
38 high-end computing. Computing power on the scale of the Japanese Earth Simulator will
39 be required to achieve predictive capability on regional scales. I did not find this in the
40 plan.

41 **JOHN DRAKE, OAK RIDGE NATIONAL LABORATORY**

42
43 Page 131, Chapter 12: First overview comment (Part 1 Observations)

44 There is no mention of independent analysis of observational data. Particularly with
45 indirect measurements such as satellite observations, the path from a measurement to an
46 interpretable climate field is not straightforward. An example in case is recent lower

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1 troposphere temperature trends as inferred from microwave sounding unit (MSU)
2 measurements. Satellite measured irradiances must be corrected for instrument
3 temperature, orbital decay, cross-platform calibrations and other effects. This data has
4 been analyzed only twice, with two conflicting results. Christy and Spencer find that
5 globally averaged lower troposphere temperature trends are essentially zero. Conversely,
6 Mears, Schabel and Wentz find that this trend is about 0.1K per decade. The implication
7 for both policy makers and climate model diagnosticians is enormous. Either the planet
8 has warmed in the last two decades or it has not. The Strategic Plan must address this sort
9 of issue by directing not only the greater accumulation of observations but that
10 uncertainties in this data be quantified in a realistic sense.

11
12 Second overview comment (Part 2 Modeling): The Strategic Plan fails to mention the
13 need to provide policy makers estimates of our uncertainty in future climate change
14 predictions. There are multiple sources of our uncertainty. Some are internal to the
15 climate system, a result of the chaotic behavior of the ocean and atmosphere. Some are
16 the result of observational deficiencies. The recently discovered haze in the tropical
17 ocean is evidence of what we have not seen. Yet other sources of uncertainty are related
18 to our uncertainty in future human activities. I.e. will society significantly alter its fossil
19 fuel consumption? However, the largest single source of uncertainty in future climate
20 change prediction comes from deficiencies in the climate models themselves. This is
21 most clearly seen by examining predictions of different models. For a given future
22 scenario, the range of predictions is far greater between the world's leading models than
23 it is for a given model subject to any plausible future scenario or any varying set of initial
24 conditions. Climate model intercomparison has long taught us the folly of relying on a
25 single model for something this important. In the paragraph starting at line 33, page 139,
26 a prediction capability is outlined. However, the Strategic Plan fails to mention the
27 importance of multi-model ensemble prediction. This is the most effective way to
28 quantify our uncertainty about what we do not know.

29
30 Third overview comment (Part 2 Modeling): The plan makes only passing mention to the
31 'high-end' computing platforms that are needed to usefully predict future climate
32 possibilities. In fact, to perform century scale coupled ocean-atmosphere GCM
33 simulations at the resolution required for regional climate change prediction (~10km) will
34 require Petaflop supercomputers running at reasonable fractions of their rated peak
35 speeds. Current US scientific computing is severely hampered by architecture design,
36 such that sustained computational performance rarely exceeds 10% of the machine's
37 rated peak speed. The Strategic Plan should reinforce the need to maintain the leading
38 role of US in the supercomputer industry, both for climate change prediction needs as
39 well as for a general strategic plan for US technological development.

40 **MICHAEL WEHNER, LAWRENCE BERKELEY NATIONAL**
41 **LABORATORY**

42
43 Page 131, Chapter 12: The modeling section of this chapter has much in common with
44 chapter 4, section 3 on "Applied Climate Modeling". They both reach the same
45 conclusion: that there should be two parallel efforts, one devoted primarily to research
46 and involving a large community of "hundreds" of people. The other would retain a

Comments on Chapter 12

1 research component but would primarily be responsible for production-mode predictions
2 on demand. Chapter 4 identifies these efforts as the NCAR CCSM and the GFDL
3 modeling project, respectively. Chapter 12 refrains from making such identification.
4

5 Like much of the rest of the document, the writers of this chapter seem to have a
6 reluctance to mention by name ongoing programs that are addressing specific issues that
7 are called out for attention. I don't know if this was a policy adopted for this document,
8 but neglecting to mention relevant programs will leave many readers with the
9 misimpression that nothing is yet being done on those topics. Examples are given below.

10 **ROBERT MALONE, LOS ALAMOS NATIONAL LABORATORY**

11
12 Page 131, Chapter 12: 1: There are indeed significant Grand challenges in climate
13 prediction. However, simply saying that projections of global change are unusable for
14 decision-making is erroneous. While there are large uncertainties in the global climate
15 system, there is a large base of understanding. Putting informed decisions off for decades
16 would have been a disaster for environmental concerns such as CFCs, dioxin and other
17 chemicals that harmed the environment.
18

19 Overview comment 2: The overall message that more climate research needs to be done
20 is admirable, but a more clear focus on how one can translate present-day state of the
21 knowledge to informed policy decisions needs to be done now.
22

23 Overview comment 3: The role of feedbacks in the climate system needs to be enhanced.
24 While many view these feedbacks and a natural part of climate model development,
25 many researchers are more confined in their scope.
26

27 Overview comment 4: The details of how the flow of information described in the
28 linkages sections needs to be more fully fleshed out. As it sits now, several different
29 communities are identified, but no clear plan is delineated on how to actually have the
30 required information move between these communities.

31 **DAVID ERICKSON, OAK RIDGE NATIONAL LABORATORY**

32
33 Page 131, Chapter 12: **A truly global observing system must be satellite-based.**
34 Although the older conventional weather observing system has important roles to play
35 (maintaining the established longer data records, anchoring the satellite observations,
36 improving interpretation of specific aspects of processes), the "grand challenge" is to
37 improve the satellite system, not to improve the conventional observing network. The
38 REAL CHALLENGE is that we need more than just a collection of satellite
39 measurements for climate research; we need **globally integrated data products** that
40 must come from the **combined and coordinated analysis** of the measurements from the
41 **whole constellation of satellites**. To achieve this requires something that the current
42 satellite programs do **not** provide: methods and their application that combine
43 observations from multiple instruments on the same satellite and combine observations
44 from multiple satellites into single, comprehensive global data products. To establish
45 long satellite records also requires that there be a clearly defined program for conducting

Comments on Chapter 12

1 the work needed to transform well-tested research data analysis methodologies into
2 operational data analysis systems.

3
4 Page 131, Chapter 12: Grand Challenges in Modeling, Observations, and Information
5 begins to set the stage for the program. However it is odd that it comes so late in the
6 document. The chapter starts off with a bang but then ends with a whimper as there is not
7 too much substance. If crafted appropriately it has the potential for guiding/organizing
8 strategy and implementation

9 **ANTONIO J. BUSALACCHI, EARTH SYSTEM SCIENCE**
10 **INTERDISCIPLINARY CENTER (ESSIC), U. MARYLAND**

11
12 Page 131, Chapter 12: The chapter has little or no focus, no priorities and contains
13 nothing that really constitutes a Grand Challenge. I SUGGEST IT BE REPLACED
14 WITH SOMETHING ALONG THE LINES GIVEN BELOW.

15
16 Constitute a new chapter to appear up near the front of the Plan. This chapter would
17 concentrate on describing how the “Challenge Projects’ would be handled by CCRI, and
18 be the focus of the entire CCRI. These Projects would identify the key questions that
19 need to be answered for decision makers. The new chapter would indicate how the
20 appropriate groups and resources could be pulled together to answer the key questions.
21 Such ‘Challenge Projects’ would be rather short in duration, but pull together needed
22 components from within the overall program to give concrete, specific answers. The
23 Challenge Projects might often be rather applied in content, but still draw scientists,
24 engineers, etc as required. IF DONE PROPERLY, THE CHALLENGE PROJECTS
25 WOULD BE THE HEART AND SOUL OF THE CCRI. They would infuse the program
26 with new challenges and problems, eventually affecting all aspects of the program. By
27 addressing new questions and needs as they arise, the CCRI would constantly be
28 reinvented. The Challenge Project would also be the pay off needed to justify continued
29 CCRI support.

30
31 Perhaps the best way to introduce the new Chapter would be through an example.
32 Describe the setup and functions of a project we want to get going right now, e.g.
33 impacts of global warming on water resources in the United States.

