Revised: 07/21/08 OMB Control No.: 0648-0514 Expiration Date: 07/31/2011

REGISTERED CRAB RECEIVER (RCR) FEE SUBMISSION FORM

U.S. Department of Commerce/ NOAA National Marine Fisheries Service (NMFS) Restricted Access Management (RAM) P.O. Box 21668 Juneau, AK 99802-1668



BLOCK A – IDENTIFICATION OF RCR								
1. Name of RCR:		2. N	2. NMFS Person ID:					
		2 7						
		3. 1	TN No. (EIN or SSN):					
		4. E	Date of Birth or Date of Incorporation:					
5. All RCR Permit No.'s held by Peri	mit Holder							
3.741 ROR Fermit 140. 3 held by Fermit Holder.								
6. Business Mailing Address:								
7. Business Telephone No.:	8. Business Fax No.:		9. Business E-mail Address (if any):					
BLOCK B AGREEMENT WITH CRAB FEE LIABILITY SUMMARY								
Check if you agree your Crab Fee Liability Summary represents the actual value of all CR crab received:								
[]YES	[] NO							
If YES , and you have not paid on-line, please date and sign your name where designated in Block D, complete Block E, and mail this Fee Submission Form with your payment.								
If NO, you must complete Attachment A, Crab Fee Calculation Worksheet. You must complete this worksheet even if you have made an on-line payment. After you have completed this worksheet, you must complete Block A through D of this application form and return it with your payment and supporting documentation.								

BLOCK C METHOD OF PAYMENT								
1. [] Personal Check [] Cashiers Check [] Money Order [] Pa	nyment made On-Line							
REMINDER! Sign your check.								
If paying for multiple permit holders, include their completed/signed Fee Submission Forms.								
All checks or money orders should be made payable to "National Marine Fisheries Service"								
2. Credit Card: [] Visa [] Mastercard [] American Express [] Di	iscover [] Novus							
Card No: Exp. Date:								
Amount of Payment:								
Name as Printed on Card:								
Signature of Card Holder:Date:								
(Reminder! If paying for more than one RCR, include all Fee Submission forms.)								
BLOCK D – APPLICANT SIGNATURE								
Under penalties of perjury, I hereby declare that I, the undersigned, completed this application and that the information contained herein is true, correct, and complete to the best of my knowledge and belief. (If completed by representative, attach authorization).								
1. Printed Name of RCR:								
a ci c cncn	2 5 .							
2. Signature of RCR:	3. Date:							

KEEP A COPY OF THIS FORM, AND ANY ACCOMPANYING DOCUMENTS, FOR YOUR RECORDS

This information is used to verify the identity of the applicant(s) and to accurately retrieve confidential records related to federal permits. The primary purpose for requesting the SSN/TIN is for the collection and reporting on any delinquent amounts arising out of such person's relationship with the government pursuant to the Debt Collection Improvement Act of 1996 (Public Law 104-134). Personal information is confidential and protected under the Privacy Act (5 U.S.C. 552a). Business information may be disclosed to the public.

ATTACHMENT A CRAB FEE CALCULATION WORKSHEET									
RCR Permit No.	Month/ Year of Landing	Port Location (use "AT SEA" for landings delivered to a Stationary Floating Crab Processor)	Fishery	Species	CR Program	CR Pounds	NMFS Calculated Ex-Vessel Price	Actual Ex-Vessel Price	Total
1.Sample	May 2006	Dutch Harbor	BBR	RED	IFQ	5000		\$2.20	\$11,000
2.									
3.									
4.									
5.									
6.									
7.									
8.									
9.									
10.									
11.									
12.									
13.									
14.									
15.									
16.									
17.									
18.									
19.									
20.									
21. Total					T				
22. Total Ex-vessel value (add lines 2-20):									
23. Plus Total Adjustments (retros, bonuses):									
	tal (add lines 2								
		tiply the published fee po	ercentage by	line 24):					
26. Less F	re-payments o	or Credits (if any):							
27. Balance Due (enter result after subtracting line 25 from 26):									
28. Enclos	sed Payment A	amount:							

Instructions RCR FEE SUBMISSION FORM

How are fees calculated?

By statute, fees must be split between the harvesting and processing sectors. NMFS will compute the annual fee percentage that will apply for each crab fishing year. For non-catcher/processor Registered Crab Receivers (RCRs), fee liability will be calculated by multiplying the applicable fee percentage by the ex-vessel value of the Crab Rationalization Program (CR) crab received by the RCR at the time of receipt.

