March 29, 2001

MEMORANDUM TO: Samuel J. Collins, Director

Office of Nuclear Reactor Regulation

FROM: Loren R. Plisco, Chairman /RA/ John Monninger for

Initial Implementation Evaluation Panel

SUBJECT: SUMMARY OF THE INITIAL IMPLEMENTATION EVALUATION PANEL

MEETING OF FEBRUARY 26-27, 2001

The Reactor Oversight Process (ROP) Initial Implementation Evaluation Panel (IIEP) met for its fourth meeting on February 26-27, 2001, at the NRC Headquarters facility in Rockville, MD. The IIEP was formed in response to Commission direction in the Staff Requirements Memorandum from SECY-00-049, "Results of the Revised Reactor Oversight Process Pilot Program." The IIEP functions as a cross-disciplinary oversight group to independently monitor and evaluate the results of the first year of initial implementation of the ROP. The meeting was open to the public and was transcribed. A copy of the meeting agenda is provided as Attachment 1. The list of attendees for each day of the meeting is provided as Attachments 2 and 3. All IIEP panel members attended both days of the meeting, with the exception of Mr. Steve Reynolds representing the NRC Region III Office. Mr. Reynolds had previously informed the panel of his unavailability to attend this scheduled meeting. In addition to the panel members, approximately 8 NRC staff and 6 external stakeholders attended each day of the meeting.

For background information, the following documents were provided to the panel members and public in attendance:

- January 22-23, 2001, IIEP meeting summary (ADAMS ML0105301040).
- "Initial SDP Determinations Downgraded in Significance" prepared by the NRC staff in response to questions raised by the IIEP at the January 2001 meeting (Attachment 4 -ADAMS ML010810189).
- "Nuclear Plant Risk Studies Failing the Grade," August 2000, by David Lochbaum of the Union of Concerned Scientists. This report was provided to the panel by Ray Shadis of the New England Coalition on Nuclear Pollution in response to issues raised at the January 2001 meeting regarding the quality of probabilistic risk assessment studies (Attachment 5 - ADAMS ML010810228).

The meeting focused on the following two areas: (1) continued initial prioritization of issues identified through the IIEP, and (2) identification of additional issues, both positive and negative, associated with the ROP from multiple sources including the Union of Concerned Scientists, Nuclear Energy Institute, State of Pennsylvania, NRC's Office of Public Affairs, and McGraw Hill.

On the first day of the meeting, David Lochbaum of the Union of Concerned Scientists briefed the panel on issues, both positive and negative, that they have identified with the ROP. A copy of his briefing slides is provided as Attachment 6 (ADAMS ML010810219). Following Mr. Lochbaum, Steve Floyd of the Nuclear Energy Institute briefed the panel on trends and observations they have identified with inspection findings and performance indicators through the 4th quarter of 2000. A copy of his briefing slides is provided as Attachment 7 (ADAMS ML010810258). Subsequently, Rich Janati of the Pennsylvania Department of Environmental Protection briefed the panel of their views of whether the ROP is meeting the agency's stated goals, along with providing examples of both strengths and weaknesses associated with the process. A copy of his remarks is provided as Attachment 8 (ADAMS ML010810236).

On the second day of the meeting, the IIEP heard from a panel of individuals who are associated with, or routinely interface with the news media. These individuals included Victor Drick's of the NRC's Office of Public Affairs, Jenny Weil of McGraw Hill's Inside NRC, and Steve Kerekes of NEI's Media Relations Office. The IIEP was interested in the views of these individuals with respect to the success of NRC's efforts to provide understandable and easily available information to the public on the ROP and nuclear power plant performance. A copy of the remarks provided by Mr. Drick's is provided as Attachment 9 (ADAMS ML010810192).

Time was allotted during the meeting for others members of the public to address the panel. Accordingly, Judith Johnsrud from State College, Pennsylvania, representing the Environmental Coalition on Nuclear Power and the Sierra Club was in attendance at the meeting and provided some remarks to the panel. Ms. Johnsrud is also on the State of Pennsylvania's Advisory Committee on Low Level Radioactive Waste. Her remarks focused of the relationship between the NRC and the public in the vicinity of nuclear facilities and the use of risk analysis in regulatory decision-making.

The IIEP will consider the issues presented by these various stakeholders in a similar manner as those presented by other stakeholders in previous meetings. That is to say, the panel will continue to build upon and refine its "table of issues" and prioritize them according to their established criteria. With respect to the table of issues, the panel completed its initial prioritization of issues and rated them according to which of the various ROP goals are impacted by the issue. The table of issues is provided as Attachment 10 (ADAMS ML010880340).

As previously stated, the two day IIEP meeting was transcribed and a copy of the transcripts is provided as Attachments 11 (ADAMS ML010870653) and 12 (ADAMS ML010870657).

The panel scheduled their fifth meeting for April 2-3, 2001 in Rockville, Maryland. The panel was interested in hearing presentations on (1) issues and concerns by additional public interest groups such as Public Citizen, and any representatives of Congressional staff, and (2) the status of the ROP Self-Assessment Program and results from the Lessons-Learned Workshops from the NRC staff. The panel tentatively scheduled their sixth meeting for April 25, 2001.