34 **BARNETT, UC SAN DIEGO**

35
36 Page 131, Chapter 12: This Chapter does not show a unified approach. It is clearly
37 divided in three parts written in different styles. If the part on observations is taken as
38 guidance, then the modelling and data management parts should define priorities and
39 challenges and a strategy to achieve well defined goals. The sequence in the title should
40 reflect the sections as they are discussed. Format: The section on modeling does not a
41 have a special heading on Challenges. Scientific contents: Two challenges are defined on
42 p. 139, but they amount to managerial or infrastructural challenges rather than to
43 scientific challenges. Focussing on the example of ENSO forecasting confuses the reader
44 about the longterm global change issues discussed elsewhere in the document. There is
45 overlap and confusion with regard to Chapter 4 and text will have to be rearranged and
46 deleted. In general there is not always enough text in the body of the document to

Comments on Chapter 12

1 substantiate the requests made in Chapter 12. Chapter 12 may fulfill the role of a
2 summary, but should most importantly define priorities and challenges for instrument
3 development, observation networks, modeling and data archiving and management,
4 taking into consideration costs, benefits, importance for decision making and pathways
5 of present and future international cooperation.

6 **LYDIA DÜMENIL GATES, LBL**

7
8 Page 131, Chapter 12: Odd placement. Does not come across as integrating. Reads as an
9 afterthought. Where are the Grand Challenges?

10 **ANTONIO J. BUSALACCHI, EARTH SYSTEM SCIENCE**
11 **INTERDISCIPLINARY CENTER (ESSIC), U. MARYLAND**

12
13 Pages 131-137: In specific on challenges and needs for data, we are currently badly
14 underfunding the boring and unexciting but still critically needed SNOTEL and stream
15 gauge networks in the U.S., let alone expanding and optimizing their use. These may
16 offer little glamour, but they are wanted and unavoidably important.

17
18 Similarly, there is a serious need for better snow science, under current conditions as well
19 as in anticipation of future conditions. The suite of concerns in weather sequences and
20 rates of sublimation, evaporative and soil infiltration losses, and other factors mediating
21 the transformation from precipitation to useful stream flow is only abstractly understood
22 in many places, and warrants far more investigation.

23 **WIENER, INDIVIDUAL COMMENTATOR**

24
25 Page 131, lines 9-11: This is an interesting admission—those research activities cannot
26 meet the objective. Are not these other activities part and parcel of the 7 elements—it has
27 sure sounded as if they are and these sections just pull things together.

28 **MICHAEL MACCRACKEN, LLNL (RETIRED)**

29
30 Page 131, line 13 ‘Cannot be met’ is inaccurate. The preponderance of evidence requires
31 action, not decades long delay.

32 **DAVID ERICKSON, OAK RIDGE NATIONAL LABORATORY**

33
34 Page 131, line 14:
35 capabilities, and sustained commitment to observations, accurate and transparent
36 calibration and validation, and data information systems.

37 **NIST, HRATCH SEMERJIAN**

38
39 Page 131, line 26: What does this sentence mean—which activities. And does being the
40 highest priority mean that they get all the dollars they need independent of the other
41 activities. It would really seem that this plan needs to provide a balance among
42 approaches and elements of the program, and to have a section describing this.

43 **MICHAEL MACCRACKEN, LLNL (RETIRED)**

44
45 Page 131, lines 26-30. Agree with the emphasis on the importance of reliance amongst
46 agencies and recognizing that some agency resources are focused on other needs.

Comments on Chapter 12

1 Recommend going a step further and ensuring the strategy included a process for
2 allocating responsibilities and safeguards for ensuring partners live up to their
3 responsibilities, even when faced with competing agency priorities.

4 **DEPARTMENT OF TRANSPORTATION, LAWSON**

5
6 Page 132, comment on bullet starting on line 36 on discussing “establishment of a
7 linkage... between surface and space-borne sensors by performing regular whole-
8 atmosphere column measurements from the ground and especially suborbital platforms”:
9

10 In principle, the difference between a ground and satellite measurements of a surface
11 phenomena, such as ocean color, is due in large part to the intervening atmosphere.
12 Disagreement between the two measurements, after correction for the atmospheric effects
13 through radiative transfer models, is used to “vicariously” calibrate the satellite
14 measurements. The reliability of such a vicarious calibration demands on the match
15 between the spectral responses of the ground and satellite sensors, which is often poor.
16 Proper instrument characterization, calibration, and stability can minimize or remove the
17 need for such vicarious calibration.

18 **NIST, HRATCH SEMERJIAN**

19
20 Page 132-138: The *Draft Strategic Plan* recognizes the observing system challenges
21 raised by such reports as the NRC (1999) report *Adequacy of Climate Observing Systems*
22 as well as the UNFCCC (1998) *Report on the Adequacy of the Global Climate Observing*
23 *Systems* (GCOS). The U.S. and international network of climate observing systems
24 currently has multiple deficiencies including:
25

- 26 • Spatial heterogeneity and sampling biases among global observing networks
- 27 • Poor reporting from the GCOS Observing Systems (see Chapter 3 of the *Draft*
28 *Strategic Plan*)
- 29 • Corruption of the U.S. Automated Surface Observing System
- 30 • Corruption of the U.S. Cooperative Weather Observer Network
- 31 • Additional problems with observations for sea level, evapotranspiration, lake levels,
32 etc.

33
34 In addition to existing problems in U.S. and global observing systems, many
35 systems have experienced a decline in station number and/or reporting in recent years,
36 just as the demand for climate information has increased. Examples include:
37

- 38 • The Global Historical Climatology Network (GHCN)
- 39 • The U.S. Historical Climatology Network (USHCN)
- 40 • The Comprehensive Aerological Reference Data Set (CARDS)
- 41 • The Comprehensive Ocean/Atmosphere Data (COADS)
- 42 • Global and U.S. radiosonde networks
- 43 • USGS gauging stations

44
45 These declines have occurred in concert with the proliferation of valuable space-based
46 observing systems, which are capable of more robust measurements of some climate

Comments on Chapter 12

1 variables. Yet space-based systems remain inadequate for addressing many observing
2 duties. Chapter 12 (in conjunction with Chapter 3) of the *Draft Strategic Plan*
3 emphasizes the near-term strategy of the stabilization and maintenance of U.S. and global
4 observing systems (as recommend by the NRC) to address the deficiencies and declines
5 noted above. For example, efforts under the CCRI (Chapter 3) would address time-of-
6 observation biases in observing systems, facilitate repairs of GCOS networks to improve
7 reporting, and provide additional capacity to monitor aerosols, the surface ocean, sea-
8 level, and sea-ice distribution. Meanwhile, Chapter 12 indicates that the GCRP will
9 similarly pursue stabilization of observing systems, address deficiencies, engage in data
10 integration among multiple systems, and pursue timely data reanalysis. This is certainly
11 an appropriate beginning, but it seems clear that over the long-term, more ambitious
12 targets must be set, and a pathway must be laid for reaching those targets. The pursuit of
13 observing systems that provide true global coverage of the oceans, land, and atmosphere
14 will require substantial upgrades and new investment in observing systems. Although the
15 *Draft Strategic Plan* outlines a fairly clear near-term strategy for climate observations,
16 the long-term strategy remains rather vague. Even the table in Chapter 12, which lists
17 CCSP observational priorities, frequently refers to the maintenance and/or continuation
18 of existing observations. However, it seems clear that the current system, even if well
19 maintained, is not sufficient for current or future needs. Given that observations of the
20 climate and other environmental systems is a fundamental requirement for climate
21 change research, it would seem prudent to develop a long-term strategy for investment in
22 and development of observing systems.

23
24 The climate change community has historically acted as a ‘free-rider’ with respect to
25 climate observing systems. Many observing systems were not developed for long-term
26 monitoring of the global climate and are, in fact, inadequate for this task. Conflicts over
27 funding and research priorities further challenge the stability of observing systems for
28 climate change research. The climate change community clearly needs stable observing
29 platforms that can be utilized for long-term climate monitoring without risk of program
30 interruption. If dedicated systems cannot be acquired, more thought needs to be given to
31 the balanced use of existing assets to ensure they are used to address multiple research
32 priorities in an efficient manner. These issues are raised in the *Draft Strategic Plan*, but
33 it is unclear the extent to which they will or can be addressed.