For instance, suppose an RCR received 1 pound of crab from a harvester and the ex-vessel value of that crab was \$1 per pound. Using the maximum allowable fee percentage of 3%, each sector would owe half the fee percentage, which is 1.5% or, in this case \$0.015. Therefore, the RCR would pay the harvester \$0.985 for that pound of crab and would self-collect an additional \$0.015 per pound. The total fee payment made to NMFS for that pound of crab by the RCR would be 3% or \$0.03. Because catcher/processors must also be RCRs and participate in both the harvesting and processing sectors, they would be responsible for paying the full fee percentage for that same crab, which would be 3% or \$0.03 per pound. The fee liability percentage applies to all value paid/received for CR crab, whether in cash, goods, or services.

How are Catcher/Processor standard prices assessed?

NMFS developed an ex-vessel value methodology that calculates, as closely as possible by month and port or port-group, the variations in the actual ex-vessel values of CR crab landings based on information provided by shoreside buyers which includes:

- (1) landed pounds by CR crab species, port-group, and month;
- (2) total ex-vessel value by CR crab species, port-group, and month; and
- (3) price adjustments, including any retro-payments.

NMFS cannot implement standard price calculations more often than annually due to confidentiality issues and administrative constraints. Therefore, CPs will be responsible for calculating their fee liability at the end of a crab fishing year based on the current year's CP standard prices as provided to them by RAM. Each CP will be responsible for retaining their own estimated fees up to 3 percent of their estimated ex-vessel value until the end of the crab fishing year and must submit their actual fees based on the CP standard prices provided by RAM. CP standard prices would be based on the current year's shoreside ex-vessel value, thereby minimizing any disparity between the fee liability paid by shoreside processors and CPs

When are my fees due?

RCRs will deduct harvester fees at the time of receipt and payment for CR crab. Therefore, harvester's fees will be due each time they deliver crab to an RCR. The RCR will collect, document, and submit fees for all CR crab received from harvesters. The RCR will also collect, document, and submit their portion of fees for all CR crab received from harvesters. All RCRs, including catcher/processors, are responsible for submitting the cost recovery payment for all CR crab received during a crab fishing year by July 31st of the following crab fishing year.

What happens if I don't pay my fees?

If an RCR owes fees and fails to submit full payment for the previous crab fishing year by July 31, the Regional Administrator may disapprove any transfer of individual fishing quota (IFQ), individual processor quota (IPQ), quota share (QS), or initial processor quota share (PQS) to or from the RCR and may withhold issuance of any new CR crab permits, including IFQ, IPQ, federal crab fishing vessel, or RCR permits for the subsequent crab fishing year. If payment is not received by the 30th day after final agency action, the matter will be referred to the appropriate authorities for collection.

Send form and payment to:

Payment may be made payable to NMFS. Payment and related documents may be sent by mail to:

Administrator, Alaska Region, NMFS
Attn: Operations, Management, & Information Division (OMI)
P.O. Box 21668
Juneau, AK 99802-1668

or by fax to

Fax: 907-586-7354

Payment must be made in U.S. dollars by personal check drawn on a U.S. bank account, money order, bank certified check, or credit card no later than July 31 following the crab fishing year in which the CR landings were made.

Payments may also be submitted electronically to NMFS via forms available from Restricted Access Management (RAM) or on the RAM area of the Alaska Region Home Page at http://www.alaskafisheries.noaa.gov/ram.

Remember: You may pay on-line, even if you disagree with your fee liability summary, by logging on at https://www.fakr.noaa.gov/webapps/crabaccounts/Login.

COMPLETING THE FORM

BLOCK A - IDENTIFICATION OF RCR

- 1. Enter name of Registered Crab Receiver (RCR).
- 2. Enter NMFS Person ID
- 3-4. Enter TIN (EIN or SSN) and date of incorporation if a business or date of birth if an individual.
- 5. Provide all of the RCR permit numbers held by Permit Holder.
- 6. Provide the permanent business mailing address of the applicant. This is the address to which the RCR permit will be sent.
- 7-9. Provide the business telephone number, fax number, and e-mail address (if available) of the RCR.

BLOCK B -- AGREEMENT WITH CRAB FEE LIABILITY SUMMARY

Indicate whether you agree that your Crab Fee Liability Summary represents the actual value of all Crab Rationalization Program (CR) crab received.

If you checked YES, and you have not paid on-line, please date and sign your name where designated in Block D, complete Block E, and mail this Fee Submission Form with your payment.

If you checked NO, you must complete Attachment A, Crab Fee Calculation Worksheet. You must complete this worksheet even if you have made an on-line payment. After you have completed this worksheet, you must complete Block A through D of this application form and return it with your payment and supporting documentation.