Attachments:

- 1 Agenda for February 26-27, 2001
- 2 Attendees on February 26, 2001
- 3 Attendees on February 27, 2001
- 4 Initial SDP Determinations Downgraded in Significance by the NRC Staff (ADAMS ML010810189)
- 5 Nuclear Plant Risk Studies Failing the Grade by the Union of Concerned Scientists (ADAMS ML010810228)
- 6 Union of Concerned Scientists Slides (ADAMS ML010810219)
- 7 Nuclear Energy Institute Slides (ADAMS ML010810258)
- 8 Pennsylvania Dept of Environmental Protection Comments (ADAMS ML010810236)
- 9 NRC Office of Public Affairs Feedback (ADAMS ML010810192)
- 10 Summary Table of IIEP Issues (ADAMS ML010880340)
- 11 Transcript from February 26, 2001 (ADAMS ML010870653)
- 12 Transcript from February 27, 2001 (ADAMS ML010870657)

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DISTRIBUTION:

JShea, PUBLIC, IIEP Members via email, WDean, BBoger, JJohnson, WBates

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OFC:	NRR/ADIP	Region II		
NAME:	JMonninger	LPlisco		
DATE:	03/28/01	03/29/01		

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REACTOR OVERSIGHT PROCESS INITIAL IMPLEMENTATION EVALUATION PANEL MEETING

Date & Time:

Monday, February 26, 2001 Tuesday, February 27, 2001

Location:

U.S. Nuclear Regulatory Commission 11545 Rockville Pike Rockville, MD 20852 Room: TWFN-2B3 301-415-3495

Agenda:

Monday, February 26, 2001				
8:00-8:30	- Introduction / Meeting Objectives and Goals - Review of Meeting Minutes and Items from January 22-23, 2001 Meeting			
8:30-12:00	Initial Prioritization of Issues Identified Through the Panel			
12:00-1:00	Lunch			
1:00-5:00	Stakeholder Presentations: - Union of Concerned Scientist - Nuclear Energy Institute - PA Department of Environmental Protection	Dave Lochbaum Steve Floyd Rich Janati		
5:00	Adjourn			

Tuesday, February 27, 2001					
8:00-8:30	- Recap of Previous Day's Meeting - Meeting Objectives and Goals				
8:30-12:00	Stakeholder Presentations: - NRC Public Affairs Office - McGraw Hill's Inside NRC - NEI Public Affairs Office	Victor Dricks Jenny Weil Steve Kerekes			
12:00-1:00	Lunch				
1:00-3:00	Initial Prioritization of Issues Identified Through the Panel (continued)				
3:00-4:00	Agenda Planning Session				
4:00-5:00	Public Comments/General Discussion				
5:00	Adjourn				

REACTOR OVERSIGHT PROCESS INITIAL IMPLEMENTATION PANEL MEETING ATTENDEES

Monday, February 26, 2001

IIEP MEMBERSAFFILIATIONRandy BloughNRC/Region IBill BorchardtNRC/OEKen BrockmanNRC/Region IV

Mary Ferdig, Inc. & Benedictine University

Steve Floyd Nuclear Energy Institute

Dave Garchow PSEG Nuclear

Richard Hill Southern Nuclear Operating Company

Rod Krich Exelon Corporation

Robert Laurie California Energy Commission

Jim Moorman NRC/Region IV Loren Plisco NRC/Region II

Ed Scherer Southern California Edison

Jim Setser Georgia Department of Natural Resources
Ray Shadis New England Coalition on Nuclear Pollution

Jim Trapp NRC/Region I

OTHER ATTENDEES AFFILIATION

Tom Boyce NRC/NRR
Chip Cameron NRC/OGC

Roger Huston Licensing Support Services

Rich Janati Pennsylvania Dept. of Environmental Protection

Judith Johnsrud ECNP/Sierra Club

Mike Johnson NRC/NRR

Dave Lochbaum Union of Concerned Scientists

John Monninger

Scott Morris

Chris Nolan

Deann Raleigh

August Spector

John Thompson

NRC/NRR

NRC/OE

LIS, Scientech

NRC/NRR

NRC/NRR

Susan Yim Winston & Strawn

REACTOR OVERSIGHT PROCESS INITIAL IMPLEMENTATION PANEL MEETING ATTENDEES

Tuesday, February 27, 2001

IIEP MEMBERSAFFILIATIONRandy BloughNRC/Region IBill BorchardtNRC/OEKen BrockmanNRC/Region IV

Mary Ferdig, Inc. & Benedictine University

Steve Floyd Nuclear Energy Institute

Dave Garchow PSEG Nuclear

Richard Hill Southern Nuclear Operating Company

Rod Krich Exelon Corporation

Robert Laurie California Energy Commission

Jim Moorman NRC/Region IV Loren Plisco NRC/Region II

Ed Scherer Southern California Edison

Jim Setser Georgia Department of Natural Resources
Ray Shadis New England Coalition on Nuclear Pollution

Jim Trapp NRC/Region I

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Bill Dean NRC/NRR
Chip Cameron NRC/OGC
Victor Dricks NRC/OPA

Roger Huston Licensing Support Services
Steve Kerekes Nuclear Energy Institute

Alan Madison NRC/NRR NRC/NRR John Monninger **Scott Morris** NRC/EDO Chris Nolan NRC/OE Deann Raleigh LIS, Scientech **August Spector** NRC/NRR Jenny Weil McGraw-Hill Susan Yim Winston & Strawn