34
35 There is a need for enhanced observing systems for both ecosystems and public health
36 (see 2001 NRC report *Under the Weather*, as well as Chapters 3 and 10 of the *Draft*
37 *Strategic Plan*). The United States has a long-history of ecological monitoring, but
38 efforts have rarely been truly national or global in scale, and long-term responses to
39 climate are seldom the major consideration of existing monitoring programs (with the
40 notable exception of the Long-Term Ecological Research program). The observation
41 priorities identified in Chapter 12 for ecosystems appear to simply be supportive of
42 carbon cycle observations, such as the observation of changes in primary productivity
43 (via remote sensing) and forest measurements. This is a fairly limited set of observations
44 with respect to ecosystems that does not reflect the informational needs raised in
45 Chapters 3 and 10 (e.g., observation systems for ecological indicators). Similarly there
46 appear to be no observation priorities for public health listed in Chapter 12, although such

Comments on Chapter 12

1 needs have been identified by the NRC (i.e., *Under the Weather*) and are mentioned in
2 Chapter 11 as well. Granted, the establishment and maintenance of long-term ecological
3 and public health observing networks to assess potential responses to climate and
4 environmental change represents a significant undertaking. As with many of the
5 challenges faced by U.S. climate change research infrastructure, the question is whether
6 or not the CCSP has the resources and funding available to make meaningful gains in this
7 area (see comments re: Chapter 15).

8 **VICKI ARROYO AND BENJAMIN PRESTON, PEW CENTER ON**
9 **GLOBAL CLIMATE CHANGE**

10
11 Page 132, Line 2: **Insert text** "... observations from instrumental, satellite, and
12 paleoclimatic sources."

13 **U.S. CLIVAR SCIENTIFIC STEERING COMMITTEE**

14
15 Page 132, Lines 12-22: The second paragraph on page 132 (lines 12-22) is vague in its
16 intent. It is not clear what the "high-quality, global data" referred to in this paragraph
17 are. It certainly cannot be documenting atmospheric trends, because all of the satellite
18 measurements mentioned here may have global coverage but are weak on long-term
19 trends. Only by conducting high-precision, accurate, globally dispersed, ground-based
20 measurements can we obtain reliable temporal trends of the most important gases driving
21 climate change. Long-term, *in situ* measurements of CO₂ and other greenhouse gases are
22 the ultimate descriptors of the driving force behind much of the change observed today.
23 The maintenance and quality control of these measurements should be at the top of the
24 list for Observational Priorities. [*Butler 303-497-6898 – Dutton, Hofmann, Ogren,*
25 *Schnell, Tans; NOAA/CMDL*]

26 **NOAA/CMDL**

27
28 Page 132, lines 14-19: The report lists a number of existing ocean-oriented observing
29 systems, both satellite-based (Topex, QuikScat, SeaWifs) and in-situ (TAO, Argo), but
30 the list of "remaining challenges" beginning in line 24 does not mention any presently
31 unmet oceanic data requirements. I propose to add satellites capable of measuring surface
32 salinity as one specific observing system that will fill important data needs. Making SSS
33 measurements from space probably qualifies as a Grand Challenge.

34 **RAINER BLECK, LOS ALAMOS NATIONAL LABORATORY**

35
36 Page 132, lines 16-17: The phrase "are not far behind" is a bit strange—what does this
37 mean?

38 **MICHAEL MACCRACKEN, LLNL (RETIRED)**

39
40 Page 132, lines 18-21: Add the World Ocean Circulation Experiment (WOCE) to the list.

41 **ROBERT MALONE, LOS ALAMOS NATIONAL LABORATORY**

42
43 Page 132, line 20, the DOE Atmospheric Radiation Measurement (ARM) program should
44 be mentioned. What is missing from the observational priorities is an emphasis on
45 improving theory through the use of the observational data. We are currently inundated
46 with data that is not able being adequately analyzed and used to improve the science. The

Comments on Chapter 12

1 ARM program was specifically designed to improve the radiation theory and modeling.
2 A much stronger program to assimilate data may require an operational emphasis within
3 the CCRI as well as feeds from the observational program of the USGCRP.

4 **JOHN DRAKE, OAK RIDGE NATIONAL LABORATORY**

5
6 Page 132, Line 22: **Add to end of paragraph** “The global database of paleoclimate
7 information has expanded and focused on questions critical to global change, including
8 abrupt change, the past millennium of global temperature, and ENSO variability.”

9 **U.S. CLIVAR SCIENTIFIC STEERING COMMITTEE**

10
11 Page 132, line 24-40, The description of the observational program is reasonably
12 complete.

13 **DAVID ERICKSON, OAK RIDGE NATIONAL LABORATORY**

14
15 Page 132, Line 26: **Add to bulleted section:**

16 • Complete the characterization of decade to century scale climate variability and abrupt
17 climate change during the current interglacial (last 10,000 years), including mechanisms.

18 **U.S. CLIVAR SCIENTIFIC STEERING COMMITTEE**

19
20 Page 132, Line 30: “Implementation of a global, comprehensive, integrated, quality-
21 controlled network of paleoclimatic data -- a Global Paleoclimatic Observing System --
22 to provide a multi-century record of natural variability against which monitoring data
23 may be compared.”

24 **C. MARK EAKIN, NOAA/NATIONAL CLIMATIC DATA CENTER**

25
26 Page 132, line 34-35 – A point well taken and extremely important to observational
27 systems. I might expand a little to bring home the point that the systems is not fixed and
28 that we should anticipate change.

29 **MIKE FARRELL, OAK RIDGE NATIONAL LABORATORY**

30
31 Page 132, line 34 Bullet needs to be rephrased.

32 **JOHN DRAKE, OAK RIDGE NATIONAL LABORATORY**

33
34 Page 132, lines 34-35: This is really vague and not an advance over what the program has
35 done in the past.

36 **MICHAEL MACCRACKEN, LLNL (RETIRED)**

37
38 Page 133, Line 1: page 133, line 1 How will explorer-class satellites help? This is
39 obviously a programmatic need from NASA. Three-five year missions will answer some
40 questions but few of these will help with the climate problem. We need more long-term
41 systematic measurements where we can resolve the inherent climate variability.

42 **MARK R. ABBOTT, OREGON STATE UNIVERSITY**

43
44 Page 133, Bullet on line 6-7 append:

45 observing systems and underlying measurement science and physical and chemical data
46 infrastructure.

Comments on Chapter 12

1 **NIST, HRATCH SEMERJIAN**

2
3 Page 133, line 15: **(46-E)** Another verb: “ A range...is identified...”

4 **HP HANSON, LANL**

5
6 Page 133, line 19. between end and start of sentence insert new sentence:
7 Also, measurement reliability, accuracy, validation, and long-term continuity are
8 paramount, necessitating careful attention to instrument or sensor characterization,
9 calibration, and stability.

10 **NIST, HRATCH SEMERJIAN**

11
12 Page 133, line 25: add the following text: “Unlike weather prediction models, which can
13 be evaluated and validated by comparing predictions with what is actually observed to
14 happen a short time later, climate models cannot be evaluated effectively through
15 predictions of future climate. Therefore, it is important to have good ‘observations’ of
16 past conditions with which to initialize, force, and ultimately validate climate models.
17 This can be done either for the recent past, for which some historical data may be
18 available, or for the distance past – paleoclimate. While this subject may not belong
19 under ‘Observations’ because very different methods must be used to extract information
20 about past climatic conditions, it is important nonetheless that attention be drawn to the
21 need for reliable data on past climates.”

22 **ROBERT MALONE, LOS ALAMOS NATIONAL LABORATORY**

23
24 Page 134, Are the topics in the box (ie. the Chapter title) prioritized in some way? This
25 needs to be made clearer.

26 **DAVID ERICKSON, OAK RIDGE NATIONAL LABORATORY**

27
28 Page 134: Develop and maintain the continuity and consistency of climate-quality
29 observations of atmospheric temperature, water vapor, and clouds by operational
30 environmental satellites.

31
32 Special attention should be given to microwave mid and upper tropospheric water vapor
33 satellites like SSM/T2. This data is under-utilized, hard to get at and the analysis of the
34 data is woefully underfunded.

35 **RAYMOND PIERREHUMBERT, THE UNIVERSITY OF CHICAGO**

36
37 Page 134, the observational priorities for atmospheric composition and water cycle need
38 to stress the common link with radiation and clouds through the “moist physics.” On
39 land use priorities there is a need to address regional level surveys of land use change and
40 what the drivers are behind it. We need to separate human induced and natural variability
41 in the observational record on the regional scale. This will require assembly of historical
42 records and inventories as well as flux measurement on a regional scale.