BLOCK C – METHOD OF PAYMENT

Indicate method of Payment:

If Personal Check, Cashier's Check, or Money Order:

Make payable to National Marine Fisheries Service (NMFS).

If you paid using <u>pay.gov</u>, our on-line payment option, mark the box "Payment made On-Line".

(Reminder! Sign your check; and if paying for more than one RCR, include all Fee Submission forms.)

If Credit Card:

Enter type of card, card number, expiration date, printed name on card, and amount of payment. **Signature of credit card holder is required.**

BLOCK D - CERTIFICATION AND SIGNATURE

Print and sign name of RCR and date signed. If completed by an authorized agent, attach authorization.

ATTACHMENT A -- CRAB FEE CALCULATION WORKSHEET

Complete this worksheet ONLY if you indicated in Block B that you <u>do not agree</u> with your Crab Fee Liability Summary.

- ♦ You must provide documentation supporting your calculated crab fee liability, if it is different then the amount on your CR Crab Liability Summary.
- ♦ Your fee liability is based on the total value received for CR crab reported under your RCR permit(s). It should represent the total dollar value of CR crab before any deductions are made for goods and services provided (i.e. bait, ice, fuel, repairs, machinery replacement, etc.), multiplied by the NMFS published fee percentage for the CR crab fishing year.
- ♦ Catcher/processors must use the standard price for all crab harvested and processed at sea. Complete this block ONLY if you indicated in Block B that you did not agree with your Crab Fee Liability Summary.
- RCR Permit Number: Enter permit number(s).
- Month/Year of Landing: Enter date(s) the landing(s) Month/Year.
- <u>Port Location</u>: Enter the port(s) where landing(s) was/were made.
- <u>Fishery</u>: Enter the CR Fishery in which these crab were harvested.
- Species: Enter the crab species (i.e. red, blue, tanner, opilio, etc.)
- CR Program: Enter the appropriate CR Program (IFQ, CDQ or Adak)
- <u>CR Pounds</u>: Enter the number of CR pounds landed (excluding deadloss, personal use, confiscated pounds or pounds of crab harvested and processed by a CP vessel) on permit(s) for specific date(s).

- Standard Ex-Vessel Price: Enter the standard ex-vessel price located on your Crab Fee Liability Summary.
- <u>Actual Ex-Vessel Price</u>: Enter the actual ex-vessel price paid for crab accepted under this RCR permit for this landing.
- <u>Total</u>: Multiply the total pounds landed on the permit(s) by the standard or actual ex-vessel price to get the total for each landing.
- 17. Ex-vessel Value Total: Add lines 2 through 16 to get the subtotal of fees owed for all permits.
- 18. Plus Total Adjustments: Add total adjustments (retro payments or bonuses paid in previous year).
- 19. Subtotal: Add lines 17 and 18.
- 20. Fee Liability: Multiply the permit(s) subtotal (line 19) by the published fee percentage.
- 21. <u>Less Pre-Payments or Credits</u>: Subtract any pre-payments or credits from line 20. If you have a pre-payment or credit, it will be indicated on your IFQ Fee Liability Summary.
- 22. Balance Due: This indicates your balance due or credit after subtracting line 21 from 20.
- 23. Enclosed Payment Amount: If you show a balance, enter the amount of the payment you will be submitting.

PUBLIC REPORTING BURDEN STATEMENT

Public reporting for this collection of information is estimated to average 30 minutes per response, including the time for reviewing the instructions, searching the existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. Send comments regarding this burden estimate or any other aspect of this collection of information, including suggestions for reducing the burden, to Assistant Regional Administrator, Sustainable Fisheries Division, NOAA National Marine Fisheries Service, P.O. Box 21668, Juneau, AK 99802-1668.

ADDITIONAL INFORMATION

Before completing this form please note the following: 1) Notwithstanding any other provision of law, no person is required to respond to, nor shall any person be subject to a penalty for failure to comply with, a collection of information, subject to the requirements of the Paperwork Reduction Act, unless that collection of information displays a currently valid OMB Control Number; 2) This information is mandatory and is required to manage commercial fishing efforts under 50 CFR part 680, under section 402(a) of the Magnuson-Stevens Act (16 U.S.C. 1801, et seq.) and under 16 U.S.C. 1862(j); 3) Responses to this information request are confidential under section 104(b) of the Magnuson-Stevens Act as amended in 2006. They are also confidential under NOAA Administrative Order 216-100, which sets forth procedures to protect confidentiality of fishery statistics.