43 **JOHN DRAKE, OAK RIDGE NATIONAL LABORATORY**

44
45 Page 134, Chapter 6: Depth of penetrating radiation

Comments on Chapter 12

1 **ANTONIO J. BUSALACCHI, EARTH SYSTEM SCIENCE**
2 **INTERDISCIPLINARY CENTER (ESSIC), U. MARYLAND**

3
4 Page 134, Chapter 6: Climate Variability in Change This chapter should explicitly state
5 how paleoclimate data (from tree rings, ice cores, ocean and lake sediments) can
6 contribute to understanding natural climate variability on decade to century time scales,
7 and explicitly suggest a cross agency research initiative. The paleoclimate community
8 has done a wonderful job demonstrating proof of concept, showing that these records can
9 contribute to the key climate questions. Despite the readiness of the research community,
10 the funding has fallen short of what is needed. Compared to other, expensive aspects, a
11 small investment here can have a big impact.

12 **DAVID ANDERSON, NOAA PALEOCLIMATOLOGY PROGRAM**

13
14 Page 134, Chapter 6 bullet # 1: And imodern and pre-instrumental to read iÖ modern
15 and pre-instrumental observations of temperatureÖi.

16 **C. MARK EAKIN, NOAA/NATIONAL CLIMATIC DATA CENTER**

17
18 Page 134, Box: **Add to bullets under “Chapter 6” heading:**

- 19 • Expanding and improving the database of paleoclimate information relevant to climate
20 change over the coming century, particularly those that address key uncertainties such as
21 abrupt change, ENSO, extreme events, and climate sensitivity.
- 22 • Develop improved linkages between instrumental and satellite observation networks
23 and paleoclimatic reconstructions, so that quantities important for paleoclimate
24 calibrations are routinely measured.

25 **U.S. CLIVAR SCIENTIFIC STEERING COMMITTEE**

26
27 Page 134-135, Table. Recommend priorities also include developing enhanced
28 measurement techniques specifically focused on climate change.

29 **DEPARTMENT OF TRANSPORTATION, LAWSON**

30
31 Pages 134-135: There are no observational priorities noted here for Human Contributions
32 and Responses. To some extent this absence reinforces my suspicions regarding the
33 solipsism of the chapter, but nonetheless human health certainly has observational
34 requirements!

35 **CALIFORNIA RESOURCES AGENCY**

36
37 Page 134: Observational Priorities: The same issues pertain to this summary section as I
38 mentioned in previous comments on the inadequacies of in situ atmospheric profile
39 observations.

40 **DIAN SEIDEL, NOAA AIR RESOURCES LABORATORY (R/ARL)**

41
42 Page 134-35, "observational priorities" boxes: Strangely enough, there is no mention of
43 oceanic freshwater observing needs under "Water Cycle". Under "Climate Variability", I
44 would add monitoring (via deep hydrography) the strength of the Atlantic Conveyor Belt.
45 Jochem Marotzke is proposing to get into this business with a repeat zonal section at

Comments on Chapter 12

1 26.5N. Sounds like an excellent idea. Another Grand Challenge, at least money-wise!
2 (See also p. 137, line 16, and p. 139, line 4.)

3 **RAINER BLECK, LOS ALAMOS NATIONAL LABORATORY**

4
5 Page 135, line 2. What is the specific NRC reference?

6 **DEPARTMENT OF TRANSPORTATION, LAWSON**

7
8 Page 135, end of box: The current draft of Chapter 11 calls for field studies for its
9 Questions 2 and 4.

10 **ANN FISHER, PENN STATE UNIVERSITY**

11
12 Page 135, lines 2-4: The NRC provided this list as a way of organizing the research effort
13 (and I was there and really was the one proposing they do something like this). This does
14 not mean that these are the only attributes that are especially important for society.
15 Things like humidity and heat index, air and water quality, presence of snow and sea ice,
16 and lots more variables are also important, depending on the situation. The text should be
17 generalized to recognize this.

18 **MICHAEL MACCRACKEN, LLNL (RETIRED)**

19
20 Page 135, line 2-13 – This point should be made elsewhere and not rehashed here. Delete
21 lines.

22 **MIKE FARRELL, OAK RIDGE NATIONAL LABORATORY**

23
24 Page 135, line 8: **(47-ES)** “Variables” here might be another opportunity to use “climate
25 elements – although because it refers to the previous list, which includes ecosystems, this
26 needs careful consideration. It’s just that “variables” is weak.

27 **HP HANSON, LANL**

28
29 Page 135, Line 11, The assessment of the ‘vulnerability and resilience’ to change is
30 important, but actions to decrease the level and rate of climate change would also be
31 beneficial.

32 **DAVID ERICKSON, OAK RIDGE NATIONAL LABORATORY**

33
34 Page 136, Are the topics called out in the box already prioritized?

35 **DAVID ERICKSON, OAK RIDGE NATIONAL LABORATORY**

36
37 Page 136: Readiness to be used is a criterion I would add. Does the information have
38 application for a given sector or place? Social readiness is not the same as scientific
39 readiness, and deserves its own consideration since work might be important for one or
40 the other, or both. With better cooperation and co-development of the understanding of
41 what is used and useful for a given case, readiness for use can be understood far more
42 than it is presently. There may be a lot of pleasant surprises waiting, when we know
43 what people can use and find that we can supply it.

44 **WIENER, INDIVIDUAL COMMENTATOR**

45
46 Page 136, line 2, comment:

Comments on Chapter 12

1 The use of the word accuracy is confusing since for long-term measurements precision is
2 often more critical.

3
4 Changing “accuracy” to “accuracy and precision” would be better.

5 **NIST, HRATCH SEMERJIAN**

6
7 Page 136, line 4, modify as below:

8 Careful calibration against accepted national and international standards and
9 overlapping....

10 **NIST, HRATCH SEMERJIAN**

11
12 Page 136, Table. Recommend the extent to which a program enhances the workforce
13 focused on climate change research also be included as a criterion.

14 **DEPARTMENT OF TRANSPORTATION, LAWSON**

15
16 Page 136, line 6ff: Is the order of these important. If so, benefit to society should be first!

17 **MICHAEL MACCRACKEN, LLNL (RETIRED)**

18
19 Page 136, lines 8-14 – Good point but what should we do. Need a sentence or two here
20 describing the needed tasks.

21 **MIKE FARRELL, OAK RIDGE NATIONAL LABORATORY**

22
23 Page 136, lines 10-11: **(48-E)** Non-parallel construction. Change line 11 to read “...rather
24 than creating new systems.”

25 **HP HANSON, LANL**

26
27 Page 136, line 13: Is it clear that doing something in an operational sense always is more
28 cost effective?

29 **MICHAEL MACCRACKEN, LLNL (RETIRED)**

30
31 Page 137, Line 10: **Add paragraph:**

32 “A fundamental challenge to the observational program required to understand global
33 change is that instrumental and satellite records span short time scales relative to the
34 needs of the program. An observational program for global change must provide
35 information on decade-century modes of variability, the likelihood of occurrence of
36 abrupt changes, the relationship of extreme events to changes in mean state, and the
37 sensitivity of climate elements and effects to changing forcings. Paleoclimate
38 observations address these core questions over the seasonal-century time scales most
39 relevant to global change, and need to be integrated into a global climate observing
40 system. Collecting this information is particularly urgent in many cases where rare
41 annually resolved records are under imminent threat of destruction. Old-growth trees,
42 long-lived corals, and high-elevation glaciers are dying and disappearing rapidly; rescue
43 sampling in climatically important regions should be a high priority.”

44 **U.S. CLIVAR SCIENTIFIC STEERING COMMITTEE**

45

Comments on Chapter 12

1 Page, 137, line 11-19 – A very good and important point presented without substance.
2 What countries? What programs? What assistance is needed? What do we want to see
3 happen?

4 **MIKE FARRELL, OAK RIDGE NATIONAL LABORATORY**

5

6 Page 137, lines 12-19. Agree with the importance of engaging the international
7 community. However, I do not believe the strategy addressed How to engage the
8 international scientific community in the program. Not obvious what their role is in the
9 overall strategy.

10 **DEPARTMENT OF TRANSPORTATION, LAWSON**

11

12 Page 137, Lines 20-29: Since at least four of the main chapters (water, carbon, land cover
13 and ecosystems) cover topics within which both states and regional organization have an
14 operational presence, the program should include a bullet regarding collaboration with
15 those entities.

16 **CALIFORNIA RESOURCES AGENCY**

17

18 Page 137, line 22: **(49-E)** A non-italicized “in situ” – all others I’ve seen are italicized
19 (although I may have missed some other roman ones).

20 **HP HANSON, LANL**

21

22 Page 137, lines 28-29. How are the near term objectives to be accomplished? Need
23 specificity to implement.

24 **DEPARTMENT OF TRANSPORTATION, LAWSON**

25

26 Page 137, lines 30-39: The plan needs to be more specific about which observations need
27 “stabilization” and which need “improvements”. Reasonable people might disagree on
28 this, and it makes a lot of difference in our ability to monitor the climate system.

29 **DIAN SEIDEL, NOAA AIR RESOURCES LABORATORY (R/ARL)**

30

31 Page 137, Line 35: Add iproxy records of pre-instrumental climate.

32 **C. MARK EAKIN, NOAA/NATIONAL CLIMATIC DATA CENTER**

33

34 Page 137, line 40ff: **(50-S)** Here is a place where the suggestion above in comment 15
35 will pay off. The differing needs of a NWP initialization network (the “operational”
36 observations) make the augmentation discussed in this bullet necessary for climate
37 purposes. Leveraging climate benefits from NWP investments, however, is clearly
38 desirable and can be emphasized more fully in the document, here and with respect to
39 comment 15.

40 **HP HANSON, LANL**

41

42 Page 138: The major omission in the modeling section is something dealing with high-
43 end climate modeling. This deserves a section to itself to balance the overly detailed
44 discussion of the following Information Management section. There is nothing on the
45 development of new methods that provide the building blocks of models or the

Comments on Chapter 12

1 investigation of new processes and feedbacks. In other words, the statement on p. 138,
2 line 31 needs to be elaborated.

3 **JOHN DRAKE, OAK RIDGE NATIONAL LABORATORY**

4
5 Page 138: Modeling is one of the most important components of the CCSP. 20
6 As I noted in an earlier comment, it is not enough to focus on high-end computer
7 modelling using existing styles of Fortran codes at a few national centers. It is equally
8 important to fund a diversity of centers at universities, and to foster innovation in model
9 flexibility by finding ways to accelerate the adoption of modern software engineering
10 techniques in the climate science community.

11 **RAYMOND PIERREHUMBERT, THE UNIVERSITY OF CHICAGO**

12
13 Page 138: The current organizational structure of the US modeling effort has not fully
14 supported the product- 33 driven modeling that 34

15
16 True, but in providing more support for product-driven modelling we must be careful not
17 to destroy scientific innovation and the capability for tackling interesting and novel
18 scientific questions. Many researchers in European modelling centers have complained
19 about product-driven modelling stifling potentially more productive scientific research.

20 **RAYMOND PIERREHUMBERT, THE UNIVERSITY OF CHICAGO**

21
22 Page 138-143: The *Draft Strategic Plan* outlines a very ambitious plan for expanding
23 modeling capability for climate and global change research. Although a broad range of
24 research endeavors are identified that would undoubtedly improve the quality of climate
25 change modeling, it seems questionable whether or not the CCSP will be able to achieve
26 significant expansion of the modeling workload. The support for the high-end climate
27 modeling centers under the CCRI (Chapter 4) is noted, yet these are still only two centers
28 that have other responsibilities than to the CCSP. Also, the *Draft Strategic Plan* does not
29 indicate whether the short-term support of the GFDL and CCSM modeling centers is
30 sufficient to address the long-term needs of the U.S. climate change research community.

31
32 Many of the modeling efforts outlined in Chapter 12 are unrelated to GCMs, such as
33 models for subsurface hydrology, land use projections, carbon cycle, ecosystem
34 responses, and integrated assessment. It doesn't appear that the enhancement of
35 modeling capabilities outlined in Chapter 4 for the CCRI includes support for these other
36 modeling needs. Presumably facilitating all of these efforts would necessitate a
37 significant ramping up of environmental modeling in general. The budget for the CCSP,
38 at least over the near term, would not appear to deviate significantly from the past budget
39 of the GCRP, save the additional \$40 million dedicated to the CCRI activities (see
40 comments re: Chapter 15), which may in fact be diverted from the GCRP and therefore
41 not represent new funds. Given the multitude of modeling priorities outlined for the
42 CCRI and the GCRP, it is questionable that sufficient resources will be available to truly
43 fulfill the broad range of modeling needs proposed in the *Draft Strategic Plan*. As with
44 the observational priorities, it would be helpful to have some reasonable indication of
45 which of these priorities will actually be achieved and when.

46

Comments on Chapter 12

1 As noted in our comments on Chapter 4 of the *Draft Strategic Plan*, the characteristics of
2 the two high-end climate models that have been selected for CCSP support raise
3 questions as to whether they are sufficient for generating a comprehensive understanding
4 of climate change and its implications. The extreme climate sensitivities of the GFDL
5 and CCSM GCMs prevent their outputs from being representative of the maximum
6 likelihood response of the climate system to various forcings (natural and anthropogenic).
7 Although it is undoubtedly important to understand the range of uncertainty associated
8 with climate projections, it would seem to be prudent to support a model that is capable
9 of representing the central tendency of the climate system.

10 **VICKI ARROYO AND BENJAMIN PRESTON, PEW CENTER ON**
11 **GLOBAL CLIMATE CHANGE**

12
13 Page 138, The high end computational resources for integrated fully coupled
14 biogeochemically complete climate predictions are Grand Challenge.

15 **DAVID ERICKSON, OAK RIDGE NATIONAL LABORATORY**

16
17 Page 138, Line 16: **Add to bullets:**

18 • Identify and develop paleoclimate resources that are in imminent danger of loss, and
19 that are likely to provide significant information on key climate systems and questions.

20 **U.S. CLIVAR SCIENTIFIC STEERING COMMITTEE**

21
22 Page 138, line 20ff: It is not clear how this effort is coupled to the CCRI effort.

23 **MICHAEL MACCRACKEN, LLNL (RETIRED)**

24
25 Page 138, line 33 that the current organizational structure of the US modeling effort has
26 not supported product-driven modeling. This will be important to establish a national
27 strategy and to address mitigation questions in a consistent manner. The Grand part of
28 the challenges we face makes it impossible for individual groups to address.

29 **JOHN DRAKE, OAK RIDGE NATIONAL LABORATORY**

30
31 Page 138, lines 33-35: NESCAUM strongly supports the observation that the U.S.
32 modeling effort to date “has not fully supported the product-driven modeling that is
33 especially important for making climate model information more usable and applicable to
34 the broader global change research community,” and reiterates the need for products to
35 support regional efforts by states to address climate change.

36 **KENNETH A. COLBURN, NORTHEAST STATES FOR COORDINATED**
37 **AIR USE MANAGEMENT (NESCAUM).**

38
39 Pages 139-140: This chapter reaches the same conclusions as Chapter 4 regarding the
40 need for two distinct modeling efforts, one research-oriented with “perhaps hundreds of
41 external contributors” and the other a “quasi-operational” modeling facility capable of
42 producing high quality results as needed by the assessment community. However, for
43 some reason, Chapter 12 stops short of identifying the two efforts as the NCAR
44 Community Climate System Model (CCSM) and the GFDL climate model, respectively,
45 as Chapter 4 does. The reason for this omission is unclear. Hopefully this does not

Comments on Chapter 12

1 indicate that the CCRI (Chapter 4) and the USGCRP (Chapter 12) are going to end up
2 with divergent approaches to modeling.

3 **ROBERT MALONE, LOS ALAMOS NATIONAL LABORATORY**

4
5 Page 139, line 3: **(S1-S)** I think I understand that “dedicated capability” as used here
6 means “big supercomputers,” but I’m not certain – others may be even less certain. If it
7 does mean this, I’d add “computational” before capability. (If it doesn’t, what does it
8 mean?)

9 **HP HANSON, LANL**

10
11 Page 139, line 5 Modeling Priorities:

12 Add: The impacts of climate change have the most relevance if they are assessed on a
13 regional basis. High-resolution regional coupled models are available now and have been
14 used successfully in regional impact assessments but should be developed further and
15 used.

16 **WELLER, ET AL, UNIVERSITY OF ALASKA FAIRBANKS**

17
18 Page 139, line 6, The role of feedbacks in the climate system needs to be made stronger
19 here.

20 **DAVID ERICKSON, OAK RIDGE NATIONAL LABORATORY**

21
22 Page 139, line 6: Does “in the next decade” mean after 2010?

23 **MICHAEL MACCRACKEN, LLNL (RETIRED)**

24
25 Page 139, lines 9-16: As discussed above, NESCAUM strongly supports the proposed
26 focus on improving climate modeling at the regional level, especially for predictive
27 applications. Nothing the CCSP can do is more important.

28 **KENNETH A. COLBURN, NORTHEAST STATES FOR COORDINATED**
29 **AIR USE MANAGEMENT (NESCAUM).**

30
31 Page 139, Lines 10-12: While we completely concur with the conclusion that modeling
32 needs are quite pronounced at the regional scales where decisions are actually made, we
33 see no details thereafter about how CCSP will meet that important need. In fact this
34 section of the chapter lacks any discussion about implementation. We suggest that the
35 CCSP bring regional collaboration and provision of expanded computing facilities to the
36 fore in its implementation.

37 **CALIFORNIA RESOURCES AGENCY**

38
39 Page 139, Line 19: "on demand" - Who determines this?

40 **RONALD STOFFER, GFDL/NOAA**

41
42 Page 139, line 19-43 and lines 1-12 on page 140 –Jargon is being used to describe a fairly
43 straightforward thought, i.e., line 27 “product driven”, “line 35 “quasi-operational”, line
44 20 on page 139 and line 1 on page 140 “discovery-driven research”. I think a reader
45 would be most appreciative of language that is void of jargon. It distracts from the very
46 good points that are being made.

Comments on Chapter 12

1 **MIKE FARRELL, OAK RIDGE NATIONAL LABORATORY**

2
3 Page 139, lines 23-43: As mentioned later and in previous chapters, the research
4 component of this strategy will rely on a supported software framework and agreements
5 on Interfaces to be able to incorporate new research codes/packages. However, care must
6 be taken to also support new methods and algorithms that may require more drastic
7 changes in model design.

8 **PHILIP JONES, LOS ALAMOS NATIONAL LABORATORY**

9
10 Page 139, line 25: “knowledge” is really only useful when it has been well tested—one
11 has to be careful in the transfer of information into a model.

12 **MICHAEL MACCRACKEN, LLNL (RETIRED)**

13
14 Page 139, line 27, The model products are made available to the larger community. For
15 example the PCM and CCSM model out put is available to anyone who wants it.

16 **DAVID ERICKSON, OAK RIDGE NATIONAL LABORATORY**

17
18 Page 139, Line 33-43: What is really be described here? Who determines the demand? If
19 the runs make no sense, this could waste lots of people and computer time. It is very
20 difficult to seperate the signal from the noise for small differences in forcing.

21 **RONALD STOUFFER, GFDL/NOAA**

22
23 Page 139, line 33: Need to change “prediction” to “simulation”

24 **MICHAEL MACCRACKEN, LLNL (RETIRED)**

25
26 Page 139, lines 33-35: There is no discussion about which model or models the
27 operational entity might run. It is really essential that the US not consider any single
28 model “the answer” but consider what it is doing as part of the international effort to
29 create a consensus of model results. Choosing one model and suggesting it is right would
30 be very unscientific.

31 **MICHAEL MACCRACKEN, LLNL (RETIRED)**

32
33 Page 140, lines 5-9: As mentioned here, a common software framework will be required
34 for the strategies outlined in previous paragraphs. While this paragraph mentions
35 substantial and continuing investment in hardware, it is equally important that substantial
36 and continuing investment in the software framework and standards development exists.

37 **PHILIP JONES, LOS ALAMOS NATIONAL LABORATORY**

38
39 Page 140, line 5ff: There needs to be a link discussed to the broader set of modeling
40 groups (GISS, CSU, UCLA, etc.).

41 **MICHAEL MACCRACKEN, LLNL (RETIRED)**

42
43 Page 140, line 6: In connection with the “common modeling framework”, it should be
44 mentioned that the NASA High-Performance Computing and Communication program is
45 funding a three-year project to develop and deploy an Earth System Modeling
46 Framework (ESMF). ESMF is a multi-agency, multi-institution effort based at NCAR. If

Comments on Chapter 12

1 ESMF reaches its goals, it will be adopted in all the major US climate models and
2 component models, making interchange of component models and even subcomponents
3 of models easy.

4 **ROBERT MALONE, LOS ALAMOS NATIONAL LABORATORY**

5
6 Page 140, Line 11: Typo

7 **JOHN DRAKE, OAK RIDGE NATIONAL LABORATORY**

8
9 Page 141: The only ocean work asked for (relative to oceans and Chapter 6) is:
10 “Models of the full three-dimensional circulation of the global ocean.”

11
12 This statement is confusing and mis-leading. We have models of the three-dimensional
13 circulation of the global ocean in many forms and can run these for many thousands of
14 years of simulation. By “full” do we mean “eddy-resolving”? If so, then why not say so?
15 Some have suggested that full includes representation of every physical process in
16 complete detail – but this is impossible without resolving the molecular level (and even
17 then some other purist would disagree).

18
19 The problem here is defining the spatial and time scales that should be resolved to
20 provide a solution that has comparable uncertainty to the AGCM to which it is coupled.
21 No matter how fine a resolution OCGM we run, the climate problem requires coupled
22 models. I have always considered that the ocean component must require between 50
23 and 200% of the time required by the AGCM (certainly on the same order of magnitude).
24 If we increase computing power by 100,000-fold, we can increase resolution by a factor
25 of 10 and increase the number of ensemble members (or length of runs) by 10. While
26 welcome, these changes are not revolutionary – we will still recognize the models and
27 techniques, and we will still not be accurately representing the dynamics of each eddy
28 and filament, let alone the diapycnal mixing processes associated with internal wave
29 variability, interaction with topography, deep density currents, etc.

30 **U.S. CLIVAR SCIENTIFIC STEERING COMMITTEE**

31
32 Page 141, Line 1: Chapt. 12, Section 3 "Data and Information Management", paragraph
33 2, opening sentence:

34 "This vision can only be achieved by harnessing advanced technologies ..." is misleading
35 and would be better stated as

36 "This vision can only be achieved by effective use of technologies and a commitment to
37 evolve the solutions as the infrastructure of the Internet evolves."

38 **Steve Hankin, NOAA/PMEL**

39
40 Pages 141-143, Table. Need to ensure the economic portions of the models are pursued
41 in all areas – this should ensure the focus on product driven models.

42 **DEPARTMENT OF TRANSPORTATION, LAWSON**

43
44 Page 141, "modeling priorities" box: I am suspicious of the new cloud parameterizations
45 that are supposed to result from the use of cloud resolving models and field studies. The

Comments on Chapter 12

1 weather services have worked on this problem for years and have elevated convective
2 cloud parameterization in mesoscale models to an art form. It may not be wise to promise
3 something "new" in this area.

4 **RAINER BLECK, LOS ALAMOS NATIONAL LABORATORY**

5
6 Page 141: In the Climate variability priorities on p 141, I'd suggest the need to
7 understand regional variability and to link this with the development of high resolution
8 atmospheric models capable of simulating extreme events as related to climate. Two
9 other key priorities I do not see mentioned are the improved modeling of boundary layer
10 interactions with clouds and the elimination of model bias.

11 **JOHN DRAKE, OAK RIDGE NATIONAL LABORATORY**

12
13 Page 141, Box (that continues onto following pages): **(52-E)** It would make this easier to
14 read if all of the bullets in all of the headings were constructed in parallel. As it stands,
15 the atmospheric composition priorities are activities ("carry out" etc.) while the climate
16 variability ones and all the others are product-like ("estimates", "predictions",
17 "knowledge", etc.). This is a minor word-smithing exercise for someone.

18 **HP HANSON, LANL**

19
20 Page 141,: Dust deposition models, role of data assimilation, ensemble and probabilistic
21 forecasts, reanalyses, study of extreme events.

22 **ANTONIO J. BUSALACCHI, EARTH SYSTEM SCIENCE**
23 **INTERDISCIPLINARY CENTER (ESSIC), U. MARYLAND**

24
25 Page 141: The bulleted item under "Climate Variability and Change" (Chapter 6) that
26 lists "Models of the full three-dimensional circulation of the global ocean" as a priority is
27 misleading. Virtually all present-day coupled climate models employ three-dimensional
28 ocean general circulation models. Certainly the NCAR and GFDL models do.

29 **ROBERT MALONE, LOS ALAMOS NATIONAL LABORATORY**

30
31 Page 141, line 1: In the fifth bullet of the second item (and elsewhere), it needs to be
32 indicated that, of course, uncertainties will still exist—there will still be uncertainties.

33 **MICHAEL MACCRACKEN, LLNL (RETIRED)**

34
35 Page 142, the land use priorities I would suggest that modeling land use change and
36 ecosystem adaptation to climate change through the use of interactive land models is a
37 priority.

38 **JOHN DRAKE, OAK RIDGE NATIONAL LABORATORY**

39
40 Pages 142-143: the carry-over item is a case of abstraction to excess, for example of my
41 complaints. This calls for about half the university library.

42 **WIENER, INDIVIDUAL COMMENTATOR**

43
44 Page 142 et seq: The missing issue here is the potential great benefit from specification
45 of metadata standards to assure usefulness of archived data sets, and comparability. The
46 need is not to impose standards and exclude information, but to make it very easy to use

Comments on Chapter 12

1 good judgement on how anyone should or should not use a given set of data. This is not
2 a recommendation of control or editing, but rather a recommendation of pressure to
3 expose and pressure to explain.

4 **WIENER, INDIVIDUAL COMMENTATOR**

5
6 Page 143-146: The *Draft Strategic Plan* articulates an interesting vision for data
7 management and access, but a review of the deliverables indicates that this vision will
8 remain unfulfilled at least over the near future. This is unfortunate, particularly since
9 Chapter 12 indicates that the continual emergence of advanced technologies for
10 information management could potentially enable innovative approaches to climate
11 change data management. The only near-term deliverables appear to be the development
12 of metadata for climate data sets, the potential for data portals to enhance data access
13 (with actual development dependent on funding), data rescue and stewardship, data
14 integration, and the development of a more robust multi-agency data management
15 network. A number of comments in Chapter 12 indicate that the CCSP has yet to
16 determine what improvements in data management it expects to implement over the long-
17 term. Granted, we recognize that advanced data management and information delivery
18 tools may appear to a certain extent to be luxury items, subordinate to the needs of basic
19 research. However, a sub-standard data management infrastructure ultimately
20 compromises both climate observation and climate modeling efforts.

21
22 We can make several suggestions for the enhancement of data access and management.
23 Chapter 12 indicates that data will be housed in multiple locations. This, however, is
24 clearly a problem with respect to enhancing data access. If individuals are to spend more
25 time viewing and working with data and less time looking for data, then a centralized
26 repository is necessary, or at least a well-maintained central portal. Furthermore, data
27 management must include not only climate data sets (inputs), but also the results of
28 modeling efforts (outputs) if the needs of multiple stakeholders are to be satisfied.
29 Accordingly, data needs to be made available in different forms, as the needs of a climate
30 researcher are different from those of a resource manager, which are different from those
31 of a national decision-maker.

32 **VICKI ARROYO AND BENJAMIN PRESTON, PEW CENTER ON**
33 **GLOBAL CLIMATE CHANGE**

34
35 Page 143, line 1ff: **(53-S)** No changes suggested here; rather a comment: When I first saw
36 that there was a “data management” grand challenge section, I was cynical (“Oh, yeah,
37 the data crowd got their licks in...”), but this is exceptional. Kudos.

38 **HP HANSON, LANL**

39
40 Page 143 L9 - "sensitivity analysis" - Of what? To what?

41 **RONALD STOUFFER, GFDL/NOAA**

42
43 Page 143L13-14 - "leading to significantly reduced uncertainties in climate projections" -
44 I doubt this will happen on the time scales of this report. See the lack of the reduction in
45 the range of climate sensitivity in 1995 and 2001 reports. The models improved BUT the
46 range did not reduce.

Comments on Chapter 12

1 **Ronald Stouffer, GFDL/NOAA**

2
3 Page. 143, lines 18-20: An "integrated assessment model" of climate change mitigation
4 options will have to address a large number of issues, some physical and some
5 economical (e.g., what does it cost if we do nothing?). However, demanding that such a
6 model include the "costs of control" may be asking too much. In how many cases can
7 these costs be objectively determined? Why does industry employ an army of lobbyists if
8 these costs are known on a case-by-case basis? I suggest that "costs of control" be
9 stricken from this sentence.

10 **RAINER BLECK, LOS ALAMOS NATIONAL LABORATORY**

11
12 Page 143, Line 22: Data section is very weak. There are a number of efforts underway to
13 improve data distribution schemes. This section needs modified with the efforts of Earth
14 System Grid (ESG), PRISM and NOAA Operational Model Archive and Distribution
15 System (NOMADS). Distributing data to other users is a very difficult and costly task.

16 **Ronald Stouffer, GFDL/NOAA**

17
18 Page 143, line 24-34 – Points made on access are well taken. However, access is only a
19 part of the problems faced by the research community. In fact, it is the interrelated need
20 of access and assured content that is the biggest challenge facing the research and
21 assessment community. Perhaps some thoughts should be included on this interplay
22 between access and content. At a minimum, content should be given equal billing.

23 **MIKE FARRELL, OAK RIDGE NATIONAL LABORATORY**

24
25 Page 143, lines 28-34: This vision of providing a uniform user interface to permit
26 transparent access to diverse data sets resident at multiple centers is a worthy goal. It
27 should be mentioned here that this is already being pursued by the Earth System Grid
28 (ESG) project funded by the DOE Scientific Discovery through Advanced Computing
29 (SciDAC) program.

30 **ROBERT MALONE, LOS ALAMOS NATIONAL LABORATORY**

31
32 Page 143, Line 28: **Data and Information Management**

33 The various ocean and atmospheric research data sets reside in local and agency data
34 bases, with some having entered the Master Environmental Library – in response to
35 similar needs, back in the 1990s. Today's increasing data sources, from satellites, in situ
36 instrumental packages, and now living organisms outfitted with sensors, archival devices,
37 and radio-transmitters, has made data integration a major dilemma – unless some
38 common ground is chosen. Given the rather broader focus of Climate Change Data sets,
39 and their longer-term requirements, it is presumed that all the environmental data sets
40 should be made generally available, and contribute to realistic Climate Records from
41 Globally distributed sources.

42
43 At present there is an over-representation of northern hemisphere, urbanized, or land-use
44 affected historical records. This is at the heart of the controversy over the likely
45 magnitude(s) of Global Change due to natural and anthropogenic sources. Certainly,
46 existing GCMs use pared down, temporal and spatially smoothed data sets, and in that

Comments on Chapter 12

1 sense, provide little of direct use to local, regional decision makers, hence provide little
2 but fodder for debate regarding ‘uncertainty’.

3
4 The near-term research focus of ecological researchers allows reasonably large scale
5 monitoring, using local and regional records, of both physical measures and ecological
6 indicator species. The lengths of some of the local ecological indicator records far exceed
7 those of any instrumental records, and provide widespread insights into the timing and
8 causes of local and regional climate changes. The ongoing enhancements of variably-
9 scaleable GIS data management and integrative data visualization techniques, that allow
10 overlay mapping of time series and an array of observation types, will provide the
11 greatest utility to analysts, and public education efforts. **Continue with line 1 of page**

12 **144.**

13 **GARY D. SHARP, CENTER FOR CLIMATE/OCEAN RESOURCES**
14 **STUDY**

15
16 Page 143, line 30-34 –The vision in on the mark. Wordsmithing and editing is needed.
17 For example, the “CCSP system” is mentioned. What is the CCSP system? Perhaps the
18 vision should be written for users and not for developers.

19 **MIKE FARRELL, OAK RIDGE NATIONAL LABORATORY**

20
21 Page 144, the distinction between model output (data) and observational data should be
22 made explicit. The high-end modeling centers are producing petabytes of output that
23 presents a major IM challenge. The observational stream is also growing rapidly. But
24 the need is not only to increase access and longevity of the data in electronic form, but to
25 develop appropriate analysis tools and products and to make these available.

26 **IN GENERAL, THIS LAST SECTION SHOULD TONE DOWN THE**
27 **DATA GRID EMPHASIS AND TALK MORE ABOUT ASPECTS OF**
28 **CLIMATE DATA ANALYSIS LIKE DETECTION AND ATTRIBUTION,**
29 **FACTOR ANALYSIS, ETC. JOHN DRAKE, OAK RIDGE NATIONAL**
30 **LABORATORY**

31
32 Page 144(?):Several other multi-Agency distributed data access projects have been
33 initiated in the US including the NOAA Operational Model Archive and Distribution
34 System (NOMADS). NOMADS is a grass roots effort that includes some of the Nations
35 top scientists collaborating to access and provide fundamental research on high volume
36 climate data from around the world. This bottom up approach provides format
37 independent access to climate models and observations in heterogeneous formats, and
38 was initiated due to the lack of systematic approaches for data access, climate model
39 evaluation, and for fundamental long term research. In the US today, there exists no
40 long-term archive for climate and weather models. Further, as supercomputers increase
41 the temporal and spatial resolution of models, and demands for on-line access to large-
42 array data increase, current communication technologies and data management
43 techniques are inadequate. It is clear that it is no longer sufficient for any one national
44 center or laboratory to develop its data services alone. Both researchers and policy
45 makers alike now expect our national data assets to be easily accessible and interoperable
46 with each other, regardless of their physical location. As a result, an effective

Comments on Chapter 12

1 interagency distributed data service requires coordination of data infrastructure and
2 management extending beyond traditional organizational boundaries.

3
4 A new paradigm for sharing data among climate and weather modelers is evolving. It
5 takes advantage of the Internet and relatively inexpensive computer hardware. In this
6 new framework, such as the NOMADS, scientists put their data onto a computer on the
7 Internet. Software running on the computer allows outside users to see not only their
8 local data but also data on other computers running this same software. The scientific
9 community is a vast intellectual resource. One that goes largely untapped. Each time a
10 researcher wants to perform a climate or weather model experiment he must develop, or
11 obtain computer software to read the data, re-format if necessary, and then develop
12 highly specialized skills to interpret both the model it self but also the results of his
13 experiment.

14
15 The fundamental issue that this science program seeks to address is how CCRI can
16 organize its distributed climate and weather models and data into a cohesive presence and
17 perform real-time and retrospective climate change detection and model analysis and
18 inter-comparisons.

19 **GLENN K. RUTLEDGE - NOAA/NCDC/SCIENTIFIC SERVICES**
20 **DIVISION**

21
22 Page 144, line 2 – "...interoperability between heterogeneous systems..." Recast in
23 understandable language.

24 **MIKE FARRELL, OAK RIDGE NATIONAL LABORATORY**

25
26 Page 144, line 3: The issue of "quality control/quality assurance" is so important that it
27 deserves more attention in this section.

28 **ROBERT MALONE, LOS ALAMOS NATIONAL LABORATORY**

29
30 Page 144, line 5 and line 39 Is a "common collective" consistent with "distributed data
31 and information system"? I think it is but you may want to make the point that they are
32 consistent with each other.

33 **MIKE FARRELL, OAK RIDGE NATIONAL LABORATORY**

34
35 Page 144, line 7, The distinction between large satellite data generated quantities and
36 large GCM output flows needs to be made clearer.

37 **DAVID ERICKSON, OAK RIDGE NATIONAL LABORATORY**

38
39 Page 144, Line 30: This is not true. The IPCC has provided integrated information to
40 policymakers. Furthermore, policymakers are not going to want to analysis data. It is
41 important to allow free and easy data access. How to provide the data so that it is free and
42 easy to obtain is an active area of research.

43 **RONALD STOUFFER, GFDL/NOAA**

44
45 Page 144, line 33, insert the following:

Comments on Chapter 12

1 This inconsistency in calibration can be eliminated by ensuring that all calibrations are
2 relative to accepted national and international standards preferably tied to the SI system
3 of units and by making needed improvements in sensors stability and in in-flight
4 calibration.

5 **NIST, HRATCH SEMERJIAN**

6
7 Page 144, lines 35-36: This sentence should be indicating that there is a need to avoid this
8 situation—data need to be preserved/archived.

9 **Michael MacCracken, LLNL (retired)**

10
11 Page 145, line 1-8 – What about quality assurance issues that can only be resolved by
12 working with the PI's? This concept should be included.

13 **MIKE FARRELL, OAK RIDGE NATIONAL LABORATORY**

14
15 Page 145, Line 12: RESEARCH NEEDS

16 **Start the sentence with** “Building on the decade-long efforts to create the Master
17 Environmental Library (MEL) system, expand the current data management
18 infrastructure,... Etc.

19 **GARY D. SHARP, CENTER FOR CLIMATE/OCEAN RESOURCES**
20 **STUDY**

21
22 Page 145, lines 12-17: These are noble goals, but will funding be forthcoming to
23 implement them? Scientists involved in data management need to be assured of
24 appropriate rewards and recognition that has often been lacking in the conventional
25 research-based recognition system. The educational system also needs to address training
26 in data analysis and management and related techniques (e.g. GIS and remote sensing).

27 **ROGER BARRY, NSIDC**

28
29 Page 145, line 18-36 – First bullet, the second sub-bullet on identifying and using
30 socioeconomic data that may need to be georeferenced and made compatible on temporal
31 and special time scales puzzles me. How does this link to the leading bullet? The last
32 sub-bullet on including foreign involvement is good but does seem to be consistent with
33 the lead bullet's message of expanding the CCSP. Do we not now work with other
34 international organizations? We do. “Expand “ is not the right concept.

35
36 In the second bullet, what is the “framework’ mentioned?

37
38 In the last two bullets, add implementation actions to expand the activity from
39 identification activities only.

40 **MIKE FARRELL, OAK RIDGE NATIONAL LABORATORY**

41
42 Page 145, Line 29, The exact methods to convey the germane climate science to policy
43 makers needs to be expounded upon.

44 **DAVID ERICKSON, OAK RIDGE NATIONAL LABORATORY**

45

Comments on Chapter 12

1 Page 146, lines 1,3: **(54-ES)** Are “beginning to identify...” and “beginning to solicit”
2 really “products”? Maybe some re-wording can make this less fuzzy. Also, the bullets in
3 this list need to be made parallel.

4 **HP HANSON, LANL**

5
6 Page 146, line 1-11 – In my opinion, the first two bullets are just wrong. There have
7 been innumerable workshops and meetings that have identified users of climate
8 information. The issue is not identification. It is how to develop an R&D program that is
9 response to users other than climate change researchers. Using the word “beginning” to
10 lead these bullets is a red flag in and of itself, especially considering the amount of
11 money invested in the USGCRP over the last 10 years. We are beyond “beginning”, we
12 should be doing something productive.

13
14 The major message of the last bullet is not new and has been documented numerous
15 times before. The challenge is to take this information and figure out how the CCSP will
16 deliver the requested information. For example, the water resources community has
17 consistently requested climate projections at 1 km and very short time steps. We know
18 this now. We knew this in 1985! How we deliver the information is the question.

19 **MIKE FARRELL, OAK RIDGE NATIONAL LABORATORY**

20
21 Page 146 line 3; **Insert:** Begin to trade climate information and solicit the climate
22 information requirements from the users.

23 **GARY D. SHARP, CENTER FOR CLIMATE/OCEAN RESOURCES**
24 **STUDY**

25
26 Page 146, lines 13-23: In addition to adopting standards for metadata and data formats at
27 the various data repositories, it will be necessary to reach agreement on the tools (and
28 user interface) to be used for data extraction, analysis, and visualization. Currently, each
29 site has their own tools for these purposes.

30 **ROBERT MALONE, LOS ALAMOS NATIONAL LABORATORY**

31
32 Page 146, line 18 – Repeating my general comment from above-- the CCSP states that
33 tailored portals will be developed that will be affected “as funding is available...” This is
34 not the place or time to defer these efforts to discretionary activities of the CCSP. Need
35 to make these efforts requirements of the CCSP. Data management and assimilation are
36 needed and should be given priority status with other research topics.

37 **MIKE FARRELL, OAK RIDGE NATIONAL LABORATORY**

38
39 Page 146, lines 26-35. Agree with the importance of linkages between stakeholders – but
40 the strategy omits a plan for achieving those linkages.

41 **DEPARTMENT OF TRANSPORTATION, LAWSON**